

Instructions



Normalization

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Warm Up

ID	Name	Salary	Department
M139	John Smith	18000	Marketing
M140	Mary Jones	22000	Marketing
A368	Jane Brown	22000	Accounts
P222	Mark Brown	24000	Personals
A367	David Jones	2000	Accounts

- Attributes, Schema, Tuples.
- Degree of the relation.
- Cardinality of the relation.

Warm up: An example database

sID	Name	Department	Building	mCode	Module
1	John Smith	Computer Science	B1	DBI, FAI	Database and Interfaces, Foundation of Artificial Intelligence
2	Mark Brown	Computer Science	B1	FAI	Foundation of Artificial Intelligence
3	Mary Jones	Computer Science	B1	PGA, DBI	Programming and Algorithms, Database and Interfaces
4	David Jones	Mathematics	A1	MCS	Mathematics for Computer Scientists

- Each staff belongs to one department.
- Each department has its own building.

What are the problems?

Warm up: Non-atomic values

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- How to solve this problem?

Warm up: A table with atomic values

sID	Name	Department	Building	mCode	Module
1	John Smith	Computer Science	B1	DBI	Database and Interfaces
1	John Smith	Computer Science	B1	FAI	Foundation of Artificial Intelligence
2	Mark Brown	Computer Science	B1	FAI	Foundation of Artificial Intelligence
3	Mary Jones	Computer Science	B1	PGA	Programming and Algorithms
3	Mary Jones	Computer Science	B1	DBI	Database and Interfaces
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Warm up: Data Redundancy

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2	Mark Brown	Computer Science	B1	FAI	Foundation of Artificial Intelligence
3	Mary Jones	Computer Science	B1	PGA	Programming and Algorithms
3	Mary Jones	Computer Science	B1	DBI	Database and Interfaces
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Learning Outcomes

By the end of this lecture, you should be able to

- Understand the basic idea of **normalization**.
- Understand the definition of **functional dependencies**.
- Know what are **Normal Forms**.
- Translate given relations into **1NF**.
- Understand the problems of **1NF**.

Normalization

What is normalization?

- Normalization: A technique for producing a set of relations with **desirable properties**, given the data requirement.
- Why we need it?
 - Easier to **access** and **maintain** data.
 - Minimal **storage space**.
- Desirable properties:
 - Minimal number of attributes to support the data requirement.
 - Attributes with a close logical relationship should be defined in the same relation.
 - Minimal redundancy.
- Normalization steps: 1NF, 2NF and 3NF

1NF



- 1NF: The relation contains only atomic values.

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- To convert a relation into 1NF, we need to split all non-atomic values

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- If we want to add a new staff David Ford from Computer Science with ID = 5.

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...
4	David Jones	Mathematics	A1	MCS	Mathematics for Computer Scientists
5	David Ford	Computer Science	Null	Null	Null

- If we want to delete module MCS

sID	Name	Department	Building	mCode	Module
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- Mary Jones is now transferred to the department of Mathematics

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- Decomposition:
 - Decompose a large relation into smaller relations.
- Properties:
 - **Lossless-join:** any instance of the original relation can be identified in the smaller relations
 - **Dependency preservation:** all constraints still remain.
- How to do it?

- Functional Dependencies (FD) is a link between two sets of attributes in a relation.

ID	First	Last
...

- A set of attributes A functionally determines another set B, ($A \rightarrow B$):
 - If whenever two rows of the relation have the same value for all the attributes in A, then they also have the same values for all the attributes in B.
- $\{ID\} \rightarrow \{First\}$?
 - $\{ID\} \rightarrow \{First, Last\}$?
 - $\{First\} \rightarrow \{Last\}$?
 - $\{ID, First\} \rightarrow \{Last\}$?
 - $\{First, Last\} \rightarrow \{ID\}$?

Which of the followings are FDs?

0

 $\{sID\} \rightarrow \{Name\}$

0

 $\{Department\} \rightarrow$
 $\{Building\}$

0

 $\{mCode\} \rightarrow$
 $\{Module\}$

0

 $\{Name\} \rightarrow$
 $\{Department\}$

0

 $\{Name\} \rightarrow$
 $\{Module\}$

0

 $\{sID\} \rightarrow \{Building\}$

Why we care about FDs?

sID	Name	Department	Building	mCode	Module
...

Redundancy is often caused by a functional dependency:

- $\{sID\} \rightarrow \{Name, Department\}$
- $\{Department\} \rightarrow \{Building\}$
- $\{mCode\} \rightarrow \{Module\}$

Normal Forms (e.g., 1NF, 2NF, 3NF):

- Each Normal Form has fewer FDs. (What does it mean?)
- Not all FDs cause a problem.
- Each NF removes a type of FD that is a problem.
- Need a way to remove FDs.

In any relation:

- The candidate keys functionally determine any set of attributes in that relation.
 - $K \rightarrow X$, where K is a candidate key, and X is a subset of attributes.
- Any set of attributes is FD on itself
 - $X \rightarrow X$ for any sets of attributes X .

Rules:

- Reflexivity:
 - If $B \subseteq A$, then $A \rightarrow B$.
- Argumentation:
 - If $A \rightarrow B$, then $A \cup C \rightarrow B \cup C$.
- Transitivity:
 - If $A \rightarrow B$ and $B \rightarrow C$, then $A \rightarrow C$.

sID	Name	Department	Building	mCode	Module
...

- *sID* and *mCode* together is the Primary Key.
 - $\{sID, mCode\} \rightarrow \{Name, Department, Building, Module\}, \dots$
- Reflexivity:
 - $\{Name, Department\} \rightarrow \{Name\}, \dots$
- Augmentation:
 - $\{mCode, sID\} \rightarrow \{Module, sID\}$
- Transitivity:
 - $\{sID\} \rightarrow \{Department\}, \{Department\} \rightarrow \{Building\}, \{sID\} \rightarrow \{Building\}$

sID	Name	Department	Building	mCode	Module
...

- Full FDs:
 - $A \rightarrow B$ is a full FD, if there is no such $C \subset A$, $C \rightarrow B$
 - E.g., $mCode \rightarrow Module$
- Partial FDs:
 - $A \rightarrow B$ is a partial FD, if there exists a $C \subset A$, such that $C \rightarrow B$
 - E.g., $\{sID, Name\} \rightarrow \{Department\}$

Summary

- Why we want to do normalization?
- What is normalization.
- What are normal forms.
- What is functional dependency.
- How to convert an unnormalized relation into 1NF.
- We will learn 2NF and 3NF and how to convert relations into them.