# Computational Phonology Workshop Introduction & Tutorial

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#### Outline

- 1 The Subregular Enterprise
- 2 (Tier-Based) Strictly Local Phonotactics
- 3 Subregular Mappings for Phonology
- 4 (Tier-Based) Strictly Local Syntax

In formal language theory, string sets are classified according to their formal complexity.

regular < context-free < mildly context-sensitive < · · ·

**Phonology** 

Morphology

**Syntax** 

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Kaplan and Kay (1994)

Phonology

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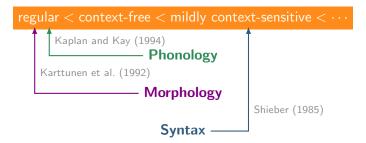
Phonology

Karttunen et al. (1992)

Morphology

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## Implications of Formal Complexity

Heinz and Idsardi (2011, 2013) highlight the implications:

- different typology center embedding, crossing dependencies
- different memory architecture
   flat & finite VS unbounded nested stacks
- ► different learning algorithms much harder for syntax





## Too Many Patterns are Regular

#### Problem

- ▶ All phonological and morphological patterns are regular.
- ▶ But not all regular patterns occur in phonology.
- Regularity is too loose an upper bound.

#### Example

- ► First-last consonant harmony
- ► Every word with a plosive contains an open syllable
- ▶ Word with at least 3 suffixes must have exactly 5 prefixes

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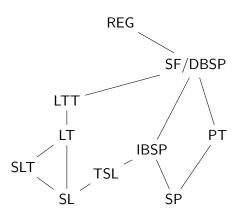
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# Subregular Languages

#### Often forgotten: hierarchy of subregular languages

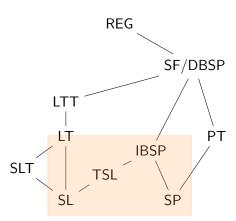
(McNaughton and Papert 1971; Rogers et al. 2010; Ruiz et al. 1998; Rogers and Pullum 2011; Heinz et al. 2011; Graf 2016)



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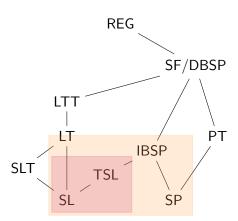
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## SL: Strictly Local

- ► SL formalizes **local dependencies**.
- SL grammars are collections of markedness constraints that are
  - hard/non-violable,
  - locally bounded.

#### Strictly Local Grammars & Languages

 $\mathsf{SL}_n$  grammar finite set of forbidden  $n\text{-}\mathsf{grams}$   $\mathsf{SL}_n$  language all strings except those with forbidden  $n\text{-}\mathsf{grams}$ 

# Example: SL Constraints

<b>Process</b> Word-final devoicing	Constraint *[+voice]⋉	Forbidden $n$ -grams $\mathbf{z} \ltimes$ , $\mathbf{v} \ltimes$ ,
Intervocalic voicing	*V[-voice]V	asa, asi,, isa, isi,, afa, afi,, ifa, ifi,,
CV template	* × V * C C * V V * C ⋉	<ul> <li>⋈a, ⋈i,</li> <li>pp, pb, bp, bb,</li> <li>aa, ai,, ia, ii,</li> <li>p⋉, b⋉,</li> </ul>

#### SL is Too Weak

- ► SL grammars only handle unbounded dependencies.
- ▶ But some processes in phonology are unbounded.

### Samala Sibilant Harmony (Heinz 2015:16)

[tojonowonowa]

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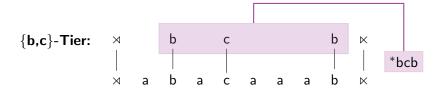
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### TSL: Tier-Based Strictly Local

We can make Samala SL-like if we create new locality domains.

#### Tier-Based Strictly Local Grammars & Languages

 $\mathsf{TSL}_n$  grammar finite set of forbidden  $n\text{-}\mathsf{grams} + \mathsf{tier}$  alphabet  $\mathsf{TSL}_n$  language all strings except those with forbidden  $n\text{-}\mathsf{grams}$  over tier



Forbidden tier-*n*-grams

# Example: Sibilant Harmony

Constraint Forbi  $*[\alpha \text{ ant}] \cdots [-\alpha \text{ ant}]$  [s, s]

**Tier** contains all sibilants

Tier:  $\times$   $\int$  s  $\times$ 

Base: × e ∫ i s i ×

Tier:  $\times$   $\int$   $\int$   $\times$ 

**Base:**  $\times$  e  $\int$  i  $\int$  i  $\times$ 

## Example: Stress Assignment

Culminativity every word has exactly one primary stress

Tier contains segments with primary stress n-grams  $\acute{s}\acute{s}$  and  $\rtimes \ltimes$ 

```
      X
      X
      Á
      Á
      X

      I
      I
      I
      I
      I

      X
      A
      I
      A
      X

      X
      Á
      I
      A
      X
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## A Non-TSL Pattern: Sour Grapes Harmony

Sour Grapes vowel harmony applies only if it can apply to the whole word (i.e. there is no blocker)

#### Why Sour Grapes isn't TSL

- All vowels V must be on the vowel harmony tier.
- ▶ The blocker B must be on the same tier in order to block it.
- But there is no bound on the number of vowels per tier.
- ► The tier thus may have the shape

```
... V V V ... B ...
```

- ▶ B can be arbitrarily far away from VVV ⇒ not a local relation
- ▶ But we need to know whether **B** is on the tier in order to determine the well-formedness of **VVV**.

### More Patterns Beyond TSL?

A few other patterns may go beyond TSL.

- Non-Final RHOL
  - discussed in Hyunah Baek's talk
  - ▶ a minor extension of TSL suffices
- Multiple Harmony
  - discussed in Alëna Aksënova's talk
  - ▶ is actually TSL due to important restrictions

## Complexity of Phonology

- ▶ All local phonological constraints are SL.
- ▶ All segmental long-distance constraints are TSL.
- Suprsegmental constraints (tone, stress) may go beyond TSL. (Graf 2010a,b; Jardine 2015)
- TSL avoids instances of OT overgeneration:
  - cannot generate sour-grapes or majority rules patterns
  - does not allow agreement by proxy
  - explains why consonant harmony is unbounded or transvocalic, but never transconsonantal (McMullin and Hansson 2015)

## Cognitive Implications

- ► SL and TSL languages are learnable from positive data. (Heinz et al. 2012; Jardine and Heinz 2016)
  - ightharpoonup UG: specifies upper bound on size of n-grams
  - memorize which sequences have not been seen so far
  - induce tier (more complex)
  - learning input can be relatively small
- What cognitive resources are required?
  - Only memorization of the last n segments of a specific type
  - ▶ For most processes  $n \le 3$ , and for all  $n \le 7$
  - Fits within bounds of human working memory

### Interim Summary: Phonotactics

- Natural languages have TSL phonotactics.
- gives tighter bound on typology
- solves poverty of stimulus by greatly simplifying learning
- reduces cognitive resource requirements

#### Next

- phonological mappings
- ► SL & TSL syntax

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# Phonological Mappings

- ▶ So far we have only considered phonotactics.
- ▶ But mappings from underlying representations to surface forms can be studied, too.
- Regular mappings are enough. (Kaplan and Kay 1994)
- ► What about subregular mappings?

### Input Strictly Local Mappings

#### Input Strictly Local (ISL)

- Move through string from left to right.
- Rewrite x as y based on previous n symbols in input string.
- Output is not considered!

#### A Note on TSL

Every  $TSL_n$  grammar can be decomposed into

- 1 an ISL<sub>1</sub> function (the tier projection), and
- 2 an  $SL_n$  grammar.

#### An Interesting Puzzle

- $\triangleright$  What happens if we use an ISL<sub>k</sub> function for tier projection?
- ► Addressed in **Aniello De Santo**'s talk

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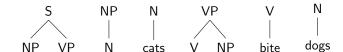
# (Tier-Based) Strictly Local Syntax

- ► SL tree grammars are common in computational linguistics: context-free grammars
- ▶ By adding tier projection, we get TSL tree grammars.

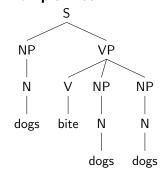


### Example: An Illicit Tree

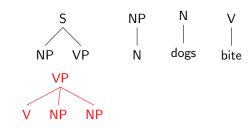
#### SL<sub>2</sub> Tree Grammar



#### **Example Tree**

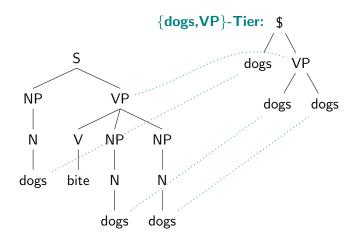


#### Tree Bigrams of Example Tree



## Tier Projection for Trees

Just as for strings, we can project tiers for trees. (Graf and Heinz 2016)



# Towards TSL-Syntax

While TSL-Syntax is still young, it holds promise:

- movement dependencies are TSL (Graf and Heinz 2016)
- scope ambiguities in Lei Liu's blitz talk
- ► Mandarin negation in Hongchen Wu's talk

### Conclusion

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