

# Authentication based on public keys

public keys & X.509

# Authentication using public key

- Idea: use signed messages containing challenges or timestamps; signatures can be verified using public key
- Problem: it is important to guarantee knowledge of public key. In fact
  1. Alice wants to be authenticated by Bob;
  2. Let  $K_{pT}$  Trudy's public key
  3. If Trudy convinces B that the public key of A is  $K_{pT}$  then Trudy can be authenticated by Bob as Alice

Solution: Public Key Infrastructure: authority that guarantees correctness of public keys.

# Authentication using public key Needham-Schroeder

$K_{pX}$ : public key of X,  $Sig\_C$  digital signature of C  
(trusted authority guarantees public keys)

## Mutual authentication

1. A to C: <this is A, want to talk to B>
2. C to A: <B,  $K_{pB}$ ,  $Sig\_C(K_{pB}, B)$ >
3. A checks digital signature of C, generates nonce N and sends to B:  $K_{pB}(N, A)$
4. B decode (now wants to check A's identity) and sends to C: <B, A>
5. C to B: <A,  $K_{pA}$ ,  $Sig\_C(K_{pA}, A)$ >
6. B checks C's digital signature, retrieves  $K_{pA}$ , generates nonce N' and sends to A:  $K_{pA}(N, N')$
7. A decodes, checks N, and sends to B:  $K_{pB}(N')$

# Attack to N.-S. public key

- Trudy is a system user that can talk (being authenticated) to A, B & C
- Two interleaved excerpts of the protocol:  
R1: authentication between A and T;  
R2: authentication between T (like A) with B
- Man in the middle attack
- *T must be able to induce A to start an authentication session with T*
- Steps 1, 2, 4, 5 allow to obtain public keys
- Steps 3, 6, 7 perform authentication

# Attack to N.-S. public key

Steps 1, 2, 4 e 5 allow to know public keys

We focus on steps 3, 6, 7 of R1 and R2:

- a)  $A \rightarrow T$  : step 3 of R1 sends  $K_{p_T}(N, A)$
- b)  $T(\text{like } A) \rightarrow B$ : step 3 of R2 sends  $K_{p_B}(N, A)$
- c)  $B \rightarrow T(\text{like } A)$ : step 6 of R2 sends  $K_{p_A}(N', N)$
- d)  $T \rightarrow A$ : step 6 of R1 sends  $K_{p_A}(N', N)$
- e)  $A \rightarrow T$ : step 7 of R1 sends  $K_{p_T}(N')$
- f)  $T(\text{like } A) \rightarrow B$ : step 7 of R2 sends  $K_{p_B}(N')$

**B thinks that he is talking to A by sharing secret nonces!**

# Authentication using public key Needham-Schroeder (fixed)

$K_{pX}$ : public key of X,  $Sig\_C$  digital signature of C  
(trusted authority guarantees public keys)

Mutual authentication

1. A to C: <this is A, want to talk to B>
2. C to A: <B,  $K_{pB}$ ,  $Sig\_C(K_{pB}, B)$ >
3. A checks digital signature of C, generates nonce N and sends to B:  $K_{pB}(N, A)$
4. B decode (now wants to check A's identity) and sends to C: <B, A>
5. C to B: <A,  $K_{pA}$ ,  $Sig\_C(K_{pA}, A)$ >
6. B checks C's digital signature, retrieves  $K_{pA}$ , generates nonce N' and sends to A:  $K_{pA}(B, N, N')$
7. A decodes, checks N, and sends to B:  $K_{pB}(N')$

# Why the previous attack fails

We focus on steps 3,6,7 of R1 and R2:

- a)  $A \rightarrow T$  : step 3 of R1 sends  $K_{p_T}(N, A)$
- b)  $T(\text{like } A) \rightarrow B$ : step 3 of R2 sends  $K_{p_B}(N, A)$
- c)  $B \rightarrow T(\text{like } A)$ : step 6 of R2 sends  $K_{p_A}(B, N', N)$
- d)  $T \rightarrow A$ : **BEFORE** in step 6 of R1 T sends  $K_{p_A}(N', N)$ ;  
**NOW T CANNOT** send  $K_{p_A}(B, N', N)$  while is talking to A!!
- e)  $A \rightarrow T$ : step 7 of R1 sends  $K_{p_T}(N')$
- f)  $T(\text{like } A) \rightarrow B$ : step 7 of R2 sends  $K_{p_B}(N')$

# X.509 Authentication standard

- Part of standard known as CCITT X.500
- Defined in 1988 and several times revised (until 2000)
  - version 3
- We need directory of public keys signed by certification authority
- Define authentication protocols (see for instance [Stallings2005], or [https://www.itu.int/rec/dologin\\_pub.asp?lang=e&id=T-REC-X.509-201210-I!!PDF-E&type=items](https://www.itu.int/rec/dologin_pub.asp?lang=e&id=T-REC-X.509-201210-I!!PDF-E&type=items), p. 110)
  - One-Way Authentication
  - Two-Way Authentication
  - Three-Way Authentication
- Public key cryptography and digital signatures
  - Algorithms are not part of the standard (why?)



# X.509 (one-way) authentication

Timestamp  $t_A$

Session key  $K_{AB}$

B's public key  $P_B$

certA: certificate of A's public key, signed by  
certification authority

$A \rightarrow B : \text{certA}, D_A, \text{Sig}_A(D_A)$

$D_A = \langle t_A, B, P_B(K_{AB}) \rangle$

# One-way authentication (discussion)

Single transfer of information from A to B and establishes the following:

1. Identity of A and that message was generated by A
2. That message was intended for B
3. Integrity and originality (not sent multiple times) of message
  - Message includes at least a timestamp  $t_A$  (a nonce could be included too) and identity of B and is signed with A's private key
    - Timestamp consists of (optional) generation time and expiration time. This prevents delayed delivery of messages.
    - Nonce can be used to detect replay attacks. Its value must be unique within the expiration time of the message. B can store nonce until message expires and reject any new messages with same nonce.
  - For authentication, message used simply to present credentials to B
  - Message may also include information, `sgnData` (not shown)
    - included within signature, guaranteeing authenticity and integrity.
  - Message may also be used to convey session key to B, encrypted with B's public key

# X.509 (two-ways) mutual authentication

Mutual authentication:

- $A \rightarrow B$   
**certA,  $D_A$ , Sig\_A( $D_A$ ) [ $D_A = \langle t_A, N, B, P_B(k) \rangle$ ]**  
(how does A know  $P_B$ ?)
- $B \rightarrow A$   
**certB,  $D_B$ , Sig\_B( $D_B$ ) [ $D_B = \langle t_B, N', A, N, P_A(k') \rangle$ ]**  
(how does B know  $P_A$ ?)

$t_A, t_B$  = timestamps, to prevent delayed delivery of messages;  $k, k'$  session keys proposed by A and B; use of nonces avoids replay attacks

criticism: in  $D_A$  there is no identity of A - refer to the modified N.S. protocol

# X.509 (three-ways) mutual authentication

Mutual authentication based on nonces, useful for unsynchronised clocks (0 denotes timestamp, optional)

three messages ( $A \rightarrow B$ ,  $B \rightarrow A$ ,  $A \rightarrow B$ ):

1.  $A \rightarrow B$ :  $\langle \text{cert}A, D_A, \text{Sig}_A(D_A) \rangle$  [ $D_A = \langle 0, N, B, P_B(k) \rangle$ ]
2.  $B \rightarrow A$ :  $\langle \text{cert}B, D_B, \text{Sig}_B(D_B) \rangle$  [ $D_B = \langle 0, N, A, N', P_A(k) \rangle$ ]
3.  $A \rightarrow B$ :  $\langle B, \text{Sig}_A(N, N', B) \rangle$

Note: step 3 requires digital signature of nonces, making them tied (no replay attacks)

# Challenge-response: ISO/IEC 9798-3 Mutual authentication (earlier version, bugged)

Why the following protocol does not work?

1. B to A:  $N_B$
2. A to B:  $\text{cert}A, N_A, N_B, B, \text{Sig\_A}(N_A, N_B, B)$
3. B to A:  $\text{cert}B, N_B', N_A, A, \text{Sig\_B}(N_B', N_A, A)$  [not predictable by A]

"Canadian" attack (from *Protocols for Authentication and Key Establishment*, C. Boyd and A. Mathuria, Springer 2003, p. 112)

1. T(B) to A :  $N_T$
2. A to T(B):  $\text{cert}A, N_A, N_T, B, \text{Sig\_A}(N_A, N_T, B)$ 
  1. T(A) to B:  $N_A$
  2. B to T(A):  $\text{cert}B, N_B, N_A, A, \text{Sig\_B}(N_B, N_A, A)$
3. T(B) to A:  $\text{cert}B, N_B, N_A, A, \text{Sig\_B}(N_B, N_A, A)$ ; T is authenticated!!

Note: use of  $N_B$  in step 3 (in place of  $N_B'$ ) has the same role as the use of Bob in step 6 of original N.-S. protocol

# PKI: Public Key Infrastructure

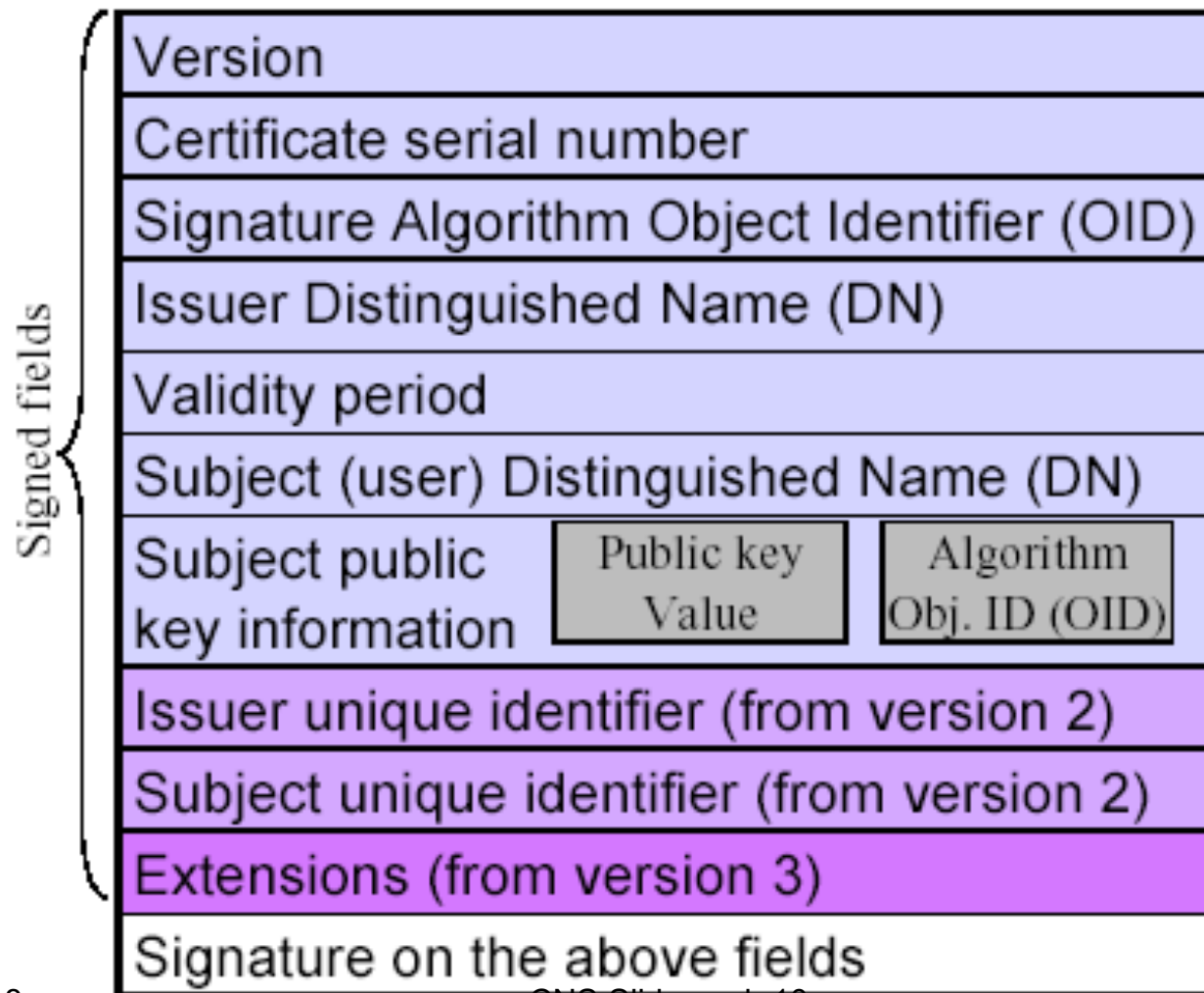
- Certificates are issued by a **trusted** Certification Authority (CA)
- The CA provides certificates of all users in domain
- When someone wants to know the public key of some user he/she asks the CA
  - CA provides user's public key, signing it by its own private key
- This implies it is sufficient to know one only public key (CA's public key)

## Notice:

- If CA is not trusted, its certificates are useless
- Keys are not used forever, they are subject to changes
- Length of key is related to security level

# X.509 Certificates

Certification authority CA guarantees public keys:



# X.509 certificate's fields 1

- **VERSION.** There are currently three versions defined, version 1 for which the code is 0, version 2 for which the code is 1, and version 3 for which the code is 2.
- **SERIALNUMBER.** An integer that, together with the issuing CA's name, uniquely identifies this certificate.
- **SIGNATURE.** Specifies the algorithm used to compute the signature on this certificate. It consists of a subfield identifying the algorithm followed by optional parameters for the algorithm.
- **ISSUER.** The X.500 name of the issuing CA.



# X.500 name

- X.500 names look like *C=US, O=company name, OU=research, CN=Alice*, where *C* means *country*, *O* means *organization*, *OU* means *organizational unit*, and *CN* is *common name*.
- There are rules about what types of name components are allowed to be under what others.
  - The encoding uses OIDs (Object IDentifiers) for each of the name component
- There is no standard for displaying X.500 names and different applications display them differently.

# X.509 certificate's fields 2

- **VALIDITY.** This contains two subfields, the time the certificate becomes valid, and the last time for which it is valid.
- **SUBJECT.** The X.500 name of the entity whose key is being certified.
- **SUBJECTPUBLICKEYINFO.** This contains two subfields, an algorithm identifier, and the subject's public key.
- **ISSUERUNIQUEIDENTIFIER.** Optional (permitted only in version 2 and version 3, but deprecated). Uniquely identifies the issuer of this certificate.

# X.509 certificate's fields 3

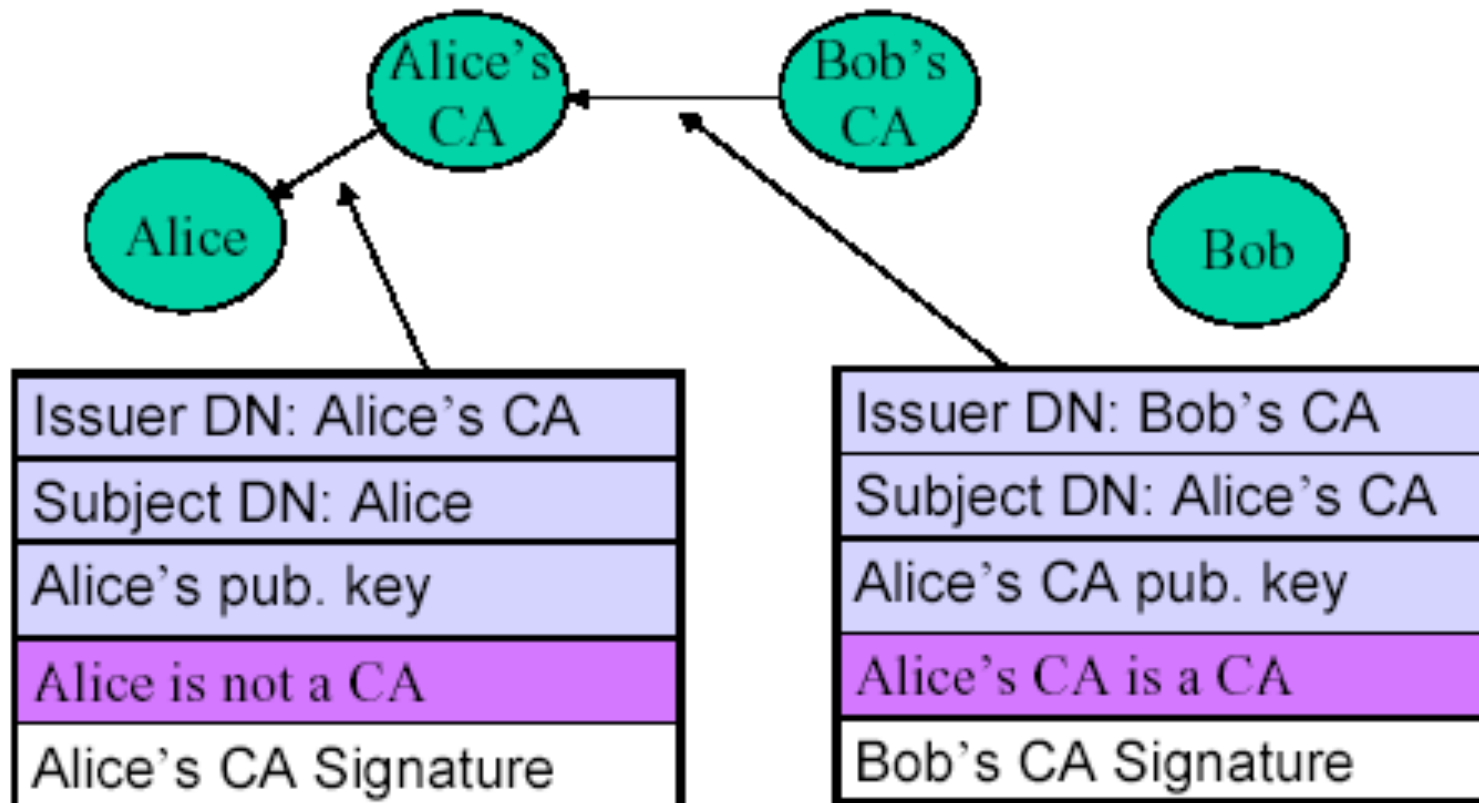
- SUBJECTUNIQUEIDENTIFIER. Optional (permitted only in version 2 and version 3, but deprecated). Uniquely identifies the subject of this certificate.
- ALGORITHMIDENTIFIER. This repeats the SIGNATURE field. Redundant!
- EXTENSIONS. These are only in X.509 version 3. X.509 allows arbitrary extensions, since they are defined by OID.
- ENCRYPTED. This field contains the signature on all but the last of the above fields.

# X.509 Certificates

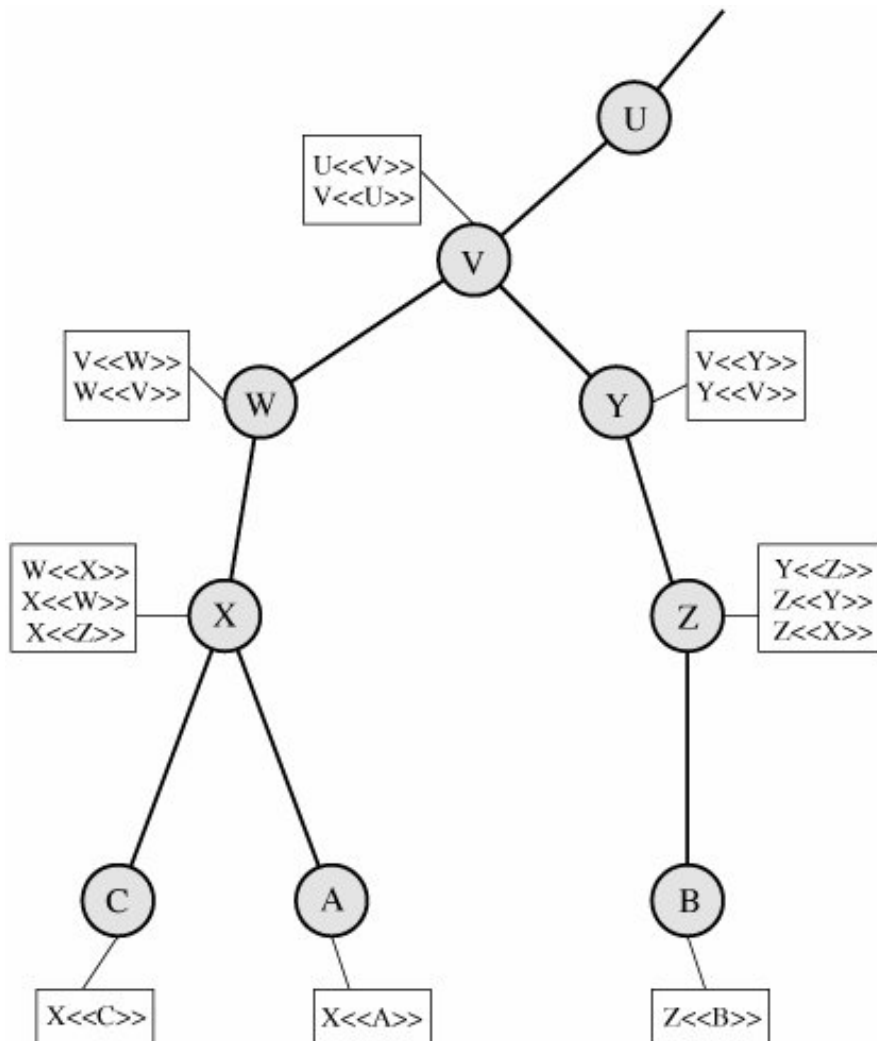
- They can be easily accessed
- Certificates are modified by CA
- Certificates impossible to falsify (RSA > 2000 bit)
- If Alice and Bob share the same CA then they can know each other Public Key
- Otherwise CA form a hierarchy

# Hierarchy of CAs

- How Bob gets Alice's certificate if they refer to different CAs?



# Hierarchy of CAs



user A can acquire the following certificates from the directory to establish a certification path to B:

$X \ll W \gg \quad W \ll V \gg \quad V \ll Y \gg \quad Y \ll Z \gg \quad Z \ll B \gg$

where  $A \ll B \gg$  means "the certificate of user B has been issued by certification authority A"

# Certificate revocation

Certificates are valid for a limited time  
(CAs want to be paid)

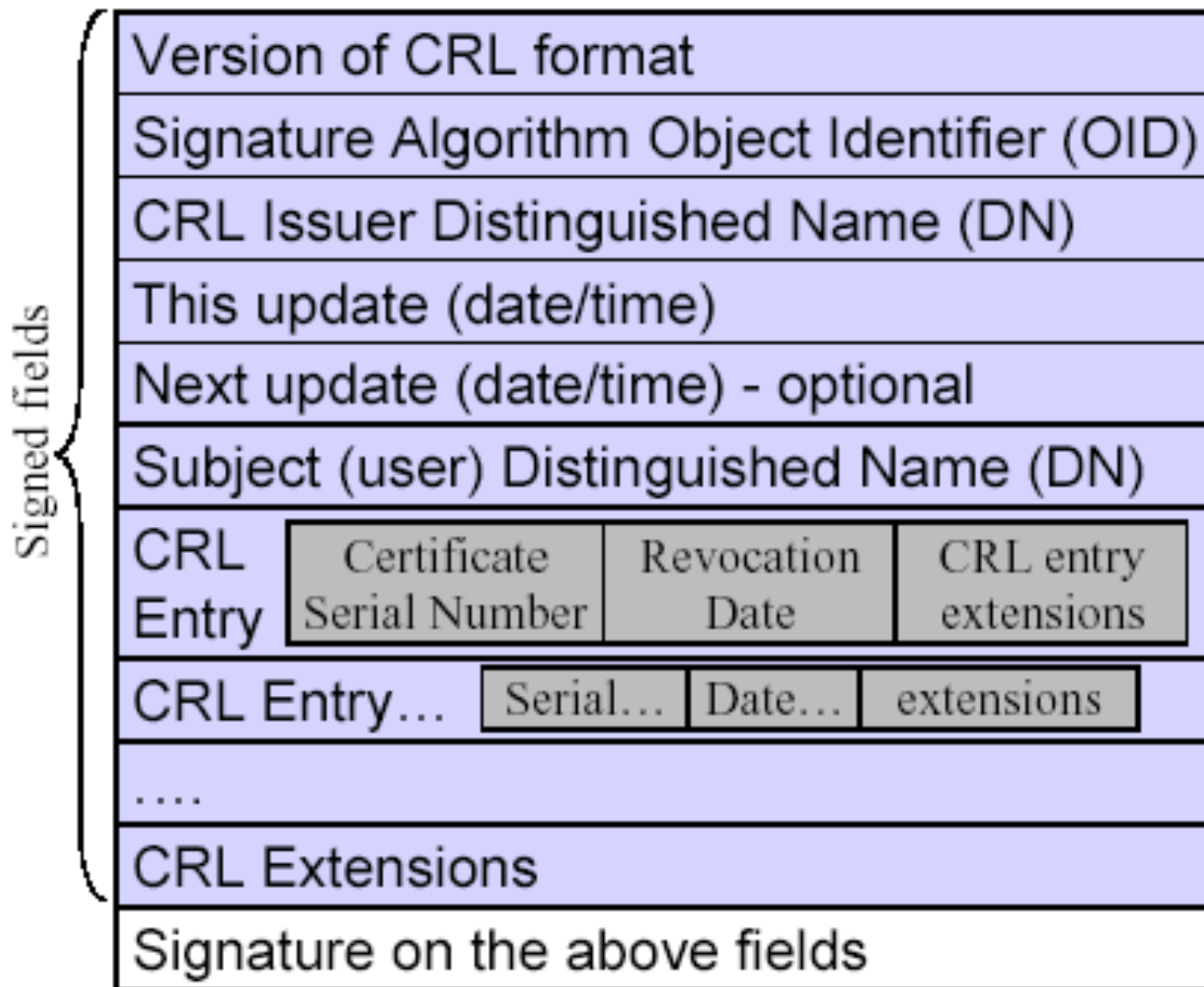
They can be revoked before the deadline:

1. User's secret key is not considered safe anymore
2. User is not certified by CA
3. CA's secret key is compromised

CRL: certificate revocation list

- Must be used before accessing a user

# Certificate revocation





# CRL's fields

- SIGNATURE. Identical to the SIGNATURE field in certificates, this specifies the algorithm used to compute the signature on this CRL.
- ISSUER. Identical to the ISSUER field in certificates, this is the X.500 name of the issuing CA.

# CRL's fields

- THISUPDATE. This contains the time the CRL was issued.
- NEXTUPDATE. Optional. This contains the time the next CRL is expected to be issued. A reasonable policy is to treat as suspect any certificate issued by a CA whose current CRL has NEXTUPDATE time in the past.

# CRL's fields

- The following three fields repeat together, once for each revoked certificate:
  - USERCERTIFICATE. This contains the serial number of the revoked certificate.
  - REVOCATIONDATE. This contains the time the certificate was revoked.
  - CRLENTRYEXTENSIONS. This contains various optional information such as a reason code for why the certificate was revoked.

# CRL's fields

- CRLEXTENSIONS. This contains various optional information.
- ALGORITHMIDENTIFIER. As for certificates, this repeats the SIGNATURE field.
- ENCRYPTED. This field contains the signature on all but the last of the above fields.

# X.509 Version 3

- In version 3 certificates have much more information:
  - email/URL, possible limitations in the use of the certificate
- Instead of adding fields for every possible new information define extensions
- Extensions:
  - Which kind of extension
  - Specification about the extension

# OCSP

- Online Certificate Status Protocol used for obtaining the revocation status of an X.509 digital certificate (RFC 6960)
  - alternative to CRL, more agile
  - cert. status provided in TLS handshake (OCSP stapling: response on revocation check, signed by legit CA)
  - URL for check provided within the cert. (Certificate Extensions -> Authority Information Access, vers. 3 only)

# PGP: Pretty Good Privacy

## Trust model for E-mail certif. (Zimmerman)

- There are no trusted CA
- Each user acts like a CA and decides for himself
- Certificates contain email addresses and public keys
- Certificates are signed by one or many users
- If you trust a sufficient number of the user signing a certificate then you assume the certificate is good
- Each user keeps info on public keys of other users and signatures of these keys - together with trust value of the key