

# COMP30026 Models of Computation

## Sets

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# This Lecture is Being Recorded



# Assignment 1

Assignment 1 was released on 1 September; it is due on 20 September. Solutions are submitted through Grok.

Matt has set up some supporting tools at <https://comp30026.far.in.net/puzzle>.

The “wires puzzle playground” will give you an appreciation of Challenge 3.

There is a leader-board for solutions to Challenge 4. That will give you a partial answer to the question “how few gates will do?”

Submission to the leader-board is optional and not related to submission for assessment (which must still happen on Grok).

Submission is also anonymous, and you can re-submit if you find a better design.

**“Definition”:** (Georg Cantor) A set is a collection into a whole of definite, distinct objects of our intuition or of our thought. The objects are called the elements (members) of the set.

**Notation:** We write  $a \in A$  to express that  $a$  is a member of set  $A$ .

**Examples:**  $42 \in \mathbb{N}$  and  $\pi \notin \mathbb{Q}$ .

**Principle of Extensionality:** For all sets  $A$  and  $B$  we have

$$A = B \Leftrightarrow \forall x (x \in A \Leftrightarrow x \in B)$$

# Set Notation

Small sets can be specified completely:  $\{-2, -1, 0, 1, 2\}$ ,  $\{\text{Huey, Dewey, Louie}\}$ ,  $\{\}$ . We often write the last one as  $\emptyset$ .

Note that, by the Principle of Extensionality, order and repetition are irrelevant, for example,

$$\{\{1, 2, 2\}, \{1\}, \{2, 1\}\} = \{\{1\}, \{1, 2\}\}$$

For large sets, including infinite sets, we have **set abstraction**:

If  $P$  is a property of objects  $x$  then the **abstraction**

$$\{x \mid P(x)\}$$

denotes the set of things  $x$  that have the property  $P$ . Hence  $a \in \{x \mid P(x)\}$  is equivalent to  $P(a)$ .

# Set Notation and Haskell's List Notation

Haskell's list notation is clearly inspired by set notation:

Haskell	Set notation
<code>[]</code>	$\{\}$
<code>[1,2,3]</code>	$\{1, 2, 3\}$
<code>[n   n &lt;- nats, even n]</code>	$\{n \in \mathbb{N} \mid \text{even}(n)\}$
<code>[f n   n &lt;- nats]</code>	$\{f(n) \mid n \in \mathbb{N}\}$
<code>[1,3..]</code>	$\{1, 3, \dots\}$

The dot-dot notation here assumes some systematic way of generating all elements (an **enumeration**).

# Well-Foundedness

Unfettered set abstraction is treacherous: There are sets for which  $E = \{x \mid E(x)\}$  does not hold. Call a set  $S$  **well-founded** if there is no infinite sequence  $S = S_0 \ni S_1 \ni S_2 \ni \dots$ , and consider the set  $W$  of all well-founded sets.

If  $W \in W$  then  $W \ni W \ni W \dots$ , and therefore  $W \notin W$ .

If  $W \notin W$  then there is some infinite sequence  $W = W_0 \ni W_1 \ni W_2 \dots$ . Since  $W_1 \ni W_2 \ni W_3 \dots$ ,  $W_1$  is not well-founded, that is,  $W_1 \notin W$ . This contradicts  $W = W_0 \ni W_1$ .

Bertrand Russell's famous "barber paradox" similarly considers a set property  $R = \{x \mid x \notin x\}$  which leads to an inconsistent set theory:

$$R \in R \Leftrightarrow R \notin R$$

# Sets and Types

One way (a crude way) to curb set theory so as to obtain consistency is to impose a system of **types**. In fact this was Russell's solution.

The purpose of the type discipline is to rule “ $S \in S$ ” inadmissible, by insisting that  $S$  cannot inhabit type “ $t$ ” and also “set of  $t$ ”.

Russell's type concept is the root of type disciplines used in many programming languages.



# The Subset Relation

$A$  is a **subset** of  $B$  iff  $\forall x (x \in A \Rightarrow x \in B)$ .

We write this as  $A \subseteq B$ .

If  $A \subseteq B$  and  $A \neq B$ , we say that  $A$  is a **proper subset** of  $B$ , and write this  $A \subset B$ .

Do not confuse  $\subseteq$  with  $\in$ . We have  $\{1\} \subseteq \{1, 2\}$ , but  $\{1\} \notin \{1, 2\}$ .

# The Subset Relation Is a Partial Ordering

For all sets  $A$ ,  $B$ , and  $C$ , we have

- $A \subseteq A$  (reflexivity)
- $A \subseteq B \wedge B \subseteq A \Rightarrow A = B$  (antisymmetry)
- $A \subseteq B \wedge B \subseteq C \Rightarrow A \subseteq C$  (transitivity)

These laws are easy to prove from the definition of  $\subseteq$ .

The three laws together state that  $\subseteq$  is a **partial ordering**.

# Special Sets

The empty set satisfies  $\emptyset \subseteq A$  for every set  $A$ .

A set with just a single element is a **singleton**.

For example,  $\{\{1, 2\}\}$  is a singleton (its only element is a set).

The set  $\{a\}$  should not be confused with its element  $a$ .

A set with two elements is a **pair**.

Ordinarily, and in programming languages, we refer to  $(1, 2)$  as a pair, but in set theory we would call that an **ordered** pair.

# Algebra of Sets

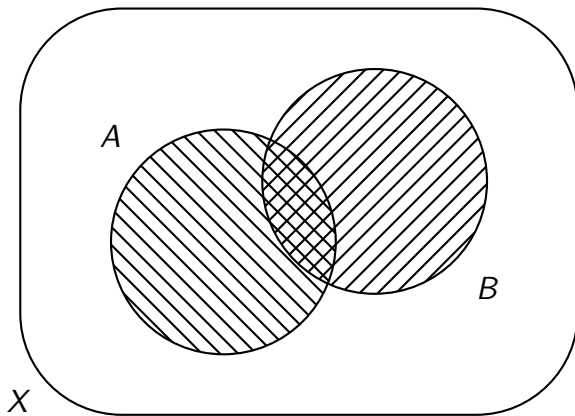
Let  $A$  and  $B$  be sets. Then

- $A \cap B = \{x \mid x \in A \wedge x \in B\}$  is the **intersection** of  $A$  and  $B$ ;
- $A \cup B = \{x \mid x \in A \vee x \in B\}$  is their **union**;
- $A \setminus B = \{x \mid x \in A \wedge x \notin B\}$  is their **difference**; and
- $A \oplus B = (A \setminus B) \cup (B \setminus A)$  is their **symmetric difference**.

In the presence of a set  $X$  of which all sets are considered subsets, we also define

- $A^c = X \setminus A$  is the **complement** of  $A$ .

# Venn Diagrams



# Some Laws

Absorption:  $A \cap A = A$   
 $A \cup A = A$

Commutativity:  $A \cap B = B \cap A$   
 $A \cup B = B \cup A$

Associativity:  $A \cap (B \cap C) = (A \cap B) \cap C$   
 $A \cup (B \cup C) = (A \cup B) \cup C$

Distributivity:  $A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$   
 $A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$

# More Laws

Double complement:  $A = (A^c)^c$

De Morgan:  $(A \cap B)^c = A^c \cup B^c$   
 $(A \cup B)^c = A^c \cap B^c$

Duality:  $X^c = \emptyset$  and  $\emptyset^c = X$

Identity:  $A \cup \emptyset = A$  and  $A \cap X = A$

Dominance:  $A \cap \emptyset = \emptyset$  and  $A \cup X = X$

Complementation:  $A \cap A^c = \emptyset$  and  $A \cup A^c = X$

# Subset Equivalences

Subset characterisation:  $A \subseteq B \equiv A = A \cap B \equiv B = A \cup B$

Contraposition:

$$A^c \subseteq B^c \equiv B \subseteq A$$
$$A \subseteq B^c \equiv B \subseteq A^c$$
$$A^c \subseteq B \equiv B^c \subseteq A$$



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All very similar to the equivalences we saw for propositional logic—just substitute  $\neg$  for complement,  $\wedge$  for  $\cap$ ,  $\vee$  for  $\cup$ ,  $\Rightarrow$  for  $\subseteq$ ,  $\perp$  for  $\emptyset$ , and  $\top$  for  $X$ .

# Powersets

The **powerset**  $\mathcal{P}(X)$  of the set  $X$  is the set  $\{A \mid A \subseteq X\}$  of all subsets of  $X$ .

In particular  $\emptyset$  and  $X$  are elements of  $\mathcal{P}(X)$ .

If  $X$  is finite, of cardinality  $n$ , then  $\mathcal{P}(X)$  is of cardinality  $2^n$ .

# Generalised Union and Intersection

Suppose we have a collection of sets  $A_i$ , one for each  $i$  in some (index) set  $I$ . For example,  $I$  may be  $\{1..99\}$ , or  $I$  may be infinite.

The **union** of the collection is

$$\bigcup_{i \in I} A_i = \{x \mid \exists i (i \in I \wedge x \in A_i)\}$$

The **intersection** of the sets is

$$\bigcap_{i \in I} A_i = \{x \mid \forall i (i \in I \Rightarrow x \in A_i)\}$$

# Ordered Pairs

Can we capture the notion of **ordered** pairs  $(a, b)$  with set-theoretic notions? We want this to hold:

$$(a, b) = (c, d) \Leftrightarrow a = c \wedge b = d$$

We can achieve this by defining

$$(a, b) = \{\{a\}, \{a, b\}\}$$

Hence we can freely use the notation  $(a, b)$  with the intuitive meaning.

# Cartesian Product and Tuples

The **Cartesian product** of  $A$  and  $B$  is defined

$$A \times B = \{(a, b) \mid a \in A \wedge b \in B\}$$

We define the set  $A^n$  of  **$n$ -tuples** over  $A$  as follows:

$$\begin{aligned} A^0 &= \{\emptyset\} \\ A^{n+1} &= A \times A^n \end{aligned}$$

Of course we shall write  $(a, b, c)$  rather than  $(a, (b, (c, \emptyset)))$ .

# Some Laws Involving Cartesian Product

$$(A \times B) \cap (C \times D) = (A \times D) \cap (C \times B)$$

$$(A \cap B) \times C = (A \times C) \cap (B \times C)$$

$$(A \cup B) \times C = (A \times C) \cup (B \times C)$$

$$(A \cap B) \times (C \cap D) = (A \times C) \cap (B \times D)$$

$$(A \cup B) \times (C \cup D) = (A \times C) \cup (A \times D) \cup (B \times C) \cup (B \times D)$$

# Relations

An  $n$ -ary **relation** is a set of  $n$ -tuples.

$$\left\{ \begin{array}{l} (MY255, \textit{Lagos}, \textit{Lusaka}, 1755), \\ (ZA942, \textit{Lima}, \textit{London}, 1015), \\ (BB114, \textit{Lyon}, \textit{Lodz}, 2220) \end{array} \right\}$$

That is, the relation is a subset of some Cartesian product  $A_1 \times A_2 \times \cdots \times A_n$ .

Or equivalently, we can think of a relation as a function from  $A_1 \times A_2 \times \cdots \times A_n$  to  $\{0, 1\}$ .

# After the Break

We take a closer look at **binary** relations, and a special variant of these, namely **functions**.