

# طراحی الگوریتم ها (CE221)

جلسه هفتم:  
انتخاب  $k$  امین عضو

**سجاد شیرعلی شمرضا**

**بهار، 1401**

**دوشنبه، 9 اسفند 1400**

# اطلاع رسانی

- بخش مرتبط کتاب برای این جلسه: 4.3
- ارائه تمرین اول
  - مهلت ارسال تمرین اول: صبح شنبه، 14 اسفند 1400 (ساعت 8 صبح)

# انتخاب $k$ امین عضو

**الگوریتم، اثبات درستی، زمان اجرا**

# THE SELECT PROBLEM

## INPUT:

an unsorted array **A** of  $n$  elements (assume all elements are distinct),  
& an integer **k** in  $\{1, \dots, n\}$

7	2	6	9	1	5	4	11
---	---	---	---	---	---	---	----

**OUTPUT of SELECT(A, k):** the  $k^{\text{th}}$  smallest element of A

# THE SELECT PROBLEM

## INPUT:

an unsorted array **A** of  $n$  elements (assume all elements are distinct),  
& an integer **k** in  $\{1, \dots, n\}$

7	2	6	9	1	5	4	11
---	---	---	---	---	---	---	----

**OUTPUT of SELECT(A, k):** the  $k^{\text{th}}$  smallest element of A

**SELECT**(A, 1) = 1

**SELECT**(A, 2) = 2

**SELECT**(A, 3) = 4

**SELECT**(A, 8) = 11

**SELECT**(A, 1) = MIN(A)

**SELECT**(A,  $n/2$ ) = MEDIAN(A)

**SELECT**(A, n) = MAX(A)

**Note: k is a  
1-indexed number!**

# THE SELECT PROBLEM

## INPUT:

an unsorted array **A** of  $n$  elements (assume all elements are distinct),  
& an integer **k** in  $\{1, \dots, n\}$

7	2	6	9	1	5	4	11
---	---	---	---	---	---	---	----


**OUTPUT of SELECT(A, k):** the  $k^{\text{th}}$  smallest element of A

**Can you come up with an  $O(n \log n)$  algorithm for SELECT?**

# AN $O(n \log n)$ ALGORITHM

```
SELECT(A,k):  
  A = MERGESORT(A)  
  return A[k-1]
```

It's k-1 (rather than k)  
since my pseudocode  
is 0-indexed and k is a  
1-indexed number



Okay, great! We're done!



سوال؟



# AN $O(n \log n)$ ALGORITHM

SEI

THE QUESTION IS...  
**CAN WE DO  
BETTER?**

It's  $k-1$  (rather than  $k$ )  
since my pseudocode  
is 0-indexed and  $k$  is a  
1-indexed number

~~Okay, great! We're done!~~

# GOAL: AN $O(n)$ ALGORITHM

If  $k = 1$ , then we want the minimum of  $A$ . There's an easy  $O(n)$  algorithm for that:

Pretty much the same if  $k = n$  (we're just finding  $\text{MAX}(A)$  instead)


# GOAL: AN $O(n)$ ALGORITHM

If  $k = 1$ , then we want the minimum of  $A$ . There's an easy  $O(n)$  algorithm for that:


Pretty much the same if  $k = n$  (we're just finding  $\text{MAX}(A)$  instead)

**SELECT-1( $A$ ):**

$\text{result} = \text{infinity}$

**for**  $i$  **in**  $[0, \dots, n-1]$ : 

**if**  $A[i] < \text{result}$ :

The body of each iteration  
is  $O(1)$  work. 

$\text{result} = A[i]$

**return**  $\text{result}$

**Runtime of SELECT-1:  $O(n)$**

# GOAL: AN $O(n)$ ALGORITHM

If  $k = 2$ , then we want the second-smallest element in  $A$ .

There's an easy-ish  $O(n)$  algorithm for that:

**(Not a very important algorithm, because this will end up being a bad idea...)**

# GOAL: AN $O(n)$ ALGORITHM

If  $k = 2$ , then we want the second-smallest element in  $A$ .

There's an easy-ish  $O(n)$  algorithm for that:

(Not a very important algorithm, because this will end up being a bad idea...)

**SELECT-2(A):**

    result = infinity

    minSoFar = infinity

    for  $i$  in  $[0, \dots, n-1]$ :

        if  $A[i] < \text{result} \ \& \ A[i] < \text{minSoFar}$ :

            result = minSoFar

            minSoFar =  $A[i]$

        else if  $A[i] < \text{result} \ \& \ A[i] \geq \text{minSoFar}$

            result =  $A[i]$

    return result

The body of each iteration  
is still  $O(1)$  work.

This loop runs  $O(n)$  times

**Runtime of SELECT-2:  $O(n)$**

# GOAL: AN $O(n)$ ALGORITHM

If  $k = n/2$ , then we want the median element in  $A$ .

**SELECT- $n/2$ ( $A$ ):**

```
result = infinity
minSoFar = infinity
secondMinSoFar = infinity
thirdMinSoFar = infinity
fourthMinSoFar = infinity
fifthMinSoFar = infinity
...
```

# GOAL: AN $O(n)$ ALGORITHM

If  $k = n/2$ , then we want the median element in  $A$ .

**SELECT- $n/2(A)$ :**

```
result = infinity
minSoFar = infinity
secondMinSoFar = infinity
thirdMinSoFar = infinity
fourthMinSoFar = infinity
fifthMinSoFar = infinity
...
```

**Runtime of SELECT- $n/2$ :  $O(n^2)$**

Clearly, this algorithm style isn't a good idea for large  $k$  (e.g.  $n/2$ ).  
This basically ends up looking like InsertionSort.

# LINEAR SELECTION: THE IDEA

**Let's use DIVIDE-and-CONQUER!**



# LINEAR SELECTION: THE IDEA

**Let's use DIVIDE-and-CONQUER!**

Select a pivot

Partition around it

Recurse!

# LINEAR SELECTION: THE IDEA

**Let's use DIVIDE-and-CONQUER!**

Select a pivot

Partition around it

Recurse!

kind of like a “binary search” for the  $k^{\text{th}}$  smallest element (except that the array isn't sorted!)

# LINEAR SELECTION: THE IDEA

3	2	9	8	1	6	4	11
---	---	---	---	---	---	---	----

# LINEAR SELECTION: THE IDEA

Select a pivot

3	2	9	8	1	6	4	11
---	---	---	---	---	---	---	----

How do we pick a pivot?? We'll see this later.  
For now, imagine we pick it randomly.



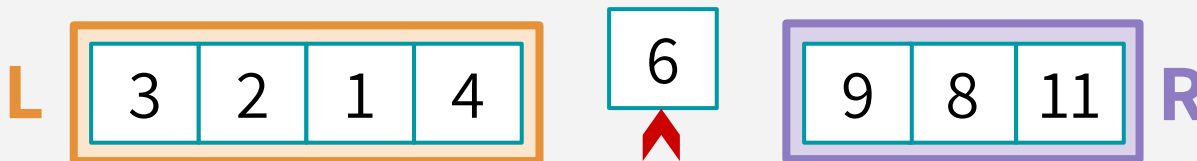
# LINEAR SELECTION: THE IDEA

Select a pivot



How do we pick a pivot?? We'll see this later.  
For now, imagine we pick it randomly.

Partition around it



Partition around pivot: **L** has elements less than pivot, and **R** has elements greater than pivot.  
(Note that **L** and **R** remain unsorted).

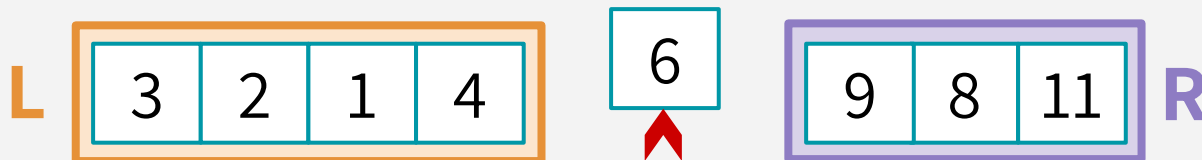
# LINEAR SELECTION: THE IDEA

Select a pivot



How do we pick a pivot?? We'll see this later.  
For now, imagine we pick it randomly.

Partition around it



Partition around pivot: **L** has elements less than pivot, and **R** has elements greater than pivot.  
(Note that **L** and **R** remain unsorted).

Recurse!

The pivot is in position 5. We have three cases:

1. if  $k = 5$ : return pivot the  $k^{\text{th}}$  smallest element is the pivot!
2. if  $k < 5$ : return **SELECT**(L,  $k$ ) the  $k^{\text{th}}$  smallest element lives in L
3. if  $k > 5$ : return **SELECT**(R,  $k-5$ ) the  $k^{\text{th}}$  smallest element is the  $(k-5)^{\text{th}}$  smallest element in R

# LINEAR SELECTION: EXAMPLE

**SELECT(A, 7):**

1	12	4	20	31	6	18	9
---	----	---	----	----	---	----	---

# LINEAR SELECTION: EXAMPLE

**SELECT(A, 7):**

**PICK A PIVOT**

How do we pick a pivot???

We'll see later...

1	12	4	20	31	6	18	9
---	----	---	----	----	---	----	---





# LINEAR SELECTION: EXAMPLE

**SELECT(A, 7):**

1	12	4	20	31	6	18	9
---	----	---	----	----	---	----	---

**PARTITION**

L

1	12	4	6	9
---	----	---	---	---

18
----

20	31
----	----

R

# LINEAR SELECTION: EXAMPLE

**SELECT(A, 7):**

1	12	4	20	31	6	18	9
---	----	---	----	----	---	----	---



Recurse here (since 18 occupies index 6 and  $k = 7 > 6$ )

**RECURSE**

**SELECT(R, 1):**

20	31
----	----

$1 = 7 - 6$   
(aka  $k$  minus pivot position)

# LINEAR SELECTION: EXAMPLE

**SELECT(A, 7):**

1	12	4	20	31	6	18	9
---	----	---	----	----	---	----	---



Recurse here (since 18 occupies index 6 and  $k = 7 > 6$ )

**SELECT(R, 1):**

20	31
----	----

## PICK A PIVOT

How do we pick a pivot??  
We'll see later...

# LINEAR SELECTION: EXAMPLE

**SELECT(A, 7):**

1	12	4	20	31	6	18	9
---	----	---	----	----	---	----	---



Recurse here (since 18 occupies index 6 and  $k = 7 > 6$ )

**SELECT(R, 1):**

20	31
----	----

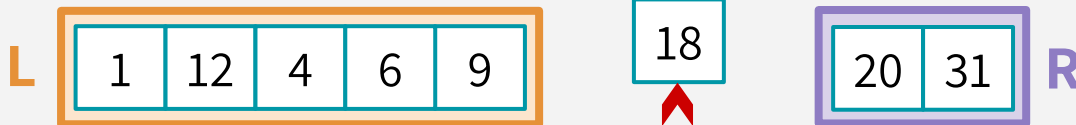


**PARTITION**

# LINEAR SELECTION: EXAMPLE

**SELECT(A, 7):**

1	12	4	20	31	6	18	9
---	----	---	----	----	---	----	---



Recurse here (since 18 occupies index 6 and  $k = 7 > 6$ )

**SELECT(R, 1):**

20	31
----	----



20 is in the 1<sup>th</sup> position, and  $k = 1$ !  
No need to recurse further!

**20 IS OUR ANSWER!**

(20 is the 1<sup>th</sup> smallest in R,  
and 7<sup>th</sup> smallest overall)

# LINEAR SELECTION: PSEUDOCODE

**Base Case:**  
if  $\text{len}(A) = 1$ , then just  
go ahead and return  
the element itself

```
SELECT(A,k):  
  { if len(A) == 1:  
    return A[0]  
    p = GET_PIVOT(A)  
    L, R = PARTITION(A,p)  
    if len(L) == k-1:  
      return p  
    else if len(L) > k-1:  
      return SELECT(L, k)  
    else:  
      return SELECT(R, k-len(L)-1)
```

**Case 1:**

We got lucky and found  
exactly the  $k^{\text{th}}$  smallest!

**Case 2:**

The  $k^{\text{th}}$  smallest is in the  
first part of the array (L)

**Case 3:**

The  $k^{\text{th}}$  smallest is in the  
second part of the array (R)

# LINEAR SELECTION: PSEUDOCODE

```
SELECT(A,k):  
    if len(A) == 1:  
        return A[0]  
    p = GET_PIVOT(A)  
    L, R = PARTITION(A,p)  
    if len(L) == k-1:  
        return p  
    else if len(L) > k-1:  
        return SELECT(L, k)  
    else:  
        return SELECT(R, k-len(L)-1)
```

```
PARTITION(A, pivot):  
    L, R = [], []  
    for i in [1,...,len(A)]:  
        if A[i] == pivot:  
            continue  
        else if A[i] < pivot:  
            add A[i] to L  
        else:  
            add A[i] to R
```



سوال؟



# LINEAR SELECTION: SO FAR

- Intuition:
  - Partition the array around a pivot (how do we select?? still TBD)
  - Either return the pivot itself or recurse on the left or right subarrays (but not both!)

```
SELECT(A,k):  
    if len(A) == 1:  
        return A[0]  
    p = GET_PIVOT(A)  
    L, R = PARTITION(A,p)  
    if len(L) == k-1: return p  
    else if len(L) > k-1: return SELECT(L, k)  
    else: return SELECT(R, k-len(L)-1)
```

# LINEAR SELECTION: SO FAR

- Intuition:
  - Partition the array around a pivot (how do we select?? still TBD)
  - Either return the pivot itself or recurse on the left or right subarrays (but not both!)
- Our two favorite questions:
  - Does this work?
  - What's the runtime?

```
SELECT(A,k):  
    if len(A) == 1:  
        return A[0]  
    p = GET_PIVOT(A)  
    L, R = PARTITION(A,p)  
    if len(L) == k-1: return p  
    else if len(L) > k-1: return SELECT(L, k)  
    else: return SELECT(R, k-len(L)-1)
```

# LINEAR SELECTION: DOES IT WORK?

## RECURSIVE ALGORITHMS

1. **Inductive hypothesis:** your algorithm is correct for sizes *up to*  $i$
2. **Base case:** IH holds for  $i < \text{small constant}$
3. **Inductive step:**
  - assume IH holds for  $k \Rightarrow$  prove  $k+1$ , OR
  - assume IH holds for  $\{1, 2, \dots, k-1\} \Rightarrow$  prove  $k$ .
4. **Conclusion:** IH holds for  $i = n \Rightarrow$  yay!

FROM PREVIOUS WEEKS!

# INDUCTION PROOF

## **INDUCTIVE HYPOTHESIS (IH)**

When run on an array  $A$  of size  $i$  and an integer  $1 \leq k \leq i$ ,  $\text{SELECT}(A, k)$  correctly returns the  $k^{\text{th}}$  smallest element of  $A$ .

# INDUCTION PROOF

## **INDUCTIVE HYPOTHESIS (IH)**

When run on an array  $A$  of size  $i$  and an integer  $1 \leq k \leq i$ ,  $\text{SELECT}(A, k)$  correctly returns the  $k^{\text{th}}$  smallest element of  $A$ .

## **BASE CASE**

The IH holds for  $i = 1$ : We know  $k$  must be 1, so  $\text{SELECT}$  does indeed return the smallest (and only) element of  $A$ .

# INDUCTION PROOF

## INDUCTIVE HYPOTHESIS (IH)

When run on an array  $A$  of size  $i$  and an integer  $1 \leq k \leq i$ ,  $\text{SELECT}(A, k)$  correctly returns the  $k^{\text{th}}$  smallest element of  $A$ .

## BASE CASE

The IH holds for  $i = 1$ : We know  $k$  must be 1, so  $\text{SELECT}$  does indeed return the smallest (and only) element of  $A$ .

## (OUTLINE OF) INDUCTIVE STEP (*strong/complete induction*)

Let  $j$  be an integer, where  $j > 1$ . Assume that the IH holds for all  $i$  where  $1 \leq i < j$ . We want to show that the IH holds for  $i = j$ , i.e. that for an array  $A$  of size  $j$  and an integer  $k \leq j$ ,  $\text{SELECT}$  returns the  $k^{\text{th}}$  smallest element of  $A$ .

We consider three cases, depending on the pivot chosen by  $\text{GET\_PIVOT}$ .  $\text{PARTITION}$  gives us  $L$ , and  $R$ .

- **CASE 1:**  $|L| = k-1$ .
  - **CASE 2:**  $|L| > k-1$ .
  - **CASE 3:**  $|L| < k-1$ .
- We use **STRONG** induction because cases 2 and 3 rely on the correctness of the smaller recursive calls.

Thus, in each of the three cases,  $\text{SELECT}(A, k)$  returns the  $k^{\text{th}}$  smallest element of  $A$ . This establishes the IH for  $i = j$ .

# INDUCTION PROOF

## INDUCTIVE HYPOTHESIS (IH)

When run on an array  $A$  of size  $i$  and an integer  $1 \leq k \leq i$ ,  $\text{SELECT}(A, k)$  correctly returns the  $k^{\text{th}}$  smallest element of  $A$ .

## BASE CASE

The IH holds for  $i = 1$ : We know  $k$  must be 1, so  $\text{SELECT}$  does indeed return the smallest (and only) element of  $A$ .

## (OUTLINE OF) INDUCTIVE STEP (*strong/complete induction*)

Let  $j$  be an integer, where  $j > 1$ . Assume that the IH holds for all  $i$  where  $1 \leq i < j$ . We want to show that the IH holds for  $i = j$ , i.e. that for an array  $A$  of size  $j$  and an integer  $k \leq j$ ,  $\text{SELECT}$  returns the  $k^{\text{th}}$  smallest element of  $A$ .

We consider three cases, depending on the pivot chosen by  $\text{GET\_PIVOT}$ .  $\text{PARTITION}$  gives us  $L$ , and  $R$ .

- **CASE 1:**  $|L| = k-1$ .
  - **CASE 2:**  $|L| > k-1$ .
  - **CASE 3:**  $|L| < k-1$ .
- We use **STRONG** induction because cases 2 and 3 rely on the correctness of the smaller recursive calls.

Thus, in each of the three cases,  $\text{SELECT}(A, k)$  returns the  $k^{\text{th}}$  smallest element of  $A$ . This establishes the IH for  $i = j$ .

## CONCLUSION

By induction, we conclude that the IH holds for all  $1 \leq i \leq n$ . Thus, we conclude that  $\text{SELECT}(A, k)$  returns the  $k^{\text{th}}$  smallest element of  $A$  on any array  $A$ , provided that  $1 \leq k \leq |A|$ . That is,  $\text{SELECT}$  is correct!



سوال؟



# RUNTIME

```
SELECT(A,k):  
    if len(A) == 1:  
        return A[0]  
    p = GET_PIVOT(A)  
    L, R = PARTITION(A,p)  
    if len(L) == k-1:  
        return p  
    else if len(L) > k-1:  
        return SELECT(L, k)  
    else if len(L) < k-1:  
        return SELECT(R, k-len(L)-1)
```

## Recurrence Relation for SELECT

For now, assume we'll pick the pivot in time  $O(n)$

# RUNTIME

```
SELECT(A,k):  
    if len(A) == 1:  
        return A[0]  
    p = GET_PIVOT(A)  
    L, R = PARTITION(A,p)  
    if len(L) == k-1:  
        return p  
    else if len(L) > k-1:  
        return SELECT(L, k)  
    else if len(L) < k-1:  
        return SELECT(R, k-len(L)-1)
```

## Recurrence Relation for SELECT

For now, assume we'll pick the pivot in time  $O(n)$

$$T(n) = \begin{cases} O(n) & \text{len(L) == k-1} \\ T(\text{len(L)}) + O(n) & \text{len(L) > k-1} \\ T(\text{len(R)}) + O(n) & \text{len(L) < k-1} \end{cases}$$

# RUNTIME

```
SELECT(A,k):  
    if len(A) == 1:  
        return A[0]  
    p = GET_PIVOT(A)  
    L, R = PARTITION(A,p)  
    if len(L) == k-1:  
        return p  
    else if len(L) > k-1:  
        return SELECT(L, k)  
    else if len(L) < k-1:  
        return SELECT(R, k-len(L)-1)
```

## Recurrence Relation for SELECT

For now, assume we'll pick the pivot in time  $O(n)$

$$T(n) = \begin{cases} O(n) & \text{len(L) == k-1} \\ T(\text{len(L)}) + O(n) & \text{len(L) > k-1} \\ T(\text{len(R)}) + O(n) & \text{len(L) < k-1} \end{cases}$$

But what are  $\text{len(L)}$  and  $\text{len(R)}$ ?  
That depends on how we pick the pivot...

# RUNTIME

```
SELECT(A,k):  
    if len(A) == 1:  
        return A[0]  
    p = GET_PIVOT(A)  
    L, R = PARTITION(A,p)  
    if len(L) == k-1:  
        return p  
    else if len(L) > k-1:  
        return SELECT(L, k)  
    else if len(L) < k-1:  
        return SELECT(R, k-len(L)-1)
```

**What's a “good” pivot?**  
**What's a “bad” pivot?**

**Relation for SELECT**

we'll pick the pivot in time  $O(n)$

$$T(n) = \begin{cases} O(n) & \text{len(L) == k-1} \\ T(\text{len(L)}) + O(n) & \text{len(L) > k-1} \\ T(\text{len(R)}) + O(n) & \text{len(L) < k-1} \end{cases}$$

But what are **len(L)** and **len(R)**?  
That depends on how we pick the pivot...

# THE WORST PIVOT

**The WORST pivot: picking the max or the min each time!**

Then, in the worst case, the recurrence relation looks like  $T(n) = T(n-1) + O(n)$ .

$$T(n) = \begin{cases} O(n) & \text{len}(L) == k-1 \\ T(\text{len}(L)) + O(n) & \text{len}(L) > k-1 \\ T(\text{len}(R)) + O(n) & \text{len}(L) < k-1 \end{cases} \quad \Rightarrow \quad T(n) \leq T(n-1) + O(n)$$

# THE WORST PIVOT

**The WORST pivot: picking the max or the min each time!**

Then, in the worst case, the recurrence relation looks like  $T(n) = T(n-1) + O(n)$ .

$$T(n) = \begin{cases} O(n) & \text{len(L)} == k-1 \\ T(\text{len(L)}) + O(n) & \text{len(L)} > k-1 \\ T(\text{len(R)}) + O(n) & \text{len(L)} < k-1 \end{cases} \quad \Rightarrow \quad T(n) \leq T(n-1) + O(n)$$

**This ends up being  $\Omega(n^2)$ !**

A call to `SELECT(A, n/2)` would already consist of  $\sim n/2$  recursive calls  
(each with a subarray of length at least  $n/2$ )!

# THE IDEAL PIVOT

**The IDEAL pivot: splits the input array exactly in half!**

$$\text{len(L)} = \text{len(R)} = (n-1)/2$$

$$T(n) = \begin{cases} O(n) & \text{len(L)} == k-1 \\ T(\text{len(L)}) + O(n) & \text{len(L)} > k-1 \\ T(\text{len(R)}) + O(n) & \text{len(L)} < k-1 \end{cases} \quad \Rightarrow \quad T(n) \leq T(n/2) + O(n)$$

# THE IDEAL PIVOT

**The IDEAL pivot: splits the input array exactly in half!**

$$\text{len(L)} = \text{len(R)} = (n-1)/2$$

$$T(n) = \begin{cases} O(n) & \text{len(L)} == k-1 \\ T(\text{len(L)}) + O(n) & \text{len(L)} > k-1 \\ T(\text{len(R)}) + O(n) & \text{len(L)} < k-1 \end{cases} \quad \Rightarrow \quad T(n) \leq T(n/2) + O(n)$$

$a = 1$   
 $b = 2$   
 $d = 1$   
 $a < b^d$

Suppose  $T(n) = a \cdot T(n/b) + O(n^d)$ . The Master Theorem states:

$$T(n) = \begin{cases} \Theta(n^d \log n) & \text{if } a = b^d \\ \Theta(n^d) & \text{if } a < b^d \\ \Theta(n^{\log_b(a)}) & \text{if } a > b^d \end{cases}$$



# THE IDEAL PIVOT

**The IDEAL pivot: splits the input array exactly in half!**

$$T(n) = \begin{cases} O(n) \\ T(\text{len}(L)) + O(n) \\ T(\text{len}(R)) + O(n) \end{cases}$$

*With the ideal  
pivot, the runtime  
would be:*

**$O(n)$**

$$T(n) \leq T(n/2) + O(n)$$

$$\begin{aligned} a &= 1 \\ b &= 2 \\ d &= 1 \end{aligned}$$

$$a < b^d$$

Suppose  $T(n) = a \cdot T(n/b)$ , Master Theorem states:

$$T(n) = \begin{cases} \Theta(n^d \log n) & \text{if } a = b^d \\ \Theta(n^d) & \text{if } a < b^d \\ \Theta(n^{\log_b(a)}) & \text{if } a > b^d \end{cases}$$

# THE IDEAL PIVOT

**The IDEAL pivot: splits the input array exactly in half!**

*Sadly, the pivot to divide the input in half is the*

***MEDIAN***

*aka **SELECT(A, n/2)***

*aka exactly the problem we're trying to solve...*

$$T(n) = \begin{cases} O(n) \\ T(n/2) \\ T(n/2) \end{cases}$$

**+ O(n)**

**b<sup>d</sup>**

$$T(n) = \begin{cases} \Theta(n^d \log n) & \text{if } a = b^d \\ \Theta(n^d) & \text{if } a < b^d \\ \Theta(n^{\log_b(a)}) & \text{if } a > b^d \end{cases}$$



سوال؟

# THE GOOD-ENOUGH PIVOT

**The GOOD-ENOUGH pivot: splits the input array kind of in half!**

$$3n/10 < \text{len}(L) < 7n/10$$

$$3n/10 < \text{len}(R) < 7n/10$$

# THE GOOD-ENOUGH PIVOT

**The GOOD-ENOUGH pivot: splits the input array kind of in half!**

$$3n/10 < \text{len(L)} < 7n/10$$

$$3n/10 < \text{len(R)} < 7n/10$$

**If we could fetch this good-enough pivot in time  $O(n)$ , let's say, the recurrence looks like:**

$$T(n) = \begin{cases} O(n) & \text{len(L)} == k-1 \\ T(\text{len(L)}) + O(n) & \text{len(L)} > k-1 \\ T(\text{len(R)}) + O(n) & \text{len(L)} < k-1 \end{cases} \quad \Rightarrow \quad T(n) \leq T(7n/10) + O(n)$$

# THE GOOD-ENOUGH PIVOT

**The GOOD-ENOUGH pivot: splits the input array kind of in half!**

$$3n/10 < \text{len(L)} < 7n/10$$

$$3n/10 < \text{len(R)} < 7n/10$$

**If we could fetch this good-enough pivot in time  $O(n)$ , let's say, the recurrence looks like:**

$$T(n) = \begin{cases} O(n) & \text{len(L)} == k-1 \\ T(\text{len(L)}) + O(n) & \text{len(L)} > k-1 \\ T(\text{len(R)}) + O(n) & \text{len(L)} < k-1 \end{cases} \quad \Rightarrow \quad T(n) \leq T(7n/10) + O(n)$$

$a = 1$   
 $b = 10/7$   
 $d = 1$   
 $a < b^d$

Suppose  $T(n) = a \cdot T(n/b) + O(n^d)$ . The Master Theorem states:

$$T(n) = \begin{cases} \Theta(n^d \log n) & \text{if } a = b^d \\ \Theta(n^d) & \text{if } a < b^d \\ \Theta(n^{\log_b(a)}) & \text{if } a > b^d \end{cases}$$

# THE GOOD-ENOUGH PIVOT

The **GOOD-ENOUGH** pivot: splits the input array kind of in half!

$$3n/10 < \text{len}(L) < 7n/10$$

$$3n/10 < \text{len}(R) < 7n/10$$

If we could fetch this good-enough pivot, let's say, the recurrence looks like:

$$T(n) = \begin{cases} O(n) \\ T(\text{len}(L)) + O(n) \\ T(\text{len}(R)) + O(n) \end{cases}$$

*This good-enough pivot  
would still give us:*  
 **$O(n)$**

$$T(n) \leq T(7n/10) + O(n)$$

$$a = 1$$

$$b = 10/7$$

$$d = 1$$

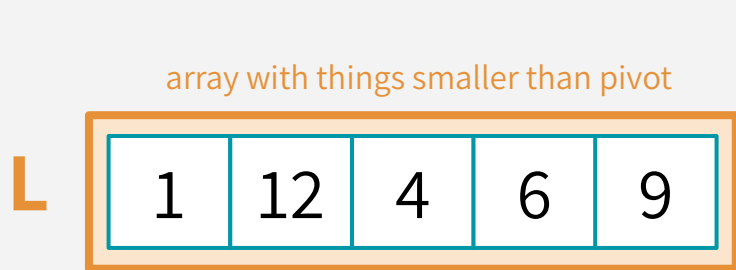
$$a < b^d$$

Suppose  $T(n) = a \cdot T(n/b)$ , Master Theorem states:

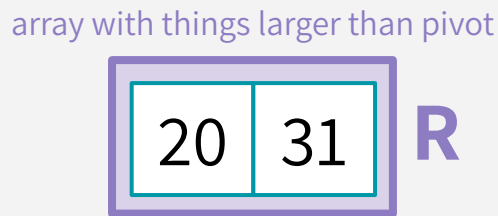
$$T(n) = \begin{cases} \Theta(n^d \log n) & \text{if } a = b^d \\ \Theta(n^d) & \text{if } a < b^d \\ \Theta(n^{\log_b(a)}) & \text{if } a > b^d \end{cases}$$

# OUR GOAL

Efficiently pick the pivot in time  $O(n)$  so that



$$3n/10 < \text{len}(L) < 7n/10$$



$$3n/10 < \text{len}(R) < 7n/10$$

Then, our recurrence  $T(n) \leq T(7n/10) + O(n)$  comes out to  **$O(n)$** !





سوال؟

# میانه ی میانه ها!

**ایده اصلی الگوریتم خطی برای انتخاب  $k$ امین عضو**

# MEDIAN-OF-MEDIANS

The ideal world wasn't feasible because we can't just compute  $\text{SELECT}(A, n/2) \Rightarrow$  that would throw us into infinite recursion since problem sizes aren't shrinking between recursive calls...

But we can instead generate a ***smaller*** list and call SELECT on that smaller list!

# MEDIAN-OF-MEDIANS

The ideal world wasn't feasible because we can't just compute  $\text{SELECT}(A, n/2) \Rightarrow$  that would throw us into infinite recursion since problem sizes aren't shrinking between recursive calls...

But we can instead generate a ***smaller*** list and call SELECT on that smaller list!

## OUR GAME PLAN:

We'll make a smaller list out of SUB-MEDIANS.

Then, we'll use SELECT to find the median of the sub-medians.

This “median of medians” will be our proxy for the true median!

# MEDIAN-OF-MEDIANS

**GOAL:** get a proxy for the true median by finding the exact median of all the sub-medians!

1	14	4	18	25	6	17	9	3	5	10	16	12	23	19	13	20	8	15	24	7	21	22	2	11
---	----	---	----	----	---	----	---	---	---	----	----	----	----	----	----	----	---	----	----	---	----	----	---	----

# MEDIAN-OF-MEDIANS

**GOAL:** get a proxy for the true median by finding the exact median of all the sub-medians!

Divide the original list into  $\lceil n/5 \rceil$  groups (each group has  $\leq 5$  elements)

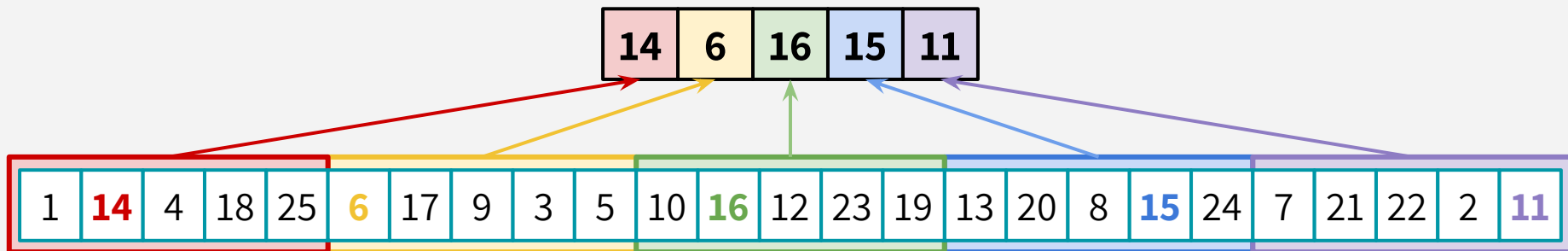
1	14	4	18	25	6	17	9	3	5	10	16	12	23	19	13	20	8	15	24	7	21	22	2	11
---	----	---	----	----	---	----	---	---	---	----	----	----	----	----	----	----	---	----	----	---	----	----	---	----

# MEDIAN-OF-MEDIANS

**GOAL:** get a proxy for the true median by finding the exact median of all the sub-medians!

Divide the original list into  $\lceil n/5 \rceil$  groups (each group has  $\leq 5$  elements)

Find the sub-median of each small group (3rd smallest out of the 5)



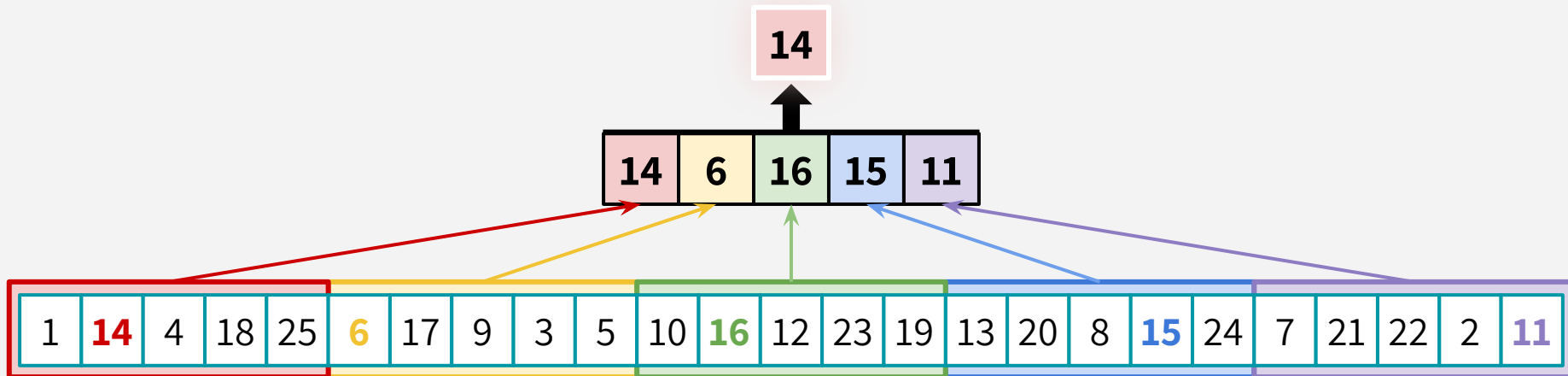
# MEDIAN-OF-MEDIANS

**GOAL:** get a proxy for the true median by finding the exact median of all the sub-medians!

Divide the original list into  $\lceil n/5 \rceil$  groups (each group has  $\leq 5$  elements)

Find the sub-median of each small group (3rd smallest out of the 5)

Find the median of all the sub-medians (call SELECT)





# MEDIAN-OF-MEDIANS

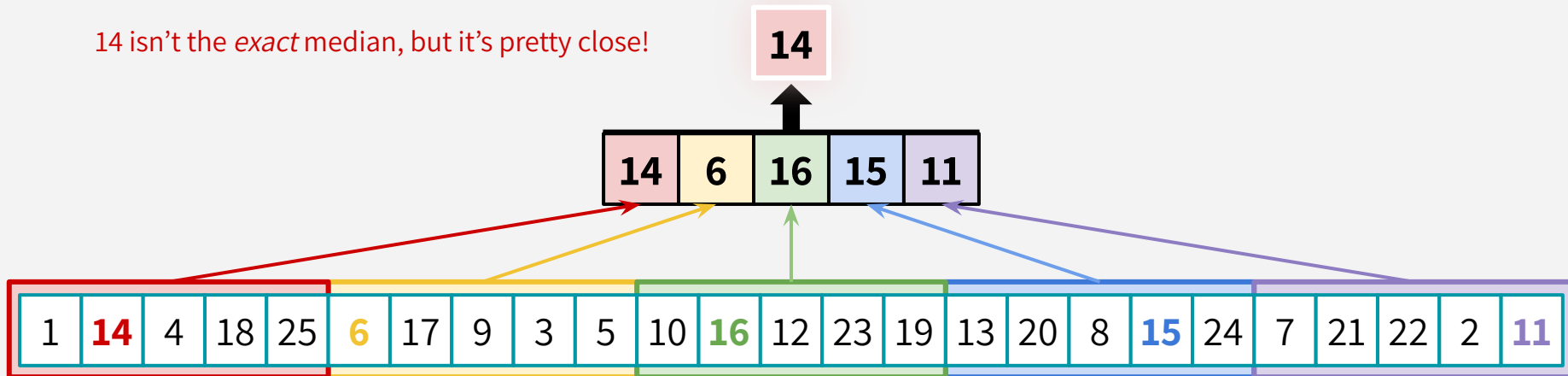
**GOAL:** get a proxy for the true median by finding the exact median of all the sub-medians!

Divide the original list into  $\lceil n/5 \rceil$  groups (each group has  $\leq 5$  elements)

Find the sub-median of each small group (3rd smallest out of the 5)

Find the median of all the sub-medians (call SELECT)

14 isn't the *exact* median, but it's pretty close!



# MEDIAN-OF-MEDIANS

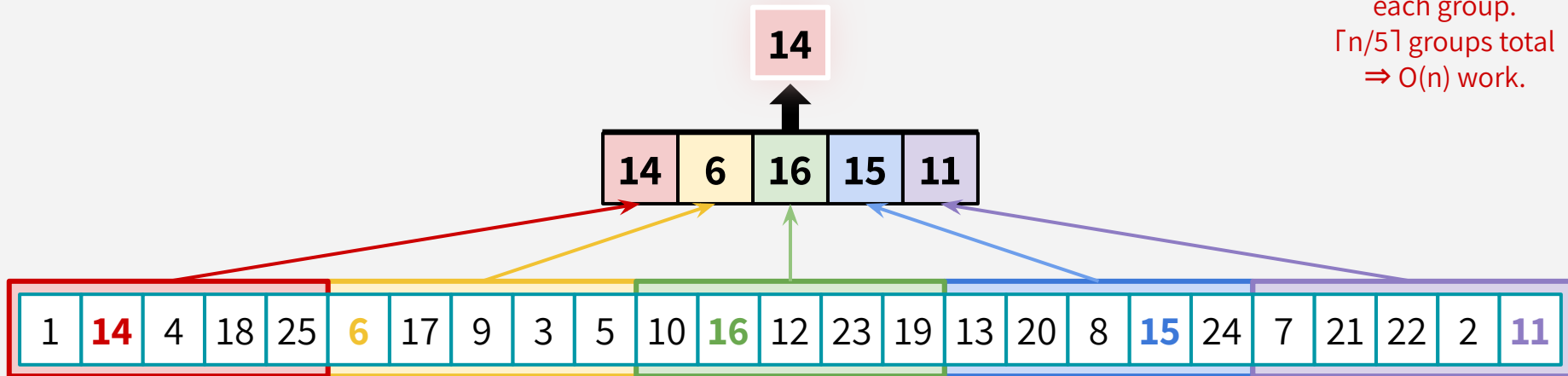
**GOAL:** get a proxy for the true median by finding the exact median of all the sub-medians!

Divide the original list into  $\lceil n/5 \rceil$  groups (each group has  $\leq 5$  elements)

Find the sub-median of each small group (3rd smallest out of the 5)

Find the median of all the sub-medians (call SELECT)

constant work for  
each group.  
 $\lceil n/5 \rceil$  groups total  
 $\Rightarrow O(n)$  work.



# MEDIAN-OF-MEDIANS

**GOAL:** get a proxy for the true median by finding the exact median of all the sub-medians!

Divide the original list into  $\lceil n/5 \rceil$  groups (each group has  $\leq 5$  elements)

Find the sub-median of each small group (3rd smallest out of the 5)

Find the median of all the sub-medians (call SELECT)

constant work for  
each group.  
 $\lceil n/5 \rceil$  groups total  
work.

14

## To compute our pivot:

Do  $O(n)$  work to set up (divide into groups & get a list of submedians),  
then make a call to **SELECT**(Submedians,  $\lfloor \text{Submedians} \rfloor / 2$ )





سوال؟

# ANALYZING RUNTIME

```
SELECT(A,k):  
    if len(A) == 1:  
        return A[0]  
    p = MEDIAN_OF_MEDIANS(A)  
    L, R = PARTITION(A,p)  
    if len(L) == k-1:  
        return p  
    else if len(L) > k-1:  
        return SELECT(L, k)  
    else:  
        return SELECT(R, k-len(L)-1)
```

**What does the recurrence relation for  $T(n)$  look like?**

# ANALYZING RUNTIME

```
SELECT(A,k):  
    if len(A) == 1:  
        return A[0]  
    p = MEDIAN_OF_MEDIANS(A)  
    L, R = PARTITION(A,p)  
    if len(L) == k-1:  
        return p  
    else if len(L) > k-1:  
        return SELECT(L, k)  
    else:  
        return SELECT(R, k-len(L)-1)
```

**$O(n)$  work outside of recursive calls**

(base case, set-up within  
MEDIAN\_OF\_MEDIANS, partitioning)

**$T(n/5)$  work hidden in this recursive call**

(remember, MEDIAN\_OF\_MEDIANS calls  
SELECT on  $\lceil n/5 \rceil$ -size array)

**$T(???)$  work hidden in this recursive call**

What is the maximum size of  
either L or R?

# ANALYZING RUNTIME

```
SELECT(A,k):  
    if len(A) == 1:
```

What is the smallest  
number of elements that  
could be smaller than our  
MEDIAN OF MEDIANS?

```
    else:  
        return SELECT(R, k-len(L)-1)
```

**$O(n)$  work outside of  
recursive calls**

(base case, set-up within  
MEDIAN\_OF\_MEDIANS, partitioning)

**$T(n/5)$  work hidden in  
this recursive call**

(remember, MEDIAN\_OF\_MEDIANS calls  
SELECT on  $\lceil n/5 \rceil$ -size array)

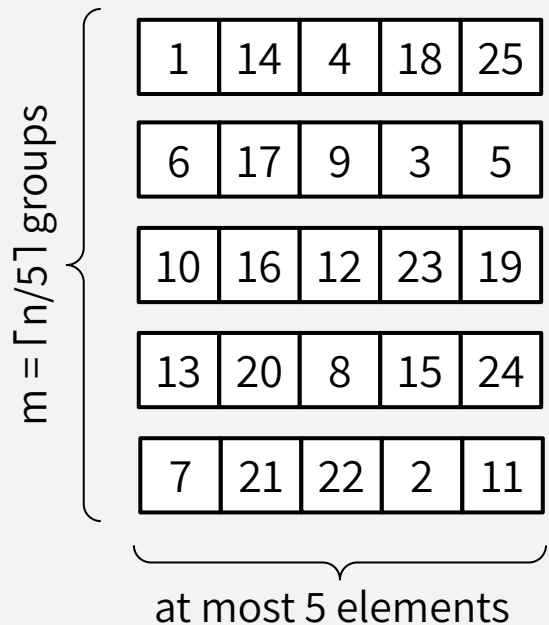
**$T(???)$  work hidden in  
this recursive call**

What is the maximum size of  
either L or R?

# ANALYZING RUNTIME

MEDIAN\_OF\_MEDIANS will choose a pivot greater than at least  $3n/10 - 6$  elements

(The same reasoning we're about to do also shows that the pivot will be less than at least  $3n/10 - 6$  elements)

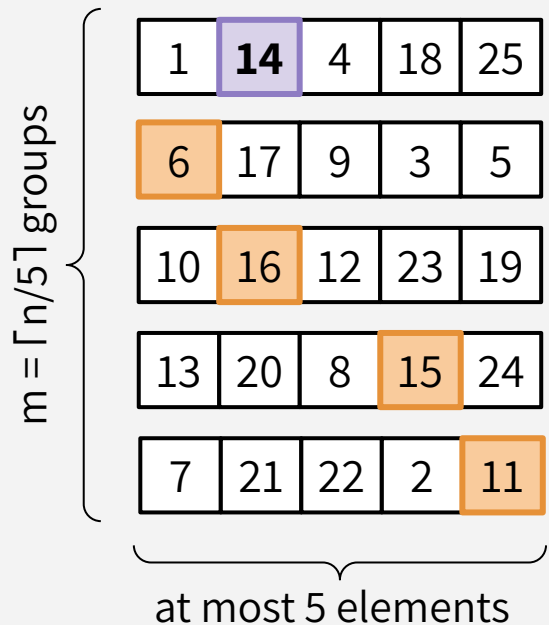




# ANALYZING RUNTIME

MEDIAN\_OF\_MEDIANS will choose a pivot greater than at least  $3n/10 - 6$  elements

(The same reasoning we're about to do also shows that the pivot will be less than at least  $3n/10 - 6$  elements)

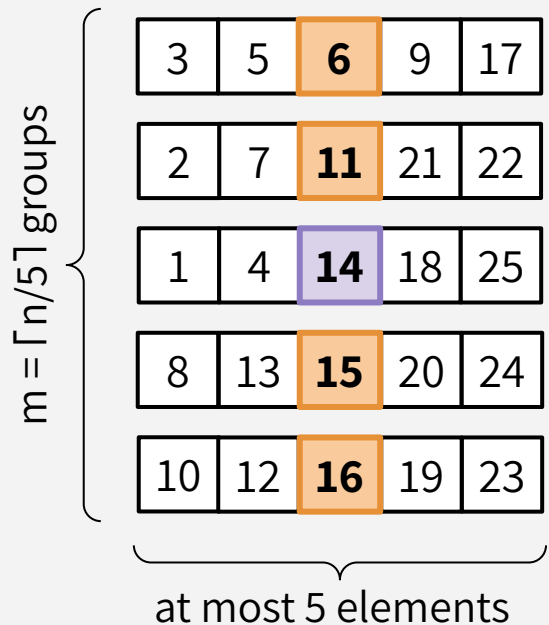


**At least** how many elements are guaranteed to be **smaller** than the median of medians?

# ANALYZING RUNTIME

MEDIAN\_OF\_MEDIANS will choose a pivot greater than at least  $3n/10 - 6$  elements

(The same reasoning we're about to do also shows that the pivot will be less than at least  $3n/10 - 6$  elements)

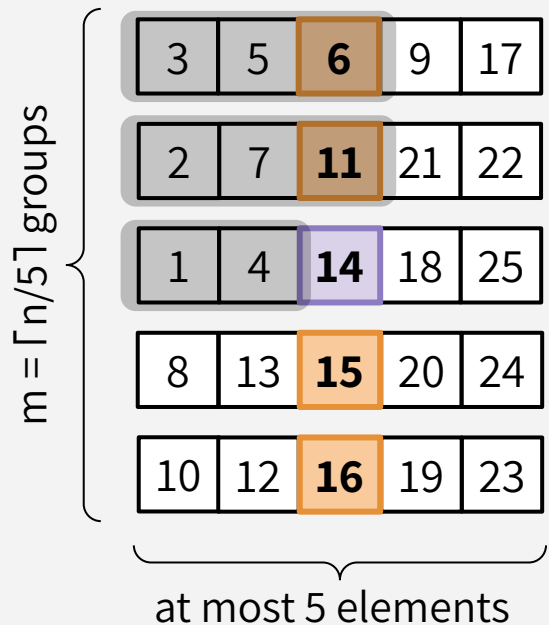


**At least** how many elements are guaranteed to be **smaller** than the median of medians?

# ANALYZING RUNTIME

MEDIAN\_OF\_MEDIANS will choose a pivot greater than at least  $3n/10 - 6$  elements

(The same reasoning we're about to do also shows that the pivot will be less than at least  $3n/10 - 6$  elements)



**At least** how many elements are guaranteed to be **smaller** than the median of medians?

3 elements from each group that has a **median** smaller than the **median of medians** + 2 elements from the group containing the **median of medians**

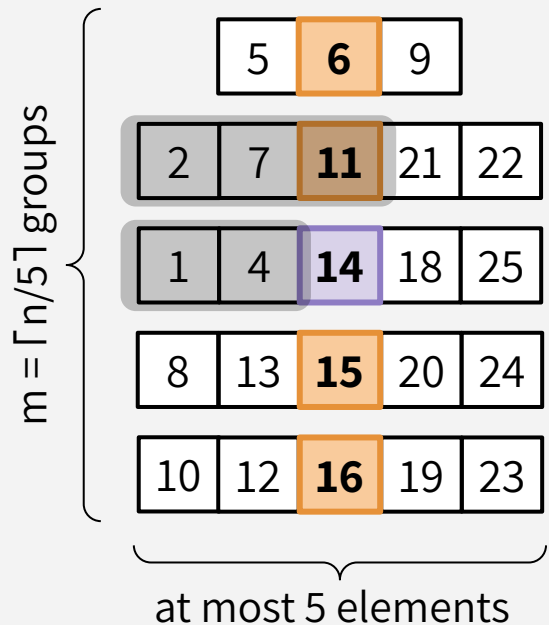
$$3 \cdot (\lceil m/2 \rceil - 1) + 2$$

To exclude the group with the **median of medians**

# ANALYZING RUNTIME

MEDIAN\_OF\_MEDIANS will choose a pivot greater than at least  $3n/10 - 6$  elements

(The same reasoning we're about to do also shows that the pivot will be less than at least  $3n/10 - 6$  elements)



**At least** how many elements are guaranteed to be **smaller** than the median of medians?

3 elements from each (non-leftover) group that has a **median** smaller than the **median of medians** + 2 elements from the group containing the **median of medians**

$$3 \cdot (\lceil m/2 \rceil - 1 - 1) + 2$$

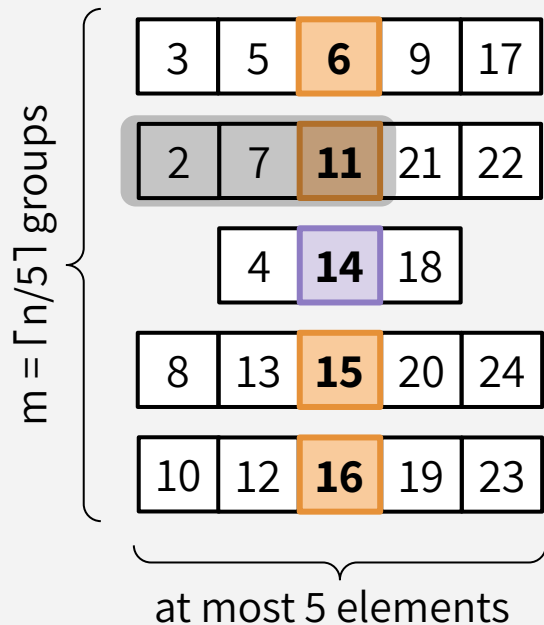
To exclude the group with the **median of medians**

To exclude any of those groups that might be a "leftover" group!

# ANALYZING RUNTIME

MEDIAN\_OF\_MEDIANS will choose a pivot greater than at least  $3n/10 - 6$  elements

(The same reasoning we're about to do also shows that the pivot will be less than at least  $3n/10 - 6$  elements)



**At least** how many elements are guaranteed to be **smaller** than the median of medians?

3 elements from each (non-leftover) group that has a **median** smaller than the **median of medians** + ~~2 elements from the group containing the **median of medians**~~

$$3 \cdot (\lceil m/2 \rceil - 1 - 1) + 2$$

To exclude the group with the **median of medians**

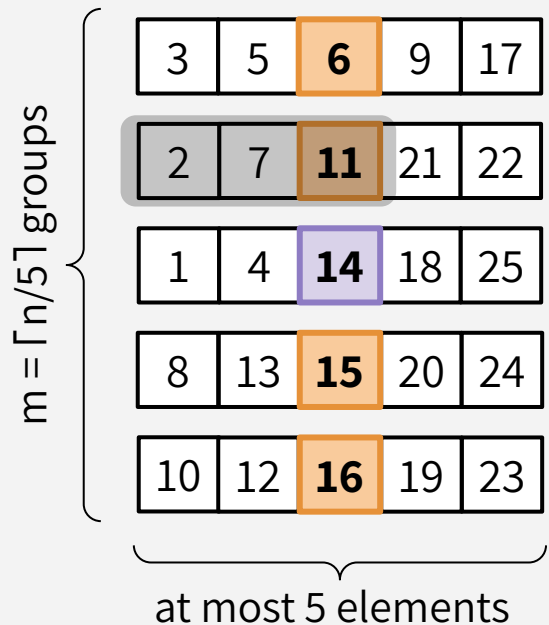
To exclude any of those groups that might be a "leftover" group!

The group with the **median of medians** might be a "leftover" group! Might as well just get rid of the +2 to be safe

# ANALYZING RUNTIME

MEDIAN\_OF\_MEDIANS will choose a pivot greater than at least  $3n/10 - 6$  elements

(The same reasoning we're about to do also shows that the pivot will be less than at least  $3n/10 - 6$  elements)



**At least** how many elements are guaranteed to be **smaller** than the median of medians?

3 elements from each (non-leftover) group that has a **median** smaller than the **median of medians**

$$\begin{aligned} & 3 \cdot (\lceil m/2 \rceil - 2) \\ &= 3 \cdot (\lceil \lceil n/5 \rceil / 2 \rceil - 2) \\ &\geq 3 \cdot (n/10 - 2) \\ &= 3n/10 - 6 \end{aligned}$$

# ANALYZING RUNTIME

We just showed:

$$3n/10 - 6 \leq \text{len}(L)$$

$$\text{len}(R) \leq 7n/10 + 5$$

# ANALYZING RUNTIME

We can similarly show the inverse:

$$3n/10 - 6 \leq \text{len}(L) \leq 7n/10 + 5$$

$$3n/10 - 6 \leq \text{len}(R) \leq 7n/10 + 5$$



# ANALYZING RUNTIME

```
SELECT(A,k):  
    if len(A) == 1:  
        return A[0]  
    p = MEDIAN_OF_MEDIANS(A)  
    L, R = PARTITION(A,p)  
    if len(L) == k-1:  
        return p  
    else if len(L) > k-1:  
        return SELECT(L, k)  
    else:  
        return SELECT(R, k-len(L)-1)
```

**$O(n)$  work outside of recursive calls**

(base case, set-up within  
MEDIAN\_OF\_MEDIANS, partitioning)

**$T(n/5)$  work hidden in this recursive call**

(remember, MEDIAN\_OF\_MEDIANS calls  
SELECT on  $\lceil n/5 \rceil$ -size array)

**$T(???)$  work hidden in this recursive call**

What is the maximum size of  
either L or R?

# ANALYZING RUNTIME

We can similarly show the inverse:

$$3n/10 - 6 \leq \text{len}(L) \leq 7n/10 + 5$$

$$3n/10 - 6 \leq \text{len}(R) \leq 7n/10 + 5$$

What does the recurrence relation for  $T(n)$  look like?

$$T(n) \leq T(n/5) + T(???) + O(n)$$

# ANALYZING RUNTIME

We can similarly show the inverse:

$$3n/10 - 6 \leq \text{len}(L) \leq 7n/10 + 5$$

$$3n/10 - 6 \leq \text{len}(R) \leq 7n/10 + 5$$

What does the recurrence relation for  $T(n)$  look like?

$$T(n) \leq T(n/5) + T(7n/10) + O(n)$$

# ANALYZING RUNTIME

$$T(n) \leq T(n/5) + T(7n/10) + O(n)$$

Can be solved by Substitution Method!

# SUBSTITUTION METHOD

$$T(n) = T(n/5) + T(7n/10) + n$$

$$T(n) = 1 \text{ when } 1 \leq n \leq 10$$



Our guess:

**$T(n)$  is  $O(n)$**

## Proof:

We can choose  $C = 10$ !

- **Inductive Hypothesis:**  $T(n) \leq 10n$
- **Base case:** Prove IH holds for  $1 \leq n \leq 10$ .  $T(n) = 1 \leq 10n$
- **Inductive step:**
  - Let  $k > 10$ . Assume that the IH holds for all  $n$  such that  $1 \leq n < k$ .
  - $$\begin{aligned} T(k) &= k + T(k/5) + T(7k/10) \\ &\leq k + 10 \cdot (k/5) + 10 \cdot (7k/10) \\ &= k + 2k + 7k \\ &= 10k \end{aligned}$$
  - Thus, the IH holds for  $n = k$ !
- **Conclusion:** With  $C = 10$  and  $n_0 = 1$ ,  $T(n) \leq Cn$  for all  $n \geq n_0$ . By the Big-O definition,  $T(n) = O(n)$ .

# ANALYZING RUNTIME

$$T(n) \leq T(n/5) + T(7n/10) + O(n)$$

Can be solved by Substitution Method!



$$O(n)$$

Worst-case Runtime!

# LINEAR-TIME SELECTION

```
SELECT(A,k):  
    if len(A) == 1:  
        return A[0]  
    p = MEDIAN_OF_MEDIANS(A)  
    L, R = PARTITION(A,p)  
    if len(L) == k-1:  
        return p  
    else if len(L) > k-1:  
        return SELECT(L, k)  
    else if len(L) < k-1:  
        return SELECT(R, k-len(L)-1)
```

**$O(n)$**

Worst-case Runtime!



سوال؟