

# Week 4 January 24-28: Building a Variational Monte Carlo program

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## Overview of week 4

### Topics.

- Essential ingredients: Variational Monte Carlo methods, Metropolis Algorithm, statistics and Markov Chain theory
- How to structure the VMC code

## Setting up a VMC code

In setting up a C++ code for variational Monte Carlo calculations, we will use an excellent framework developed by a former Computational Physics student, Morten Ledum, now PhD student at the Hylleraas center for Quantum Chemistry. The GitHub repository is at <https://github.com/mortele/variational-monte-carlo-fys4411>.

We will discuss this both in our forthcoming lectures and during our lab sessions.

## Introduction

**Structure and Aims.** These notebooks serve the aim of linking traditional variational Monte Carlo VMC calculations methods with recent progress on solving many-particle problems using Machine Learning algorithms.

**This notebook.** In this notebook the aim is to give you an introduction as well as an understanding of the basic elements that are needed in order to develop a professional variational Monte Carlo code. We will focus on a simple system of two particles in an oscillator trap (or alternatively two fermions moving in a Coulombic potential). The particles can interact via a repulsive or an attractive force. It is thus similar to the system described in project 1.

The advantage of these systems is that for two particles (boson or fermions) we have analytical solutions for the eigenpairs of the non-interacting case. Furthermore, for a two- or three-dimensional system of two electrons moving in a harmonic oscillator trap, we have [analytical solutions for the interacting case as well](#).

Having analytical eigenpairs is an invaluable feature that allows us to assess the physical relevance of the trial wave functions, be these either from a standard VMC procedure, from Boltzmann Machines or from Shadow Wave functions.

In this notebook we start with the basics of a VMC calculation and introduce concepts like Markov Chain Monte Carlo methods and the Metropolis algorithm, importance sampling and Metropolis-Hastings algorithm, resampling methods to obtain better estimates of the statistical errors and minimization of the expectation values of the energy and the variance. The latter is done in order to obtain the best possible variational parameters. Furthermore it will define the so-called **cost** function, a commonly encountered quantity in Machine Learning algorithms. Minimizing the latter is the one which leads to the determination of the optimal parameters in basically all Machine Learning algorithms. This is a possible topic for project 2.

Topics like Markov Chain Monte Carlo and various resampling techniques are also central to Machine Learning methods. Presenting them in the context of VMC approaches leads hopefully to an easier starting point for the understanding of these methods for those of you who will do the machine learning variant of project 2.

## Basic Quantum Monte Carlo

We start with the variational principle. Given a hamiltonian  $H$  and a trial wave function  $\Psi_T(\mathbf{R}; \boldsymbol{\alpha})$ , the variational principle states that the expectation value of  $\mathcal{E}[\mathcal{H}]$ , defined through

$$\mathcal{E}[\mathcal{H}] = \frac{\int [\mathcal{R} \odot_T^*(\mathcal{R}; \boldsymbol{\alpha}) \mathcal{H}(\mathcal{R}) \odot_T(\mathcal{R}; \boldsymbol{\alpha})]}{\int [\mathcal{R} \odot_T^*(\mathcal{R}; \boldsymbol{\alpha}) \odot_T(\mathcal{R}; \boldsymbol{\alpha})]},$$

is an upper bound to the ground state energy  $E_0$  of the hamiltonian  $H$ , that is

$$E_0 \leq \mathcal{E}[H].$$

In general, the integrals involved in the calculation of various expectation values are multi-dimensional ones. Traditional integration methods such as Gauss-Legendre quadrature will not be adequate for say the computation of the energy of a many-body system.

Here we have defined the vector  $\mathbf{R} = [\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{r}_n]$  as an array that contains the positions of all particles  $n$  while the vector  $\boldsymbol{\alpha} = [\alpha_1, \alpha_2, \dots, \alpha_m]$  contains the variational parameters of the model,  $m$  in total.

The trial wave function can be expanded in the eigenstates  $\Psi_i(\mathbf{R})$  of the hamiltonian since they form a complete set, viz.,

$$\Psi_T(\mathbf{R}; \boldsymbol{\alpha}) = \sum_i a_i \Psi_i(\mathbf{R}),$$

and assuming that the set of eigenfunctions are normalized, one obtains

$$\frac{\sum_{nm} a_m^* a_n \int d\mathbf{R} \Psi_m^*(\mathbf{R}) H(\mathbf{R}) \Psi_n(\mathbf{R})}{\sum_{nm} a_m^* a_n \int d\mathbf{R} \Psi_m^*(\mathbf{R}) \Psi_n(\mathbf{R})} = \frac{\sum_n a_n^2 E_n}{\sum_n a_n^2} \geq E_0,$$

where we used that  $H(\mathbf{R}) \Psi_n(\mathbf{R}) = E_n \Psi_n(\mathbf{R})$ . In general, the integrals involved in the calculation of various expectation values are multi-dimensional ones. The variational principle yields the lowest energy of states with a given symmetry.

In most cases, a wave function has only small values in large parts of configuration space, and a straightforward procedure which uses homogenously distributed random points in configuration space will most likely lead to poor results. This may suggest that some kind of importance sampling combined with e.g., the Metropolis algorithm may be a more efficient way of obtaining the ground state energy. The hope is then that those regions of configurations space where the wave function assumes appreciable values are sampled more efficiently.

The tedious part in a VMC calculation is the search for the variational minimum. A good knowledge of the system is required in order to carry out reasonable VMC calculations. This is not always the case, and often VMC calculations serve rather as the starting point for so-called diffusion Monte Carlo calculations (DMC). Diffusion Monte Carlo is a way of solving exactly the many-body Schroedinger equation by means of a stochastic procedure. A good guess on the binding energy and its wave function is however necessary. A carefully performed VMC calculation can aid in this context.

The basic procedure of a Variational Monte Carlo calculations consists thus of

1. Construct first a trial wave function  $\psi_T(\mathbf{R}; \boldsymbol{\alpha})$ , for a many-body system consisting of  $n$  particles located at positions  $\mathbf{R} = (\mathbf{R}_1, \dots, \mathbf{R}_n)$ . The trial wave function depends on  $\alpha$  variational parameters  $\boldsymbol{\alpha} = (\alpha_1, \dots, \alpha_M)$ .
2. Then we evaluate the expectation value of the hamiltonian  $H$

$$\overline{E}[\boldsymbol{\alpha}] = \frac{\int d\mathbf{R} \Psi_T^*(\mathbf{R}, \boldsymbol{\alpha}) H(\mathbf{R}) \Psi_T(\mathbf{R}, \boldsymbol{\alpha})}{\int d\mathbf{R} \Psi_T^*(\mathbf{R}, \boldsymbol{\alpha}) \Psi_T(\mathbf{R}, \boldsymbol{\alpha})}.$$

1. Thereafter we vary  $\boldsymbol{\alpha}$  according to some minimization algorithm and return eventually to the first step if we are not satisfied with the results.

Here we have used the notation  $\overline{E}$  to label the expectation value of the energy.

### Linking with standard statistical expressions for expectation values.

In order to bring in the Monte Carlo machinery, we define first a likelihood distribution, or probability density distribution (PDF). Using our ansatz for the trial wave function  $\psi_T(\mathbf{R}; \alpha)$  we define a PDF

$$P(\mathbf{R}) = \frac{|\psi_T(\mathbf{R}; \alpha)|^2}{\int |\psi_T(\mathbf{R}; \alpha)|^2 d\mathbf{R}}.$$

This is our model for probability distribution function. The approximation to the expectation value of the Hamiltonian is now

$$\overline{E}[\alpha] = \frac{\int d\mathbf{R} \Psi_T^*(\mathbf{R}; \alpha) H(\mathbf{R}) \Psi_T(\mathbf{R}; \alpha)}{\int d\mathbf{R} \Psi_T^*(\mathbf{R}; \alpha) \Psi_T(\mathbf{R}; \alpha)}.$$

We define a new quantity

$$E_L(\mathbf{R}; \alpha) = \frac{1}{\psi_T(\mathbf{R}; \alpha)} H \psi_T(\mathbf{R}; \alpha),$$

called the local energy, which, together with our trial PDF yields a new expression (and which look similar to the the expressions for moments in statistics)

$$\overline{E}[\alpha] = \int P(\mathbf{R}) E_L(\mathbf{R}; \alpha) d\mathbf{R} \approx \frac{1}{N} \sum_{i=1}^N E_L(\mathbf{R}_i; \alpha)$$

with  $N$  being the number of Monte Carlo samples. The expression on the right hand side follows from Bernoulli's law of large numbers, which states that the sample mean, in the limit  $N \rightarrow \infty$  approaches the true mean

The Algorithm for performing a variational Monte Carlo calculations runs as this

- Initialisation: Fix the number of Monte Carlo steps. Choose an initial  $\mathbf{R}$  and variational parameters  $\alpha$  and calculate  $|\psi_T^\alpha(\mathbf{R})|^2$ .
- Initialise the energy and the variance and start the Monte Carlo calculation.
  - Calculate a trial position  $\mathbf{R}_p = \mathbf{R} + r * \text{step}$  where  $r$  is a random variable  $r \in [0, 1]$ .
  - Metropolis algorithm to accept or reject this move  $w = P(\mathbf{R}_p)/P(\mathbf{R})$ .
  - If the step is accepted, then we set  $\mathbf{R} = \mathbf{R}_p$ .
  - Update averages
- Finish and compute final averages.

Observe that the jumping in space is governed by the variable *step*. This is often referred to as the **brute-force** sampling and is normally replaced by what is called **importance sampling**, discussed in more detail next week..

**Simple example, the hydrogen atom.** The radial Schroedinger equation for the hydrogen atom can be written as (when we have gotten rid of the first derivative term in the kinetic energy and used  $rR(r) = u(r)$ )

$$-\frac{\hbar^2}{2m} \frac{d^2 u(r)}{dr^2} - \left( \frac{ke^2}{r} - \frac{\hbar^2 l(l+1)}{2mr^2} \right) u(r) = Eu(r).$$

We will specialize to the case with  $l = 0$  and end up with

$$-\frac{\hbar^2}{2m} \frac{d^2 u(r)}{dr^2} - \left( \frac{ke^2}{r} \right) u(r) = Eu(r).$$

Then we introduce a dimensionless variable  $\rho = r/a$  where  $a$  is a constant with dimension length. Multiplying with  $ma^2/\hbar^2$  we can rewrite our equations as

$$-\frac{1}{2} \frac{d^2 u(\rho)}{d\rho^2} - \frac{ke^2 ma}{\hbar^2} \frac{u(\rho)}{\rho} - \lambda u(\rho) = 0.$$

Since  $a$  is just a parameter we choose to set

$$\frac{ke^2 ma}{\hbar^2} = 1,$$

which leads to  $a = \hbar^2/mke^2$ , better known as the Bohr radius with value 0.053 nm. Scaling the equations this way does not only render our numerical treatment simpler since we avoid carrying with us all physical parameters, but we obtain also a **natural** length scale. We will see this again and again. In our discussions below with a harmonic oscillator trap, the **natural** length scale will be determined by the oscillator frequency, the mass of the particle and  $\hbar$ . We have also defined a dimensionless 'energy'  $\lambda = Ema^2/\hbar^2$ . With the rescaled quantities, the ground state energy of the hydrogen atom is 1/2. The equation we want to solve is now defined by the Hamiltonian

$$H = -\frac{1}{2} \frac{d^2}{d\rho^2} - \frac{1}{\rho}.$$

As trial wave function we peep now into the analytical solution for the hydrogen atom and use (with  $\alpha$  as a variational parameter)

$$u_T^\alpha(\rho) = \alpha \rho \exp(-\alpha \rho).$$

Inserting this wave function into the expression for the local energy  $E_L$  gives

$$E_L(\rho) = -\frac{1}{\rho} - \frac{\alpha}{2} \left( \alpha - \frac{2}{\rho} \right).$$

To have analytical local energies saves us from computing numerically the second derivative, a feature which often increases our numerical expenditure with a factor of three or more. Integrating up the local energy (recall to bring back the PDF in the integration) gives  $\overline{E}[\alpha] = \alpha(\alpha/2 - 1)$ .

**Second example, the harmonic oscillator in one dimension.** We present here another well-known example, the harmonic oscillator in one dimension for one particle. This will also serve the aim of introducing our next model, namely that of interacting electrons in a harmonic oscillator trap.

Here as well, we do have analytical solutions and the energy of the ground state, with  $\hbar = 1$ , is  $1/2\omega$ , with  $\omega$  being the oscillator frequency. We use the following trial wave function

$$\psi_T(x; \alpha) = \exp -(\frac{1}{2}\alpha^2 x^2),$$

which results in a local energy

$$\frac{1}{2} (\alpha^2 + x^2(1 - \alpha^4)).$$

We can compare our numerically calculated energies with the exact energy as function of  $\alpha$

$$\overline{E}[\alpha] = \frac{1}{4} \left( \alpha^2 + \frac{1}{\alpha^2} \right).$$

Similarly, with the above ansatz, we can also compute the exact variance which reads

$$\sigma^2[\alpha] = \frac{1}{4} \left( 1 + (1 - \alpha^4)^2 \frac{3}{4\alpha^4} \right) - \overline{E}.$$

Our code for computing the energy of the ground state of the harmonic oscillator follows here. We start by defining directories where we store various outputs.

```
# Common imports
import os

# Where to save the figures and data files
PROJECT_ROOT_DIR = "Results"
FIGURE_ID = "Results/FigureFiles"
DATA_ID = "Results/VMCHarmonic"

if not os.path.exists(PROJECT_ROOT_DIR):
    os.mkdir(PROJECT_ROOT_DIR)

if not os.path.exists(FIGURE_ID):
    os.makedirs(FIGURE_ID)

if not os.path.exists(DATA_ID):
    os.makedirs(DATA_ID)

def image_path(fig_id):
    return os.path.join(FIGURE_ID, fig_id)

def data_path(dat_id):
    return os.path.join(DATA_ID, dat_id)

def save_fig(fig_id):
    plt.savefig(image_path(fig_id) + ".png", format='png')

outfile = open(data_path("VMCHarmonic.dat"), 'w')
```

We proceed with the implementation of the Monte Carlo algorithm but list first the ansatz for the wave function and the expression for the local energy

```
# VMC for the one-dimensional harmonic oscillator
# Brute force Metropolis, no importance sampling and no energy minimization
from math import exp, sqrt
from random import random, seed
import numpy as np
import matplotlib.pyplot as plt
from decimal import *
# Trial wave function for the Harmonic oscillator in one dimension
def WaveFunction(r,alpha):
    return exp(-0.5*alpha*alpha*r*r)

# Local energy for the Harmonic oscillator in one dimension
def LocalEnergy(r,alpha):
    return 0.5*r*r*(1-alpha**4) + 0.5*alpha*alpha
```

Note that in the Metropolis algorithm there is no need to compute the trial wave function, mainly since we are just taking the ratio of two exponentials. It is then from a computational point view, more convenient to compute the argument from the ratio and then calculate the exponential. Here we have refrained from this purely of pedagogical reasons.

```
# The Monte Carlo sampling with the Metropolis algo
def MonteCarloSampling():

    NumberMCCycles= 100000
    StepSize = 1.0
    # positions
    PositionOld = 0.0
    PositionNew = 0.0

    # seed for rng generator
    seed()
    # start variational parameter
    alpha = 0.4
    for ia in range(MaxVariations):
        alpha += .05
        AlphaValues[ia] = alpha
        energy = energy2 = 0.0
        #Initial position
        PositionOld = StepSize * (random() - .5)
        wfold = WaveFunction(PositionOld,alpha)
        #Loop over MC MCcycles
        for MCcycle in range(NumberMCCycles):
            #Trial position
            PositionNew = PositionOld + StepSize*(random() - .5)
            wfnew = WaveFunction(PositionNew,alpha)
            #Metropolis test to see whether we accept the move
            if random() <= wfnew**2 / wfold**2:
                PositionOld = PositionNew
                wfold = wfnew
            DeltaE = LocalEnergy(PositionOld,alpha)
            energy += DeltaE
            energy2 += DeltaE**2
        #We calculate mean, variance and error
        energy /= NumberMCCycles
        energy2 /= NumberMCCycles
```

```

    variance = energy2 - energy**2
    error = sqrt(variance/NumberMCCycles)
    Energies[ia] = energy
    Variances[ia] = variance
    outfile.write('%f %f %f %f \n' %(alpha,energy,variance,error))
    return Energies, AlphaValues, Variances

```

Finally, the results are presented here with the exact energies and variances as well.

```

#Here starts the main program with variable declarations
MaxVariations = 20
Energies = np.zeros((MaxVariations))
ExactEnergies = np.zeros((MaxVariations))
ExactVariance = np.zeros((MaxVariations))
Variances = np.zeros((MaxVariations))
AlphaValues = np.zeros(MaxVariations)
(Energies, AlphaValues, Variances) = MonteCarloSampling()
outfile.close()
ExactEnergies = 0.25*(AlphaValues*AlphaValues+1.0/(AlphaValues*AlphaValues))
ExactVariance = 0.25*(1.0+((1.0-AlphaValues**4)**2)*3.0/(4*(AlphaValues**4)))-ExactEnergies*ExactEnergies

#simple subplot
plt.subplot(2, 1, 1)
plt.plot(AlphaValues, Energies, 'o-',AlphaValues, ExactEnergies,'r-')
plt.title('Energy and variance')
plt.ylabel('Dimensionless energy')
plt.subplot(2, 1, 2)
plt.plot(AlphaValues, Variances, '.-',AlphaValues, ExactVariance,'r-')
plt.xlabel(r'$\alpha$', fontsize=15)
plt.ylabel('Variance')
save_fig("VMCHarmonic")
plt.show()
#nice printout with Pandas
import pandas as pd
from pandas import DataFrame
data ={'Alpha':AlphaValues, 'Energy':Energies,'Exact Energy':ExactEnergies,'Variance':Variances,'Exact Variance':ExactVariance}
frame = pd.DataFrame(data)
print(frame)

```

For  $\alpha = 1$  we have the exact eigenpairs, as can be deduced from the table here. With  $\omega = 1$ , the exact energy is  $1/2$  a.u. with zero variance, as it should. We see also that our computed variance follows rather well the exact variance. Increasing the number of Monte Carlo cycles will improve our statistics (try to increase the number of Monte Carlo cycles).

The fact that the variance is exactly equal to zero when  $\alpha = 1$  is that we then have the exact wave function, and the action of the hamiltonian on the wave function

$$H\psi = \text{constant} \times \psi,$$

yields just a constant. The integral which defines various expectation values involving moments of the hamiltonian becomes then

$$\langle H^n \rangle = \frac{\int d\mathbf{R} \Psi_T^*(\mathbf{R}) H^n(\mathbf{R}) \Psi_T(\mathbf{R})}{\int d\mathbf{R} \Psi_T^*(\mathbf{R}) \Psi_T(\mathbf{R})} = \text{constant} \times \frac{\int d\mathbf{R} \Psi_T^*(\mathbf{R}) \Psi_T(\mathbf{R})}{\int d\mathbf{R} \Psi_T^*(\mathbf{R}) \Psi_T(\mathbf{R})} = \text{constant}.$$



**This gives an important information: the exact wave function leads to zero variance!** As we will see below, many practitioners perform a minimization on both the energy and the variance.

## The Metropolis algorithm

Till now we have not yet discussed the derivation of the Metropolis algorithm. We assume the reader has some familiarity with the mathematics of Markov chains.

The Metropolis algorithm, see [the original article](#), was invented by Metropolis et. al and is often simply called the Metropolis algorithm. It is a method to sample a normalized probability distribution by a stochastic process. We define  $\mathbf{P}_i^{(n)}$  to be the probability for finding the system in the state  $i$  at step  $n$ . The algorithm is then

- Sample a possible new state  $j$  with some probability  $T_{i \rightarrow j}$ .
- Accept the new state  $j$  with probability  $A_{i \rightarrow j}$  and use it as the next sample. With probability  $1 - A_{i \rightarrow j}$  the move is rejected and the original state  $i$  is used again as a sample.

We wish to derive the required properties of  $T$  and  $A$  such that  $\mathbf{P}_i^{(n \rightarrow \infty)} \rightarrow p_i$  so that starting from any distribution, the method converges to the correct distribution. Note that the description here is for a discrete probability distribution. Replacing probabilities  $p_i$  with expressions like  $p(x_i)dx_i$  will take all of these over to the corresponding continuum expressions.

The dynamical equation for  $\mathbf{P}_i^{(n)}$  can be written directly from the description above. The probability of being in the state  $i$  at step  $n$  is given by the probability of being in any state  $j$  at the previous step, and making an accepted transition to  $i$  added to the probability of being in the state  $i$ , making a transition to any state  $j$  and rejecting the move:

$$\mathbf{P}_i^{(n)} = \sum_j \left[ \mathbf{P}_j^{(n-1)} T_{j \rightarrow i} A_{j \rightarrow i} + \mathbf{P}_i^{(n-1)} T_{i \rightarrow j} (1 - A_{i \rightarrow j}) \right].$$

Since the probability of making some transition must be 1,  $\sum_j T_{i \rightarrow j} = 1$ , and the above equation becomes

$$\mathbf{P}_i^{(n)} = \mathbf{P}_i^{(n-1)} + \sum_j \left[ \mathbf{P}_j^{(n-1)} T_{j \rightarrow i} A_{j \rightarrow i} - \mathbf{P}_i^{(n-1)} T_{i \rightarrow j} A_{i \rightarrow j} \right].$$

For large  $n$  we require that  $\mathbf{P}_i^{(n \rightarrow \infty)} = p_i$ , the desired probability distribution. Taking this limit, gives the balance requirement

$$\sum_j [p_j T_{j \rightarrow i} A_{j \rightarrow i} - p_i T_{i \rightarrow j} A_{i \rightarrow j}] = 0.$$

The balance requirement is very weak. Typically the much stronger detailed balance requirement is enforced, that is rather than the sum being set to zero, we set each term separately to zero and use this to determine the acceptance probabilities. Rearranging, the result is

$$\frac{A_{j \rightarrow i}}{A_{i \rightarrow j}} = \frac{p_i T_{i \rightarrow j}}{p_j T_{j \rightarrow i}}.$$

The Metropolis choice is to maximize the  $A$  values, that is

$$A_{j \rightarrow i} = \min \left( 1, \frac{p_i T_{i \rightarrow j}}{p_j T_{j \rightarrow i}} \right).$$

Other choices are possible, but they all correspond to multiplying  $A_{i \rightarrow j}$  and  $A_{j \rightarrow i}$  by the same constant smaller than unity.<sup>1</sup>

Having chosen the acceptance probabilities, we have guaranteed that if the  $\mathbf{P}_i^{(n)}$  has equilibrated, that is if it is equal to  $p_i$ , it will remain equilibrated. Next we need to find the circumstances for convergence to equilibrium.

The dynamical equation can be written as

$$\mathbf{P}_i^{(n)} = \sum_j M_{ij} \mathbf{P}_j^{(n-1)}$$

with the matrix  $M$  given by

$$M_{ij} = \delta_{ij} \left[ 1 - \sum_k T_{i \rightarrow k} A_{i \rightarrow k} \right] + T_{j \rightarrow i} A_{j \rightarrow i}.$$

Summing over  $i$  shows that  $\sum_i M_{ij} = 1$ , and since  $\sum_k T_{i \rightarrow k} = 1$ , and  $A_{i \rightarrow k} \leq 1$ , the elements of the matrix satisfy  $M_{ij} \geq 0$ . The matrix  $M$  is therefore a stochastic matrix.

The Metropolis method is simply the power method for computing the right eigenvector of  $M$  with the largest magnitude eigenvalue. By construction, the correct probability distribution is a right eigenvector with eigenvalue 1. Therefore, for the Metropolis method to converge to this result, we must show that  $M$  has only one eigenvalue with this magnitude, and all other eigenvalues are smaller.

## The system: two particles (fermions normally) in a harmonic oscillator trap in two dimensions

The Hamiltonian of the quantum dot is given by

$$\hat{H} = \hat{H}_0 + \hat{V},$$

---

<sup>1</sup>The penalty function method uses just such a factor to compensate for  $p_i$  that are evaluated stochastically and are therefore noisy.

where  $\hat{H}_0$  is the many-body HO Hamiltonian, and  $\hat{V}$  is the inter-electron Coulomb interactions. In dimensionless units,

$$\hat{V} = \sum_{i < j}^N \frac{1}{r_{ij}},$$

with  $r_{ij} = \sqrt{\mathbf{r}_i^2 - \mathbf{r}_j^2}$ .

This leads to the separable Hamiltonian, with the relative motion part given by ( $r_{ij} = r$ )

$$\hat{H}_r = -\nabla_r^2 + \frac{1}{4}\omega^2 r^2 + \frac{1}{r},$$

plus a standard Harmonic Oscillator problem for the center-of-mass motion. This system has analytical solutions in two and three dimensions (M. Taut 1993 and 1994).

We want to perform a Variational Monte Carlo calculation of the ground state of two electrons in a quantum dot well with different oscillator energies, assuming total spin  $S = 0$ . Our trial wave function has the following form

$$\psi_T(\mathbf{r}_1, \mathbf{r}_2) = C \exp(-\alpha_1 \omega (r_1^2 + r_2^2)/2) \exp\left(\frac{r_{12}}{(1 + \alpha_2 r_{12})}\right), \quad (1)$$

where the  $\alpha$ s represent our variational parameters, two in this case.

Why does the trial function look like this? How did we get there? **This will be one of our main motivations** for switching to Machine Learning later.

To find an ansatz for the correlated part of the wave function, it is useful to rewrite the two-particle local energy in terms of the relative and center-of-mass motion. Let us denote the distance between the two electrons as  $r_{12}$ . We omit the center-of-mass motion since we are only interested in the case when  $r_{12} \rightarrow 0$ . The contribution from the center-of-mass (CoM) variable  $\mathbf{R}_{\text{CoM}}$  gives only a finite contribution. We focus only on the terms that are relevant for  $r_{12}$  and for three dimensions.

The relevant local energy becomes then

$$\lim_{r_{12} \rightarrow 0} E_L(R) = \frac{1}{\mathcal{R}_T(r_{12})} \left( 2 \frac{d^2}{dr_{ij}^2} + \frac{4}{r_{ij}} \frac{d}{dr_{ij}} + \frac{2}{r_{ij}} - \frac{l(l+1)}{r_{ij}^2} + 2E \right) \mathcal{R}_T(r_{12}) = 0.$$

Set  $l = 0$  and we have the so-called **cusp** condition

$$\frac{d\mathcal{R}_T(r_{12})}{dr_{12}} = -\frac{1}{2(l+1)} \mathcal{R}_T(r_{12}) \quad r_{12} \rightarrow 0$$

The above results in

$$\mathcal{R}_T \propto \exp(r_{ij}/2),$$

for anti-parallel spins and

$$\mathcal{R}_T \propto \exp(r_{ij}/4),$$

for anti-parallel spins. This is the so-called cusp condition for the relative motion, resulting in a minimal requirement for the correlation part of the wave function. For general systems containing more than say two electrons, we have this condition for each electron pair  $ij$ .

**First code attempt for the two-electron case.** First, as with the hydrogen case, we declare where to store files.

```
# Common imports
import os

# Where to save the figures and data files
PROJECT_ROOT_DIR = "Results"
FIGURE_ID = "Results/FigureFiles"
DATA_ID = "Results/VMCQdotMetropolis"

if not os.path.exists(PROJECT_ROOT_DIR):
    os.mkdir(PROJECT_ROOT_DIR)

if not os.path.exists(FIGURE_ID):
    os.makedirs(FIGURE_ID)

if not os.path.exists(DATA_ID):
    os.makedirs(DATA_ID)

def image_path(fig_id):
    return os.path.join(FIGURE_ID, fig_id)

def data_path(dat_id):
    return os.path.join(DATA_ID, dat_id)

def save_fig(fig_id):
    plt.savefig(image_path(fig_id) + ".png", format='png')

outfile = open(data_path("VMCQdotMetropolis.dat"), 'w')
```

Thereafter we set up the analytical expressions for the wave functions and the local energy

```
# 2-electron VMC for quantum dot system in two dimensions
# Brute force Metropolis, no importance sampling and no energy minimization
from math import exp, sqrt
from random import random, seed
import numpy as np
import matplotlib.pyplot as plt
from mpl_toolkits.mplot3d import Axes3D
from matplotlib import cm
from matplotlib.ticker import LinearLocator, FormatStrFormatter
import sys

# Trial wave function for the 2-electron quantum dot in two dims
def WaveFunction(r,alpha,beta):
    r1 = r[0,0]**2 + r[0,1]**2
    r2 = r[1,0]**2 + r[1,1]**2
    r12 = sqrt((r[0,0]-r[1,0])**2 + (r[0,1]-r[1,1])**2)
    deno = r12/(1+beta*r12)
    return exp(-0.5*alpha*(r1+r2)+deno)
```

```

# Local energy for the 2-electron quantum dot in two dims, using analytical local energy
def LocalEnergy(r,alpha,beta):

    r1 = (r[0,0]**2 + r[0,1]**2)
    r2 = (r[1,0]**2 + r[1,1]**2)
    r12 = sqrt((r[0,0]-r[1,0])**2 + (r[0,1]-r[1,1])**2)
    deno = 1.0/(1+beta*r12)
    deno2 = deno*deno
    return 0.5*(1-alpha*alpha)*(r1 + r2) +2.0*alpha + 1.0/r12+deno2*(alpha*r12-deno2+2*beta*deno-

```

The Monte Carlo sampling without importance sampling is set up here.

```

# The Monte Carlo sampling with the Metropolis algo
def MonteCarloSampling():

    NumberMCcycles= 10000
    StepSize = 1.0
    # positions
    PositionOld = np.zeros((NumberParticles,Dimension), np.double)
    PositionNew = np.zeros((NumberParticles,Dimension), np.double)

    # seed for rng generator
    seed()
    # start variational parameter
    alpha = 0.9
    for ia in range(MaxVariations):
        alpha += .025
        AlphaValues[ia] = alpha
        beta = 0.2
        for jb in range(MaxVariations):
            beta += .01
            BetaValues[jb] = beta
            energy = energy2 = 0.0
            DeltaE = 0.0
            #Initial position
            for i in range(NumberParticles):
                for j in range(Dimension):
                    PositionOld[i,j] = StepSize * (random() - .5)
            wfold = WaveFunction(PositionOld,alpha,beta)

            #Loop over MC MCcycles
            for MCcycle in range(NumberMCcycles):
                #Trial position moving one particle at the time
                for i in range(NumberParticles):
                    for j in range(Dimension):
                        PositionNew[i,j] = PositionOld[i,j] + StepSize * (random() - .5)
                    wfnew = WaveFunction(PositionNew,alpha,beta)

                    #Metropolis test to see whether we accept the move
                    if random() < wfnew**2 / wfold**2:
                        for j in range(Dimension):
                            PositionOld[i,j] = PositionNew[i,j]
                        wfold = wfnew
                    DeltaE = LocalEnergy(PositionOld,alpha,beta)
                    energy += DeltaE
                    energy2 += DeltaE**2
            #We calculate mean, variance and error ...
            energy /= NumberMCcycles
            energy2 /= NumberMCcycles
            variance = energy2 - energy**2

```

```

        error = sqrt(variance/NumberMCcycles)
        Energies[ia,jb] = energy
        Variances[ia,jb] = variance
        outfile.write('%f %f %f %f %f\n' %(alpha,beta,energy,variance,error))
    return Energies, Variances, AlphaValues, BetaValues

```

And finally comes the main part with the plots as well.

```

#Here starts the main program with variable declarations
NumberParticles = 2
Dimension = 2
MaxVariations = 10
Energies = np.zeros((MaxVariations,MaxVariations))
Variances = np.zeros((MaxVariations,MaxVariations))
AlphaValues = np.zeros(MaxVariations)
BetaValues = np.zeros(MaxVariations)
(Energies, Variances, AlphaValues, BetaValues) = MonteCarloSampling()
outfile.close()

# Prepare for plots
fig = plt.figure()
ax = fig.gca(projection='3d')
# Plot the surface.
X, Y = np.meshgrid(AlphaValues, BetaValues)
surf = ax.plot_surface(X, Y, Energies,cmap=cm.coolwarm,linewidth=0, antialiased=False)
# Customize the z axis.
zmin = np.matrix(Energies).min()
zmax = np.matrix(Energies).max()
ax.set_zlim(zmin, zmax)
ax.set_xlabel(r'$\alpha$')
ax.set_ylabel(r'$\beta$')
ax.set_zlabel(r'$\langle E \rangle$')
ax.zaxis.set_major_locator(LinearLocator(10))
ax.zaxis.set_major_formatter(FormatStrFormatter('%.02f'))
# Add a color bar which maps values to colors.
fig.colorbar(surf, shrink=0.5, aspect=5)
save_fig("QdotMetropolis")
plt.show()

```