



1. Authentication & Identity Management Policy.
2. Password policy.
3. Vulnerability Management policy and procedure.
4. Remediation of Vulnerabilities and required Patches.
5. Communications policy and procedure.
6. Security policy and procedures.
7. Asset Management policy or procedure.
8. Systems Development Lifecycle (SDLC) policy and procedure.
9. Asset onboarding and off-boarding process.
10. Disaster Recovery policy or procedure.
11. Data Categorization and Classification policy or Procedure?
12. Documents on incident management and response program to ensure timely response in the event of an incident impacting the Exchange.
13. Log Management or Network Monitoring policy.
14. Documented Fraud Prevention program.
15. Segregation of Duties policy and procedure.
16. Change management process