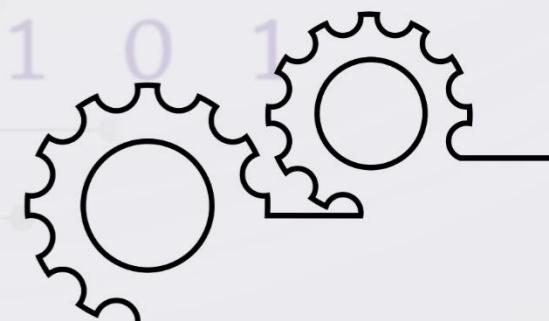


1 01 0 1

**SIMATS**  
**School of Engineering**

# **Design and Analysis of Algorithms**

**Computer Science and Engineering**



Saveetha Institute of Medical And Technical Sciences,Chennai.

# INDEX - CSA 06 / DESIGN AND ANALYSIS OF ALGORITHMS

S.NO	TOPIC	Pg.NO	3.	Finding Maximum & Minimum	6	5.	Marshall's & Floyd's Algorithm	12	BRANCH AND BOUND	
	<b>FUNDAMENTALS OF ALGORITHMS</b>			- Algorithm Max-Min			- Algorithm		1.	Class Problem
1.	Algorithm Basics	1		- Analysis			- Floyd's Calculation			- Polynomial Problem
	- Steps in Algorithm solving					6	Travelling Salesperson Problem	13		- NP Complete Problem
	- Design Steps		4.	Merge sort	6		- TSP Calculation			- NP hard Problem
	- Mathematical Analysis			- Algorithm - Merge			BACK TRACKING		2.	Tractable & Intractable Problem
2.	Asymptotic Notations	2	5.	Mathematical Induction	7	1.	General Method	14		- P and NP class Problem
	- Big OH Notations			- Binary Search - Merge sort		2.	N-Queens Problem			- Approximation Algorithm - NP hard Problem
	- Omega Notations						- To Solve 4*4 Problem			
	- Theta Notations						- Backtracking Advantages & Constraints			
3.	Important Problem Types	3	1.	General Method	8	3.	Hamiltonian Circuit Problem	14	3.	Nearest Neighbor Algorithm
4.	fundamentals of the Analysis of Efficiency		2.	Container Loading	8		- Algorithm N-Cycle			- Approximation Ratio
	- Analysis framework			- Problem steps			- Application		4.	Approximation Algorithm
	- Measuring Input size		3.	Algorithm & Analysis	9	4.	Assignment Problem	15		- Minimum Spanning - kruskals
	- Order of growth			Knapsack Problem		5.	Knapsack Problem	15		- Prim's Algorithm
5.	Recurrence Equation	1		- Problem steps			- State Space tree		5.	Travelling Salesman Problem
	- forward Substitution			- Algorithm			- Problem statement			- finding cheapest Route
	- Backward Substitution		4.	Minimum Spanning Tree	9	6.	Sum of Subsets	16	6.	Knapsack Problem
6.	Mathematical Analysis	4		- Kruskals Algorithm			- Problem statement			
	- Recursion Algorithm					7.	Graph Colouring	16		
	- Non Recursion Algorithm		1.	DYNAMIC PROGRAMMING	10		- Applications			
				Steps of Dynamic Programming		8.	Travelling Salesman Problem	17		
				- Applications			- Problem statement			
			2.	Optimal Binary Search Trees	10					
				- OBST Calculation						
			3.	Knapsack & Memory Function	11					
1.	Divide And Conquer			0/1 Knapsack Problem Calculation						
2.	Recurrence Equation	5.	4.	Computing Binomial Coefficient	11					
	Binary search	5		- Binomial formula						
	- Three Conditions			- Recurrence Relation						

# DESIGN AND ANALYSIS OF ALGORITHMS

- 01) Algorithm Basics
- 02) Fundamentals of the Analysis of Algorithm Efficiency
- 03) Asymptotic Notations
- 04) Mathematical Analysis of Recursive and Non-Recursive Algorithms

Divide and Conquer Technique.

Recursive Equation

Binary Search

Finding Maximum and Minimum Values

Merge Sort

Complexity Analysis

Strassen's Matrix Multiplication

INTRODUCTION

CLASSIFICATION OF ALGORITHMS BY DESIGN

Greedy Technique

Container Loading Problem

Knapsack Problem

Minimum Cost Spanning tree.

Dynamic Programming

Optimal Binary Search tree

Knapsack and Memory Functions

Travelling Salesman Problem

Warshall's and Floyd's Algorithms

Computing Binomial Co-efficients

Backtracking

N' Queen Problem

Hamiltonian Circuit Problem

Sum of subset Problem.

Graph Colouring Problem.

Branch and Bound

Assignment Problem

Knapsack Problem

Travelling Salesman Problem.

class And Approximation Algorithms

NP- Complete and NP-Hard Problem

P and NP Problem

Travelling Salesman Problem

Knapsack Problem

Minimum Spanning tree

# ALGORITHM BASICS.

## ALGORITHM:

- Sequence of unambiguous instructions for solving a problem.
- Finit set of instructions.

Problem to be solved.

Algorithm Created for performing Particular task.

Input

Computes

Output  
Correct O/P  
error if any

ALGORITHM NAME ( $P_1, P_2, P_3 \dots P_n$ )

name of algorithm  
Write Parameter (if any)

## CHARACTERISTICS:

Input: Output

Definiteness: Instruction is clear.

Finiteness: Proper sequence.

Efficiency: runs in short time with less memory

Ex: → Sum of 'n' numbers

Algorithm sum (l, n)

Input : l to n numbers

Output : Summation of numbers

```
result ← 0
for i ← 1 to n do i ← i+1
```

```
    result ← result + i
```

return result

## STEPS IN ALGORITHM SOLVING

Understand the Problem

Decision making

→ Capabilities of computational devices

→ Select / Extract method

→ Data structures

→ Algorithmic strategies.

Specification of algorithm

Design of algorithm

Verification

Analysis

Coding

## DESIGN STEPS

Specification:

Algorithm

Using natural language

Pseudocode

Flowchart

## ANALYSIS OF ALGORITHM: Specify

→ Time Efficiency      → Simplicity.

→ Space Efficiency      → Generality of algorithm.

→ Range of input

## ALGORITHMIC VERIFICATION:

### CHECKING CORRECTNESS

Gives correct output in finite amount of time. [for a valid Input]  
by use → mathematical induction

## TIME COMPLEXITY ESTIMATION:

SINGLE Loop: Ex: Maximum Value.

ALGORITHM : Input : array A[0 ... n-1]

Output : Return single maximum value.

```
Max_value ← A[0]
for i ← 1 to (n-1) do
begin
    if (A[i] > max_value) then
        max_value ← A[i]
end.
```

## MATHEMATICAL ANALYSIS

n → no. of elements in array.

(n) → no. of times comparison is executed hence  $i = 1 \text{ to } (n-1)$  times

Sum is  $c(n) = \sum_{i=1}^{n-1} 1$

$$c(n) = (n-1) \in O(n).$$

## MULTIPLE LOOP:

Example: Elements in a set distinct or not.

Algorithm : Unique Element [A[0 ... n-1]]

Input : A[0 ... n-1]

Output : Return [Elements are not distinct]  
False.

Return [Elements are distinct]  
True.

ALGORITHM : Unique Element

```
for i ← 0 to n-2 do
begin
    for j ← i+1 to (n-1) do
```

begin

if (A[i] == A[j]) then  
return false

end.

end

Return True

## MATHEMATICAL ANALYSIS:

$c(n) = \text{Outer loop * Inner loop.}$

$c(n) = \sum_{q=0}^{n-2} \sum_{i=i+1}^{n-1} 1$

$$\left[ \sum_{q=0}^{n-1} (i) \right] = (n-1) - (i+1) + 1$$

$$= n-1-i \quad \begin{array}{l} \text{Sub in} \\ \text{2nd loop.} \\ \text{Outer loop} \end{array}$$

$$\rightarrow \sum_{i=0}^{n-2}$$

$$\rightarrow \sum_{i=0}^{n-2} (n-1) + \sum_{i=0}^{n-2} i$$

$$\rightarrow \sum_{i=0}^{n-2} (n-1) \left\{ \frac{(n-2)(n-1)}{2} \right\}$$

$$\rightarrow (n-1) \sum_{i=0}^{n-2} (i) = (n-1) \left\{ \frac{n-2-0}{2} + 1 \right\}$$

$$= (n-1)(n-1) \text{ sub in } (n-1)$$

$$(n-1)(n-1) = \left[ \frac{(n-2)(n-1)}{2} \right]$$

$$\rightarrow \frac{(n^2-n)}{2}$$

$$\rightarrow \frac{n^2}{2} \rightarrow \frac{1}{2} n^2 \in O(n^2)$$

# ASYMPTOTIC NOTATIONS

## Asymptotic Notations:

Asymptotic notations is a short way to represent the time complexity.

Efficiency can be measured by computing time complexity of each algorithm.

Asymptotic notations can give time complexity as fastest possible, shortest possible or average time.

Various notations such as  $\Omega$ ,  $\Theta$ ,  $O$  used are called asymptotic.

## Big oh Notation:

The Big oh notation is denoted by " $O$ ". It is a method of representing the upper bound of algorithmic running time.

→ Longest amount of time taken by the Algorithm.

## Definition:

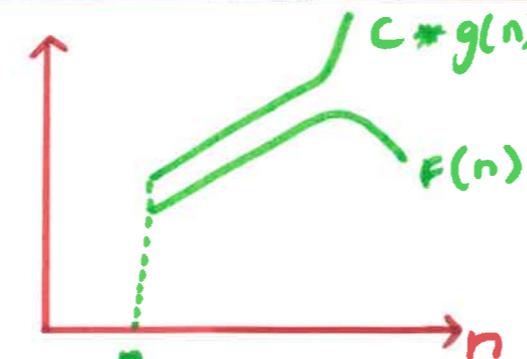
Let  $F(n)$  and  $g(n)$  be two non-negative functions.

Let  $n_0$  and constant  $C$  are two integers such that  $n_0$  denotes some value of i/p &  $n > n_0$  similarly  $C$  is constant  $C > 0$

$$F(n) \leq C * g(n)$$

Then  $F(n)$  is big oh of  $g(n)$

$$F(n) \in O(g(n))$$



$$F(n) \in O(g(n))$$

Consider  $F(n) = 2n+2$  and  $g(n) = n^2$  we have to find  $C$ ,  $F(n) \leq C * g(n)$

$n=1$ then $f(n)=2n+2$ $=2(1)+2$ $=4$ $g(n)=n^2$ $=1$ $f(n)>g(n)$	$if\ n=2$ $f(n)=2n+2$ $=2(2)+2$ $=6$ $g(n)=n^2$ $=4$ $f(n)>g(n)$	$if\ n=3$ $f(n)=2n+2$ $=2(3)+2$ $=8$ $g(n)=n^2$ $=9$ $f(n)<g(n)$
---	--	--

Upper bound of existing time is obtained by big oh notation.

## Omega Notation:

→ Denoted by " $\Omega$ "

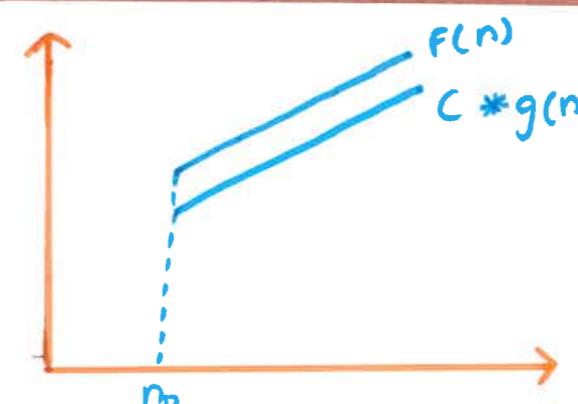
→ Represent the lower bound of algorithm's running time.

→ Shortest amount of time taken by Algorithm.

## Definition:

A function  $F(n)$  is said to be  $\Omega(g(n))$  if  $F(n)$  is bounded below some positive constant multiple of  $g(n)$  such that  $F(n) \geq c * g(n) \quad \forall n \geq n_0$

$$F(n) \in \Omega(g(n))$$



$$F(n) \in \Omega(g(n))$$

Consider  $F(n) = 2n^2 + 5$  and  $g(n) = 7n$

Then if $n=0$	$n=1$	$n=3$
$F(n)=2(0)^2+5$ = 5	$F(n)=7(0)$ = 0	$F(n)=2(3)^2+5$ = 23
	$F(n)=7(1)$ = 7	
		$F(n)>g(n)$
		$F(n)>C*g(n)$

$2n^2 + 5 \in \Omega(n^2)$   
any  $n^3 \in \Omega(n^2)$

## Theta Notation:

→ denoted by " $\Theta$ ".

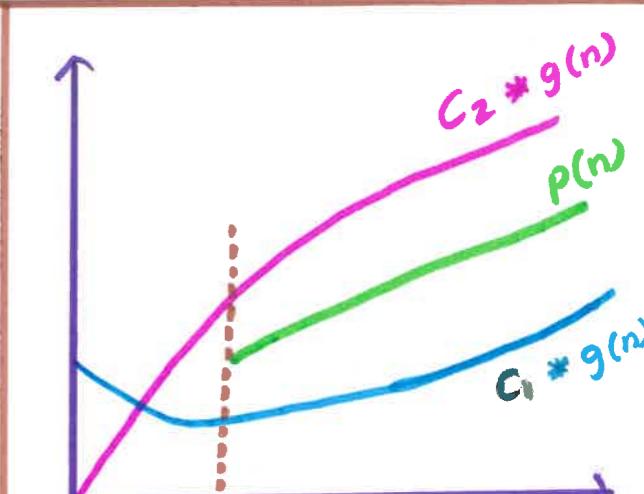
→ Running time between upper bound and lower bound.

## Definition:

$F(n)$  and  $g(n)$  be two non-negative functions. Two Positive constants  $c_1$  &  $c_2$

$$c_1 \leq g(n) \leq c_2 g(n)$$

$$F(n) \in \Theta(g(n))$$



$$\text{Theta Notation}$$

$$F(n) \in \Theta(g(n))$$

For Example:

$$if\ F(n)=2n+8\ \&\ g(n)=7n$$

where  $n \geq 2$

$$\text{Similarly } F(n)=2n+8$$

$$g(n)=7n$$

$$5n < 2n+8 < 7n \text{ for } n \geq 2$$

Here  $c_1=5$  and  $c_2=7$  with  $n_0=2$

Theta Notation is more precise with both big oh and Omega notation.

## Properties:

1. If  $F_1(n)$  is order of  $g_1(n)$  &  $F_2(n)$  is order of  $g_2(n)$ , then  $F_1(n)+F_2(n) \in O(\max(g_1(n), g_2(n)))$ .

2. Polynomials of degree  $m \in \Theta(n^m)$ .

That means max.degree is considered from the polynomial

# FUNDAMENTALS OF THE ANALYSIS OF ALGORITHM EFFICIENCY

## Important Problem Types:

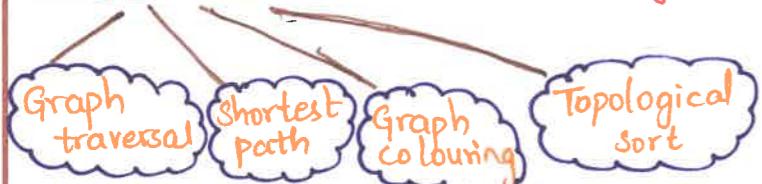
- Sorting
- Searching
- String Processing
- Graph problems
- Combinational problems
- Geometric Problems
- Numerical Problems

Sorting: Rearrange the items of a given list in ascending order.

Searching: Deals with finding a given value called a search key in a given set.

String Processing: String matching problem searching for a given word in a text.

Graph Problems:  $- G = (V_i, E)$



Combinational Problems: To find a combinational object such as permutation, combination, or subset that satisfies certain constraints and has some desired property.

Geometric Problem: (To find a combinational object), to deal with geometric objects such as points, lines, polygons.

Closet pair problem  
Convex hull problem

Numerical Problem: Mathematical objects of conforming nature, computing definite integrals.

## Fundamentals of the Analysis of Algorithm Efficiency:

Analysis of algorithms is the process of investigation of an algorithm efficiency with respect to the aspects.

Running time & mly space

## Analysis Framework:

Time efficiency or time complexity indicates how fast an algorithm runs.

Space efficiency or space complexity is the amount of mly units required by the algorithm including the mly needed for the i/p & o/p.

## Measuring an Input's size:

The efficiency of an algorithm is directly proportional to the input size or range.

Eq: Multiplying two matrices, the efficiency depends on the no. of multiplication of order of matrix.

$$b = \text{floor}(\log_2 n + 1)$$

Units for measuring Running time:

- Speed of particular computer
- Quality of the program
- Compiler used
- Difficulty of clocking

The time  $T(n)$  for the no. of items ( $c(n)$ ) the basic.

Operation ( $Cop$ ) is given by

$$T(n) \approx Cop C(n)$$

↓ running time      ↓ basic operation      → no. of times the operation need to be executed

## Orders of Growth:

Logarithmic function grows slow even for high range of inputs whereas the exponential function grows fast for a small increment in the no. of inputs.

$n$	$\log_2 n$	$n$	$n \log_2 n$	$n^2$	$n^3$
10	3.3	10 <sup>1</sup>	3.3-10 <sup>1</sup>	10 <sup>2</sup>	10 <sup>3</sup>
10 <sup>2</sup>	6.6	10 <sup>2</sup>	6.6-10 <sup>2</sup>	10 <sup>4</sup>	10 <sup>6</sup>

## Time-Space Trade off

A way of solving a problem is less time by using more storage space or by solving a problem in very little space by spending a long time.

## Time Complexity:

- no. of steps required by algorithm

## Compilation:

- Check Syntax & Semantic

## Runtime:

- No. of instructions present in the algorithm.

## Consider

→ Limit of executing instructions

## Example:

### Addition of two numbers:

```

Sum()
{
  1 integer x,y,z ; declaration
  2 Read x,y;
  3 z = x+y;
  4 Print "The sum of x & y is"
  5
}
  
```

3 units for Executing the above program.

## Complexity

Worst case  
Average case  
Best case

$$T(n) = \Theta(f(n))$$

## Space Complexity:

2 types of mly

fixed amount of mly  
available amount of mly

## Sample Problem :

```

void fun()
{
  int a,b,c,s;
  s = a+b;
  print "Sum:",s
}
  
```

space req:  
a=2  
b=2  
c=2  
s=2  
8 units

Space required by the algorithm in 8 units of mly

# MATHEMATICAL ANALYSIS OF RECURSIVE AND NON-RECURSIVE ALGORITHM

## Recurrence Equation:

Recurrence Equation is an equation that depends and defines a sequence recursively.

$$T(n) = T(n-1) + n \text{ for } n > 0 \quad \textcircled{1}$$

$$T(0) = 0 \quad \textcircled{2}$$

Eq.① is called recurrence relation.

Eq.② is called initial condition.

## Solving Recurrence Equations.

→ Substitution method is a kind of method in which a guess for the solution is made.

\* Forward Substitution

\* Backward Substitution.

## Forward Substitution:

→ Use of an initial condition in the initial term and value for the next term is generated, confined until some formulae is guessed.

$$T(n) = T(n-1) + n \quad \textcircled{1}$$

$$T(0) = 0$$

$$\text{if } n=1 \quad T(1) = T(0) + 1$$

$$T(1) = 1 \quad \textcircled{2}$$

$$\text{if } n=2 \quad T(2) = T(1) + 2$$

$$T(2) = 1+2 = 3 \quad \textcircled{3}$$

$$\text{if } n=3 \quad T(3) = 6$$

By observing above generation equations.  $T(n) = \frac{n(n+1)}{2} = \frac{n^2}{2} + \frac{n}{2}$

$$T(n) = O(n^2)$$

## Backward Substitution:

→ Backward values are substituted recursively in order to derive some formulae.

Consider a recurrence relation:

$$T(n) = T(n-1) + n \quad \textcircled{1}$$

initial Condition  $T(0) = 0$

$$T(n-1) = T(n-1-1) + (n-1) \quad \textcircled{2}$$

Putting Eq.② in Eq.①, we get

$$T(n) = T(n-2) + (n-1) + n \quad \textcircled{3}$$

Let

$$T(n-2) = T(n-2-1) + (n-2) \quad \textcircled{4}$$

putting Eq.④ in Eq.③ we get

$$T(n) = T(n-3) + (n-2) + (n-1) + n$$

:

$$= T(n-k) + (n-k+1) + (n-k+2) + \dots + n$$

If  $k=n$  then

$$T(n) = T(0) + 1 + 2 + \dots + n$$

$$T(n) = 0 + 1 + 2 + \dots + n \quad \because T(0)=0$$

$$T(n) = \frac{n(n+1)}{2} = \frac{n^2}{2} + \frac{n}{2}$$

$$T(n) \in O(n^2)$$

## Mathematical Analysis of Non-Recursive Algorithm.

### General Plan for Analysing Efficiency of Non-Recursive Algorithms.

1. Decide the input size based on parameter  $n$

2. Identify algorithms basic operation:

3. How many times the basic operation is executed. find execution of basic operation depends upon the input size  $n$ . Determine worst case, avg & best case.

## Finding the element with maximum value in a given array:

Algorithm Max.Element(A[0...n])

// Problem description: finding maximum

// Input: array A[0.....n-1]

// Output: Returns the largest element from array.

Max.value  $\leftarrow A[0]$

for  $i \leftarrow 1$  to  $n-1$  do

{

if ( $A[i] >$  Max-value) then

max-value  $\leftarrow A[i]$

} return max-value.

## Mathematical Analysis:

Step-1: Input size  $n$

Step-2: Basic operation is comparison in loop.

Step-3: Executing in loop, no need to find  $W_C, A_C, B_C$

Step-4:  $C(n)$  be the no. of times the comparison is executed.

for  $i=1$  to  $n-1$

$C(n) =$  one comparison made for each value of  $i$ .

Step-5: Simplify the sum.

$$C(n) = \sum_{i=1}^{n-1} 1$$

$$\begin{aligned} \text{using rule} \\ \sum_{i=1}^n i &= n \in \Theta(n) \end{aligned}$$

The efficiency of above alg.  $\Theta(n)$

## Mathematical Analysis of Recursive alg.

General plan for analysis efficiency of Recursive alg.

1. Decide input size.

2. Identify basic operations

3. Check how many times executing

4. Setup recursive relation with some initial condition as expressing the basic operation.

5. Solve the recurrence or atleast determine the order of growth, use forward/backward subl method.

## Factorial of some no. $n$ :

Algorithm Factorial(n)

// problem description: compute  $n!$

// Input : A non-negative Integer  $n$

// Output: return the fact value

if ( $n=0$ )

return 1

else

return Factorial( $n-1$ ) \*  $n$

Analysis is :  $M(n) = M(n-1) + 1$

Time complexity:  $\Theta(n)$

# Divide AND Conquer

## Divide and conquer technology

### Steps

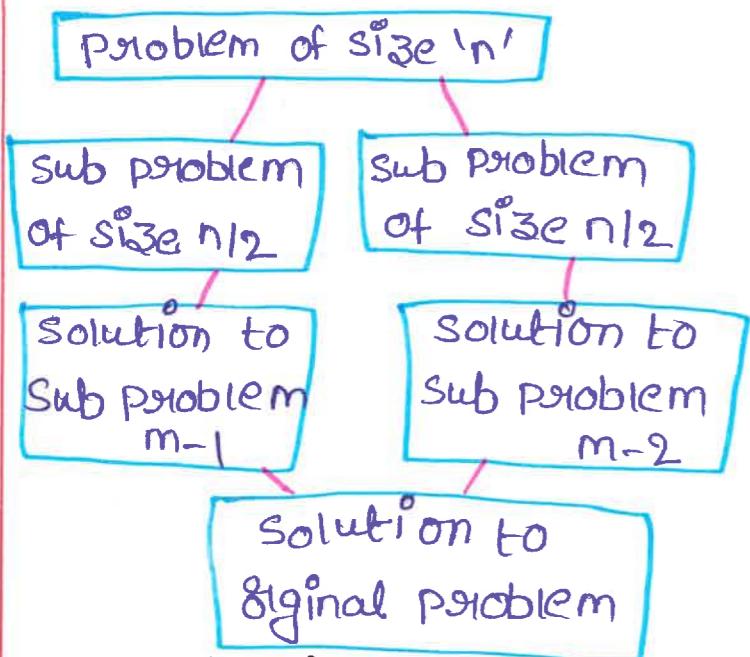
- \* Divided into smaller subproblem → Divide
- \* Sub problems - solved independently → conquer
- \* Combines all the solution of subproblems of the whole → combine

## Recurrence equation is

$$T(n) = \begin{cases} g(n) \\ T(n_1) + T(n_2) + \dots + T(n_r) + f(n) \end{cases}$$

$T(n)$  → time for divide & conquer

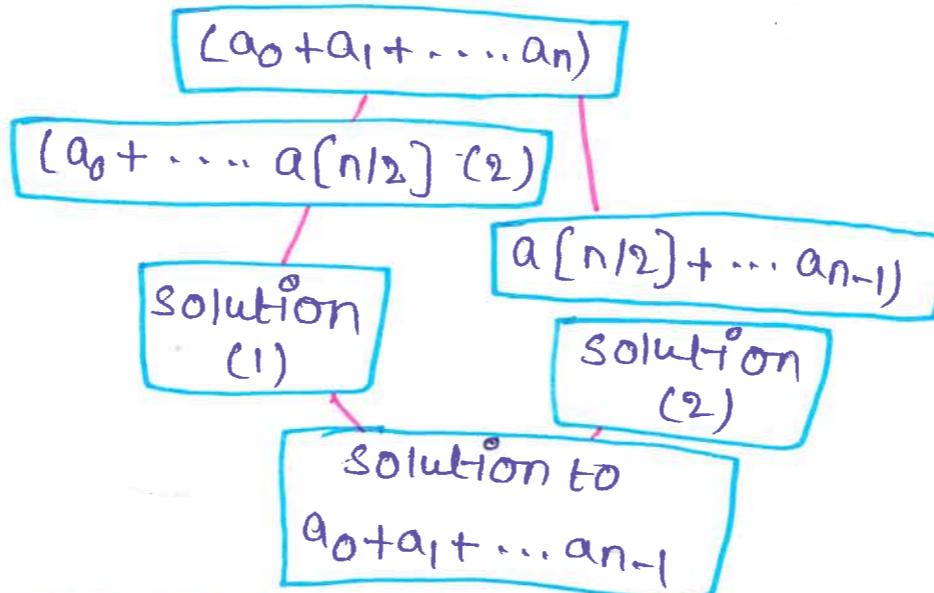
$g(n)$  → compute time to solve small inputs



Obtaining time for size  $n$  is:

$$T(n) = aT(n/b) + f(n)$$

Time for Size ' $n$ '      ↓ Time for size  $n/b$       ↓ Time required for  
 No. of subinstances      dividing the problem into subproblems



## Recurrence equation for obtaining time

for size ' $n$ ' is

$$T(n) = a + (a/n)T(n) + f(n)$$

Time for size ' $n$ '      no. of sub instances

Time required for divides the problem into sub problem

## The Order is at

$$T(n) = aK \left[ T(1) + \sum_{i=1}^k \frac{f(b^i)}{a^i} \right]$$

$$T(n) = n \log_b a \left[ T(1) + \sum_{j=1}^{\log_b n} \frac{f(b^j)}{a^j} \right]$$

Order of growth of  $T(n)$  depends the values of constants  $a$

## Binary Search

It is an efficient searching method and all the elements in the array should be sorted.

## Three conditions

- i) needs to be tested

if  $\text{key} = A[m] \rightarrow$  then the desired element present in list

if  $\text{key} < A[m] \rightarrow$  then search the left sublist

if  $\text{key} > A[m]$

then search the right sublist

If can be represent as

$$A(0) \dots A(m) \underset{\uparrow}{A(m+1)} + \dots + \underset{\uparrow}{A(n-1)}$$

Search here key if  $\text{key} < A(m)$       Search here if  $\text{key} > A(m)$

## Example

Consider a list of element

$$a \rightarrow \{10, 20, 30, 40, 30, 60, 70\}$$

key element, '60' → {element to be searched}

$$m = (\text{low} + \text{high}) / 2 \quad A(3) = 40 \quad 40 < 60$$

$$m = (0 + 6) / 2$$

$$m = 3$$

$$\text{right list} = (40, 60, 70)$$

mid-element (60 - find)

- \* The comparison is also called a three way comparison because algorithm makes the comparison to determine whether KEY is smaller, equal to or greater than  $A[m]$ .

## finding maximum & minimum

The list of elements is divided at the mid index to obtain two sublists. From both the sublist maximum & minimum elements are chosen.

Algorithm max-min ( $i, j, \max, \min$ )  
( $i, j, \max, \min$ )

"problem description finding min-max  
recursively  
Input:  $i, j$  variables now as index  
to the array  
if ( $i == j$ ) then

```
    max ← A[i]
    min ← A[i]
}
else if ( $i = j - 1$ ) then
{
    if A[i] < A[j] then
}
    max ← A[j]
    min ← A[i]
}
else
{
    max ← A[i]
    min ← A[j]
}
}
```

```
    mid ← (i+j)/2
    max-min-val (i, mid, max, min)
    max-min-val (mid+1, j, max-new, min-new)
    if (max < max-new) then
        max ← max-new // combine
    if (min > min-new) then
```

$\min \leftarrow \min - \text{new} // \text{combine}$

1	2	3	4	5	6	7	8	9
50	40	-5	-9	45	90	65	25	75

50	40	-5	-9	45	90	65	25	75

$\min = -9$        $\max = 90$ .

### Analysis

Two recursive calls made in this algorithm, for each half divided sublists.

$$T(n) = T(n/2) + T(n/2) + 2$$

$$T(n) = 1 \quad \text{when } n > 2$$

$$T(n) = 0 \quad \text{when } n = 2$$

$$\begin{aligned} T(n) &= 2T(n/2) + 2 \\ &= 2(2T(n/4) + 2) + 2 \\ &= 2(2(2T(n/8) + 2) + 2) + 2 \\ &= 8T(n/8) + 10 \end{aligned}$$

$$\begin{aligned} \text{if we put } n = 2^k \\ T(n) &= 2^{k-1}T(2) + \sum_{i=1}^{k-1} 2^i \\ &= 2^{k-1} + 2^{k-2} \end{aligned}$$

$$T(n) = 3n/2 - 2.$$

Neglecting the order of magnitude  
Time complexity is  $O(n)$

## Merge Sort

merge sort is a sorting algorithm that uses the divide and conquer strategy. In this method division is dynamically carried out.

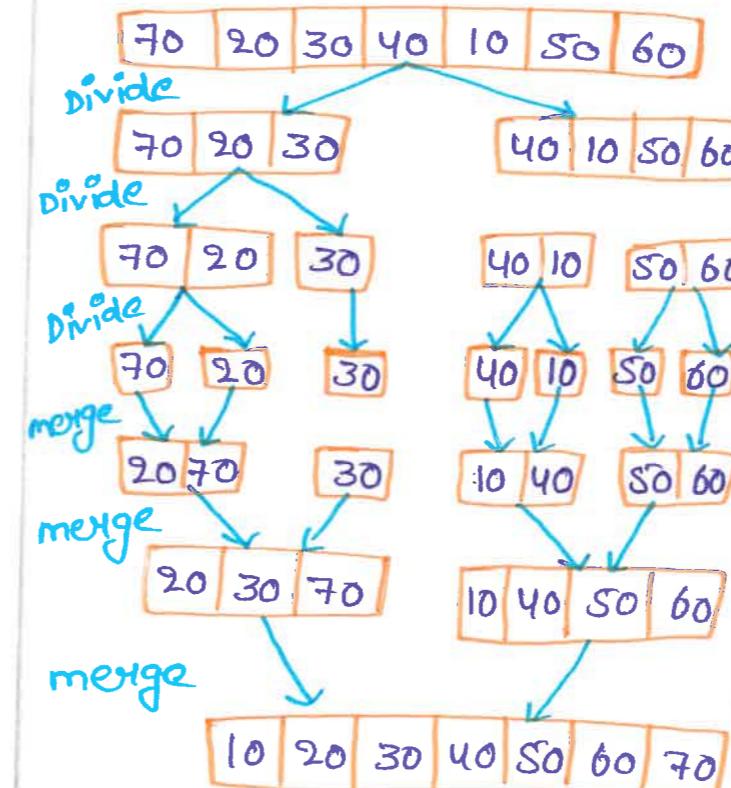
### 3 steps

**Divide:** Position array into 2 sublist  $S_1, S_2$  with  $n/2$  elements

**Conquer:** sort sublist  $S_1 \& S_2$

**Combine:** merge  $S_1 \& S_2$  into a unique sorting group

### Example.



### Analysis

$$T(n) = T(n/2) + T(n/2) + cn$$

Average and worst case  $O(n \log_2 n)$

Algorithm merge sort ( $A[0...n-1], low$   
 $high$ )

{ if ( $low < high$ ) then

{ mid ←  $(low + high)/2$

merge sort ( $A, low, mid$ )

merge sort ( $A, mid+1, high$ )

combine ( $A, low, mid, high$ )

}

Algorithm combine ( $A[0...n-1], low$   
 $mid$   
 $high$ )

{  $k \leftarrow low$   $i \leftarrow low$   $j \leftarrow mid+1$

while ( $i <= mid$  and  $j <= high$ ) do

{

{ if ( $A[i] \leq A[j]$ ) then

{

temp[k] ←  $A[i]$

$i \leftarrow i+1$

$k \leftarrow k+1$

}

else

{ temp[k] ←  $A[j]$

$j \leftarrow j+1$

$k \leftarrow k+1$

}

while ( $i = mid$ ) do

{ temp[k] ←  $A[i]$

$i \leftarrow i+1$

$k \leftarrow k+1$

{ while ( $j <= high$ ) do

{ temp[k] ←  $A[j]$

$j \leftarrow j+1$

$k \leftarrow k+1$

### Best case

$O(n \log_2 n)$

# BINARY SEARCH - INDUCTION

## Binary Search:

Time Complexity Analysis

Basic → Key element is operation compared with all array elements

Efficiency → To count the no. of times the search key is compared with the array elements

Comparision array is divided each time  $\frac{n}{2}$  sublists

$$C_{\text{worst}} = C_{\text{worst}}([n/2]) + 1$$

(time required to compare left sublist or right sublist)

Also,

$$C_{\text{worst}}(1) = 1$$

Then the Recurrence eq'n

$$C_{\text{worst}}(n) = C_{\text{worst}}([n/2]) + 1 \quad \text{for } n > 1$$

$$C_{\text{worst}}(1) = 1$$

Assume  $n = 2^k$

$$C_{\text{worst}}(2^k) = C_{\text{worst}}(2^{k-1}) + 1$$

$$C_{\text{worst}}(2^{k-1}) + 1 \quad \text{--- (1)}$$

Then substitute

$$C_{\text{worst}}(2^{k-1}) = C_{\text{worst}}(2^{k-2}) + 1 \quad \text{--- (2)}$$

Sub (2) in (1);

$$\begin{aligned} C_{\text{worst}}(2^k) &= [C_{\text{worst}}(2^{k-2}) + 1] + 1 \\ &= C_{\text{worst}}(2^{k-2}) + 2 \end{aligned}$$

then,

$$\begin{aligned} C_{\text{worst}}(2^k) &= [C_{\text{worst}}(2^{k-2}) + 2] + k \\ &\Rightarrow C_{\text{worst}}(2^k) = C_{\text{worst}}(2^0) + k \end{aligned}$$

$$C_{\text{worst}}(2^k) = C_{\text{worst}}(1) + k$$

Use recurrence Equation:

$$C_{\text{worst}}(1) = 1$$

$$C_{\text{worst}}(2^k) = 1 + k$$

$$C_{\text{worst}}(n) = 1 + \log_2 n$$

$$C_{\text{worst}}(n) \approx \log_2 n \quad [n > 1]$$

Time Complexity is  $\Theta(\log_2 n)$

Best case  $\Theta(1)$

Avg case  $\Theta(\log_2 n)$

Worst case  $\Theta(\log_2 n)$

Time Complexity Difference:

## Linear Search

Best Complexity is  $\Theta(1)$

where the element is found at first index

more no. of comparison is taken

## Binary Search

Best Complexity is  $\Theta(1)$

where the element is found at middle

less no. of comparison is taken

## Merge Sort

Time Complexity Analysis In

Merge Sort, two recursive calls are made.

$$T(n) = T(n/2) + T(n/2) + C_n$$



where  $n > 1 \quad T(1) = 0$

$$T(n) = T(n/2) + T(n/2) + C_n$$

$$T(n) = 2T(n/2) + C_n$$

$$T(1) = 0$$

Assume  $n = 2^k$

$$T(n) = 2T(n/2) + C_n$$

$$T(n) = 2T(\frac{2^k}{2}) + C \cdot 2^k$$

$$T(2^k) = 2T(2^{k-1}) + C \cdot 2^k$$

If we put  $k=k-1$  then,

$$T(2^k) = 2T(2^{k-1}) + C \cdot 2^k$$

$$= 2[2T(2^{k-2}) + C \cdot 2^{k-1}] + C \cdot 2^k$$

$$= 2^2[T(2^{k-2}) + 2 \cdot C \cdot 2^{k-1} + C \cdot 2^k]$$

$$= 2^2T(2^{k-2}) + 2 \cdot C \cdot \frac{2^k}{2} + C \cdot 2^k$$

$$= 2^2T(2^{k-2}) + C \cdot 2^k + C \cdot 2^k$$

$$T(2^k) = 2^2T(2^{k-2}) \cdot 2C \cdot 2^k$$

Similarly; we can write;

$$T(2^k) = 2^3T(2^{k-3}) + 3C \cdot 2^k$$

$$= 2^4T(2^{k-4}) + 4C \cdot 2^k$$

...  
...

$$= 2^kT(2^{k-k}) + k \cdot C \cdot 2^k$$

$$= 2^kT(2^0) + k \cdot C \cdot 2^k$$

$$T(2^k) = 2^kT(1) + k \cdot C \cdot 2^k$$

As per eq.  $T(1) = 0$ ;

then,

$$T(2^k) = 2^k \cdot 0 + k \cdot C \cdot 2^k$$

$$T(2^k) = k \cdot C \cdot 2^k$$

But we assumed  $n = 2^k$ ,

By taking log on both sides,  
i.e.,

$$\log_2 n = k$$

$$\therefore T(n) = \log_2 n \cdot Cn$$

$$\therefore T(n) = \Theta(n \cdot \log_2 n)$$

Hence the average and worst case time complexity of merge sort is  $\Theta(n \log_2 n)$ .

Time Complexity of Merge Sort



## Strassen's matrix multiplication

Divide & conquer approach can reduce the no. of one digit multiplications in multiplying two inputs. The principal insight of the algorithm lies in the discovery that we can find the product  $C$  of two  $2 \times 2$  matrices  $A$  &  $B$

$$\begin{bmatrix} c_{00} & c_{01} \\ c_{10} & c_{11} \end{bmatrix} = \begin{bmatrix} a_{00} & a_{01} \\ a_{10} & a_{11} \end{bmatrix} * \begin{bmatrix} b_{00} & b_{01} \\ b_{10} & b_{11} \end{bmatrix}$$

$$= \begin{bmatrix} m_1 + m_4 - m_5 + m_7 & m_3 + m_5 \\ m_2 + m_4 & m_1 + m_3 - m_2 + m_6 \end{bmatrix}$$

$$m_1 = (a_{00} + a_{11}) * (b_{00} + b_{11})$$

$$m_2 = (a_{10} + a_{11}) * b_{00}$$

$$m_3 = a_{00} * (b_{01} - b_{11})$$

$$m_4 = a_{11} * (b_{10} - b_{00})$$

$$m_5 = (a_{00} + a_{01}) * b_{11}$$

$$m_6 = (a_{10} - a_{00}) * (b_{00} + b_{01})$$

$$m_7 = (a_{01} - a_{11}) * (b_{10} + b_{11})$$

Strassen's Algorithm in  $O(n \log_2 7)$

## Greedy Techniques

The greedy method is a straightforward method. This method, this method is popular for obtaining the optimization solutions.

→ The solution is constructed through a sequence of steps, each expanding a partially constructed solution obtained, until a complete solution to the problem is achieved.

General method

Algorithm Greedy (D, n)

solution  $\leftarrow$  0

for  $i \leftarrow 1$  to  $n$  do

{

$s \leftarrow$  select (D)

if (feasible (solution, s)) then

    solution  $\leftarrow$  union (solution, s);

} return solution.

## Container Loading

→ The ship is loaded with containers. At each stage each container is loaded.

→ The total weight of all the containers must be less than & equal to the capacity

## for example

$n=8$  be total no. of containers having weights  $(w_1, w_2, w_3, \dots, w_8) = [50, 100, 30, 80, 90, 200, 150, 20]$ .  $e = 400$

Step 1: select the container with min weight 20

remaining wt  $400 - 20 = 380$

solution set = [0, 0, 0, 0, 0, 1]

Here 1 in the array x<sub>i</sub> container loader

Step 2: next min wt 30

remaining wt  $380 - 30 = 350$

set = [0, 0, 1, 0, 0, 0, 0, 1]

Step 3: next min wt 50

remaining wt  $350 - 50 = 300$

set = [1, 0, 1, 0, 0, 0, 0, 1]

Step 4: next 80 remaining  $300 - 80 = 220$

set = [1, 0, 1, 1, 0, 0, 0, 1]

Step 5: next 90 remaining  $220 - 90 = 130$

set = [1, 0, 1, 1, 1, 0, 0, 1]

Step 6: next 100 remaining  $130 - 100 = 30$

set = [1, 1, 1, 1, 1, 0, 0, 1]

Step 7: next wt 150 execute the value

[x<sub>1</sub>, x<sub>2</sub>, x<sub>3</sub>, x<sub>4</sub>, x<sub>5</sub>, x<sub>6</sub>, x<sub>7</sub>, x<sub>8</sub>]

= [1, 1, 1, 1, 1, 1, 0, 0, 1]

Algorithm container\_load (int container int w, int num, int sol[])

Heap-Sort (container, num);

for ( $i \leftarrow 1$  to num) do

{

    solution[i]  $\leftarrow$  0;

} select the container with min wt

for ( $i \leftarrow 1$  to num AND container

[i].wt  $\leq$  w) do

{

    solution[container[i].id] = 1

    w = w - container[i].wt;

}

}

## Analysis

Algorithm takes  $O(n \log n)$  time complexity because heap sort takes  $O(n \log n)$  time and remaining time of algorithm takes  $O(n)$  time where  $n$  is the no. of containers.

## Knapsack Problem

Knapsack Problem can be stated that

n objects from  $i=1, \dots, n$   
each object  $i$  has some positive weight  $w_i$  as some profit value is associated with each object which is denoted as  $p_i$  at most weight  $w$ .

- choose only those objects should be  $\leq w$
- Total weight of selected objects should be  $\leq w$

maximized  $\sum_i p_i x_i$  subject to  $\sum_i w_i x_i \leq w$

where the knapsack can carry the fraction  $x_i$  of an object  $i$  such that  $0 \leq x_i \leq 1$  as  $1 \leq i \leq n$   
consider 3 items, weight & profit

value of each item is given

i	$w_i$	$p_i$
1	18	30
2	15	21
3	10	18

$$w = 20$$

sai:  
feasible solution

	$x_1$	$x_2$	$x_3$
$y_2$	$y_3$	$y_4$	
1	$2/15$	0	
0	$2/3$	1	$y_2$
0	1		

let us compute  $\sum w_i x_i$

$$\begin{aligned} 1. & y_2 * 18 + y_3 * 15 + y_4 * 10 \\ & = 16.5 \end{aligned}$$

$$\begin{aligned} 2. & 1 * 18 + 2/15 * 15 + 0 * 8 \\ & = 20. \end{aligned}$$

$$\begin{aligned} 3. & 0 * 18 + 2/3 * 15 + 10 \\ & = 20 \end{aligned}$$

$$\begin{aligned} 4. & 0 * 18 + 1 * 15 + 1/2 * 10 \\ & = 20 \end{aligned}$$

let us compute  $\sum p_i x_i$

$$\begin{aligned} 1. & y_2 * 30 + y_3 * 21 + y_4 * 18 \\ & = 26.5 \end{aligned}$$

$$\begin{aligned} 2. & 1 * 30 + 2/15 * 21 + 0 * 18 \\ & = 32.8 \end{aligned}$$

$$\begin{aligned} 3. & 0 * 30 + 2/3 * 21 + 18 \\ & = 32 \end{aligned}$$

$$\begin{aligned} 4. & 0 * 30 + 1 * 21 + 1/2 * 18 \\ & = 30 \end{aligned}$$

solution 2 gives the maximum profit and hence it turns out to be optimal solution

Algorithm Knapsack-greedy( $w, v$ )

//  $P[i]$  → profits  $w[i]$  → wt

//  $X[i]$  → solution vector

for  $i := 1$  to  $n$  do

if  $[w[i]] < w]$  then

$x[i] = 1.0$

## Minimum Spanning Tree

$$w = w - w[i]$$

if  $(i < n)$  then

$$x[i] := w / w[i];$$

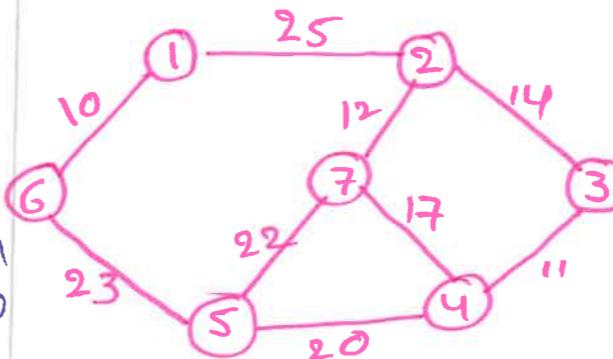
time complexity  $O(n)$

### minimum cost spanning tree

Spanning tree of a graph  $G$  is a subgraph which is basically a tree as it contains all the vertices of  $G$  containing no circuit.

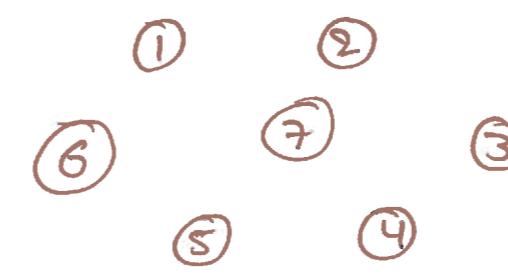
minimum spanning tree of a weight-connected graph  $G$  is spanning tree with minimum & smallest wt.

Consider the graph



using kruskals algorithm

Step 1



Step 2

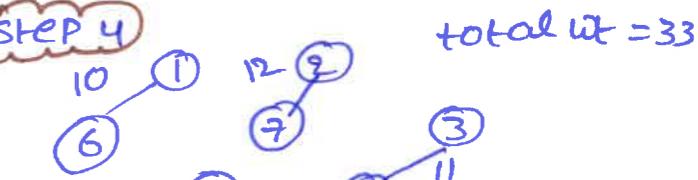


total wt = 10

Step 3:

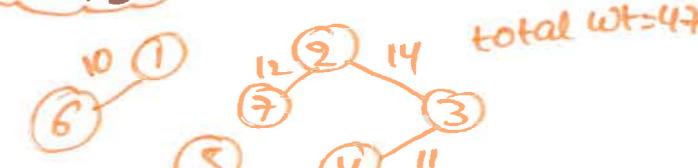


Step 4:



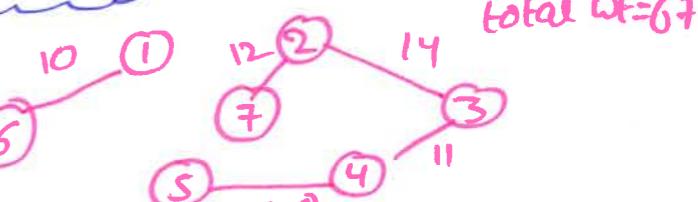
total wt = 27

Step 5:



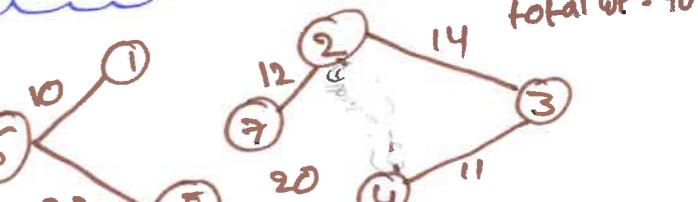
total wt = 39

Step 6:



total wt = 53

Step 7:



total wt = 67

Efficiency of kruskals algorithm is  $O(E \log |V|)$

$E \rightarrow$  total no. of edges in the graph

# DYNAMIC PROGRAMMING - OPTIMAL BINARY SEARCH TREE.

10

## DYNAMIC PROGRAMMING

- \* For Solving Overlapping of Sub Problems.

## GENERAL METHOD

Applied to optimization Problem.

Ex: Find Min & Max Value in a list

## DIVIDE & CONQUER

## DYNAMIC PROGRAMMING

1) Divide - Solve Problem - Combine to get feasible soln.

2) Duplicate sol. may be obtained

## Top-Down Approach

## Steps of dynamic Programming

\* Characterize the structure of optimal solution.

\* Recursively define → Value of an optimal sol.

\* Develop a recurrence relation solution to the subproblem.

## Principles of Optimality

- \* Optimal sequences of decision or choice
- \* Subsequence must be obtained

## Application

- \* Multistage graph
- \* Finding shortest path
- \* Optimized binary search tree
- \* 0/1 Knapsack problem
- \* Travelling Salesman problem.

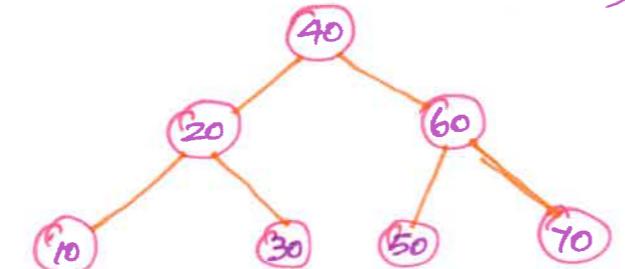
## Optimal Binary Search tree.

(OBST)

- \* Using Dynamic Programming

Example:

Key - 10, 20, 30, 40, 50, 60, 70  
(Sorted Order)



\* It is Balanced Search Tree

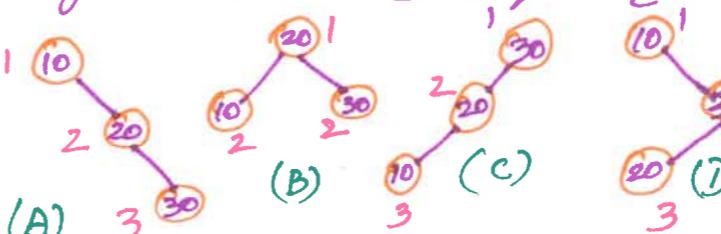
\* Takes  $O(\log n)$  time to search the tree.

\* As the no. of input elements increases, the no. of operations same. i.e. Same time  $O(\log n)$

\* Inputs → Search Keys → Sorted  
→ Frequencies → Each key

## EXAMPLE:

Keys[ ] = {10, 20, 30} freq[ ] = {3, 2, 1}



## Cost Calculation

- (A)  $1 \times 3 + 2 \times 3 + 3 \times 5 = 22$
- (B)  $1 \times 2 + 2 \times 3 + 2 \times 5 = 18$
- (C)  $1 \times 5 + 2 \times 2 + 3 \times 3 = 18$
- (D)  $1 \times 3 + 5 \times 2 + 2 \times 3 = 19$
- (E)  $1 \times 5 + 2 \times 3 + 3 \times 2 = 17$

BEST

Search tree

$1 \times 5 + 2 \times 3 + 3 \times 2 = 17$

Though it is not balanced with respect to freq. the search cost is less.

## No. of Possible ways to Construct BST

$$C_n = \frac{(2n)!}{(n+1)! n!}$$

Where  $n$  is the total no. of keys.

## Example to Construct OBST

Index →

0	1	2	3
---	---	---	---

Keys →

10	12	16	21
4	2	6	3

Freq. →

4	2	6	3
---	---	---	---

## Formula for Computing each seg.

$$C_{i,j} = W_{i,j} + \min_{i \leq k \leq j} \{ C_{(i,k-1)} + C_{k,j} \}$$

$$\begin{aligned} \text{Cost}[0,0] &= 4 & \text{Cost}[2,2] &= 6 \\ \text{Cost}[1,1] &= 2 & \text{Cost}[3,3] &= 3 \end{aligned}$$

## OBST Calculation:

i	j	0	1	2	3
0	0	4	8	20	26
1	1		10	16	16
2	2			6	12
3	3				3

$$\begin{aligned} 0 & 1 & 2 & 3 \\ 10 & 12 & 16 & 21 \\ 4 & 2 & 6 & 3 \end{aligned} \quad \begin{aligned} 6 + \min \{ 2 - 0 \\ 4 - 1 \} \\ = 6 + 2 = 8 \end{aligned}$$

$$\begin{aligned} 0 & 1 & 2 & 3 \\ 10 & 12 & 16 & 21 \\ 4 & 2 & 6 & 3 \end{aligned} \quad \begin{aligned} 8 + \min \{ 2 - 1 \\ 6 - 2 \} \\ = 8 + 2 = 10 \end{aligned}$$

$$\begin{aligned} 0 & 1 & 2 & 3 \\ 10 & 12 & 16 & 21 \\ 4 & 2 & 6 & 3 \end{aligned} \quad \begin{aligned} 9 + \min \{ 3 - 3 \\ 6 - 2 \} \\ = 9 + 3 = 12 \end{aligned}$$

$$\begin{aligned} 0 & 1 & 2 & 3 \\ 10 & 12 & 16 & 21 \\ 4 & 2 & 6 & 3 \end{aligned} \quad \begin{aligned} 12 + \min \{ 10 - c[1,2] \\ 4 + 6 - 0, 2 \} \\ = 12 + 8 = 20 \end{aligned}$$

$$\begin{aligned} 0 & 1 & 2 & 3 \\ 10 & 12 & 16 & 21 \\ 4 & 2 & 6 & 3 \end{aligned} \quad \begin{aligned} 11 + \min \{ 12 - c[2,3] \\ 2 + 3 - 1, 3 \} \\ = 11 + 5 = 16 \end{aligned}$$

$$\begin{aligned} 0 & 1 & 2 & 3 \\ 10 & 12 & 16 & 21 \\ 4 & 2 & 6 & 3 \end{aligned} \quad \begin{aligned} 15 + \min \{ 4 + 2 - 1 \\ 8 + 3 - 2 \} \\ = 15 + 11 - 26 = 20 - c[0,2] \end{aligned}$$

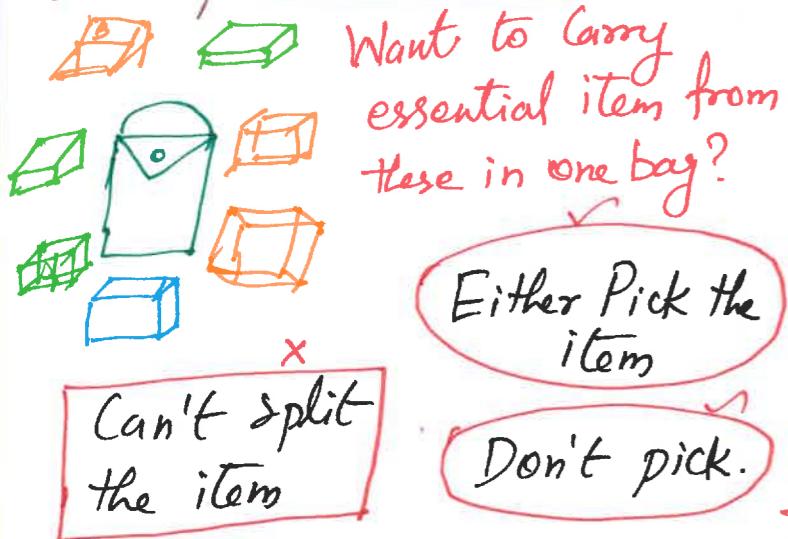
$$\begin{aligned} 0 & 1 & 2 & 3 \\ 10 & 12 & 16 & 21 \\ 4 & 2 & 6 & 3 \end{aligned} \quad \begin{aligned} \text{Optimal Binary Search tree} \\ 6x1 + 4x2 + 3x2 + 2x3 = 26 \end{aligned}$$

# KNAPSACK, BINOMIAL CO-EFFICIENT AND MEMORY FUNCTION

## Dynamic Programming

- \* Mathematical Optimization Method
- \* Computer programming
- \* By Richard Bellman in 1950
- \* Application
  - Computer networks
  - Routing
  - Graph problem
  - Computer vision
  - AI & ML applications
- \* Store result of subproblems
- \* Avoid re-compute
- \* Less Time complexities
- \* Reduce complexity from exponential to polynomial
- Optimal soln. = {Sub problem soln. and current problem.}
- Best soln. from all possible cases to provide guaranteed optimal soln.

## 0/1 Knapsack Problem.



w\i	0	1	2	3	4	5	6	7
0	1	0	0	0	0	0	0	0
1	0	1	1	1	1	1	1	1
2	0	1	4	5	5	5	5	5
3	0	1	4	5	6	6	9	9
4	0	1	4	5	7	8	9	9

Max Value = 9  
 $\therefore \text{value}[2] + \text{value}[3]$   
 $= 4 + 5 = 9.$

∴ These two values are pick into the sack.

$$V[i, w] = \max \left\{ V[i-1, w], V[i-1, w - \text{value}[i]] + \text{value}[i] \right\}$$

i → row w → column.

$$V[4, 1] = \max \left\{ V(3, 1), V(3, 1-5) \right\} + \text{value}[4] = 1$$

$$V[4, 2] = \max \left\{ V(3, 2), V(3, 2-5) \right\} + \text{value}[4] = 1$$

$$V[4, 3] = \max \left\{ V(3, 3), V(3, 3-5) \right\} + \text{value}[4] = 4$$

$$V[4, 4] = \max \left\{ V(3, 4), V(3, 4-5) \right\} + \text{value}[4] = 5$$

$$V[4, 5] = \max \left\{ V(3, 5), V(3, 5-5) \right\} + \text{value}[4] = \max(6, 0) = 6$$

$$V[4, 6] = \max \left\{ V(3, 6), V(3, 6-5) \right\} + \text{value}[4] = \max(6, 1) = 7$$

$$V[4, 7] = \max \left\{ V(3, 7), V(3, 7-5) \right\} + \text{value}[4] = \max(9, 1) = 9$$

Time Complexity -  $O(nw)$

→ No. of ways in disregarding order

→ K objects chosen from 'n' objects

→ More formally the no. of K-element subset of n-element set.

$$nc_K = \begin{cases} 1 & \text{if } K=0 \text{ (or) } n=k \\ n-1c_{K-1} + n-1c_K & \text{for } n>k>0 \end{cases}$$

(or) Recurrence relation can also be written as :

$$nc_K = \begin{cases} 1 & \text{if } K=0 \text{ or } n=k \\ C(n-1, K-1) + C(n-1, K) & \text{for } n>k>0 \end{cases}$$

## Memory Function

\* Sub problem → Solving more than once

\* Makes insufficient of solving a problem

\* deal with overlapping of subproblem

\* Comparing the soln. → Subproblem stack is in a table.

\* make use of recursive calls.

## Binomial Co-efficient

### Binomial formula

$$(a+b)^n = nc_0 a^n b^0 + nc_1 a^{n-1} b^1 + nc_2 a^{n-2} b^2 + \dots + nc_n a^0 b^n$$

→  $nc_0, nc_1, \dots, nc_n$  are binomial co-efficient

Binomial Co-efficient →  $C(n, k)$

$$C(n, k) = \frac{n!}{k!(n-k)!}$$

### For Example

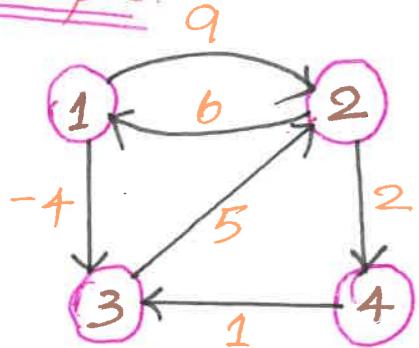
	0	1	2	3	4	5	6	7
0	1							
1		1						
2		1	2	1				
3			1	3	3	1		
4			1	4	6	4	1	
5				1	5	10	10	5
6				1	6	15	20	15
7					1	7	21	35

## FLOYDS - WARSHALL ALGORITHM.

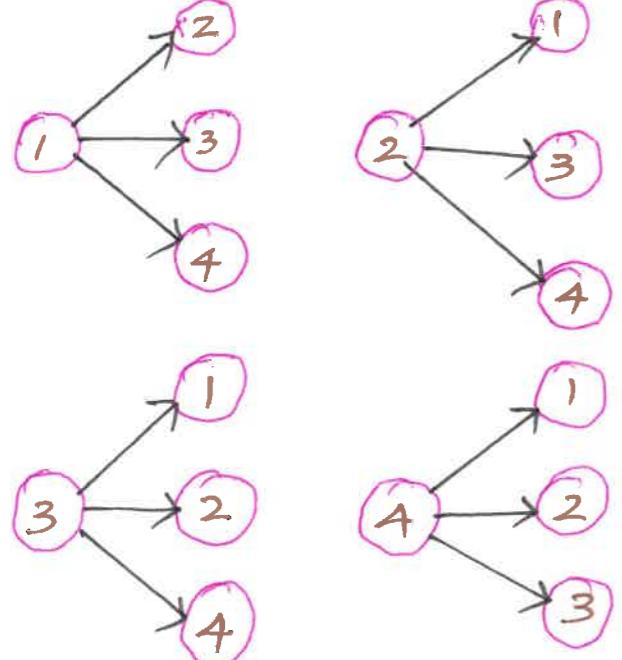
### FLOYDS - WARSHALL ALGORITHMS

→ All pairs shortest Path  
Suitable for Dense Graph and  
Graph with negative weights.

Example:



All possible pairs of nodes.



ALGORITHM:

STEP 1: Construct  $D^0$   
If  $i == j$ ,  $w_{ij} = -$   
else if

$$i \rightarrow j = \{c\}$$

else "∞"

STEP 2: Construct  $D^K$

$$w_{ij}^K = \min\{w_{ij}^{K-1}, w_{ik}^{K-1} + w_{kj}^{K-1}\}$$

$D^0$

	1	2	3	4
1	0	9	-4	∞
2	6	0	∞	2
3	∞	5	0	∞
4	∞	∞	1	0

$D^1$

	1	2	3	4
1	0	9	-4	∞
2	6	0	2	2
3	∞	5	0	∞
4	∞	∞	1	∞

$$D[2,3] = \min\{D^0[2,3], D^0[2,1] + D^0[1,3]\}$$

$$D'[2,3] = \min\{∞, 6 + (-4)\} = 2$$

$$D'[2,4] = \min\{D^0[2,4], D^0[2,1] + D^0[1,4]\}$$

$$D'[2,4] = \min\{2, 6 + ∞\} = 2$$

$$D[3,2] = \min\{D^0[3,2], D^0[3,1] + D^0[1,2]\}$$

$$D[3,2] = \min\{5, ∞ + 9\} = 5$$

$$D[3,4] = \min\{D^0[3,4], D^0[3,1] + D^0[1,4]\}$$

$$D[3,4] = \min\{\infty, ∞ + ∞\} = \infty$$

$$D[4,2] = \min\{D^0[4,2], D^0[4,1] + D^0[1,2]\}$$

$$D[4,2] = \min\{\infty, ∞ + 9\} = 9$$

$$D[4,3] = \min\{D^0[4,3], D^0[4,1] + D^0[1,3]\}$$

$$D[4,3] = \min\{1, ∞ + 4\} = 1$$

$$D^2$$

	1	2	3	4
1	0	9	-4	11
2	6	0	2	2
3	11	5	0	7
4	∞	∞	1	0

$$D^2[1,3] = \min\{-4, 9 + 2\} = -4$$

$$D^2[1,4] = \min\{\infty, 9 + 2\} = 11$$

$$D^2[3,1] = \min\{\infty, 6 + 5\} = 11$$

$$D^2[3,4] = \min\{\infty, 5 + 2\} = 7$$

$$D^2[4,1] = \min\{\infty, ∞ + 6\} = ∞$$

$$D^2[4,3] = \min\{1, ∞ + 2\} = 1$$

$D^3$

	1	2	3	4
1	0	1	-4	3
2	6	0	2	2
3	11	5	0	7
4	12	6	1	0

$$D^3[1,2] = \min\{9, 5 - 4\} = 1$$

$$D^3[1,4] = \min\{11, 7 - 4\} = 3$$

$$D^3[2,1] = \min\{6, 11 + 2\} = 6$$

$$D^3[2,4] = \min\{2, 7 + 2\} = 2$$

$$D^3[4,1] = \min\{\infty, 11 + 1\} = 12$$

$$D^3[4,2] = \min\{\infty, 5 + 1\} = 6$$

$D^4$

	1	2	3	4
1	0	1	-4	3
2	6	0	2	2
3	11	5	0	7
4	12	6	1	0

$$D^4[1,2] = \min\{1, 3 + 6\} = 1$$

$$D^4[1,3] = \min\{-4, 3 + 1\} = -4$$

$$D^4[2,1] = \min\{6, 12 + 2\} = 6$$

$$D^4[2,3] = \min\{2, 11 + 2\} = 2$$

$$D^4[3,1] = \min\{11, 12 + 7\} = 11$$

$$D^4[3,2] = \min\{5, 6 + 7\} = 5$$

# TRAVELLING SALESMAN PROBLEM

Travelling Salesman Problem.

Problem:

Person wants to visit all the 'town' exactly once.

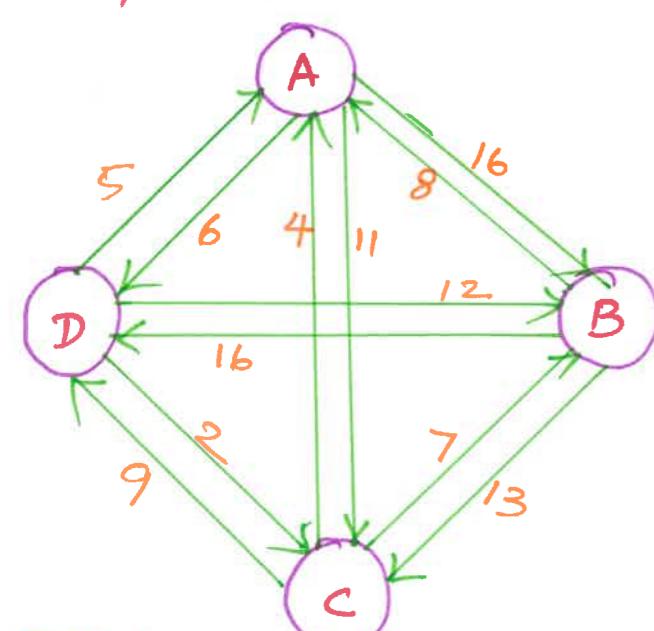
$G(V, E)$ : V - Set of Vertices  
E - Set of Edges.

Edges with cost  $c_{ij} > 0$

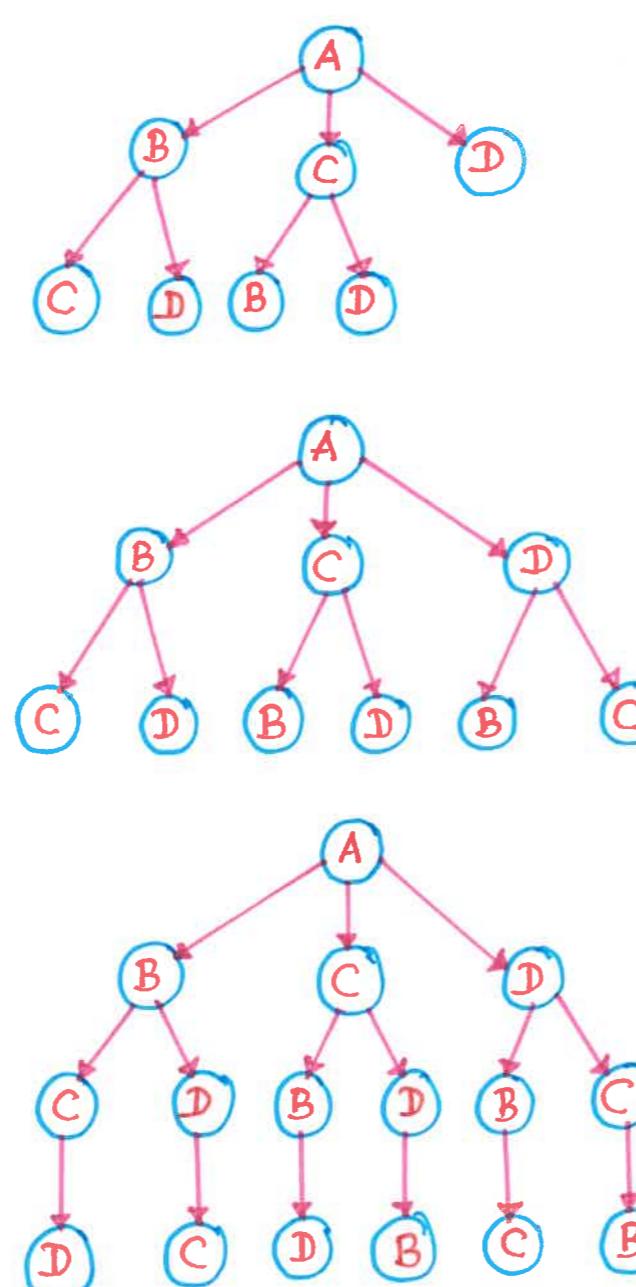
$c_{ij} = \infty$  (No of edges between  $i \neq j$ )

Soln. To find minimum Cost

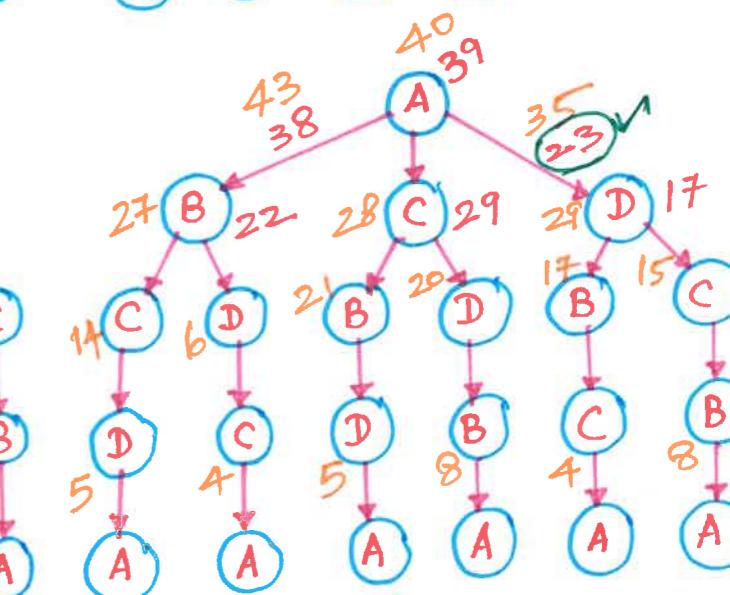
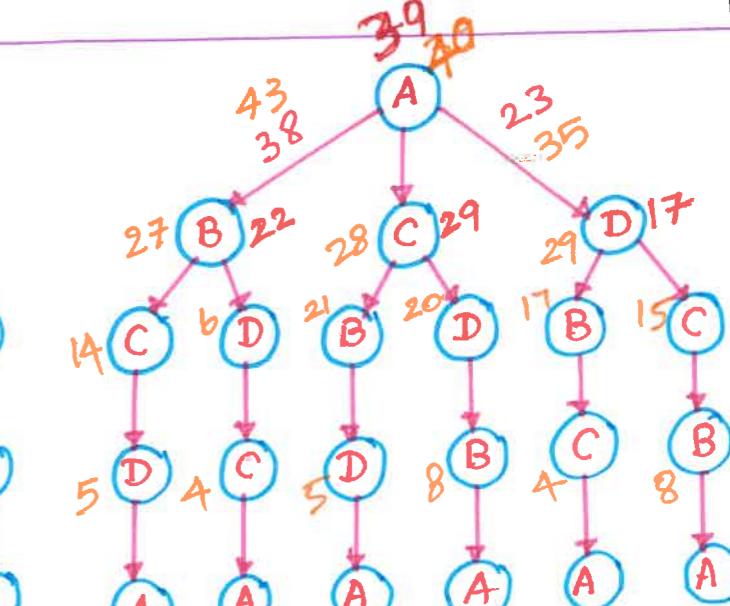
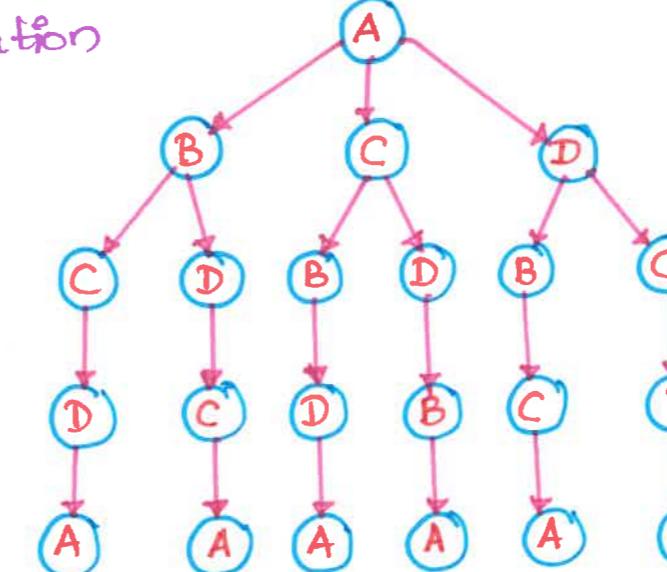
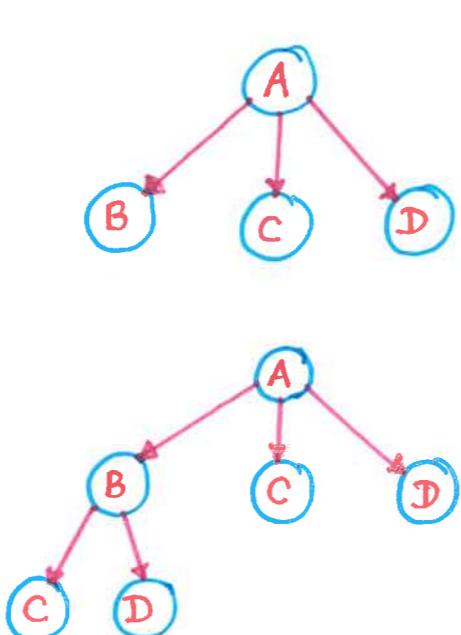
Example:



	A	B	C	D
A	0	16	11	6
B	8	0	13	16
C	4	7	0	9
D	5	12	2	0

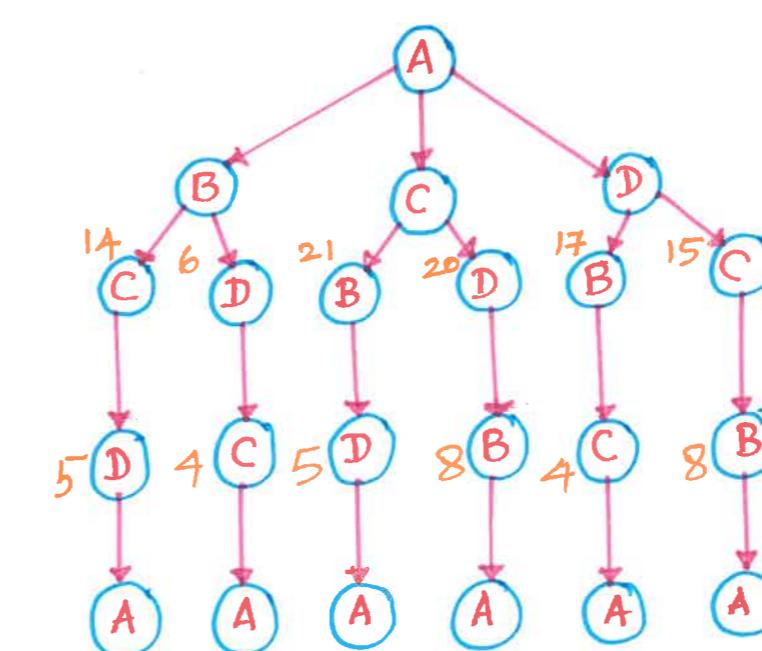


TSP Calculation



	A	B	C	D
A	0	16	11	6
B	8	0	13	16
C	4	7	0	9
D	5	12	2	0

$$g(i, s) = \min \{ w(i, j) + g(j, s-j) \}$$



# BACK TRACKING AND 'N' QUEEN

## Back tracking :-

Variation of exhaustive search applications such as 'n' queen problem, sub set subsets, graphs coloring.

## General method :-

Desired solution :- Exp - "n" tuples  $(x_1, x_2, \dots, x_n)$   $x_i$  (chosen from set).

Objective → maximize (or) minimize (or) satisfy the criteria.

## "N" queen Problem :-

\* Consider a chess board can have 'n' queen.

Condition → No queen attack each other in diagonal, horizontal (or) vertical.

## To solve 4x4 queen :-

\* To place a queen in 1st position.

\* Then place a queen (2) using unsuccessful places.

$(1,2), (2,1), (2,2) + (2,3)$ .

\* Back track all the way upto queen 1 and then move to  $(1,2)$ .

\* Now place a queen at  $(1,2)$ . "2" at  $(2,4)$ , "3" at  $(3,1)$  and "4" at  $(4,3)$ .

## Algorithm queen :-

Input → total no. of queen "n" for column < 1 to "n" begin.

if place → queen (row, col). if (row < n) then

Print - board (n)

			Q		
				Q	
		Q			
			Q		
				Q	

## Back tracking - advantages :-

Potential vector generalised does not lead to an optimal solution — uses depth — (shift search—)

with some bounding function.

constraint → Implicit → Explicit

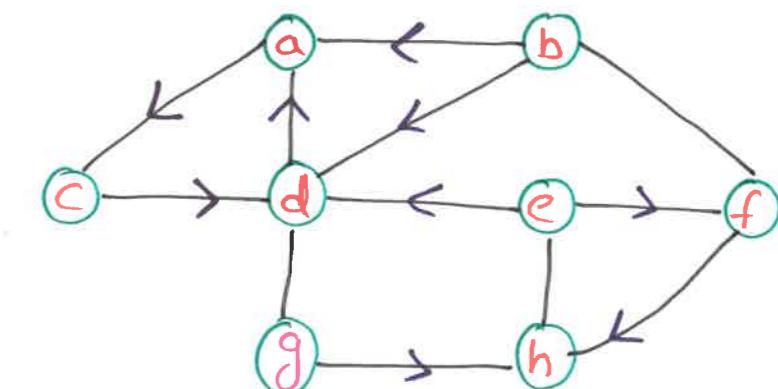
tuples in the solution space

Each vector element to be chosen from the set.

## Hamiltonian circuit program :-

Consider a undirected connected graph — with two nodes x + y.

Objective → to find a path from x to y visiting each node in a graph exactly.



a → c → d → g → h → e → f → b → a

Sick space is generated to find all the hamiltonian cycles. A → B → B → E → C → F → A

## Algorithm H-cycle :-

```
{  
    Repeat  
        begin  
            next_vertex [k]  
        if (x[k] = 0) then write (x[1:n])  
        else  
            cycle (x+1)  
    }  
}
```

## Applications :-

computer graphics, electronic circuit design, mapping genomes and operational research.

## Hamilton cycle :-

\* A cycle that uses every vertex exactly once.

## Hamilton path :-

\* Path that uses every vertex in a graph exactly once.

# ASSIGNMENT AND KNAPSACK PROBLEM

## Assignment problems

There 'n' people to when 'n' jobs to be assigned conditions.

The total cost of assignment  
→ small ass possible idea.

Each element in a row (each) to be selected  
→ no two selected elements are in same column.

### Problem.

	J <sub>1</sub>	J <sub>2</sub>	J <sub>3</sub>	J <sub>4</sub>
P <sub>1</sub>	10	2	7	8
P <sub>2</sub>	6	4	3	7
P <sub>3</sub>	5	8	1	8
P <sub>4</sub>	7	6	10	4

four persons allotted four '4' jobs. there '24' possible ways to predict all the values.



10	2	7	8	P <sub>1</sub> P <sub>1</sub> → 2 (J <sub>2</sub> )
6	4	3	7	P <sub>2</sub> P <sub>2</sub> → 6 (J <sub>1</sub> )
5	8	1	8	P <sub>3</sub> P <sub>3</sub> → 1 (J <sub>3</sub> )
7	6	10	4	P <sub>4</sub> P <sub>4</sub> → 4 (J <sub>4</sub> )

## Backtracking

Algorithm for Capt using some of all solutions to given computational issues, especially for constraint satisfaction

Breach of bond  
An algorithm to find the optimal solution to many optimization problems, especially in discrete and combinatorial optimisation.

## knapsack problem

To find the most valuable subset of the items → that are fit in the knapsack

(Aim)  
↳ Select object having the same point

Arrange the weighed-value pairs  $v_i/w_i \geq v_2/w_2 \geq \dots \geq v_n/w_n$

## The state space tree is

$$v_b = v_f (w - w_i) / (v_{i+1} / w_{i+1})$$

v → profit value       $w \rightarrow$  knapsack capacity earned

$v_b$  = Upper bound.       $w \rightarrow$  weighted object to be placed

## Problem statement

'n' Objects of 'm' capacity of knapsack To make maximization object to a solution is

$$\text{Minimize Profit} = \sum_{i=1}^n p_i x_i$$

$$\text{Sub to } \sum_{i=1}^n w_i x_i$$

Such that  $\sum w_i x_i \leq m$  and  $x_i \in \{0, 1\}$

where  $1 \leq i \leq n$

## problem

item	weight	value
1	4	\$40
2	7	\$42
3	5	\$25
4	3	\$12

item	weight	val	v/w
1	4	\$40	10
2	7	\$42	6
3	5	\$25	5
4	3	\$12	4

knap sack weight → to

Sample calculation

$$v=0, w=0, i=0$$

$$v_{i+1}/w_{i+1} \Rightarrow v_i/w_i$$

$$u_b \Rightarrow v + (w - w_i) / (v_{i+1}/w_{i+1})$$

$$\Rightarrow 0 + (10 - 0) / (40/4)$$

$$\Rightarrow 10 \times 10$$

$$= 100$$

Computation at node 1

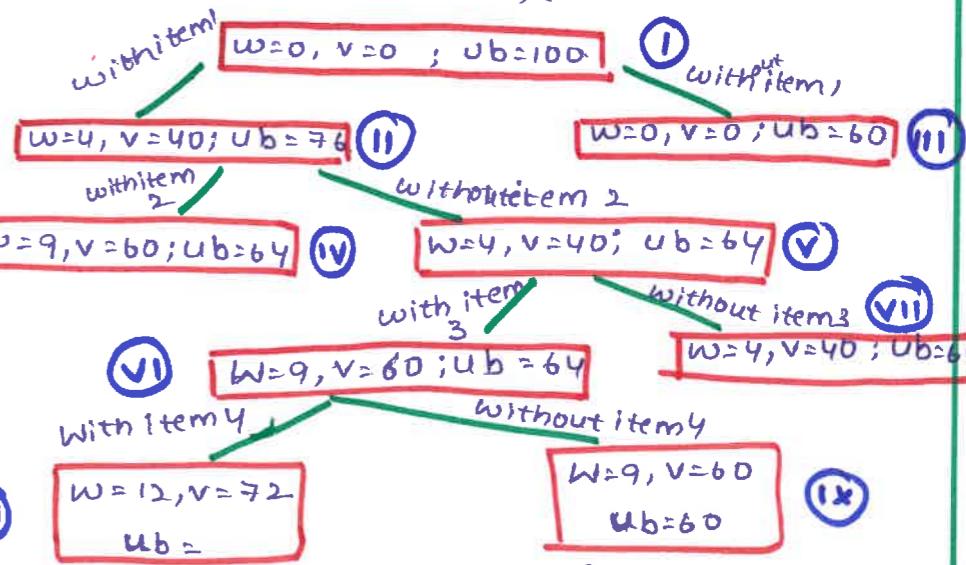
i.e., root of state space tree

Initially,  $w=0, v=0$  and  $v_{i+1}/w_{i+1} = v_i/w_i = 40/4 = 10$

The capacity  $w=10$

$$\therefore u_b = v + (w - w_i) v_{i+1}/w_{i+1}$$

$$= 0 + (10 - 10) (10) \Rightarrow u_b = 100$$



## SUM OF SUBSET PROBLEMS

### Sum of subset problems

Let  $S = \{s_0, s_1, s_2, \dots, s_n\}$  be a set of  $n$  +ve integers solution whose sum is equal to +ve integers ( $d$ )

#### Problem statement

\* First arrangement in ascending order  $\rightarrow 'S'$   $\rightarrow$  set of elements  
 $'d'$   $\rightarrow$  expected sum of subsets

#### Steps

1. start with empty set.
2. Add subset - next set from the list.
3. subset have 'sum' = ( $d$ )  
 set the solution
4. If the subset is not feasible  
 $\rightarrow$  reached the end of subset
5. If the subset is feasible  
 $\rightarrow$  repeat step 2
6. visited all the elements  
 $- f(x) \rightarrow$  find a suitable subset.

problem - the given set

$$(S) = \{6, 2, 8, 11, 5\}$$

sum show be 9

(I)	I	
(1, 2)	3 < 9	Add next element
(1, 2, 5)	8 < 9	Add next element
(1, 2, 5, 6)	14	sum exceed
(1, 3, 5, 8)	16	check constraint
(1, 2, 6)	9 = 9	solution is found

These are 'S' distinct no's combination of that numbers whose sum = 9  $\Rightarrow$  set =  $\{(1, 2, 6), (1, 8)\}$

### Graph coloring

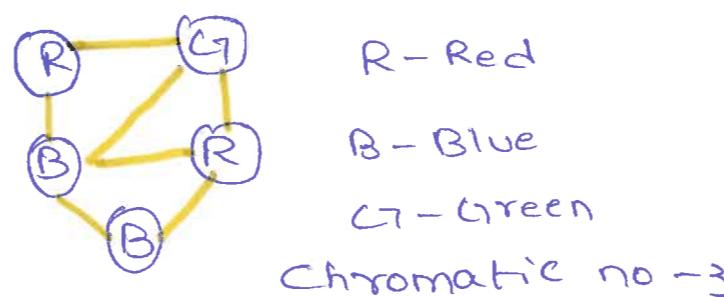
Graph coloring  $\rightarrow$  procedure of assignment of colors

#### Objective:-

No adjacent vertex have the same colour

#### chromatic number

minimum no. of colors  $\rightarrow$  to color of all the nodes in a graph ( $G$ )



### Application

- M colouring problem
- Bi-connected graph
- Graph databases

## BRANCH AND BOUND

### Branch and Bound

'state space' of all possible solution is generated

#### Condition

'Bounded value' of the same node is not better & then the best solution

$\rightarrow$  then corresponding node is not expanded

node  $\rightarrow$  defined as non-promising node.

Live node in first in first search

#### LIFO

the branch is extended that every first child discovered

#### FIFO

Always the oldest node in the queue is discovered  
 (First in first out search)

#### General method

\* For Exploring new nodes either BFS or D-search technique can be used.

\* BFS-like state space search will be called FIFO

\* D Search like state space search will be called LIFO

### Selection of Answer Node

The partitioning has done at each node of the tree. We compute lower bound and upper bound of the tree. This computation lead to selection of answer node

# TRAVELLING SALESMAN PROBLEM

**Travelling Salesman Problem (TSP):**  
Given a set of cities and distance between every pair of cities, the problem is to find the shortest possible route that visits every city exactly once and returns back to starting point.

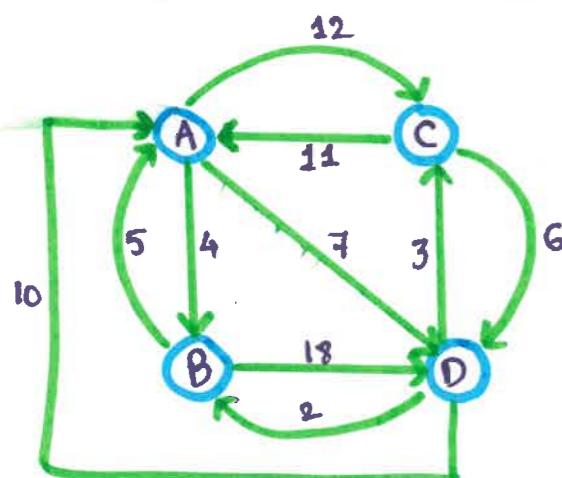
**Time Complexity:**  $O(N!)$ , As for the first node there are  $N$  possibilities and for the second node there are  $n-1$  possibilities.

$$[\text{for } N \text{ nodes}] = N^*(N-1)^* \dots 1 = O(N!)$$

Auxiliary Space:  $O(N)$

## Problem:

Solve Travelling Salesman Problem using Branch Algorithm in the following graph-



### Step-1:

Write the initial cost matrix & reduce.

	A	B	C	D
A	$\infty$	4	12	7
B	5	$\infty$	$\infty$	18
C	11	$\infty$	$\infty$	6
D	10	2	3	$\infty$

### Row Reduction:

- If row contain '0' - no need to reduce
- row doesn't contain '0' - reduce that row
  - Select the least value element
  - Subtract that element from each element.
  - This will create any entry '0' in that row, so reduce that row.

### Row reduced Matrix:

	A	B	C	D
A	$\infty$	0	8	3
B	0	$\infty$	$\infty$	13
C	5	$\infty$	$\infty$	0
D	8	0	1	$\infty$

### Column Reduction:

- If column contain '0' - no need to reduce
- column doesn't contain '0' - reduce that column.

- Select the least value element
- subtract the element from each element
- This will create the entry '0' in that column, so reduce that column.

### Column Reduced Matrix:

	A	B	C	D
A	$\infty$	0	7	3
B	0	$\infty$	$\infty$	13
C	5	$\infty$	$\infty$	0
D	8	0	0	$\infty$

Cost of node-1 by adding the reduced elements  
 $\text{Cost}(1) = \text{sum of all reduction elements}$   
 $= 4 + 5 + 6 + 2 + 1 = 18$

### Step-2:

- We consider all other vertices by one by one.
- Select the best vertex where we can land upon to minimize the tour cost.

#### \* Choosing to go to Vertex-C : Node 3 (A → C)

from reduced matrix,  $M[A, C] = 7$

Set row-A & column-C to  $\infty$

Set  $M[C, A] = \infty$

### Resulting Cost Matrix:

	A	B	C	D
A	$\infty$	$\infty$	$\infty$	$\infty$
B	0	$\infty$	$\infty$	13
C	$\infty$	$\infty$	$\infty$	0
D	8	0	$\infty$	$\infty$

### Step-3: explore vertices B & D from n-3.

	A	B	C	D
A	$\infty$	$\infty$	$\infty$	$\infty$
B	0	$\infty$	$\infty$	13
C	$\infty$	$\infty$	$\infty$	0
D	8	0	$\infty$	$\infty$

cost(3) = 25

#### \* Choosing to go to vertex-B : Nodes (A → C → B)

From reduced matrix,  $M[C, B] = \infty$

row C & column-B to  $\infty$

Set  $M[B, A] = \infty$

### resulting matrix:

	A	B	C	D
A	$\infty$	$\infty$	$\infty$	$\infty$
B	$\infty$	$\infty$	$\infty$	13
C	$\infty$	$\infty$	$\infty$	0
D	8	0	$\infty$	$\infty$

### Step-4:

- We explore vertex-B from node-6.

- Start with the cost matrix at node-6 :

	A	B	C	D
A	$\infty$	$\infty$	$\infty$	$\infty$
B	0	$\infty$	$\infty$	$\infty$
C	$\infty$	$\infty$	$\infty$	$\infty$
D	$\infty$	0	$\infty$	$\infty$

cost(6) = 25

Choosing to go to vertex-B :

Node-7 (Path A → C → D → B)

from reduced matrix of step-3,

$M[D, B] = 0$

\* Set row-D & column-B to  $\infty$

\* Set  $M[B, A] = \infty$

### Resulting Matrix:

	A	B	C	D
A	$\infty$	$\infty$	$\infty$	$\infty$
B	$\infty$	$\infty$	$\infty$	$\infty$
C	$\infty$	$\infty$	$\infty$	$\infty$
D	$\infty$	$\infty$	$\infty$	$\infty$

- We reduce this matrix

- then, we find out the cost of n-7

### cost(7):

$$= \text{cost}(6) + \text{sum of reduction elements} + M[D, B]$$

$$= 25 + 0 + 0 = 25$$

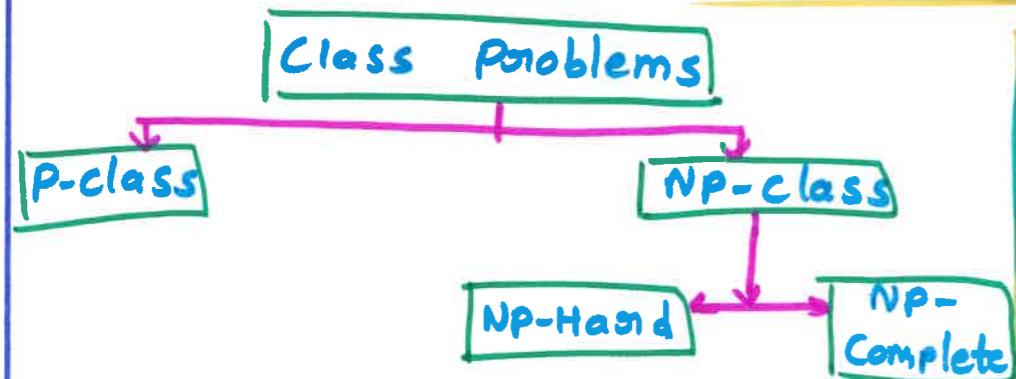
Thus,

→ Optimal path is : A → C → D → B → A

→ Cost of optimal path = 25 units.

# CLASS PROBLEMS.

## TRACTABILITY - CLASS PROBLEMS

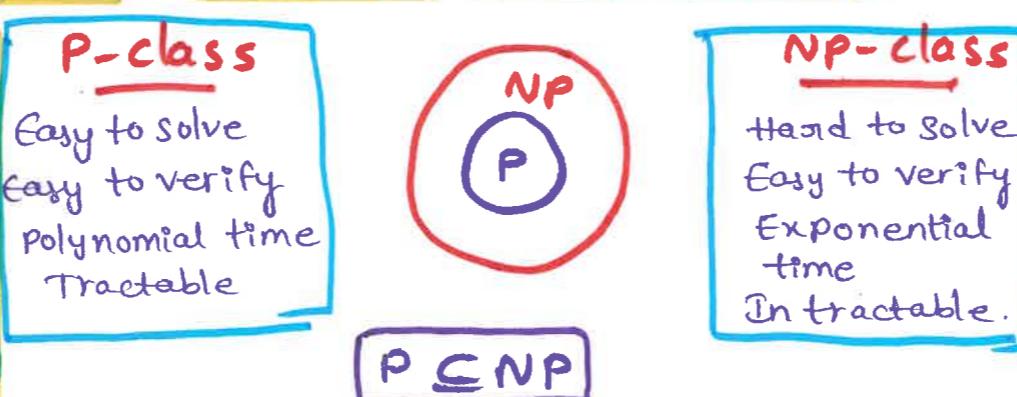


P-class

- Polynomial problems
- can be solved in polynomial time.
- Tractable problems.
- Example
- Sorting
- Searching
- Basic operations  
addition, subtraction, multiplication, division.
- Matrix multiplication.
- Floyd's algorithm

Time complexity

- $O(1)$  → constant time
- $O(\log_2 n)$  → logarithmic time
- $O(n)$  → linear time
- $O(n^2)$  → quadratic time
- $O(n^k)$  → polynomial time  
( $n > k$ )
- Easy to solve
- Easy to verify.



NP-complete problems

- Quick to verify
- Slow to solve
- Can be reduced to another NP-complete problem.
- A problem is in NP-hard if all problems in NP are polynomial time reducible to it.
- A problem is in NP-complete if the problem is both in NP-hard & NP.
- NP-complete are decision problem Reduction (re)



→ problem A reduces to problem B iff there is a way to solve A by deterministic algorithm that solve B in polynomial time.

### Properties

1. If A is reducible to B and B ∈ P, then A ∈ P.
2. A is not in P implies B is not in P.



→ All NP-complete problems are NP-hard but all NP-hard problems are not NP-complete.

→ Example for NP-Complete

→ Circuit SAT problem (Satisfiability)

### NP-HARD PROBLEMS

→ Hard to solve

→ Hard to verify.

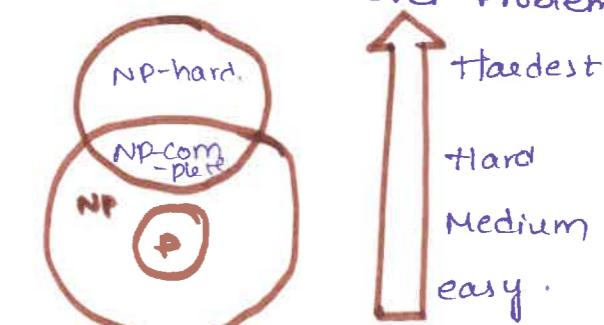
→ Not decidable

→ Optimization problems.

→ can be reduced to another NP problem.

### Examples:

- k-means clustering.
- Travelling Salesman problem.
- Graph coloring
- Set cover problem
- Vertex cover problem.

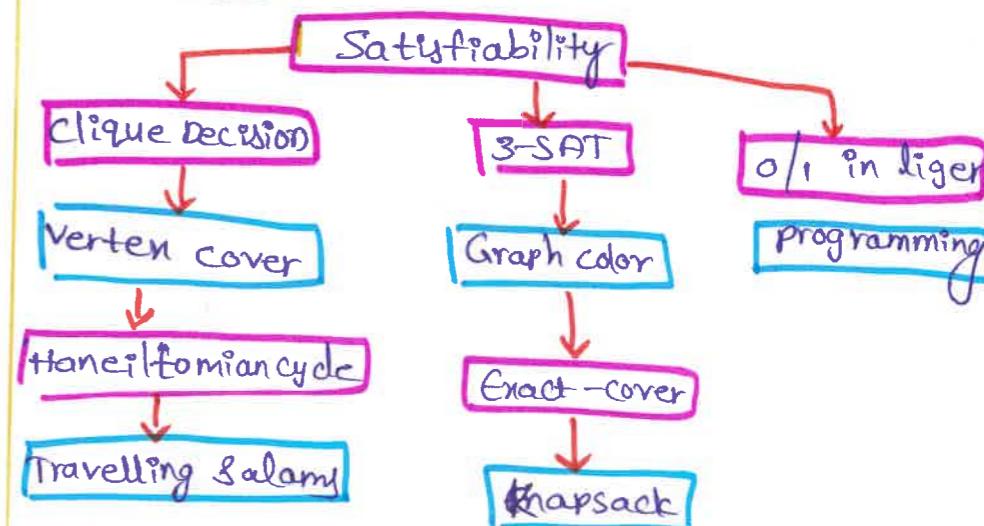


NP-class

- non-deterministic polynomial
- cannot be solved in polynomial time
- Example
- Travelling Salesman problem
- Intractable hard problem.
- Exponential time problems.
- Time complexity
  - $O(k^n)$  → Exponential time.
  - $O(n!)$  → Factorial time.

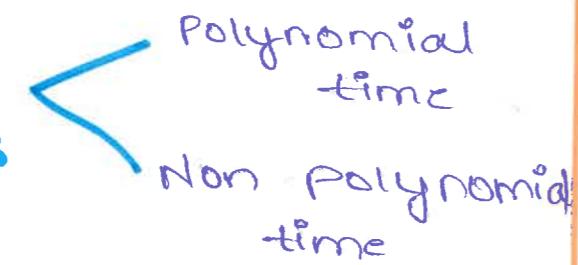
a way to solve A by deterministic algorithm

that solve B in polynomial time.



## P, NP Problems

Solution of algorithms

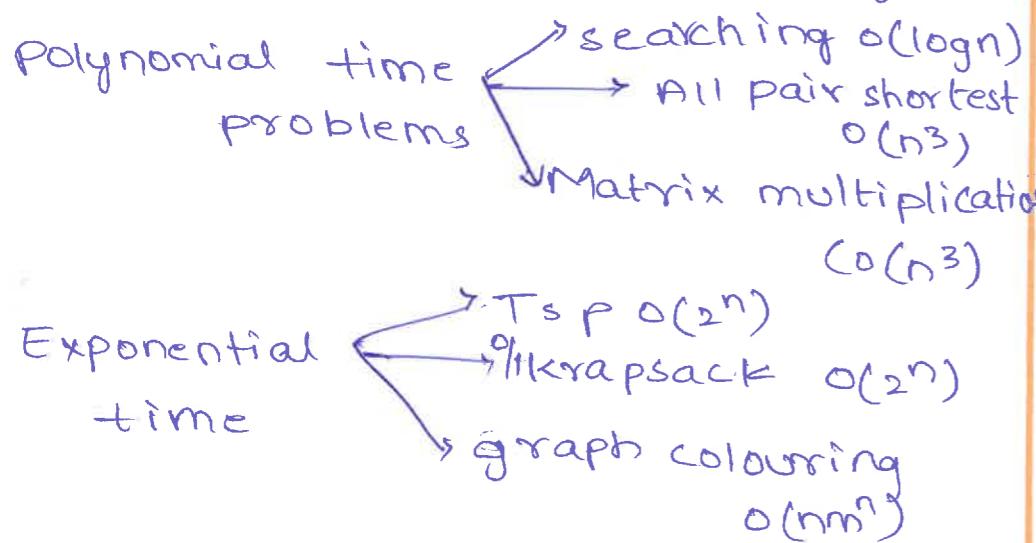


### Polynomial time Problems

Solved in polynomial time using deterministic algorithms

### Exponential Problems

Solved in non-deterministic algorithms



Deterministic Algorithms - can solve the problem in polynomial time

$$q_0 \xrightarrow{a} q_1 \rightarrow$$

Non deterministic Algorithms - can possibilities for every solution

$$q_0 \xrightarrow{a} q_1 \\ q_0 \xrightarrow{a} q_2 \\ q_0 \xrightarrow{a} q_3$$

## Tractable & Intractable Problems

↓  
Easy problems  
Ex:- Merge sort, Matrix

↑  
hard problems  
Ex:- TSP, 0/1 knapsack

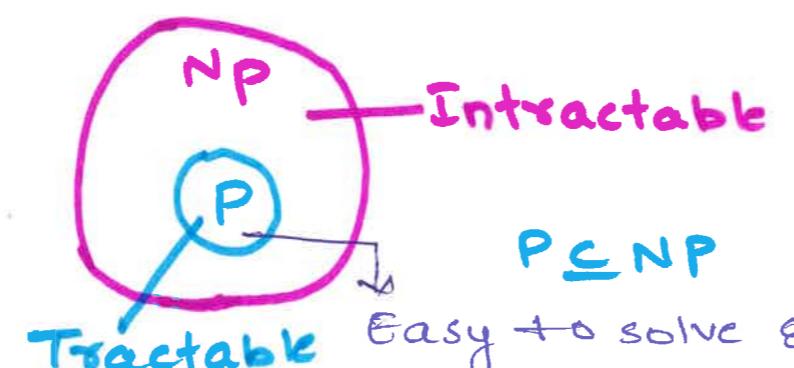
### P class Problem

A problem which can be solved on polynomial time

Ex:- All sorting & searching algorithms

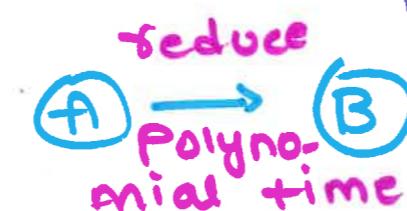
### NP class problem

A problem which cannot be solved on polynomial time but is verified in polynomial time is known as Non determinism or NP class problems.



$$P \subseteq NP$$

Easy to solve &  
Easy to verify  
polynomial time



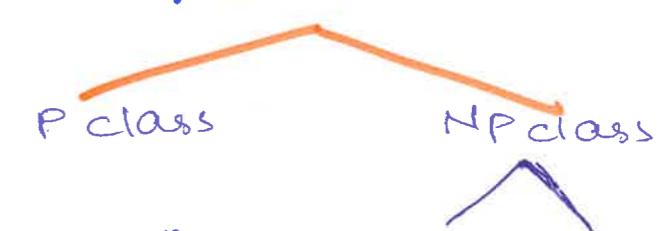
Let A & B are two problems;  
then A reduces to problem B

iff then there is a way to solve A by deterministic algorithm that solve B in polynomial time

### Properties:-

- If A is reducible to B, and B in P then A in P
- A is not in P implies B is not in P.

### Computational complexity problem



A problem is NP hard if every problem in NP can be polynomial reduced to it.

A problem is NP-complete if it is in NP as it is NP-hard

$$A \leq B$$

### NP Complete

→ SAT problem (satisfiability)  
problem determines if there exists a set of Boolean variables.

### Approximation Algorithms

Exact solution

#### Exact Algorithm

#### Approximation Algorithm

Near optimal solution

# NEAREST - NEIGHBOUR ALGORITHM

## APPROXIMATION ALGORITHMS FOR NP-HARD PROBLEMS.

How to handle difficult problems of combinational optimization, such as travelling salesman problem and the knapsack problem, these problems are NP-complete.

→ The optimization versions of such difficult combinational problems fall in the class of NP-hard problems that are at least as hard as NP complete problems

## POLYNOMIAL - TIME APPROXIMATION

algorithm is a  $c$ -approximation. Its performance ratio is at most  $c$ .

$$f(sa) \leq c f(s^*)$$

↓  
approximation solution.

↓  
exact solution

$$\text{accuracy ratio } r(sa) = \frac{f(sa)}{f(s^*)}$$

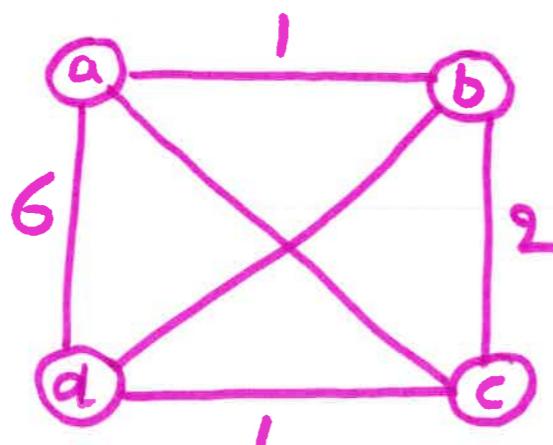
## Nearest-neighbour algorithm

\* The following simple greedy algorithm is based on the nearest neighbour heuristic. :- \* the idea of always going to the nearest unvisited city next.

**Step-1:** choose an arbitrary city as the start

**Step-2:** Repeat the following operation until all the cities have been visited go to the unvisited city nearest the one visited last.

**Step 3:** Return to the starting city.



Instance of the travelling salesman problem for illustrating the nearest neighbour algorithm for the above diagram as a starting vertex the nearest neighbour algorithm yields to the tour (Hamiltonian circuit)

$sa: a-b-c-d-a$  of length 10.

The optimal solution, can be easily checked by exhaustive search, is the tour.

$s^*: a-b-d-c-a$  of length 8

The accuracy ratio of this approximation is

$$r(sa) = \frac{f(sa)}{f(s^*)}$$

$$= \frac{10}{8}$$

$$\approx 1.25$$

Tour  $sa$  is 25% longer than the optimal tour  $s^*$ .

An algorithm that return near optimal solution is called Approximation Algorithm

Given an optimization problem P, an algorithm A is said to be an approximation algorithm for P, if for any given instance I, it returns an approximate solution that is feasible solution

## APPROXIMATION RATIO $P(n)$

Let cost of the optimal solution  $= c^*$

Let cost of the solution produced by the approximation algorithm is  $c$

$$e(n) \geq \max\left(\frac{c}{c^*}, \frac{c^*}{c}\right)$$

# Approximation Algorithm

## Minimum Spanning Tree

A spanning tree of a graph

$G_1$  is a subgraph which is basically a tree and it contains all the vertices of  $G$  confirming no circuit.

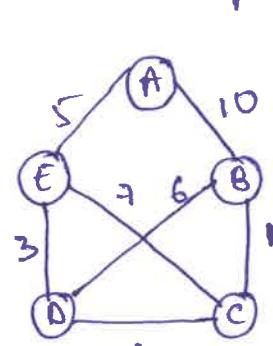
## Minimum Spanning Tree

A minimum Spanning tree of a connected graph

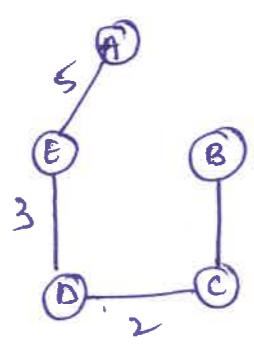
$G_1$  is a spanning tree with minimum or smallest weight.

## Weight of the Tree

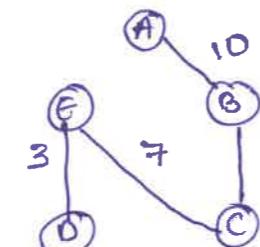
A weight of tree is defined as the sum of weights of all its edges.



Graph  $G$



$W(T) = 11$

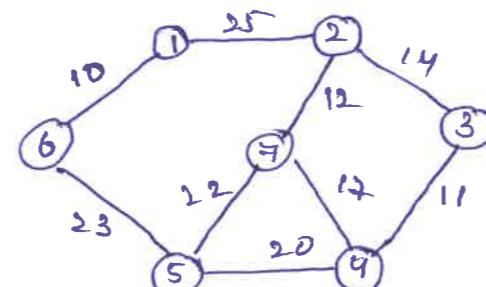


$$W(T_2) = 21$$

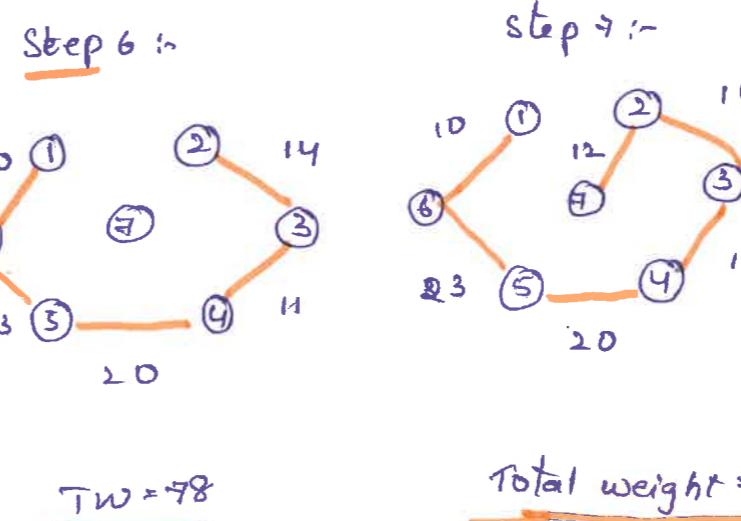
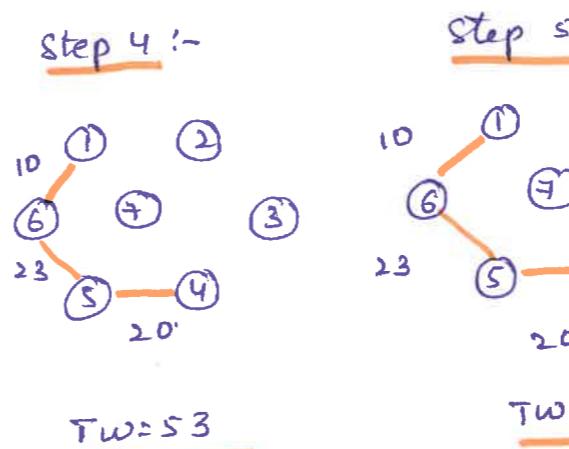
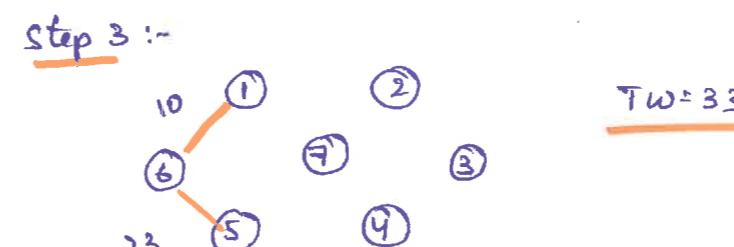
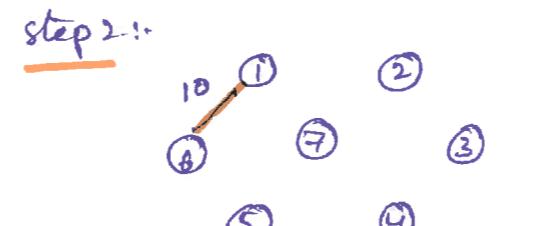
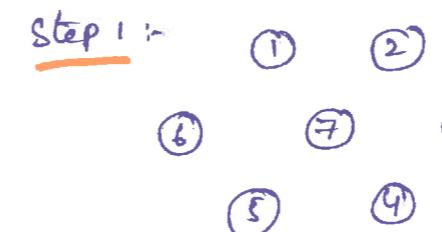
## Applications of Spanning trees

- Spanning trees are very important designing efficient routing algorithm.
- It is used for N/W design.

## Prim's Algorithm



→ Select an edge with minimum weight. The algorithm proceeds by selecting adjacent edges with minimum weight.  
→ No circuit.



Total weight = 90

## Prim's Algorithm

```
prim [ G[0 ... size-1,0 ... size-1];
       nodes )
```

```
for i ← 0 to nodes - 1 do
```

```
tree[i] ← 0
```

```
tree[0] = 1
```

```
fork ← 1 to nodes do
```

```
Σ min dist ← ∞
```

```
for i ← 0 to nodes - 1 do
```

```
Σ for j ← 0 to nodes - 1 do
```

```
if (G[i,j] and (tree[i] and ! tree[j]))  $\rightarrow$  min-dist
```

```
(!tree[i] and tree[j])) then
```

```
if (G[i,j] < min-dist) then
```

```
min-dist ← G[i,j]
```

```
v1 ← i
```

```
v2 ← j
```

```
3
```

```
3
```

```
3
```

```
3 write (v1, v2, min-dist);
```

```
true[v1] ← true[v2] ← 1
```

```
total ← total + min-dist
```

```
3
```

```
write ("Total path length
```

```
is", total" 3.
```

# TRAVELLING SALESMAN PROBLEM.

## TRAVELLING SALESMAN PROBLEM

### GIVEN

Set of cities along with the cost of travel.

### TO FIND

The cheapest route visiting all cities and returning to starting point.

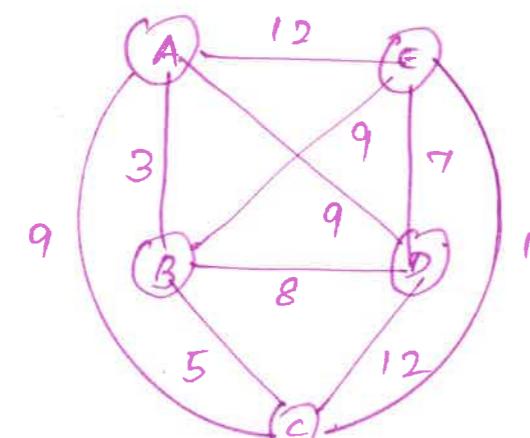
### ALGORITHM 1 (TWICE AROUND-THE-TREE)

STEP 1: Compute minimum spanning tree for the given graph

STEP 2: Start at any arbitrary city and walk around the tree and record nodes visited

STEP 3: Eliminate duplicates from the generated node list

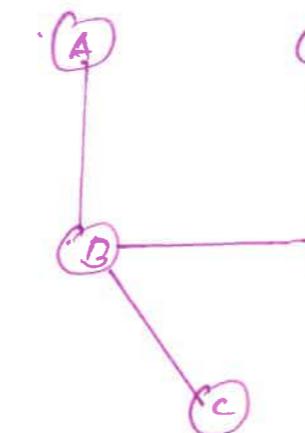
### EXAMPLE



STEP 1: Obtain mst

STEP 2: Start from A and have

## APPROXIMATION ALGORITHMS



DFS walk

STEP 3: Record visited nodes

A - B - C - B - D - E - D - B - A. Eliminate duplicates A - B - C - D - E - A, which is Hamiltonian circuit.

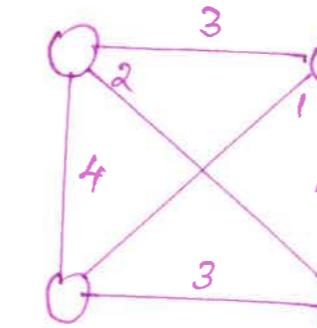
Not an optimal tour.

### ALGORITHM 2 (NEAREST NEIGHBOUR)

STEP 1: Start at any city

STEP 2: Repeat until all the nodes are visited: Go to nearest city (the unvisited) each time.

STEP 3: Return to starting city.



$$2+4+1+1 = 8$$

## KNAPACK PROBLEM

STEP 1: Compute value/weight ratio

STEP 2: Sort the items in non-increasing order of  $v_i/w_i$

STEP 3: Repeat until no item is left

a. If current item fits in  
use it

b. Otherwise take its largest fraction to fill the knapsack to its full capacity.

Item	Weight	Value
1	7	\$49
2	3	\$12
3	4	\$42
4	5	\$30

Capacity  $k=10$

Optimal soln:

item	Weight	Value	Value to weight
3	4	\$42	10.5
1	7	\$49	7
4	5	\$30	6
2	3	\$12	4

This is the optimal solution.

1 01 0 1

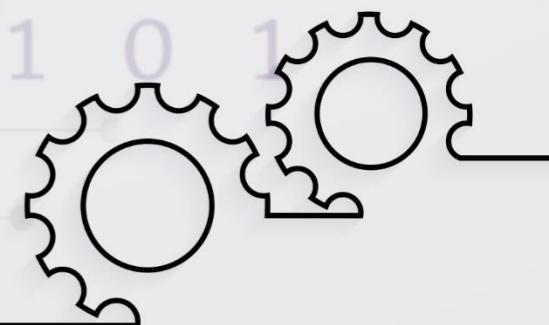


Engineer to Excel

# SIMATS

SCHOOL OF ENGINEERING

Approved by AICTE | IET-UK Accreditation



Saveetha Nagar, Thandalam, Chennai - 602 105, TamilNadu, India