## Instructions





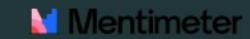
## Normalization

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# Warm Up

#### Recall: Relational Model



| ID   | Name        | Salary          | Department |
|------|-------------|-----------------|------------|
| M139 | John Smith  | 18000           | Marketing  |
| M140 | Mary Jones  | 22000 Marketing |            |
| A368 | Jane Brown  | 22000           | Accounts   |
| P222 | Mark Brown  | 24000           | Personals  |
| A367 | David Jones | 2000            | Accounts   |

- · Attributes, Schema, Tuples.
- Degree of the relation.
- Cardinality of the relation.

### Warm up: An example database

| sID | Name  | Department  | Building | mCode     | Module                                |
|-----|-------|-------------|----------|-----------|---------------------------------------|
| 1   | John  | Computer    | B1       | DBI, FAI  | Database and Interfaces,              |
|     | Smith | Science     | DI       | DDI, I AI | Foundation of Artificial Intelligence |
| 2   | Mark  | Computer    | B1       | FAI       | Foundation of Artificial Intelligence |
|     | Brown | Science     | DI       |           | roundation of Artificial intelligence |
| 2   | Mary  | Computer    | B1       | PGA, DBI  | Programming and Algorithms,           |
| 5   | Jones | Science     | DI       |           | Database and Interfaces               |
| /,  | David | Mathematics | A1       | MCS       | Mathematics for Computer Scientists   |
| 4   | Jones | Mathematics | AI       | IVICS     | Mathematics for Computer Scientists   |

- Each staff belongs to one department.
- · Each department has its own building.

What are the problems?

#### Mentimeter

# Warm up: Non-atomic values

| sID | Name  | Department  | Building | mCode       | Module                                |
|-----|-------|-------------|----------|-------------|---------------------------------------|
| 1   | John  | Computer    | B1       | DBI, FAI    | Database and Interfaces,              |
|     | Smith | Science     | DI       | DDI, I AI   | Foundation of Artificial Intelligence |
| 2   | Mark  | Computer    | B1       | FAI         | Foundation of Artificial Intelligence |
|     | Brown | Science     | DI       |             | roundation of Artificial intelligence |
| 3   | Mary  | Computer    | R1       | B1 PGA, DBI | Programming and Algorithms,           |
| 5   | Jones | Science     | DI       |             | Database and Interfaces               |
| /.  | David | Mathematics | A1       | NACC        | Mathematics for Computer Scientists   |
| 4   | Jones | Mathematics | AI       | MCS         | Mathematics for Computer Scientists   |

How to solve this problem?

#### Mentimeter

# Warm up: A table with atomic values

| sID | Name  | Department  | Building | mCode | Module                                 |
|-----|-------|-------------|----------|-------|--|
| 1   | John  | Computer    | B1       | DBI   | Database and Interfaces                |
|     | Smith | Science     |          | וטט   | Database and interfaces                |
| 1   | John  | Computer    | B1       | FAI   | Foundation of Artificial Intelligence  |
|     | Smith | Science     | DI       |       | Touridation of Artificial intelligence |
| 2   | Mark  | Computer    | B1       | FAI   | Foundation of Artificial Intelligence  |
|     | Brown | Science     | DI       | IAI   | Touridation of Artificial intettigence |
| 3   | Mary  | Computer    | B1       | PGA   | Programming and Algorithms             |
|     | Jones | Science     | DI       | I PUA | r rogramming and Algorithms            |
| 3   | Mary  | Computer    | B1       | DBI   | Database and Interfaces                |
|     | Jones | Science     | DI       | וטטו  | Database and interfaces                |
| 4   | David | Mathematics | A1       | MCS   | Mathematics for Computer Scientists    |
| 4   | Jones | Mathematics |          | IVICS | Mathematics for computer scientists    |

# Warm up: Data Redundancy

| sID | Name  | Department     | Building | mCode | Module                                 |
|-----|-------|----------------|----------|-------|--|
| 1   | John  | Computer       | B1       | DBI   | Database and Interfaces                |
|     | Smith | Science        | DI       | וטט   | Database and interfaces                |
| 1   | John  | Computer       | B1       | FAI   | Foundation of Artificial Intelligence  |
| 1   | Smith | Science        | DI       | IAI   | Touridation of Artificial intelligence |
| 2   | Mark  | Computer       | B1       | FAI   | Foundation of Artificial Intelligence  |
|     | Brown | Science        | DI       | IAI   | Touridation of Artificial intelligence |
| 2   | Mary  | Computer       | B1       | PGA   | Programming and Algorithms             |
| J   | Jones | Science        | DI       | I OA  | riogramming and Algorithms             |
| 2   | Mary  | Computer       | B1       | DBI   | Database and Interfaces                |
| 5   | Jones | Science        | DI       | וטט   | Database and interfaces                |
| 4   | David | Mathematics    | A1       | MCS   | Mathematics for Computer Scientists    |
|     | Jones | Matricillatics |          | IVICS | Mathematics for computer scientists    |

# Learning Outcomes

#### Mentimete

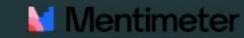
#### Learning Outcomes

By the end of this lecture, you should be able to

- Understand the basic idea of normalization.
- Understand the definition of functional dependencies.
- Know what are Normal Forms.
- Translate given relations into 1NF.
- · Understand the problems of 1NF.

# Normalization

#### What is normalization?

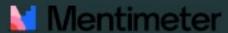


- Normalization: A technique for producing a set of relations with desirable properties, given the data requirement.
- Why we need it?
  - Easier to access and maintain data.
  - Minimal storage space.
- Desirable properties:
  - Minimal number of attributes to support the data requirement.
  - Attributes with a close logical relationship should be defined in the same relation.
  - Minimal redundancy.
- Normalization steps: 1NF, 2NF and 3NF

# 1NF

1NF: The relation contains only atomic values.

| sID | Name  | Department  | Building | mCode       | Module                                |
|-----|-------|-------------|----------|-------------|---------------------------------------|
| 1   | John  | Computer    | B1       | DBI, FAI    | Database and Interfaces,              |
|     | Smith | Science     | DI       | DDI, I AI   | Foundation of Artificial Intelligence |
| 2   | Mark  | Computer    | B1       | FAI         | Foundation of Artificial Intelligence |
|     | Brown | Science     | DI       | EAI         | roundation of Artificial intelligence |
| 3   | Mary  | Computer    | R1       | B1 PGA, DBI | Programming and Algorithms,           |
|     | Jones | Science     | DI       |             | Database and Interfaces               |
| /,  | David | Mathematics | A1       | MCS         | Mathematics for Computer Scientists   |
| 4   | Jones | Mathematics | AI       | MCS         | Mathematics for computer scientists   |



· To convert a relation into 1NF, we need to split all non-atomic values

| sID | Name           | Department          | Building | mCode | Module                                |
|-----|----------------|---------------------|----------|-------|---------------------------------------|
| 1   | John<br>Smith  | Computer<br>Science | В1       | DBI   | Database and Interfaces               |
| 1   | John<br>Smith  | Computer<br>Science | В1       | FAI   | Foundation of Artificial Intelligence |
| 2   | Mark<br>Brown  | Computer<br>Science | В1       | FAI   | Foundation of Artificial Intelligence |
| 3   | Mary<br>Jones  | Computer<br>Science | В1       | PGA   | Programming and Algorithms            |
| 3   | Mary<br>Jones  | Computer<br>Science | B1       | DBI   | Database and Interfaces               |
| 4   | David<br>Jones | Mathematics         | A1       | MCS   | Mathematics for Computer Scientists   |

#### **INSERT Anomalies**

• If we want to add a new staff David Ford from Computer Science with ID = 5.

| sID | Name           | Department          | Building | mCode | Module                              |
|-----|----------------|---------------------|----------|-------|-------------------------------------|
| 1   | John<br>Smith  | Computer<br>Science | B1       | DBI   | Database and Interfaces             |
|     | •••            | •••                 | •••      |       | •••                                 |
| 4   | David<br>Jones | Mathematics         | A1       | MCS   | Mathematics for Computer Scientists |

#### **INSERT Anomalies**

• If we want to add a new staff David Ford from Computer Science with ID = 5.

| sID | Name           | Department  | Building | mCode | Module                              |
|-----|----------------|-------------|----------|-------|-------------------------------------|
| 1   | John           | Computer    | B1       | DBI   | Database and Interfaces             |
|     | Smith          | Science     |          |       |                                     |
|     |                | •••         |          |       | •••                                 |
| 4   | David          | Mathematics | A1       | MCS   | Mathematics for Computer Scientists |
| 4   | Jones          | Mathematics |          | IVICS | Mathematics for computer scientist. |
| 5   | _ David Comput | Computer    | Null     | Null  | Null                                |
|     | Ford           | Science     | Nutt     | Nutt  | IVULL                               |

### DELETION Anomalies

If we want to delete module MCS

| sID | Name           | Department          | Building | mCode | Module                                |
|-----|----------------|---------------------|----------|-------|---------------------------------------|
| 1   | John<br>Smith  | Computer<br>Science | B1       | DBI   | Database and Interfaces               |
| 1   | John           | Computer            | B1       | FAI   | Foundation of Artificial Intelligence |
| 2   | Mark<br>Brown  | Computer            | B1       | FAI   | Foundation of Artificial Intelligence |
| 3   | Mary Jones     | Computer<br>Science | B1       | PGA   | Programming and Algorithms            |
| 3   | Mary Jones     | Computer<br>Science | В1       | DBI   | Database and Interfaces               |
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#### **DELETION Anomalies**

#### If we want to delete module MCS

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|-----|-------|------------|----------|--------|--|
| 1   | John  | Computer   | B1       | DBI    | Database and Interfaces                |
|     | Smith | Science    | DI       | וטטו   | Database and interfaces                |
| 1   | John  | Computer   | B1       | FAI    | Foundation of Artificial Intelligence  |
|     | Smith | Science    | DI       | 1 / 1  | Touridation of Artificial intelligence |
| 2   | Mark  | Computer   | B1       | FAI    | Foundation of Artificial Intelligence  |
|     | Brown | Science    | DI       |        | Touridation of Artificial intettigence |
| 3   | Mary  | Computer   | B1       | PGA    | Programming and Algorithms             |
| 5   | Jones | Science    | DI       | DI PGA | Frogramming and Algorithms             |
| 3   | Mary  | Computer   | B1       | DBI    | Database and Interfaces                |
|     | Jones | Science    | DI       | וטט    | Database and interfaces                |

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### **UPDATE** Anomalies

Mary Jones is now transferred to the department of Mathematics

| sID | Name           | Department          | Building | mCode | Module                                |
|-----|----------------|---------------------|----------|-------|---------------------------------------|
| 1   | John<br>Smith  | Computer<br>Science | В1       | DBI   | Database and Interfaces               |
| 1   | John<br>Smith  | Computer<br>Science | В1       | FAI   | Foundation of Artificial Intelligence |
| 2   | Mark<br>Brown  | Computer<br>Science | B1       | FAI   | Foundation of Artificial Intelligence |
| 3   | Mary Jones     | Computer<br>Science | B1       | PGA   | Programming and Algorithms            |
| 3   | Mary Jones     | Computer<br>Science | B1       | DBI   | Database and Interfaces               |
| 4   | David<br>Jones | Mathematics         | A1       | MCS   | Mathematics for Computer Scientists   |

#### Mentimeter

### **UPDATE** Anomalies

Mary Jones is now transferred to the department of Mathematics

| sID | Name           | Department          | Building | mCode | Module                                |  |
|-----|----------------|---------------------|----------|-------|---------------------------------------|--|
| 1   | John<br>Smith  | Computer<br>Science | В1       | DBI   | Database and Interfaces               |  |
| 1   | John<br>Smith  | Computer<br>Science | B1       | FAI   | Foundation of Artificial Intelligence |  |
| 2   | Mark<br>Brown  | Computer<br>Science | В1       | FAI   | Foundation of Artificial Intelligence |  |
| 3   | Mary<br>Jones  | Mathematics         | B1       | PGA   | Programming and Algorithms            |  |
| 3   | Mary<br>Jones  | Mathematics         | B1       | DBI   | Database and Interfaces               |  |
| 4   | David<br>Jones | Mathematics         | A1       | MCS   | Mathematics for Computer Scientists   |  |

#### **Mentimete**

### Solution: Decomposition

- Decomposition:
  - · Decompose a large relation into smaller relations.
- Properties:
  - Lossless-join: any instance of the original relation can be identified in the smaller relations
  - Dependency preservation: all constraints still remain.
- How to do it?

### Functional Dependencies

 Functional Dependencies (FD) is a link between two sets of attributes in a relation.

| ID | First | Last |  |
|----|-------|------|--|
|    |       |      |  |

- A set of attributes A functionally determines another set B,  $(A \rightarrow B)$ :
  - If whenever two rows of the relation have the same value for all the attributes in A, then they also have the same values for all the attributes in B.

- $\{ID\} \rightarrow \{First\}$ ?
- $\{ID\} \rightarrow \{First, Last\}$ ?
- $\{First\} \rightarrow \{Last\}$ ?
- $\{ID, First\} \rightarrow \{Last\}$ ?
- $\{First, Last\} \rightarrow \{ID\}$ ?

# Which of the followings are FDs?

| 0               | 0                             | 0                      | 0                         | 0                     | 0                   |
|-----------------|-------------------------------|------------------------|---------------------------|-----------------------|---------------------|
| {sID} -> {Name} | {Department} -><br>{Building} | {mCode} -><br>{Module} | {Name} -><br>{Department} | {Name} -><br>{Module} | {sID} -> {Building} |

### Why we care about FDs?

| sID | Name | Department | Building | mCode | Module |
|-----|------|------------|----------|-------|--------|
|     | •••  | •••        | •••      | •••   | •••    |

Redundancy is often caused by a functional dependency:

- $\{sID\} \rightarrow \{Name, Department\}$
- $\{Department\} \rightarrow \{Building\}$
- $\{mCode\} \rightarrow \{Module\}$

Normal Forms (e.g., 1NF, 2NF, 3NF):

- Each Normal Form has fewer FDs.
   (What does it mean?)
- Not all FDs cause a problem.
- Each NF removes a type of FD that is a problem.
- Need a way to remove FDs.

### Properties of FDs

#### In any relation:

- The candidate keys functionally determine any set of attributes in that relation.
  - $K \rightarrow X$ , where K is a candidate key, and X is a subset of attributes.
- · Any set of attributes is FD on itself
  - $X \rightarrow X$  for any sets of attributes X.

#### Rules:

- Reflexivity:
  - If  $B \subseteq A$ , then  $A \rightarrow B$ .
- Argumentation:
  - If  $A \rightarrow B$ , then  $A \cup C \rightarrow B \cup C$ .
- Transitivity:
  - If  $A \rightarrow B$  and  $B \rightarrow C$ , then  $A \rightarrow C$ .

| sID | Name | Department | Building | mCode | Module |
|-----|------|------------|----------|-------|--------|
|     | •••  | •••        | •••      | •••   | •••    |

- sID and mCode together is the Primary Key.
  - $\{sID, mCode\} \rightarrow \{Name, Department, Building, Module\}, ...$
- Reflexivity:
  - $\{Name, Department\} \rightarrow \{Name\}, ...$
- Augmentation:
  - $\{mCode, sID\} \rightarrow \{Module, sID\}$
- Transitivity:
  - $\{sID\} \rightarrow \{Department\}, \{Department\} \rightarrow \{Building\}, \{sID\} \rightarrow \{Building\}$

### Full vs Partial functional dependency

| sID | Name | Department | Building | mCode | Module |
|-----|------|------------|----------|-------|--------|
|     |      | •••        |          | •••   | •••    |

- Full FDs:
  - $A \rightarrow B$  is a full FD, if there is no such  $C \subset A$ ,  $C \rightarrow B$
  - E.g., mCode → Module
- Partial FDs:
  - $A \rightarrow B$  is a partial FD, if there exists a  $C \subset A$ , such that  $C \rightarrow B$
  - E.g., {sID, Name} → {Department}

# Summary

### Summary



- Why we want to do normalization?
- What is normalization.
- What are normal forms.
- What is functional dependency.
- How to convert an unnormalized relation into 1NF.
- We will learn 2NF and 3NF and how to convert relations into them.