# Classifying Phase Transitions Using Machine Learning Ising and Potts Models with PCA and Variational Autoencoders

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### Outline

#### What is a Phase Transition?

- Macroscopic changes in a system due to small variations in parameters like temperature or field.
- Example: Ferromagnetic to paramagnetic transition in the Ising model.
- Key concept: **Order parameter** (e.g. magnetization M).

## The Ising Model

- Spins  $s_i = \pm 1$  on a 2D lattice.
- Hamiltonian:  $H = -J \sum_{\langle i,j \rangle} s_i s_j h \sum_i s_i$
- Phase transition at  $T_c \approx 2.269$  (2D, zero field).
- Critical behavior: diverging correlation length, power-law scaling.

#### The Potts Model

- Generalization: q-state spins  $s_i \in \{1, ..., q\}$ .
- Hamiltonian:  $H = -J \sum_{\langle i,j \rangle} \delta_{s_i,s_j}$
- q = 2 is Ising model; q > 4 shows first-order transitions.
- Used in modeling multi-phase materials, image segmentation.

#### Monte Carlo Simulation

- Use Metropolis or Wolff algorithm to sample configurations.
- Input: temperature grid around  $T_c$ .
- Output:  $N \times L^2$  binary configurations for Ising, categorical for Potts.

#### Preprocessing

- Normalize configurations (mean 0, std 1).
- Flatten  $L \times L$  grid to 1D vector.
- Split into training/testing sets with labels (for supervised).

# Neural Network Classifier (PyTorch)

- Input: lattice configuration vector.
- Output: classification (e.g. low vs. high T).
- Loss: cross-entropy; Optimizer: Adam.

#### Key Idea

Learn to distinguish phases by training on labeled data.

## PCA: Principal Component Analysis

• Linear method: projects data onto orthogonal axes of max variance.

Useful for visualizing structure in data without labels.

pca\_latent\_plot.png

### Clustering and Phase Separation

- Apply *k*-means or DBSCAN in PCA space.
- Phases form clusters below and above  $T_c$ .
- Cluster centers shift with temperature.

#### What is a VAE?

- Probabilistic autoencoder with latent variables.
- Learns q(z|x) encoder and p(x|z) decoder.
- Loss: ELBO = reconstruction + KL divergence.

$$\mathcal{L}_{\mathsf{VAE}} = \mathbb{E}_{q(z|x)}[\log p(x|z)] - \mathsf{KL}(q(z|x)||p(z))$$

## VAE Architecture (PyTorch)

- Input: configuration vector *x*.
- Latent space:  $z \in \mathbb{R}^2$  or  $\mathbb{R}^d$ .
- Decoder reconstructs x from z.

vae\_architecture.png

## Latent Space Comparison

#### **PCA**

- Linear projection
- Orthogonal axes
- Fast, interpretable

#### VAE

- Nonlinear manifold
- Captures higher-order correlations
- Learns generative model

## Detecting Criticality via Latent Variance

- VAE latent variables cluster in temperature space.
- Variance in z increases near  $T_c$ .
- Can be used as an indicator of phase transition.

latent\_variance\_plot.png

## Key Takeaways

- Machine learning models can classify and detect phase transitions.
- PCA gives interpretable linear structure; VAEs provide generative power.
- Latent representations are effective probes of critical phenomena.
- Future: use diffusion models or normalizing flows.

#### References

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