

Computability Theory

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Outline

Preliminaries

Chapter 3: The Church-Turing Thesis

Chapter 4: Undecidability

Chapter 5: Reducibility

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Chapter 3: The Church-Turing Thesis

Chapter 4: Undecidability

Chapter 5: Reducibility

Strings and languages

- ▶ **Alphabet**: any nonempty finite set of **symbols**
- ▶ **String** over an alphabet: a finite sequence of symbols from that alphabet
- ▶ **Length** of a string w : $|w|$
- ▶ **Empty string**: ϵ
- ▶ **Lexicographic ordering**
- ▶ **Language**: set of strings

Finite and Infinite Sets

- ▶ Two sets A and B are **equinumerous** if there is a bijection $f : A \mapsto B$.
- ▶ A set is **finite** if it is equinumerous with $\{1, 2, \dots, n\}$ for some natural number n .
- ▶ A set is **infinite** if it is not finite.
- ▶ A set is **countably infinite** if it is equinumerous with \mathbb{N} .
- ▶ A set is **countable** if it is finite or countably infinite.
- ▶ A set is **uncountable** if it is not countable.

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Theorem

For any alphabet Σ , the language Σ^ is countable.*

Finite Automata

A *finite automaton* is a 5-tuple $(Q, \Sigma, \delta, q_0, F)$, where

1. Q is a finite set called the *states*,
2. Σ is a finite set called the *alphabet*,
3. $\delta: Q \times \Sigma \longrightarrow Q$ is the *transition function*,¹
4. $q_0 \in Q$ is the *start state*, and
5. $F \subseteq Q$ is the *set of accept states*.²

Finite Automata

- ▶ A **configuration** of a DFA is any element of $Q \times \Sigma^*$.
- ▶ We say (q, w) yields (q', w') in one step (written $(q, w) \vdash_M (q', w')$) if $\exists a \in \Sigma$ such that $w = aw'$ and $\delta(q, a) = q'$.
- ▶ M **accepts** w if there is a state $q \in F$ such that $(q_0, w) \vdash_M^* (q, \varepsilon)$.
- ▶ If A is the set of all strings that machine M accepts, we say A is the **language of machine** M and write $L(M) = A$.
- ▶ We say M **recognizes** A .
- ▶ DFA=NFA.
- ▶ A language is called a **regular language** if some finite automaton recognizes it.
- ▶ The language $B = \{0^n 1^n \mid n \geq 0\}$ is not a regular language.

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Turing Machines

A **Turing machine** is a 7-tuple, $(Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$, where Q, Σ, Γ are all finite sets and

1. Q is the set of states,
2. Σ is the input alphabet not containing the **blank symbol** \sqcup ,
3. Γ is the tape alphabet, where $\sqcup \in \Gamma$ and $\Sigma \subseteq \Gamma$,
4. $\delta: Q \times \Gamma \longrightarrow Q \times \Gamma \times \{L, R\}$ is the transition function,
5. $q_0 \in Q$ is the start state,
6. $q_{\text{accept}} \in Q$ is the accept state, and
7. $q_{\text{reject}} \in Q$ is the reject state, where $q_{\text{reject}} \neq q_{\text{accept}}$.

Configuration

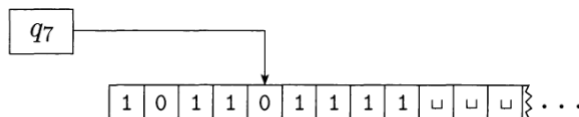


FIGURE 3.4

A Turing machine with configuration 1011 q_7 01111

- ▶ We say configuration C_1 **yields** configuration C_2 if the Turing machine can legally go from C_1 to C_2 in a single step.
- ▶ Start configuration, accepting configuration, rejecting configuration, halting configuration.
- ▶ A Turing machine M **accepts** input w if a sequence of configurations C_1, \dots, C_k exists, where
 1. C_1 is the start configuration of M on input w
 2. each C_i yields C_{i+1}
 3. C_k is an accepting configuration.

Languages

Definition

A language A is **Turing-recognizable or recursively enumerable** iff there exists some Turing machine M such that

$\forall w, w \in A \Leftrightarrow M$ accepts w . We say A is **recognized** by M .

Definition

A language A is **Turing-decidable or recursive** iff there exists some Turing machine M such that $\forall w, w \in A \Rightarrow M$ accepts w , and $w \notin A \Rightarrow M$ rejects w . We say the language A is **decided** by the decider M .

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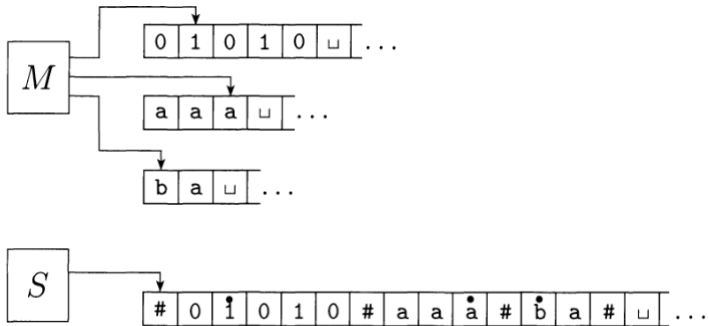
Definition

A language A is **Turing-decidable or recursive** iff there exists some Turing machine M such that $\forall w, w \in A \Rightarrow M \text{ accepts } w$, and $w \notin A \Rightarrow M \text{ rejects } w$. We say the language A is **decided** by the decider M .

Theorem

If a language A is Turing-decidable, it is Turing-recognizable.

Multitape Turing Machines



Nondeterministic Turing Machines

- ▶ $\delta : Q \times \Gamma \longrightarrow \mathcal{P}\{Q \times \Gamma \times \{L, R\}\}$
- ▶ A nondeterministic Turing machine M **accepts** input w if there exists a computation path from the start configuration to the accept configuration.
- ▶ A nondeterministic Turing machine M **rejects** input w if any computation path from the start configuration will lead to a reject configuration in finite steps.
- ▶ A language A is recognized by a nondeterministic Turing Machine M iff $\forall w, w \in A \Leftrightarrow M$ **accepts** w .
- ▶ A language A is decided by a nondeterministic Turing Machine M iff $\forall w, w \in A \Rightarrow M$ **accepts** w , and $w \notin A \Rightarrow M$ **rejects** w .
- ▶ A nondeterministic Turing Machine can be simulated by a deterministic Turing Machine in exponential time.

Hilbert's Tenth Problem

Let $D =$

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- ▶ If p has only one variable, then D is Turing-decidable.

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Hilbert's tenth problem asks whether the set D is decidable.

- ▶ D is Turing-recognizable.
- ▶ If p has only one variable, then D is Turing-decidable.
- ▶ Yuri Matijasevič proved in 1970 that generally D is undecidable.

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The Acceptance Problem

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Some languages are not Turing-recognizable.

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$A_{\text{TM}} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ accepts } w\}.$

- ▶ A_{TM} is Turing-recognizable.
- ▶ If A_{TM} is Turing decidable, then every Turing-recognizable language is Turing-decidable.
- ▶ A_{TM} is undecidable.

A_{TM} is undecidable

1.

	$\langle M_1 \rangle$	$\langle M_2 \rangle$	$\langle M_3 \rangle$	$\langle M_4 \rangle$	\dots
M_1	<i>accept</i>		<i>accept</i>		
M_2	<i>accept</i>	<i>accept</i>	<i>accept</i>	<i>accept</i>	
M_3					\dots
M_4	<i>accept</i>	<i>accept</i>			
\vdots			\vdots		

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3. A is unrecognizable. Thus, A is undecidable.

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3. A is unrecognizable. Thus, A is undecidable.

4. \overline{A} is undecidable.

5. If A_{TM} is decidable, so is \overline{A} .

6. So both \overline{A} and A_{TM} are undecidable.

Comments

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1. $\overline{A_{TM}}$ is unrecognizable.
2. \overline{A} is recognizable.
3. A is unrecognizable.
4. The class of Turing-recognizable languages is not closed under complement.

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Mapping Reducibility

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Language A is **mapping reducible** to language B , written $A \leq_m B$, if there is a computable function $f: \Sigma^* \longrightarrow \Sigma^*$, where for every w ,

$$w \in A \iff f(w) \in B.$$

The function f is called the **reduction** of A to B .

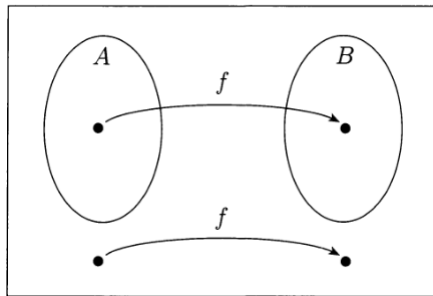
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The function f is called the **reduction** of A to B .



Theorem

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If $A \leq_m B$ and B is decidable/recognizable, then A is decidable/recognizable.

Corollary

If $A \leq_m B$ and A is undecidable/unrecognizable, then B is undecidable/unrecognizable.

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Corollary

If $A \leq_m B$ and A is undecidable/unrecognizable, then B is undecidable/unrecognizable.

Lemma

If $A \leq_m B$, then $\overline{A} \leq_m \overline{B}$.

Turing Reducibility

An *oracle* for a language B is an external device that is capable of reporting whether any string w is a member of B . An *oracle Turing machine* is a modified Turing machine that has the additional capability of querying an oracle. We write M^B to describe an oracle Turing machine that has an oracle for language B .

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Theorem

If $A \leq_T B$ and B is decidable, then A is decidable.

*HALT*_{TM}

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Proof.

$S_A \leq_T HALT_{TM}.$

$S =$ “On input $\langle M, w \rangle$, an encoding of a TM M and a string w :

1. Run TM R on input $\langle M, w \rangle$.
2. If R rejects, *reject*.
3. If R accepts, simulate M on w until it halts.
4. If M has accepted, *accept*; if M has rejected, *reject*.”



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E_{TM} is undecidable.

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M_1 = “On input x :

1. If $x \neq w$, *reject*.
2. If $x = w$, run M on input w and *accept* if M does.”

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S = “On input $\langle M, w \rangle$, an encoding of a TM M and a string w :

1. Use the description of M and w to construct the TM M_1 just described.
2. Run R on input $\langle M_1 \rangle$.
3. If R accepts, *reject*; if R rejects, *accept*.”



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$A_{TM} \leq_m REGULAR_{TM}.$

$S =$ “On input $\langle M, w \rangle$, where M is a TM and w is a string:

1. Construct the following TM M_2 .
 $M_2 =$ “On input x :
 1. If x has the form $0^n 1^n$, *accept*.
 2. If x does not have this form, run M on input w and *accept* if M accepts w .”
2. Run R on input $\langle M_2 \rangle$.
3. If R accepts, *accept*; if R rejects, *reject*.”



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Proof.

$$E_{TM} \leq_m EQ_{TM}.$$

$S =$ “On input $\langle M \rangle$, where M is a TM:

1. Run R on input $\langle M, M_1 \rangle$, where M_1 is a TM that rejects all inputs.
2. If R accepts, *accept*; if R rejects, *reject*.”



Theorem

EQ_{TM} is neither Turing-recognizable nor co-Turing-recognizable.

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$F =$ “On input $\langle M, w \rangle$ where M is a TM and w a string:

1. Construct the following two machines M_1 and M_2 .

$M_1 =$ “On any input:

1. *Reject.*”

$M_2 =$ “On any input:

1. Run M on w . If it accepts, *accept.*”

2. Output $\langle M_1, M_2 \rangle$.”

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We prove $\overline{A_{TM}} \leq_m \overline{EQ_{TM}}$ through $A_{TM} \leq_m EQ_{TM}$.

$G =$ “The input is $\langle M, w \rangle$ where M is a TM and w a string:

1. Construct the following two machines M_1 and M_2 .
 $M_1 =$ “On any input:
 1. *Accept.*” $M_2 =$ “On any input:
 1. Run M on w .
 2. If it accepts, *accept.*”
2. Output $\langle M_1, M_2 \rangle$.”

