Knowledge Representation and Processing

Florian Rabe (for a course given with Michael Kohlhase)

Computer Science, University Erlangen-Nürnberg, Germany

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Administrative Information

Format

Zoom

- lectures and exercises via zoom
- participants muted by default for simplicity
- ► interaction strongly encouraged We don't want to lecture we want to have a conversation during which you learn
- let's try out zoom
 - use reactions to say yes no, ask for break etc.
 - feel free to annotate my slides
 - talk in the chat

Recordings

- maybe prerecorded video lectures or recorded zoom meeting
- ▶ to be decided along the way

Background

Instructors

- ▶ Prof. Dr. Michael Kohlhase Professor of Knowledge Representation and Processing
- ▶ PD Dr. Florian Rabe same research group

Course

- ► This course is given for the first time
- ► Always a little bit of an experiment cutting edge vs. unpolished
- ► Could become signature course of our research group same name!

Prerequisites

Required

▶ basic knowledge about formal languages, context-free grammars but we'll do a quick revision here

Helpful

- ► Algorithms and Data Structures mostly as a contrast to this lecture
- ► Basic logic we'll revise it slightly differently here
- ▶ all other courses as examples of how knowledge pervades all of CS

General

Curiosity

this course is a bit unusual

Interest in big picture

this course touches on lots of things from all over CS

Examination and Grading

Suggestion

- grade determined by single exam
- written or oral depends on number of students
- some acknowledgment for practical exercises

to be finalized next week

Exam-relevant

- anything mentioned in notes
- anything discussed in lectures

neither is a superset of the other!

Materials and Exam-Relevance

Textbook

- does not exist
- normal for research-near specialization courses

Notes

- textbook-style but not as comprehensive
- developed along the way

Slides

- not comprehensive
- used as visual aid, conversation starters

Communication

Open for questions

- open door policy in our offices if the lockdown ever ends
- always room for questions during lectures
- for personal questions, contact me during/after lecture or by email
- forum at https://fsi.cs.fau.de/forum/
 154-Wissensrepraesentation-und-Verarbeitung

Materials

official notes and slides as pdf: https://kwarc.info/teaching/WuV/

will be updated from time to time

watch me prepare the materials: https:
//github.com/florian-rabe/Teaching/tree/master/WuV
pull requests and issues welcome

Exercises

Learning Goals

- ▶ Get acquainted with state of the art of practice
- ► Try out real tools

Homeworks

- one major project as running example
- homeworks building on each other

build one large knowledge-based system details on later slides

Overview and Essential Concepts

Representation and Processing

Common pairs of concepts:

Representation	Processing
Static	Dynamic
Situation	Change
Be	Become
Data Structures	Algorithms
Set	Function
State	Transition
Space	Time

Data and Knowledge

 2×2 key concepts

Syntax	Data
Semantics	Knowledge

- ▶ Data: any object that can be stored in a computer Example: ((49.5739143, 11.0264941), "2020 - 04 - 21716:
 - 15 : 00*CEST*")
- Syntax: a system of rules that describes which data is well-formed

Example: "a pair of (a pair of two IEEE double precision floating point numbers) and a string encoding of a time stamp"

- ► Semantics: system of rules that determines the meaning of well-formed data
- ► Knowledge: combination of some data with its syntax and semantics

Knowledge is Elusive

Representation of key concepts

- ▶ Data: using primitive objects implemented as bits, bytes, strings, records, arrays, . . .
- Syntax: (context-free) grammars, (context-sensitive) type systems implemeted as inductive data structures
- Semantics: functions for evaluation, interpretation, of well-formed data implemented as recursive algorithms on the syntax
- ► Knowledge: elusive emerges from applying and interacting with the semantics

Semantics as Translation

- ► Knowledge can be captured by a higher layer of syntax
- ► Then semantics is translation into syntax

Data syntax	Semantics function	Knowledge syntax
SPARQL query	evaluation	result set
SQL query	evaluation	result table
program	compiler	binary code
program expression	interpreter	result value
logical formula	interpretation in a model	mathematical object
HTML document	rendering	graphics context
program expression logical formula	interpreter interpretation in a model	result value mathematical object

Heterogeneity of Data and Knowledge

- Capturing knowledge is difficult
- Many different approaches to semantics
 - fundamental formal and methodological differences
 - often captured in different fields, conferences, courses, languages, tools
- Data formats equally heterogeneous
 - ontologies
 - programs
 - logical proofs
 - databases
 - documents

Challenges of Heterogeneity

Challenges

- collaboration across communities
- translation across languages
- conversion between data formats
- interoperability across tools

Sources of problems

- interoperability across formats/tools major source of
 - complexity
 - bugs
- friction in project team due to differing preferences, expertise
- ▶ difficult choice between languages/tools with competing advantages
 - reverting choices difficult, costly
 - maintaining legacy choices increases complexity

Aspects of Knowledge

- ► Tetrapod model of knowledge active research by our group
- classifies approaches to knowledge into five aspects

Aspect	KRLs (examples)
ontologization concretization computation deduction narration	ontology languages (OWL), description logics (ALC) relational databases (SQL, JSON) programming languages (C) logics (HOL) document languages (HTML, LaTeX)

Relations between the Aspects

Ontology is distinguished: capture the knowledge that the other four aspects share



Complementary Advantages of the Aspects

objects	characteristic		
	advantage	joint advantage	application
		of the other as-	
		pects	
formal proofs	correctness	ease of use	verification
programs	efficiency	well- definedness	execution
concrete objects	tangibility	abstraction	storage/retrieval
texts	flexibility	formal seman- tics	human understanding
	formal proofs programs concrete objects	formal proofs correctness programs efficiency concrete objects tangibility	advantage joint advantage of the other aspects formal proofs correctness ease of use programs efficiency well-definedness concrete objects tangibility abstraction texts flexibility formal seman-

Aspect pair	characteristic advantage
ded./comp.	rich meta-theory
narr./conc.	simple languages
ded./narr.	theorems and proofs
comp./conc.	normalization
ded./conc.	decidable well-definedness
comp./narr.	Turing completeness

Structure of the Course

Aspect-independent parts

- general methods that are shared among the aspects
- ▶ to be discussed as they come up

Aspects-specific parts

- one part (about 2 weeks) for each aspect
- ▶ high-level overview of state of the art
- ▶ focus on comparison/evaluation of the aspect-specific results

Structure of the Exercises

One major project

- representative for a project that a CS graduate might be put in charge of
 - ▶ challenging heterogeneous data and knowledge
- requires integrating/combining different languages, tools

unique opportunity in this course because knowledge is everywhere

Concrete project

- develop a univis-style system for a university
- lots of heterogeneous knowledge
- course and program descriptions
 - legal texts
 - websites
 - grade tables
 - transcript generation code
- build a completely functional system applying the lessons of the course

Ontological Knowledge 22

Ontological Knowledge

Components of an Ontology

8 main declarations

- individual concrete objects that exist in the real world, e.g., "Florian Rabe" or "WuV"
- concept abstract groups of individuals, e.g., "instructor" or "course"
- ► relation binary relations between two individuals, e.g., "teaches"
- properties binary relations between an individuals and a concrete value (a number, a date, etc.), e.g., "has-credits"
- ► concept assertions the statement that a particular individual is an instance of a particular concept
- individual is an instance of a particular concept

 relation assertions the statement that a particular

relation holds about two individuals

- property assertions the statement that a particular individual has a particular value for a particular property
- axioms statements about relations between concepts, e.g., "instructor" □ "person"

Divisions of an Ontology

Abstract vs. concrete

- ► TBox: concepts, relations, properties, axioms

 everything that does not use individuals
- ABox: individuals and assertions

Named vs. unnamed

- Signature: individuals, concepts, relations, properties together called entities or resources
- ► Theory: assertions, axioms

Comparison of Terminology

Here	OWL	Description logics	ER model	UML	semantics via logics
individual	instance	individual	entity	object, instance	constant
concept	class	concept	entity-type	class	unary predicate
relation	object property	role	role	association	binary predicate
property	data property	(not common)	attribute	field of base type	binary predicate

domain	individual	concept
type theory, logic	constant, term	type
set theory	element	set
database	row	table
philosophy ¹	object	property
grammar	proper noun	common noun

¹as in https://plato.stanford.edu/entries/object/

Ontologies as Sets of Triples

Assertion	Triple		
	Subject	Predicate	Object
concept assertion	"Florian Rabe"	is-a	"instructor"
relation assertion	"Florian Rabe"	"teaches"	"WuV"
property assertion	"WuV"	"has credits"	7.5

Efficient representation of ontologies using RDF and RDFS standardized special entities.

Special Entities

RDF and RDFS define special entities for use in ontologies:

- "rdfs:Resource": concept of which all individuals are an instance and thus of which every concept is a subconcept
- "rdf:type": relates an entity to its type:
 - ▶ an individual to its concept (corresponding to is-a above)
 - other entities to their special type (see below)
- rdfs:Class": special class for the type of classes
- "rdf:Property": special class for the type of properties
- "rdfs:subClassOf": a special relation that relates a subconcept to a superconcept
- "rdfs:domain": a special relation that relates a relation to the concepts of its subjects
- "rdfs:range": a special relation that relates a relation/property to the concept/type of its objects

Goal/effect: capture as many parts as possible as RDF triples.

Declarations as Triples using Special Entities

Assertion	Triple		
	Subject	Predicate	Object
individual	individual	"rdf:type"	"rdfs:Resource"
concept	concept	"rdf:type"	"rdf:Class"
relation	relation	"rdf:type"	"rdf:Property"
property	property	"rdf:type"	"rdf:Property"
concept assertion	individual	"rdf:type"	concept
relation assertion	individual	relation	individual
property assertion	individual	property	value
for special forms of	axioms		
$c \sqsubseteq d$	c	"rdfs:subClassOf"	d
$\operatorname{dom} r \equiv c$	r	"rdfs:domain"	С
$\operatorname{rng} r \equiv c$	r	"rdfs:range"	С

An Example Ontology Language

see syntax of $\ensuremath{\mathsf{BOL}}$ in the lecture notes

Semantics as Translation

Example: Syntax of Arithmetic Language

Syntax: represented as formal grammar

```
Numbers
N ::= 0 \mid 1 \qquad \text{literals}
\mid N + N \qquad \text{sum}
\mid N * N \qquad \text{product}
Formulas
F ::= N \doteq N \qquad \text{equality}
\mid N \leq N \qquad \text{ordering by size}
```

Implementation as inductive data type

Example: Semantics of Arithmetic Language

Semantics: represented as translation into known language

Problem: Need to choose a known language first Here: unary numbers represented as strings Built-in data (strings and booleans):

 $S ::= \varepsilon$

$$S := \varepsilon$$
 empty
| (Unicode) characters
 $B := \text{true}$ truth
| false falsity

Built-in operations to work on the data:

- \triangleright concatenation of strings $S:=\operatorname{conc}(S,S)$
- replacing all occurrences of c in S_1 with S_2
 - S:=replace (S_1, c, S_2) ightharpoonup equality test: $B::=S_1==S_2$
 - ▶ prefix test: B::=startsWith(S_1, S_2)

Example: Semantics of Arithmetic Language

Represented as function from syntax to semantics

- mutually recursive, inductive functions for each non-terminal symbol
- compositional: recursive call on immediate subterms of argument

For numbers n: semantics [n] is a string

- ho $\llbracket 0
 rbracket = \varepsilon$
- **▶** [1] = "|"
- ightharpoonup [m+n] = conc([m],[n])
- $\blacktriangleright \ \llbracket m*n \rrbracket = \mathtt{replace}(\llbracket m \rrbracket, "|", \llbracket n \rrbracket)$

For formulas f: semantics $\llbracket f \rrbracket$ is a boolean

- $| m \le n | = \operatorname{startsWith}([n], [m])$

Semantics of BOL

Aspect	kind of semantic language	semantic language
deduction	logic	SFOL
concretization	database language	SQL
computation	programming language	Scala
narration	natural language	English

see details of each translation in the lecture notes

General Definition

A semantics by translation consists of

- syntax: a formal language I
- ▶ semantic language: a formal language *L*different or same aspect as /
- semantic prefix: a theory P in L formalizes fundamentals that are needed to represent I-objects
- interpretation: translates every *I*-theory T to an L-theory P, T

Common Principles

Properties shared by all semantics of BOL

not part of formal definition, but best practices

- ▶ I-declaration translated to L-declaration for the same name
- ontologies translated declaration-wise
- ▶ one inductive function for every kind of complex *I*-expression
 - individuals, concepts, relations, properties, formulas
 - maps *l*-expressions to *L*-expressions
- ▶ atomic cases (base cases): I-identifier translated to L-identifier of the same name or something very similar
- complex cases (step cases): compositional

Compositionality

Case for operator * in interpretation function compositional iff interpretation of $*(e_1, \ldots, e_n)$ only depends on on the interpretation of the e_i

$$[\![*(e_1,\ldots,e_n)]\!] = [\![*]\!]([\![e_1]\!],\ldots,[\![e_n]\!])$$

for some function $[\![*]\!]$

Example: ;-operator of BOL in translation to FOL

- ▶ translation: $[\![R_1; R_2]\!] = \exists m : \iota.[\![R_1]\!](x, m) \land [\![R_2]\!](m, y)$
- special case of the above via
 - ***** * =:
 - \triangleright n=2
 - $[] [] [(p_1, p_2) \mapsto \exists m : \iota.p_1(x, m) \land p_2(m, y)]$
- ▶ Indeed, we have $[R_1; R_2] = [;]([R_1], [R_2])$

Compositionality (2)

Translation compositional iff

- lacktriangle one translation function for each non-terminal all written $[\![-]\!]$
- each defined by one induction on syntax

i.e., one case for production mutually recursive

all cases compositional

Substitution theorem: a compositional translation satisfies

$$[\![E(e_1,\ldots,e_n)]\!] = [\![E]\!]([\![e_1]\!],\ldots,[\![e_n]\!])$$

for

- every expression $E(N_1, ..., N_n)$ with non-terminals N_i
- ▶ some function [E] that only depends on E

Compositionality (3)

$$[E(e_1,\ldots,e_n)] = [E]([e_1],\ldots,[e_n])$$

for every expression $E(N_1, ..., N_n)$ with non-terminals N_i

Now think of

- \triangleright variable x_i of type N_i instead of non-terminal N_i
- \triangleright $E(x_1,...,x_n)$ as expression with free variables x_i of type N_i
- \triangleright expressions e derived from N as expressions of type N
- ▶ $E(e_1,...,e_n)$ as result of substituting e_i for x_i
- $ightharpoonup [E](x_1,\ldots,x_n)$ as (semantic) expression with free variables x_i

Then both sides of equations act on $E(x_1, \ldots, x_n)$:

- left side yields $[E(e_1, \ldots, e_n)]$ by
 - first substitution e_i for x_i
 - ▶ then semantics ¶—¶ of the whole
- right side yields $[E]([e_1], \ldots, [e_n])$ by

 - ▶ then substitution $\llbracket e_i \rrbracket$ for x_i

semantics commutes with substitution

Non-Compositionality

Examples

- deduction: cut elimination, translation from natural deduction to Hilbert calculus
- computation: optimizing compiler, e.g., loop unrolling
- concretization: query optimization, e.g., turning a WHERE of a join into a join of WHEREs,
- narration: ambiguous words are translated based on context

Typical sources

- subcases in a case of translation function
 - based on inspecting the arguments, e.g., subinduction
 - based on context
- custom-built semantic prefix

Type Systems 41

Type Systems

Breakout Question

Is this an improvement over BOL?

Declarations

```
D ::= individual ID : C typed atomic individual atomic concept C :=  typed atomic relation C :=  typed atomic relation C :=  typed atomic property C :=  typed atomic property
```

rest as before

Actually, when is a language an improvement?

Criteria: orthogonal, often mutually exclusive

- syntax design trade-off
 - expressivity: easy to express knowledge
 - e.g., big grammar, extra production for every user need
 - simplicity: easy to implement/interpret
 - e.g., few, carefully chosen productions
- semantics: specify, implement, document
- intended users
 - skill level
 - prior experience with related languages
 - amount of training needed
- long-term plans: re-answer the above question but now
 - maintainability: syntax was changed, everything to be redone
 - scalability: expressed knowledge content has reached huge sizes

Church vs. Curry Typing

	intrinsic	extrinsic
λ -calculus by	Church	Curry
type is	carried by object	given by environment
typing is a	function objects $ ightarrow$ types	relation objects $ imes$ types
objects have	unique type	any number of types
types interpreted as	disjoint sets	unary predicates
type given by	part of declaration	additional axiom
example	individual "WuV":"course"	individual "Wuv",
		"WuV" is-a "course"
examples	SFOL, SQL	OWL, Scala, English
	most logics, functional PLs	ontology, OO,
		natural languages
	many type theories	set theories

Type Checking

	intrinsic	extrinsic
type is	carried by object	given by environment
typing is a	function objects $ ightarrow$ types	relation objects $ imes$ types
objects have	unique type	any number of types
type given by	part of declaration	additional axiom
example	individual "WuV":"course"	individual "Wuv",
		"WuV" is-a "course"
type inference for x	uniquely infer A from x	find minimal A with $x : A$
type checking	inferred=expected	prove x : A
subtyping $A <: B$	cast from A to B	x: A implies x: B
typing decidable	yes unless too expressive	no unless restricted
typing errors	static (compile-time)	dynamic (run-time)
advantages	easy	flexible
	unique type inference	allows subtyping

Curry Typing in BOL

language	objects	types	typing relation
Syntax	individuals	concepts	<i>i</i> is-a <i>c</i>
Semantics in			
FOL	type ι	predicates $c \subseteq \iota$	c(i) true
SQL	table Individuals	tables containing ids	id of i in table c
Scala	String	hash sets of strings	c.contains(i)
English	proper nouns	common nouns	" <i>i</i> is a <i>c</i> " is true

Subtyping

Subtyping works best with Curry Typing

- ightharpoonup explicit subtyping as in $\mathbb{N} <: \mathbb{Z}$
- ▶ comprehension/refinement as in $\{x : \mathbb{N} | x \neq 0\}$
- operations like union and intersection on types
- ▶ inheritance between classes, in which case subclass = subtype
- ▶ anonymous record types as in $\{x : \mathbb{N}, y : \mathbb{Z}\} <: \{x : \mathbb{N}\}$

A General Definition of a Type System

A **type system** consists of

- a collection, whose elements are called **objects**,
- a collection, whose elements are called intrinsic types,
- ➤ a function assigning to every object *x* its **intrinsic type** *I*, in which case we write *x* : *I*,
- ► for some intrinsic types *I*
 - ightharpoonup an intrinsic type E_I
 - ▶ a relation \in_I between objects with intrinsic types I and E_I , called the **extrinsic typing** relation for I.

Examples

System	intrinsic types	E_{I}	\in_I
pure Church	one per type	none	none
pure Curry	objects O, types T	$E_O = T$	<i>∈o</i> =:
FOL	one per type	none	none
Scala	AnyRef , Class	$E_{Any} = Class$	$\in_{Any}= exttt{isInstance}$
BOL	Ind, Conc	$E_{Ind} = Conc$	$\in_{\mathit{Ind}} = \mathtt{is} - \mathtt{a}$
set theory	Set, Prop	$E_{Set} = Set$	$\in_{\mathcal{S}et}=\in$

Breakout Question

What do the following have in common?

- Java class
- ► SQL schema for a table
- logical theory (e.g., Monoid)

Breakout Question

What do the following have in common?

- Java class
- ► SQL schema for a table
- ▶ logical theory (e.g., Monoid)

all are (essentially) abstract data types

Abstract Data Types: Motivation

Recall subject-centered representation of assertion triples:

```
individual "FlorianRabe"
  is—a "instructor" "male"
  "teach" "WuV" "KRMT"
  "age" 40
  "office" "11.137"
```

Can we use types to force certain assertions to occur together?

- Every instructor should teach a list of courses.
- Every instructor should have an office.

Abstract Data Types: Motivation

Inspires **subject-centered types**, e.g.,

```
concept instructor
  teach course*
  age: int
  office: string

individual "FlorianRabe": "instructor"
  is—a "male"
```

is—a "male" teach "WuV" "KRMT" age 40 office "11.137"

Incidental benefits:

- no need to declare relations/properties separately
- reuse relation/property names distinguish via qualified names: instructor .age

Abstract Data Types: Motivation

```
Natural next step: inheritance
concept person
  age: int
concept male <: person
concept instructor <: person
  teach course*
  office: string
individual "FlorianRabe": "instructor" □ "male"
  "teach" "WuV" "KRMT"
  "age" 40
  "office" "11.137"
```

our language quickly gets a very different flavor

Abstract Data Types: Examples

Prevalence of abstract data types:

aspect	language	abstract data type
ontologization	UML	class
concretization	SQL	table schema
computation	Scala	class, interface
deduction	various	theory, specification, module, locale
narration	various	emergent feature

same idea, but may look very different across languages

Abstract vs. Concrete Types

Concrete type: values are

- given by their internal form,
- defined along with the type, typically built from already-known pieces.

examples: products, inductive data types

Abstract type: values are

- given by their externally visible properties,
- defined in any environment that understands the type definition.

main example: abstract data types

Abstract Data Types: Examples

aspect	type	values
computation	abstract class	instances of implementing classes
concretization	table schema	table rows
deduction	theory	models

Values depend on the environment in which the type is used:

- class defined in one specification language (e.g., UML), implementations in programing languages Java, Scala, etc. available values may depend on run-time state
- theory defined in logic, models defined in set theories, type theories, programming languages

available values may depend on philosophical position

Abstract Data Types: Definition

Given some type system, an abstract data type (ADT) is

a flat type

$$\{c_1: T_1[=t_1], \ldots, c_n: T_n[=t_n]\}$$

where

- c_i are distinct names
- $ightharpoonup T_i$ are types
- $ightharpoonup t_i$ are optional definitions; if given, t_i : T_i required
- or a mixin type

$$A_1 * \ldots * A_n$$

for ADTs A_i .

Languages may or may not make ADTs additional types of the type system

Abstract Data Types: Class Definitions A class definition in OO:

abstract class a extends a_1 with ... with a_m { c_1 : T_1

$$c_n$$
: T_n
}
Corresponding ADT definition:

The usual terminology:

▶ a_i are super-X or parent-X of a where X is whatever the language calls its ADTs (e.g., X=class)

 $a = a_1 * ... * a_m * \{c_1 : T_1, ..., c_n : T_n\}$

Abstract Data Types: Flattening

The **flattening** A^{\flat} of an ADT A is

- ightharpoonup if A is flat: $A^{\flat} = A$
- $(A_1 * ... * A_n)^b$ is union of all A_i^b where duplicate field names are handled as follows
 - same name, same type, same or omitted definition: merge details may be much more difficult
 - otherwise: ill-formed

Abstract Data Types: Subtleties

We gloss over several major issues:

- ► How exactly do we merge duplicate field names? Does it always work? implement abstract methods, override, overload
- ▶ Is recursion allowed, i.e., can I define an ADT a = A where a occurs in A?

common in OO-languages: use a in the types of its fields

- What about ADTs with type arguments?
 - e.g., generics in Java, square-brackets in Scala
- Is mutual recursion between fields in a flat type allowed?
 common in OO-languages
- ▶ Is * commutative? What about dependencies between fields?

no unique answers

incarnations of ADTs subtly different across languages