

Extending the Leader-First Follower Structure for Bearing-only Formation Control on Directed Graphs

Jiacheng Shi and Daniel Zelazo *Senior Member, IEEE*

Abstract—This work introduces a generalization of the leader-first follower (LFF) graph structure for solving the bearing-only formation control problem on directed graphs. The first contribution provides an equilibrium, stability, and convergence analysis for a one-follower, multi-leader system (which is not an LFF graph). We then propose an extension to the LFF structure, termed *ordered LFF* graphs, that allows for additional forward directed edges to be included. Using the results of the one-follower multi-leader system we show that the ordered LFF graphs can be used to solve the directed bearing-only formation control problem. We also show that these structures offer improved convergence speed as compared to the LFF graphs. Numerical simulations are provided to validate the results.

Index Terms—Directed sensing, Formation control, Multi-agent systems

I. INTRODUCTION

Formation control has obtained significant attention across a wide range of fields, including robotics [1], aerial and ground vehicle networks [2], and swarm robotics [3]. The primary task in formation control is to drive a team of autonomous systems into a desired spatial configuration. As a cornerstone problem in multi-agent coordination, one of the challenges in formation control is the design of distributed control protocols that balance the sparsity of information exchange with the performance of the system. In this direction, there is a considerable body of literature that addresses this problem for a variety of different formation and sensing constraints. These include position-constrained formation control [4], displacement-constrained formation control [5], distance-constrained formation control [6], bearing-constrained formation control [7], and most recently angle-constrained formation control [8]. The reader is referred to [9]–[11] for an overview of this area.

Despite recent progress in the study of formation control problems, there remains a large gap between the theoretical advances and their real-world implementation. Indeed, many works on multi-agent systems assume undirected communication and sensing networks. In reality, sensing employed in, for example, robotic systems is inherently uni-directional. In other words, if agent i can sense agent j , it is not necessarily true that agent j can sense agent i . The problem of directed formation control was originally studied by Hendrickx et. al in [12]

This work was supported by the Israel Science Foundation grant no. 453/24 and the Technion Autonomous Systems Program. (Corresponding author: Daniel Zelazo) J. Shi (e-mail: sjc199921@gmail.com) is with the Technion Autonomous Systems Program and D. Zelazo (e-mail: dzelazo@technion.ac.il) is with the Stephen B. Klein Faculty of Aerospace Engineering, both at the Technion-Israel Institute of Technology, Haifa 3200003, Israel.

where the notion of *persistence* was introduced to describe consistency in directed distance-constraint frameworks. This work however did not consider formation control strategies for directed frameworks, but rather attempted to characterize the feasibility sets of directed frameworks. Several works studied the stability and equilibria of very small or peculiar formations in distance-constrained frameworks; see [13]–[15]. For bearing-constrained formation control problems similar approaches have been taken. In [16], the notion of bearing persistence was introduced, although a stability proof for the corresponding linear bearing-based directed formation control law remains open. This work was extended in [17] but focused on the rigidity-theoretic understanding of bearing persistence rather than the stability and convergence of directed formation control strategies. For general directed constraint networks (both distance and bearings), it remains an open challenge to i) characterize the equilibria of formation dynamics, ii) assess the stability of the equilibria, and iii) determine graph and rigidity theoretic conditions for the existence of directed frameworks that admit solutions to the formation control problem.

To illustrate the challenge associated to formation control over directed graphs, consider the example in Figure 1. Here we task a team of integrator agents embedded in the plane to obtain a hexagonal formation, while inter-agent interaction is restricted according to the sensing graphs in Figure 1a and 1c respectively. Note that the only difference is the direction of the sensing edge between agent 1 and 4. Figures 1b and 1d show the different agent trajectories, when implementing the formation control strategy proposed in [7] but adapted for directed sensing.¹ The graph in Figure 1a is not able to converge to the correct formation while the one in Figure 1c is. Of note is that the undirected version of both graphs are minimally infinitesimally bearing rigid, and therefore the undirected implementation of the control law is guaranteed to converge to the correct formation [7].

An important step toward understanding bearing-only formation control under directed sensing was made in [18]. In this work, they showed that for a special class of directed graphs, known as the *leader first follower* (LFF) graphs, the bearing-only formation control law proposed in [7] but adapted for directed sensing almost globally converges to the desired formation. LFF graphs have a special structure where one node has no out edges (the leader), the first follower node has only one out edge towards the leader, and all other nodes have exactly two outgoing edges. The main idea of the work is that these LFF graphs lead to a cascade system structure facilitating

¹Details of this control law will be reviewed in Section II.

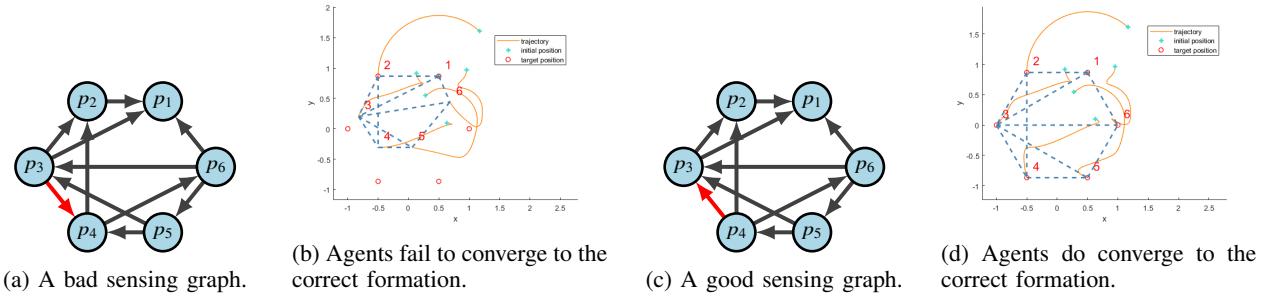


Figure 1: Example demonstrating the challenge of formation control with directed sensing.

the stability and convergence proof. Nevertheless, LFF graphs are restrictive and this work aims to extend the class of directed graphs that can be used to solve the bearing formation control problem with directed sensing.

The contribution of this paper focuses on extending the LFF structure to solve the bearing-only formation control problem over directed graphs. In this direction, our first result considers a simpler setup consisting of a single follower agent and many leaders. We provide an analysis to characterize the equilibria configuration for this system and discuss their stability and convergence properties. It turns out that the analysis of this simpler system is crucial for a more general extension of LFF graphs, which leads to our second contribution. We extend the BOFC framework to accommodate augmented LFF graphs, incorporating additional forward-directed edges while preserving the cascade structure of the system. Compared to LFF graphs, the augmented LFF graphs allow for nodes to have *at least* 2 outgoing edges as opposed to exactly 2. We provide an analysis of the corresponding equilibria and stability of the system. Lastly, we provide simulation studies to demonstrate the feasibility and performance improvements enabled by the proposed extensions, showcasing faster convergence rates and increased flexibility in network design. These results offer a significant step toward more robust and adaptable BOFC solutions in directed sensing scenarios.

The remainder of this paper is organized as follows. Section II provides a brief overview of bearing-only formation control (BOFC) and revisits key results for undirected and directed graphs. Section III presents our main contributions, beginning with an analysis of the one-to-many BOFC setup and extending to LFF graph structures with additional forward edges. Section IV illustrates the theoretical results with numerical simulations, highlighting performance improvements, and demonstrating the feasibility of the proposed methods. Finally, Section V concludes the paper and discusses potential directions for future work.

Notations: Throughout this paper, \mathbb{R}^n denotes the n -dimensional real vector space, and $\|\cdot\|$ represents the Euclidean norm for vectors. The identity matrix of size $n \times n$ is denoted by I_n , while $\mathbb{1}_n$ represents the all-ones vector of dimension n . For a set of matrices or vectors $\{M_i\}_{i=1}^n$, $\text{diag}(M_i)_{i=1}^n$ denotes the block diagonal matrix with M_i as its diagonal blocks. When the set of matrices are clear from context we write only $\text{diag}(M_i)$. The Kronecker product is denoted by \otimes . The image and kernel of a matrix M are represented by

$\text{IM}(M)$ and $\text{Ker}(M)$, respectively. A symmetric matrix M is positive definite (semi-definite) if the quadratic form satisfies $x^T M x > 0$ ($x^T M x \geq 0$) and is denoted as $M > 0$ ($M \geq 0$). The unit sphere in \mathbb{R}^d is the set $\mathbb{S}^{d-1} = \{x \in \mathbb{R}^d : \|x\| = 1\}$.

II. BEARING-ONLY FORMATION CONTROL

In this section we provide a brief overview of bearing only formation control (BOFC) problem for the both undirected and directed settings. We begin with the general setup and then present the key results from [7] and [18].

We consider a network of n agents described by the integrator dynamics,

$$\dot{p}_i(t) = u_i(t), \quad i = 1, \dots, n, \quad (1)$$

where $p_i(t), u_i(t) \in \mathbb{R}^d$ are, respectively, the position and velocity control of each agent. Here, d represents the ambient dimension for the system, and we typically assume $d \in \{2, 3\}$. The vector $p(t) = [p_1(t)^T \quad \dots \quad p_n(t)^T]^T$ is denoted as the system *configuration*.

Agents can interact with each other according to a static graph, described by the pair $\mathcal{G} = (\mathcal{V}, \mathcal{E})$. Here $\mathcal{V} = \{1, \dots, n\}$ is the *node set*, and $\mathcal{E} \subseteq \mathcal{V} \times \mathcal{V}$ is the *edge set*. The notation $ij \in \mathcal{E}$ denotes that node $i \in \mathcal{V}$ is connected to node $j \in \mathcal{V}$. The graph may be *undirected*, in which case if $ij \in \mathcal{E}$, then $ji \in \mathcal{E}$, or *directed* which means that $ij \in \mathcal{E}$ does not imply that $ji \in \mathcal{E}$ [19].

A *bearing formation* is the vector $\mathbf{g} = [\mathbf{g}_1^T \quad \dots \quad \mathbf{g}_{|\mathcal{E}|}^T]^T \in \mathbb{R}^{d|\mathcal{E}|}$, with $\mathbf{g}_i \in \mathbb{S}^{d-1}$, specifying the desired bearing between neighboring agents. Naturally, we are concerned with bearing formations that are actually realizable by some configuration $p \in \mathbb{R}^{dn}$. In this direction, we introduce the notion of the *bearing function*, $F_B : \mathbb{R}^{dn} \rightarrow \mathbb{R}^{d|\mathcal{E}|}$, defined as

$$F_B(p) = \begin{bmatrix} g_1^T & \dots & g_{|\mathcal{E}|}^T \end{bmatrix}^T, \quad (2)$$

where for edge $k = ij \in \mathcal{E}$, the vector g_k is the unit vector pointing from p_i to p_j ,

$$g_k := g_{ij} = \frac{p_j - p_i}{\|p_j - p_i\|} \in \mathbb{S}^{d-1}. \quad (3)$$

We also assume that all agents have access to a common global reference frame and each agent can sense this relative bearing vector to its neighbor agents.

With this notation, we define a *bearing formation*, (\mathcal{G}, g) , by associating the edges in the graph \mathcal{G} with the bearing

measurements $g = F_B(p)$. We now provide a formal definition for a realizable bearing formation.

Definition 1. A bearing formation $(\mathcal{G}, \mathbf{g})$ is realizable in \mathbb{R}^d if there exists a configuration $p \in \mathbb{R}^{dn}$ satisfying $p \in F_B^{-1}(\mathbf{g})$.

We now present the general bearing-only formation control problem. Note that the above set-up and the following problem statement does not depend on whether \mathcal{G} is directed or undirected. In the sequel we will explore how directedness affects the solutions.

Problem 1. Consider a collection of n agents described by (1) that interact over a graph \mathcal{G} and let $(\mathcal{G}, \mathbf{g})$ be a realizable bearing formation. Design a distributed control for each agent using only bearing measurements obtained from neighboring agents, i.e., a control of the form $u_i(t) = \sum_{j \in \mathcal{E}} \kappa_{ij}(g_{ij}, \mathbf{g}_{ij})$ that drives the system to the target formation, i.e.,

$$\lim_{t \rightarrow \infty} g(t) = \lim_{t \rightarrow \infty} F_B(p(t)) = \mathbf{g}.$$

The functions $\kappa_{ij} : \mathbb{S}^{d-1} \times \mathbb{S}^{d-1} \rightarrow \mathbb{R}^d$ can be interpreted as the control implemented on each edge in the graph. We now review the solutions to Problem 1 for the undirected and directed cases.

A. BOFC for Undirected Graphs

A solution to Problem 1 for undirected graphs was initially proposed in [7]. The control strategy has the form

$$u_i(t) = - \sum_{j \in \mathcal{E}} P_{g_{ij}(t)} \mathbf{g}_{ij}, \quad i = 1, \dots, n, \quad (4)$$

where $P_x \in \mathbb{R}^{d \times d}$ is the orthogonal projection matrix onto the subspace orthogonal to the vector x , defined as

$$P_x = I_d - \frac{x}{\|x\|} \frac{x^T}{\|x\|}. \quad (5)$$

It is convenient to represent the control (4) in an aggregated matrix form as

$$u(t) = \bar{H}^T \text{diag}(P_{g_{ij}(t)}) \mathbf{g}, \quad (6)$$

where $\bar{H} = H \otimes I_d \in \mathbb{R}^{d|\mathcal{E}| \times dn}$, with $H \in \mathbb{R}^{|\mathcal{E}| \times n}$ the incidence matrix associated with the graph \mathcal{G} , defined as

$$[H]_{ki} = \begin{cases} 1, & \text{node } i \text{ is positive end of edge } e_k \\ -1, & \text{node } i \text{ is negative end of edge } e_k \\ 0, & \text{otherwise.} \end{cases} \quad (7)$$

The matrix form of the control (6) turns out to be related to the *bearing rigidity matrix* for a bearing framework. The bearing rigidity matrix is defined by the Jacobian of the bearing function F_B , and has the form

$$R_B(p) = \text{diag}\left(\frac{P_{g_{ij}}}{d_{ij}}\right) \bar{H},$$

where $d_k = d_{ij} = \|p_i - p_j\|$ is the distance between points p_i and p_j when $ij \in \mathcal{E}$. With this definition, the control can be expressed as

$$u(t) = \text{diag}(d_{ij}) R_B(p)^T \mathbf{g}.$$

For details on bearing rigidity theory and the bearing rigidity matrix, the reader is referred to [7]. The main result from [7] states that if the target bearing formation is infinitesimally bearing rigid, then the control (6) almost globally and exponentially converges to the desired formation.

B. BOFC for Directed Graphs

A natural approach for solving Problem 1 for directed graphs is to simply try the same control as in (4), where the sum over the edges $ij \in \mathcal{E}$ are now directed. The aggregated matrix version of the control takes a slightly modified form arising from a redefinition of the incidence matrix for directed graphs. Define now the *out-incidence* matrix for the directed graph \mathcal{G} , denoted as $H_\otimes \in \mathbb{R}^{|\mathcal{E}| \times n}$, as

$$[H_\otimes]_{ki} = \begin{cases} 1, & \text{node } i \text{ is positive end of edge } e_k \\ 0, & \text{otherwise} \end{cases}, \quad (8)$$

and $\bar{H}_\otimes = \bar{H} \otimes I_d$. Then the proposed control takes the form

$$u(t) = \bar{H}_\otimes^T \text{diag}(P_{g_{ij}(t)}) \mathbf{g}. \quad (9)$$

We now recall the example shown in Figure 1. In this example, the undirected version of the graph leads to a target bearing formation that is minimally infinitesimally bearing rigid, and therefore the undirected control law (6) solves Problem 1. However, the example shows that depending on what orientation is used to solve the problem over directed graphs, it may or may not converge to the correct formation. One of the main contributions of [18] was to propose a class of directed graphs that solve Problem 1 using the control (9).

Definition 2. A directed graph is a leader-first follower (LFF) graph if

- i) there is a vertex with no outgoing edges, denoted as the leader, assigned the label v_1 ;
- ii) there is a vertex with only one outgoing edge pointing to the leader, denoted as the first follower assigned the label v_2 ;
- iii) every vertex other than the leader and first follower has exactly two outgoing edges;
- iv) for every directed edge e_{ij} , the label is ordered as $i > j$.

An example of an LFF graph is shown in Figure 2. Here, the leader is identified by the red node and the first follower by the dark blue node. We denote any edge e_{ij} with $i > j$ as a *forward edge*. With this notion we see LFF graphs consist only of forward edges.

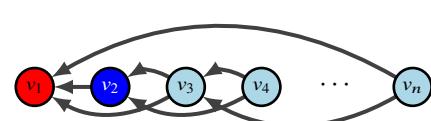


Figure 2: An example of an LFF graph.

Of note for the BOFC on these LFF graphs is the resulting cascade structure of the closed-loop system. Indeed, for LFF graphs, the dynamics become

$$\begin{cases} \dot{p}_1(t) = 0 \\ \dot{p}_2(t) = -P_{g_{21}(t)}\mathbf{g}_{21} \\ \dot{p}_i(t) = -\sum_{j \in \mathcal{E}} P_{g_{ij}(t)}\mathbf{g}_{ij}, i = 3, \dots, n \end{cases}.$$

The main result then from [18] states that if the target formation is an LFF graph, the control (9) solves Problem 1. The proof relies on the stability theorem of cascade systems [20, Theorem 4.1] and the fact that the leader and first follower effectively fix the centroid and scale of the formation.

III. EXTENDING THE LFF STRUCTURE

Extending the class of directed graphs that can solve Problem 1 poses significant challenges. The moment the LFF structure is broken, then the cascade system analysis from [18] may no longer be applied. Nevertheless, there is an interest to find additional structures that can be used, enabling a network designer to have more flexibility to design systems with additional properties such as performance or robustness. To emphasize this point, we refer again to the example of Figure 1c which solves Problem 1 but is not an LFF graph indicating that such structures exist.

To begin, we focus on a simple system comprised of one follower and many leaders. This is not an LFF graph, and the analysis of this simpler problem will provide the framework needed to extend the LFF graphs.

Remark 1. *The bearing-only formation control strategies summarized in Section II implicitly assume no inter-agent collisions - otherwise the bearing vectors would be undefined. Both [7] and [18] explore sufficient conditions that ensure no collisions will occur, or discuss the possibility to augment the control with additional collision-avoidance schemes. In this work, we do not pursue these directions further, as our focus is on the nominal convergence properties of the control law under ideal sensing conditions.*

A. BOFC with 1 Follower and Many Leaders (1-to-many)

We consider $n \geq 3$ agents modeled by the dynamics (1) with $n - 1$ leaders and one follower. We denote the leaders by the first $n - 1$ nodes, and thus the follower is node n . The directed graph has edges only of the form $ni \in \mathcal{E}$ for $i = 1, \dots, n - 1$. An example of this structure is shown in Figure 3.²

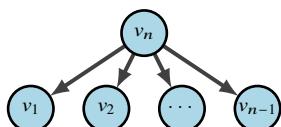


Figure 3: The directed graph for the 1-to-many setup.

²Note that for $n = 1$ the graph is trivial, and for $n = 2$ the case is studied in [18], so we do not consider them.

With this setup, and applying the control (4), the dynamics of each agent can be expressed as

$$\begin{aligned} \dot{p}_i(t) &= 0, i = 1, \dots, n - 1 \\ \dot{p}_n(t) &= -\sum_{nj \in \mathcal{E}} P_{g_{nj}(t)}\mathbf{g}_{nj}. \end{aligned} \quad (10)$$

We now introduce an assumption of the target formation for this setup.

Assumption 1. *The target bearing formation $(\mathcal{G}, \mathbf{g})$ is realizable. Furthermore, there exists a configuration $\mathbf{p} \in F_B^{-1}(\mathbf{g})$ such that p_i, p_j and p_n are not collinear for any $i, j \in \{1, 2, \dots, n - 1\}$.*

Moreover, according to (10), the leader nodes do not move. Thus, the realizability question can be framed in terms of the initial conditions of the leader agents. That is, for a configuration \mathbf{p} satisfying Assumption 1, we must have that $p_i(0) = p_i$ for $i = 1, \dots, n - 1$. We are now prepared to present the first result which characterizes the equilibrium configuration of (10).

To begin, we note that the solution to the equilibrium condition

$$0 = \sum_{nj \in \mathcal{E}} \kappa_{nj}(g_{nj}(t), \mathbf{g}_{nj}) = -\sum_{nj \in \mathcal{E}} P_{g_{nj}(t)}\mathbf{g}_{nj}$$

can be expressed more naturally in terms of the bearings \mathbf{g}_{nj} . Let the set $X(\mathcal{G}, \mathbf{g})$ be the set of bearings satisfying the equilibrium condition. This set has a special structure, so we express it as the intersection of three sets,

$$X(\mathcal{G}, \mathbf{g}) = X_{eq}(\mathcal{G}, \mathbf{g}) \cap C_1 \cap C_{F_B}(\mathcal{G}). \quad (11)$$

The first set, $X_{eq}(\mathcal{G}, \mathbf{g})$, characterizes all vectors that satisfy the equilibrium condition, i.e.,

$$X(\mathcal{G}, \mathbf{g}) = \left\{ x \in \mathbb{R}^{d|\mathcal{E}|} : \sum_{nj \in \mathcal{E}} \tilde{\kappa}_{nj}(x_{nj}, \mathbf{g}_{nj}) = 0 \right\}, \quad (12)$$

where $\tilde{\kappa}_{ij} : \mathbb{R}^d \times \mathbb{S}^{d-1} \rightarrow \mathbb{R}^d$ is an extension of the domain of κ_{ij} to allow non-unit vectors. The vector $x \in \mathbb{R}^{d|\mathcal{E}|}$ is partitioned into d -dimension blocks indexed by each edge in \mathcal{G} . Note that this set may include solutions that are *not* bearing vectors (i.e., not unit-norm vectors). The set

$$C_1 = \{ g = [g_1^T \cdots g_{|\mathcal{E}|}^T]^T \in \mathbb{R}^{d|\mathcal{E}|} : g_k \in \mathbb{S}^{d-1}, k = 1, \dots, |\mathcal{E}| \} \quad (13)$$

ensures all d -vector entries of g are unit-norm vectors. Finally, the set

$$C_{F_B}(\mathcal{G}) = \{ g \in \mathbb{R}^{d|\mathcal{E}|} : \exists p \in \mathbb{R}^{d|\mathcal{V}|} \text{ s.t. } g = F_B(p) \}, \quad (14)$$

considers only bearings that are realizable. In fact, it is true that $C_{F_B}(\mathcal{G}) \subset C_1$, but our analysis is aided by examining these sets separately.

Of interest now is to understand what vectors lie in the set $X(\mathcal{G}, \mathbf{g})$. We note that the equilibrium condition is nonlinear in the bearing \mathbf{g} , but is *linear* in the target bearing \mathbf{g} . In this direction, we may ask given a set of measured bearings \mathbf{g} , what

are all possible target formations that result in an equilibrium? This can also be characterized by the intersection of three sets,

$$\mathcal{Y}(\mathcal{G}, g) = \mathcal{Y}_{eq}(\mathcal{G}, g) \cap C_1 \cap C_{FB}(\mathcal{G}), \quad (15)$$

where

$$\mathcal{Y}_{eq}(\mathcal{G}, g) = \left\{ y \in \mathbb{R}^{d|\mathcal{E}|} : \sum_{nj \in \mathcal{E}} \hat{\kappa}_{nj}(g_{nj}(t), y_{nj}) = 0 \right\}, \quad (16)$$

where $\hat{\kappa}_{ij} : \mathbb{S}^{d-1} \times \mathbb{R}^d \rightarrow \mathbb{R}^d$ is an extension of the domain of κ_{ij} . The vector $y \in \mathbb{R}^{d|\mathcal{E}|}$ is partitioned into d -dimension blocks indexed by each edge in \mathcal{G} . We now describe how the sets $X(\mathcal{G}, g)$ and $\mathcal{Y}(\mathcal{G}, g)$ are related.

Lemma 1. Assume that $g, \mathbf{g} \in C_1 \cap C_{FB}(\mathcal{G})$. Then

- i) $\mathbf{g} \in X(\mathcal{G}, g)$, and
- ii) $g \in \mathcal{Y}(\mathcal{G}, g)$.

Proof. By assumption, both formations (\mathcal{G}, g) and $(\mathcal{G}, \mathbf{g})$ are realizable bearing formations. From the properties of the projection matrices, it follows that $P_{g_k} g_k = 0$ and $P_{\mathbf{g}_k} \mathbf{g}_k = 0$ for $k = 1, \dots, |\mathcal{E}|$, and therefore $\mathbf{g} \in X_{eq}(\mathcal{G}, g)$ and $g \in \mathcal{Y}_{eq}(\mathcal{G}, g)$. \square

Lemma 1 shows that the sets $X(\mathcal{G}, g)$ and $\mathcal{Y}(\mathcal{G}, g)$ are always non-empty. We would further like to understand under what conditions does the set $X(\mathcal{G}, g)$ only contain the target bearings, i.e., that there is a single equilibrium for the dynamics (10).

Lemma 2. For the system (10), if $\mathcal{Y}(\mathcal{G}, \bar{g}) = \{\bar{g}\}$ for all bearing vector $\bar{g} \in C_1 \cap C_{FB}(\mathcal{G})$, then $X(\mathcal{G}, g) = \{g\}$ for all target bearing vector $g \in C_1 \cap C_{FB}(\mathcal{G})$.

Proof. The lemma is proven by contradiction. Assume that $X(\mathcal{G}, g) = \{g, \bar{g}\}$ (i.e., $X(\mathcal{G}, g)$ is not a singleton), which means that

$$\sum_{nj \in \mathcal{E}} \kappa_{nj}(\mathbf{g}_{nj}, \mathbf{g}_{nj}) = \sum_{nj \in \mathcal{E}} \kappa_{nj}(\bar{g}_{nj}, \mathbf{g}_{nj}) = 0.$$

This then implies that $g \in \mathcal{Y}(\mathcal{G}, \bar{g})$ and from Lemma 1 we have $\{g, \bar{g}\} \subseteq \mathcal{Y}(\mathcal{G}, \bar{g})$, leading to a contradiction. Note that since we are considering vectors in $C_1 \cap C_{FB}(\mathcal{G})$, the maps $\tilde{\kappa}_{ij}$ and $\hat{\kappa}_{ij}$ are identical to the map κ_{ij} . \square

Lemmas 1 and 2 show that if $\mathcal{Y}(\mathcal{G}, g)$ is always a singleton, than so is always $X(\mathcal{G}, g)$. The first step is to derive conditions that guarantee that this in fact happens (i.e., the sets are singletons). In this direction, we derive an explicit characterization of the sets $\mathcal{Y}_{eq}(\mathcal{G}, g)$, $\mathcal{Y}_{eq}(\mathcal{G}, g) \cap C_1$, and finally $\mathcal{Y}(\mathcal{G}, g)$.

We start by finding the elements in $\mathcal{Y}_{eq}(\mathcal{G}, g)$. Define \tilde{P} as

$$\tilde{P} = [P_{g_{n1}} \ \cdots \ P_{g_{n(n-1)}}] \in \mathbb{R}^{d \times d(n-1)}.$$

Then, the equilibrium condition for the follower node n in (10) can be expressed as

$$0 = - \sum_{nj \in \mathcal{E}} P_{g_{nj}} \mathbf{g}_{nj} = -\tilde{P}\mathbf{g}. \quad (17)$$

It then follows that $\mathcal{Y}_{eq}(\mathcal{G}, g) = \text{Ker}(\tilde{P})$. For formations in \mathbb{R}^{dn} , it follows that $\text{rk} \tilde{P} = d$, and the dimension of its nullspace is therefore $d(n-2)$. This is due to Assumption 1

which ensures that equilibrium configurations do not have any collinear bearings.

Let $G = \text{diag}\{g_{ni}\}_{i=1}^{n-1} \in \mathbb{R}^{d(n-1) \times n-1}$ and $G^\perp = \text{diag}\{g_{ni}^\perp\}_{i=1}^{n-1} \in \mathbb{R}^{d(n-1) \times (d-1)(n-1)}$, where $g_{ni}^\perp \in \mathbb{R}^{d \times d-1}$ is defined such that $\text{IM}(g_{ni}^\perp) = \text{Ker}(g_{ni}^\top(t))$. It then follows that $\text{IM}(G)$ and $\text{IM}(G^\perp)$ are orthogonal subspaces, and that $\text{IM}(G) \oplus \text{IM}(G^\perp) = \mathbb{R}^{d(n-1)}$.

The following lemma relates properties of G and G^\perp to the matrix \tilde{P} .

Lemma 3. For G and G^\perp defined above, the following hold:

- i) $\tilde{P}G = \mathbb{0}_{d \times n-1}$;
- ii) $\tilde{P}G^\perp = \begin{bmatrix} g_{n1}^\perp & \cdots & g_{n(n-1)}^\perp \end{bmatrix} \in \mathbb{R}^{d \times (d-1)(n-1)}$.

Proof. The proof follows by direct construction. For part i), we have

$$\tilde{P}G = [P_{g_{n1}} g_{n1} \ \cdots \ P_{g_{n(n-1)}} g_{n(n-1)}] = \mathbb{0}_{d \times n-1}.$$

Similarly, for ii) we have $P_{g_{ni}} g_{ni}^\perp = g_{ni}^\perp$ and the result follows directly. \square

Lemma 3 shows that $\text{IM}(G) \subset \text{Ker}(\tilde{P})$. The columns of G therefore can be used to determine $n-1$ basis vectors of $\text{Ker}(\tilde{P})$, while there are $m = d(n-2) - n + 1$ basis vectors left to be determined. These basis vectors should be orthogonal to G , which can be expressed by the linear combination of the columns of G^\perp .

In this direction, define $Q \in \mathbb{R}^{(d-1)(n-1) \times m}$ such that $\text{IM}(Q) = \text{Ker}(\tilde{P}G^\perp)$, i.e., $\tilde{P}G^\perp Q = \mathbb{0}_{d \times m}$, from which it follows that $\text{IM}(G^\perp Q) \subset \text{Ker}(\tilde{P})$. Thus, we conclude that

$$\text{Ker}(\tilde{P}) = \text{IM}(G) \oplus \text{IM}(G^\perp Q).$$

We are now prepared to express $\mathcal{Y}_{eq}(\mathcal{G}, g)$ in terms of linear combinations of the columns of G and $G^\perp Q$,

$$\mathcal{Y}_{eq}(\mathcal{G}, g) = \{y \in \mathbb{R}^{d|\mathcal{E}|} : y = Ga + G^\perp Qb, \forall a \in \mathbb{R}^{n-1}, b \in \mathbb{R}^m\}.$$

Equivalently, we can express vectors $y \in \mathcal{Y}_{eq}(\mathcal{G}, g)$ in terms of its components as

$$y_i = a_i g_{ni} + g_{ni}^\perp \left(\sum_{j=1}^m b_j \eta_{ij} \right), \quad (18)$$

where $\eta_{ij} \in \mathbb{R}^{d-1}$ and

$$Q = \begin{bmatrix} \eta_{11} & \cdots & \eta_{1m} \\ \vdots & \ddots & \vdots \\ \eta_{(n-1)1} & \cdots & \eta_{(n-1)m} \end{bmatrix}.$$

We now use the characterization in (18) to find all solutions that are also in C_1 . In particular, we must have that for each i ,

$$\begin{aligned} \|y_i\|^2 &= \left(a_i g_{ni} + g_{ni}^\perp \left(\sum_{j=1}^m b_j \eta_{ij} \right) \right)^T \left(a_i g_{ni} + g_{ni}^\perp \left(\sum_{j=1}^m b_j \eta_{ij} \right) \right) \\ &= a_i^2 + \left\| \sum_{j=1}^m b_j \eta_{ij} \right\|^2 = 1. \end{aligned}$$

This holds since $g_{ni}^\top g_{ni}^\perp = 0$ and $(g_{ni}^\perp)^T g_{ni}^\perp = I_{d-1}$.

Finally, we can consider the realizable vectors described by the form above.

Lemma 4. *In the 1-to-many system, the bearing vector $\mathbf{g} \in \mathcal{Y}_{eq}(\mathcal{G}, \mathbf{g}) \cap C_1$ is realizable if and only if $a = \mathbb{1}_{n-1}$, $b = \mathbb{0}_m$. Equivalently, $\mathcal{Y}(\mathcal{G}, \mathbf{g}) = \{\mathbf{g}\}$.*

Proof. (\Leftarrow) For $a = \mathbb{1}_{n-1}$, $b = \mathbb{0}_m$ we have $\mathbf{g} = Ga + G^\perp Qb = \mathbf{g}$. The vector \mathbf{g} corresponds to a bearing measurement, it must be realizable.

(\Rightarrow) We prove this by contradiction. Assume there exists an $a \neq \mathbb{1}_{n-1}$ and $b \neq \mathbb{0}_m$ such that the bearing vector $\bar{\mathbf{g}} = Ga + G^\perp Qb \in C_1 \cap C_{F_B}$. Therefore, we have that $\{\bar{\mathbf{g}}, \mathbf{g}\} \subseteq \mathcal{Y}(\mathcal{G}, \mathbf{g})$. Let \bar{p} and p be such that $\bar{\mathbf{g}} = F_B(\bar{p})$ and $\mathbf{g} = F_B(p)$.

Since the leader positions are fixed, we can consider the displacement between the follower agent of the two solutions described above. Therefore, let $z = \bar{p}_n - p_n$, and for each $i = 1, \dots, n-1$,

$$\begin{aligned} z_i &= \bar{p}_n - p_i + p_i - p_n \\ &= \bar{d}_{ni}\bar{g}_{ni} - d_{ni}g_{ni} \\ &= (\bar{d}_{ni}a_i - d_{ni})g_{ni} + g_{ni}^\perp \left(\sum_{j=1}^m b_j \eta_{ij} \bar{d}_{ni} \right), \end{aligned} \quad (19)$$

where $d_{ni} = \|p_n - p_i\|$ and $\bar{d}_{ni} = \|\bar{p}_n - p_i\|$. Multiplying on the left by z_i^T of $g_{ni}^\perp \left(\sum_{j=1}^m b_j \eta_{ij} \right)$ gives

$$\begin{aligned} &z_i^T g_{ni}^\perp \left(\sum_{j=1}^m b_j \eta_{ij} \right) \\ &= \left((\bar{d}_{ni}a_i - d_{ni})g_{ni}^T + \bar{d}_{ni} \left(\sum_{j=1}^m b_j \eta_{ij} \right)^T (g_{ni}^\perp)^T \right) g_{ni}^\perp \left(\sum_{j=1}^m b_j \eta_{ij} \right) \\ &= \bar{d}_{ni} \left(\sum_{j=1}^m b_j \eta_{ij} \right)^T \left(\sum_{j=1}^m b_j \eta_{ij} \right) \geq 0. \end{aligned} \quad (20)$$

On the other hand, the following equation always holds,

$$(\mathbb{1}_n^T \otimes I_d) G^\perp Q b = \mathbb{0}_d \Leftrightarrow \sum_{i=1}^{n-1} \left(g_{ni}^\perp \sum_{j=1}^m b_j \eta_{ij} \right) = \mathbb{0}_d, \quad (21)$$

since $(\mathbb{1}_n^T \otimes I_d) G^\perp = \tilde{P} G^\perp = [g_{n1}^\perp, \dots, g_{n(n-1)}^\perp]$, and by construction of Q we have $\tilde{P} G^\perp Q = 0$ (see Lemma 3 and the definition of Q). Any vector multiplied by zero is zero, which leads to

$$z_i^T \sum_{i=1}^{n-1} \left(g_{ni}^\perp \sum_{j=1}^m b_j \eta_{ij} \right) = \sum_{i=1}^{n-1} \left(z_i^T g_{ni}^\perp \sum_{j=1}^m b_j \eta_{ij} \right) = 0. \quad (22)$$

From (20) and (22), it can concluded that $z_i^T g_{ni}^\perp \sum_{j=1}^m b_j \eta_{ij} \geq 0$ for all terms with $i = 1, \dots, n-1$ and their sum equals to zero. Thus, every single term should be exactly zero, which means $(\bar{d}_{ni}a_i - d_{ni})g_{ni} + g_{ni}^\perp \left(\sum_{j=1}^m b_j \eta_{ij} \bar{d}_{ni} \right) = 0$. This equation then directly leads to $a_i = 1, \forall i = 1, \dots, n-1$ and $b_j = 0, \forall j = 1, \dots, m$. \square

Lemma 4 shows that $\mathcal{Y}(\mathcal{G}, \mathbf{g}) = \{\mathbf{g}\}$. It then follows from Lemma 2 that $\mathcal{X}(\mathcal{G}, \mathbf{g}) = \{\mathbf{g}\}$. We now show that the

equilibrium position of the follower agent, p_n can be uniquely determined from the initial conditions (target positions) of the leaders and the bearing measurements.

Proposition 1. *Let Assumption 1 hold for the target bearing formation $(\mathcal{G}, \mathbf{g})$ and assume that $p_i(0) = p_i$ for a configuration $p \in F_B^{-1}(\mathbf{g})$. Then*

$$p_n = \left(\sum_{nj \in \mathcal{E}} P_{\mathbf{g}_{nj}} \right)^{-1} \left(\sum_{nj \in \mathcal{E}} P_{\mathbf{g}_{nj}} p_j \right) \quad (23)$$

is an equilibrium of (10).

Proposition 1 translates the equilibrium from conditions on the bearing measurements to the position of agent n . Before proving it, we present a useful lemma related to the properties of the projection matrix.

Lemma 5. *Let $x, y \in \mathbb{R}^d$ be two non-parallel vectors. Then $P_x + P_y$ is invertible.*

Proof. From the definition of the projection matrix (5), it follows that $\text{Ker}(P_x) = \text{span}\{x\}$ and $\text{Ker}(P_y) = \text{span}\{y\}$. Since x is not parallel with y , the subspace

$$\text{Ker}(P_x) \cap \text{Ker}(P_y) = \text{span}\{x\} \cap \text{span}\{y\} = \{0\}.$$

In addition, the projection matrix is positive semi-definite. The kernel of two positive semi-definite matrices is the intersection of the kernel space of these two matrices (i.e., $\text{Ker}(P_x + P_y) = \text{Ker}(P_x) \cap \text{Ker}(P_y)$). Thus, the space $\text{Ker}(P_x + P_y) = \{0\}$, implying that $P_x + P_y$ is invertible. \square

Proof of Proposition 1. For the configuration p , if $F_B(p) = \mathbf{g}$, it always holds that

$$P_{\mathbf{g}_{nj}}(p_n - p_j) = 0, \forall nj \in \mathcal{E}.$$

Furthermore, the sum

$$\sum_{nj \in \mathcal{E}} P_{\mathbf{g}_{nj}}(p_n - p_j) = 0$$

must also hold. Rearranging terms leads to

$$\left(\sum_{nj \in \mathcal{E}} P_{\mathbf{g}_{nj}} \right) p_n = \sum_{nj \in \mathcal{E}} P_{\mathbf{g}_{nj}} p_j.$$

Assumption 1 requires $\mathbf{g}_{ni} \neq \mathbf{g}_{nj} \forall i, j = 1, \dots, n-1$, and it follows from Lemma 5 that $\left(\sum_{nj \in \mathcal{E}} P_{\mathbf{g}_{nj}} \right)$ is invertible. As a result, the target position p_n in (23) holds. \square

The last step is to determine the stability of equilibrium.

Theorem 1. *Let Assumption 1 hold for some target bearing configuration $(\mathcal{G}, \mathbf{g})$ and assume that $p_i(0) = p_i$ for a $p \in F_B^{-1}(\mathbf{g})$. Then the point p_n defined in (23) is globally asymptotically stable and non-uniformly exponentially stable for the dynamics in (10).*

Proof. Consider the Lyapunov function $V(p_n(t)) = \frac{1}{2}\|p_n(t) - p_n\|^2$. Then

$$\begin{aligned}\dot{V}(p_n(t)) &= (p_n(t) - p_n)^T \dot{p}_n(t) \\ &= - \sum_{nj \in \mathcal{E}} (p_n(t) - p_n)^T P_{g_{nj}(t)} \mathbf{g}_{nj} \\ &= - \sum_{nj \in \mathcal{E}} (p_n(t) - p_j + p_j - p_n)^T P_{g_{nj}(t)} \mathbf{g}_{nj} \\ &= - \sum_{nj \in \mathcal{E}} \mathbf{d}_{nj} \mathbf{g}_{nj}^T P_{g_{nj}(t)} \mathbf{g}_{nj} \leq 0.\end{aligned}\quad (24)$$

In above, $\mathbf{d}_{nj} = \|p_j - p_n\| > 0$ (by Assumption 1), and we recall that $P_{g_{nj}(t)}(p_n(t) - p_j) = 0$. Therefore, $\|p_n(t) - p_n\|$ is bounded and non-increasing along trajectories. Since the leader positions are also fixed, it follows that $\|p_n(t) - p_j\|$ is also bounded along trajectories for each $j = 1, \dots, n-1$.

We now continue the derivation to show exponential stability. First, recall that for $x, y \in \mathbb{S}^{d-1}$, $x^T P_y x = y^T P_x y$. We use this below continuing from (24).

$$\begin{aligned}\dot{V}(p_n(t)) &= - \sum_{nj \in \mathcal{E}} \mathbf{d}_{nj} \mathbf{g}_{nj}^T P_{g_{nj}(t)} \mathbf{g}_{nj} \\ &= - \sum_{nj \in \mathcal{E}} \mathbf{d}_{nj} g_{nj}^T(t) P_{g_{nj}} g_{nj}(t) \\ &= - \sum_{nj \in \mathcal{E}} \frac{\mathbf{d}_{nj}}{d_{nj}^2(t)} (p_j - p_n(t))^T P_{g_{nj}} (p_j - p_n(t)).\end{aligned}\quad (25)$$

Now note that $(p_j - p_n(t)) = (p_j - p_n + p_n - p_n(t))$ and $P_{g_{nj}}(p_j - p_n) = 0$. From earlier we have that both \mathbf{d}_{nj} and $d_{nj}(t)$ are bounded, and therefore

$$\frac{\mathbf{d}_{nj}}{d_{nj}^2(t)} \geq \min_j \left(\frac{\mathbf{d}_{nj}}{\sup_{t \geq 0} d_{nj}^2(t)} \right) = \gamma(p_n(0)), \quad j = 1, \dots, n-1.$$

Continuing from (25) and using the above observations, we have

$$\begin{aligned}\dot{V}(p_n(t)) &= - \sum_{nj \in \mathcal{E}} \frac{\mathbf{d}_{nj}}{d_{nj}^2(t)} (p_j - p_n(t))^T P_{g_{nj}} (p_j - p_n(t)) \\ &\leq -(p_n(t) - p_n)^T \left(\gamma(p_n(0)) \sum_{nj \in \mathcal{E}} P_{g_{nj}} \right) (p_n(t) - p_n)\end{aligned}\quad (26)$$

Let $M(p_n(0)) := \gamma(p_n(0)) \sum_{nj \in \mathcal{E}} P_{g_{nj}}$. By Assumption 1 there exist at least two non-parallel bearings, hence $\Sigma := \sum_{nj \in \mathcal{E}} P_{g_{nj}} > 0$ (Lemma 5). Since $\gamma(p_n(0)) > 0$, it follows that $M(p_n(0)) > 0$. Therefore,

$$\dot{V}(p_n(t)) \leq -2\lambda_{\min}(M(p_n(0))) V(p_n(t)).$$

Thus the equilibrium p_n is *non-uniformly exponentially stable* (with a rate depending on $p_n(0)$). Since this estimate holds for every initial condition (with its corresponding $\gamma(p_n(0))$), p_n is globally asymptotically stable. \square

Remark 2. The above proof does not establish uniform global exponential stability since the constant $\gamma(p_n(0))$ can not be uniformly determined. The proof shows exponential convergence along each trajectory with a rate that depends

on the initial condition. Hence the equilibrium is globally asymptotically stable and non-uniformly exponentially stable. Restricting initial conditions to a compact set yields uniform exponential stability on that set.

For the 1-to-many system with at least 2 leaders, the follower $p_n(t)$ converges to the target position specified in (23) exponentially fast. The following corollary shows that the convergence rate is non-decreasing as the number of leaders increases.

Corollary 1. Let $\mathcal{K} \subset \mathbb{R}^d$ be a compact set and consider initial follower positions $p_n(0) \in \mathcal{K}$. Then there exists a constant $\underline{\gamma}(\mathcal{K}) > 0$ such that for every $p_n(0) \in \mathcal{K}$ the trajectory satisfies

$$\|p_n(t) - p_n\| \leq \|p_n(0) - p_n\| \exp\left(-\underline{\gamma}(\mathcal{K}) \lambda_{\min}\left(\sum_{nj \in \mathcal{E}} P_{g_{nj}}\right) t\right).$$

Moreover, if a non-parallel leader edge $n\ell$ is added, this uniform guaranteed exponential rate bound on \mathcal{K} is nondecreasing.

Proof. By Theorem 1, for each initial condition $p_n(0)$ we have

$$\gamma(p_n(0)) \triangleq \min_{nj \in \mathcal{E}} \frac{\mathbf{d}_{nj}}{\sup_{t \geq 0} d_{nj}(t)^2} > 0$$

such that

$$\|p_n(t) - p_n\| \leq \|p_n(0) - p_n\| \exp\left(-\gamma(p_n(0)) \lambda_{\min}\left(\sum_{nj \in \mathcal{E}} P_{g_{nj}}\right) t\right).$$

Now consider the compact set \mathcal{K} and define

$$\underline{\gamma}(\mathcal{K}) \triangleq \inf_{p_n(0) \in \mathcal{K}} \gamma(p_n(0)).$$

To show $\underline{\gamma}(\mathcal{K}) > 0$, note that for any $p_n(0) \in \mathcal{K}$,

$$d_{nj}(t) \leq \mathbf{d}_{nj} + \|p_n(t) - p_n\| \leq \mathbf{d}_{nj} + \|p_n(0) - p_n\|,$$

and since $\|p_n(0) - p_n\| \leq R_{\mathcal{K}} := \sup_{p \in \mathcal{K}} \|p - p_n\| < \infty$,

$$\sup_{t \geq 0} d_{nj}(t)^2 \leq (\mathbf{d}_{nj} + R_{\mathcal{K}})^2.$$

Therefore

$$\gamma(p_n(0)) \geq \min_{nj \in \mathcal{E}} \frac{\mathbf{d}_{nj}}{(\mathbf{d}_{nj} + R_{\mathcal{K}})^2},$$

and taking the infimum over $p_n(0) \in \mathcal{K}$ yields $\underline{\gamma}(\mathcal{K}) > 0$.

Finally, if a non-parallel leader edge is added, then $\sum_{\mathcal{E}} P_{g_{nj}} \leq \sum_{\mathcal{E} \cup \{n\ell\}} P_{g_{nj}}$, so λ_{\min} is nondecreasing under this PSD addition. Hence the uniform guaranteed exponential rate bound on \mathcal{K} cannot decrease. \square

Remark 3. The uniform guaranteed rate bound on \mathcal{K} never decreases when a new leader is added. If Assumption 1 continues to hold after the addition, then

$$\lambda_{\min}\left(\sum_{nj \in \mathcal{E} \cup \{n\ell\}} P_{g_{nj}}\right) \geq \lambda_{\min}\left(\sum_{nj \in \mathcal{E}} P_{g_{nj}}\right).$$

Moreover, the inequality is strict whenever the new projector $P_{g_{n\ell}}$ is positive on the eigenspace associated with $\lambda_{\min}(\sum_{nj \in \mathcal{E}} P_{g_{nj}})$. Equivalently, if v is a unit eigenvector corresponding to $\lambda_{\min}(\Sigma)$ with $\Sigma = \sum_{nj \in \mathcal{E}} P_{g_{nj}}$, then strict

increase occurs whenever $v^T P_{\mathbf{g}_{nt}} v > 0$. In generic configurations this condition is satisfied, so adding a non-parallel leader typically yields a strictly larger rate bound.

We conclude this section by examining a special configuration of the 1-to-many system that admits only an unstable equilibrium. We consider initial conditions for the leaders that satisfy $p_i(0) = \bar{p}_i$, $i = 1, \dots, n-1$ for a $\bar{p} \in F_B^{-1}(-\mathbf{g})$ (i.e., the leaders are not in a configuration corresponding to the desired bearings \mathbf{g}). In this direction, we first introduce the notion of symmetric configurations.

Definition 3. Two configurations $p = [p_1^T \dots p_n^T]^T$ and $q = [q_1^T \dots q_n^T]^T$ are symmetric with respect to c if

$$p_i - c = c - q_i, \quad i = 1, \dots, n-1.$$

Proposition 2. For the target formation $(\mathcal{G}, \mathbf{g})$, consider two configurations $p, \bar{p} \in \mathbb{R}^{|\mathcal{V}|^d}$. If p and \bar{p} are symmetric with respect to some point $c \in \mathbb{R}^d$, and $p \in F_B^{-1}(\mathbf{g})$, then $\bar{p} \in F_B^{-1}(-\mathbf{g})$.

Proof. From Definition 3, it follows that

$$p_i - c = c - \bar{p}_i,$$

or equivalently that $\bar{p}_i = 2c - p_i$. Since $p \in F_B^{-1}(\mathbf{g})$, we also have that

$$\frac{p_i - p_n}{\|p_i - p_n\|} = \mathbf{g}_{ni}, \quad i = 1, \dots, n-1.$$

Consider now the point \bar{p}_n . Since p and \bar{p} are symmetric with respect to c , it follows that $\bar{p}_n = 2c - p_n$. We then have

$$\begin{aligned} \frac{\bar{p}_i - \bar{p}_n}{\|\bar{p}_i - \bar{p}_n\|} &= \frac{2c - p_i - (2c - p_n)}{\|2c - p_i - (2c - p_n)\|} \\ &= -\frac{p_i - p_n}{\|p_i - p_n\|} = -\mathbf{g}_{ni}. \end{aligned} \quad (27)$$

Since equation (27) holds for every leader $i = 1, \dots, n-1$, it follows that $\bar{p} \in F_B^{-1}(-\mathbf{g})$. \square

Lemma 6. Let Assumption 1 hold for the target bearing formation $(\mathcal{G}, \mathbf{g})$ and assume that $p_i(0) = \bar{p}_i$ for a configuration $\bar{p} \in F_B^{-1}(-\mathbf{g})$. Then

$$p_n = \left(\sum_{nj \in \mathcal{E}} P_{\mathbf{g}_{nj}} \right)^{-1} \left(\sum_{nj \in \mathcal{E}} P_{\mathbf{g}_{nj}} \bar{p}_j \right)$$

is the only equilibrium of (10) and it is unstable.

Proof. First, recall that the control terms $\kappa_{nj}(g_{nj}, \mathbf{g}_{nj})$ are linear in the target bearing \mathbf{g} . Therefore, it follows that $\kappa_{nj}(g_{nj}, \mathbf{g}_{nj}) = -\kappa_{nj}(g_{nj}, -\mathbf{g}_{nj})$, and the BOFC for the bearing formation $(\mathcal{G}, \mathbf{g})$ has the same equilibrium point as the bearing formation $(\mathcal{G}, -\mathbf{g})$. Furthermore, the dynamics of the follower satisfies

$$\begin{aligned} \dot{p}_n(t) &= \sum_{nj \in \mathcal{E}} \kappa_{nj}(g_{nj}, \mathbf{g}_{nj}) \\ &= \sum_{nj \in \mathcal{E}} -\kappa_{nj}(g_{nj}, -\mathbf{g}_{nj}) = \sum_{nj \in \mathcal{E}} P_{\mathbf{g}_{nj}(t)} \mathbf{g}_{nj}. \end{aligned}$$

The equilibrium condition can now be verified using the same arguments as in Proposition 1. Using the same Lyapunov

function construction as in Theorem 1 it is straightforward to verify that $\dot{V}(p_n(t)) > 0$. Applying Chetaev instability theorem we can conclude this equilibrium is unstable. \square

A key point of this result shows that symmetric configurations for the leader initial conditions correspond to either stable or unstable trajectories. This result may not be surprising as we are choosing initial conditions for the leaders that do not correspond to desired bearing measurements. Nevertheless, this characterization will be important to establish equilibria and stability conditions for more general LFF graphs in the sequel.

B. BOFC with Ordered LFF Graphs

In the previous section, we outlined an analysis approach for the one-to-many BOFC. This turns out to be a central idea when trying to generalize the LFF structures. In particular, we aim next to relax the assumption in Definition 2 that each follower agent must have exactly 2 outgoing edges. We call such graphs *ordered LFF graphs*. An example of an ordered LFF graph is showed in Figure 4, with the leader and first-follower as nodes 1, 2, respectively. Note that all edges are forward edges but it is possible for some nodes to have more than two outgoing edges, which is the main difference from the LFF graph.

Definition 4. A directed graph is an ordered LFF graph (OLFF) if

- i) there is a vertex with no outgoing edges, denoted as the leader, assigned the label v_1 ;
- ii) there is a vertex with only one outgoing edge pointing to the leader, denoted as the first follower assigned the label v_2 ;
- iii) every vertex v_i other than the leader and the first follower has at least two outgoing edges, pointing to vertices with indices smaller than i .

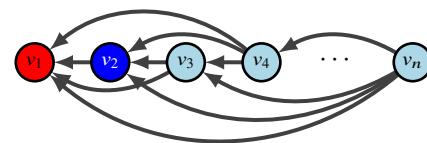


Figure 4: An example of an ordered LFF graph.

Returning to the example in Figure 1c, we see that this graph is OLFF. When considering the BOFC for directed graphs (9) over ordered LFF graphs, we reveal that it is still possible to express the dynamics in a cascade form. Indeed, for OLFF graphs, the dynamics for each agent can be expressed as

$$\begin{cases} \dot{p}_1(t) = 0 \\ \dot{p}_2(t) = -P_{g_{21}(t)} \mathbf{g}_{21} \\ \dot{p}_i(t) = -\sum_{j \in \mathcal{E}} \kappa_{ij}(g_{ij}(t), \mathbf{g}_{ij}), \quad i = 3, \dots, n \end{cases}, \quad (28)$$

where we have that agent i only requires information from agents with indices $j < i$.

Similar to Assumption 1, an assumption on the target bearing formation is required for Problem (1).

Assumption 2. *The target bearing formation $(\mathcal{G}, \mathbf{g})$ is realizable. Furthermore, there exists a configuration $\mathbf{p} \in F_B^{-1}(\mathbf{g})$ such that p_i, p_j and p_k are not collinear for any $v_j, v_k \in \mathcal{N}_i$.*

Once a target bearing formation is specified, the absolute position and scale of the formation remain to be fixed. In the ordered LFF graph structure, the absolute position of the leader $p_1(0)$ anchors the formation's translational degree of freedom, while the initial distance $d_{21}(0)$ between the leader and the first follower fixes the formation's scale. Together, these anchor conditions determine a unique realization of the target formation in \mathbb{R}^{dn} . This relationship is formalized in the following lemma.

Lemma 7. *(Uniqueness of target configuration). Given a target bearing formation $(\mathcal{G}, \mathbf{g})$ with an ordered LFF graph \mathcal{G} satisfying Assumption 2, and given the initial position of the leader agent $p_1(0)$ and the initial distance $d_{21}(0)$ between the leader and the first follower, the target configuration $\mathbf{p} \in \mathbb{R}^{dn}$ consistent with $(\mathcal{G}, \mathbf{g})$ is uniquely determined. More specifically, p_i is calculated iteratively by:*

$$\begin{aligned} p_1 &= p_1(0) \\ p_2 &= p_1(0) - d_{21}(0)\mathbf{g}_{21} \\ p_i &= \left(\sum_{j \in \mathcal{E}} P_{\mathbf{g}_{ij}} \right)^{-1} \left(\sum_{j \in \mathcal{E}} P_{\mathbf{g}_{ij}} p_j \right), i = 3, \dots, n. \end{aligned}$$

The proof of the lemma is straight forward, which can be derived from [18, Lemma 1] and Proposition 1.

Theorem 2. *Let Assumption 2 hold for some target bearing configuration $(\mathcal{G}, \mathbf{g})$. If \mathcal{G} is an ordered LFF graph, then the bearing-only formation control (9) satisfies*

$$\lim_{t \rightarrow \infty} \mathbf{p}(t) = \mathbf{p} \in F_B^{-1}(\mathbf{g})$$

for almost all initial conditions, and the convergence rate is non-uniformly exponential.

Proof. Given the cascade structure shown in (28), we can analyze the equilibria and stability of each agent successively. Denote by \mathbf{p} the equilibria of (28). Following [18], it is straightforward to verify that $p_1 = p_1(0)$ and that there are two possible equilibrium configurations for the first follower, which are denoted as $p_{2a} = p_1 - d_{21}(0)\mathbf{g}_{21}$ and $p_{2b} = p_1 + d_{21}(0)\mathbf{g}_{21}$. From Lemma 7, it follows that p_{2a} is exactly the position of the unique target configuration \mathbf{p} . In addition, the equilibria p_{2b} is symmetric to p_{2a} with respect to the point p_1 .

The dynamics of agent 3 must follow

$$\dot{p}_3 = u_3(p_1, p_2, p_3) = \sum_{j=1}^2 \kappa_{3j}(g_{3j}, \mathbf{g}_{3j}).$$

We may consider the dynamics of agent 3 as a 1-to-many system where the leader agents have positions (p_1, p_{2a}) or (p_1, p_{2b}) . We note that (p_1, p_{2a}) satisfies Assumption 2, while

(p_1, p_{2b}) does not since it symmetric with respect to p_1 . Using Proposition 1 and Lemma 6, we conclude that

$$p_3 = (P_{\mathbf{g}_{31}} + P_{\mathbf{g}_{32}})^{-1} (P_{\mathbf{g}_{31}} p_1 + P_{\mathbf{g}_{32}} p_{2a})$$

corresponds to the stable equilibrium position of agent 3. Lemma 6 is used to show that the equilibrium point $(P_{\mathbf{g}_{31}} + P_{\mathbf{g}_{32}})^{-1} (P_{\mathbf{g}_{31}} p_1 + P_{\mathbf{g}_{32}} p_{2b})$ is unstable.

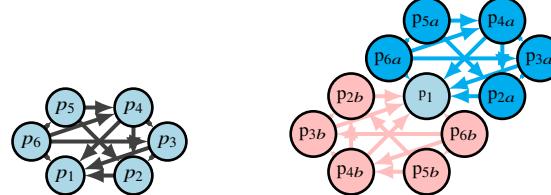
For the other agents, all of them have at least two forward edges and the analysis is similar to that for agent 3. Thus, we proceed by induction. Consider an agent $i \in \{3, \dots, n\}$. Its equilibrium analysis can be separated into studying the systems

$$\begin{aligned} \dot{p}_{ia} &= u_i(p_1 = p_1, p_2 = p_{2a}, \dots, p_{i-1} = p_{(i-1)a}, p_{ia}) \\ \dot{p}_{ib} &= u_i(p_1 = p_1, p_2 = p_{2b}, \dots, p_{i-1} = p_{(i-1)b}, p_{ib}) \end{aligned}$$

The system (ia) is the 1-to-many system with leaders' initial condition satisfying Assumption 2. The equilibria is therefore

$$p_{ia} = \left(\sum_{j \in \mathcal{N}_i} P_{\mathbf{g}_{ij}} \right)^{-1} \left(\sum_{j \in \mathcal{N}_i} P_{\mathbf{g}_{ij}} p_{ja} \right).$$

The system (ib) is the 1-to-many system with leaders' initial conditions that are symmetric with respect to p_1 , and therefore Assumption 2 does not hold. As illustrated in Figure 5, the



(a) The target formation \mathcal{G}, \mathbf{g} . (b) The equilibrium configurations p_a and p_b determined from the target formation 5a.

Figure 5: Examples of two symmetric configurations with respect to the point p_1 .

configuration p_a satisfies all the target bearing constraints \mathbf{g} . The configuration p_b satisfies the bearing constraint $-\mathbf{g}$ is symmetric to configuration p_a with respect to p_1 .

The last step is to prove the stability of the equilibrium. Recall the bearing-only formation control system with the sensing graph described by ordered LFF graph stated in equation (28) is in the form of a cascade system. Firstly, for the subsystem

$$\dot{p}_1 = 0,$$

the equilibrium $p_1 = p_1(0)$ is stable.

For the subsystem $\dot{p}_2 = u_2(p_1 = p_1, p_2)$, it has been showed that p_{2a} is an almost GAS equilibria ([18]). With the stability theorem of cascade systems, we conclude that for the subsystem

$$\begin{bmatrix} \dot{p}_1 \\ \dot{p}_2 \end{bmatrix} = \begin{bmatrix} u_1(p_1) \\ u_2(p_1, p_2) \end{bmatrix},$$

the equilibrium (p_1, p_{2a}) is almost GAS.

Next, we find that p_{3a} is the GAS equilibrium for the 1-to-many system $\dot{p}_3 = u_3(p_1 = p_1, p_2 = p_{2a}, p_3)$.

The procedure can be repeated for the remaining subsystems $\dot{p}_i = u_i(p_1, \dots, p_i)$ for $i \in \{4, \dots, n\}$, concluding that almost global asymptotic stability for the BOFC system (28) with ordered LFF sensing graph.

Finally, as a consequence of Theorem 1, each follower subsystem converges exponentially along its trajectory, with a rate determined by a constant $\lambda(M_i(p(0)))$ that depends on its initial condition through the associated matrix M_i . Therefore, for an ordered LFF sensing graph, the BOFC system (28) is globally asymptotically stable, and moreover non-uniformly exponentially stable, with an overall convergence rate characterized by $\min_i \lambda(M_i(p(0)))$. \square

An immediate corollary of above is that the convergence rate is non-decreasing with the number of forward edges.

Corollary 2. Consider two formations $(\mathcal{G}_1, \mathbf{g}_1)$ and $(\mathcal{G}_2, \mathbf{g}_2)$ with $\mathcal{G}_1 \subset \mathcal{G}_2$, where both graphs are ordered LFF graphs. Fix a compact set \mathcal{K} of admissible initial follower positions (i.e., a compact set in the follower state space collecting the initial positions of all followers), and let $\underline{\gamma}(\mathcal{K})$ be defined as in Corollary 1, using the (non-uniform) rate constant from Theorem 2. Then the guaranteed exponential convergence rate bound on \mathcal{K} for the target formation $(\mathcal{G}_2, \mathbf{g}_2)$ is nondecreasing compared with that of $(\mathcal{G}_1, \mathbf{g}_1)$. It increases strictly whenever the additional leader bearings provide new, non-parallel directions beyond those already present in $(\mathcal{G}_1, \mathbf{g}_1)$.

Proof. The proof follows the same logic as Corollary 1. \square

As a final remark, we note that the presence of a stationary leader agent in both the LFF and ordered LFF graph structures effectively fixes the translational degree of freedom in the formation. Consequently, convergence of the bearings in these frameworks also guarantees convergence of the positions $p(t)$ to a unique equilibrium configuration, determined by the initial positions of the leader and first follower. This contrasts with more general bearing-only control scenarios, where translational or scaling ambiguities may persist.

IV. SIMULATION RESULTS

In this section, we present some numerical simulations to illustrate the main results of this work, in addition to an example highlighting an open problem.

A. One-to-Many BOFC Example

Consider 6 agents in the one-to-many system configuration. In this example, the desired bearings are $\mathbf{g}_{61} = [0.309, 0.951]^T$, $\mathbf{g}_{62} = [-0.809, 0.588]^T$, $\mathbf{g}_{63} = [-0.809, -0.588]^T$, $\mathbf{g}_{64} = [0.309, -0.951]^T$, and $\mathbf{g}_{65} = [1, 0]^T$. The leaders are placed at $\mathbf{p}_1 = [1.618, 2.902]^T$, $\mathbf{p}_2 = [-0.051, 1.764]^T$, $\mathbf{p}_3 = [-0.294, 0.060]^T$, $\mathbf{p}_4 = [1.556, -0.712]^T$, $\mathbf{p}_5 = [2.500, 0]^T$, which can be verified to satisfy Assumption 1. The initial position for the follower agent is chosen randomly as $\mathbf{p}_6(0) = [1.6254, 1.8106]^T$.

According to Proposition 1, equilibrium position for the follower agent can be calculated as

$$\mathbf{p}_6 = \left(\sum_{j=1}^5 P_{\mathbf{g}_{6j}} \right)^{-1} \left(\sum_{j=1}^5 P_{\mathbf{g}_{6j}} \mathbf{p}_j \right) = \begin{bmatrix} 1 \\ 1 \end{bmatrix}.$$

Figure 6a depicts the trajectory and the final position of the follower matching the analytic result. Figure 6b verifies that the bearing error converges to zero exponentially fast.

We now consider the same setup but with initial conditions that are symmetric with respect to the origin. The leaders are placed at $\bar{\mathbf{p}} = -[\mathbf{p}_1^T, \mathbf{p}_2^T, \mathbf{p}_3^T, \mathbf{p}_4^T, \mathbf{p}_5^T]^T$. From Lemma 6, the system has a unique unstable equilibria at $\mathbf{p}_6 = [1 \ 1]^T$. In simulation, the initial position is taken near the unstable equilibria at $\mathbf{p}_6(0) = [1.01 \ 1.01]^T$. As depicted in Fig.7, the follower diverges from the unstable equilibria, and the bearing error does not converge to zero.

B. Performance Improvement of Ordered LFF Graphs

In this example, we demonstrate how ordered LFF graphs lead to faster convergence to the desired formation compared to the LFF graphs used in [18]. We consider the LFF graph in Fig.8 with black edges, and an ordered LFF graph obtained by adding the red edges. The leader node is denoted in red (\mathbf{p}_1) and the first follower in blue (\mathbf{p}_2).

Figure 9 shows the trajectories of the BOFC for the LFF (Fig. 9a) and ordered LFF (Fig. 9b) for a target formation embedded in \mathbb{R}^3 . The bearing error along the trajectories for each case is displayed in Fig.10. The additional edges in the ordered LFF structure lead to a faster convergence rate to the target formation.

C. Further Extensions to the LFF Graphs

Finally, we demonstrate that there are additional graph structures that may still solve the BOFC problem. We consider again the graph in Figure 8 with the black, red, and orange edges. Note that the orange edges are not forward edges, and therefore the graph is neither an LFF or ordered LFF graph. On the other hand, there is an LFF subgraph in this structure. Figure 11 shows the system trajectories and bearing error of the BOFC using this sensing graph. The fact that the system converges to the correct target formation suggests there are additional structures that demand further examination. Note that for this example, the resulting dynamics do not have a

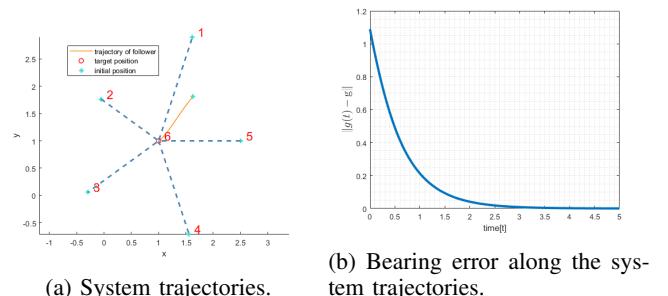
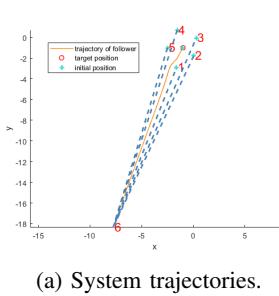
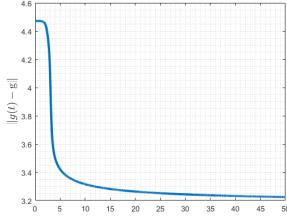


Figure 6: A 1-to-many system with 5 leaders.



(a) System trajectories.



(b) Bearing error along the system trajectories.

Figure 7: 1-to-many system initialized near the unstable equilibrium.

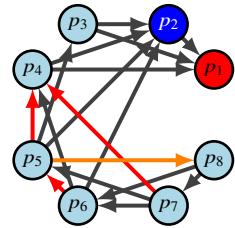


Figure 8: The sensing graphs for the examples in Sections IV-B and IV-C. The graph with only the black edges is LFF. The graph with the black and red edges is an ordered LFF. Finally, the graph with black, red, and orange is an unordered LFF.

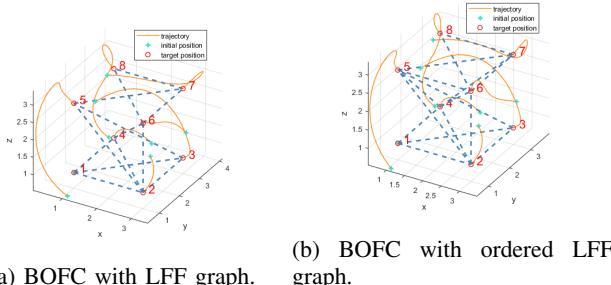


Figure 9: Trajectories for (a) the LFF graph, and (b) the ordered LFF graph.

cascade structure so the methods used in this work can not apply to study its behavior. Exploring these other structures is a subject of future work.

V. CONCLUSION

This work extends the applicability of bearing-only formation control to a broader class of directed sensing graphs by augmenting traditional LFF structures. We demonstrated how forward directed edges can be added to enhance performance while preserving stability, and our simulation results highlight the improved convergence and design flexibility of the proposed methods. Future research will focus on exploring more general directed topologies, incorporating robustness to sensing uncertainties, and addressing real-world constraints, such as communication delays and dynamic network changes, to bridge the gap between theoretical advancements and practical implementations.

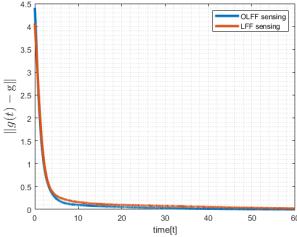
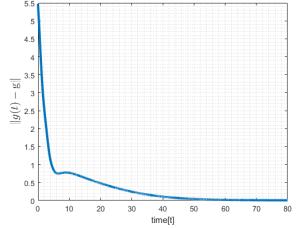


Figure 10: Comparison of bearing error along the system trajectories between the LFF and ordered LFF graphs.



(a) System trajectory.

(b) Bearing error along the system trajectories.

Figure 11: Trajectories for the BOFC strategy with a graph that is neither LFF or an ordered LFF graph.

ACKNOWLEDGMENTS

The authors would like to thank Minh Hoang Trinh for technical discussions related to the proof of Theorem 1.

REFERENCES

- [1] M. A. Lewis and K.-H. Tan, "High precision formation control of mobile robots using virtual structures," *Autonomous Robots*, vol. 4, pp. 387–403, 1997.
- [2] W. Ren, "Consensus based formation control strategies for multi-vehicle systems," in *American Control Conference*. IEEE, 2006.
- [3] D. Xu, X. Zhang, Z. Zhu, C. Chen, and P. Yang, "Behavior-based formation control of swarm robots," *Mathematical Problems in Engineering*, vol. 2014, p. 1–13, 2014.
- [4] W. Ren and E. Atkins, "Distributed multi-vehicle coordinated control via local information exchange," *International Journal of Robust and Nonlinear Control*, vol. 17, no. 10–11, p. 1002–1033, Nov. 2006.
- [5] H. G. de Marina, "Maneuvering and robustness issues in undirected displacement-consensus-based formation control," *IEEE Transactions on Automatic Control*, vol. 66, no. 7, p. 3370–3377, Jul. 2021.
- [6] L. Krick, M. E. Broucke, and B. A. Francis, "Stabilisation of infinitesimally rigid formations of multi-robot networks," *International Journal of Control*, vol. 82, no. 3, p. 423–439, Feb. 2009.
- [7] S. Zhao and D. Zelazo, "Bearing rigidity and almost global bearing-only formation stabilization," *IEEE Transactions on Automatic Control*, vol. 61, no. 5, p. 1255–1268, May 2016.
- [8] L. Chen, M. Cao, and C. Li, "Angle rigidity and its usage to stabilize multiagent formations in 2-D," *IEEE Transactions on Automatic Control*, vol. 66, no. 8, pp. 3667–3681, 2021.
- [9] K.-K. Oh and H.-S. Ahn, "Formation control of mobile agents based on inter-agent distance dynamics," *Automatica*, vol. 47, no. 10, p. 2306–2312, Oct. 2011.
- [10] H. Ahn, *Formation Control: Approaches for Distributed Agents*, ser. Studies in Systems, Decision and Control. Springer International Publishing, 2019.
- [11] S. Zhao and D. Zelazo, "Bearing rigidity theory and its applications for control and estimation of network systems: Life beyond distance rigidity," *IEEE Control Systems Magazine*, vol. 39, no. 2, pp. 66–83, 2019.

- [12] J. M. Hendrickx, B. D. Anderson, J. C. Delvenne, and V. D. Blondel, "Directed graphs for the analysis of rigidity and persistence in autonomous agent systems," *International Journal of Robust and Nonlinear Control*, vol. 17, no. November 2006, pp. 960–981, 2007.
- [13] M. A. Belabbas, "On global stability of planar formations," *IEEE Transactions on Automatic Control*, vol. 58, no. 8, pp. 2148–2153, 2013.
- [14] R. Babazadeh and R. R. Selmic, "Distance-based formation control over directed triangulated laman graphs in 2-d space," in *IEEE Conference on Decision and Control*, 2020, pp. 2786–2792.
- [15] C. Yu, B. D. O. Anderson, S. Dasgupta, and B. Fidan, "Control of minimally persistent formations in the plane," *SIAM Journal on Control and Optimization*, vol. 48, no. 1, pp. 206–233, 2009.
- [16] S. Zhao and D. Zelazo, "Bearing-based formation stabilization with directed interaction topologies," in *IEEE Conference on Decision and Control*. IEEE, Dec. 2015.
- [17] Z. Sun, S. Zhao, and D. Zelazo, "Characterizing bearing equivalence in directed graphs," *IFAC-PapersOnLine*, vol. 56, no. 2, p. 3788–3793, 2023.
- [18] M. H. Trinh, S. Zhao, Z. Sun, D. Zelazo, B. D. O. Anderson, and H.-S. Ahn, "Bearing-based formation control of a group of agents with leader-first follower structure," *IEEE Transactions on Automatic Control*, vol. 64, no. 2, pp. 598–613, 2019.
- [19] M. Mesbahi and M. Egerstedt, *Graph Theoretic Methods in Multiagent Networks*. Princeton University Press, Dec. 2010.
- [20] P. Seibert and R. Suarez, "Global stabilization of nonlinear cascade systems," *Systems & Control Letters*, vol. 14, no. 4, p. 347–352, Apr. 1990.

Jiacheng Shi received the B.Sc. in mechanical engineering and M.Sc degrees in Autonomous system and robotics from Technion-Israel Institute of Technology, Haifa, Israel. His research interests include topics related to control theory and multi-agent systems.



Daniel Zelazo (Senior Member, IEEE) received the B.Sc. and M.Eng. degrees in electrical engineering and computer science from the Massachusetts Institute of Technology, Cambridge, MA, USA, in 1999 and 2001, respectively, and the Ph.D. degree in aeronautics and astronautics from the University of Washington, Seattle, WA, USA, in 2009. From 2010 to 2012, he was a Postdoctoral Research Associate and Lecturer with the Institute for Systems Theory and Automatic Control, University of Stuttgart, Germany. He is a Professor of aerospace engineering with the Technion-Israel Institute of Technology, Haifa, Israel. His research interests include topics related to multiagent systems.

