## CNN vs. FCN on Image Classification

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- Introducing the MNIST
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### The purpose of this presentation

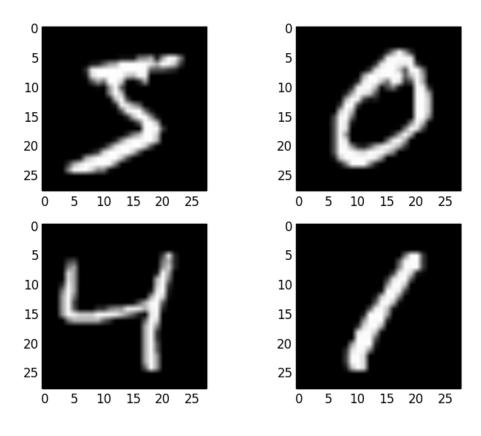
This presentation is about

- 1. getting us familiar with the MNIST and
- 2. giving us a better understanding on why CNNs are more suited for vision tasks than FCNs in general.

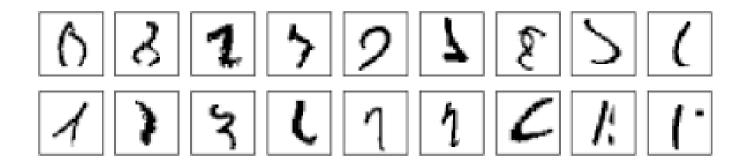
## <1>: Introducing the MNIST

- Number of train images: 60000
- Number of test images: 10000
- Shape of each image: square
- Height and width are both: 28 pixels (small)
- Number of channels: 1 (small)
  - Grayscale: 0 (black) to 255 (white) inclusive
- Current accuracy benchmark: 99.77%
  - CNN + elastic distortion + width normalization (Ciresan, 2012)

## <1.1> Some good examples from the MNIST



## <1.2> Some (very, very) bad examples from the MNIST



Even humans cannot recognize these digits above. Therefore, it is possible that the current benchmark has over-fitted the data, but this is beyond the scope of this discussion.

## <2>: Classifying the MNIST using a simple FCN

#### Sub-sections:

- 1. FCN on MNIST: A peek at its architecture
- 2. FCN on MNIST: Looking at Keras implementation
- 3. FCN on MNIST: Performance

## <2.1> FCN: A peek at its architecture

- First layer:
  - Number of neurons: 118
  - Activation: relu
  - Input shape: 28 \* 28 = 784 (image are flattened to 1d vector)
  - Trainable: True (weights can be optimized)
- Second layer:
  - Number of neurons: 10
  - Activation: softmax (converts real numbers to probabilities)
  - Trainable: True (weights can be optimized)
- Total trainable parameters: 93820 (weights + biases)

## <2.2> FCN: Keras implementation

- Data pre-processing
  - Fetch data using keras.datasets.load("mnist")
  - o Reshape data <array>.shape(28, 28, 1)
  - o Normalize data <array>.astype("float32")/255
- Model training using the train set
  - Batch size: 128
  - Epoch: 5
  - Choices of cost function, optimizer and metric are all parameters to model.fit(), which is very convenient
- Model evaluation using the test set

#### <2.3> FCN: Performance

- Accuracy on train set: 97.73%
- Accuracy on test set: 97.18% (2% from the benchmark)

# <3>: Classifying the MNIST dataset using a simple CNN

#### Sub-sections:

- 1. CNN: A peak at its architecture
- 2. CNN: Keras implementation
- 3. CNN: Performance

## <3.1> CNN: A peak at its architecture

Layer (type)	Output	Shape	Param #
conv2d_4 (Conv2D)	(None,	26, 26, 32)	320
max_pooling2d_3 (MaxPooling2	(None,	13, 13, 32)	0
conv2d_5 (Conv2D)	(None,	11, 11, 64)	18496
max_pooling2d_4 (MaxPooling2	(None,	5, 5, 64)	0
conv2d_6 (Conv2D)	(None,	3, 3, 64)	36928
flatten_2 (Flatten)	(None,	576)	Ø
dense_3 (Dense)	(None,	64)	36928
dense_4 (Dense)	(None,	10)	650 ======
Total params: 93,322 Trainable params: 93,322 Non-trainable params: 0			

#### 2 key takeaways:

- alternating Conv2d and max\_pooling layers
- the number of trainable parameters are similar to FCN

## <3.2> CNN: Keras implementation (similar to FCN's)

- Data pre-processing
  - Fetch data
  - Reshape data
  - Normalize data
- Model training using the train set
  - Batch size: 64
  - Epoch: 5
  - Choices of cost function, optimizer and metric are all parameters to model.fit(), which is very convenient
- Model evaluation using the test set

#### <3.3> CNN: Performance

- Accuracy on the train set: 99.40%
- Accuracy on the test set: 99.07% (1% from the benchmark)

### <4> Comparison between performances

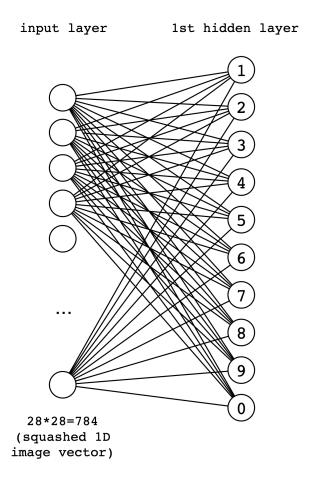
- The basic CNN (99.07% accuracy), although having roughly the same number of trainable parameters, perform better than the basic FCN (97.18% accuracy) on the MNIST.
- The current benchmark has 99.77% accuracy.

## <5> Why CNN works better?

Two more interesting yet seemingly contradictory questions to ask are:

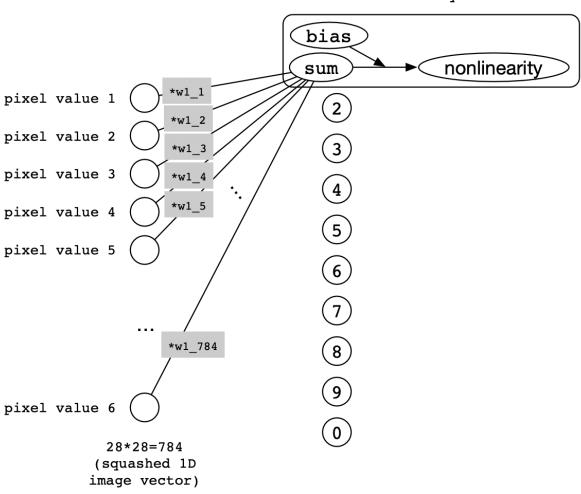
- 1. why does the FCN works,
- 2. but not as well as the CNN?

## <5.1> First question: why does the FCN work?



This FCN has only 10 nodes, but it looks very chaotic, and therefore FCNs are usually considered uninterpretable. However, notice in the next slide how the equation for summing is similar to the formula of covariance (a score that measures the similarity between two distributions). We will later see that the two formulas are equivalent.

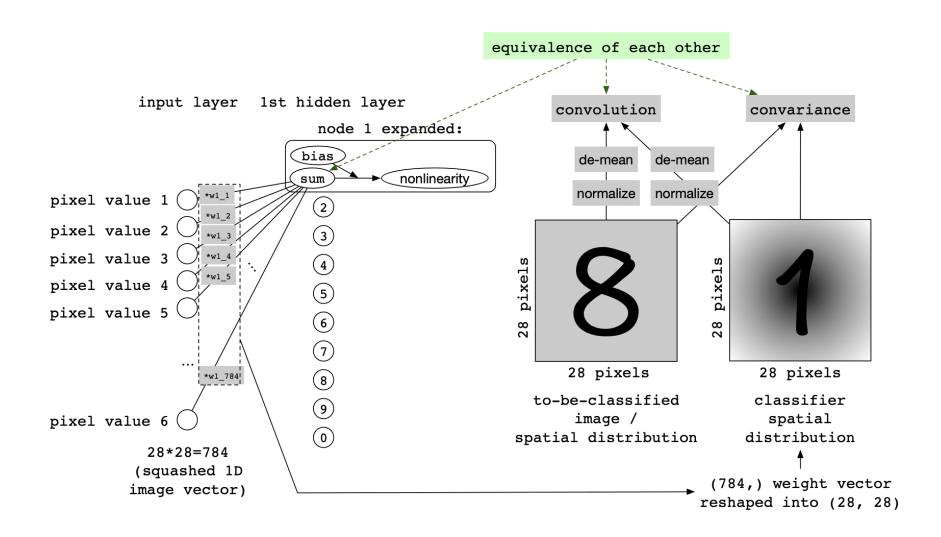
node 1 really is



$$\operatorname{sum} = \sum_{i=1}^{784} w_{1.i} x_i \quad \operatorname{Cov}(X, Y) = \frac{\sum (X_i - \overline{X})(Y_j - \overline{Y})}{n}$$

## <5.1.1> Hypothesis

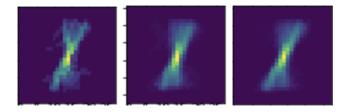
- We can interpret the weights connected to each node as a classifer distribution, and the image as a to-be-classified distribution.
- Multiplying the weights and the flattened image in a element-wise manner and then summing the products enable the network to measure the similarity between the classifier distribution and the to-be-classified distribution.
- In fact, the above process is equivalent to applying a convolution operation to the whole image.



## **Experiment to validate hypothesis**

In order to create intuitive kernels for this experiment, I sampled through the training set what each digits typically look like, see some examples, normalized, then demeaned, then flattened into weights and put into the weights between the input layer and the first hidden layer,

typically 1 after sampling 100 images, after 500 images, after 10000 images (examples)



Then I passed in the testing images, just extract the maximum of the scores, and then use argmax

we get 64 % accuracy.

This is pretty good since we just hand-picked the dataset

(Run which file here)

### Result

Hypothesis is coorect

## **Further exploration**

Not only utilizing what something is, but also whata something is not, freezed the first layer weights, only trained the second layers

we can get 80 % accuracy

pretty good accuracy,

not as good as FCN did, because it picked better features than we hand-picked, but by going through the experiment, we still get a very good intuition on what exactly the FCN is doing.

## Second question: why does FCN perform worse than CNN?

image distribution - missing a transform part

## Why CNN works better?

 Max-pooling layers help the network learn the spatial hierarchy of features

many to one relationship

#### References

- 1. Deep learning for computer vision (Chapter 5), Deep Learning with Python, by Francis Chollet, 2018
- 2. Current accuracy benchmark:
  - Record of progress: http://yann.lecun.com/exdb/mnist/
  - Highest accuracy: https://arxiv.org/pdf/1202.2745.pdf