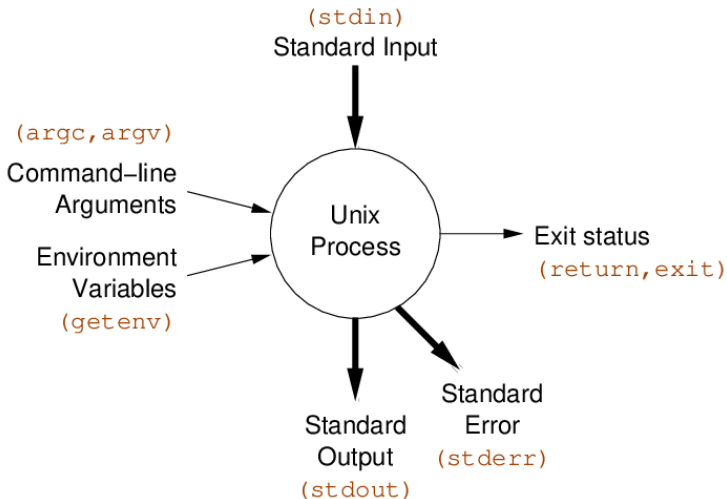


# Unix Processes

---

A Unix process executes in this environment



## Unix Processes: C programmer's view

---

Components of process environment (C programmer's view):

- `char *argv[]` - command line arguments
- `int argc` - size of `argv[]`
- `char *env[]` - name-value pairs from parent process
- `FILE *stdin` - input byte-stream, e.g. `getchar()`
- `FILE *stdout` - output byte-stream, e.g. `putchar()`
- `FILE *stderr` - output byte-stream, e.g. `fputc(c, stderr)`
- `exit(int)` - terminate program, set exit status
- `return int` - terminate `main()`, set exit status

## Output a file: C Code

---

```
// write bytes of stream to stdout
void process_stream(FILE *in) {
    while (1) {
        int ch = fgetc(in);
        if (ch == EOF)
            break;
        if (fputc(ch, stdout) == EOF) {
            fprintf(stderr, "cat:");
            perror("");
            exit(1);
        }
    }
}
```

## Process files/stdin: C Code

---

```
// process files given as arguments
// if no arguments process stdin
int main(int argc, char *argv[]) {
    if (argc == 1)
        process_stream(stdin);
    else
        for (int i = 1; i < argc; i++) {
            FILE *in = fopen(argv[i], "r");
            if (in == NULL) {
                fprintf(stderr, "cat: %s: ", argv[i]);
                perror("");
                return 1;
            }
            process_stream(in);
            fclose(in);
        }
    return 0;
}
```

## Count Lines, Words, Chars: C Code

```
// count lines, words, chars in stream
void count_file(FILE *in) {
    int n_lines = 0, n_words = 0, n_chars = 0;
    int in_word = 0, c;
    while ((c = fgetc(in)) != EOF) {
        n_chars++;
        if (c == '\n')
            n_lines++;
        if (isspace(c))
            in_word = 0;
        else if (!in_word) {
            in_word = 1;
            n_words++;
        }
    }
    printf("%6d %6d %6d", n_lines, n_words, n_chars)
}
```

# What is a filter?

---

*Filter:* a program that transforms a data stream.

On Unix, filters are commands that:

- read text from their standard input or specified files
- perform useful transformations on the text stream
- write the transformed text to their standard output

# What is a filter?

---

Example: `cat MyProg.c`

- reads the text of the program in the file `MyProg.c`
- writes the (untransformed) text to standard output (i.e. the screen)

Example: `cat <MyProg.c`

- the shell (command interpreter) connects the file `MyProg.c` to standard input of `cat`
- `cat` reads its standard input
- writes the (untransformed) text to standard output (i.e. the screen)

## Using Filters

---

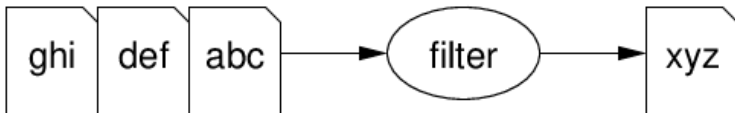
Shell I/O redirection can be used to specify filter source and destination:

**filter < abc > xyz**



Alternatively, most filters allow multiple sources to be specified:

**filter abc def ghi > xyz**





## Using Filters

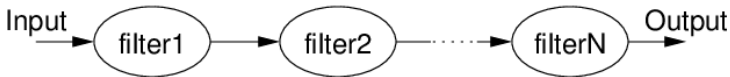
---

In isolation, filters are reasonably useful

In combination, they provide a very powerful problem-solving toolkit.

Filters are normally used in combination via a pipeline:

**filter1 | filter2 | ... | filterN**



Note: similar style of problem-solving to function composition.

# Using Filters

---

Unix filters use common conventions for command line arguments:

- input can be specified by a list of file names
- if no files are mentioned, the filter reads from standard input (which may have been connected to a file)
- the filename “-” corresponds to standard input

Examples:

```
# read from the file data1
filter data1
# or
filter < data1
```

```
# read from the files data1 data2 data3
filter data1 data2 data3
```

```
# read from data1, then stdin, then data2
filter data1 - data2
```

If filter doesn't cope with named sources, use cat at the start of the pipeline

## Using Filters

---

Filters normally perform multiple variations on a task. Selection of the variation is accomplished via command-line options:

- options are introduced by a - ("minus" or "dash")
- options have a "short" form, - followed by a single letter (e.g. -v)
- options have a "long" form, -- followed by a word (e.g. --verbose)
- short form options can usually be combined (e.g. -av vs -a -v)
- --help (or -?) often gives a list of all command-line options

## Filters: Option

---

Most filters have *many* options for controlling their behaviour.

Unix **man**ual entries describe how each option works.

To find what filters are available: `man -k keyword`

The solution to all your problems: **RTFM**

## Delimited Input

---

Many filters are able to work with text data formatted as *fields* (columns in spreadsheet terms).

Such filters typically have an option for specifying the delimiter or field separator.

(Unfortunately, they often make different assumptions about the default column separator)

Example (tab-separated columns):

John	99
Anne	75
Andrew	50
Tim	95
Arun	33
Sowmya	76

## Delimited Input

---

Example (verticalbar-separated columns, enrolment file):

COMP1011	2252424	Abbot, Andrew John	3727	1	M
COMP2011	2211222	Abdurjh, Saeed	3640	2	M
COMP1011	2250631	Accent, Aac-Ek-Murhg	3640	1	M
COMP1021	2250127	Addison, Blair	3971	1	F
COMP4012	2190705	Allen, David Peter	3645	4	M
COMP4910	2190705	Allen, David Pater	3645	4	M

Example (colon-separated columns, old Unix password file):

```
root:ZHolHAHZw8As2:0:0:root:/root:/bin/bash
jas:nJz3ru5a/44Ko:100:100:John Shepherd:/home/jas:/bin/bash
cs1021:iZ3s09005eZY6:101:101:COMP1021:/home/cs1021:/bin/bash
cs2041:rX9KwSSPqkLyA:102:102:COMP2041:/home/cs2041:/bin/bash
cs3311:mLRiCIvmtI902:103:103:COMP3311:/home/cs3311:/bin/bash
```

## cat: the simplest filter

---

The `cat` command copies its input to output unchanged (identity filter).

When supplied a list of file names, it **concatenates** them onto `stdout`.

Some options:

- n      **n**umber output lines (starting from 1)
- s      **s**queeze consecutive blank lines into single blank line
- v      display control-characters in **v**isible form (e.g. `^C`)

The `tac` command copies files, but reverses the order of lines.

## wc: word counter

---

The `wc` command is a summarizing filter.  
Useful with other filters to count things.

- c counts the number of **c**haracters (incl. n)
- w counts the number of **w**ords (non-white space)
- l counts the number of **l**ines

Some filters find counting so useful that they define their own options for it (e.g. `grep -c`)



## tr: transliterate characters

---

The `tr` command converts text char-by-char according to a mapping.

```
tr 'sourceChars' 'destChars' < dataFile
```

Each input character from *sourceChars* is mapped to the corresponding character in *destChars*.

Example:

```
tr 'abc' '123' < someText
```

Has *sourceChars*='abc', *destChars*='123', so:

$a \rightarrow 1$ ,  $b \rightarrow 2$ ,  $c \rightarrow 3$

Note: `tr` doesn't accept file name on command line.

## tr: transliterate characters

---

Characters that are not in *sourceChars* are copied unchanged to output.

If there is no corresponding character (i.e. *destChars* is shorter than *sourceChars*), then the last char in *destChars* is used.

Shorthands are available for specifying character lists:

E.g. 'a-z' is equivalent to 'abcdefghijklmnopqrstuvwxyz'

Note: newlines will be modified if the mapping specification requires it.

## tr: transliterate characters

---

Some options:

- c      map all characters *not* occurring in *sourceChars* (**c**omplement)
- s      **s**queeze adjacent repeated characters out (only copy the first)
- d      **d**elete all characters in *sourceChars* (no *destChars*)

## tr: transliterate characters

---

Examples:

```
# map all upper-case letters to lower-case equivalents
tr 'A-Z' 'a-z' < text
```

```
# simple encryption (a->b, b->c, ... z->a)
tr 'a-zA-Z' 'b-zaB-ZA' < text
```

```
# remove all digits from input
tr -d '0-9' < text
```

```
# break text file into individual words, one per line
tr -cs 'a-zA-Z0-9' '\n' < text
```

## head/tail: select lines

---

- `head` prints the first  $n$  (default 10) lines of input.  
E.g. `head file` prints first 10 lines of `file`.  
`-n` option changes number of lines `head/tail` prints.
- The `tail` prints the last  $n$  lines of input.  
E.g. `tail -n 30 file` prints last 30 lines of `file`.
- Combine `head` and `tail` to select a range of lines.  
E.g. `head -n 100 | tail -n 20` copies lines 81..100 to output.

With more than one file prefixes with name (see labs).

## egrep: select lines matching a pattern

---

The egrep command only copies to output those lines in the input that match a specified pattern.

The pattern is supplied as a regular expression on the command line (and should be quoted using single-quotes).

Some options:

- i ignore upper/lower-case difference in matching
- v only display lines that *do not match* the pattern
- w only match pattern if it makes a *complete* word

# The grep family

---

egrep is one of a group of related filters using different kinds of pattern match:

- grep uses a limited form of POSIX regular expressions (no + ? | or parentheses)
- egrep (extended grep) implements the full regex syntax
- fgrep finds any of several (maybe even thousands of) fixed strings using an optimised algorithm.

(The name grep is an acronym for **G**lobally search with **R**egular **E**xpressions and **P**rint)

# Regular Expressions

---

A *regular expression* (regex) defines a a set of strings.

A *regular expression* usually thought of as a pattern

Specifies a possibly infinite set of strings.

They can be succinct and powerful.

Regular expressions libraries are available for most languages.

In the Unix environment:

- a lot of data is available in plain text format
- many tools make use of regular expressions for searching
- effective use of regular expressions makes you more productive

A POSIX standard for regular expressions defines the "pattern language" used by many Unix tools.



# Regular expressions Basics

---

Regular expressions specify complex patterns concisely & precisely.

- Default: a character matches itself. E.g. **a** has no special meaning so it matches the character **a**.
- Repetition:  $p^*$  denotes zero or more repetitions of  $p$ .
- Alternation:  $pattern_1 \mid pattern_2$  denotes the union of  $pattern_1$  and  $pattern_2$ .

E.g. `perl|python|ruby` matches any of the strings `perl`, `python` or `ruby`

- Parentheses are used for grouping e.g.  $a(,a)^*$  denotes a comma separated list of  $a$ 's.
- The special meanings of characters can be removed by escaping them with `\` e.g. `\*` matches the `*` character anywhere in the input.

The above 5 special characters `()*—\` are sufficient to express any regular expression but many more features are present for convenience & clarity.

## Patterns for matching Single Characters

---

- The special pattern `.` (dot) matches any single character.
- Square brackets provide convenient matching of any **one** of a set of characters.  
`[listOfCharacters]` matches any single character from the list of characters. E.g. `[aeiou]` matches any vowel.
- A shorthand is available for ranges of characters `[first – last]`  
Examples: `[a-e]` `[a-z]` `[0-9]` `[a-zA-Z]` `[A-Za-z]`  
`[a-zA-Z0-9]`
- The matching can be inverted `[^listOfCharacters]`  
E.g. `[^a-e]` matches any character *except* one of the first five letters
- Other characters lose their special meaning inside bracket expressions.

# Anchoring Matches

---

We can insist that a pattern appears at the start or end of a string

- the start of the line is denoted by `^` (uparrow) E.g. `^[abc]` matches either a or b or c at the start of a string.
- the end of the line is denoted by `$` (dollar) E.g. `cat$` matches cat at the end of a string.

# Repetition

---

We can specify repetitions of patterns

- $p^*$  denotes **zero or more** repetitions of  $p$
- $p^+$  denotes **one or more** repetitions of  $p$
- $p^?$  denotes **zero or one** occurrence of  $p$

E.g.  $[0-9]^+$  matches any sequence of digits (i.e. matches integers)

E.g.  $[-'a-zA-Z]^+$  matches any sequence of letters/hyphens/apostrophes

(this pattern could be used to match words in a piece of English text, e.g. `it's`, `John`, ...)

E.g.  $[\wedge X]^*X$  matches any characters up to and including the first `X`

# Repetition

---

If a pattern can match several parts of the input, the first match is chosen.

Examples:

Pattern	Text (with match underlined)
<code>[0-9]+</code>	<code>i=<u>1234</u> j=56789</code>
<code>[ab]+</code>	<code><u>aabb</u>abababaaacabba</code>
<code>[+]+</code>	<code>C<u>++</u> is a hack</code>

# Regular Expression Examples

---

Regex	Matches
abc	the string of letters "abc" E.g. abc
a.c	strings of letters containing 'a' followed by 'c' with any single character in between E.g. abc, aac, acc, aXc, a2c, ...
ab*c	strings of letters containing 'a' followed by 'c' with any number of 'b' letters in between E.g. ac, abc, abbc, abbbc, ...
a the	either the string "a" or the string "the" E.g. a, the
[a-z]	any single lower-case letter E.g. a, b, c, ... z

## cut: vertical slice

---

The cut command prints selected parts of input lines.

- can select fields (assumes tab-separated columnated input)
- can select a range of character positions

Some options:

`-f listOfCols`

print only the specified fields (tab-separated)

`-c listOfPos`

print only chars in the specified positions

`-d 'c'`

use character *c* as the field separator

Lists are specified as ranges (e.g. 1-5) or comma-separated (e.g. 2,4,5).

## cut: vertical slice

---

Examples:

```
# print the first column
```

```
cut -f1 data
```

```
# print the first three columns
```

```
cut -f1-3 data
```

```
# print the first and fourth columns
```

```
cut -f1,4 data
```

```
# print all columns after the third
```

```
cut -f4- data
```

```
# print the first three columns, if '|' -separated
```

```
cut -d'|' -f1-3 data
```

```
# print the first five chars on each line
```

```
cut -c1-5 data
```

Unfortunately, there's no way to refer to "last column" without counting the columns.



## paste: combine files

---

The paste command displays several text files "in parallel" on output.

If the inputs are files a, b, c

- the first line of output is composed of the first lines of a, b, c
- the second line of output is composed of the second lines of a, b, c

Lines from each file are separated by a tab character or specified delimiter(s).

If files are different lengths, output has all lines from longest file, with empty strings for missing lines.

Interleaves lines instead with `-s` (serial) option.

## paste: combine files

---

Example: using paste to rebuild a file broken up by cut.

```
# assume "data" is a file with 3 tab-separated columns
cut -f1 data > data1
cut -f2 data > data2
cut -f3 data > data3
paste data1 data2 data3 > newdata
# "newdata" should look the same as "data"
```

## sort: sort lines

---

The `sort` command copies input to output but ensures that the output is arranged in some particular order of lines.

By default, sorting is based on the first characters in the line.

Other features of `sort`:

- understands that text data sometimes occurs in delimited fields.  
(so, can also sort fields (columns) other than the first (which is the default))
- can distinguish numbers and sort appropriately
- can ignore punctuation or case differences
- can sort files "in place" as well as behaving like a filter
- capable of sorting *very large* files

## sort: sort lines

---

Some options:

- r sort in descending order (reverse sort)
- n sort numerically rather than lexicographically
- d dictionary order: ignore non-letters and non-digits
- t 'c' use character *c* to separate columns (default: space)
- k*n*' sort on column *n*

Note: the ' ' around the separator char are usually not necessary, but are useful to prevent the shell from mis-interpreting shell meta-characters such as '| '.

Hint: to specify TAB as the field delimiter with an interactive shell like bash, type CTRL-v before pressing the TAB key.

## sort: sort lines

---

Examples:

```
# sort numbers in 3rd column in descending order  
sort -nr -k3 data
```

```
# sort the password file based on user name  
sort -t: -k5 /etc/passwd
```

## uniq: remove or count duplicates

---

The `uniq` command by default removes all but one copy of *adjacent* identical lines.

Some options:

- c           also print number of times each line is duplicated
- d           only print (one copy of) duplicated lines
- u           only print lines that occur uniquely (once only)

Surprisingly useful tool for summarising data, typically after extraction by `cut`. Always preceded by `sort` (why?).

```
# extract first field, sort, and tally
cut -f1 data | sort | uniq -c
```

## join: database operator

---

**join** merges two files using the values in a field in each file as a common key.

The key field can be in a different position in each file, but the files must be ordered on that field. The default key field is 1.

Some options:

- 1 *k*     key field in first file is *k*
- 2 *k*     key field in second file is *k*
- a *N*     print a line for each unpairable line in file *N* (1 or 2)
- i         ignore case
- t *c*     tab character is *c*

## join: database operator

---

Given these two data files (tab-separated fields)

# data1:

Bugs Bunny	1953
Daffy Duck	1948
Donald Duck	1939
Goofy	1952
Mickey Mouse	1937
Nemo	2003
Road Runner	1949

# data2:

Warners	Bugs Bunny
Warners	Daffy Duck
Disney	Goofy
Disney	Mickey Mouse
Pixar	Nemo

the command `join -t' ' -2 2 -a 1 data1 data2` gives

Bugs Bunny	1953	Warners
Daffy Duck	1948	Warners
Donald Duck	1939	
Goofy	1952	Disney
Mickey Mouse	1937	Disney
Nemo	2003	Pixar
Road Runner	1949	



## sed: stream editor

---

The sed command provides the power of interactive-style editing in “filter-mode”.

Invocation:

```
sed -e 'EditCommands' DataFile  
sed -f EditCommandFile DataFile
```

How sed works:

- read each line of input
- check if it matches any patterns or line-ranges
- apply related editing commands to the line
- write the transformed line to output

## sed: stream editor

---

The editing commands are very powerful and subsume the actions of many of the filters looked at so far.

In addition, sed can:

- partition lines based on patterns rather than columns
- extract ranges of lines based on patterns or line numbers

Option `-n` (**n**o printing):

- applies all editing commands as normal
- displays no output, unless `p` appended to edit command

## sed: stream editor

---

Editing commands:

p                    print the current line

d                    delete (don't print) the current line

*s/RegExp/Replace/*

                    substitute **first occurrence** of string matching  
                    *RegExp* by *Replace* string  
*s/RegExp/Replace/g*

                    substitute **all occurrences** of string matching  
                    *RegExp* by *Replace* string  
q                    terminate execution of sed

## sed: stream editor

---

All editing commands can be qualified by line addresses or line selector patterns to limit lines where command is applied:

*LineNo*            selects the specified line

*StartLineNo,EndLineNo*

                    selects all lines between specified line numbers

*/RegExp/*        selects all lines that match *RegExp*

*/RegExp1/,/RegExp2/*

                    selects all lines between lines matching reg exps

## sed: stream editor

---

Examples:

```
# print all lines
```

```
sed -n -e 'p' < file
```

```
# print the first 10 lines
```

```
sed -e '10q' < file
```

```
sed -n -e '1,10p' < file
```

```
# print lines 81 to 100
```

```
sed -n -e '81,100p' < file
```

```
# print the last 10 lines of the file?
```

```
sed -n -e '$-10,$p' < file # does NOT work
```

## sed: stream editor

---

### More Examples:

```
# print only lines containing 'xyz'
```

```
sed -n -e '/xyz/p' < file
```

```
# print only lines NOT containing 'xyz'
```

```
sed -e '/xyz/d' < file
```

```
# show the passwd file, displaying only the
```

```
# lines from "root" up to "nobody" (i.e. system accounts)
```

```
sed -n -e '/^root/,/^nobody/p' /etc/passwd
```

```
# remove first column from ':'-separated file
```

```
sed -e 's/[^:]*:/' datafile
```

```
# reverse the order of the first two columns
```

```
sed -e 's/\([^:]*\):\([^:]*\):\(.*\)$/\2:\1:\3/'
```

## find: search for files

---

The `find` commands allows you to search for files based on specified properties (a filter for the file system)

- searches an entire directory tree, testing each file for the required property.
- takes some action for all "matching" files (usually just print the file name)

Invocation:

```
find StartDirectory Tests Actions
```

where

- the *Tests* examine file properties like name, type, modification date
- the *Actions* can be simply to print the name or execute an arbitrary command on the matched file

## find: search for files

---

Examples:

```
# find all the HTML files below /home/jas/web
find /home/jas/web -name '*.html' -print
```

```
# find all your files/dirs changed in the last 2 days
find ~ -mtime -2 -print
```

```
# show info on files changed in the last 2 days
find ~ -mtime -2 -type f -exec ls -l {} \;
```

```
# show info on directories changed in the last week
find ~ -mtime -7 -type d -exec ls -ld {} \;
```

```
# find directories either new or with '07' in their name
find ~ -type d \( -name '*07*' -o -mtime -1 \) -pr
```



## find: search for files

---

More Examples:

```
# find all {\it{new}} HTML files below /home/jas/web
find /home/jas/web -name '*.html' -mtime -1 -print
```

```
# find background colours in my HTML files
find ~/web -name '*.html' -exec grep -H 'bgcolor' {} \;
```

```
# above could also be accomplished via ...
grep -r 'bgcolor' ~/web
```

```
# make sure that all HTML files are accessible
find ~/web -name '*.html' -exec chmod 644 {} \;
```

```
# remove any really old files ... Danger!
find /hot/new/stuff -type f -mtime +364 -exec rm {} \;
find /hot/new/stuff -type f -mtime +364 -ok rm {} \;
```

## Filter summary by type

---

- *Horizontal slicing* - select subset of lines:  
cat, head, tail, \*grep, sed, uniq
- *Vertical slicing* - select subset of columns: cut, sed
- *Substitution*: tr, sed
- *Aggregation, simple statistics*: wc, uniq
- *Assembly* - combining data sources: paste, join
- *Reordering*: sort
- *Viewing* (always end of pipeline): more, less
- *File system filter*: find
- *Programmable filters*: sed, (and perl)