

# Conjunctive Queries

## Formal Methods

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## Conjunctive queries (CQs)

Def.: A **conjunctive query (CQ)** is a FOL query of the form

$$\exists \vec{y}. \text{conj}(\vec{x}, \vec{y})$$

where  $\text{conj}(\vec{x}, \vec{y})$  is a conjunction (i.e., an “and”) of atoms and equalities, over the free variables  $\vec{x}$ , the existentially quantified variables  $\vec{y}$ , and possibly constants.

### Note:

- ▶ CQs contain no disjunction, no negation, no universal quantification, and no function symbols besides constants.
- ▶ Hence, they correspond to relational algebra **select-project-join (SPJ) queries**.
- ▶ CQs are the most frequently asked queries.



## Conjunctive queries and SQL – Example

Relational alphabet:

Person(name, age), Lives(person, city), Manages(boss, employee)

Query: find the name and the age of the persons who live in the same city as their boss.

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## Conjunctive queries and SQL – Example

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Query: find the name and the age of the persons who live in the same city as their boss.

Expressed in SQL:

```
SELECT P.name, P.age
FROM Person P, Manages M, Lives L1, Lives L2
WHERE P.name = L1.person AND P.name = M.employee AND
      M.boss = L2.person AND L1.city = L2.city
```

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Expressed in SQL:

```
SELECT P.name, P.age
FROM Person P, Manages M, Lives L1, Lives L2
WHERE P.name = L1.person AND P.name = M.employee AND
      M.boss = L2.person AND L1.city = L2.city
```

Expressed as a CQ:

$$\exists b, e, p_1, c_1, p_2, c_2. \text{Person}(n, a) \wedge \text{Manages}(b, e) \wedge \text{Lives}(p_1, c_1) \wedge \text{Lives}(p_2, c_2) \wedge \\ n = p_1 \wedge n = e \wedge b = p_2 \wedge c_1 = c_2$$

Navigation icons: back, forward, search, etc.

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Expressed as a CQ:

$$\exists b, e, p_1, c_1, p_2, c_2. \text{Person}(n, a) \wedge \text{Manages}(b, e) \wedge \text{Lives}(p_1, c_1) \wedge \text{Lives}(p_2, c_2) \wedge \\ n = p_1 \wedge n = e \wedge b = p_2 \wedge c_1 = c_2$$

Or simpler:  $\exists b, c. \text{Person}(n, a) \wedge \text{Manages}(b, n) \wedge \text{Lives}(n, c) \wedge \text{Lives}(b, c)$

Navigation icons: back, forward, search, etc.

## Datalog notation for CQs

A CQ  $q = \exists \vec{y}. conj(\vec{x}, \vec{y})$  can also be written using **datalog notation** as

$$q(\vec{x}_1) \leftarrow conj'(\vec{x}_1, \vec{y}_1)$$

where  $conj'(\vec{x}_1, \vec{y}_1)$  is the list of atoms in  $conj(\vec{x}, \vec{y})$  obtained by equating the variables  $\vec{x}, \vec{y}$  according to the equalities in  $conj(\vec{x}, \vec{y})$ .

As a result of such an equality elimination, we have that  $\vec{x}_1$  and  $\vec{y}_1$  can contain constants and multiple occurrences of the same variable.

Def.: In the above query  $q$ , we call:

- ▶  $q(\vec{x}_1)$  the **head**;
- ▶  $conj'(\vec{x}_1, \vec{y}_1)$  the **body**;
- ▶ the variables in  $\vec{x}_1$  the **distinguished variables**;
- ▶ the variables in  $\vec{y}_1$  the **non-distinguished variables**.

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## Conjunctive queries – Example

- ▶ Consider an **interpretation**  $\mathcal{I} = (\Delta^{\mathcal{I}}, E^{\mathcal{I}})$ , where  $E^{\mathcal{I}}$  is a binary relation – *note that such interpretation is a (directed) graph*.
- ▶ The following **CQ**  $q$  returns all nodes that participate to a triangle in the graph:

$$\exists y, z. E(x, y) \wedge E(y, z) \wedge E(z, x)$$

- ▶ The query  $q$  in **datalog notation** becomes:

$$q(x) \leftarrow E(x, y), E(y, z), E(z, x)$$

- ▶ The query  $q$  in **SQL** is (we use  $\text{Edge}(f, s)$  for  $E(x, y)$ ):

```
SELECT E1.f
FROM Edge E1, Edge E2, Edge E3
WHERE E1.s = E2.f AND E2.s = E3.f AND E3.s = E1.f
```

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## Nondeterministic evaluation of CQs

Since a CQ contains only existential quantifications, we can evaluate it by:

1. **guessing a truth assignment** for the non-distinguished variables;
2. **evaluating** the resulting formula (that has no quantifications).

```
boolean ConjTruth( $\mathcal{I}, \alpha, \exists \vec{y}. conj(\vec{x}, \vec{y})$ ) {  
    GUESS assignment  $\alpha[\vec{y} \mapsto \vec{a}]$  {  
        return Truth( $\mathcal{I}, \alpha[\vec{y} \mapsto \vec{a}], conj(\vec{x}, \vec{y})$ );  
    }  
}
```

where  $\text{Truth}(\mathcal{I}, \alpha, \varphi)$  is defined as for FOL queries, considering only the required cases.

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## Nondeterministic CQ evaluation algorithm

```
boolean Truth( $\mathcal{I}, \alpha, \varphi$ ) {  
    if ( $\varphi$  is  $t_1 = t_2$ )  
        return TermEval( $\mathcal{I}, \alpha, t_1$ ) = TermEval( $\mathcal{I}, \alpha, t_2$ );  
    if ( $\varphi$  is  $P(t_1, \dots, t_k)$ )  
        return  $P^{\mathcal{I}}$ (TermEval( $\mathcal{I}, \alpha, t_1$ ), ..., TermEval( $\mathcal{I}, \alpha, t_k$ ));  
    if ( $\varphi$  is  $\psi \wedge \psi'$ )  
        return Truth( $\mathcal{I}, \alpha, \psi$ )  $\wedge$  Truth( $\mathcal{I}, \alpha, \psi'$ );  
}
```

```
 $\Delta^{\mathcal{I}}$  TermEval( $\mathcal{I}, \alpha, t$ ) {  
    if ( $t$  is a variable  $x$ ) return  $\alpha(x)$ ;  
    if ( $t$  is a constant  $c$ ) return  $c^{\mathcal{I}}$ ;  
}
```

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# CQ evaluation – Combined, data, and query complexity

## Theorem (Combined complexity of CQ evaluation)

$\{\langle \mathcal{I}, \alpha, q \rangle \mid \mathcal{I}, \alpha \models q\}$  is *NP-complete* — see below for hardness

- ▶ *time: exponential*
- ▶ *space: polynomial*

## Theorem (Data complexity of CQ evaluation)

$\{\langle \mathcal{I}, \alpha \rangle \mid \mathcal{I}, \alpha \models q\}$  is *LOGSPACE*

- ▶ *time: polynomial*
- ▶ *space: logarithmic*

## Theorem (Query complexity of CQ evaluation)

$\{\langle \alpha, q \rangle \mid \mathcal{I}, \alpha \models q\}$  is *NP-complete* — see below for hardness

- ▶ *time: exponential*
- ▶ *space: polynomial*

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## 3-colorability

A graph is *k-colorable* if it is possible to assign to each node one of  $k$  colors in such a way that every two nodes connected by an edge have different colors.

Def.: *3-colorability* is the following decision problem

Given a graph  $G = (V, E)$ , is it 3-colorable?

## Theorem

*3-colorability is NP-complete.*

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## 3-colorability

A graph is ***k*-colorable** if it is possible to assign to each node one of  $k$  colors in such a way that every two nodes connected by an edge have different colors.

**Def.:** **3-colorability** is the following decision problem

Given a graph  $G = (V, E)$ , is it 3-colorable?

### Theorem

*3-colorability is NP-complete.*

We exploit 3-colorability to show NP-hardness of conjunctive query evaluation.

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## Reduction from 3-colorability to CQ evaluation

Let  $G = (V, E)$  be a graph. We define:

- ▶ An **Interpretation**:  $\mathcal{I} = (\Delta^{\mathcal{I}}, E^{\mathcal{I}})$  where:
  - ▶  $\Delta^{\mathcal{I}} = \{\mathbf{r}, \mathbf{g}, \mathbf{b}\}$
  - ▶  $E^{\mathcal{I}} = \{(\mathbf{r}, \mathbf{g}), (\mathbf{g}, \mathbf{r}), (\mathbf{r}, \mathbf{b}), (\mathbf{b}, \mathbf{r}), (\mathbf{g}, \mathbf{b}), (\mathbf{b}, \mathbf{g})\}$
- ▶ A **conjunctive query**: Let  $V = \{x_1, \dots, x_n\}$ , then consider the boolean conjunctive query defined as:

$$q_G = \exists x_1, \dots, x_n. \bigwedge_{(x_i, x_j) \in E} E(x_i, x_j) \wedge E(x_j, x_i)$$

### Theorem

$G$  is 3-colorable iff  $\mathcal{I} \models q_G$ .

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## NP-hardness of CQ evaluation

The previous reduction immediately gives us the hardness for combined complexity.

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*CQ evaluation is NP-hard in combined complexity.*



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### Theorem

*CQ evaluation is NP-hard in combined complexity.*

*Note:* in the previous reduction, the interpretation does not depend on the actual graph. Hence, the reduction provides also the lower-bound for query complexity.

### Theorem

*CQ evaluation is NP-hard in query (and combined) complexity.*





## Exercise

Consider the following interpretation  $\mathcal{I}$ :

- ▶  $\Delta^{\mathcal{I}} = \{\text{john}, \text{paul}, \text{george}, \text{mick}, \text{ny}, \text{london}, 0, \dots, 110\}$
- ▶  $\text{Person}^{\mathcal{I}} = \{(\text{john}, 30), (\text{paul}, 60), (\text{george}, 35), (\text{mick}, 35)\}$
- ▶  $\text{Lives}^{\mathcal{I}} = \{(\text{john}, \text{ny}), (\text{paul}, \text{ny}), (\text{george}, \text{london}), (\text{mick}, \text{london})\}$
- ▶  $\text{Manages}^{\mathcal{I}} = \{(\text{paul}, \text{john}), (\text{george}, \text{mick}), (\text{paul}, \text{mick})\}$

In relational notation:

$\text{Person}^{\mathcal{I}}$

name	age
john	30
paul	60
george	35
mick	35

$\text{Lives}^{\mathcal{I}}$

name	city
john	ny
paul	ny
george	london
mick	london

$\text{Manages}^{\mathcal{I}}$

boss	emp. name
paul	john
george	mick
paul	mick

Evaluate the following query:

$q() \leftarrow P(\text{john}, z), M(x, \text{john}), L(x, y), L(\text{john}, y)$

“There exists a manager that has john as an employee and lives in the same city of him?”

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## Recognition problem and boolean query evaluation

Consider the recognition problem associated to the evaluation of a query  $q$  of arity  $k$ . Then

$$\mathcal{I}, \alpha \models q(x_1, \dots, x_k) \quad \text{iff} \quad \mathcal{I}_{\alpha, \vec{c}} \models q(c_1, \dots, c_k)$$

where  $\mathcal{I}_{\alpha, \vec{c}}$  is identical to  $\mathcal{I}$  but includes new constants  $c_1, \dots, c_k$  that are interpreted as  $c_i^{\mathcal{I}_{\alpha, \vec{c}}} = \alpha(x_i)$ .

That is, we can **reduce the recognition problem to the evaluation of a boolean query**.

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# Homomorphism

Let  $\mathcal{I} = (\Delta^{\mathcal{I}}, P^{\mathcal{I}}, \dots, c^{\mathcal{I}}, \dots)$  and  $\mathcal{J} = (\Delta^{\mathcal{J}}, P^{\mathcal{J}}, \dots, c^{\mathcal{J}}, \dots)$  be two interpretations over the same alphabet (for simplicity, we consider only constants as functions).

**Def.:** A **homomorphism** from  $\mathcal{I}$  to  $\mathcal{J}$

is a mapping  $h : \Delta^{\mathcal{I}} \rightarrow \Delta^{\mathcal{J}}$  such that:

- ▶  $h(c^{\mathcal{I}}) = c^{\mathcal{J}}$
- ▶  $(o_1, \dots, o_k) \in P^{\mathcal{I}}$  implies  $(h(o_1), \dots, h(o_k)) \in P^{\mathcal{J}}$

**Note:** An **isomorphism** is a homomorphism that is one-to-one and onto.

## Theorem

*FOL is unable to distinguish between interpretations that are isomorphic.*

**Proof.** See any standard book on logic.  $\square$

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# Canonical interpretation of a (boolean) CQ

Let  $q$  be a conjunctive query  $\exists x_1, \dots, x_n. conj$

**Def.:** The **canonical interpretation**  $\mathcal{I}_q$  associated with  $q$

is the interpretation  $\mathcal{I}_q = (\Delta^{\mathcal{I}_q}, P^{\mathcal{I}_q}, \dots, c^{\mathcal{I}_q}, \dots)$ , where

- ▶  $\Delta^{\mathcal{I}_q} = \{x_1, \dots, x_n\} \cup \{c \mid c \text{ constant occurring in } q\}$ ,  
i.e., all the variables and constants in  $q$ ;
- ▶  $c^{\mathcal{I}_q} = c$ , for each constant  $c$  in  $q$ ;
- ▶  $(t_1, \dots, t_k) \in P^{\mathcal{I}_q}$  iff the atom  $P(t_1, \dots, t_k)$  occurs in  $q$ .

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## Canonical interpretation of a (boolean) CQ – Example

Consider the boolean query  $q$

$$q(c) \leftarrow E(c, y), E(y, z), E(z, c)$$

Then, the canonical interpretation  $\mathcal{I}_q$  is defined as

$$\mathcal{I}_q = (\Delta^{\mathcal{I}_q}, E^{\mathcal{I}_q}, c^{\mathcal{I}_q})$$

where

- ▶  $\Delta^{\mathcal{I}_q} = \{y, z, c\}$
- ▶  $E^{\mathcal{I}_q} = \{(c, y), (y, z), (z, c)\}$
- ▶  $c^{\mathcal{I}_q} = c$

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## Homomorphism theorem

### Theorem ([CM77])

For boolean CQs,  $\mathcal{I} \models q$  iff there exists a homomorphism from  $\mathcal{I}_q$  to  $\mathcal{I}$ .

*Proof.*

“ $\Rightarrow$ ” Let  $\mathcal{I} \models q$ , let  $\alpha$  be an assignment to the existential variables that makes  $q$  true in  $\mathcal{I}$ , and let  $\hat{\alpha}$  be its extension to constants. Then  $\hat{\alpha}$  is a homomorphism from  $\mathcal{I}_q$  to  $\mathcal{I}$ .

“ $\Leftarrow$ ” Let  $h$  be a homomorphism from  $\mathcal{I}_q$  to  $\mathcal{I}$ . Then restricting  $h$  to the variables only we obtain an assignment to the existential variables that makes  $q$  true in  $\mathcal{I}$ . ◻

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## Illustration of homomorphism theorem – Interpretation

Consider the following interpretation  $\mathcal{I}$ :

- ▶  $\Delta^{\mathcal{I}} = \{john, paul, george, mick, ny, london, 0, \dots, 110\}$
- ▶  $Person^{\mathcal{I}} = \{(john, 30), (paul, 60), (george, 35), (mick, 35)\}$
- ▶  $Lives^{\mathcal{I}} = \{(john, ny), (paul, ny), (george, london), (mick, london)\}$
- ▶  $Manages^{\mathcal{I}} = \{(paul, john), (george, mick), (paul, mick)\}$

In relational notation:

$Person^{\mathcal{I}}$

name	age
john	30
paul	60
george	35
mick	35

$Lives^{\mathcal{I}}$

name	city
john	ny
paul	ny
george	london
mick	london

$Manages^{\mathcal{I}}$

boss	emp. name
paul	john
george	mick
paul	mick

Navigation icons: back, forward, search, etc.

## Illustration of homomorphism theorem – Query

Consider the following query  $q$ :

$$q() \leftarrow Person(john, z), Manages(x, john), Lives(x, y), Lives(john, y)$$

“There exists a manager that has john as an employee and lives in the same city of him?”

The canonical model  $\mathcal{I}_q$  is:

- ▶  $\Delta^{\mathcal{I}} = \{john, x, y, z\}$
- ▶  $john^{\mathcal{I}} = john$
- ▶  $Person^{\mathcal{I}_q} = \{(john, z)\}$
- ▶  $Lives^{\mathcal{I}_q} = \{(john, y), (x, y)\}$
- ▶  $Manages^{\mathcal{I}_q} = \{(x, john)\}$

In relational notation:

$Person^{\mathcal{I}_q}$

name	age
john	z

$Lives^{\mathcal{I}_q}$

name	city
john	y
x	y

$Manages^{\mathcal{I}_q}$

boss	emp. name
x	john

Navigation icons: back, forward, search, etc.

## Illustration of homomorphism theorem – If-direction

**Hp:**  $\mathcal{I} \models q$ . **Th:** There exists an homomorphism  $h : \mathcal{I}_q \rightarrow \mathcal{I}$ .

If  $\mathcal{I} \models q$ , then there exists an assignment  $\hat{\alpha}$  such that  $\langle \mathcal{I}, \alpha \rangle \models \text{body}(q)$ :

- ▶  $\alpha(x) = \text{paul}$
- ▶  $\alpha(z) = 30$
- ▶  $\alpha(y) = \text{ny}$

Let us extend  $\hat{\alpha}$  to constants:

- ▶  $\hat{\alpha}(\text{john}) = \text{john}$

$h = \hat{\alpha}$  is an homomorphism from  $\mathcal{I}_{q_1}$  to  $\mathcal{I}$ :

- ▶  $h(\text{john}^{\mathcal{I}_q}) = \text{john}^{\mathcal{I}}$ ? **Yes!**
- ▶  $(\text{john}, z) \in \text{Person}^{\mathcal{I}_q}$  implies  $(h(\text{john}), h(z)) \in \text{Person}^{\mathcal{I}}$ ?  
Yes:  $(\text{john}, 30) \in \text{Person}^{\mathcal{I}}$ ;
- ▶  $(\text{john}, x) \in \text{Lives}^{\mathcal{I}_q}$  implies  $h(\text{john}), h(x) \in \text{Lives}^{\mathcal{I}}$ ?  
Yes:  $(\text{john}, \text{ny}) \in \text{Lives}^{\mathcal{I}}$ ;
- ▶  $(x, y) \in \text{Lives}^{\mathcal{I}_q}$  implies  $(h(x), h(y)) \in \text{Lives}^{\mathcal{I}}$ ?  
Yes:  $(\text{paul}, \text{ny}) \in \text{Lives}^{\mathcal{I}}$ ;
- ▶  $(x, \text{john}) \in \text{Manages}^{\mathcal{I}_q}$  implies  $(h(x), h(\text{john})) \in \text{Manages}^{\mathcal{I}}$ ?  
Yes:  $(\text{paul}, \text{john}) \in \text{Manages}^{\mathcal{I}}$ .

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## Illustration of homomorphism theorem – Only-if-direction

**Hp:** There exists an homomorphism  $h : \mathcal{I}_q \rightarrow \mathcal{I}$ . **Th:**  $\mathcal{I} \models q$ .

Let  $h : \mathcal{I}_q \rightarrow \mathcal{I}$ :

- ▶  $h(\text{john}) = \text{john}$ ;
- ▶  $h(x) = \text{paul}$ ;
- ▶  $h(z) = 30$ ;
- ▶  $h(y) = \text{ny}$ .

Let us define an assignment  $\alpha$  by restricting  $h$  to variables:

- ▶  $\alpha(x) = \text{paul}$ ;
- ▶  $\alpha(z) = 30$ ;
- ▶  $\alpha(y) = \text{ny}$ .

Then  $\langle \mathcal{I}, \alpha \rangle \models \text{body}(q)$ . Indeed:

- ▶  $(\text{john}, \alpha(z)) = (\text{john}, 30) \in \text{Person}^{\mathcal{I}}$ ;
- ▶  $(\alpha(x), \text{john}) = (\text{paul}, \text{john}) \in \text{Manages}^{\mathcal{I}}$ ;
- ▶  $(\alpha(x), \alpha(y)) = (\text{paul}, \text{ny}) \in \text{Lives}^{\mathcal{I}}$ ;
- ▶  $(\text{john}, \alpha(y)) = (\text{john}, \text{ny}) \in \text{Lives}^{\mathcal{I}}$ .

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# Canonical interpretation and (boolean) CQ evaluation

The previous result can be rephrased as follows:

(The recognition problem associated to) **query evaluation can be reduced to finding a homomorphism.**

Finding a homomorphism between two interpretations (aka relational structures) is also known as solving a **Constraint Satisfaction Problem (CSP)**, a problem well-studied in AI – see also [KV98].

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## Observations

### Theorem

$\mathcal{I}_q \models q$  is always true.

*Proof.* By Chandra Merlin theorem:  $\mathcal{I}_q \models q$  iff there exists homomorph. from  $\mathcal{I}_q$  to  $\mathcal{I}_q$ . Identity is one such homomorphism. ◻

### Theorem

Let  $h$  be a homomorphism from  $\mathcal{I}_1$  to  $\mathcal{I}_2$ , and  $h'$  be a homomorphism from  $\mathcal{I}_2$  to  $\mathcal{I}_3$ . Then  $h \circ h'$  is a homomorphism from  $\mathcal{I}_1$  to  $\mathcal{I}_3$ .

*Proof.* Just check that  $h \circ h'$  satisfied the definition of homomorphism: i.e.  $h'(h(\cdot))$  is a mapping from  $\Delta^{\mathcal{I}_1}$  to  $\Delta^{\mathcal{I}_3}$  such that:

- ▶  $h'(h(c^{\mathcal{I}_1})) = c^{\mathcal{I}_3}$ ;
- ▶  $(o_1, \dots, o_k) \in P^{\mathcal{I}_1}$  implies  $(h'(h(o_1)), \dots, h'(h(o_k))) \in P^{\mathcal{I}_3}$ . ◻

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## The CQs characterizing property

### Def.: Homomorphic equivalent interpretations

Two interpretations  $\mathcal{I}$  and  $\mathcal{J}$  are **homomorphically equivalent** if there is homomorphism  $h_{\mathcal{I},\mathcal{J}}$  from  $\mathcal{I}$  to  $\mathcal{J}$  and homomorphism  $h_{\mathcal{J},\mathcal{I}}$  from  $\mathcal{J}$  to  $\mathcal{I}$ .

### Theorem (model theoretic characterization of CQs)

*CQs are unable to distinguish between interpretations that are homomorphic equivalent.*

*Proof.* Consider any two homomorphically equivalent interpretations  $\mathcal{I}$  and  $\mathcal{J}$  with homomorphism  $h_{\mathcal{I},\mathcal{J}}$  from  $\mathcal{I}$  to  $\mathcal{J}$  and homomorphism  $h_{\mathcal{J},\mathcal{I}}$  from  $\mathcal{J}$  to  $\mathcal{I}$ .

- ▶ If  $\mathcal{I} \models q$  then there exists a homomorphism  $h$  from  $\mathcal{I}_q$  to  $\mathcal{I}$ . But then  $h \circ h_{\mathcal{I},\mathcal{J}}$  is a homomorphism from  $\mathcal{I}_q$  to  $\mathcal{J}$ , hence  $\mathcal{J} \models q$ .
- ▶ Similarly, if  $\mathcal{J} \models q$  then there exists a homomorphism  $g$  from  $\mathcal{I}_q$  to  $\mathcal{J}$ . But then  $g \circ h_{\mathcal{J},\mathcal{I}}$  is a homomorphism from  $\mathcal{I}_q$  to  $\mathcal{I}$ , hence  $\mathcal{I} \models q$ .  $\square$

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## Query containment

### Def.: Query containment

Given two FOL queries  $\varphi$  and  $\psi$  of the same arity,  $\varphi$  is **contained in**  $\psi$ , denoted  $\varphi \subseteq \psi$ , if for all interpretations  $\mathcal{I}$  and all assignments  $\alpha$  we have that

$$\mathcal{I}, \alpha \models \varphi \text{ implies } \mathcal{I}, \alpha \models \psi$$

(In logical terms:  $\varphi \models \psi$ .)

*Note:* Query containment is of special interest in query optimization.

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# Query containment

## Def.: Query containment

Given two FOL queries  $\varphi$  and  $\psi$  of the same arity,  $\varphi$  is contained in  $\psi$ , denoted  $\varphi \subseteq \psi$ , if for all interpretations  $\mathcal{I}$  and all assignments  $\alpha$  we have that

$$\mathcal{I}, \alpha \models \varphi \text{ implies } \mathcal{I}, \alpha \models \psi$$

(In logical terms:  $\varphi \models \psi$ .)

*Note:* Query containment is of special interest in query optimization.

## Theorem

For FOL queries, query containment is undecidable.

*Proof.:* Reduction from FOL logical implication.  $\square$

Navigation icons: back, forward, search, etc.

# Query containment for CQs

For CQs, query containment  $q_1(\vec{x}) \subseteq q_2(\vec{x})$  can be reduced to query evaluation.

1. **Freeze the free variables**, i.e., consider them as constants.  
This is possible, since  $q_1(\vec{x}) \subseteq q_2(\vec{x})$  iff
  - ▶  $\mathcal{I}, \alpha \models q_1(\vec{x})$  implies  $\mathcal{I}, \alpha \models q_2(\vec{x})$ , for all  $\mathcal{I}$  and  $\alpha$ ; or equivalently
  - ▶  $\mathcal{I}_{\alpha, \vec{c}} \models q_1(\vec{c})$  implies  $\mathcal{I}_{\alpha, \vec{c}} \models q_2(\vec{c})$ , for all  $\mathcal{I}_{\alpha, \vec{c}}$ , where  $\vec{c}$  are new constants, and  $\mathcal{I}_{\alpha, \vec{c}}$  extends  $\mathcal{I}$  to the new constants with  $c^{\mathcal{I}_{\alpha, \vec{c}}} = \alpha(x)$ .
2. **Construct the canonical interpretation  $\mathcal{I}_{q_1(\vec{c})}$  of the CQ  $q_1(\vec{c})$  on the left hand side ...**
3. **... and evaluate on  $\mathcal{I}_{q_1(\vec{c})}$  the CQ  $q_2(\vec{c})$  on the right hand side, i.e., check whether  $\mathcal{I}_{q_1(\vec{c})} \models q_2(\vec{c})$ .**

Navigation icons: back, forward, search, etc.



# Reducing containment of CQs to CQ evaluation

## Theorem ([CM77])

For CQs,  $q_1(\vec{x}) \subseteq q_2(\vec{x})$  iff  $\mathcal{I}_{q_1(\vec{c})} \models q_2(\vec{c})$ , where  $\vec{c}$  are new constants.

*Proof.*

“ $\Rightarrow$ ” Assume that  $q_1(\vec{x}) \subseteq q_2(\vec{x})$ .

- ▶ Since  $\mathcal{I}_{q_1(\vec{c})} \models q_1(\vec{c})$  it follows that  $\mathcal{I}_{q_1(\vec{c})} \models q_2(\vec{c})$ .

“ $\Leftarrow$ ” Assume that  $\mathcal{I}_{q_1(\vec{c})} \models q_2(\vec{c})$ .

- ▶ By [CM77] on hom., for every  $\mathcal{I}$  such that  $\mathcal{I} \models q_1(\vec{c})$  there exists a homomorphism  $h$  from  $\mathcal{I}_{q_1(\vec{c})}$  to  $\mathcal{I}$ .
- ▶ On the other hand, since  $\mathcal{I}_{q_1(\vec{c})} \models q_2(\vec{c})$ , again by [CM77] on hom., there exists a homomorphism  $h'$  from  $\mathcal{I}_{q_2(\vec{c})}$  to  $\mathcal{I}_{q_1(\vec{c})}$ .
- ▶ The mapping  $h \circ h'$  (obtained by composing  $h$  and  $h'$ ) is a homomorphism from  $\mathcal{I}_{q_2(\vec{c})}$  to  $\mathcal{I}$ . Hence, once again by [CM77] on hom.,  $\mathcal{I} \models q_2(\vec{c})$ .

So we can conclude that  $q_1(\vec{c}) \subseteq q_2(\vec{c})$ , and hence  $q_1(\vec{x}) \subseteq q_2(\vec{x})$ .  $\square$

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## Query containment for CQs

For CQs, we also have that (boolean) query evaluation  $\mathcal{I} \models q$  can be reduced to query containment.

Let  $\mathcal{I} = (\Delta^{\mathcal{I}}, P^{\mathcal{I}}, \dots, c^{\mathcal{I}}, \dots)$ .

We construct the (boolean) CQ  $q_{\mathcal{I}}$  as follows:

- ▶  $q_{\mathcal{I}}$  has no existential variables (hence no variables at all);
- ▶ the constants in  $q_{\mathcal{I}}$  are the elements of  $\Delta^{\mathcal{I}}$ ;
- ▶ for each relation  $P$  interpreted in  $\mathcal{I}$  and for each fact  $(a_1, \dots, a_k) \in P^{\mathcal{I}}$ ,  $q_{\mathcal{I}}$  contains one atom  $P(a_1, \dots, a_k)$  (note that each  $a_i \in \Delta^{\mathcal{I}}$  is a constant in  $q_{\mathcal{I}}$ ).

## Theorem

For CQs,  $\mathcal{I} \models q$  iff  $q_{\mathcal{I}} \subseteq q$ .

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## Query containment for CQs – Complexity

From the previous results and NP-completeness of combined complexity of CQ evaluation, we immediately get:

### Theorem

*Containment of CQs is NP-complete.*



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Since CQ evaluation is NP-complete even in query complexity, the above result can be strengthened:

### Theorem

*Containment  $q_1(\vec{x}) \subseteq q_2(\vec{x})$  of CQs is NP-complete, even when  $q_1$  is considered fixed.*



## Union of conjunctive queries (UCQs)

Def.: A **union of conjunctive queries (UCQ)** is a FOL query of the form

$$\bigvee_{i=1,\dots,n} \exists \vec{y}_i. \text{conj}_i(\vec{x}, \vec{y}_i)$$

where each  $\text{conj}_i(\vec{x}, \vec{y}_i)$  is a conjunction of atoms and equalities with free variables  $\vec{x}$  and  $\vec{y}_i$ , and possibly constants.

*Note:* Obviously, each conjunctive query is also a of union of conjunctive queries.

## Datalog notation for UCQs

A union of conjunctive queries

$$q = \bigvee_{i=1,\dots,n} \exists \vec{y}_i. \text{conj}_i(\vec{x}, \vec{y}_i)$$

is written in **datalog notation** as

$$\left\{ \begin{array}{l} q(\vec{x}) \leftarrow \text{conj}'_1(\vec{x}, \vec{y}'_1) \\ \vdots \\ q(\vec{x}) \leftarrow \text{conj}'_n(\vec{x}, \vec{y}'_n) \end{array} \right\}$$

where each element of the set is the datalog expression corresponding to the conjunctive query  $q_i = \exists \vec{y}_i. \text{conj}_i(\vec{x}, \vec{y}_i)$ .

*Note:* in general, we omit the set brackets.

## Evaluation of UCQs

From the definition “ $\forall$ ” in FOL we have that:

$$\mathcal{I}, \alpha \models \bigvee_{i=1, \dots, n} \exists \vec{y}_i. \text{conj}_i(\vec{x}, \vec{y}_i)$$

if and only if

$$\mathcal{I}, \alpha \models \exists \vec{y}_i. \text{conj}_i(\vec{x}, \vec{y}_i) \quad \text{for some } i \in \{1, \dots, n\}.$$

Hence to evaluate a UCQ  $q$ , we simply evaluate a number (linear in the size of  $q$ ) of conjunctive queries in isolation.

Hence, **evaluating UCQs has the same complexity as evaluating CQs.**

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## UCQ evaluation – Combined, data, and query complexity

Theorem (**Combined complexity** of UCQ evaluation)

$\{\langle \mathcal{I}, \alpha, q \rangle \mid \mathcal{I}, \alpha \models q\}$  is **NP-complete**.

- ▶ *time: exponential*
- ▶ *space: polynomial*

Theorem (**Data complexity** of UCQ evaluation)

$\{\langle \mathcal{I}, q \rangle \mid \mathcal{I}, \alpha \models q\}$  is **LOGSPACE-complete** (query  $q$  fixed).

- ▶ *time: polynomial*
- ▶ *space: logarithmic*

Theorem (**Query complexity** of UCQ evaluation)

$\{\langle \alpha, q \rangle \mid \mathcal{I}, \alpha \models q\}$  is **NP-complete** (interpretation  $\mathcal{I}$  fixed).

- ▶ *time: exponential*
- ▶ *space: polynomial*

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## Query containment for UCQs

### Theorem

For UCQs,  $\{q_1, \dots, q_k\} \subseteq \{q'_1, \dots, q'_n\}$  iff for each  $q_i$  there is a  $q'_j$  such that  $q_i \subseteq q'_j$ .

*Proof.*

“ $\Leftarrow$ ” Obvious.

“ $\Rightarrow$ ” If the containment holds, then we have

$\{q_1(\vec{c}), \dots, q_k(\vec{c})\} \subseteq \{q'_1(\vec{c}), \dots, q'_n(\vec{c})\}$ , where  $\vec{c}$  are new constants:

- ▶ Now consider  $\mathcal{I}_{q_i(\vec{c})}$ . We have  $\mathcal{I}_{q_i(\vec{c})} \models q_i(\vec{c})$ , and hence  $\mathcal{I}_{q_i(\vec{c})} \models \{q_1(\vec{c}), \dots, q_k(\vec{c})\}$ .
- ▶ By the containment, we have that  $\mathcal{I}_{q_i(\vec{c})} \models \{q'_1(\vec{c}), \dots, q'_n(\vec{c})\}$ . I.e., there exists a  $q'_j(\vec{c})$  such that  $\mathcal{I}_{q_i(\vec{c})} \models q'_j(\vec{c})$ .
- ▶ Hence, by [CM77] on containment of CQs, we have  $q_i \subseteq q'_j$ .  $\square$

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## Query containment for UCQs – Complexity

From the previous result, we have that we can check

$\{q_1, \dots, q_k\} \subseteq \{q'_1, \dots, q'_n\}$  by at most  $k \cdot n$  CQ containment checks.

We immediately get:

### Theorem

*Containment of UCQs is NP-complete.*

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