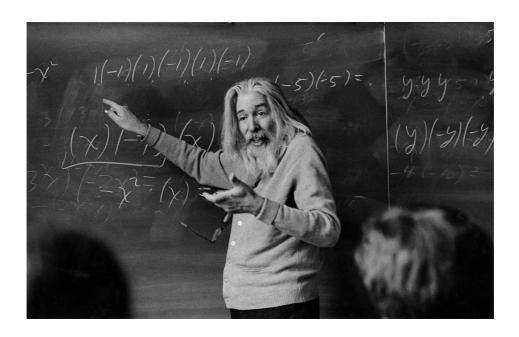
# Raymond Smullyan's Tableaux Propositional Logic



Courtesy of Chiara Ghedini (FBK, Trento)

### **Outline of this lecture**

- An introduction to Automated Reasoning with Analytic Tableaux;
- Today we will be looking into tableau methods for classical propositional logic (well discuss first-order tableaux later).
- Analytic Tableaux are a a family of mechanical proof methods, developed for a variety of different logics. Tableaux are nice, because they are both easy to grasp for *humans* and easy to implement on *machines*.

### How does it work?

The tableau method is a method for proving, in a mechanical manner, that a given set of formulas is not satisfiable. In particular, this allows us to perform automated *deduction*:

Given : set of premises  $\Gamma$  and conclusion  $\phi$ 

Task : prove  $\Gamma \models \phi$ 

How? show  $\Gamma \cup \neg \phi$  is not satisfiable (which is equivalent),

i.e. add the complement of the conclusion to the premises

and derive a contradiction (refutation procedure)

# Reduce Logical Consequence to (un)Satisfiability

#### **Theorem**

 $\Gamma \models \phi$  if and only if  $\Gamma \cup \{\neg \phi\}$  is unsatisfiable

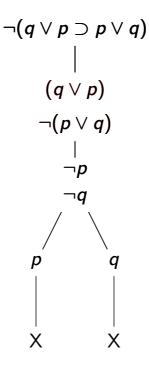
#### Proof.

- $\Rightarrow$  Suppose that  $\Gamma \models \phi$ , this means that every interpretation  $\mathcal{I}$  that satisfies  $\Gamma$ , it does satisfy  $\phi$ , and therefore  $\mathcal{I} \not\models \neg \phi$ . This implies that there is no interpretations that satisfies together  $\Gamma$  and  $\neg \phi$ .
- $\leftarrow$  Suppose that  $\mathcal{I} \models \Gamma$ , let us prove that  $\mathcal{I} \models \phi$ , Since  $\Gamma \cup \{\neg \phi\}$  is not satisfiable, then  $\mathcal{I} \not\models \neg \phi$  and therefore  $\mathcal{I} \models \phi$ .

## **Constructing Tableau Proofs**

- Data structure: a proof is represented as a tableaua binary tree, the nodes of which are labelled with formulas.
- **Start**: we start by putting the premises and the negated conclusion into the root of an otherwise empty tableau.
- **Expansion**: we apply expansion rules to the formulas on the tree, thereby adding new formulas and splitting branches.
- Closure: we close branches that are obviously contradictory.
- Success: a proof is successful iff we can close all branches.

### An example



## **Expansion Rules of Propositional Tableau**

$$\alpha$$
 rules

#### ¬¬-Elimination

$$\begin{array}{c|cccc} \hline \phi \wedge \psi & \neg(\phi \vee \psi) & \neg(\phi \supset \psi) \\ \hline \phi & \neg \phi & \phi & \hline \phi \\ \psi & \neg \psi & \neg \psi & \end{array}$$

 $\beta$  rules

#### **Branch Closure**

$$\frac{\phi \lor \psi}{\phi \mid \psi} \quad \frac{\neg(\phi \land \psi)}{\neg \phi \mid \neg \psi} \quad \frac{\phi \supset \psi}{\neg \phi \mid \psi} \qquad \frac{\phi}{\neg \phi}$$

**Note**: These are the standard ("Smullyan-style") tableau rules.

We omit the rules for  $\equiv$ . We rewrite  $\phi \equiv \psi$  as  $(\phi \supset \psi) \land (\psi \supset \phi)$ 

# **Smullyans Uniform Notation**

Two types of formulas: conjunctive  $(\alpha)$  and disjunctive  $(\beta)$ :

We can now state  $\alpha$  and  $\beta$  rules as follows:

$$\begin{array}{c|c} \alpha & \beta \\ \hline \alpha_1 & \beta_1 & \beta_2 \\ \hline \alpha_2 & \end{array}$$

**Note**:  $\alpha$  rules are also called deterministic rules.  $\beta$  rules are also called splitting rules.

### Some definition for tableaux

#### **Definition (Closed branch)**

A closed branch is a branch which contains a formula and its negation.

### **Definition (Open branch)**

An open branch is a branch which is not closed

### **Definition (Closed tableaux)**

A tableaux is closed if all its branches are closed.

#### **Definition**

Let  $\phi$  and  $\Gamma$  be a propositional formula and a finite set of propositional formulae, respectively. We write  $\Gamma \vdash \phi$  to say that there exists a closed tableau for  $\Gamma \cup \{\neg \phi\}$ 

### **Exercises**

#### **Exercise**

Show that the following are valid arguments:

$$\bullet \models ((P \supset Q) \supset P) \supset P$$

• 
$$P \supset (Q \land R), \neg Q \lor \neg R \models \neg P$$

$$\neg(((P \supset Q) \supset P) \supset P)$$

$$|$$

$$(P \supset Q) \supset P$$

$$\neg P$$

$$\neg(P \supset Q) \qquad P$$

$$|$$

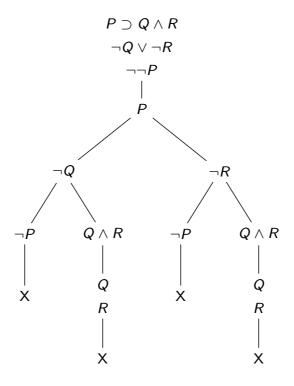
$$P \qquad X$$

$$\neg Q$$

$$|$$

$$X$$

# **Solutions**



Note: different orderings of expansion rules are possible! But all lead to unsatisfiability.

### **Exercises**

#### **Exercise**

Check whether the formula  $\neg((P\supset Q)\land (P\land Q\supset R)\supset (P\supset R))$  is satisfiable

# Solution

$$\neg((P \supset Q) \land (P \land Q \supset R) \supset (P \supset R))$$

$$| \qquad \qquad | \qquad | \qquad | \qquad | \qquad | \qquad \qquad$$

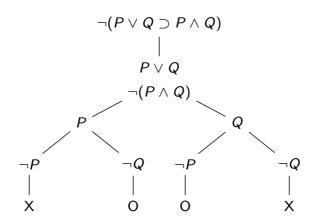
The tableau is closed and the formula is not satisfiable.

# Satisfiability: An example

#### **Exercise**

Check whether the formula  $\neg(P \lor Q \supset P \land Q)$  is satisfiable

## Solution



Two open branches. The formula is satisfiable.

The tableau shows us all the possible interpretations  $(\{P\}, \{Q\})$  that satisfy the formula.

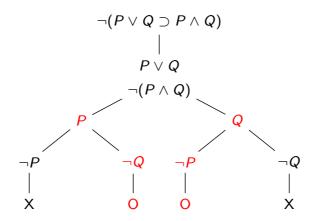
# Using the tableau to build interpretations.

For each open branch in the tableau, and for each propositional atom p in the formula we define

$$\mathcal{I}(p) = \begin{cases} \text{True} & \text{if } p \text{ belongs to the branch,} \\ \text{False} & \text{if } \neg p \text{ belongs to the branch.} \end{cases}$$

If neither p nor  $\neg p$  belong to the branch we can define  $\mathcal{I}(p)$  in an arbitrary way.

# Models for $\neg(P \lor Q \supset P \land Q)$



Two models:

- $\mathcal{I}(P) = \mathsf{True}, \mathcal{I}(Q) = \mathsf{False}$
- $\mathcal{I}(P) = \mathsf{False}, \mathcal{I}(Q) = \mathsf{True}$

# Double-check with the truth tables!

Р	Q	$P \lor Q$	$P \wedge Q$	$P \lor Q \supset P \land Q$	$\neg (P \lor Q \supset P \land Q)$
_	T	<u>-</u>	T	T	F
F	F	F	F	$\mid \hspace{0.5cm} \mathcal{T} \hspace{0.5cm} \mid$	F
T	F	T	F	T	T
F	T	T	F	F	T

### Homeworks!

#### Exercise

Show unsatisfiability of each of the following formulae using tableaux:

Show satisfiability of each of the following formulae using tableaux:

Show *validity* of each of the following formulae using tableaux:

For each of the following formulae, describe all models of this formula using tableaux:

Establish the equivalences between the following pairs of formulae using tableaux:

### **Termination**

Assuming we analyse each formula at most once, we have:

#### **Theorem (Termination)**

For any propositional tableau, after a finite number of steps no more expansion rules will be applicable.

Hint for proof: This must be so, because each rule results in ever shorter formulas.

Note: Importantly, termination will not hold in the first-order case.

### **Soundness and Completeness**

To actually believe that the tableau method is a valid decision procedure we have to prove:

#### **Theorem (Soundness)**

*If*  $\Gamma \vdash \phi$  *then*  $\Gamma \models \phi$ 

#### **Theorem (Completeness)**

*If*  $\Gamma \models \phi$  *then*  $\Gamma \vdash \phi$ 

**Remember**: We write  $\Gamma \vdash \phi$  to say that there exists a closed tableau for  $\Gamma \cup \{\neg \phi\}$ .

### **Decidability**

The proof of Soundness and Completeness confirms the decidability of propositional logic:

#### Theorem (Decidability)

The tableau method is a decision procedure for classical propositional logic.

**Proof**. To check validity of  $\phi$ , develop a tableau for  $\neg \phi$ . Because of termination, we will eventually get a tableau that is either (1) closed or (2) that has a branch that cannot be closed.

- In case (1), the formula  $\phi$  must be valid (soundness).
- In case (2), the branch that cannot be closed shows that  $\neg \phi$  is satisfiable (see completeness proof), i.e.  $\phi$  cannot be valid.

This terminates the proof.

### **Exercise**

#### **Exercise**

Build a tableau for  $\{(a \lor b) \land c, \neg b \lor \neg c, \neg a\}$ 

