

Instructions



Relational Algebra

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Overview

- Selection
- Projection
- Product
- Join
- Union, Intersection, Difference
- Rename

- Understand the meaning of each operator.
- Write relational algebra to query data in given relations.
- Calculate the data specified by a particular relational algebra.

Relational Algebra

What is the Relational Algebra?

Data Manipulation:

English \Leftrightarrow Relational Algebra \Leftrightarrow SQL queries

University

| uID | uName | Country | Enrollment |
|-----|-------|---------|------------|
| ... | ... | ... | ... |

- **English:** “Find all universities with more than 20000 students.”
- **Relational Algebra:** $\pi_{uName}(\sigma_{Enrollment > 20000}(University))$
- **SQL:** **SELECT** uName **FROM** University **WHERE** Enrollment > 20000

- **Relational Model:** Data \rightarrow Relations
- Data Manipulation \rightarrow operations on relations
- **Relational Algebra:**
 - A theoretical language with operations that work on relations.
 - Takes relations as input and produce new relations.
 - Operations **won't** affect the original relations!
 - Theoretical foundation for SQL.
- **Operators:** $+, -, \times, \div$ for numbers, $\&, |, \neg$ for boolean
 - Common to Set-theoretic one
 - Specific to relations

Unary Operations

Example: University Applications

- What are the primary keys?

| Apply | | | |
|-------|-------|------|-----|
| SID | uName | Subj | Dec |
| 0135 | CAM | CS | 'A' |
| 0135 | UON | CS | 'A' |
| 0423 | UON | ENG | 'R' |

| University | | |
|------------|------------|------------|
| uName | County | Enrollment |
| UON | Nott/shire | 18000 |
| CAM | Cam/shire | 22000 |
| UCL | Great/Lon | 20000 |

| Student | | | |
|---------|-------|------|------|
| SID | sName | GPA | HS |
| 0135 | John | 18.5 | 100 |
| 0025 | Mary | 19.3 | 1000 |
| 0423 | Mary | 17.5 | 300 |

Example: University Applications

- Primary keys are:
 - **uName** for University.
 - **SID** for Student.
 - **(SID, uName, Subj)** for Apply.
- I want to know the information of the students who has a GPA less than 19.
 - What operator should I use?
 - How to write a query?

| Apply | | | |
|------------|--------------|-------------|-----|
| SID | uName | Subj | Dec |
| 0135 | CAM | CS | 'A' |
| 0135 | UON | CS | 'A' |
| 0423 | UON | ENG | 'R' |

| University | | |
|--------------|------------|------------|
| uName | County | Enrollment |
| UON | Nott/shire | 18000 |
| CAM | Cam/shire | 22000 |
| UCL | Great/Lon | 20000 |

| Student | | | |
|------------|-------|------|------|
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- A relation \mathcal{R} of degree n , where the domains for each attributes are D_1, \dots, D_n , is a subset of the Cartesian product of the domains:

$$\mathcal{R} \subseteq D_1 \times \dots \times D_n$$

- Cartesian product:

$$D_1 \times \dots \times D_n = \{(v_1, \dots, v_n) | v_i \in D_i\}$$

- Example:
 - if $A_1 = \{1, 2\}$ and $A_2 = \{3, 4\}$, then
 - $A_1 \times A_2 = \{(1, 3), (1, 4), (2, 3), (2, 4)\}$

- Selection works as filters.
- Let \mathcal{R} be a relation with n columns and α is some properties of tuples.
- Selection from \mathcal{R} subject to condition α is defined as:

$$\sigma_{\alpha}(\mathcal{R}) = \{(a_1, \dots, a_n) | (a_1, \dots, a_n) \in \mathcal{R}, \alpha(a_1, \dots, a_n)\}$$

- σ : the selection operator.
- $(a_1, \dots, a_n) \in \mathcal{R}$ means (a_1, \dots, a_n) is a tuple in relation \mathcal{R} .

What are properties?

- **Properties** are **expressions** connected with logical symbols, i.e., and, or, not.
- Each **expression** is either:
 - Attributes comparisons ($=, \neq, >, <, \geq, \leq$)
 - e.g., Enrollment $>$ HS
 - Or comparison between an attribute and a value
 - e.g., GPA $>$ 15
- $\sigma_{GPA < 19}(Student)$

| Student | | | |
|---------|-------|------|------|
| SID | sName | GPA | HS |
| 0135 | John | 18.5 | 100 |
| 0025 | Mary | 19.3 | 1000 |
| 0423 | Mary | 17.5 | 300 |

Excercise: Selection

- Find out all students with **GPA** more than 19.
- Find out all students with **GPA** more than 18 and high school size less than 1000.
- Find out all applications to **University of Nottingham (UoN)** with subject **CS**.

| Apply | | | |
|------------|--------------|-------------|-----|
| SID | uName | Subj | Dec |
| 0135 | CAM | CS | 'A' |
| 0135 | UON | CS | 'A' |
| 0423 | UON | ENG | 'R' |

| University | | |
|--------------|------------|------------|
| uName | County | Enrollment |
| UON | Nott/shire | 18000 |
| CAM | Cam/shire | 22000 |
| UCL | Great/Lon | 20000 |

| Student | | | |
|------------|-------|------|------|
| SID | sName | GPA | HS |
| 0135 | John | 18.5 | 100 |
| 0025 | Mary | 19.3 | 1000 |
| 0423 | Mary | 17.5 | 300 |

- Find out all students with **GPA** more than 19.

$$\sigma_{GPA > 19}(Student)$$

- Find out all students with **GPA** more than 18 and high school size less than 1000.

$$\sigma_{GPA > 18 \text{ and } HS < 1000}(Student)$$

- Find out all applications to **University of Nottingham (UoN)** with subject **CS**.

$$\sigma_{uName='UON' \text{ and } Subj='CS'}(Apply)$$

$$\sigma_{uName='UON'}(\sigma_{Subj='CS'}(Apply))$$

- Projection works as slicing.
- Let \mathcal{R} be a relation with n columns and \mathcal{X} is a set of attributes.
- Projection of \mathcal{R} on \mathcal{X} is defined as:

$$\pi_{\mathcal{X}}(R)$$

- π : the projection operator.
- $\pi_{\mathcal{X}}(R)$ generate a new relation which only contains attributes from \mathcal{X} .

| Student | | | |
|---------|-------|------|------|
| SID | sName | GPA | HS |
| 0135 | John | 18.5 | 100 |
| 0025 | Mary | 19.3 | 1000 |
| 0423 | Mary | 17.5 | 300 |

Excercise: Projection

- Get IDs and decisions from all applications.
- Get IDs and names of students with GPA greater than 19.

| Apply | | | |
|-------|-------|------|-----|
| SID | uName | Subj | Dec |
| 0135 | CAM | CS | 'A' |
| 0135 | UON | CS | 'A' |
| 0423 | UON | ENG | 'R' |

| University | | |
|------------|------------|------------|
| uName | County | Enrollment |
| UON | Nott/shire | 18000 |
| CAM | Cam/shire | 22000 |
| UCL | Great/Lon | 20000 |

| Student | | | |
|---------|-------|------|------|
| SID | sName | GPA | HS |
| 0135 | John | 18.5 | 100 |
| 0025 | Mary | 19.3 | 1000 |
| 0423 | Mary | 17.5 | 300 |

- Get IDs and decisions from all applications.

$$\pi_{SID, Dec}(Apply)$$

- Get IDs and names of students with GPA greater than 19.

$$\pi_{SID, sName}(\sigma_{GPA > 19}(Student))$$

- Can we change the order?

$$\sigma_{GPA > 19}(\pi_{SID, sName}(Student))$$



- The definition from standard set-theory:

$$A \cup B = \{x | x \in A \text{ or } x \in B\}$$

- E.g., $\{a, b, c\} \cup \{a, d, e\} = \{a, b, c, d, e\}$

| ID | Name |
|------|-------------|
| M139 | John Smith |
| A368 | Jane Brown |
| A367 | David Jones |

| ID | Name |
|------|-------------|
| M140 | Mary Jones |
| A222 | Mark Brown |
| A367 | David Jones |

| ID | Name |
|------|-------------|
| M139 | John Smith |
| M140 | Mary Jones |
| A368 | Jane Brown |
| A222 | Mark Brown |
| A367 | David Jones |

- Two relations \mathcal{R}_1 and \mathcal{R}_2 are union-compatible if and only if they have the same number of attributes, and corresponding attributes have the same domain.

| ID | Name |
|------|-------------|
| M139 | John Smith |
| A368 | Jane Brown |
| A367 | David Jones |

| ID | Age |
|------|-----|
| M140 | 23 |
| A222 | 31 |
| A367 | 28 |

- The definition from standard set-theory:

$$A - B = \{x | x \in A \text{ and } x \notin B\}$$

- E.g., $\{a, b, c\} - \{a, d, e\} = \{b, c\}$
- Require union-compatible.

| ID | Name |
|------|-------------|
| M139 | John Smith |
| A368 | Jane Brown |
| A367 | David Jones |

| ID | Name |
|------|-------------|
| M140 | Mary Jones |
| A222 | Mark Brown |
| A367 | David Jones |

| ID | Name |
|------|------------|
| M139 | John Smith |
| A368 | Jane Brown |

- The definition from standard set-theory:

$$A \cap B = \{x | x \in A \text{ and } x \in B\}$$

- E.g., $\{a, b, c\} \cap \{a, d, e\} = \{a\}$
- Require union-compatible.

| ID | Name |
|------|-------------|
| M139 | John Smith |
| A368 | Jane Brown |
| A367 | David Jones |

| ID | Name |
|------|-------------|
| M140 | Mary Jones |
| A222 | Mark Brown |
| A367 | David Jones |

| ID | Name |
|------|-------------|
| A367 | David Jones |

- The definition from standard set-theory:

$$A \times B = \{(x, y) | x \in A, y \in B\}$$

- E.g., $\{a, b\} \times \{d, e\} = \{(a, d), (a, e), (b, d), (b, e)\}$
- Does not require union-compatible.
- Extended Cartesian product:

$$A \times B = \{(c_1, \dots, c_n, d_1, \dots, d_m) | (c_1, \dots, c_n) \in A, (d_1, \dots, d_m) \in B\}$$

| Student | | | |
|---------|-------|------|------|
| SID | sName | GPA | HS |
| 0135 | John | 18.5 | 100 |
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| Apply | | | |
|-------|-------|------|-----|
| SID | uName | Subj | Dec |
| 0135 | CAM | CS | 'A' |
| 0135 | UON | CS | 'A' |
| 0423 | UON | ENG | 'R' |

Example: Cartesian Product

| Student x Apply | | | | | | | |
|-----------------|-------|------|------|-------|-------|------|-----|
| S.SID | sName | GPA | HS | A.SID | uName | Subj | Dec |
| 0135 | John | 18.5 | 100 | 0135 | CAM | CS | 'A' |
| 0135 | John | 18.5 | 100 | 0135 | UON | CS | 'A' |
| 0135 | John | 18.5 | 100 | 0423 | UON | ENG | 'R' |
| 0025 | Mary | 19.3 | 1000 | 0135 | CAM | CS | 'A' |
| 0025 | Mary | 19.3 | 1000 | 0135 | UON | CS | 'A' |
| 0025 | Mary | 19.3 | 1000 | 0423 | UON | ENG | 'R' |
| 0423 | Mary | 17.5 | 300 | 0135 | CAM | CS | 'A' |
| 0423 | Mary | 17.5 | 300 | 0135 | UON | CS | 'A' |
| 0423 | Mary | 17.5 | 300 | 0423 | UON | ENG | 'R' |

- What do the tuples in red mean?
- How to solve the problem?

Join Operators

- Student ⋈ Apply (bowtie)
 - Similar to Cartesian Product but **enforce equality** on all attributes with the same name (SID in the previous case).
 - Automatically sets values equal when attribute names are the same.
 - Get rid of multiple copies of the attributes with the same name.

| Student x Apply | | | | | | |
|-----------------|-------|------|-----|-------|------|-----|
| SID | sName | GPA | HS | uName | Subj | Dec |
| 0135 | John | 18.5 | 100 | CAM | CS | 'A' |
| 0135 | John | 18.5 | 100 | UON | CS | 'A' |
| 0423 | Mary | 17.5 | 300 | UON | ENG | 'R' |

Excercise: Natural Join

- Get names and GPAs of the students with high school size greater than 1000 who applied to CS and were rejected.
- Get names and GPAs of the students with high school size greater than 1000 who applied to CS at Universities with enrollment greater than 20000 and were rejected.

| Apply | | | |
|-------|-------|------|-----|
| SID | uName | Subj | Dec |
| 0135 | CAM | CS | 'A' |
| 0135 | UON | CS | 'A' |
| 0423 | UON | ENG | 'R' |

| University | | |
|------------|------------|------------|
| uName | County | Enrollment |
| UON | Nott/shire | 18000 |
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| UCL | Great/Lon | 20000 |

| Student | | | |
|---------|-------|------|------|
| SID | sName | GPA | HS |
| 0135 | John | 18.5 | 100 |
| 0025 | Mary | 19.3 | 1000 |
| 0423 | Mary | 17.5 | 300 |

- Get names and GPAs of the students with high school size greater than 1000 who applied to CS and were rejected.

$\pi_{GPA, sName}(\sigma_{HS > 1000 \text{ and } Subj = 'CS' \text{ and } Dec = 'R'}(Student \bowtie Apply))$

- Get names and IDs of the students who applied to CS at Universities with enrollment greater than 20000.

$\pi_{sID, sName}(\sigma_{Subj = 'CS' \text{ and } Enrollment > 20000}(Student \bowtie Apply$
 $\bowtie University))$

- Cartesian Product satisfying certain properties.
- Can be implemented via Cartesian Product and Select operator.
- If \mathcal{R}_1 and \mathcal{R}_2 are two relations, θ is a property or properties, then the Theta Join Operator is defined as:

$$\mathcal{R}_1 \bowtie_{\theta} \mathcal{R}_2 = \sigma_{\theta}(\mathcal{R}_1 \times \mathcal{R}_2)$$

- The results consists of all combinations of tuples in \mathcal{R}_1 and \mathcal{R}_2 that satisfy properties θ .
- Can we define Natural Join based on Theta Join?

- The rename operator has 3 forms. Suppose E is a relational algebra that generates a new relation, $S(T_1, \dots, T_n)$.
 - $\rho_{R(A_1, \dots, A_n)}(E)$: return a relation $R(A_1, \dots, A_n)$
 - $\rho_R(E)$: returns a relation $R(T_1, \dots, T_n)$
 - $\rho_{(A_1, \dots, A_n)}(E)$: returns a relation $S(A_1, \dots, A_n)$
- R is the new name of the relation generated by E
- A_1, \dots, A_n are the new names for the attributes in the new relation.

Why we want a rename operator?

- Unifies schemas for Union, Difference and Intersection
 - List all Student and University names

$$\rho_{(name)}(\pi_{sName}(Student)) \cup \rho_{(name)}(\pi_{uName}(University))$$

- Help to disambiguation in self joins
 - Pairs of Universities in the same County.
 - We want to use rename and natural join

$$\sigma_{n1 > n2}(\rho_{U_1(n_1, c, e_1)}(University) \bowtie \rho_{U_2(n_2, c, e_2)}(University))$$