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# HOMEWORK # 03

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MATH 140  
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## Chapter 02

### Problem 5.2

Find a unit speed curve  $\alpha(s)$  with  $\kappa(s) = 1/(1 + s^2)$  and  $\tau \equiv 0$ .

#### Solution

I will proceed as in Chapter 02, Example 5.3 of Millman and Parker. Let us make the following change of variables:

$$t(s) = \int_0^s \kappa(\sigma) d\sigma = \int_0^s \frac{1}{1 + \sigma^2} d\sigma = \arctan(s). \quad (1)$$

Such a change of variables is admissible since  $t'(s) = \kappa > 0$ , which implies  $t$  is one-to-one and both  $t, \kappa$  are smooth. In what follows, let  $u' := du/ds$  and  $\dot{u} = du/dt$ . Recall the Frenet-Serret Theorem:<sup>1</sup>

$$\begin{pmatrix} \mathbf{T}' \\ \mathbf{N}' \\ \mathbf{B}' \end{pmatrix} = \begin{pmatrix} 0 & \kappa & 0 \\ -\kappa & 0 & \tau \\ 0 & -\tau & 0 \end{pmatrix} \begin{pmatrix} \mathbf{T} \\ \mathbf{N} \\ \mathbf{B} \end{pmatrix} \quad (2)$$

Hence, in the  $s$  basis, we have

$$\mathbf{T}' = \kappa \mathbf{N} \quad ; \quad \mathbf{N}' = -\kappa \mathbf{T}.$$

In the  $t$  basis, we have  $u'(t(s)) = t'(s)\dot{u}(t) = \kappa\dot{u}$  for all smooth functions  $u$ . Hence, the above equations take the form

$$\dot{\mathbf{T}} = \mathbf{N} \quad ; \quad \dot{\mathbf{N}} = -\mathbf{T}.$$

So the utility of the substitution (1) is that it decomposes Eq. (2) into a set of coupled constant-coefficient first order linear differential equations. Differentiating the first and using the second gives us an uncoupled second order ODE:

$$\ddot{\mathbf{T}} = \dot{\mathbf{N}} = -\mathbf{T}.$$

The most general solution to the above is

$$\mathbf{T}(t) = \mathbf{a} \cos t + \mathbf{b} \sin t$$

where  $\mathbf{a}, \mathbf{b} \in \mathbb{R}^3$  are constant. We find  $\alpha$  by integrating over  $s$ :

$$\alpha(s) = \mathbf{a} \int_0^s \cos t(\sigma) d\sigma + \mathbf{b} \int_0^s \sin t(\sigma) d\sigma + \mathbf{c} \quad (3)$$

where  $\mathbf{c} \in \mathbb{R}^3$  is another constant. In fact,  $\mathbf{c} = \alpha(0)$ , since both integrals vanish at  $s = 0$ . Since we are looking for an arbitrary curve with the given curvature, not the most general one, we might as well set  $\mathbf{c} = \mathbf{0}$ . Next, we examine the integrals in Eq. (3). Both integrands can be expressed in terms of algebraic functions. Consider  $\cos(\arctan(\sigma))$ . Let  $\theta = \arctan(\sigma)$  so that  $\sigma = \tan \theta$ . Then:

$$\sec^2 \theta = 1 + \tan^2 \theta = 1 + \sigma^2 \quad \implies \quad \cos(\theta) = \frac{1}{\sqrt{1 + \sigma^2}}.$$

For  $\sin(\arctan(\sigma))$ , we have:

$$\sigma = \tan \theta = \frac{\sin \theta}{\cos \theta} \quad \implies \quad \sin \theta = \frac{\sigma}{\sqrt{1 + \sigma^2}}.$$

Hence, the first of the integrals is

$$\int_0^s \cos t(\sigma) d\sigma = \int_0^s \frac{1}{\sqrt{1 + \sigma^2}} d\sigma = \operatorname{arcsinh}(s).$$

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<sup>1</sup>Theorem 4.2 of Chapter 2 of Millman and Parker (page 30).

The second:

$$\int_0^s \sin t(\sigma) d\sigma = \frac{1}{2} \int_0^s \frac{2\sigma}{\sqrt{1+\sigma^2}} d\sigma = \sqrt{1+s^2} - 1.$$

Hence, our curve is

$$\alpha(s) = \mathbf{a}(\operatorname{arcsinh}(s)) + \mathbf{b}(\sqrt{1+s^2} - 1).$$

The vectors  $\mathbf{a}$  and  $\mathbf{b}$  are not arbitrary, however. Note:

$$\begin{aligned} \mathbf{N} = \dot{\mathbf{T}} &= -\mathbf{a} \sin t + \mathbf{b} \cos t \\ \frac{d\mathbf{N}}{dt} &= -\mathbf{a} \cos t - \mathbf{b} \sin t. \end{aligned}$$

Then,

$$0 = \left\langle \mathbf{N}, \frac{d\mathbf{N}}{dt} \right\rangle = \frac{1}{2}(|\mathbf{a}|^2 - |\mathbf{b}|^2) \sin 2t + \langle \mathbf{a}, \mathbf{b} \rangle (\cos^2 t - \sin^2 t).$$

At  $t = 0$ , we get  $0 = \langle \mathbf{a}, \mathbf{b} \rangle$ , so the vectors are orthogonal. Then,

$$0 = (|\mathbf{a}|^2 - |\mathbf{b}|^2) \sin 2t.$$

For this to be true for all  $t$ , we require  $|\mathbf{a}|^2 = |\mathbf{b}|^2$ . Finally,

$$1 = |\mathbf{N}|^2 = |\mathbf{a}|^2 \sin^2 t + |\mathbf{b}|^2 \cos^2 t = |\mathbf{a}|^2 = |\mathbf{b}|^2.$$

Hence,  $|\mathbf{a}| = |\mathbf{b}| = 1$ . That is, the vectors are orthonormal. One possible choice is  $\mathbf{a} = \mathbf{x}$  and  $\mathbf{b} = \mathbf{y}$ .

Hence, one such unit speed curve with the given curvature and torsion is

$$\boxed{\alpha(s) = \left( \operatorname{arcsinh}(s), \sqrt{1+s^2} - 1, 0 \right)}.$$

### Problem 5.3

Prove that the only plane or spherical unit speed curves of constant curvature are circles.

#### Solution

Suppose  $\alpha$  is a planar unit speed curve. Then, we are free to choose a basis so that

$$\alpha(s) = (x(s), y(s), 0)$$

where  $x, y : (a, b) \rightarrow \mathbb{R}$  for some  $(a, b) \subseteq \mathbb{R}$ . We have the following lemma.

**Lemma.** *Let  $\alpha$  be a planar unit speed curve. Then the curvature of  $\alpha$  is constant if and only if the image of  $\alpha$  is a circle.*

*Proof.* ( $\Leftarrow$ ) Suppose the image of  $\alpha$  is a circle in the plane. Then, up to orientation, we have<sup>2</sup>

$$\alpha(s) = \left( r \cos\left(\frac{s}{r}\right), r \sin\left(\frac{s}{r}\right), 0 \right)$$

where  $r > 0$  is the radius of the circle. Then,

$$\begin{aligned} \mathbf{T} = \alpha' &= \left( -\sin\left(\frac{s}{r}\right), \cos\left(\frac{s}{r}\right), 0 \right) \\ \mathbf{T}' &= \left( -\frac{1}{r} \cos\left(\frac{s}{r}\right), -\frac{1}{r} \sin\left(\frac{s}{r}\right), 0 \right). \end{aligned}$$

Hence,

$$\kappa(s) = |\mathbf{T}'(s)| = \sqrt{\left(-\frac{1}{r} \cos\left(\frac{s}{r}\right)\right)^2 + \left(-\frac{1}{r} \sin\left(\frac{s}{r}\right)\right)^2} = \frac{1}{r}$$

which is constant.

<sup>2</sup>It was proved in lecture that this parameterization of a circle is unit speed, so I will not prove it again here.

( $\implies$ ) Suppose  $\kappa$  is a constant. Since  $\alpha$  is planar,  $\tau \equiv 0$  for all  $s$ . Then, by the Frenet-Serret Theorem (2), we have

$$\mathbf{T}' = \kappa \mathbf{N} \quad ; \quad \mathbf{N}' = -\kappa \mathbf{T}.$$

Differentiating the former and using the latter, we find

$$\mathbf{T}'' = \kappa \mathbf{N}' = -\kappa^2 \mathbf{T}.$$

The most general solution to the above is

$$\mathbf{T}(s) = \mathbf{a} \cos ks + \mathbf{b} \sin ks$$

where  $\mathbf{a}, \mathbf{b} \in \mathbb{R}^3$ . We can now find  $\alpha$  by direct integration:

$$\alpha(s) = \frac{1}{\kappa} \sin ks - \frac{1}{\kappa} \cos ks + \mathbf{c}$$

where  $\mathbf{c} \in \mathbb{R}^3$  is arbitrary. We know from Problem 5.2 that  $\mathbf{a}$  and  $\mathbf{b}$  form an orthonormal basis of a two-dimensional subspace of  $\mathbb{R}^3$ . Hence, in the  $\{\mathbf{a}, \mathbf{b}, \mathbf{a} \times \mathbf{b}\}$  basis, we find

$$\alpha(s) = \mathbf{c} + \left( \frac{1}{\kappa} \sin ks, -\frac{1}{\kappa} \cos ks, 0 \right).$$

Hence, the image of  $\alpha$  is a circle of radius  $r = \frac{1}{\kappa}$  and center  $\mathbf{c}$ . This completes the proof of the lemma.  $\square$

Next, we consider the case where  $\alpha$  lies on the surface of a sphere. We have the following lemma.

**Lemma.** *Let  $\alpha$  be a spherical unit speed curve with constant curvature. Then the image of  $\alpha$  is a circle.*

*Proof.* Suppose  $\alpha$  is a spherical curve with constant curvature  $\kappa$ . Since  $\alpha$  is spherical,  $\exists r \in \mathbb{R} \setminus \{0\}, \mathbf{m} \in \mathbb{R}^3$  such that  $\langle \alpha - \mathbf{m}, \alpha - \mathbf{m} \rangle = r^2$ . By the fundamental theorem of curves,<sup>3</sup> we can take  $\mathbf{m} = \mathbf{0}$  without loss of generality. Differentiating this equation:

$$0 = \frac{d}{ds} \langle \alpha, \alpha \rangle = 2 \langle \alpha, \mathbf{T} \rangle. \quad (4)$$

In particular, this implies

$$\alpha(s) = \langle \alpha, \mathbf{N} \rangle \mathbf{N} + \langle \alpha, \mathbf{B} \rangle \mathbf{B}. \quad (5)$$

Differentiating Eq. (4) again, we have

$$0 = \frac{d}{ds} \langle \alpha, \mathbf{T} \rangle = \langle \mathbf{T}, \mathbf{T} \rangle + \langle \alpha, \kappa \mathbf{N} \rangle.$$

In particular,

$$-\frac{1}{\kappa} = \langle \alpha, \mathbf{N} \rangle. \quad (6)$$

Since  $\kappa$  is constant, we have

$$0 = \frac{d}{ds} \left\{ -\frac{1}{\kappa} \right\} = \frac{d}{ds} \langle \alpha, \mathbf{N} \rangle = \langle \mathbf{T}, \mathbf{N} \rangle + \langle \alpha, -\kappa \mathbf{T} + \tau \mathbf{B} \rangle.$$

In particular, since  $\langle \mathbf{T}, \mathbf{N} \rangle = 0$  by orthonormality and  $\langle \alpha, \mathbf{T} \rangle = 0$  by Eq. (4), we find

$$0 = \tau \langle \alpha, \mathbf{B} \rangle. \quad (7)$$

Suppose by way of contradiction that  $\tau \neq 0$  so that  $\langle \alpha, \mathbf{B} \rangle = 0$ . Then from Eq.'s (5) and (6), we have

$$\alpha(s) = -\frac{1}{\kappa} \mathbf{N}.$$

If we differentiate once more, we get

$$\mathbf{T} = -\frac{1}{\kappa} \left[ -\kappa \mathbf{T} + \tau \mathbf{B} \right] = \mathbf{T} - \frac{\tau}{\kappa} \mathbf{B},$$

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<sup>3</sup>See Problem 5.8 (page 5)

i.e., that  $\tau = 0$  (since  $\mathbf{B} \neq \mathbf{0}$ ), which is a contradiction. Hence, we are forced to conclude  $\langle \boldsymbol{\alpha}, \mathbf{B} \rangle \neq 0$ , and so we require

$$\tau = 0$$

to satisfy Eq. (7). This implies  $\boldsymbol{\alpha}$  is planar, but the only planar curves that lie on a sphere are circles.<sup>4</sup> Hence,  $\boldsymbol{\alpha}$  is a circle. □

### Problem 5.7

Let  $\boldsymbol{\alpha}(s)$  be a unit speed curve with  $\kappa > 0$  and  $\tau > 0$ . Let

$$\boldsymbol{\beta}(t) = \int_0^s \mathbf{B}(\sigma) d\sigma.$$

- (a) Prove that  $\boldsymbol{\beta}$  is unit speed.
- (b) Show that the Frenet-Serret apparatus  $\{\bar{\kappa}, \bar{\tau}, \bar{\mathbf{T}}, \bar{\mathbf{N}}, \bar{\mathbf{B}}\}$  of  $\boldsymbol{\beta}$  satisfies  $\bar{\kappa} = \tau$ ,  $\bar{\tau} = \kappa$ ,  $\bar{\mathbf{T}} = \mathbf{B}$ ,  $\bar{\mathbf{N}} = -\mathbf{N}$ , and  $\bar{\mathbf{B}} = \mathbf{T}$ .

### Solution

(a) A curve  $\boldsymbol{\gamma}$  is unit speed if and only if  $|\mathbf{d}\boldsymbol{\gamma}/\mathbf{d}s| = 1$  for all  $s$ . But

$$\frac{d\boldsymbol{\beta}}{ds} = \frac{d}{ds} \int_0^s \mathbf{B}(\sigma) d\sigma = \mathbf{B}(s)$$

by the fundamental theorem of calculus. Since  $\mathbf{B}(s)$  is a unit vector for all  $s$ , we have

$$\left| \frac{d\boldsymbol{\beta}}{ds} \right| = |\mathbf{B}(s)| \equiv 1$$

for all  $s$ . Hence,  $\boldsymbol{\beta}$  is indeed unit speed.

(b) Recall that the Frenet-Serret apparatus is defined for a curve  $\boldsymbol{\gamma}$  as follows:

$$\begin{cases} \mathbf{T}(s) &= \frac{d\boldsymbol{\gamma}}{ds} \\ \mathbf{N}(s) &= \frac{1}{\kappa} \frac{d\mathbf{T}}{ds} \\ \mathbf{B}(s) &= \mathbf{T} \times \mathbf{N} \\ \kappa(s) &= \left| \frac{d\mathbf{T}}{ds} \right| \\ \tau(s) &= -\langle \frac{d\mathbf{B}}{ds}, \mathbf{N} \rangle \end{cases}$$

For  $\boldsymbol{\beta}$ , we have

$$\bar{\mathbf{T}} = \frac{d\boldsymbol{\beta}}{ds} = \mathbf{B}.$$

Hence  $\bar{\mathbf{T}} = \mathbf{B}$ , which we found in (a). Next,

$$\frac{d\bar{\mathbf{T}}}{ds} = \frac{d\mathbf{B}}{ds} - \tau \mathbf{N} \implies \bar{\kappa} = |-\tau \mathbf{N}| = \tau$$

where in the last step on the left I used Eq. (2) to write  $\frac{d\bar{\mathbf{T}}}{ds}$  in  $\boldsymbol{\alpha}$ 's Frenet-Serret basis, and on the right I used  $|\mathbf{N}| = 1$ . Hence  $\bar{\kappa} = \tau$ . Next,

$$\bar{\mathbf{N}} = \frac{1}{\bar{\kappa}} \frac{d\bar{\mathbf{T}}}{ds} = \frac{1}{\tau} (-\tau \mathbf{N}) = -\mathbf{N},$$

<sup>4</sup>This is an elementary result from analytic geometry, but it is a mess to prove, so I hope it was not required!

so  $\bar{\mathbf{N}} = -\mathbf{N}$ . The bi-normal vector is

$$\bar{\mathbf{B}} = \bar{\mathbf{T}} \times \bar{\mathbf{N}} = \mathbf{B} \times (-\mathbf{N}) = \mathbf{N} \times \mathbf{B} = \mathbf{T}$$

since  $\{\mathbf{T}, \mathbf{N}, \mathbf{B}\}$  is an oriented orthonormal basis. Hence  $\bar{\mathbf{B}} = \mathbf{T}$ . Differentiating the above and using Eq. (2), we get

$$\frac{d\bar{\mathbf{B}}}{ds} = \frac{d\mathbf{T}}{ds} = \kappa\mathbf{N}.$$

Finally, this implies

$$\bar{\tau} = -\left\langle \frac{d\bar{\mathbf{B}}}{ds}, \bar{\mathbf{N}} \right\rangle = -\langle \kappa\mathbf{N}, -\mathbf{N} \rangle = \kappa,$$

or  $\bar{\tau} = \kappa$ . Thus, we recover the Frenet-Serret apparatus from the prompt.

### Problem 5.8

Let  $\alpha(s)$  be a helix with  $\kappa = \tau > 0$ . If  $\beta$  is defined as in Problem 5.7, show that  $\alpha$  and  $\beta$  are congruent; that is, they are the same curve up to position in space.

#### Solution

If  $\kappa, \tau > 0$ , then the curve  $\beta$  is well-defined. Since  $\kappa = \tau$ , the Frenet-Serret relations proved in the previous question imply

$$\bar{\kappa} = \kappa,$$

$$\bar{\tau} = \tau.$$

We have the following theorem from lecture:<sup>5</sup>

**Theorem** (The Fundamental Theorem of Curves). *Given an interval  $(a, b)$  containing 0, two smooth functions  $\bar{\kappa}, \bar{\tau} : (a, b) \rightarrow \mathbb{R}$  with  $\bar{\kappa} > 0$ , a point  $\mathbf{x}_0 \in \mathbb{R}^3$ , and an oriented orthonormal basis  $\{\mathbf{D}, \mathbf{E}, \mathbf{F}\} \subset \mathbb{R}^3$ , there is a unique curve  $\alpha$  with unit speed so that  $\alpha(0) = \mathbf{x}_0$ ,  $\mathbf{T}(0) = \mathbf{D}$ ,  $\mathbf{N}(0) = \mathbf{E}$ ,  $\mathbf{B}(0) = \mathbf{F}$  and  $\kappa(s) = \bar{\kappa}(s)$  and  $\tau(s) = \bar{\tau}(s)$ .*

That is, the curvature and torsion specify a curve up to a translation and rotation in  $\mathbb{R}^3$ . By the foregoing theorem, we can choose a basis in  $\mathbb{R}^3$  so that  $\alpha$  takes the form:<sup>6</sup>

$$\alpha(s) = (r \cos \omega s, r \sin \omega s, h \omega s)$$

where  $r, h \in (0, \infty)$  and  $\omega := 1/\sqrt{r^2 + h^2}$ . In this case,

$$\kappa = \left| \frac{d^2 \alpha}{ds^2} \right| = \omega^2 r > 0$$

which is constant in  $s$ . This implies that  $\kappa : \mathbb{R} \rightarrow \mathbb{R}$  is smooth in  $s$ . This further implies that  $\bar{\kappa}, \bar{\tau} : \mathbb{R} \rightarrow \mathbb{R}$  are smooth in  $s$ , and that  $\bar{\kappa} > 0$ . In particular, since  $\alpha$  and  $\beta$  have the same curvature and the same torsion, then by the fundamental theorem of curves, the two curves  $\alpha$  and  $\beta$  are identical up to position in space.

<sup>5</sup>Theorem 5.2 of Chapter 2 of Millman and Parker (page 42), i.e., the fundamental theorem of curves.

<sup>6</sup>This form of the helix was derived in lecture and proved to be unit speed, so I will omit the calculation.

### Problem 6.4

Find the curvature and torsion of  $\beta(t) = (e^t \cos t, e^t \sin t, e^t)$ .

#### Solution

Note that  $\beta$  is not unit-speed, so the canonical method of calculating curvature and torsion is not valid unless we reparameterize. However, the relationship between the arc length  $s$  and the parameter  $t$  is nonlinear, so it is better to avoid reparameterization in this case. We proved in lecture that<sup>7</sup> if  $\beta(t)$  is a regular curve that is not unit speed, then:

$$\begin{cases} \mathbf{T}(t) &= \dot{\beta} / |\dot{\beta}| \\ \mathbf{B}(t) &= \dot{\beta} \times \ddot{\beta} / |\dot{\beta} \times \ddot{\beta}| \\ \mathbf{N}(t) &= \mathbf{B} \times \mathbf{T} \\ \kappa(t) &= |\dot{\beta} \times \ddot{\beta}| / |\dot{\beta}|^3 \\ \tau(t) &= [\dot{\beta}, \ddot{\beta}, \ddot{\ddot{\beta}}] / |\dot{\beta} \times \ddot{\beta}|^2 \end{cases}$$

In the last line, I introduced the following notation:

$$[\mathbf{u}, \mathbf{v}, \mathbf{w}] := \mathbf{u} \cdot (\mathbf{v} \times \mathbf{w}),$$

i.e.,  $[\mathbf{u}, \mathbf{v}, \mathbf{w}]$  is the scalar triple product of  $\{\mathbf{u}, \mathbf{v}, \mathbf{w}\}$ . In any case, we need the derivatives of  $\beta$ . We have

$$\begin{aligned} \beta &= e^t(\cos t, \sin t, 1), \\ \dot{\beta} &= e^t(\cos t - \sin t, \cos t + \sin t, 1), \\ \ddot{\beta} &= e^t(-2 \sin t, 2 \cos t, 1), \\ \ddot{\ddot{\beta}} &= e^t(-2 \sin t - 2 \cos t, -2 \sin t + 2 \cos t, 1). \end{aligned}$$

Then

$$\dot{\beta} \times \ddot{\beta} = e^{2t} \begin{vmatrix} \mathbf{x} & \mathbf{y} & \mathbf{z} \\ \cos t - \sin t & \cos t + \sin t & 1 \\ -2 \sin t & 2 \cos t & 1 \end{vmatrix} = e^{2t}(\sin t - \cos t, -\sin t - \cos t, 2)$$

and so  $|\dot{\beta} \times \ddot{\beta}| = \sqrt{6}e^{2t}$ . Next,

$$[\dot{\beta}, \ddot{\beta}, \ddot{\ddot{\beta}}] = e^{3t} \begin{vmatrix} \cos t - \sin t & \cos t + \sin t & 1 \\ -2 \sin t & 2 \cos t & 1 \\ -2 \sin t - 2 \cos t & -2 \sin t + 2 \cos t & 1 \end{vmatrix} = 2e^{3t}.$$

Finally,

$$|\dot{\beta}| = e^t \sqrt{(\cos t - \sin t)^2 + (\cos t + \sin t)^2 + 1} = \sqrt{3}e^t.$$

Hence, the curvature and torsion read

$$\begin{aligned} \kappa(t) &= |\dot{\beta} \times \ddot{\beta}| / |\dot{\beta}|^3 = \frac{\sqrt{2}}{3}e^{-t}, \\ \tau(t) &= [\dot{\beta}, \ddot{\beta}, \ddot{\ddot{\beta}}] / |\dot{\beta} \times \ddot{\beta}|^2 = \frac{1}{3}e^{-t}. \end{aligned}$$

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<sup>7</sup>Proposition 6.1 of Chapter 2 of Millman and Parker (page 46).