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Measuring Food and Nutrition Security: Tools and Considerations for Use Among People Living with HIV

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Abstract As an increasing number of countries implement integrated food and nutrition security (FNS) and HIV programs, global stakeholders need clarity on how to best measure FNS at the individual and household level. This paper reviews prominent FNS measurement tools, and describes considerations for interpretation in the context of HIV. There exist a range of FNS measurement tools and many have been adapted for use in HIV-endemic settings. Considerations in selecting appropriate tools include subtypes (food sufficiency, dietary diversity and food safety); scope/level of application; and available resources. Tools need to reflect both the needs of PLHIV and affected households and FNS program objectives. Generalized food sufficiency and dietary diversity tools may provide adequate measures of FNS in PLHIV for programmatic applications. Food consumption measurement tools provide further data for clinical or research applications. Measurement of food safety is an important, but underdeveloped aspect of assessment, especially for PLHIV.

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Introduction

While the bi-directional linkages between food and nutrition security (FNS) and HIV/AIDS are widely acknowledged [1, 2], the integration of FNS into HIV interventions at clinical and programmatic levels still lacks harmonized definitions and indicators [3]. Global stakeholders are working to standardize FNS program monitoring and evaluation indicators for HIV to inform program design and implementation for people living with HIV (PLHIV) and in HIV-endemic settings. Developing these and standardizing their use across bilateral and multilateral organizations offers opportunities for better assessing population needs, for improving the tracking of programmatic outcomes and ultimately for informing evidencebased policies and programs which effectively integrate FNS and HIV [4]. Even when program managers and policy-makers adopt these harmonized definitions and population-level indicators for their high-level reporting, there will also be a need to ensure that program managers, clinicians and researchers are measuring FNS in a way that both contribute to these higher level indicators, while also providing information relevant for their programmatic and clinical decision-making. The choice of tool to assess FNS in PLHIV as well as those affected varies as a function of why the information is gathered: research; programmatic needs assessment, program design, monitoring and evaluation; or individual clinical management. The potential range of interventions and responses also impact on the choice of tool as does whether or not the main objective is improving or stabilizing individual or household food security, improving adherence to treatment or retention in care, or improving nutritional status.

This paper therefore reviews prominent FNS and food consumption measurement instruments that can be used to assess individual and household-level food and nutrition insecurity. We describe tools that may be used to measure general food insecurity, highlighting instruments that have been used and validated in HIV-endemic settings. We review FNS measures that can be helpful for understanding subcomponents (i.e. sufficiency, quality and safety) of food insecurity, dividing them into unidimensional and multidimensional categories [4]. Throughout the paper we describe practical and theoretical considerations that stakeholders must consider when estimating food insecurity at individual and household levels, including effects of HIV illness, antiretroviral therapy (ART) use, the global emergence of 'overnutrition', and the unique vulnerabilities of certain populations.

Background and Key Considerations for Measurement

What makes HIV unique in terms of its impact on FNS is the number of factors that intersect. These factors include the physiological as well as the economic, socio-cultural and/or psychosocial. Researchers, program designers and policymakers need to understand how HIV affects these factors at the individual and household levels. At the individual level, food, nutrition and HIV are closely physiologically interrelated [5-8]. Macronutrient, micronutrient and energy deficiencies are well-documented complications of HIV infection both before and during highly active antiretroviral therapy (HAART). Common manifestations of these deficiencies include anemia, other micronutrient deficiencies and HIV-associated wasting. Deficiencies in vitamin A, B12, selenium and zinc have been reported and are associated with progression of HIV infection [9–11]. HIV infection is a chronic inflammatory state that has been found to increase energy needs above normal requirements [12, 13]. Foodborne illnesses may also contribute to malnutrition in PLHIV given their increased susceptibility to these types of infections. PLHIV often experience severe diarrhea and fever, thereby increasing nutrient losses as well as nutrient needs [14]. Poor nutritional status can impact on immune function and mortality rates are higher among malnourished PLHIV, including those on HAART [8].

HIV-related complications of HAART such as fat redistribution syndrome (lipodystrophy) and unbalanced diets (with overconsumption of some food types, often high in sugar and fat, and underconsumption of others rich in protein, macrominerals and micronutrients such as meat, fish, dairy, fruits and vegetables) may make it difficult to detect malnutrition by just using anthropometric measurements such as body mass index (BMI) or mid-upper arm circumference (MUAC) [15], the simple tools available and commonly used in low income contexts. As an ever larger percentage of the general population is overweight or obese in many low income settings [16], it may be harder to link such conditions to HIV. In many cases, HIV may make the consequences of poor dietary intake worse with the difference between an HIV positive and an HIV negative person being the extent to which they suffer from malnutrition-related challenges. This may mean that actual malnutrition rates due to HIV may often be underestimated among PLHIV.

Nevertheless, HAART has had some positive impacts on nutritional status in the context of HIV, and has been associated with improvements in BMI, growth, and nutrient status [17–20]. However, a lot of the literature has focused on undernourished PLHIV graduating from undernutrition while not being sensitive to often significant increases in the percentage of overweight patients. Finally, HIV infection is a chronic and life-long condition and its impact on FNS at the individual level may be far reaching. Evidence shows that PLHIV may face a higher risk of chronic diseases such as diabetes and cardio-vascular disease [20, 21], conditions which also require careful nutritional management. Food insecurity and elderly PLHIV is also emerging as an important issue [22, 23].

While there is much focus in the literature on the HIVinfected individual, individuals live in households which care for them and to whose income they contribute. They share income and expenditures, and there is ample evidence how disease can increase household expenditures while often simultaneously reducing its income, especially when the infected person is the breadwinner. The risk of engaging in irreversible negative coping behaviours to mitigate the impact of HIV on them is widely documented [24]. HIV may also reduce household income due to illness or death and resources may be diverted to pay for treatment-related or funeral expenses. Affordability determines economic access, which is a critical component of household FNS in addition to availability as well as utilization. Household food access can be described as the "ability to acquire sufficient quality and quantity of food to meet all members' nutritional requirements for productive lives" [25].

Dietary sufficiency and dietary diversity can be measured at both the individual and household level. While household members share meals, we often insufficiently understand how intra-household distribution works and affects individuals. Measuring them at both levels can help shed light, especially if we have reason to believe that PLHIV may be discriminated against for any potential



reason (gender, age, HIV-related stigma etc.). As meals are shared, food safety is the same for all household members, but when food safety issues are present, they may affect the vulnerable household members more negatively. This would include the PLHIV, but also people who are sick, young children, the elderly or pregnant and lactating women.

Finally there are cultural factors which affect individuals and households alike. PLHIV and their close contacts are often impacted by multiple layers of stigma [26, 27], rarely seen with other medical conditions [28–30]. The stigma of having HIV may be exacerbated by discrimination directed towards key populations such as men who have sex with men (MSMs), illicit drug users, and sex workers. Like other PLHIV, these groups may face competing demands for resources [31, 32], limiting their access to food and, therefore, the quality and quantity of food they consume. Also, stigma may cause them to start treatment much later than their peers, when their health and nutritional status are likely to have deteriorated significantly.

Food and Nutrition Scurity Measures

The range of tools used to measure FNS is broad, and previous publications have reviewed the evolution of select FNS measures and compared and contrasted their utility in a wide range of settings and populations [33-35]. Numerous survey scales and metrics have been developed to measure FNS and are extensively used internationally with many populations including PLHIV. Some of these have also been used and validated in HIV-endemic settings, offering opportunity for expanded use and for cross-cultural comparisons. Table 1 provides a summary of commonly applied FNS measurement tools that have been validated in one or more HIV-endemic countries, as well as in other settings. Validation in these settings is important as it allows for more confidence in the utility of the tools in different countries and populations. Although validated in HIV-endemic countries, there is limited evidence of these tools being applied among populations of PLHIV more explicitly. Among the tools listed in Table 1 for example, we were unable to locate any publications where the Women's Dietary Diversity Scale (WDDS) was used among PLHIV specifically.

Many multilateral and bilateral organizations such as the World Food Programme (WFP), International Food Policy Research Institute (IFPRI), and the Food and Nutrition Technical Assistance program (FANTA) have taken the lead in developing and adapting metrics for use in low-resource settings, for example the Household Dietary Diversity Score (HDDS), Months of Adequate Household Food Provisioning (MAHFP), the Food Consumption

Score for measurement of household food access [25, 36, 37]. They are widely used by the United Nations WFP, but less typically with PLHIV.

One of the earliest tools to measure FNS is the Radimer-Cornell scale which was used as the basis for many of the subsequent tools including the most widely used and adapted food security scale from the USDA's Household Food Security Survey Module (HFSSM) and the Household Food Insecurity Access Scale (HFIAS) [38] and adapted Canadian versions of the module [39], offering some degree of geographic and temporal comparability. More recently, FANTA has developed a cross-culturally validated Household Hunger Scale (HHS) [40]. These generalized FNS measurement tools include questions such as "In the past 4 weeks, did you or any household member have to eat fewer meals in a day because there was not enough food?" (sample question taken from the HFIAS [41]). Over the years, many international HIV cohort studies have applied these tools. For example, several HIV cohorts in the US and Canada have relied on modified versions of the Radimer-Cornell scale [42-46]. The HFIAS has been used in several HIV cohorts in the US [47, 48], and among HIV-positive populations in Zambia, Uganda and Kenya [49–51]. The Household Food Security Scale-Short Form (HFSS-SF) was recently applied in cohorts of PLHIV in Tanzania [52] and Vietnam [53].

Food and nutrition insecurity measurement tools can be understood as those that measure general food insecurity such as the surveys described above and/or its three subcomponents of food insufficiency, poor dietary diversity, and poor food safety. For a detailed description of these terms and their implications, see Anema et al. [4]. Briefly, food insufficiency describes a lack of adequate caloric intake to meet energy requirements; poor dietary diversity implies a lack of dietary quality and inability to meet nutrient requirements (i.e. inadequate amounts of individual macro and micro-nutrients); and poor food safety represents an increased risk of foodborne illness due to contamination.

Measurement of these three sub-types of food and nutrition insecurity in HIV-positive populations may include: surveys of food insecurity components, and dietary intake assessment. Dietary sufficiency and diversity can be measured using survey tools that typically ask for type, amounts and/or frequency of consumption of various foods and food groups based on recalls. Dietary quantity and quality is then derived and interpreted from the data, based on the assumption that greater dietary sufficiency represents greater dietary quantity and that dietary diversity represents greater dietary quality (nutrient needs are better met). Food groups such as meat, fish, dairy, fortified foods, vegetables and fruits contribute many essential macro and micronutrients. Food safety can be measured using direct



Table 1 Descriptive characteristics of popular FNS measures validated in one or more HIV-endemic countries

Name of tool	Main author	Description	Study settings	Supporting references
Household Food Insecurity Access Scale (HFIAS)	FANTA; Coates 2007	A 9-item questionnaire assessing household food insecurity on 3 domains: anxiety about household food access; insufficient quality of food; insufficient food intake. This led to the cross-culturally validated 3-item Household Hunger Scale (HHS)	Mozambique, Malawi, Kenya, Zimbabwe, South Africa, Burkina Faso, Tanzania, West Bank/Gaza Strip	Deitchler et al. [102], Becquey et al. [103], Knueppel et al. [104], Swindale and Bilinsky [105]
Household Food and Nutrition Security Survey Module (HFSSM/HFSS/ FSS)	USDA 2012	18-item scale (15 stem items, 3 frequency questions) evaluating household food insecurity in the past year. It has been widely used and adapted to different ethnic and cultural groups. Blumberg's 6-item short form also validated among adults and adolescents	United States, Trinidad; Hawaii; Brazil; Iran	Munoz-Astudillo [116], Rafiei et al. [115], Hromi-Fiedler et al. [113, 114], Gulliford [112], Perez-Escammilla et al. [106], Opsomer et al. [111], Derrickson et al. [107], Blumberg et al. [108], Frongillo et al. [109, 110]
Children's Food and Nutrition Security Scale	Nord and Bickel 2002	8-items specifically for child food and nutrition security embedded within the 18 item HFSSM and form the Children's Food and nutrition security Scale. Nine of original 18 items also adapted by Connell for a self-administration among older children (12–15 years)	United States, Trinidad & Tobago	Gulliford et al. [117], Nord and Hopwood [118], Connell et al. [119]
Radimer/Cornell Food and Nutrition Security Scale	Radimer et al. 1990	13-item scale evaluating household level, measuring adult level and child level food insecurity. Each level is sub-divided to assess food sufficiency and food quality. Food insecurity is present with affirmative responses to one or more items	US; Canada; Tanzania; Iran, Venezuela	Shoae et al. [120], Leyna et al. [121], Kendall et al. [122], Radimer et al. [35], Hamelin et al. [123], Albert and Sanjur [124]
Coping Strategies Index (CSI)	CARE/ WFP; Maxwell and Caldwell 2008	13-item scale evaluating how households manage to cope with a shortfall in food for consumption. This does not directly measure household food consumption but "coping strategies" correlated with food and nutrition security and dietary factors	Uganda, Ghana, Kenya, Ethiopia, Eritrea, Zimbabwe, Zambia, Malawi, Lesotho, Mozambique, Swaziland, Burundi, Sierra Leone, Tanzania	Maxwell et al. [125–127]
Household Dietary Diversity Scale (HDDS)	FANTA; Swindale and Bilinsky 2006	Measures food intake based on a day's recall of 12 food groups: cereals, root and tubers, vegetables, fruits, meat/poultry/offal, eggs, fish/seafood, pulses/legumes/nuts, milk/dairy, oil/fats, sugar/honey, miscellaneous. HDDS Score ranges from 0 to 12 with higher values representing more dietary diversity	Mozambique, Somalia, Burkina Faso	Kennedy et al. [128], Becquey et al. [103]
Food Consumption Score (FCS)	WFP 2008	A weighted score calculated using the frequency of consumption of different food groups consumed by a household during a 7-day recall period. Scoring: 0–21 poor, 21.5–35 borderline, >35 acceptable	Haiti, Burundi, Sri Lanka	Kennedy et al. [128], Wiesmann et al. [37]
Women's Dietary Diversity Scale (WDDS)	FANTA; FAO 2010 [129]	Based on the HDDS, dietary data is collected through qualitative list-based questionnaires on food groups consumed and quantitative 24-h recall. 9 food group diversity indicators were selected (FGIs)	Burkina Faso, Mali, Bangladesh, Mozambique, Philippines	Arimond et al. [130], Martin-Prevel [131]



measures of foodborne pathogens or indirect measures such as self-report surveys related to cooking, hygiene, and sanitation practices.

While access to a nutritionally adequate diet is one enabler of a healthy nutritional status, availability and utilization are also important to consider. In some contexts, nutritious food may not be available due to the lack of functioning markets. At times, it may not be available for part of the year, typically during the lean season. Other times, it is available, but at unaffordable prices, which then translates into an access problem. More importantly, HIV affects the metabolism. Those infected do not only need more energy and proportionally more of different nutrients, but at times also suffer from a reduced ability to consume food (lack of appetite, mouth sores etc.) and digest it (nutrient absorption is reduced, and losses increased, for example due to diarrhea) [1]. Malnutrition or undernutrition as measured by nutritional screens and/or anthropometric measurements is often the result of both an inadequate access to food as well as poor utilization by the body. HIV, especially when symptomatic, prevents the body from making optimal use of the nutrients. Therefore, a low BMI, a low MUAC or micronutrient deficiencies are very common among PLHIV. WFP has assessed the nutritional status of adult PLHIV, usually in the early phase of treatment, using BMI in a number of contexts. The results show a range of between 12 and 58 % undernourished, when using the definition of a BMI below 18.5. These results are usually caused by a combination of poor food access and utilization. In the following section we will focus on measuring different components of food and nutrition insecurity as the possible causes of poor nutritional outcomes, while anthropometric measurements are covered elsewhere [54].

Different FNS measurement tools can be classified into those which look at a single dimension (either caloric sufficiency, dietary diversity or food safety) and those that combine two or more aspects. Unidimensional tools can be simpler to implement given their brevity and offer actionable information regarding individual and household needs. However, they do not typically provide holistic understanding of food and nutrition insecurity, which by definition includes multiple dimensions. Table 2 provides a general overview of advantages and disadvantages of many of these commonly used tools.

¹ Data unpublished. Most assessments looked at patients in the first year after starting ART. Swaziland had the lowest rate of undernutrition with 12 %. Nambibia had 17 %, Mozambique 25 %, Burundi 26 %. Somalia (includes ART and DOTS patients) and Djibouti had the highest rates with 44 % in Somalia (includes ART and DOTS patients) and 38 % (ART patients) and 58 % (coinfected patients) in Djibouti.



Tools measuring food sufficiency, diversity, and safety can provide the information that an individual or household is food insecure; however, in isolation these tools may not provide enough contextual information to guide a comprehensive intervention to improve FNS. Often it is important to use additional sources such as qualitative research to understand the causes for the FNS situation. Is it caused by an agricultural crisis? Is it due to poverty, addictions or conflict? Is it caused by illness? Is it a food access or food utilization issue? This is particularly important for PLHIV whose consumption may be reduced, not because of poor access to food, but because of feeling nauseous, lack of appetite or suffering from frequent bouts of diarrhea. Additional, often qualitative, information can be useful in better understanding the causes of food and nutrition insecurity, which is a prerequisite for many policy and program decisions for addressing them.

Unidimensional Measures

Food Insufficiency Measures

Food insufficiency is often measured using single or combined items from survey scales. A commonly accepted valid single-item measurement of food insufficiency used in epidemiological studies is the response "not enough to eat" to the question "which of the following describes the amount of food your household has to eatenough to eat, sometimes not enough to eat or often not enough to eat?" [55, 56]. Alternatively, individual-level measures of sufficiency can use dietary intake measures with calculations of energy intake and expenditure; the latter using techniques such as the Harris Benedict Equation with a 1.3 stress factor for HIV/AIDS [13] or based on the Centers for Disease Control and Prevention (CDC) clinical staging with Category A (30-35 kcals/kg), category B (35-40 kcals/kg), and category C (40-50 kcals/kg) [57, 58].

The value of these food insufficiency survey scales is that they provide an efficient and inexpensive measure of FNS at the individual and/or household levels, helping to facilitate rapid programmatic needs assessment. However, they do not provide direct measures of food consumption and/or diet quality. Diet quality is also important for PLHIV who have increased nutritional requirements. A diet which is adequate in kcal to maintain a normal or even overweight BMI may mask inadequate intake of some macro and micronutrients. These diets may imply excessive consumption of certain nutrients such as fat and carbohydrates, but inadequate in others such as micronutrients and essential amino acids and fatty acids. An increasing concern is the issue of "hidden hunger" [59],

Table 2 Advantages and disadvantages of select FNS measures applied with PLHIV

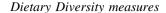
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Measure	Principle	Advantages	Disadvantages
Food and Nutrition Security Survey Scales	Using constructs, measures level or degree of food insecurity and its subcomponents of dietary diversity, food insufficiency & hunger, and food safety	Inexpensive Provides individual, household, and population level data that can be used in epidemiologic studies with PLHIVs	Does not provide direct measures of food consumption or nutritional deficiencies but uses proxy measures Many are currently not validated for at risk or HIV-positive populations Require advanced analytical techniques to generate findings
24-h Recall	Individual or household dietary intake measure of food intake over the preceding 24-h period, to examine food consumption, energy, macro and micro nutrients	Measures usual food consumption over the short or long term (with multiple day measures) Measures both dietary diversity and sufficiency and identifies at-risk individuals and/or households Interview format is good for low literacy levels and multiple languages Often preferred as a dietary measure for vulnerable populations	High intra-subject variability Need multiple recalls with same person to capture usual intake and time-intensive Difficulty with portion size measurement due to subjective estimates Nutrient analysis requires high level of training and may require expensive software Requires locally relevant food composition tables and some foods may not be listed Subject to recall bias as it relies on memory of previous day (however less than those that require recall of multiple days)
Food Frequency Questionnaires	Individual or household dietary intake measure of frequency of foods or food groups consumed over a specific period of time (commonly 1–7 days)	Depending on type and scope, can measure the dietary diversity and/or enable to make assumptions about nutrient intake May be administered in a survey or interview format and in short or long formats Shorter format FFQs (e.g. by food groups) allow for expedited administration and analysis Identifies at-risk households and individuals	May overestimate food consumption in populations Does not allow to make conclusions about actual dietary intake or consumed quantities for specific nutrients Requires locally relevant food lists and/or composition tables Subject to recall bias, especially with longer recall periods
Food Records	Individual or household dietary intake measure of food eaten over a specific period of time (commonly 3 days) and includes recording and weighing of food ingredients/items	Considered the gold standard for measuring dietary intake Can measure both dietary diversity and sufficiency through nutrient intake Identifies at-risk households and individuals	Requires high levels of motivation, understanding, numeracy and literacy and therefore not appropriate for many HIV-positive populations May be more subject to over or under reporting bias or changes to intake to simplify recording Nutrient analysis requires high level of training and can require expensive software



or micronutrient deficiencies. In a study of Brazilian PLHIV on HAART, being overweight was associated with poorer diet quality [60]. This double burden of malnutrition and overweight/obesity is an emerging problem for PLHIV, particularly in middle income countries. In South Africa, a 2013 study reported that stunting in children and adolescent obesity co-exist in an HIV-endemic population [61]. A study using data from 40 countries in Sub-Saharan Africa showed that populations with high levels of stunting and infant mortality also have high rates of maternal obesity [16]. This finding is not surprising as stunting is a major cause of child mortality [62], while stunted children are also more likely to face obesity later in life [63].

Diet quality and safety may be particularly compromised for some key populations such as PLHIV who use drugs. Given the competing demands on limited resources, drug users often have to trade off drug use, diet quality and diet sufficiency. This often results in the consumption of large quantities of inexpensive high-fat and high-sugar foods which fill the stomach, but do not provide the required nutrients for a healthy and active life. Much less do they meet the increased nutrient needs of a PLHIV. The same phenomenon may occur with other PLHIV living in poverty, but its incidence may be higher among drug users [64]. This information on dietary quality would not be captured using a food insufficiency scale, nor would food safety issues be identified. Neglecting to measure dietary quality and/or safety may lead to underestimate food and nutrition insecurity.

Another limitation is that some of these measures have not been validated cross-culturally, including in HIVendemic populations. Also, there is an insufficient emphasis on the importance to collect data for both infected individuals and all household members. For example in the HFSSM, where only household-level data is collected, no emphasis is placed on assessing the food insufficiency of children in a household even though household resources may need to be diverted to protect the food intake of children. There may be large variations in intra-household food allocation. For example, in some cultures the breadwinner's food intake is protected first to ensure continued household income. When measured at the household level only, important intra-household variations may be masked such as differing consumption patterns at an individual level influenced by such factors as age and gender-related inequities or HIV stigma and discrimination [65]. It is not only important to understand intra-household distribution (or individual consumption), but also crucial to compare the data collected against the specific dietary needs of the HIV-infected individual which are typically higher than those of other household members.



Dietary diversity measures typically look at dietary quality, but do not usually measure quantities consumed. Rather, assumptions on the nutritional adequacy of a diet are made based on the number of different food groups from which foods are consumed and on the frequency of consuming certain foods or specific food groups.

Food frequency questionnaires (FFQs) are designed to obtain qualitative or semi-quantitative descriptive information about usual food consumption patterns by assessing the frequency with which certain food groups are consumed over a particular time period [66]. Examples of these types of food consumption measures range in scope from the commonly used 98-food items Block FFQ [67] to quick categorizations based on consumption of seven culturally-based food groups [68]. In an international context, modified version of this type of questionnaire has been used by some organizations and researchers [25, 68]. Electronic forms are currently being popularized for FFQs as well in some regions such as the Guatemala's Institute of Nutrition of Central America and Panama (INCAP) electronic FFQ [69]. Although this work is not specifically related to HIV there may be lessons learnt that can be applied in HIV and food security work.

Studies that measure dietary diversity using analysis of food groupings have shown that HIV-positive people often have poor diet composition, often with high numbers of starchy foods and low numbers of protein and micronutrient-dense food [70, 71]. For example, Duran et al. [71] used repeat 24-h recalls and the Healthy Eating Index in 56 HIV-positive people living in Brazil. Mean scores were low for fruits and vegetables, dairy products, and dietary fiber. This study concluded that this population needed dietary improvements and that being overweight was associated with poorer diet quality.

Food frequency tools are highly variable and may be adapted to various populations and HIV-endemic settings [72–75]. Perhaps most notable, several studies have used the HDDS [25] or an adapted HDDS to measure dietary quality among HIV-positive people in Ethiopia [76], Malawi [77] and Uganda [68]. The HDDS is used widely programmatically and typically measures dietary diversity at household level. An adapted HDDS has also been used in connection to studies of infants and children [68, 78]. Studies that measure dietary diversity using analysis of food groupings have shown that HIV-positive people, not unlike other poor populations, often consume poor diets, characterized by high frequency of starchy foods and low frequency of protein and micronutrient-dense food types [60, 70, 79, 80]. However, few if any studies compare the dietary diversity of HIV-positive and HIV-negative participants.



Similarly to an abbreviated FFQ, the HDDS uses groupings of food into categories for example meats, dairy, vegetables, fruit, grains, pulses, fats and sugars [25]. Participants are asked whether they consumed food from any of these groups over the previous 24-h and each grouping is given a value of 1 or 0. The strengths of this tool include that it captures some data on diet quality, while being simpler than the time-intensive and expensive food consumption measures described below. One weakness is that quantity is not captured. When people consume tiny quantities from a specific food group that may not be adequate to meet their needs, the tool will likely exaggerate the quality of their diets. This is an issue particularly in cultures where food is consumed with sauces or condiments which include ingredients from a nutritious food group, but where the quantity consumed does not result in a significant nutrient intake (for example fish sauce in Asia). Also within some food groups, one can often find a wide range of qualities which the tool cannot capture. The HDDS does not include detailed dietary intake data that would be helpful to inform individual or household level nutrition and FNS counseling or other interventions. Details of quantity, variety within food grouping and meal frequency are not captured. Nevertheless, it is often possible to draw conclusions which allow programmatic decisions. For example, when dietary diversity is poor, micronutrient deficiencies are likely (and maybe data on such deficiencies exist and can be used to triangulate) and the inclusion of a fortified staple or supplement may be justified.

Finally, another key issue with tools such as the HDDS is that they are household measures. HIV is a health condition, and the FNS picture of an HIV-infected person may differ from that of others in the household. In programs or research where PLHIV themselves are being targeted, the HDDS may best be modified to collect an individual rather than a household score. While not limited to HIV, infant and young child feeding programs face the same issue in that a child's needs differ a lot from those of other household members and intra-household distribution may affect intake. This has led WHO to recommend collecting dietary diversity at the individual level for infants and young children. WHO has developed a specific tool to capture these data, entitled "Indicators for assessing infant and young child feeding practices" [81].

Food Safety

The concept of food safety security is gaining momentum in the context of global efforts towards surveillance of microbial and chemical contamination of food [82–84]. However, in the context of HIV research and programming, issues of food safety and hygiene for adults and children

have often been overlooked. This is despite the fact that from the early days of the HIV epidemic the often resulting gastrointestinal symptoms are estimated to be twice as prevalent in patients in developing countries than in the US or Europe. Pregnant and lactating women, children, the elderly, people who are chronically ill and/or malnourished may be at increased risk of poor food safety [85]. Populations of PLHIV are particularly susceptible to the outcomes of poor food safety such as foodborne pathogenic infections due to their immuno-compromised state. They are also potentially more vulnerable if their health is compromised by socio-economic and environmental factors such as poor hygiene practices and sanitation and lack of food safety education.

Studies on food safety among people living with HIV/ AIDS can be broadly described as falling into two methodological areas: laboratory-based microbial and toxicological studies of susceptibility; and epidemiological and behavioral studies of vulnerability. Laboratory-based evaluations of food safety among PLHIV suggest that prevalence of food borne diseases may be elevated in this population. For example, early on in the HIV epidemic, salmonellosis was estimated to be nearly 20 times more common and 5 times more bacteremic in AIDS patients than those without AIDS [86]. A study in South Africa 2001-2002 found that 48 of 60 HIV-positive patients with chronic diarrhea had bacterial pathogens [87]. PLHIV with a lower CD4 count are more immune suppressed and more vulnerable to all infections, including food and water-borne infections.

Knowledge, attitudes, and beliefs of PLHIV, food service providers, and others involved in the production and distribution of food may be used to measure food safety in the context of HIV using standard surveys or observation [88]. Other types of measures for food safety include behavioural measures (e.g., hand-washing), household measures (e.g., plumbed toilets) those involved in the management of food production systems (workplace sanitation), food and water sources (e.g., bacterial thresholds in meat), and programmatic adherence to food safety guidelines such as Hazard Analysis and Critical Control Points (HACCP) supported and used by agencies such as the USDA and the FDA. Global measures of sanitation also exist which may be used and adapted for populations of PLHIV. To our knowledge, only one measurement tool has been validated in a population of PLHIV in Brazil which assessed knowledge, perceptions, and behaviours related to food safety [89]. What few studies exist deal primarily with surveys of self-reported knowledge of food safety measures and hand-washing. Studies have shown that PLHIV lack knowledge of food safety measures [90]. In Ghana, Wiig and Smith [79] (2007) found that only 22 % of PLHIV boiled their water and only 66 % always reheated leftovers.



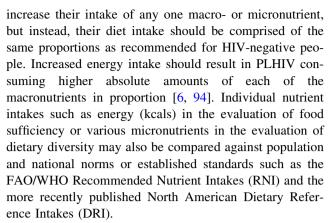
Heathcock's [90] (1998) self-developed survey of 77 HIV-positive people in a hospitalized UK-based population showed that 96, 66, and 23 % were aware of the risk of infection from *Salmonella*, *Listeria*, and *Cryptosporidium*, respectively, but few were aware of infections transmitted through water. Program evaluation studies in the US have found home-delivered meal programs for PLHIV to be strongly adherent to food safety guidelines and have evaluated the effectiveness of educational tools [91].

The area of food safety survey tools tailored to immuno-compromised populations such as PLHIV and their higher degree of risk of exposure is poorly developed and it is evident that more valid and reliable food safety risk-assessment scales are required. Food safety should be seen as a component of FNS assessment that is extremely important for PLHIV, although it would rarely be used as an exclusive measure of FNS for programmatic or research purposes. These tools would measure knowledge, attitudes and behaviours around food safety for PLHIV to assess the likelihood of problems with food safety, for example microbiological contamination of food and water. Without lab analysis of food, water, blood and stool samples the information collected will only provide an assessment of risk, not actual incidence of food-borne illness.

Multidimensional Measures

Food consumption assessment tools allow for the measurement of two of the three main sub-components of food insecurity, namely, dietary sufficiency and diversity. Food safety is often set apart from other dietary measures of FNS and therefore remains unidimensional in its assessment. The multidimensional tools collect data on actual food consumed by individuals or households over a set period of time and provide detailed data about energy, macronutrient and micronutrient intake and/or food groupings. Food consumption measures are often used in combination with each other [92, 93]. The major advantage of using these tools is that they can provide a much more complete picture of dietary intake, both in terms of what is eaten and how much of it. When collected at the individual level, they provide all necessary data to compare actual consumption to the individual dietary needs which differ by age, gender, physiological status, disease stage and level of physical activity. The two dietary intake assessment techniques most commonly described in the HIV literature are 24-h recall and food records. For both food records and 24-h recall, extensive investment must be made up-front to ensure that recipes and portion sizes of local foods are well defined [33].

When analyzing and interpreting food consumption data for PLHIV, it is important to consider their nutritional needs. PLHIV are not specifically recommended to



When sufficient resources exist, both 24-h recall and food records are feasible among PLHIV. They can be implemented as they are in other populations and can provide quality information, especially in terms of comparing food consumption to the specific dietary needs of PLHIV at the individual and population levels. Another interesting application could be to better understand to what extent HIV can induce food and nutrition insecurity by comparing the food security of HIV-affected households with those that are deemed not affected.

24-h Recall

24-h recalls may be used in a clinical or research context to solicit information about food consumption for a 24-h period (usually the preceding day while memories remain more intact). The popularity of this tool is due to the fact that it is administered as part of an interview and that it does not require detailed record-keeping, weighing, or high levels of literacy or numeracy. Detailed descriptions of how to administer 24-h dietary recall have been published elsewhere [66]. The USDA developed and validated the 5-step multi-pass method for this purpose which poses tailored questions in an ordered sequence [95, 96]. With web-based technologies, this method has evolved into the "automated multi-pass method" used and validated as part of the longitudinal US-based National Health and Nutrition Examination Survey (NHANES) study. Web-based tools are evolving to engage with study participants more directly such as the American user-friendly web-based interface of the ASA24 [97]. Given technological advances and the rapid expansion of electronic and mobile health in both high and low-resource settings, similar tools may have practical and feasible application globally.

Literature on the use of the 24-h recall in HIV-positive populations is limited especially in HIV-endemic countries. This is likely due to the constraints imposed regarding technical skills, time, and costs of analysis. Becquet et al. [98] and Fadnes et al. [99] describe studies of infant feeding with HIV-positive mothers from the Côte d'Ivoire.



This combined reports of breastfeeding with lists of potential complementary foods consumed over a 24-h period. Arising from this work, Becquet et al. [98] (2006) created a child feeding index for HIV-exposed children, to assess nutritional adequacy in terms of quality of milk source, dietary diversity, food and meal frequencies. More recently, a food insecurity study in Ghana of a cross sectional infant health observational cohort incorporated the 24-h recall to assess diet in a sample of HIV-positive and non-infected lactating women [100]. Using repeat 24-h food records inputted into nutrient software with Ghana food composition tables, they found that energy intake was independently associated with their validated food insecurity scale (the USDA Household Core Module). Onyango et al. [70] used a combination of 24-h recall and FFO with HIV affected and non-affected households in Kenya and showed that the percentage of RDA consumed for almost all nutrients were higher for the non-HIV-affected households than for those with HIV. 24-h dietary recalls have also been used in the context of HIV-positive and negative drug using cohorts in the US, in combination with other food consumption measures, to ascertain possible association between BMI and dietary intake [93].

The 24 h recall has shown to be valid for use among people with low levels of literacy, and has been effectively used in vulnerable populations including PLHIV who use drugs and those that live in HIV-endemic regions [70, 93]. Some disadvantages associated with this tool are that it is prone to high intra-subject variability, requiring multiple recalls in order to obtain an accurate estimate of average nutrient intake. The 24-h dietary recall is therefore time-and resource-intensive. Furthermore, the tool requires well trained interviewers in order to reduce measurement and recall bias.

Food Records

Food records, specifically 3-day food records, are considered the gold standard for dietary intake assessment [66]. The methodology involves asking a participant to track and record their food consumption over a predetermined period of time. Unlike in the recall, where a participant is interviewed and the enumerator captures verbal responses to a pre-established questionnaire ex post, here participants are asked ex ante to provide detailed written accounts of the food that they consumed including more precise measurement of food weights and portion sizes. These additional details aid in determining nutrient intake with more accuracy while also measuring usual intake. Use of food records in the context of HIV is reported in the HIV literature as part of the Americanbased Nutrition for a Healthy Living Study (NHLS). However, as this methodology requires high levels of literacy, numeracy, and overall commitment, it is often impractical in programmatic settings and inappropriate for the key populations. For example, Sahni and colleagues determined that this method was not ideal for drug using US populations of PLHIV [101].

Food records may be deemed more precise as recording allows to capture a longer period of time and there may be less recall bias. However, study participants need to be literate and checklists need to be very simple and unequivocal so as to reduce the likelihood of mistakes. There is no interviewer who can make sure the information requested is adequately understood. One can also argue that food records require a greater effort by participants and the simple fact of monitoring food consumption daily may change it.

Tools that measure aspects of food being consumed, including 24-h recalls and food records have some powerful strengths when assessing FNS for PLHIV. They provide detailed data regarding dietary sufficiency and diversity. Although nutritional intake and safety are always an important component of FNS, this is particularly true for PLHIV. PLHIV are not only vulnerable to the social and environmental causes of food insecurity, they also have heightened physical risks of developing malnutrition, due to increased nutritional needs from infection, side effects of medications and possible occurrences of mouth sores or diarrhea. The data captured using these tools is well suited for research of food consumption-related issues and to (where resources are available) individual counseling and management of nutrition and FNS for PLHIV. These tools are not well suited for rapid assessments, programme evaluations or for ongoing monitoring and evaluation because of the equipment and highly trained staff required, the complex analysis and the level of literacy and numeracy required of participants. When nutrition analysis software does not contain culturally-representative foods, it is crucial to add these so as to be able to truly reflect local diets. Finally, it is important to triangulate the results with those from other analyses. This may also allow to better understand what may cause the observed behaviours.

Conclusions

Food and nutrition security are important and interlinked concepts in HIV-endemic settings and for PLHIV. Measurement of FNS is, however, complex and selection of appropriate, complementary tools is of paramount importance to ensure data quality. In selecting an assessment tool users must consider which sub-types of FNS they wish to measure (food sufficiency, dietary diversity and/or food safety); the inherent strengths and weaknesses in each tool; if they are collecting information at population, household



or individual level; and the available time, money and human resources. Tool users must also consider if they require a validated tool for research or evaluation purposes. Tools may require modification to ensure they are locally appropriate, for example modifying the number and content of food groups and ensuring access to locally accurate food composition tables. Analysis and interpretation of nutrient data must be sensitive to the increased nutritional demands of HIV infection, whether asymptomatic or suffering from opportunistic infections, as well as the demands of pregnancy and lactation. PLHIV are vulnerable to the effects of food-borne illness and food safety assessment is an important factor in this population. Food supplementation for PLHIV is expensive and competes with other potential interventions for already-constrained resources. The global move to standardize FNS program monitoring and evaluation indicators for HIV and the need for better data to inform food and nutrition program planning for PLHIV and in HIV-endemic settings are both important drivers to ensure that there are appropriate and sensitive assessment tools available. Measurement tools need to be validated among PLHIV in both low and high resource settings, in different cultural contexts, with key populations and with both genders to build a more comprehensive knowledge base.

It is the opinion of these authors that generalized survey measurement tools and unidimensional dietary diversity tools are the strongest tools for use in programmatic applications; for example needs assessments, program evaluations and ongoing monitoring and evaluation. Many of these tools have been validated in HIV-endemic settings and are inexpensive and quick to administer. They do not require highly specialized staff or a high level of literacy among participants. Food consumption measurement tools are better suited for research application where there is a strong nutritional component to the research question, or for clinical management of nutrition and FNS of individual patients by highly trained staff.

A key factor in improving FNS for PLHIV globally is using the correct tools to assess FNS and measure improvement over time and in the context of program delivery and policy roll-out. There is an opportunity to develop consensus on the use of tools in FNS assessment for PLHIV. International stakeholders should move forward with recommendations and standardization to improve program integration, measurement and effectiveness.

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