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## Knowledge Representation and Processing

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: Administrative Information 2

# Administrative Information

#### **Format**

#### Zoom

- lectures and exercises via zoom
- participants muted by default for simplicity
- interaction strongly encouraged We don't want to lecture we want to have a conversation during which you learn
- let's try out zoom
  - use reactions to say yes no, ask for break etc.
  - feel free to annotate my slides
  - talk in the chat

### Recordings

- maybe prerecorded video lectures or recorded zoom meeting
- ▶ to be decided along the way

### Background

#### Instructors

- ▶ Prof. Dr. Michael Kohlhase Professor of Knowledge Representation and Processing
- ▶ PD Dr. Florian Rabe same research group

#### Course

- ► This course is given for the first time
- ► Always a little bit of an experiment cutting edge vs. unpolished
- ► Could become signature course of our research group same name!

### Prerequisites

#### Required

▶ basic knowledge about formal languages, context-free grammars but we'll do a quick revision here

### Helpful

- ► Algorithms and Data Structures mostly as a contrast to this lecture
- ► Basic logic we'll revise it slightly differently here
- ▶ all other courses as examples of how knowledge pervades all of CS

#### General

Curiosity

this course is a bit unusual

Interest in big picture

this course touches on lots of things from all over CS

### **Examination and Grading**

### Suggestion

- grade determined by single exam
- written or oral depends on number of students
- some acknowledgment for practical exercises

to be finalized next week

#### Exam-relevant

- anything mentioned in notes
- anything discussed in lectures

neither is a superset of the other!

### Materials and Exam-Relevance

#### **Textbook**

- does not exist
- normal for research-near specialization courses

#### Notes

- textbook-style but not as comprehensive
- developed along the way

#### Slides

- not comprehensive
- used as visual aid, conversation starters

### Communication

### Open for questions

- open door policy in our offices if the lockdown ever ends
- always room for questions during lectures
- for personal questions, contact me during/after lecture or by email
- forum at https://fsi.cs.fau.de/forum/
  154-Wissensrepraesentation-und-Verarbeitung

#### Materials

official notes and slides as pdf: https://kwarc.info/teaching/WuV/

will be updated from time to time

#### Exercises

#### Learning Goals

- ▶ Get acquainted with state of the art of practice
- ► Try out real tools

#### Homeworks

- one major project as running example
- homeworks building on each other

build one large knowledge-based system details on later slides

# Overview and Essential Concepts

## Representation and Processing

### Common pairs of concepts:

Representation	Processing
Static	Dynamic
Situation	Change
Be	Become
Data Structures	Algorithms
Set	Function
State	Transition
Space	Time

### Data and Knowledge

 $2 \times 2$  key concepts

Syntax	Data
Semantics	Knowledge

- ▶ Data: any object that can be stored in a computer Example: ((49.5739143, 11.0264941), "2020 - 04 - 21716:
  - 15 : 00*CEST*")

#### Syntax: a system of rules that describes which data is well-formed

Example: "a pair of (a pair of two IEEE double precision floating point numbers) and a string encoding of a time stamp"

- ► Semantics: system of rules that determines the meaning of well-formed data
- ► Knowledge: combination of some data with its syntax and semantics

### Knowledge is Elusive

#### Representation of key concepts

- ▶ Data: using primitive objects implemented as bits, bytes, strings, records, arrays, . . .
- Syntax: (context-free) grammars, (context-sensitive) type systems implemeted as inductive data structures
- Semantics: functions for evaluation, interpretation, of well-formed data implemented as recursive algorithms on the syntax
- ► Knowledge: elusive emerges from applying and interacting with the semantics

### Semantics as Translation

- ► Knowledge can be captured by a higher layer of syntax
- ► Then semantics is translation into syntax

Data syntax	Semantics function	Knowledge syntax
SPARQL query	evaluation	result set
SQL query	evaluation	result table
program	compiler	binary code
program expression	interpreter	result value
logical formula	interpretation in a model	mathematical object
HTML document	rendering	graphics context

## Heterogeneity of Data and Knowledge

- Capturing knowledge is difficult
- Many different approaches to semantics
  - fundamental formal and methodological differences
  - often captured in different fields, conferences, courses, languages, tools
- Data formats equally heterogeneous
  - ontologies
  - programs
  - logical proofs
  - databases
  - documents

## Challenges of Heterogeneity

### Challenges

- collaboration across communities
- translation across languages
- conversion between data formats
- interoperability across tools

### Sources of problems

- interoperability across formats/tools major source of
  - complexity
    - bugs
- friction in project team due to differing preferences, expertise
- difficult choice between languages/tools with competing advantages
  - reverting choices difficult, costly
    - maintaining legacy choices increases complexity

## Aspects of Knowledge

- ► Tetrapod model of knowledge active research by our group
- classifies approaches to knowledge into five aspects

Aspect	KRLs (examples)
ontologization concretization computation deduction narration	ontology languages (OWL), description logics (ALC) relational databases (SQL, JSON) programming languages (C) logics (HOL) document languages (HTML, LaTeX)

### Relations between the Aspects

Ontology is distinguished: capture the knowledge that the other four aspects share



## Complementary Advantages of the Aspects

Aspect	objects	characteristic		
		advantage	joint advantage of the other as-	application
			pects	
ded.	formal proofs	correctness	ease of use	verification
comp.	programs	efficiency	well- definedness	execution
concr.	concrete objects	tangibility	abstraction	storage/retrieval
narr.	texts	flexibility	formal seman- tics	human understanding

Aspect pair	characteristic advantage
ded./comp.	rich meta-theory
narr./conc.	simple languages
ded./narr.	theorems and proofs
comp./conc.	normalization
ded./conc.	decidable well-definedness
comp./narr.	Turing completeness

### Structure of the Course

#### Aspect-independent parts

- general methods that are shared among the aspects
- ▶ to be discussed as they come up

### Aspects-specific parts

- one part (about 2 weeks) for each aspect
- ▶ high-level overview of state of the art
- ▶ focus on comparison/evaluation of the aspect-specific results

## Structure of the Exercises

### One major project

- representative for a project that a CS graduate might be put in charge of
- ▶ challenging heterogeneous data and knowledge
- requires integrating/combining different languages, tools

### unique opportunity in this course because knowledge is everywhere

#### Concrete project

- develop a univis-style system for a university
- lots of heterogeneous knowledge
- course and program descriptions
  - legal texts
  - websites
  - grade tables
  - transcript generation code
- build a completely functional system applying the lessons of the course

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: Ontological Knowledge

# Ontological Knowledge

## Components of an Ontology

8 main declarations

- ▶ individual concrete objects that exist in the real world, e.g., "Florian Rabe" or "WuV"
- concept abstract groups of individuals, e.g., "instructor" or "course"
- ► relation binary relations between two individuals, e.g., "teaches"
- properties binary relations between an individuals and a concrete value (a number, a date, etc.), e.g., "has-credits"
- ► concept assertions the statement that a particular individual is an instance of a particular concept
- ► relation assertions the statement that a particular relation holds about two individuals
- property assertions the statement that a particular individual has a particular value for a particular property
- **axioms** statements about relations between concepts, e.g., "instructor" □ "person"

## Divisions of an Ontology

#### Abstract vs. concrete

- ► TBox: concepts, relations, properties, axioms

  everything that does not use individuals
- ABox: individuals and assertions

#### Named vs. unnamed

- Signature: individuals, concepts, relations, properties together called entities or resources
- ► Theory: assertions, axioms

## Comparison of Terminology

Here	OWL	Description logics	ER model	UML	semantics via logics
individual	instance	individual	entity	object, instance	constant
concept	class	concept	entity-type	class	unary predicate
relation	object property	role	role	association	binary predicate
property	data property	(not common)	attribute	field of base type	binary predicate

domain	individual	concept
type theory, logic	constant, term	type
set theory	element	set
database	row	table
philosophy <sup>1</sup>	object	property
grammar	proper noun	common noun

<sup>&</sup>lt;sup>1</sup>as in https://plato.stanford.edu/entries/object/

## Ontologies as Sets of Triples

Assertion	Triple		
	Subject	Predicate	Object
concept assertion	"Florian Rabe"	is-a	"instructor"
relation assertion	"Florian Rabe"	"teaches"	"WuV"
property assertion	"WuV"	"has credits"	7.5

Efficient representation of ontologies using RDF and RDFS standardized special entities.

### Special Entities

RDF and RDFS define special entities for use in ontologies:

- "rdfs:Resource": concept of which all individuals are an instance and thus of which every concept is a subconcept
- "rdf:type": relates an entity to its type:
  - ▶ an individual to its concept (corresponding to is-a above)
    - other entities to their special type (see below)
- "rdfs:Class": special class for the type of classes
- "rdf:Property": special class for the type of properties
- "rdfs:subClassOf": a special relation that relates a subconcept to a superconcept
- "rdfs:domain": a special relation that relates a relation to the concepts of its subjects
- "rdfs:range": a special relation that relates a relation/property to the concept/type of its objects

Goal/effect: capture as many parts as possible as RDF triples.

## Declarations as Triples using Special Entities

Assertion		Triple	
	Subject	Predicate	Object
individual	individual	"rdf:type"	"rdfs:Resource"
concept	concept	"rdf:type"	"rdf:Class"
relation	relation	"rdf:type"	"rdf:Property"
property	property	"rdf:type"	"rdf:Property"
concept assertion	individual	"rdf:type"	concept
relation assertion	individual	relation	individual
property assertion	individual	property	value
for special forms of	axioms		
$c \sqsubseteq d$	c	"rdfs:subClassOf"	d
$\operatorname{dom} r \equiv c$	r	"rdfs:domain"	С
$\operatorname{rng} r \equiv c$	r	"rdfs:range"	С

: Ontological Knowledge

see syntax of  $\ensuremath{\mathsf{BOL}}$  in the lecture notes

Semantics as Translation

## Example: Syntax of Arithmetic Language

Syntax: represented as formal grammar

Implementation as inductive data type

# : Semantics as Translation

# Example: Semantics of Arithmetic Language

Semantics: represented as translation into known language

Problem: Need to choose a known language first Here: unary numbers represented as strings Built-in data (strings and booleans):

$$S := \varepsilon$$
 empty | (Unicode) characters  $B := \text{true}$  truth | false falsity

Built-in operations to work on the data:

- $\triangleright$  concatenation of strings S::=conc(S,S)
- replacing all occurrences of c in  $S_1$  with  $S_2$ S:=replace $(S_1, c, S_2)$ 
  - equality test:  $B := S_1 == S_2$
  - ▶ prefix test: B::=startsWith( $S_1, S_2$ )

## Example: Semantics of Arithmetic Language

#### Represented as function from syntax to semantics

- mutually recursive, inductive functions for each non-terminal symbol
- compositional: recursive call on immediate subterms of argument

For numbers n: semantics [n] is a string

- $ightharpoonup \llbracket 0 
  rbracket = arepsilon$
- **▶** [1] = "|"
- ightharpoonup [m+n] = conc([m],[n])
- $\blacktriangleright \ \llbracket m*n \rrbracket = \mathtt{replace}(\llbracket m \rrbracket, "|", \llbracket n \rrbracket)$

For formulas f: semantics  $\llbracket f \rrbracket$  is a boolean

- $\blacktriangleright \ \llbracket m \leq n \rrbracket = \mathtt{startsWith}(\llbracket n \rrbracket, \llbracket m \rrbracket)$

### Semantics of BOL

Aspect	kind of semantic language	semantic language
deduction	logic	SFOL
concretization	database language	SQL
computation	programming language	Scala
narration	natural language	English

see details of each translation in the lecture notes

### **General Definition**

A semantics by translation consists of

- syntax: a formal language I
- ► semantic language: a formal language *L*different or same aspect as /
- semantic prefix: a theory P in L formalizes fundamentals that are needed to represent I-objects
- interpretation: translates every *I*-theory T to an L-theory P, T

### Common Principles

### Properties shared by all semantics of BOL

not part of formal definition, but best practices

- ▶ I-declaration translated to L-declaration for the same name
- ontologies translated declaration-wise
- one inductive function for every kind of complex I-expression
  - individuals, concepts, relations, properties, formulas
    - maps *I*-expressions to *L*-expressions
- ▶ atomic cases (base cases): I-identifier translated to L-identifier of the same name or something very similar
- complex cases (step cases): compositional

### Compositionality

Case for operator \* in interpretation function compositional iff interpretation of  $*(e_1, \ldots, e_n)$  only depends on on the interpretation of the  $e_i$ 

$$[\![*(e_1,\ldots,e_n)]\!] = [\![*]\!]([\![e_1]\!],\ldots,[\![e_n]\!])$$

for some function  $[\![*]\!]$ 

Example: ;-operator of BOL in translation to FOL

- ▶ translation:  $[\![R_1; R_2]\!] = \exists m : \iota.[\![R_1]\!](x, m) \land [\![R_2]\!](m, y)$
- special case of the above via
  - **\*** \* =:
  - $\triangleright$  n=2
  - $[\![ ] ] = (p_1, p_2) \mapsto \exists m : \iota.p_1(x, m) \land p_2(m, y)$
- ▶ Indeed, we have  $[R_1; R_2] = [; ]([R_1], [R_2])$

# Compositionality (2)

Translation compositional iff

- lacktriangle one translation function for each non-terminal all written  $\llbracket rbracket$
- each defined by one induction on syntax

i.e., one case for production mutually recursive

all cases compositional

Substitution theorem: a compositional translation satisfies

$$[\![E(e_1,\ldots,e_n)]\!] = [\![E]\!]([\![e_1]\!],\ldots,[\![e_n]\!])$$

for

- every expression  $E(N_1, ..., N_n)$  with non-terminals  $N_i$
- ▶ some function [E] that only depends on E

# Compositionality (3)

$$[\![E(e_1,\ldots,e_n)]\!] = [\![E]\!]([\![e_1]\!],\ldots,[\![e_n]\!])$$

for every expression  $E(N_1, ..., N_n)$  with non-terminals  $N_i$ 

#### Now think of

- $\triangleright$  variable  $x_i$  of type  $N_i$  instead of non-terminal  $N_i$
- $\triangleright$   $E(x_1,...,x_n)$  as expression with free variables  $x_i$  of type  $N_i$
- $\triangleright$  expressions e derived from N as expressions of type N
- $ightharpoonup E(e_1,\ldots,e_n)$  as result of substituting  $e_i$  for  $x_i$
- $ightharpoonup [E](x_1,\ldots,x_n)$  as (semantic) expression with free variables  $x_i$

#### Then both sides of equations act on $E(x_1, \ldots, x_n)$ :

- ▶ left side yields  $\llbracket E(e_1, ..., e_n) \rrbracket$  by
  - first substitution e<sub>i</sub> for x<sub>i</sub>
    - ▶ then semantics ¶—¶ of the whole
- right side yields  $\llbracket E \rrbracket (\llbracket e_1 \rrbracket, \dots, \llbracket e_n \rrbracket)$  by

  - then substitution [e<sub>i</sub>] for x<sub>i</sub>

#### semantics commutes with substitution

### Non-Compositionality

#### Examples

- deduction: cut elimination, translation from natural deduction to Hilbert calculus
- computation: optimizing compiler, e.g., loop unrolling
- concretization: query optimization, e.g., turning a WHERE of a join into a join of WHEREs,
- narration: ambiguous words are translated based on context

#### Typical sources

- subcases in a case of translation function
  - based on inspecting the arguments, e.g., subinduction
  - based on context
- custom-built semantic prefix

: Type Systems 41

Type Systems

### **Breakout Question**

Is this an improvement over BOL?

#### Declarations

```
D ::= individual ID : C typed at atomic C atomic C relation ID \subseteq C \times C typed at property ID \subseteq C \times T typed at
```

typed atomic individual atomic concept typed atomic relation typed atomic property

rest as before

## Actually, when is a language an improvement?

#### Criteria: orthogonal, often mutually exclusive

- syntax design trade-off
  - expressivity: easy to express knowledge
    - e.g., big grammar, extra production for every user need
  - simplicity: easy to implement/interpret
    - e.g., few, carefully chosen productions
- semantics: specify, implement, document
- intended users
  - skill level
  - prior experience with related languages
  - amount of training needed
- long-term plans: re-answer the above question but now
  - maintainability: syntax was changed, everything to be redone
  - scalability: expressed knowledge content has reached huge sizes

# Church vs. Curry Typing

	intrinsic	extrinsic
$\lambda$ -calculus by	Church	Curry
type is	carried by object	given by environment
typing is a	function objects $ ightarrow$ types	relation objects $ imes$ types
objects have	unique type	any number of types
types interpreted as	disjoint sets	unary predicates
type given by	part of declaration	additional axiom
example	individual "WuV":"course"	individual "Wuv",
		"WuV" is-a "course"
examples	SFOL, SQL	OWL, Scala, English
	most logics, functional PLs	ontology, OO,
		natural languages
	many type theories	set theories

# Type Checking

	intrinsic	extrinsic
type is	carried by object	given by environment
typing is a	function objects $ ightarrow$ types	relation objects $ imes$ types
objects have	unique type	any number of types
type given by	part of declaration	additional axiom
example	individual "WuV":"course"	individual "Wuv",
		"WuV" is-a"course"
type inference for $x$	uniquely infer A from x	find minimal $A$ with $x : A$
type checking	inferred=expected	prove x : A
subtyping $A <: B$	cast from A to B	x: A  implies  x: B
typing decidable	yes unless too expressive	no unless restricted
typing errors	static (compile-time)	dynamic (run-time)
advantages	easy	flexible
	unique type inference	allows subtyping

# Curry Typing in BOL

language	objects	types	typing relation
Syntax	individuals	concepts	<i>i</i> is-a <i>c</i>
Semantics in			
FOL	type $\iota$	predicates $c \subseteq \iota$	c(i) true
SQL	table Individuals	tables containing ids	id of i in table $c$
Scala	String	hash sets of strings	c.contains(i)
English	proper nouns	common nouns	" <i>i</i> is a <i>c</i> " is true

### Subtyping

#### Subtyping works best with Curry Typing

- ightharpoonup explicit subtyping as in  $\mathbb{N} <: \mathbb{Z}$
- ▶ comprehension/refinement as in  $\{x : \mathbb{N} | x \neq 0\}$
- operations like union and intersection on types
- ▶ inheritance between classes, in which case subclass = subtype
- ▶ anonymous record types as in  $\{x : \mathbb{N}, y : \mathbb{Z}\} <: \{x : \mathbb{N}\}$

### A General Definition of a Type System

#### A **type system** consists of

- a collection, whose elements are called **objects**,
- a collection, whose elements are called intrinsic types,
- ▶ a function assigning to every object x its intrinsic type I, in which case we write x : I,
- ► for some intrinsic types *I* 
  - ightharpoonup an intrinsic type  $E_l$
  - ▶ a relation  $\in_I$  between objects with intrinsic types I and  $E_I$ , called the **extrinsic typing** relation for I.

# **Examples**

System	intrinsic types	$E_{I}$	$\in_I$
pure Church	one per type	none	none
pure Curry	objects $O$ , types $T$	$E_O = T$	<i>∈o=</i> :
FOL	one per type	none	none
Scala	AnyRef , Class	$E_{Any} = Class$	$\in_{\mathit{Any}}=\mathtt{isInstance}$
BOL	Ind, Conc	$E_{Ind} = Conc$	$\in_{\mathit{Ind}}=\mathtt{is}-\mathtt{a}$
set theory	Set, Prop	$E_{Set} = Set$	$\in_{\mathit{Set}} = \in$

### **Breakout Question**

What do the following have in common?

- Java class
- ► SQL schema for a table
- logical theory (e.g., Monoid)

### **Breakout Question**

What do the following have in common?

- Java class
- SQL schema for a table
- ▶ logical theory (e.g., Monoid)

all are (essentially) abstract data types

### Abstract Data Types: Motivation

Recall subject-centered representation of assertion triples:

```
individual "FlorianRabe"
is—a "instructor" "male"
"teach" "WuV" "KRMT"
"age" 40
"office" "11.137"
```

Can we use types to force certain assertions to occur together?

- Every instructor should teach a list of courses.
- Every instructor should have an office.

### Abstract Data Types: Motivation

```
Inspires subject-centered types, e.g.,
```

```
concept instructor
  teach course*
  age: int
  office: string

individual "FlorianRabe": "instructor"
  is—a "male"
  teach "WuV" "KRMT"
  age 40
  office "11.137"
```

#### Incidental benefits:

- no need to declare relations/properties separately
- reuse relation/property names distinguish via qualified names: instructor .age

# Abstract Data Types: Motivation

```
Natural next step: inheritance
concept person
  age: int
concept male <: person
concept instructor <: person
  teach course*
  office: string
individual "FlorianRabe": "instructor" □ "male"
  "teach" "WuV" "KRMT"
  "age" 40
  "office" "11.137"
```

our language quickly gets a very different flavor

### Abstract Data Types: Examples

#### Prevalence of abstract data types:

aspect	language	abstract data type
ontologization	UML	class
concretization	SQL	table schema
computation	Scala	class, interface
deduction	various	theory, specification, module, locale
narration	various	emergent feature

same idea, but may look very different across languages

### Abstract vs. Concrete Types

#### Concrete type: values are

- given by their internal form,
- defined along with the type, typically built from already-known pieces.

examples: products, inductive data types

#### Abstract type: values are

- given by their externally visible properties,
- defined in any environment that understands the type definition.

main example: abstract data types

### Abstract Data Types: Examples

aspect	type	values
computation	abstract class	instances of implementing classes
concretization	table schema	table rows
deduction	theory	models

Values depend on the environment in which the type is used:

- class defined in one specification language (e.g., UML), implementations in programing languages Java, Scala, etc. available values may depend on run-time state
- theory defined in logic, models defined in set theories, type theories, programming languages

available values may depend on philosophical position

### Abstract Data Types: Definition

Given some type system, an abstract data type (ADT) is

a flat type

$$\{c_1: T_1[=t_1], \ldots, c_n: T_n[=t_n]\}$$

where

- c<sub>i</sub> are distinct names
- $ightharpoonup T_i$  are types
- $ightharpoonup t_i$  are optional definitions; if given,  $t_i$ :  $T_i$  required
- or a mixin type

$$A_1 * \ldots * A_n$$

for ADTs  $A_i$ .

Languages may or may not make ADTs additional types of the type system

```
: Type Systems
```

A class definition in OO:

abstract class 
$$a$$
 extends  $a_1$  with ... with  $a_m$  {  $c_1$ :  $\mathcal{T}_1$ 

$$c_n$$
:  $T_n$ 

Corresponding ADT definition:

The usual terminology:

**a inherits** from 
$$a_i$$

▶ a<sub>i</sub> are super-X or parent-X of a where X is whatever the language calls its ADTs (e.g., X=class)

 $a = a_1 * ... * a_m * \{c_1 : T_1, ..., c_n : T_n\}$ 

# Abstract Data Types: Flattening

#### The **flattening** $A^{\flat}$ of an ADT A is

- ightharpoonup if A is flat:  $A^{\flat} = A$
- $(A_1 * ... * A_n)^b$  is union of all  $A_i^b$  where duplicate field names are handled as follows
  - same name, same type, same or omitted definition: merge details may be much more difficult
  - otherwise: ill-formed

### Abstract Data Types: Subtleties

We gloss over several major issues:

- ► How exactly do we merge duplicate field names? Does it always work? implement abstract methods, override, overload
- ▶ Is recursion allowed, i.e., can I define an ADT a = A where a occurs in A?

common in OO-languages: use a in the types of its fields

- What about ADTs with type arguments?
  - e.g., generics in Java, square-brackets in Scala
- Is mutual recursion between fields in a flat type allowed?
  common in OO-languages
- ▶ Is \* commutative? What about dependencies between fields?

no unique answers

incarnations of ADTs subtly different across languages

: Context-Sensitive Syntax

# Context-Sensitive Syntax

#### **Definition**

#### A language system consists of

- context-free syntax
- lacktriangleright distinguished non-terminal symbol  ${\cal V}$

words called vocabularies

ightharpoonup some distinguished non-terminal symbols  ${\cal E}$ 

words called  $\mathcal{E}$ -expressions

• unary predicate  $wft(\Theta)$  on vocabularies  $\Theta$ 

well-formed vocabulary  $\Theta$ 

• unary predicates  $\operatorname{wff}_{\Theta}^{\mathcal{E}}(E)$  well-formed  $\mathcal{E}$ -expressions E

# Typical Structure

#### Vocabularies

lists of declarations

#### **Declarations**

- named
- at least one for each expression kind
- may contain other expressions
- may contain nested declarations

e.g., type, definition

e.g., fields in an ADT

#### Expressions

- inductive data type
- relative to vocabulary

names occur as base cases

formulas as special case

## Vocabularies and Expressions

Aspect	vocabulary Θ	expression kinds ${\mathcal E}$
Ontologization	ontology	individual, concept, relation, property, formula
Concretization	database schema	cell, row, table, formula
Computation	program	term, type, object, class,
Logic	signature, theory	term, type, formula,
Narration	dictionary	phrases, sentences, texts

## **Examples**

See notes made during the lecture for examples

# Concrete Knowledge

: Concrete Knowledge

#### Motivation

#### Main ideas

- Ontology abstractly describes concepts and relations
- ► Tool maintains concrete data set
- Focus on efficiently
  - identifying (i.e., assign names)
  - representing
  - processing
  - querying

large sets of concrete data

#### Recall: TBox-ABox distinction

- ► TBox: general parts, abstract, fixed
  - main challenge: correct modeling of domain
- ► ABox: concrete individuals and assertions about them, growing main challenge: aggregate them all

#### Concrete Data

#### Concrete is

- Base values: integers, strings, booleans, etc.
- Collections: sets, multisets, lists (always finite)
- Aggregations: tuples, records (always finite)
- User-defined concrete data: enumerations, inductive types
- Advanced objects: finite maps, graphs, etc.

#### Concrete is not

- ▶ Free symbols to be interpreted by a model
- exception: foreign function interfaces

 $\lambda$ -abstraction, quantification

- Variables (free or bound)
- ► Symbolic expressions formulas, algorithms
  - Exceptions:
    - expressions of inductive type
    - application of built-in functions
    - queries that return concrete data

### Breakout question

What is the difference between

- ▶ an OWL ontology
- an SQL database

### Two Approaches

### Based on untyped (Curry-typed) ontology languages

- Representation based on knowledge graph
- Ontology written in BOL-like language
- Data maintained as set of triples
- ► Typical language/tool design

  - ontology and query language separate triple store and query engine integrated
- e.g., OWL, SPARQL

tool = triple store

e.g., Virtuoso tool

### Based on typed (Church-typed) ontology languages

- Representation based on abstract data types
- Ontology written as database schema
- Data maintained as tables tool = (relational) database
- Typical language/tool design
  - ontology and query language integrated
  - table store and query engine integrated
- e.g., SQL e.g., SQLite tool

### Evolution of Approaches

#### Our usage is non-standard

- Common
  - ontologies = untyped approach, OWL, triples, SPARQL
  - databases = typed approach, tables, SQL
- Our understanding: two approaches evolved from same idea
  - triple store = untyped database
  - ► SQL schema = typed ontology

#### **Evolution**

- Typed-untyped distinction minor technical difference
- Optimization of respective advantages causes speciation
- ► Today segregation into different
  - jargons
  - languages, tools
  - communities, conferences
  - courses

## Curry-typed concrete data

## Central data structure = knowledge graph

- ightharpoonup nodes = individuals i
  - identifier
  - sets of concepts of i
  - key-value sets of properties of i
- edges = relation assertions
  - from subject to object
  - labeled with name of relation

## Processing strengths

- store: as triple set
- edit: Protege-style or graph-based
- visualize: as graph different colors for concepts, relations
- query: match, traverse graph structure
- untyped data simplifies integration, migration

## Church-typed concrete data

#### Central data structure = relational database

- ► tables = abstract data type
- rows = objects of that type
- columns = fields of ADT
- cells = values of fields

## Processing strengths

- store: as CSV text files, or similar
- edit: SQL commands or table editors
- visualize: as table view
- query: relational algebra
- typed data simplifies selecting, sorting, aggregating

## **Identifiers**

## Curry-Typed Knowledge graph

- concept, relation, property names given in TBox
- individual names attached to nodes

#### Church-Typed Database

- table, column names given in schema
- row identified by distinguished column (= key) options
  - preexistent characteristic column
  - added upon insertion
    - UUID string
    - incremental integers
    - concatenation of characteristic list of columns
- column/row identifiers formed by qualifying with table name

#### Axioms

## Curry-Typed Knowledge Graph

- traditionally very expressive axioms
- yields inferred assertions
- triple store must do consequence closure to return correct query results
- not all axioms supported by every triple store

#### Church-Typed Database

- typically no axioms
- instead consistency constraints, triggers
- allows limited support for axioms without calling it that way
- stronger need for users to program the consequence closure manually

## Breakout question

When using typed concrete data, how to fully realize abstract data types

- nesting: ADTs occurring as field types
- inheritance between ADTs
- mixins

## ADTs in Typed Concrete Data

#### Nesting: field a : A in ADT B

- field types must be base types, a: A not allowed
- allow ID as additional base type
- ▶ use field a : ID in table B
- store value of b in table A

#### Inheritance: B inherits from A

- ▶ add field *parent*<sub>A</sub> to table B
- store values of inherited fields of B in table A

general principle: all objects of type A stored in same table

#### Mixin: A \* B

- essentially join of tables A and B on common fields
- some subtleties depending on ADT flattening

## Open/Closed World

- Question: is the data complete?
  - closed world: yes
  - open world: not necessarily
- Dimensions of openness
  - existence of individual objects
  - assertions about them
- Sources of openness
  - more exists but has not yet been added
  - more could be created later
- Orthogonal to typed/untyped distinction, but in practice
  - knowledge graphs use open world
  - databases use closed world

Open world is natural state, closing adds knowledge

## Closing the World

## Derivable consequences

- induction: prove universal property by proving for each object
- negation by failure: atomic property false if not provable
- term-generation constraint: only nameable objects exist

#### **Enabled operations**

- universal set: all objects
- complement of concept/type
- defaults: assume default value for property if not otherwise asserted

## Monotonicity problem

- ▶ monotone operation: bigger world = more results
- $\triangleright$  examples: union, intersection,  $\exists R.C$ , join, IN conditions
- $\triangleright$  counter-examples: complement,  $\forall R.C$ , NOT IN conditions

technically, non-monotone operations in open world dubious

Primitive Types and Encoding Data

Primitive Types and Encoding Data: Motivation

# Primitive Types and Encoding Data

Motivation

## Data Interoperability

#### Situation

- languages systems focus on different aspects frequent need to exchange data
- generally, lots of aspect/language-specific objects proofs, programs, tables, sentences
- but same/similar primitive data types used across systems should be easy to exchange

#### **Problem**

- crossing system barriers usually require interchange language serialize as string and reparse
- ▶ interchange languages typically untyped XML, JSON, YAML, ...

#### Solution

- standardize primitive data types
- standardize encoding in interchange languages

## Primitive vs. Declared

#### Primitive Types

- built into the language
- ► assumed to exist a priori fundamentals of nature
- ▶ fixed semantics (usually interpreted by identity function)

#### Triple Structure: 3 kinds of named objects

- the type eg: 'int'
  - ▶ values of the type eg: 0, 1, -1, ...
  - ▶ operations on type eg: addition, multiplication, . . .

	primitive	declared
introduced by	language designer	user
introduced in	grammar	vocabulary $\it V$
visible in	all vocabularies	V only
semantics given	explicitly	implicitly
by	translation function	axioms

## Examples

## Typical primitive types

- ▶ natural numbers (= N)
- ightharpoonup arbitrary precision integers (=  $\mathbb{Z}$ )
- ▶ fixed precision integers (32 bit, 64 bit, ...)
- ▶ floating point (float, double, ...)
- Booleans
- characters (ASCII, Unicode)
- strings

#### Observation:

- essentially the same in every language including whatever language used for semantics
- semantics by translation trivial

# Quasi-Primitive = Declared in standard library

## Standard library

- present in every language assumed empty vocabulary by default
- one fixed vocabulary
  - implicitly included into every other vocabulary
  - implicitly fixed by any translation between vocabularies
- objects technically declared
- but practically part of primitive objects

## Examples

- sufficiently expressive languages
  - push many primitive objects to standard library never all
  - simplifies language, especially when defining operations

- inexpressive languages
  - many primitives
  - few (quasi)-primitives

SQL, spreadsheet software few operations available in OWL

strings in C, BigInteger in Java, inductive type for N

## Treatment in this Course

## BOL syntax and semantics so far

- primitive objects omitted in syntax
- assumed reasonable collection available
- assumed same (quasi-)primitive objects in semantic languages irrelevant if interpreting primitive objects as primitive or quasi-primitive

## largely justified by practical languages

## But what exactly is the standard?

- will present possible solution
- uses special ontology language just for specifying primitive objects
  - name
  - type
  - semantics

typically narrative; alternatively deductive, computational

current research, not standard practice

## **Encoding Primitive Types**

#### Problem

- quickly encounter primitive types not supported by common languages
- need to encode them using existing types typically as strings, ints, or prodcuts/lists thereof

## Examples

- date, time, color, location on earth
- graph, function
- picture, audio, video
- physical quantities (1m, 1in, etc.)
- gene, person

Breakout questions: What primitive types do we need for univis?

## Failures of Encodings

## Y2K bug

- ▶ date encoded as tuple of integers, using 2 digits for year
- needed fixing in year 2000
- estimated \$300 billion spent to change software
- ▶ possible repeat: in 2038, number of seconds since 1970-01-01 (used by Unix to encode time as integer) overflows 32-bit integers

#### Genes in Excel

- ▶ 2016 study found errors in 20% of spreadsheets accompanying genomics journal papers
- gene names encoded as strings but auto-converted to other types by Excel
  - ► "SEPT2" (Septin 2) converted to September 02
  - ► REKIN identifiers, e.g., "2310009E13", converted to float 2.31*E* + 1

https://genomebiology.biomedcentral.com/articles/10.1186/s13

## Failures of Encodings (2)

#### Mars Climate Orbiter

- two components exchanged physical quantity
- specification required encoding as number using unit Newton seconds
- one component used wrong encoding (with pound seconds as unit)
- led to false trajectory and loss of \$300 million device

#### Shellshock

- bash allowed gaining root access from 1998 to 2014
- function definitions were encoded as source code
- not decoded at all; instead, code simply run (as root)
- ▶ allowed appending "; ..." to function definitions

## SQL injection similar: complex data encoded as string, no decoding

# Research Goal for Aspect-Independent Data in Tetrapod

## Standardization of Common Data Types

- Ontology language optimized for declaring types, values, operations semantics must exist but can be extra-linguistic
- Vocabulary declaring such objects
   should be standardized, modular, extensible

#### Standardization of Codecs

- ► Fixed small set of primitive objects
  - should be (quasi-)primitive in every language not too expressive, possibly untyped
  - Standard codecs for translating common types to interchange languages

## Codec for type A and int. lang. L

- ightharpoonup coding function A-values ightarrow L-objects
- ightharpoonup partial decoding function *L*-objects ightharpoonup *A*-values
- ▶ inverse to each other in some sense

## Overview

#### Next steps

- 1. Data types
- 2. Data interchange languages
- 3. Codecs

Primitive Types and Encoding Data: Data Types

# Primitive Types and Encoding Data Data Types

**Breakout Question** 

What types do we need?

## Atomic Data Types: basic

## typical in IT systems

- ▶ fixed precision integers (32 bit, 64 bit, ...)
- ▶ IEEE float, double
- Booleans
- Unicode characters
- strings

could be list of characters but usually bad idea

#### typical in math

- ightharpoonup natural numbers (=  $\mathbb{N}$ )
- ightharpoonup arbitrary precision integers  $(=\mathbb{Z})$
- rational, real, complex numbers
- graphs, trees

clear: language must be modular, extensible

## Atomic Data Types: advanced

#### general purpose

- ▶ date, time, color, location on earth
- picture, audio, video

#### domain-specific

- physical quantities (1m, 1in, etc.)
- gene, person
- semester, course id, ...

clear: language must be modular, extensible

## Complex Data Types

- relatively easy if all primitive types atomic int, string, etc.
- but need to allow for complex types

#### Two kinds

- type operators: take only type arguments, return types
  - type operator ×
  - ► takes two types A, B
  - returns type  $A \times B$
- dependent types: take also data arguments, return types
  - dependent type operator vector
  - takes natural number n, type A
  - returns type  $A^n$  of n-tuples over A

dependent types much more complicated, less uniformly used harder to starndardize

## Collection Data Types

## Homogeneous Collection Types

- sets
- multisets (= bags)
- ▶ lists all unary type operators, e.g. *list A* is type of lists over *A*
- fixed-length lists (= Cartesian power, vector n-tuple)
  dependent type operator

#### Heterogeneous Collection Types

- lists
- ▶ fixed-length lists (= Cartesian power, *n*-tuple)
- sets
- multisets (= bags)
  all atomic types, e.g., list is type of lists over any objects

## Aggregation Data Types

#### **Products**

- ► Cartesian product of some types  $A \times B$  values are pairs (x, y) numbered projections  $_{1, 2}$  order relevant
- ▶ labeled Cartesian product (= record) {a : A, b : B} values are records {a = x, b = y} named projections a, b — order irrelevant

## Disjoint Unions

- ▶ disjoint union of some types  $A \uplus B$  values are  $inj_1(x)$ ,  $inj_2(y)$  numbered injections 1, 2 order relevant
- ▶ labeled disjoint union a(A)|b(B) values are constructor applications a(x), b(y) named injections a, b order irrelevant

labeled disjoint unions uncommon but recursive labeled disjoint union = inductive data type

- relatively easy if all data types disjoint
- better with subtyping open problem how to do it nicely

## Subtyping Atomic Types

- ▶ N <: Z
- ASCII <: Unicode</p>

#### Subtyping Complex Types

covariance subtyping (= vertical subtyping) same for disjoint unions

 $A <: A' \Rightarrow list A <: list A'$ 

- $A_i <: A'_i \Rightarrow \{\ldots, a_i : A_i, \ldots\} <: \{\ldots, a_i : A'_i, \ldots\}$
- structural subtyping (= horizontal subtyping)
- ${a: A, b: B} :> {a: A, b: B, c: C}$ a(A)|b(B) <: a(A)|b(B)|c(C)

## A Basic Language for Typed Data

Let BDL be given by

```
Types
T ::= int \mid float \mid string \mid bool
                                   base types
      list T
                                   homogeneous lists
      (ID:T)^*
                                   record types
                                   additional types
Data
D ::= (64 bit integers)
      (IEEE double)
      " (Unicode strings)"
      true false
                                   lists
     (ID = D)^*
                                   records
                                  constructors for additional types
```

# Primitive Types and Encoding Data Data Representation Languages

## Overview

## **General Properties**

- general purpose or domain-specific
- typed or untyped typical: Church-typed but no type operators, quasi untyped
- text or binary serialization
- libraries for many programming languages
  - data structures
  - serialization (data structure to string)
    - parsing (string to data structure, partial)

#### **Candidates**

- ► XML: standard on the web, notoriously verbose
- ► JSON: JavaScript objects, more human-friendly text syntax older than XML, probably better choice than XML in retrospect
- ► YAML: line/indentation-based

## **Breakout Question**

What is the difference between JSON, YAML, XML?

## Typical Data Representation Languages

XML, JSON, YAML essentially the same

except for concrete syntax

## **Atomic Types**

records

- integer, float, boolean, string
- need to read fine-print on precision

## (Not Very) Complex Types

- heterogeneous lists
- neterogeneous lists

- a single type for all lists
- a single type for all records

## Example: JSON

#### Weirdnesses:

- atomic/list/record = basic/array/object
- record field names are arbitrary strings, must be quoted
- records use : instead of =

## Example: YAML

inline syntax: same as JSON but without quoted field names alternative: indentation-sensitive syntax

```
individual: "FlorianRabe"
age: 40
concepts:
- "instructor"
- "male"
teach:
- name: "WuV"
credits: 7.5
- name: "KRMT" credits: 5
```

#### Weirdnesses:

- ► atomic/list/record = scalar/collection/structure
- records use : instead of =

## Primitive Types and Encoding Data: Data Representation Languages

## Example: XML

Weird structure but very similar

- elements both record (= attributes) and list (= children)
- elements carry name of type (= tag)

► Good: Person, Course, Concept give type of object

easier to decode

Bad: value of record field must be string

concepts cannot be given in attribute integers, Booleans, whitespace-separated lists coded as strings

## Structure Sharing

#### Problem

- ▶ Large objects are often redundant specially when machine-produced
- ► Same string, URL, mathematical objects occurs in multiple places
- Handled in memory via pointers
- Size of serialization can explode

## Solution 1: in language

- Add definitions to language common part of most languages anyway
- Users should introduce name whenever object used twice
- Problem: only works
  - duplication anticipated
  - users introduced definition
  - duplication within same context

structure-sharing most powerful if across contexts

# Structure Sharing (2)

### Solution 2: in tool

- Use factory methods instead of constructors
- Keep huge hash set of all objects
- Reuse existing object if already in hash set
- Advantages
  - allows optimization
  - transparent to users
- Problem: only works
  - for immutable data structures
  - if no occurrence-specific metadata

e.g., source reference

## In data representation language

- Allow any subobject to carry identifier
- Allow identifier references as subobjects
   allows preserving structure-sharing in serialization

supported by XML, YAML

# Primitive Types and Encoding Data Codecs

## **General Definition**

Throughout this section, we fix a data representation language L.

L-words called codes

Given a data type T, a codec for T consists

- ightharpoonup coding function:  $c: T \rightarrow L$
- ▶ partial decoding function:  $d: L \rightarrow$ ? T
- such that

$$d(c(x)) = x$$

## **Codec Operators**

Given a data type operator T taking n type arguments, a codec operator C for T

- $\triangleright$  takes *n* codecs  $C_i$  for  $T_i$
- returns a codec  $C(C_1,\ldots,C_n)$  for  $T(T_1,\ldots,T_n)$

### Exercise 4

We fix strings as the data representation language L.

## Then,

- 1. Jointly specify
  - additional BDL types and constructors for univis-specific data
  - codecs and codec operators for all types resp. type operators
- 2. Individually, in any programming language, implement
  - data structures for BDL
  - string codecs (operators) for all BDL base types (operators)
- 3. Use your codecs to exchange example data with your fellow students, who used different implementations and different programming languages.

# Codecs for Base Types

We define codecs for the base types using strings as the data representation language L.

#### Easy cases:

- StandardFloat: as specified in IEEE floating point standard
- ► StandardString: as themselves, quoted
- StandardBool: as true or false
- StandardInt (64-bit): decimal digit-sequences as usual

# **Breakout Question**

How to encode unlimited precision integers?

# Codecs for Unlimited Precision Integers

#### Encode $z \in \mathbb{Z}$

- L is strings: decimal digit sequence as usual
- L is JSON:
  - ► IntAsInt: decimal digit sequence as usual

JSON does not specify precision but target systems may get in trouble

► IntAsString: string containing decimal digit sequence

safe but awkward

IntAsDecList: list of decimal digits

safe but awkward

▶ IntAsList1: as list of digits for base 2<sup>64</sup>

OK, but we can do better

- ► IntAsList2: as list of
  - integer for the number of digits, sign indicate sign of z
  - list of digits of |z| for base  $2^{64}$

Question: Why is this smart?

## Codecs for Unlimited Precision Integers

#### Encode $z \in \mathbb{Z}$

- L is strings: decimal digit sequence as usual
- L is JSON:
  - ► IntAsInt: decimal digit sequence as usual

JSON does not specify precision but target systems may get in trouble

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IntAsDecList: list of decimal digits

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► IntAsList1: as list of digits for base 2<sup>64</sup>

OK, but we can do better

- IntAsList2: as list of
  - integer for the number of digits, sign indicate sign of z
  - list of digits of |z| for base  $2^{64}$

Question: Why is this smart?

Can use lexicographic ordering for size comparison

## Codecs for Lists

#### Encode list x of elements of type T

- ► *L* is strings: e.g., comma-separated list of *T*-encoded elements of *x*
- L is JSON:
  - ListAsString: like for strings above
  - ► ListAsArray: lists JSON array of *T*-encoded elements of *x*

# Additional Types

Examples: semester

Extend BDL:

```
Types
T ::= Sem \qquad \text{semester}
Data
D ::= sem(int, bool) \quad \text{i.e., year} + \text{summer}^?
```

Define standard codec:

$$sem(y, true) \leadsto "SSY"$$
  
 $sem(y, false) \leadsto "WSY"$ 

where *Y* is encoding of *y* 

# Additional Types (2)

Examples: timestamps

Extend BDL:

Types

T ::= timestamp

Data

D ::= (productions for dates, times, etc.)

Standard codec: encode as string as defined in ISO 8601

# Primitive Types and Encoding Data Data Interchange

## Design

- 1. Specify type system, e.g., BDL
  - types
  - constructors
  - operations

can be done in appropriate type theory

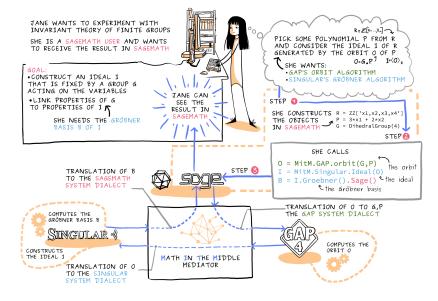
- Pick data representation language L
- Specify codecs for type system and L
  - at least one codec per base type
  - at least one codec operator per type operator

on paper

- 4. Every system implements
  - type system (as they like) typically aspect-specific constraints
  - codecs as specified
  - function mapping types to codecs
- Systems can exchange data by encoding-decoding type-safe because codecs chosen by type

Implementation in Scala part of course resources

## Example Application: OpenDreamKit research project



# Primitive Types and Encoding Data BDL-SQL Integration

#### Overview

#### Idea

- define data types in BDL or similar typed ontology language
- use ADTs
- generate corresponding
  - class definitions for programming languages
  - table definitions in SQL
- use codecs to convert automatically when interchanging data

#### Open research problem

no shiny solution yet that can be presented in lectures

### Codecs in Database Schemas

#### SQL table schema = list of fields where field is

- name
- type

only types of database supported

#### Semantic table schema = list of fields where field is

- name
- ► type *T* of type system

independent of database

codec for T using primitive objects of database as codes see research paper https://kwarc.info/people/frabe/Research/WKR\_

Codec could be chosen automatically, but we want to allow multiple users a choice of codecs for the same type.

## Example

## Ontology based on BDL-ADTs with additional codec information:

```
schema Instructor
name: string codec StandardString
age: int codec StandardInt
courses: list int codec CommaSeparatedList CourseAsName
schema Course
name: string codec StandardString
credits: float codec StandardFloat
semester: Semester codec SemesterAsString
```

#### Generated SQL tables:

```
CREATE TABLE Instructor
  (name string, age int, courses string)
CREATE TABLE Course
  (name string, credits float, semester string)
```

## Open Problem: Non-Compositionality

#### Sometimes optimal translation is non-compositional

- example translate list-type in ADT to comma-separated string in DB
- better break up list B fields in type A into separate table with columns for A and B

#### Similar problems

- a pair type in an ADT could be translated to two separate columns
- an option type in an ADT could translated to a normal column using SQL's NULL value

# Open Problem: Querying

- General setup
  - write SQL-style queries using at the BDL level
  - automatically encode values when writing to database from PL
  - automatically decode query results when reading from DB
- But queries using semantic operations cannot always be translated to DB
  - ightharpoonup operation *IsSummer* : *Semester* ightharpoonup *bool* in BDL
  - query SELECT \* FROM course WHEREIsSummer(semester)
  - how to map IsSummer to SQL?
- Ontology operations need commuting operations on codes
  - ▶ given  $f: A \rightarrow B$  in BDL, codecs C, D for A and B
  - SQL function f' commutes with f iff

$$B.decode(f'(C.encode a)) = f(a)$$

for all a: A