

# Search

- Chapter 3 of R&N 3rd edition is very useful reading.
- Chapter 4 of R&N 3rd edition is worth reading for enrichment.

*(R&N = Russell and Norvig, Artificial Intelligence: a Modern Approach)*

# Search

Credits: We're often revising and updating slides. Search slides are drawn from or inspired by a multitude of sources including me and ...

Faheim Bacchus

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Craig Boutillier

Jurgen Strum

Shaul Markovitch

Thank you for sharing!!

# Search

## Successful

- Many other AI problems can be successfully solved by search
- Outperform humans in some areas (e.g. games)

## Practical

- Many problems don't have specific algorithms for solving them. Casting as search problems is often the easiest way of solving them.
- Search can also be useful in approximation (e.g., local search in optimization problems).
- Problem specific heuristics provides search with a way of exploiting extra knowledge.

Some critical aspects of “intelligent” behaviour, e.g., planning, can be cast as search.

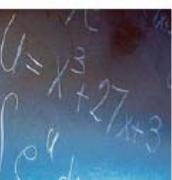
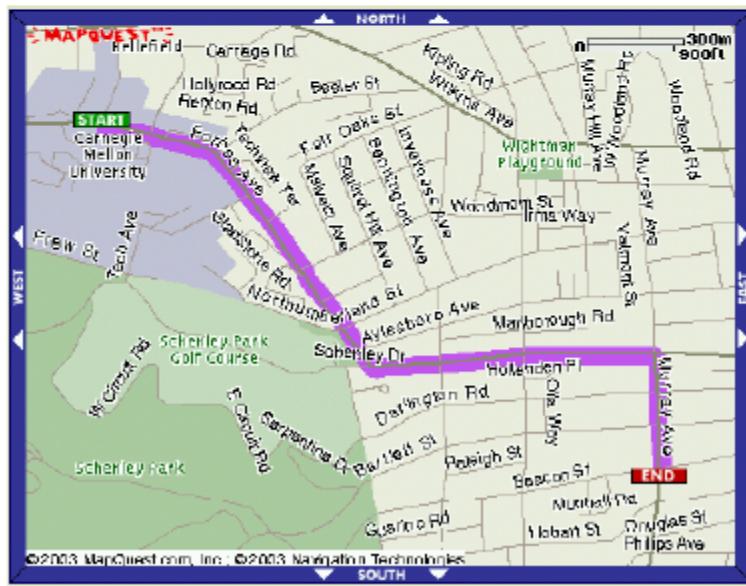
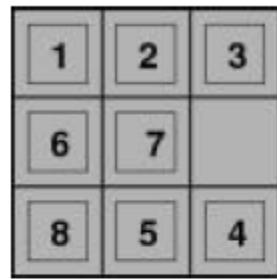
# A Search Problem: How do we plan our holiday?

- We must take into account various preferences and constraints to develop a schedule.
- An important technique in developing such a schedule is “**hypothetical**” reasoning.
- Example: I’m on holiday in B.C.
  - If I fly into Vancouver and drive a car to Whistler, I’ll have to drive on the roads at night. How desirable is this?
  - If I am in Whistler and leave at 6:30am, I can arrive in Kamloops by lunchtime.

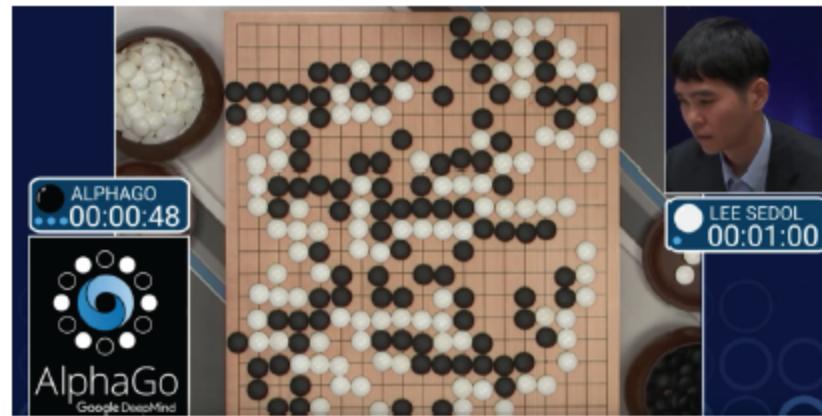
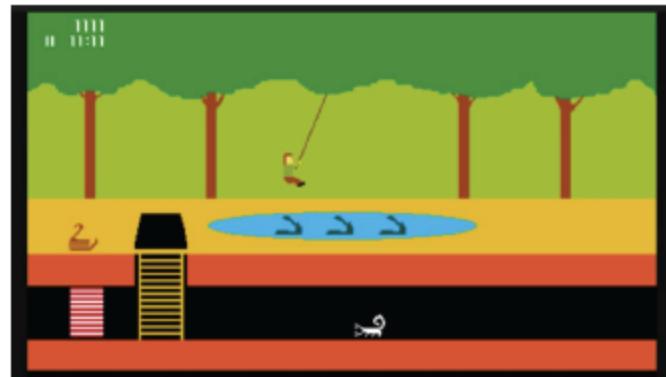
# A Search Problem: How do we plan our holiday?

- This kind of hypothetical reasoning involves asking
  - what state will I be in after taking certain actions, or after certain sequences of events?
- From this we can reason about particular sequences of events or actions one should try to bring about to achieve a desirable state.
- Search is a computational method for capturing a particular version of this kind of reasoning.

## Search Problems



# More search problems



# Limitations of Search

Search only shows how to solve the problem once we have it correctly formulated.

# The Formalism

To formulate a problem as a search problem we need the following components:

- 1.a **state space** over which to search. The state space necessarily involves **abstracting** the real problem.
- 2.an **initial state** that best represents your current state.
- 3.a **desired (or goal) condition** you want to achieve.
- 4.**actions (or successor functions)** that allow move one from state to state.  
The actions are abstractions of actions you could actually perform.

Optional ingredients:

- 1.**costs**, which represent the cost of moving from state to state (taking an **action**, advancing to a successor state).
2. **Heuristics**, to help guide the search process.

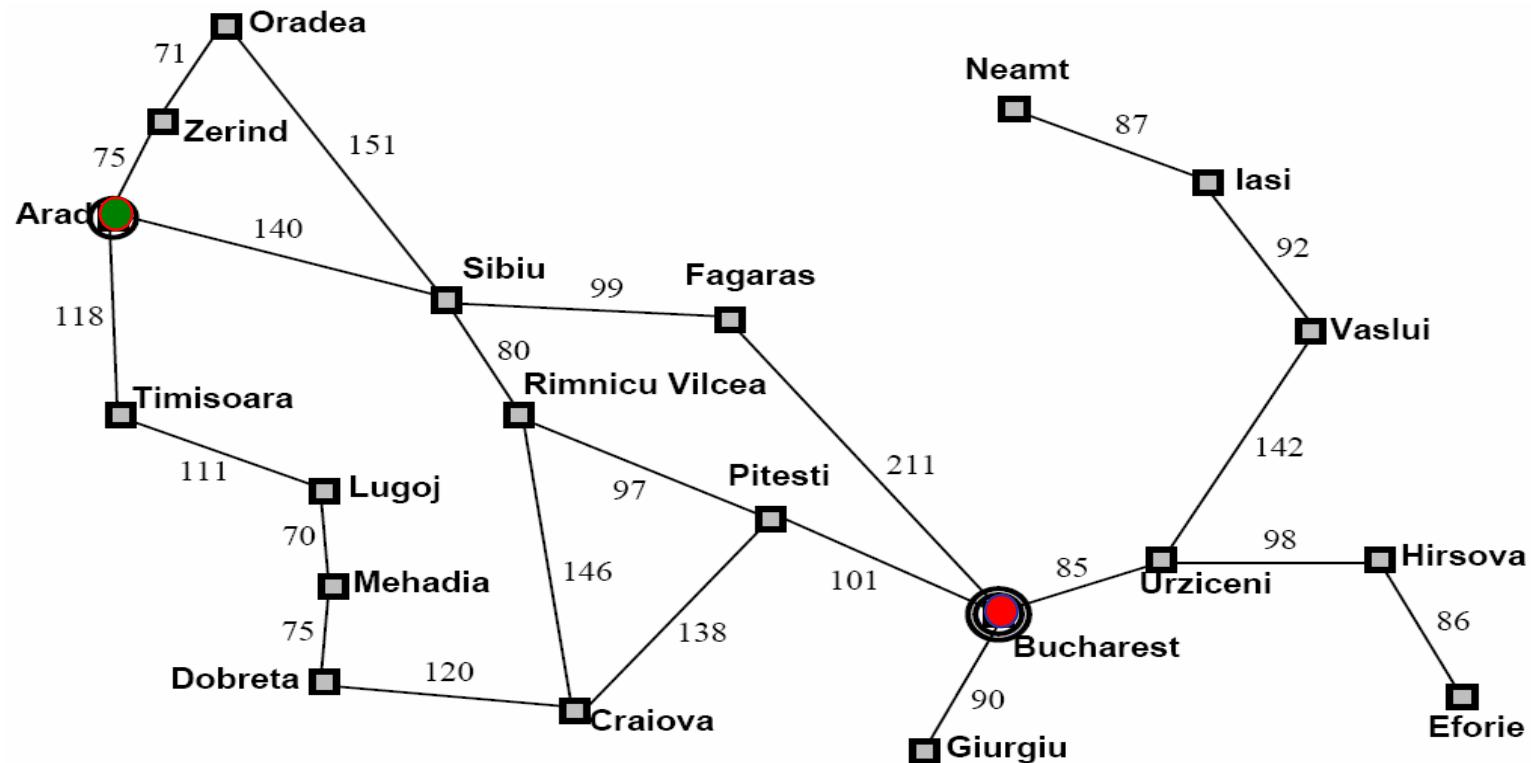
# A solution

Once you have a formalized search problem, there are a number of algorithms one can use to solve it.

A **solution** is a **sequence of actions** or moves that can transform your current state into a state where desired (or goal) conditions hold.

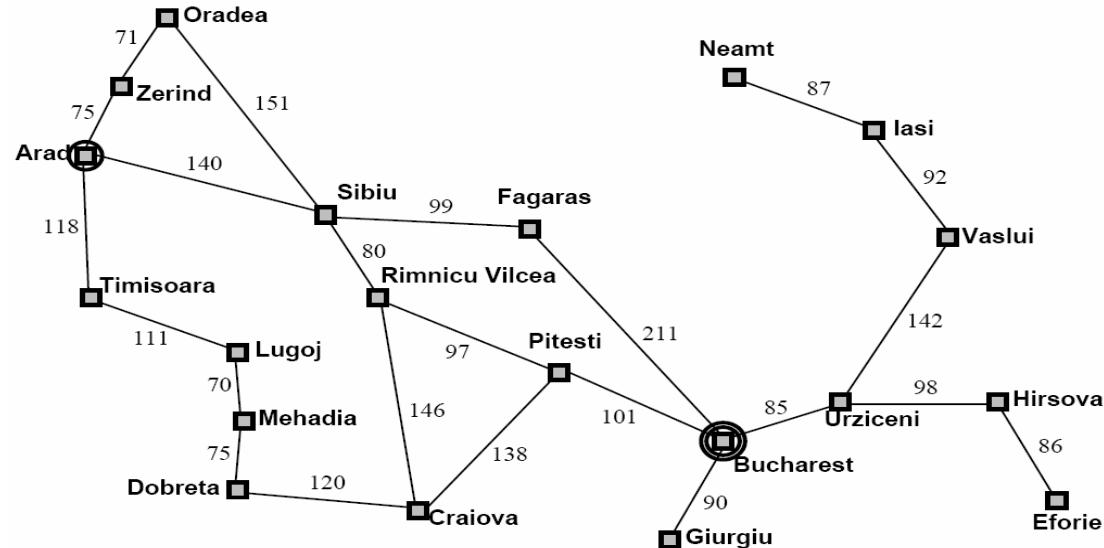
# Example 1: Romania Travel

Currently in Arad, need to get to Bucharest ASAP. Can we formalize this search?



# Example 1: Romania Travel

Currently in Arad, need to get to Bucharest ASAP. What is the state space?



- state space:
- actions (successor functions):
- initial state:
- desired (or goal) condition:

# Example 1: Romania Travel

- state space: the cities where you could be located.  
NB: In our abstraction: we are ignoring the low level details of driving, states where you are on the road between cities, etc.
- actions (successor functions): driving will advance you from one city to the next.
- initial state: in Arad
- desired (or goal) condition: be in a state where you are in Bucharest. (How many states satisfy this condition?)

A solution will be a sequence of cities to travel through to get to Bucharest

## Example 2: Water Jugs

We have a 3 gallon (liter) jug and a 4 gallon jug. We can fill either jug to the top from a tap, we can empty either jug, or we can pour one jug into the other (at least until the other jug is full).

- state space:
- actions (successor functions):
- initial state:
- desired (or goal) condition:

## Example 2: Water Jugs

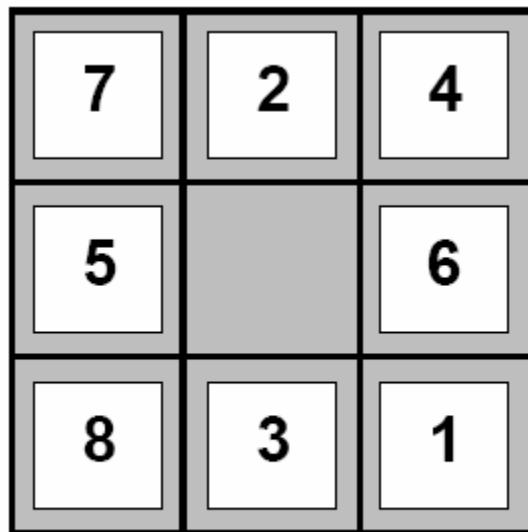
We have a 3 gallon (liter) jug and a 4 gallon jug. We can fill either jug to the top from a tap, we can empty either jug, or we can pour one jug into the other (at least until the other jug is full).

- state space:** pairs of numbers (gal3, gal4) where gal3 is the number of gallons in the 3 gallon jug, and gal4 is the number of gallons in the 4 gallon jug.
- actions (successor functions):** Empty-3-Gallon, Empty-4-Gallon, Fill-3-Gallon, Fill-4-Gallon, Pour-3-into-4, Pour 4-into-3.
- initial state:** Various, e.g., (0,0)
- desired (or goal) condition:** Various, e.g., (0,2) or (\*, 3) where \* means we don't care

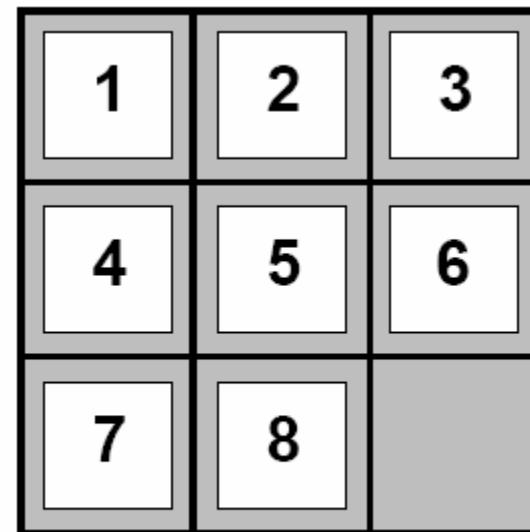
# Reflections on the Water Jug Problem

- If we start off with `gal3` and `gal4` as integers, can only reach integer values.
- Some values, e.g.,  $(1,2)$  are not reachable from some initial state, e.g.,  $(0,0)$ .
- Some actions are no-ops. They do not change the state, e.g.,
  - $(0,0) \rightarrow \text{Empty-3-Gallon} \rightarrow (0,0)$

## Example 3: The 8-Puzzle



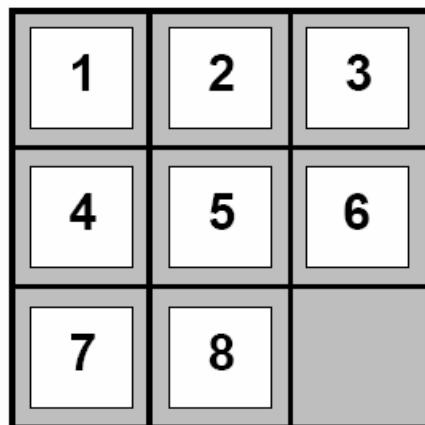
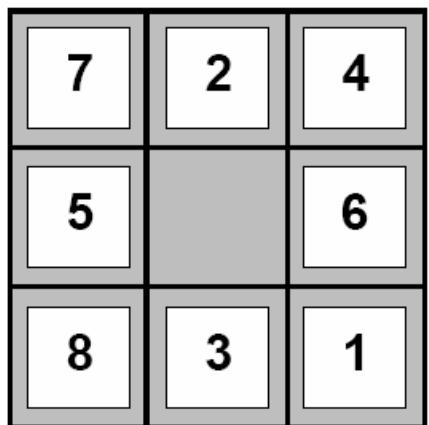
Start State



Goal State

**Rules:** Slide a tile into the blank spot. Get numbers in order, with blank spot at bottom right.

# Example 3: The 8-Puzzle



- state space:
- actions (successor functions):
- initial state:
- desired (or goal) condition:

# Example 3: The 8-Puzzle

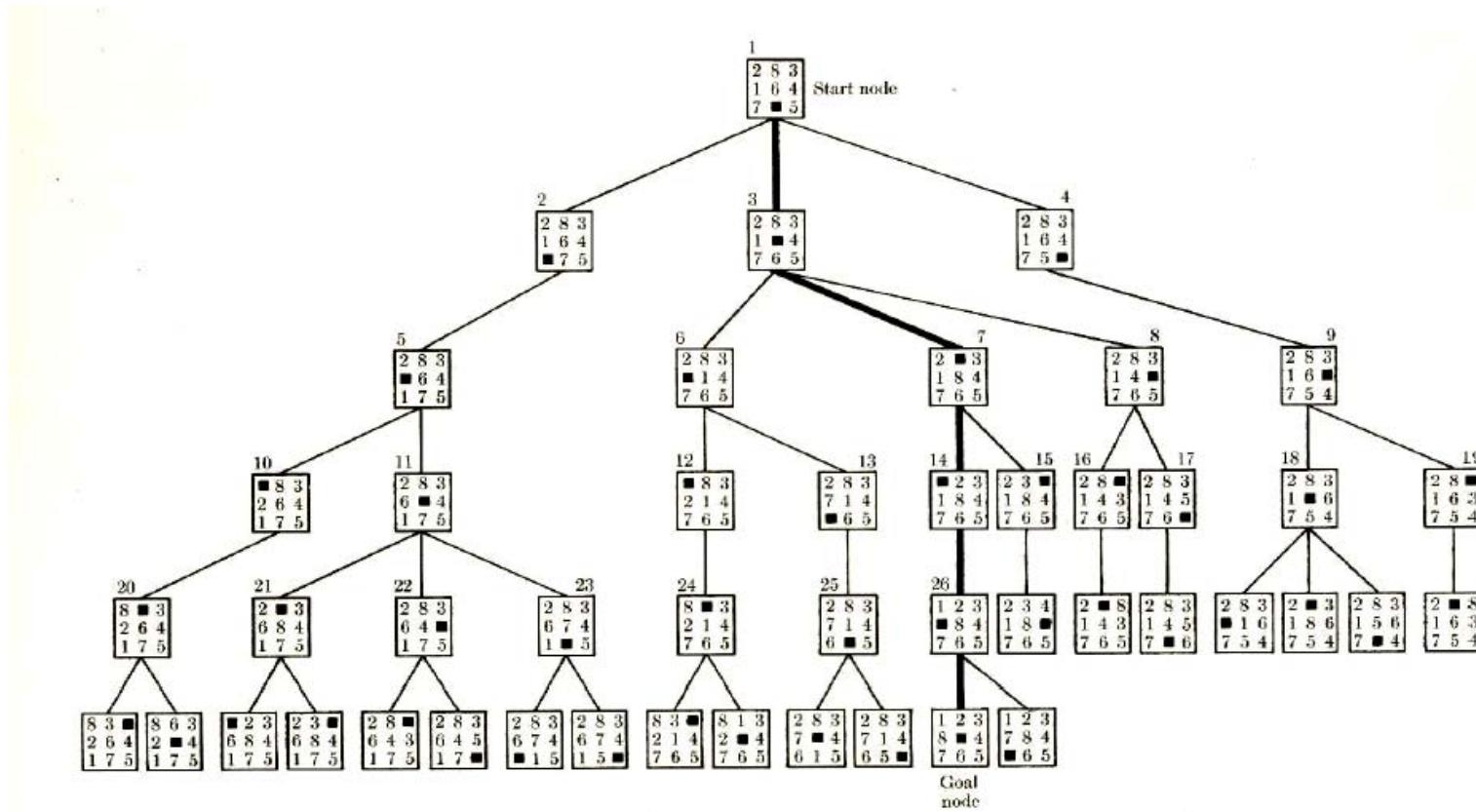
- state space: the different configurations of the tiles.  
How many different states?
- actions (or successor functions): moving the blank up, down, left, right. *Can every action be performed in every state?*
- initial state: e.g., state shown on previous slide.
- desired (or goal) condition: a state where tiles are in the positions shown on the previous slide.

Solution will be a sequence of moves of the blank that transform the initial state to a goal state.

# Reflections on the 8-Puzzle Problem

- Although there are  $9!$  different configurations of the tiles (362,880) in fact the state space is divided into two disjoint parts.
- Only when the blank is in the middle are all four actions possible.
- Our goal condition is satisfied by only a single state. But one could easily have a goal condition like:
  - The 8 is in the upper left hand corner.
    - How many different states satisfy this goal?

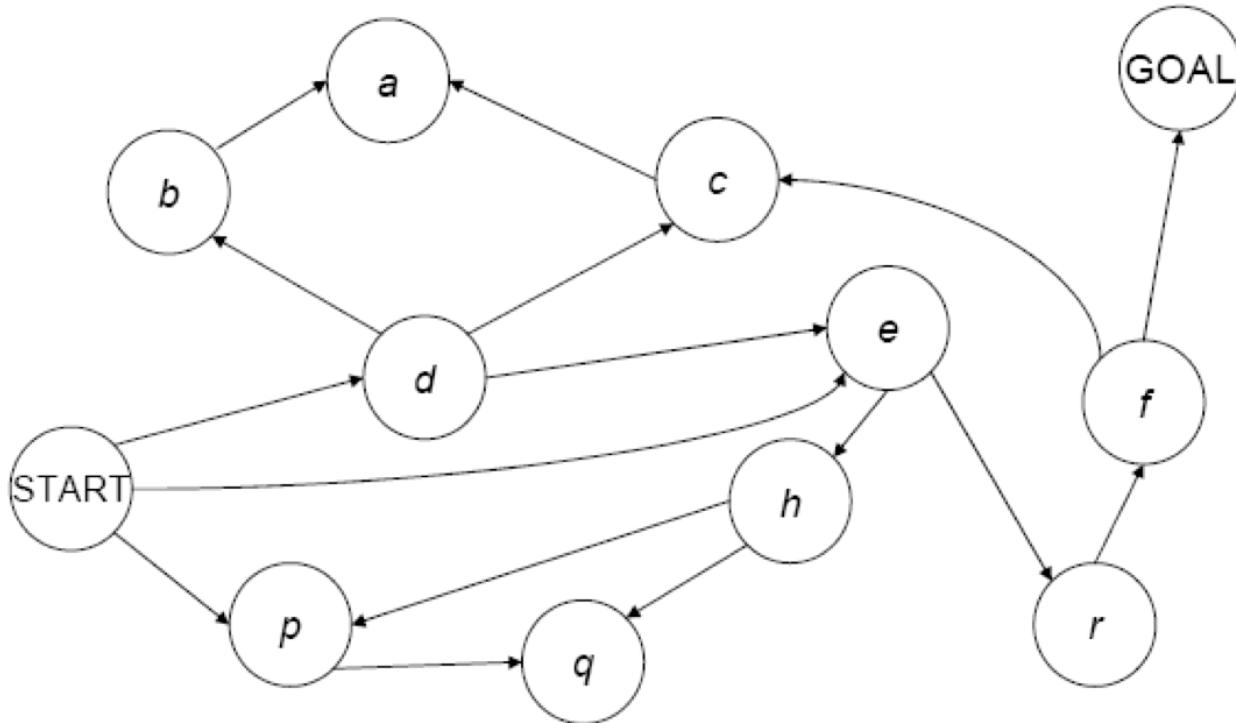
# Search Space for 8-Puzzle Problem



# More complex situations

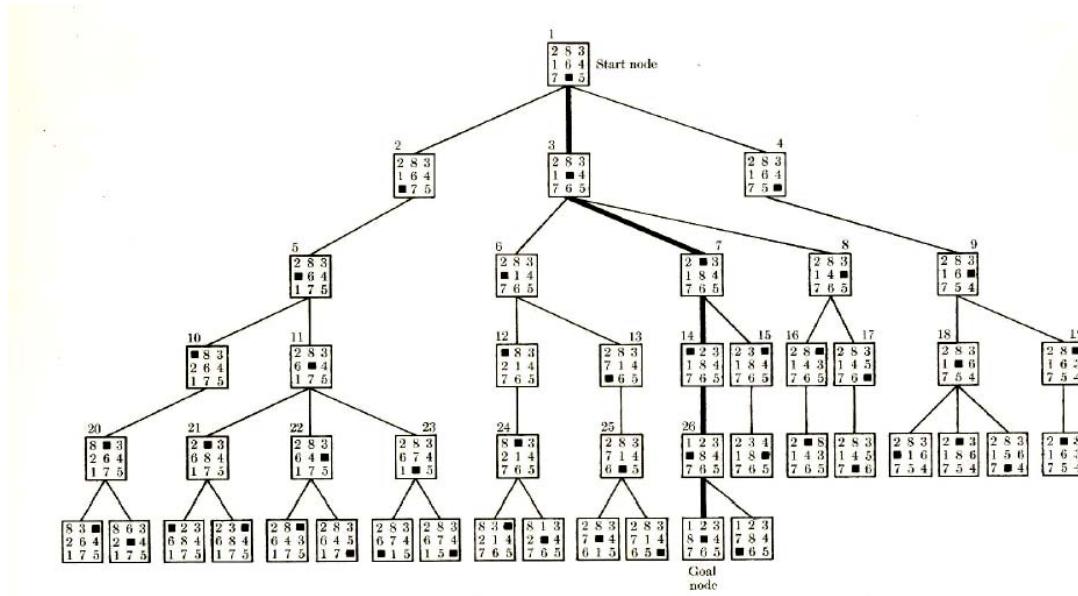
- Sometimes, actions may lead to multiple states, like flipping a coin.
- Other times, we may not be sure of a given state (prize is behind door 1, 2, or 3). In these situations, we might want to consider how **likely** different states and action outcomes are.
- Later we will see some techniques for reasoning under **uncertainty**.
- Some of these will be **probabilistic**, i.e. they will assign probabilities to given outcomes.

# Drawing Search: Graphical Representation



It can sometimes be useful to represent a search space as a graph containing **Vertices (V)** and **Edges (E)**. Vertices can be used to represent states in the search space and edges to represent transitions resulting from actions (or successor functions). This assumes a finite search space.

# Graphical Representation of Search Problem (Tree)



Search spaces can be represented by a particular kind of graph called a **tree**; attributes include a solution depth (**d**) and maximum branching factor (**b**). Note that the same state may appear many times in the tree.

# Algorithms for Search

Inputs:

- a specified **initial state** (a specific world state)
- a **successor function**  $S(x) = \{\text{set of states that can be reached from state } x \text{ via a single action}\}$ .
- a **goal test** a function that can be applied to a state and returns true if the state satisfies the goal condition.
- An **action cost function**  $C(x,a,y)$  which determines the cost of moving from state  $x$  to state  $y$  using action  $a$ . ( $C(x,a,y) = \infty$  if  $a$  does not yield  $y$  from  $x$ ). Note that different actions might generate the same move of  $x \rightarrow y$ .

# Algorithms for Search

## Outputs:

- a sequence of states leading from the initial state to a state satisfying the goal test.
- The sequence might be optimal in cost for some algorithms, optimal in length for some algorithms, or it come with no optimality guarantee.

# A Searching Template

To explore the state space during a search, we will iteratively apply the successor function to the states we discover.

Each time, the successor function  $S(x)$  yields a set of states that can be reached from  $x$  via any single action.

- It may be helpful to annotate states by the **action** used to obtain them:
  - $S(x) = \{<y,a>, <z,b>\}$   
arrive at  $y$  via action  $a$ , arrive at  $z$  via action  $b$ .
  - $S(x) = \{<y,a>, <y,b>\}$   
arrive at  $y$  via action  $a$ , also arrive at  $y$  via alternative action  $b$ .
- It may also be important to reference parents of annotated states (i.e. to store the state that came immediately prior a given action).
- The book's annotation of each state (or node) also includes **depth** and **cost**.

# A Searching Template

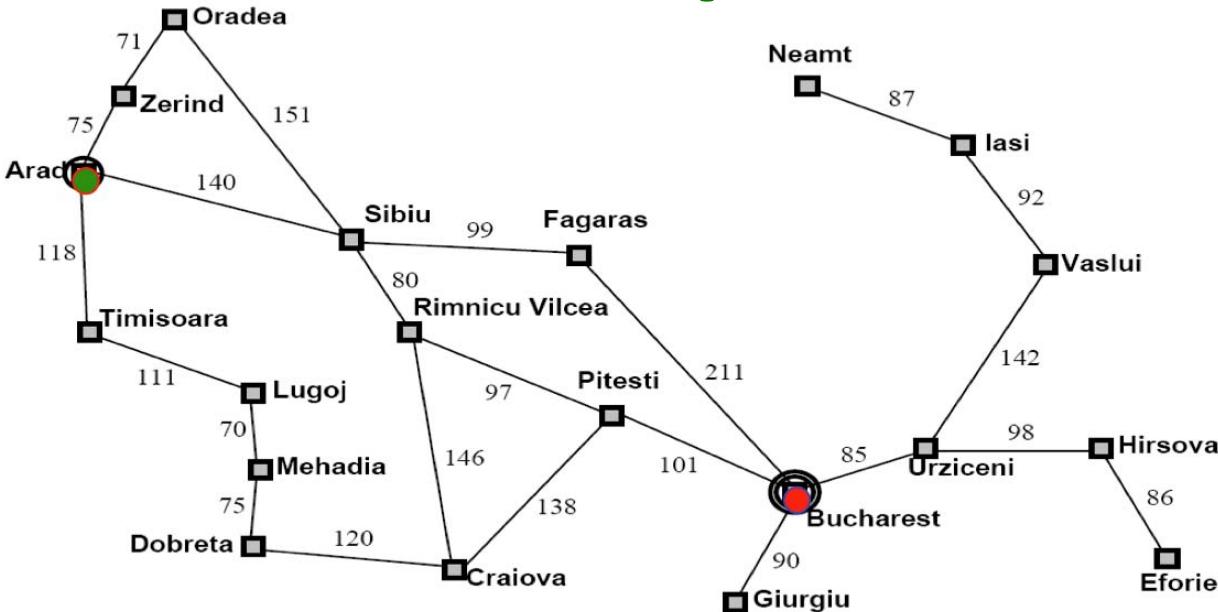
- To explore the state space during a search, we will iteratively apply the successor function to the states we discover.
- Each time, the successor function  $S(x)$  will yield a set of states that can be reached from  $x$  via any single action.
- As we search, we can annotate states by the action used to obtain them in order to keep a record of paths to a state:
  - $S(x) = \{<y,a>, <z,b>\}$   
arrive at  $y$  via action a, arrive at  $z$  via action b.
  - $S(x) = \{<y,a>, <z,b>\}$   
arrive at  $y$  via action a, also  $y$  via alternative action b.
- We can also reference each state's origin as we search (i.e., the preceding state).
- States may also be annotated with the cost of the path traversed in order to arrive at it.

# A Searching Template

- We put nodes (or states) we haven't yet explored or expanded, but want to explore, in a list called the **Frontier (or Open)**.
- Initially, all that is in the Frontier is the **initial state**.
- At each iteration, we pull a node from the Frontier, apply **S(x)**, and insert children back into the Frontier.

```
TreeSearch(Frontier, Successors, Goal? )  
    If Frontier is empty return failure  
    Curr = select state from Frontier  
    If (Goal?(Curr)) return Curr.  
    Frontier' = (Frontier - {Curr}) U Successors(Curr)  
    return TreeSearch(Frontier', Successors, Goal?)
```

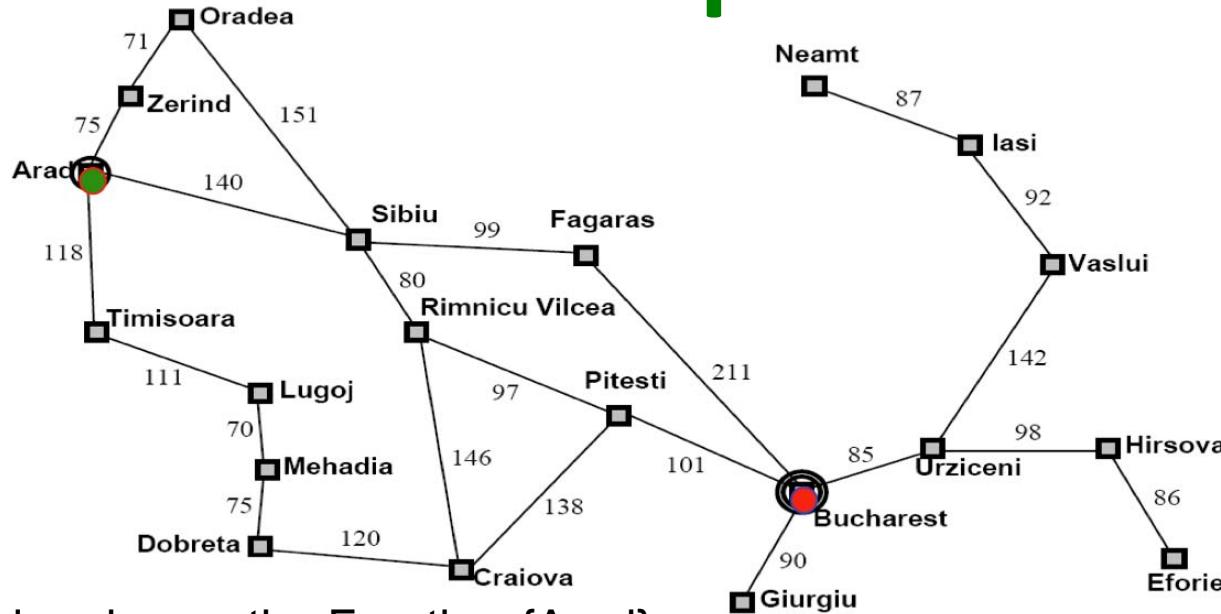
# Example



1. Initial nodes on the Frontier: {Arad}.
2. Expand **Arad**: {Z<A>, T<A>, S<A>},
3. Expand **Sibiu**: {Z<A>, T<A>, A<S,A>, O<S,A>, F<S,A>, R<S,A>}
4. Expand **Fagaras**: {Z<A>, T<A>, A<S,A>, O<S,A>, R<S,A>, S<F,S,A>, B<F,S,A>}

Solution is now on frontier; cost of this solution is **140+99+211 = 450**

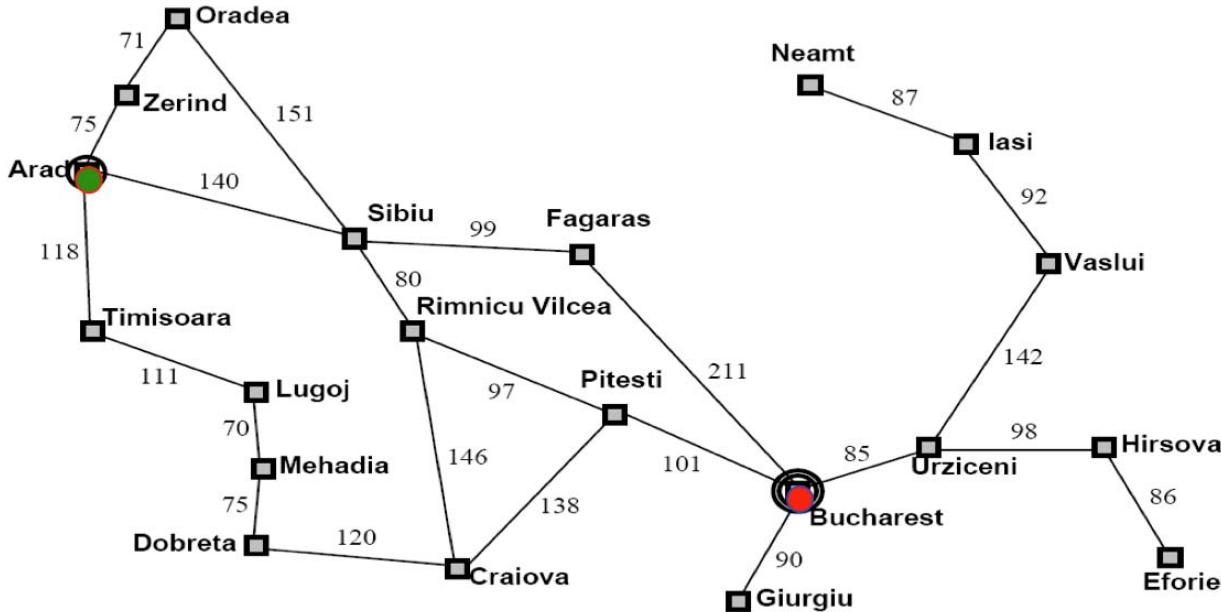
# Example



1. Initial nodes on the Frontier: {Arad}.
2. Expand **Arad**: {Z<A>, T<A>, **S<A>**},
3. Expand **Sibiu**: {Z<A>, T<A>, A<S,A>, O<S,A>, F<S,A>, **R<S,A>**}
4. Expand **R.V.**: {Z<A>, T<A>, A<S,A>, O<S,A>, R<S,A>, S<R,S,A>, **P<R,S,A>**, C<R,S,A>}
5. Expand **Pitesti**: {Z<A>, T<A>, A<S,A>, O<S,A>, R<S,A>, S<R,S,A>, P<R,S,A>, C<R,S,A>, R<P,R,S,A>, C<P,R,S,A>, **B<P,R,S,A>**}

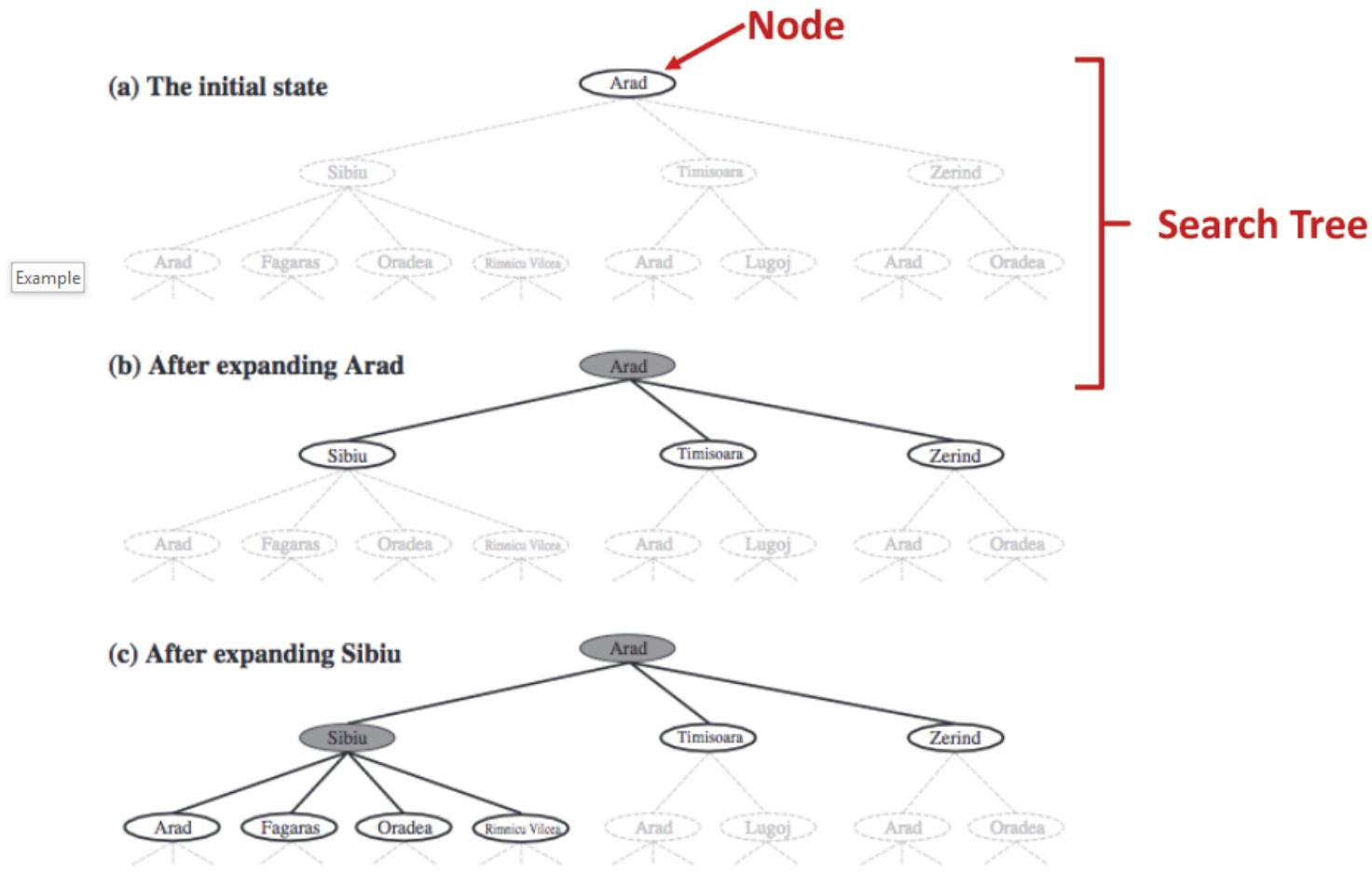
Solution is now on frontier; cost of this solution is **140+80+97+101= 418**

# Reflections on Example



1. In this problem, the Frontier here contains a set of **paths**, not just **states**.
2. **Cycles** can create problems
3. The **order** states are selected from the Frontier has a **critical** effect on:
  - Whether or not a solution is found
  - The **cost** of the solution that is found.
  - The **time** and **space** required by the search.

# Search Tree Representation



# Critical Properties of Search

- **Completeness**: will the search always find a solution if a solution exists?
- **Optimality**: will the search always find the least cost solution? (when actions have costs)
- **Time complexity**: what is the maximum number of nodes (paths) than can be expanded or generated?
- **Space complexity**: what is the maximum number of nodes (paths) that have to be stored in memory?

# Uninformed Search Strategies

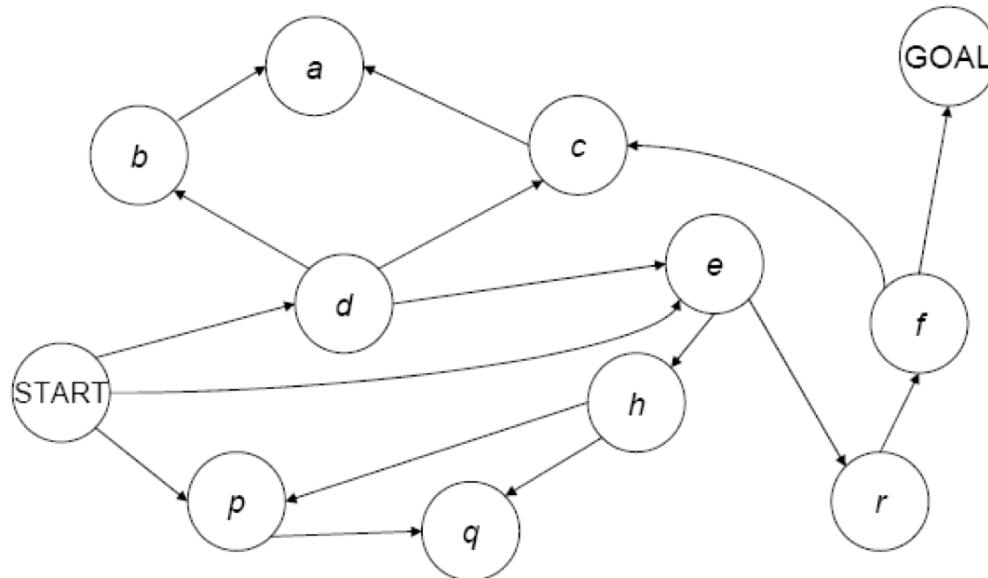
- These are strategies that adopt a fixed rule for selecting the next state to be expanded.
- The rule remains the same for any search problem being solved; it does not change.
- These strategies do not take into account any domain specific information about the particular search problem.
- Uninformed search techniques:
  - Breadth-First, Uniform-Cost, Depth-First, Depth-Limited, Iterative-Deepening

# Selecting Nodes on the Frontier

Selection can be achieved by employing an appropriate ordering of the frontier set, i.e.:

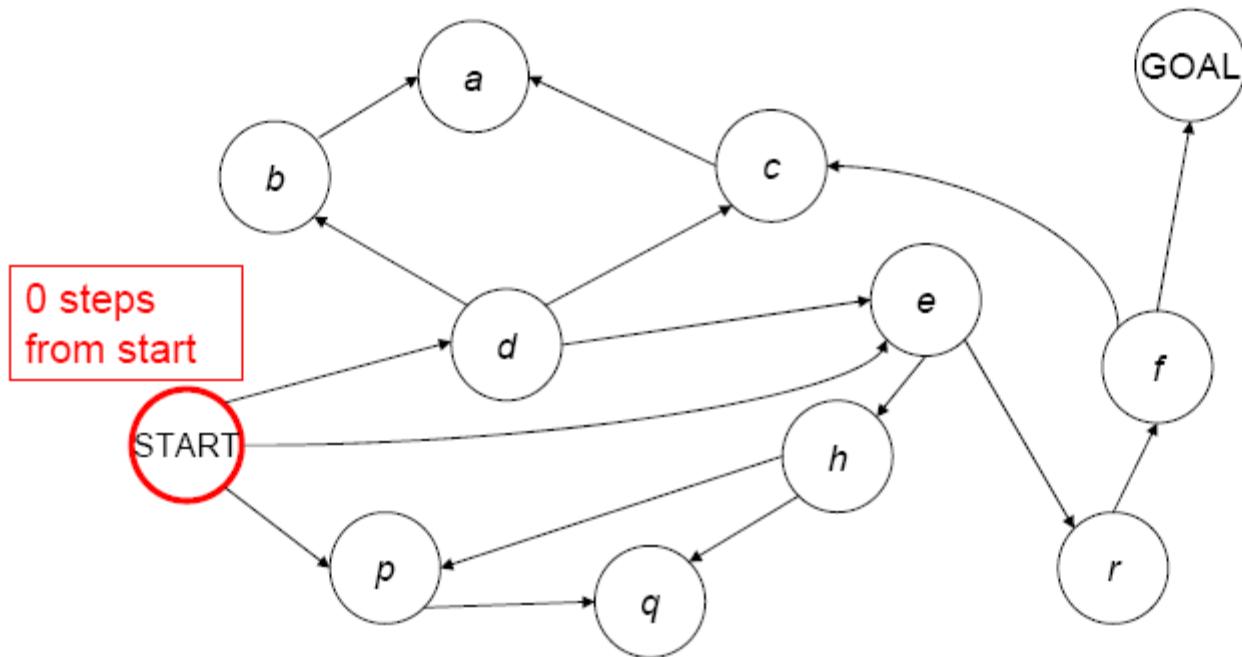
1. Order the elements on the Frontier.
2. Always select the first element.

# Breadth First Search

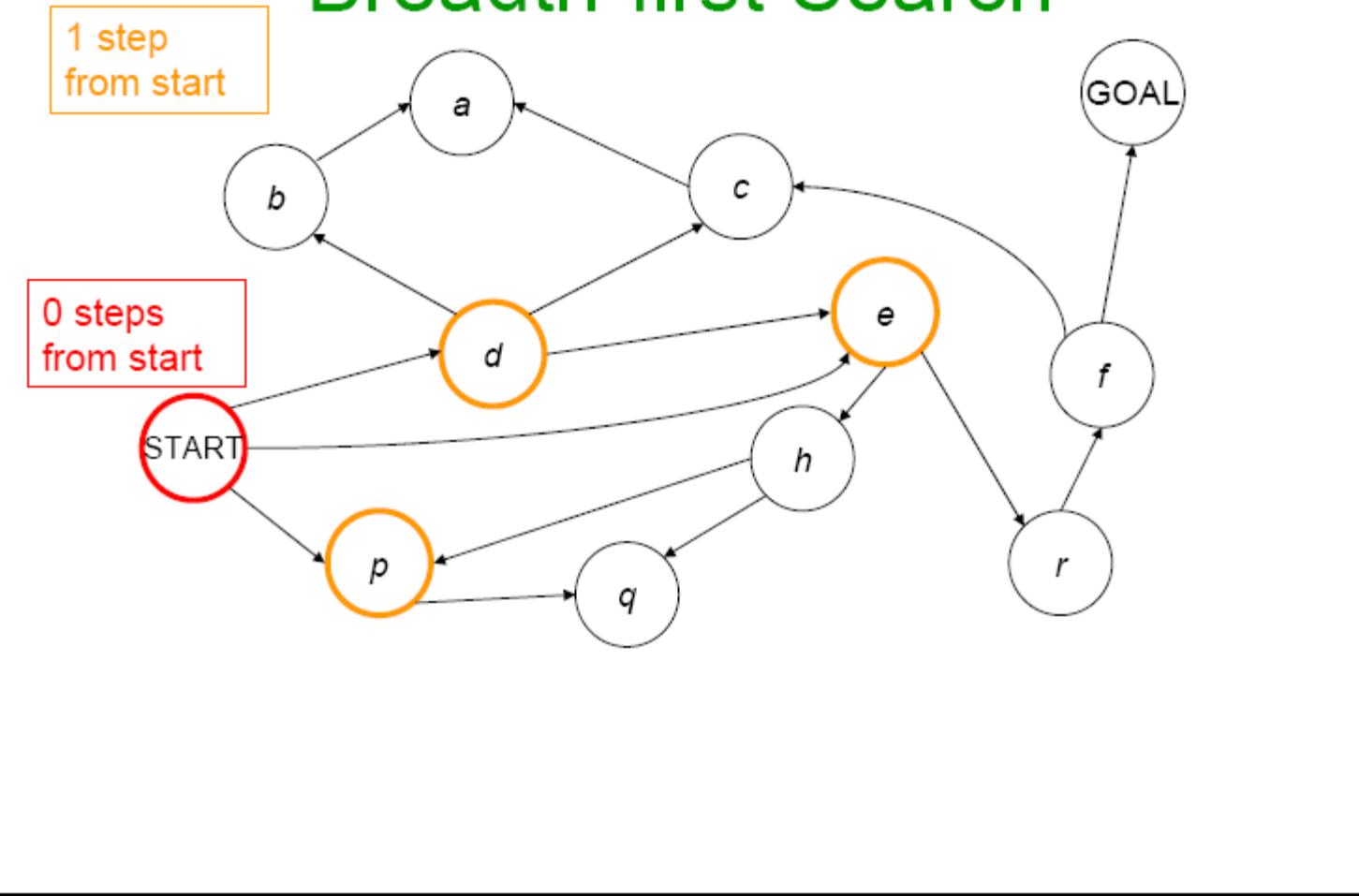


1. Place Start in the Frontier.
2. Expand all nodes reachable from Start in 1 step, but not more than 1; add path to back of Frontier list.
3. Expand all nodes reachable from Start in 2 step, but not more than 2; add path to back of Frontier list.
4. Expand all nodes reachable from Start in 3 step, but not more than 3; add to path back of Frontier list.
5. And so on ....

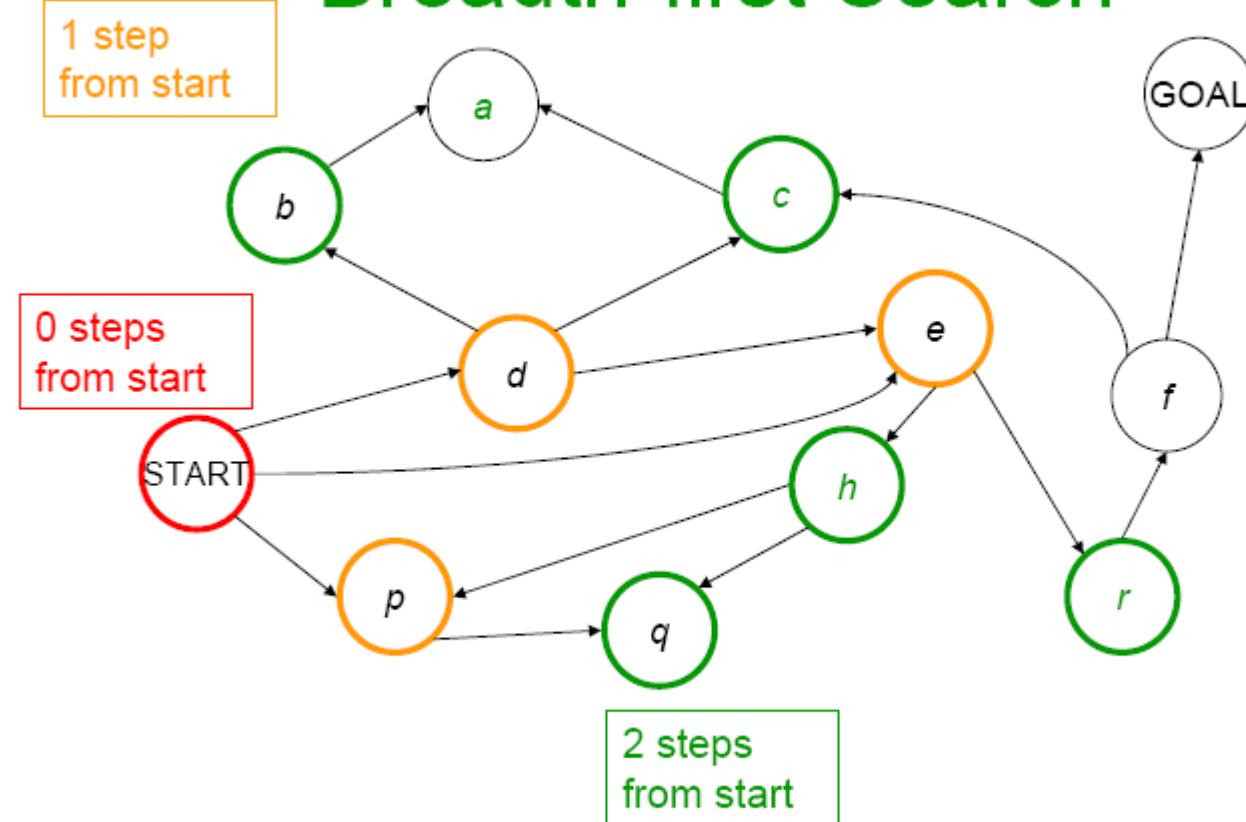
## Breadth-first Search



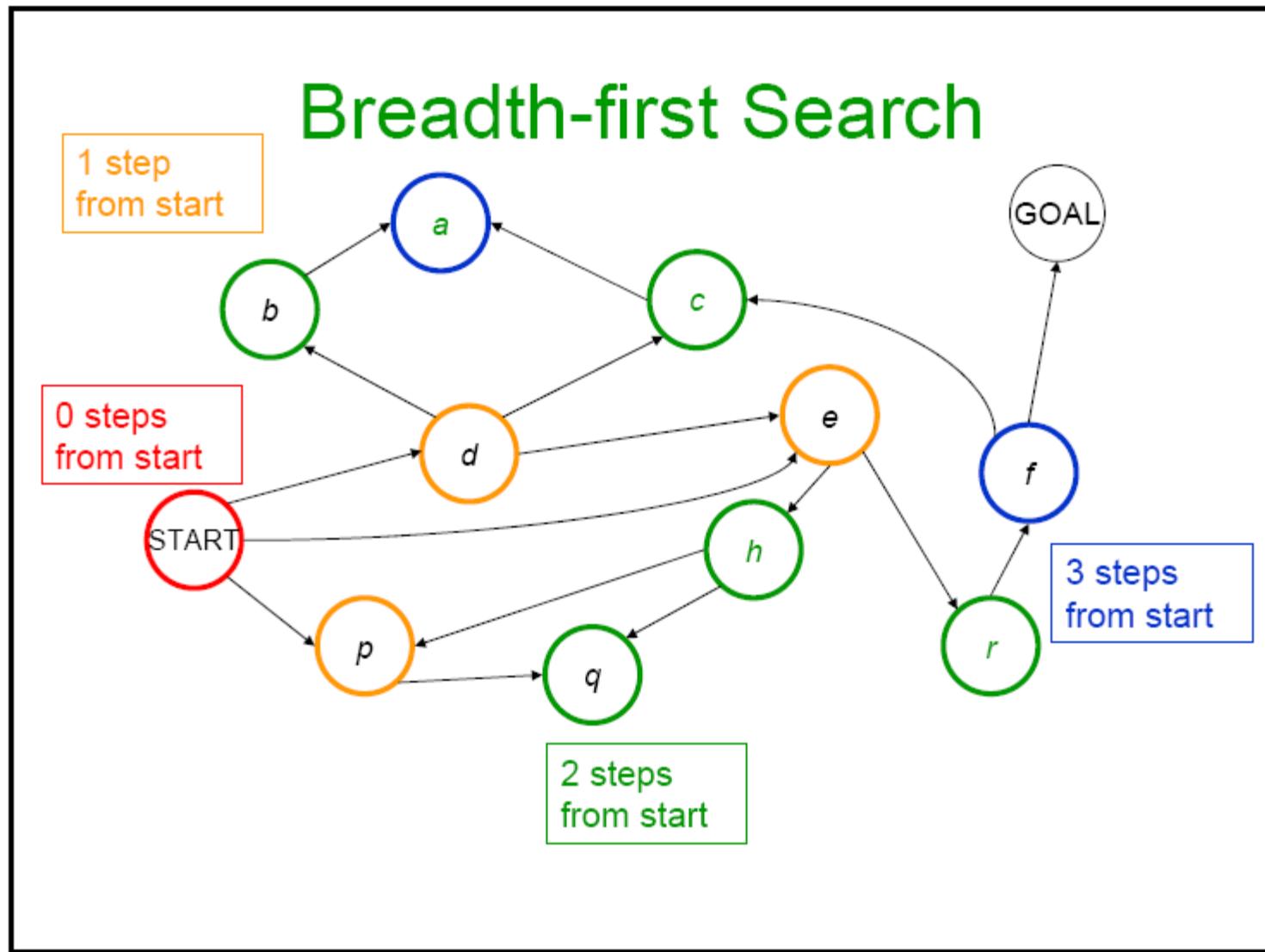
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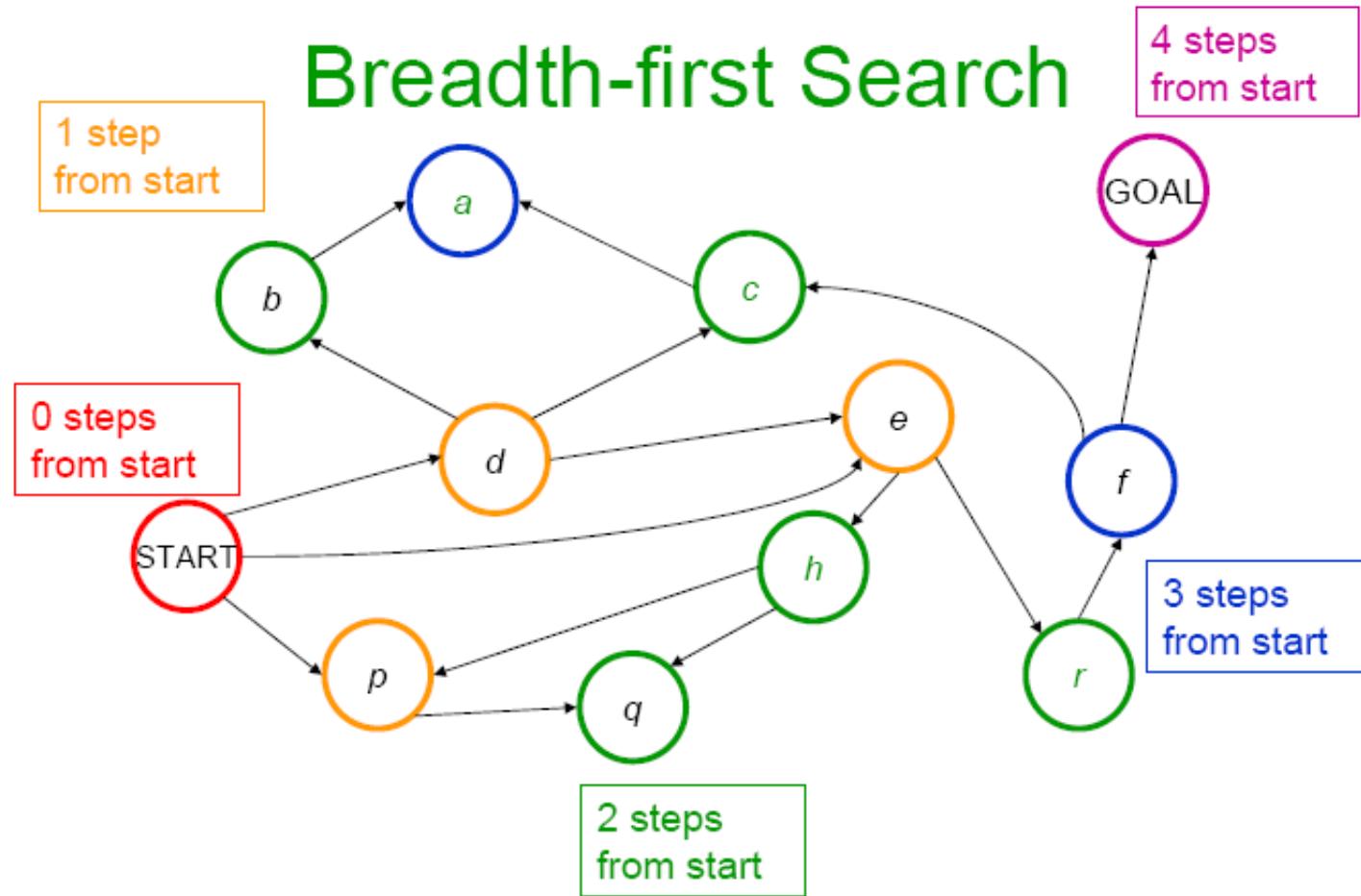
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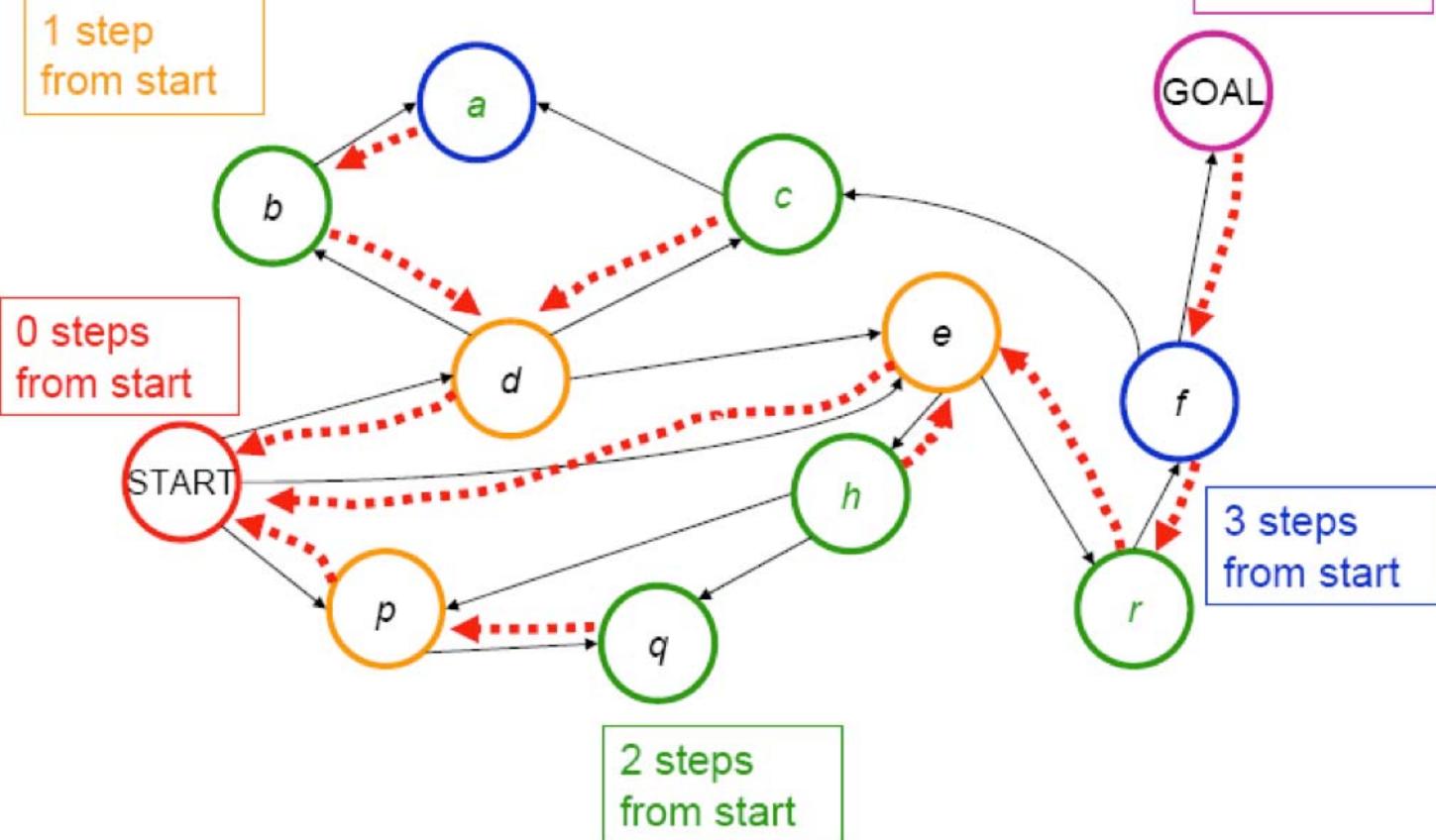
# Breadth-first Search



# Breadth-first Search



# Solved!!



# BFS for the Water Jug Problem

initial state =  $(0,0)$ , goal state =  $(*,2)$ , actions (successor functions): Empty-3-Gallon, Empty-4-Gallon, Fill-3-Gallon, Fill-4-Gallon, Pour-3-into-4, Pour 4-into-3.

1. Frontier =  $\{<(0,0)>\}$

*Here, we store complete paths on the frontier.*

# BFS for the Water Jug Problem

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2. Frontier =  $\{(0,0), (3,0), (0,4)\}$

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1. Frontier =  $\{<(0,0)>\}$

2. Frontier =  $\{<(0,0),(3,0)>, <(0,0),(0,4)>\}$

3. Frontier =  $\{<(0,0),(0,4)>, <(0,0),(3,0),(0,0)>, <(0,0),(3,0),(3,4)>, <(0,0),(3,0),(0,3)>\}$

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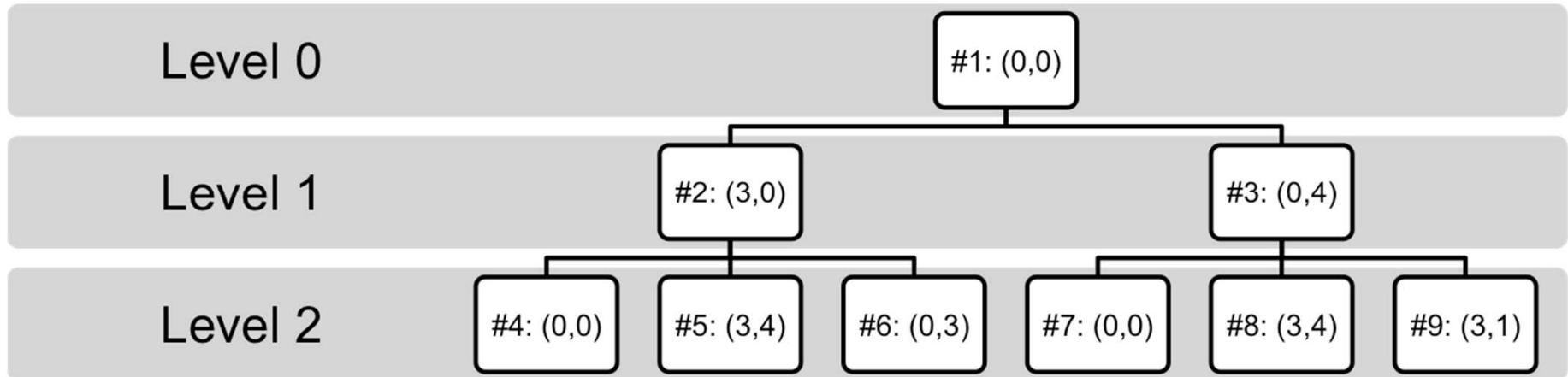
2. Frontier =  $\{<(0,0),(3,0)>, <(0,0),(0,4)>\}$

3. Frontier =  $\{<(0,0),(0,4)>, <(0,0),(3,0),(0,0)>, <(0,0),(3,0),(3,4)>, <(0,0),(3,0),(0,3)>\}$

4. Frontier =  $\{<(0,0),(3,0),(0,0)>, <(0,0),(3,0),(3,4)>, <(0,0),(3,0),(0,3)>, <(0,0),(0,4),(0,0)>, <(0,0),(0,4),(3,4)>, <(0,0),(0,4),(3,1)>\}$

*Here, we store complete paths on the frontier.*

# BFS for the Water Jug Problem



In the tree above we order the states explored; paths to states are represented by the path from the root to that states.

Breadth-First Search explores the search space level by level.

# Breadth-First Properties

The tree representation enables us to measure time and space complexity.

- let **b** be the maximum number of successors of any node (i.e. the maximal branching factor).
- let **d** be the depth of the shortest solution.
  - Root at depth 0 generates a path of length 1
  - So  $d = \text{length of path} - 1$

What is the Time Complexity?

# Breadth-First Properties

Measuring time and space complexity.

- let  $b$  be the maximum number of successors of any node (maximal branching factor).
- let  $d$  be the depth of the shortest solution.
  - Root at depth 0 generates a path of length 1
  - So  $d = \text{length of path} - 1$

What is the Time Complexity?

$$1 + b + b^2 + b^3 + \dots + b^{d-1} + b^d + b(b^d - 1) = O(b^{d+1})$$

# Breadth-First Properties

Space Complexity?

# Breadth-First Properties

Space Complexity?

**O( $b^{d+1}$ ):** If goal node is last node at level  $d$ , all of the successors of the other nodes will be on the Frontier when the goal node is expanded  $b(b^d - 1)$

Optimality?

# Breadth-First Properties

Space Complexity?

**O( $b^{d+1}$ ):** If goal node is last node at level  $d$ , all of the successors of the other nodes will be on the Frontier when the goal node is expanded  $b(b^d - 1)$

Optimality?

We will find the shortest length solution. *Is this the least cost solution?*

Completeness?

# Breadth-First Properties

Space Complexity?

**O( $b^{d+1}$ ):** If goal node is last node at level  $d$ , all of the successors of the other nodes will be on the Frontier when the goal node is expanded  $b(b^d - 1)$

Optimality?

We will find the shortest length solution. *Is this the least cost solution?*

Completeness?

Eventually we must examine all paths of length  $d$ , and thus we will find a solution if one exists.

# Breadth-First Properties

Space complexity is a real problem.

- E.g., let  $b = 10$ , and say 100,000 nodes can be expanded per second and each node requires 100 bytes of storage:

Depth	Nodes	Time	Memory
1	1	0.01 millisec.	100 bytes
6	$10^6$	10 sec.	100 MB
8	$10^8$	17 min.	10 GB
9	$10^9$	3 hrs.	100 GB

- Typically run out of space before we run out of time in most applications.

# Depth-First Search

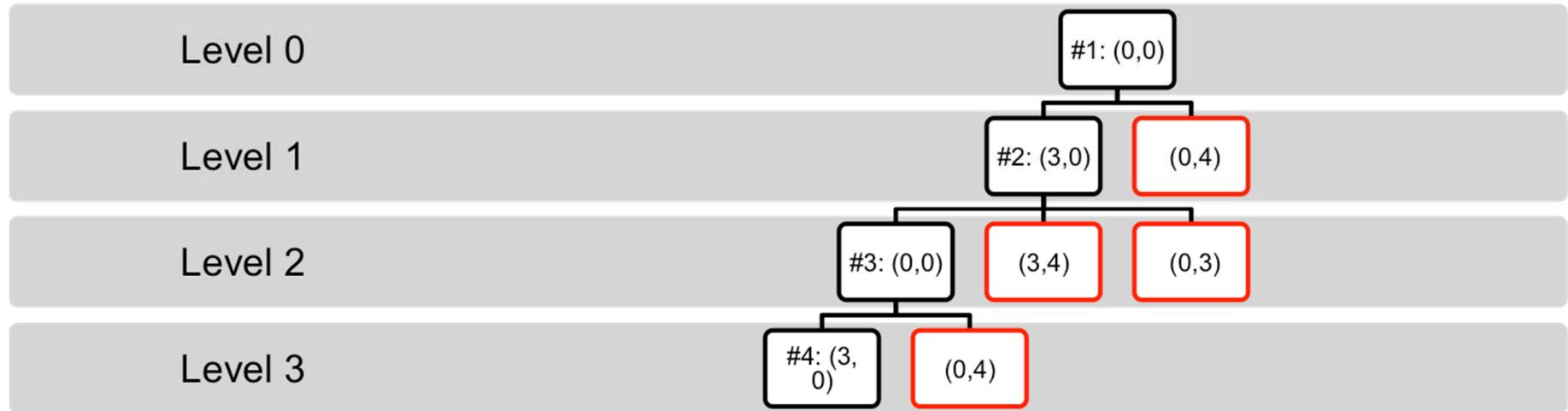
Like BFS, but instead of at the back we place the new paths that extend the current path at the **front** of the Frontier.

# Depth-First Search

initial state = (0,0), goal state = (\*,2), actions (successor functions) = Empty-3-Gallon, Empty-4-Gallon, Fill-3-Gallon, Fill-4-Gallon, Pour-3-into-4, Pour 4-into-3.

1. Frontier = {<(0,0)>}
2. Frontier = {<(0,0), (3,0)>, <(0,0), (0,4)>}
3. Frontier = {<(0,0),(3,0),(0,0)>, <(0,0),(3,0),(3,4)>, <(0,0),(3,0),(0,3)>, <(0,4),(0,0)>}
4. Frontier = {<(0,0),(3,0),(0,0),(3,0)>, <(0,0),(3,0),(0,0),(0,4)> <(0,0), (3,0), (3,4)>, <(0,0),(3,0),(0,3)>, <(0,0),(0,4)>}

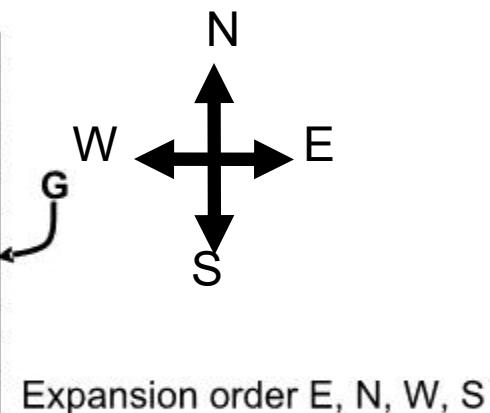
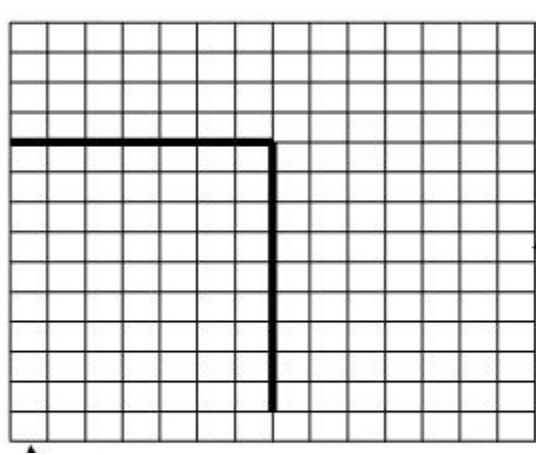
# Depth-First Search



Red nodes are backtrack points (these nodes remain on Frontier).

## Maze example

Imagine states are cells in a maze, you can move N, E, S, W. What would **plain DFS** do, assuming it always expanded the E successor first, then N, then W, then S?

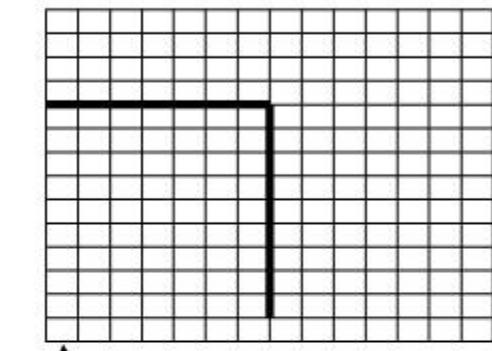


Expansion order E, N, W, S

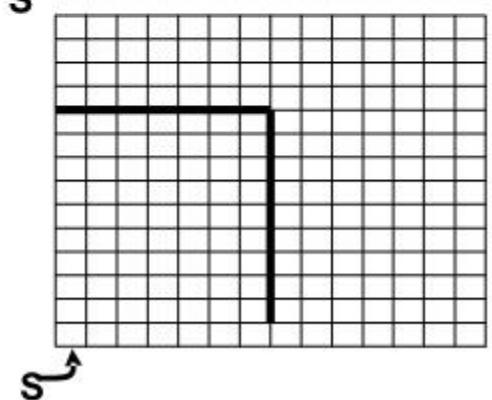
start

What would BFS do?

## Two other DFS examples



Order: N, E, S, W?



Order: N, E, S, W  
with loops prevented

# Depth-First Properties

Complete?

# Depth-First Properties

Complete?

**NO**, if there are infinite paths

**NO**, if there are cycles in the graph

- Prune paths with cycles (duplicate states)

**YES**, if state space is finite.

Optimal?

# Depth-First Properties

Complete?

**NO**, if there are infinite paths

**NO**, if there are cycles in the graph

- Prune paths with cycles (duplicate states)

**YES**, if state space is finite.

Optimal?

**NO**

# Depth-First Properties

Time Complexity?

# Depth-First Properties

## Time Complexity?

- $O(b^m)$  where  $m$  is the length of the longest path in the state space.
- Very bad if  $m$  is much larger than  $d$  (shortest path to a goal state), but if there are many solution paths it can be much faster than breadth first (by good luck, can bump into a solution quickly).

## Space Complexity?

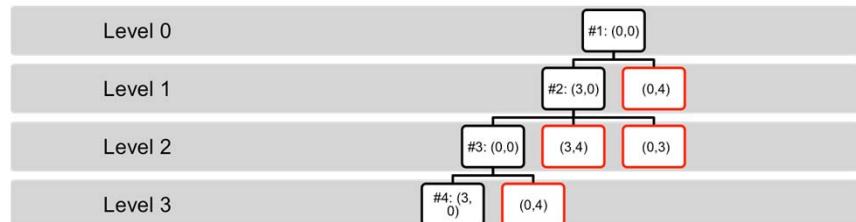
# Depth-First Properties

## Time Complexity?

- $O(b^m)$  where  $m$  is the length of the longest path in the state space.
- Very bad if  $m$  is much larger than  $d$  (shortest path to a goal state), but if there are many solution paths it can be much faster than breadth first (by good luck, can bump into a solution quickly).

## Space Complexity?

- $O(bm)$ , linear space!
  - Only explore a single path at a time.
  - Frontier only contains the deepest node on the current path along with the **backtrack** points (references to unexplored siblings of states).
- A significant advantage of DFS



# Depth Limited Search

Breadth first has space problems. Depth first can run off down a very long (or infinite) path.

## Depth limited search

- Perform depth first search but only to a depth limit  $d$ .
    - The ROOT is at DEPTH 0. ROOT is a path of length 1.
  - No node representing a path of length more than  $d+1$  is placed on the Frontier.
  - “Truncate” the search by looking *only* at paths of length  $d+1$  or less.
- 
- Now infinite length paths are not a problem.
  - But will only find a solution if a solution of  $\text{DEPTH} \leq d$  exists.

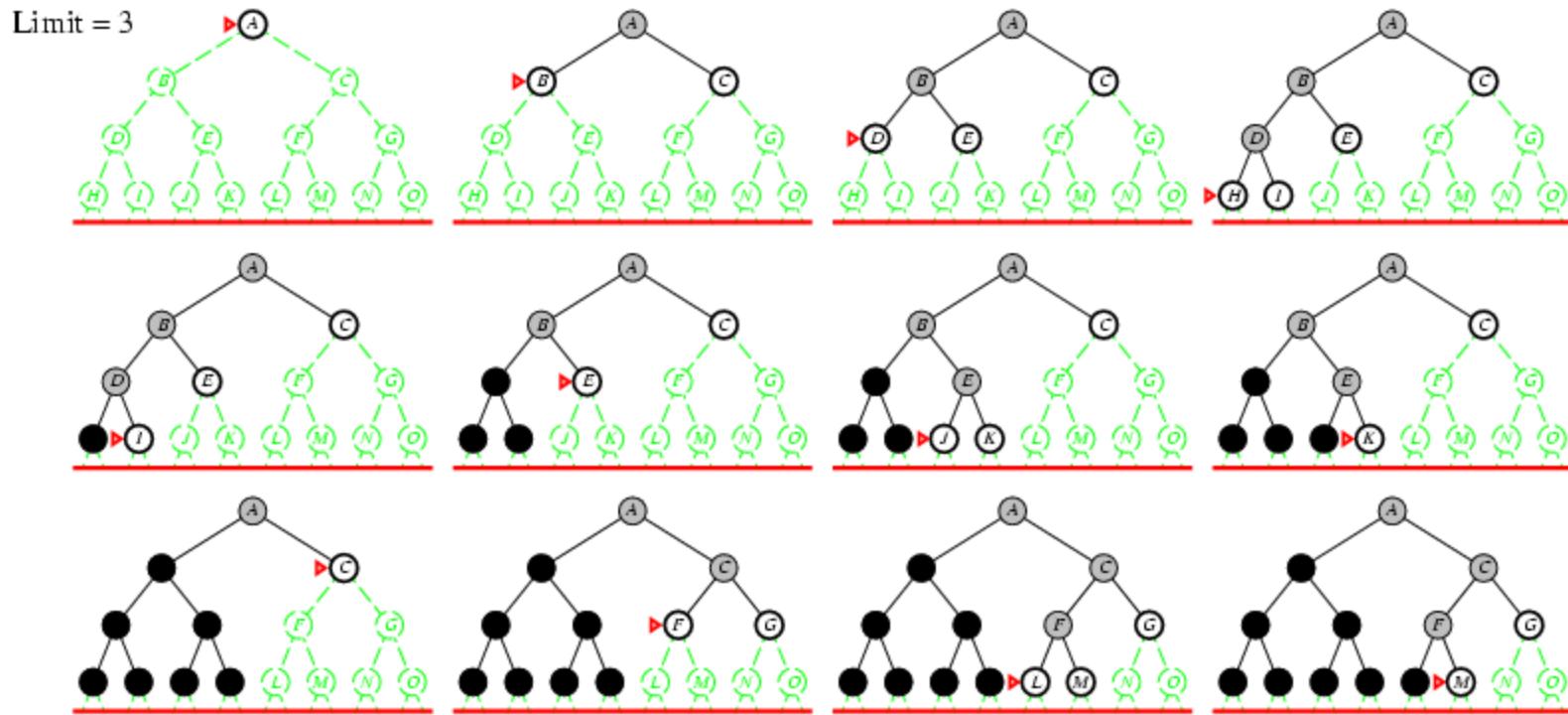
# Depth Limited Search

```
DLS (Frontier, Successors, Goal?) /* Call with Frontier = {<START>} */
```

```
WHILE (Frontier not EMPTY) {
    n= select first node from Frontier
    Curr = terminal state of n
    If(Goal?(Curr)) return n

    If Depth(n) < D //Don't add successors if Depth(n) = D!!
        Frontier= (Frontier- {n}) U Successors(Curr)
    Else
        Frontier= Frontier- {n}
        CutOffOccured = TRUE.
}
return FAIL
```

# Depth Limited Search Example



# Iterative Deepening Search

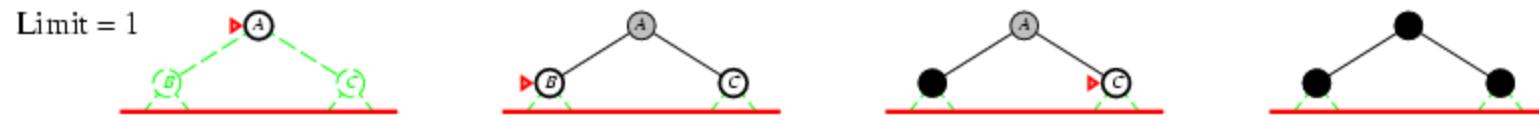
- Solve the problems of depth-first and breadth-first by extending depth limited search.
- Starting at depth limit  $L = 0$ , we iteratively increase the depth limit, performing a depth limited search for each depth limit.
- Stop if a solution is found, or if the depth limited search failed without cutting off any nodes because of the depth limit.
  - If no nodes were cut off, the search examined all paths in the state space and found no solution → no solution exists.

# Iterative Deepening Search

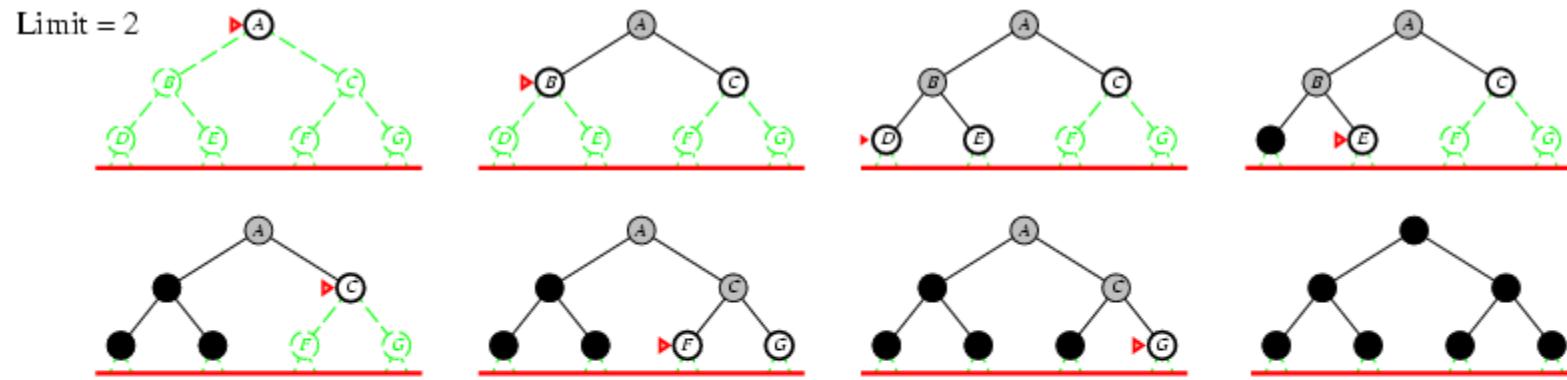
Limit = 0



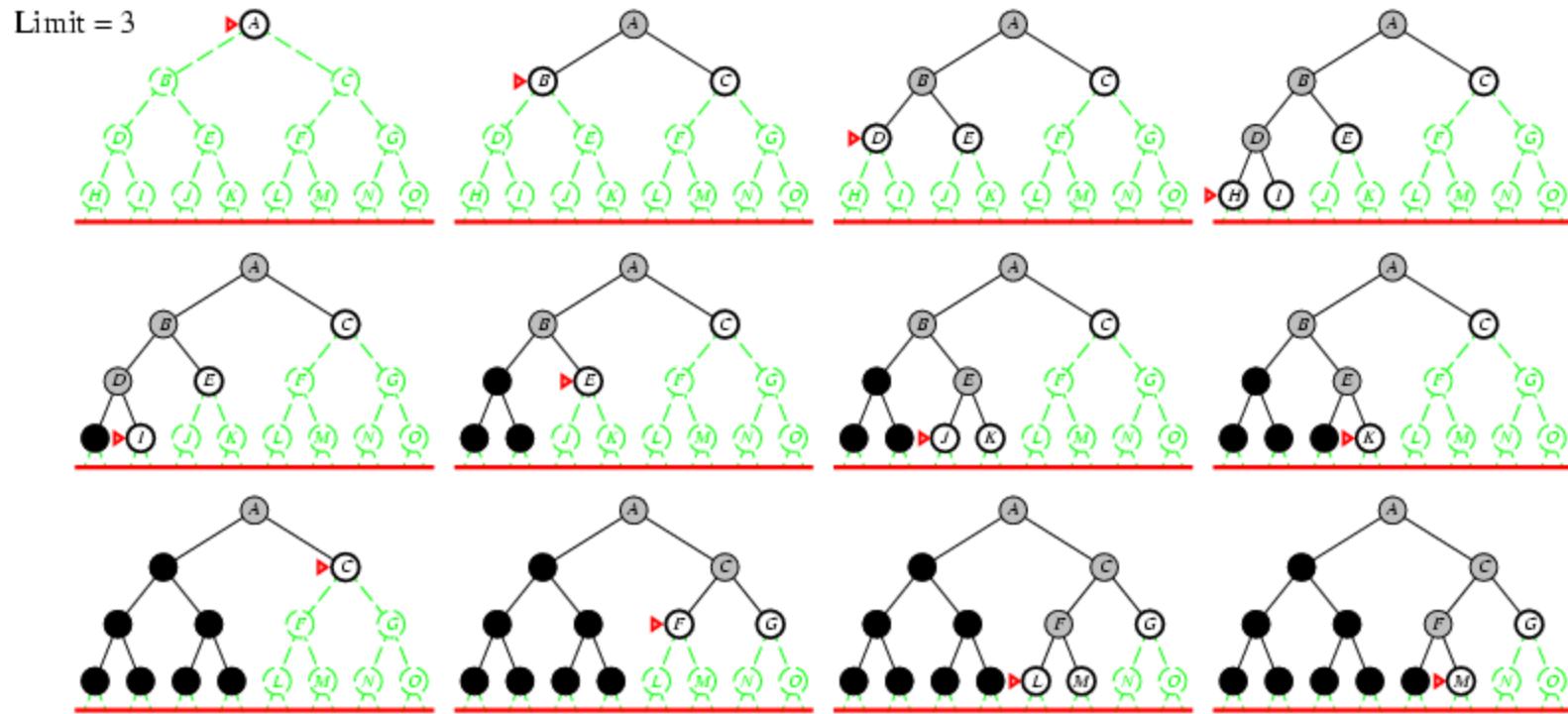
# Iterative Deepening Search



# Iterative Deepening Search



# Iterative Deepening Search



# Iterative Deepening Search

Completeness?

- YES, if a minimal depth solution of depth  $d$  exists.
  - What happens when the depth limit  $L=d$ ?
  - What happens when the depth limit  $L < d$ ?

Time Complexity?

## Iterative Deepening Search

### Time Complexity?

- $(d+1)b^0 + db^1 + (d-1)b^2 + \dots + b^d = O(b^d)$
- E.g.  $b=4, d=10$ 
  - $(11)*4^0 + 10*4^1 + 9*4^2 + \dots + 4^{10} = 1,864,131$
  - $4^{10} = 1,048,576$
  - Most nodes lie on bottom layer.

# BFS can explore more states than IDS!

- For IDS, the time complexity is
  - $(d+1)b^0 + db^1 + (d-1)b^2 + \dots + b^d = O(b^d)$
- For BFS, the time complexity is
  - $1 + b + b^2 + b^3 + \dots + b^d + b(b^d - 1) = O(b^{d+1})$

E.g.  $b=4$ ,  $d=10$

- For IDS
  - $(11)^*4^0 + 10^*4^1 + 9^*4^2 + \dots + 4^{10} = 1,864,131$  (states generated)
- For BFS
  - $1 + 4 + 4^2 + \dots + 4^{10} + 4(4^{10} - 1) = 5,592,401$  (states generated)
  - In fact IDS can be more efficient than breadth first search: nodes at limit are not expanded. BFS must expand all nodes until it expands a goal node. So at the bottom layer it will add many nodes to Frontier before finding the goal node.

# Iterative Deepening Search Properties

Space Complexity?

- $O(bd)$  ... still linear!

Optimal?

- Will find shortest length solution which is optimal if costs are uniform.
- If costs are *not* uniform, we can use a “cost” bound instead.
  - Only expand paths of cost less than the cost bound.
  - Keep track of the minimum cost unexpanded path in each depth first iteration, increase the cost bound to this on the next iteration.
  - This can be more expensive. Need as many iterations of the search as there are distinct path costs.

# Path Checking

Recall that paths are commonly stored on the Frontier.

If  $n_k$  represents the path  $\langle s_0, s_1, \dots, s_k \rangle$  and we expand  $s_k$  to obtain child  $c$ , we have

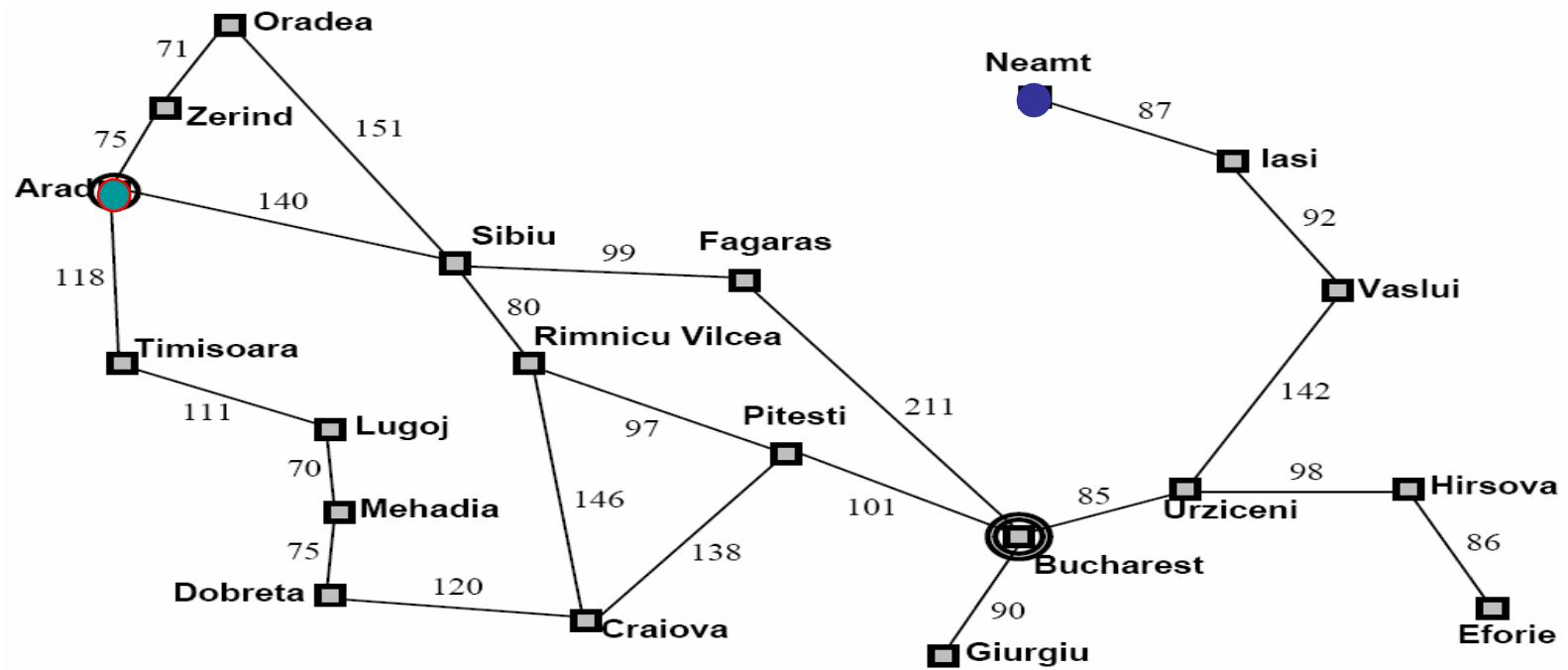
$$\langle s_0, s_1, \dots, s_k, c \rangle$$

as the path to “c”.

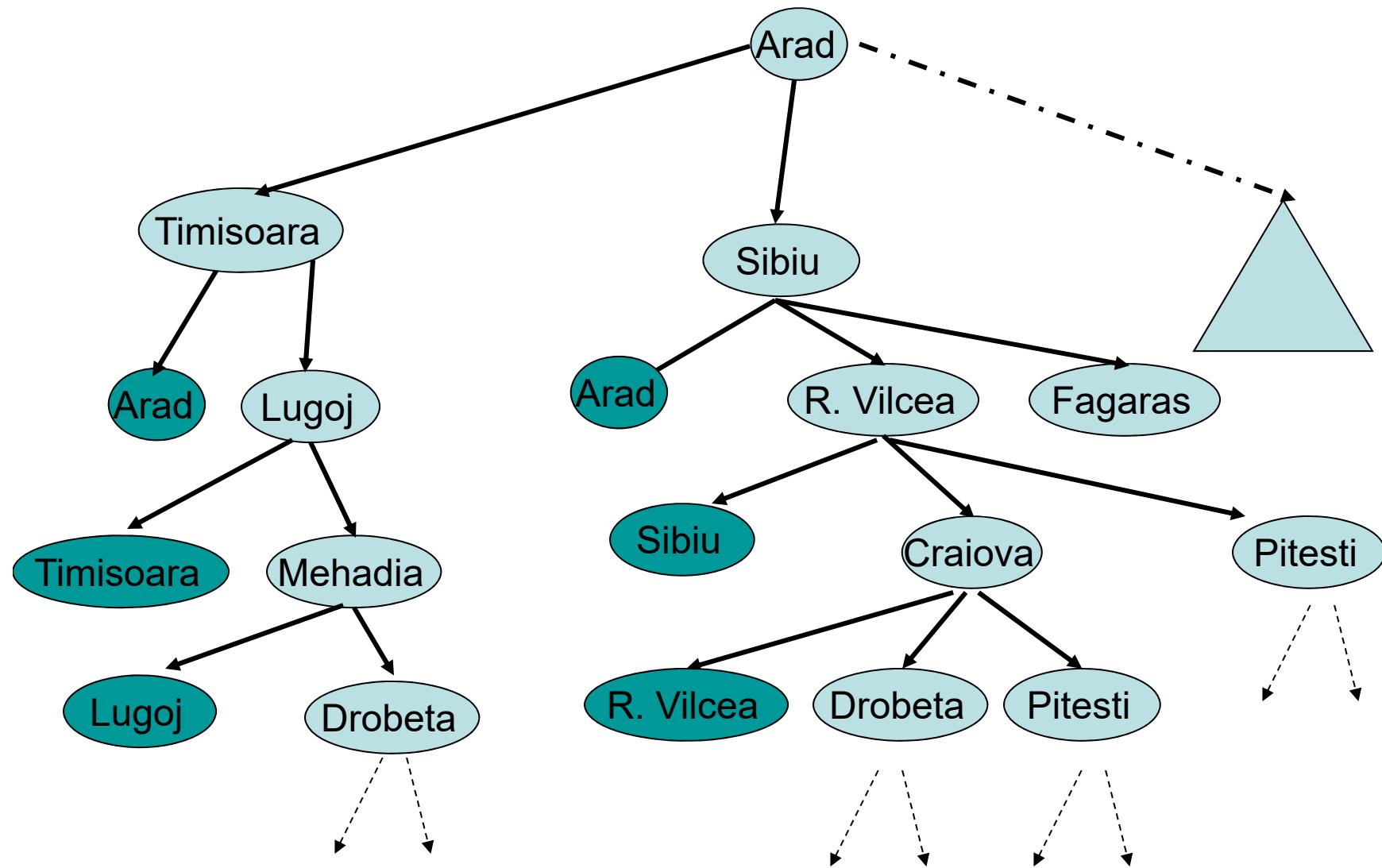
Path checking:

- Ensure that the state  $c$  is not equal to the state reached by any ancestor of  $c$  along this path.
- Paths are checked in isolation!

# Example: Arad to Neamt



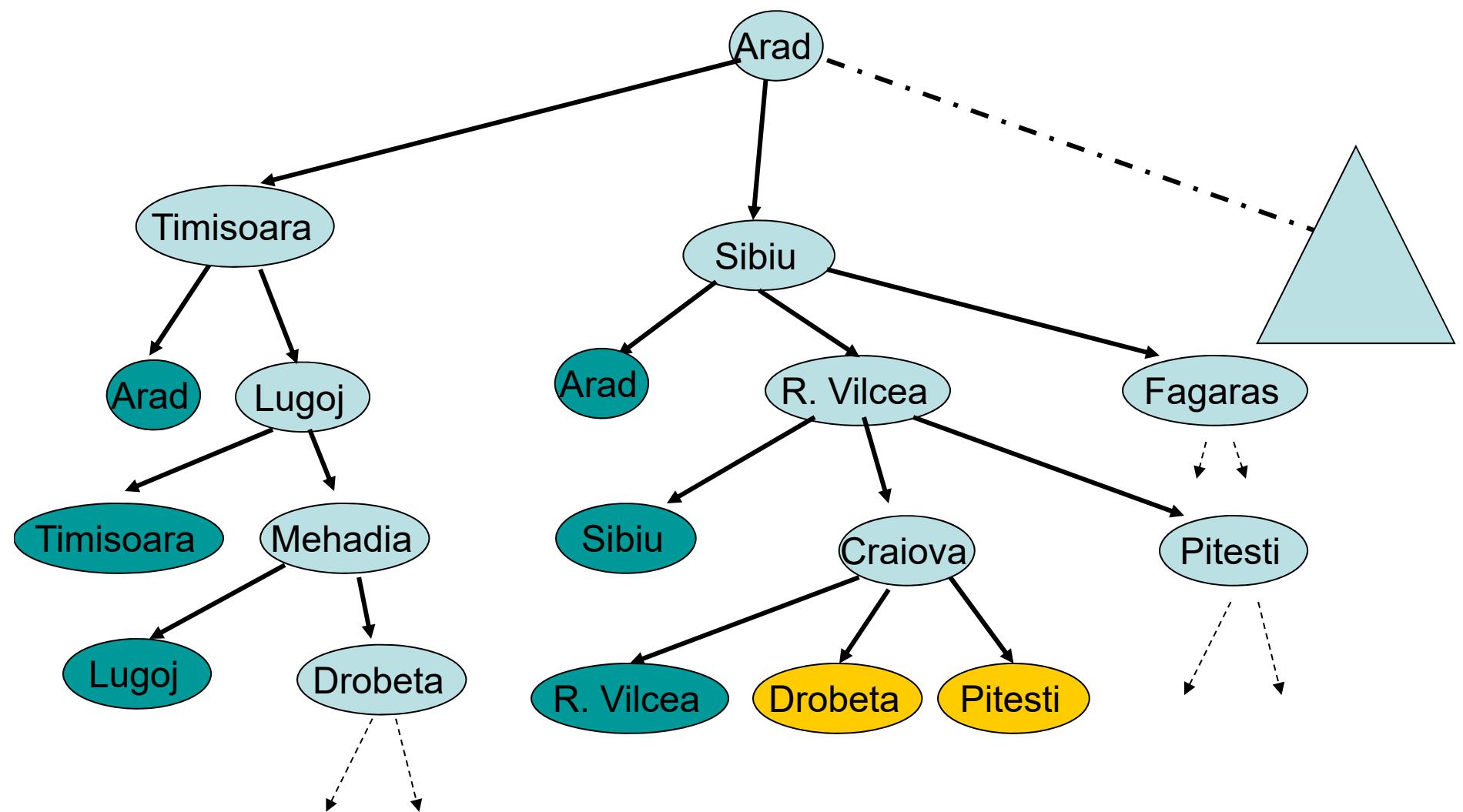
# Path Checking Example



# Cycle Checking

- Keep track of **all states** previously expanded during the search.
- When we expand  $n_k$  to obtain child  $c$ 
  - Ensure that  $c$  is not equal to **any** previously expanded state.
- This is called **cycle checking**, or **multiple path checking**.
- What happens when we utilize this technique with depth-first search?
  - **What happens to space complexity?**

# Cycle Checking Example (BFS)



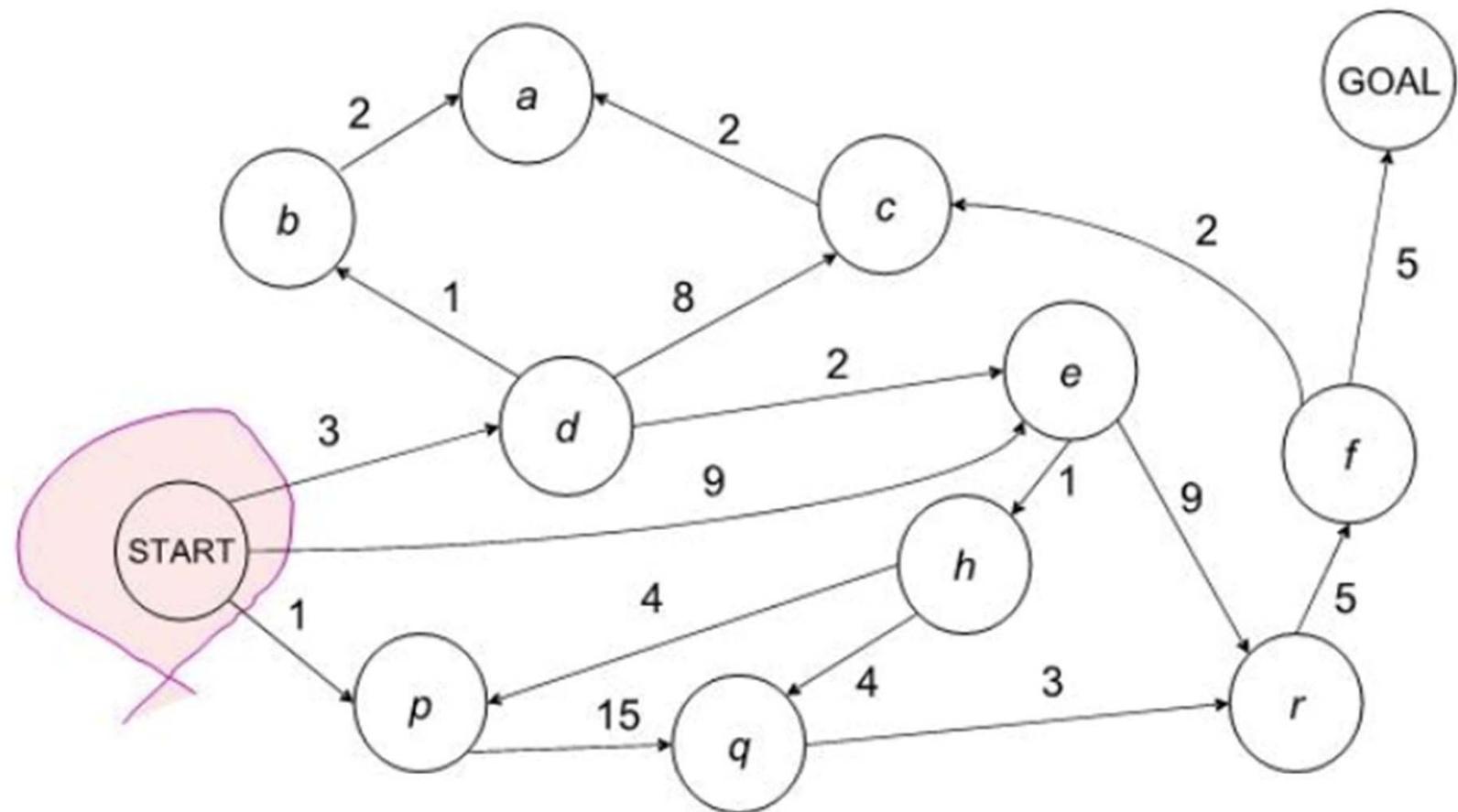
# Cycle Checking

- Higher space complexity (equal to the space complexity of breadth-first search).
- Other issues with cycle checking will come up when we look at heuristic search.

# Uniform-Cost Search

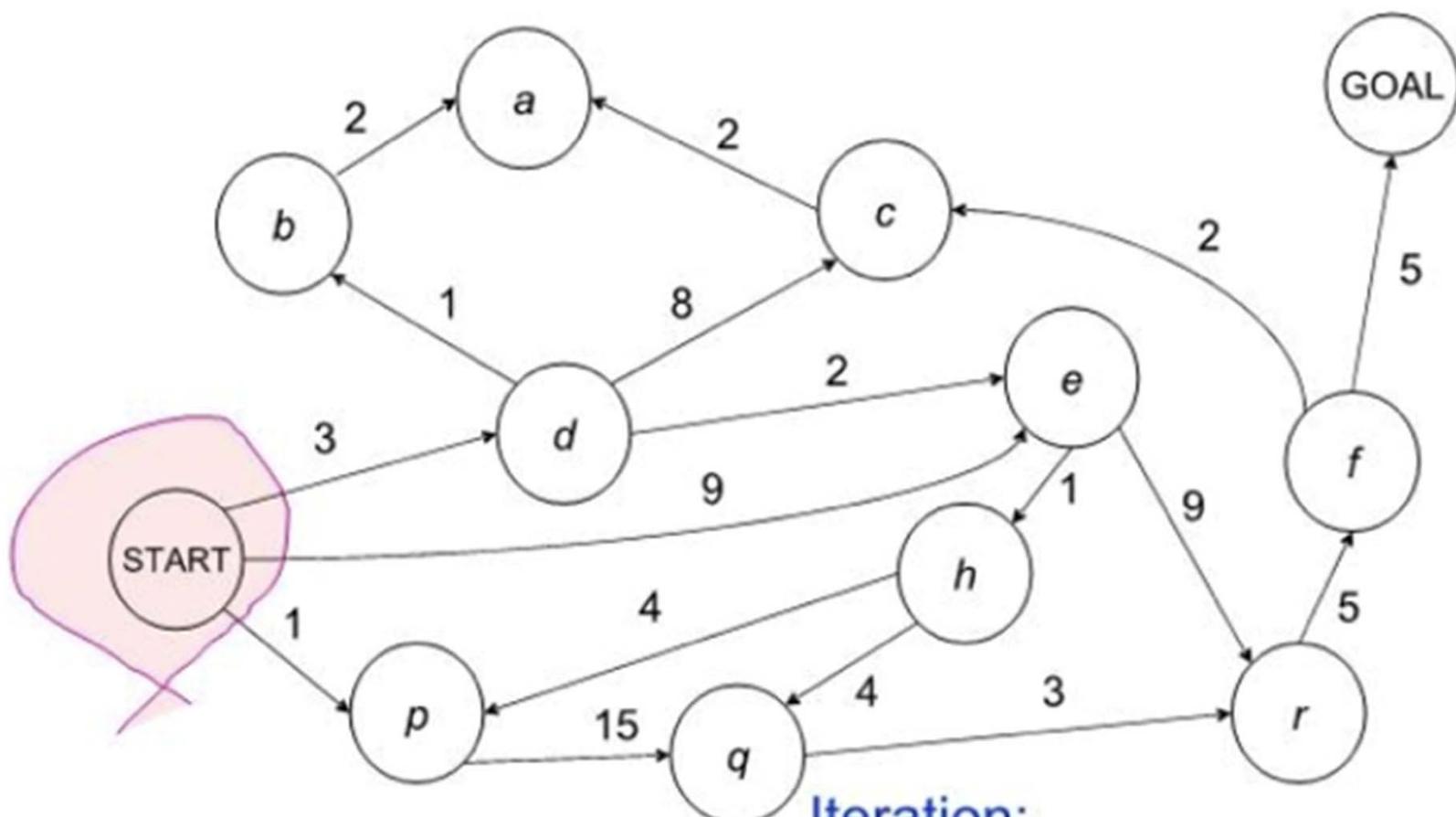
- Keeps Frontier ordered by increasing cost of the path (*know a good data structure for this?*)
- Always expand the least cost path.
- Identical to Breadth First Search if each action has the same cost

# Starting UCS



Frontier = {(START, 0)}

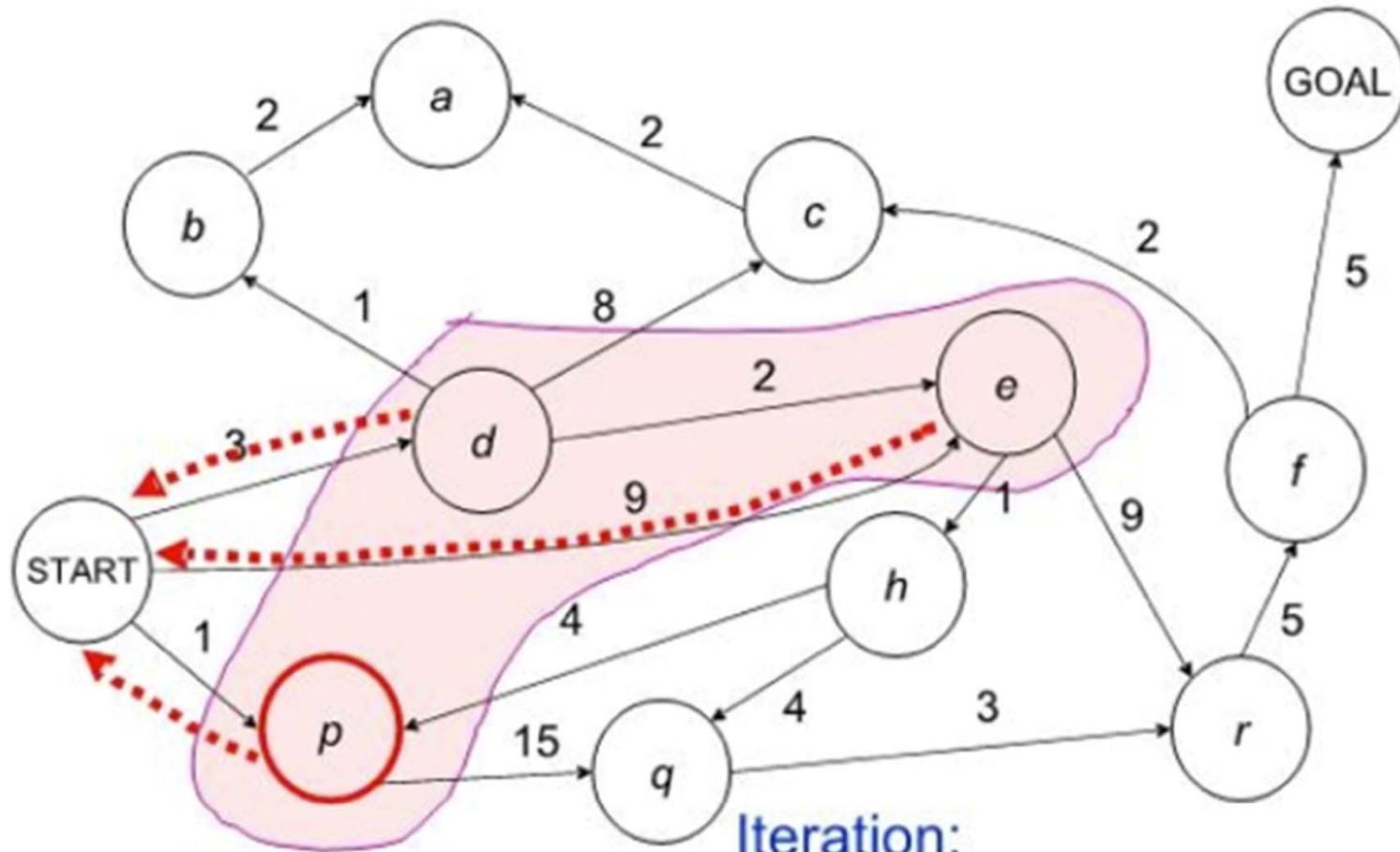
# UCS Iterations



Frontier = {(START,0)}

- Iteration:
1. Pop least-cost state
  2. Add successors

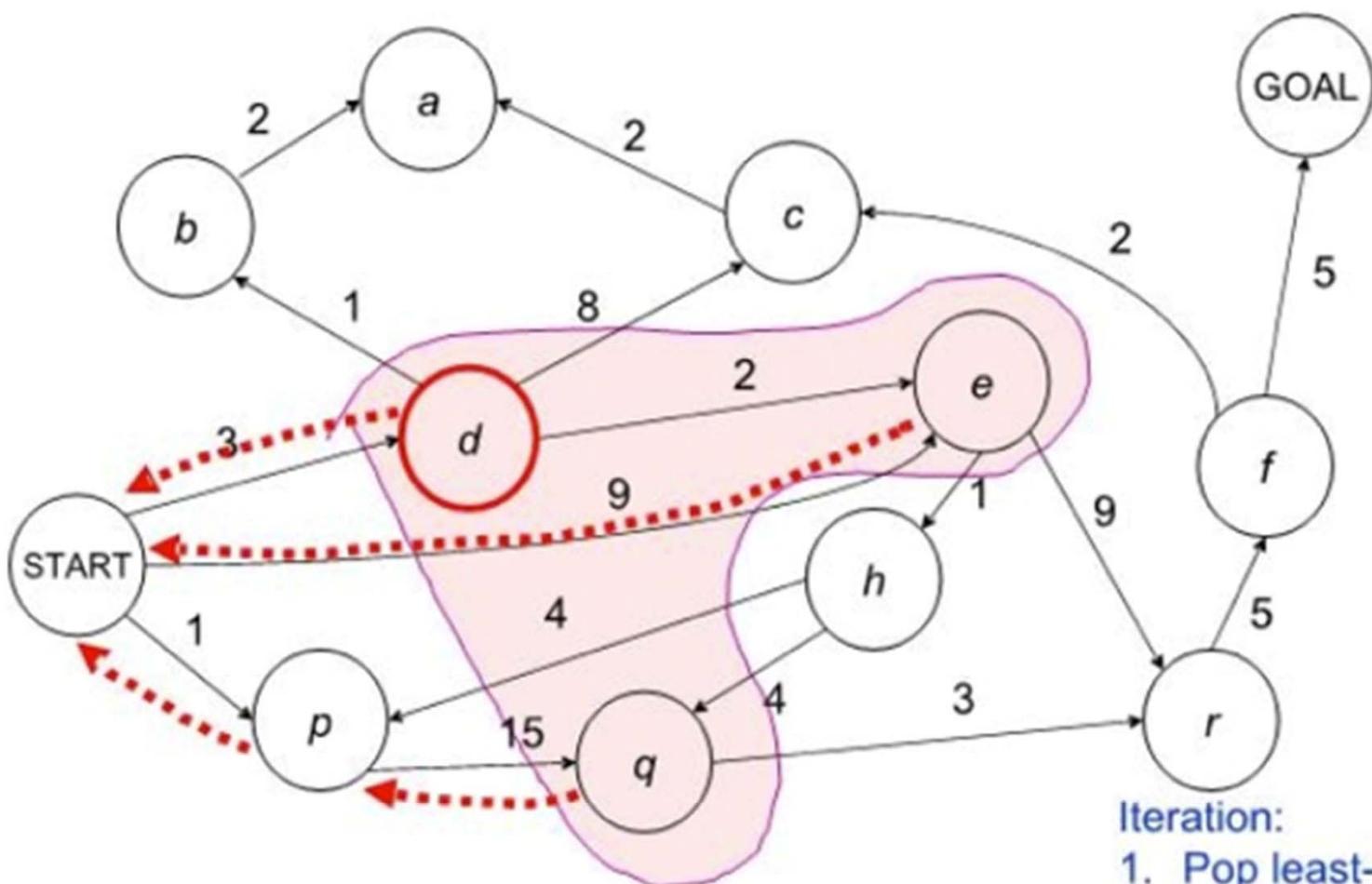
# UCS Iterations



Frontier = {(p,1), (d,3), (e,9)}

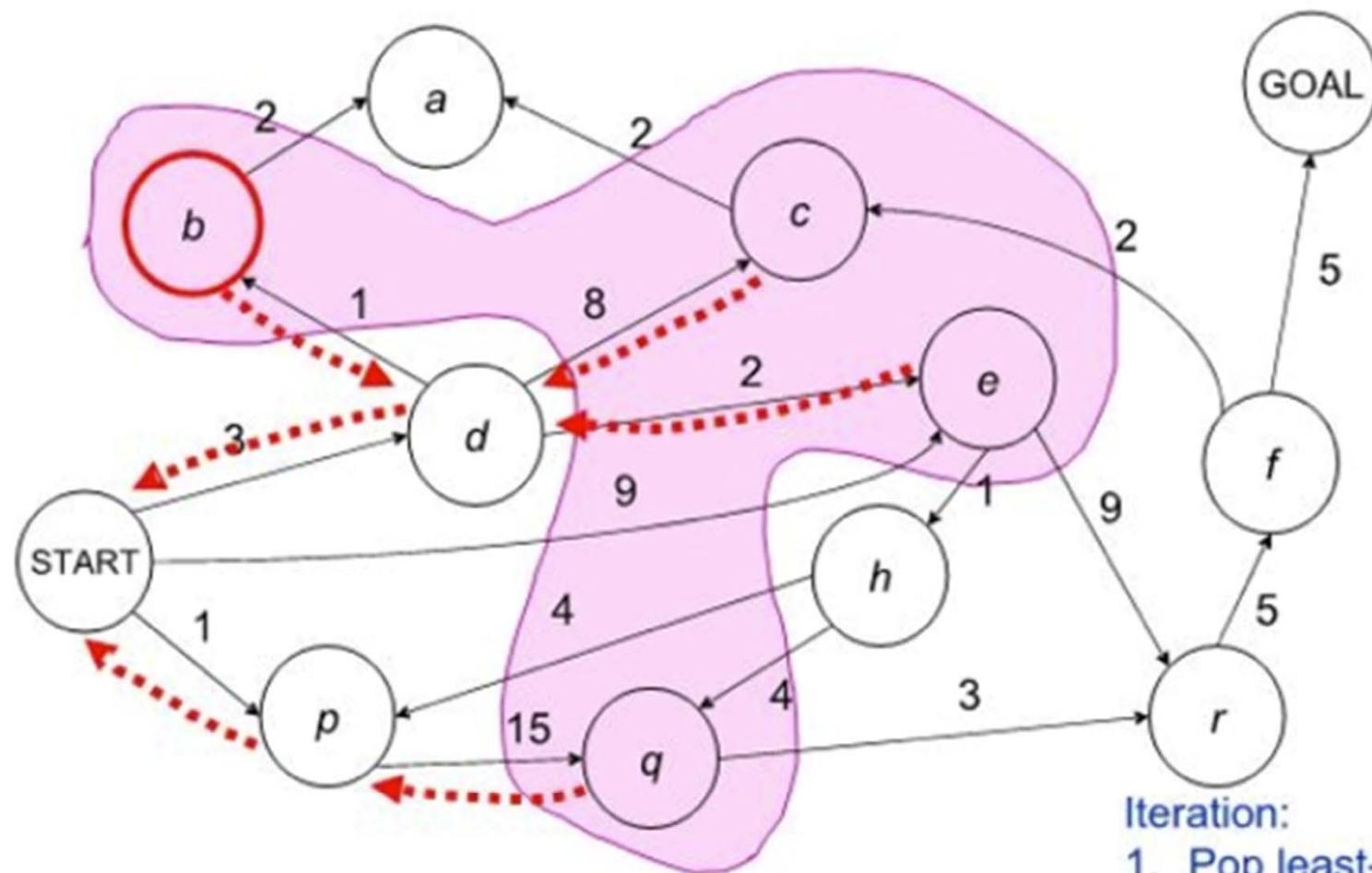
- Iteration:
1. Pop least-cost state
  2. Add successors

# UCS Iterations



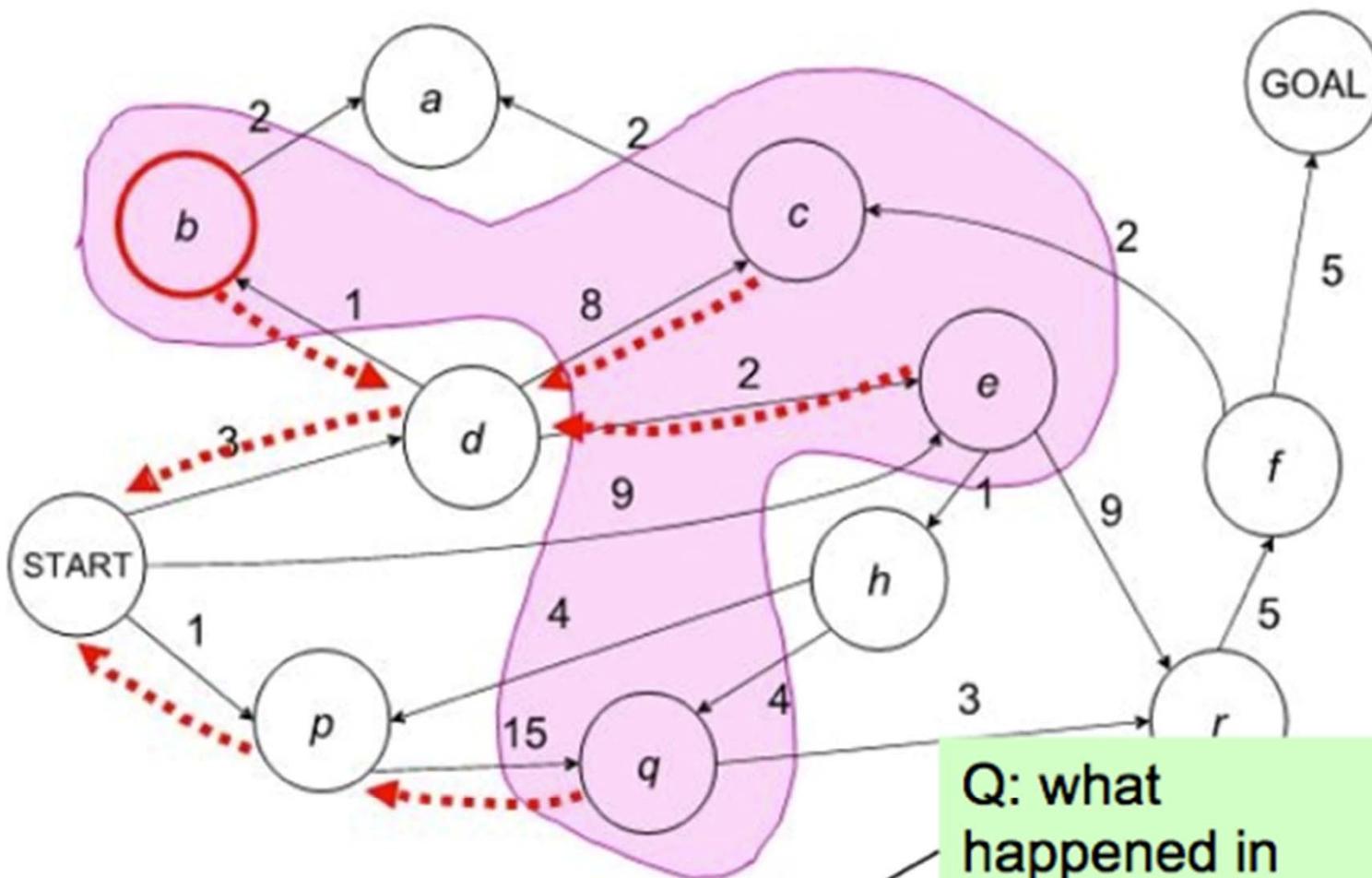
Frontier = {(d,3), (e,9), (q,16)}

# UCS Iterations



- Iteration:
1. Pop least-cost state
  2. Add successors

# UCS Iterations

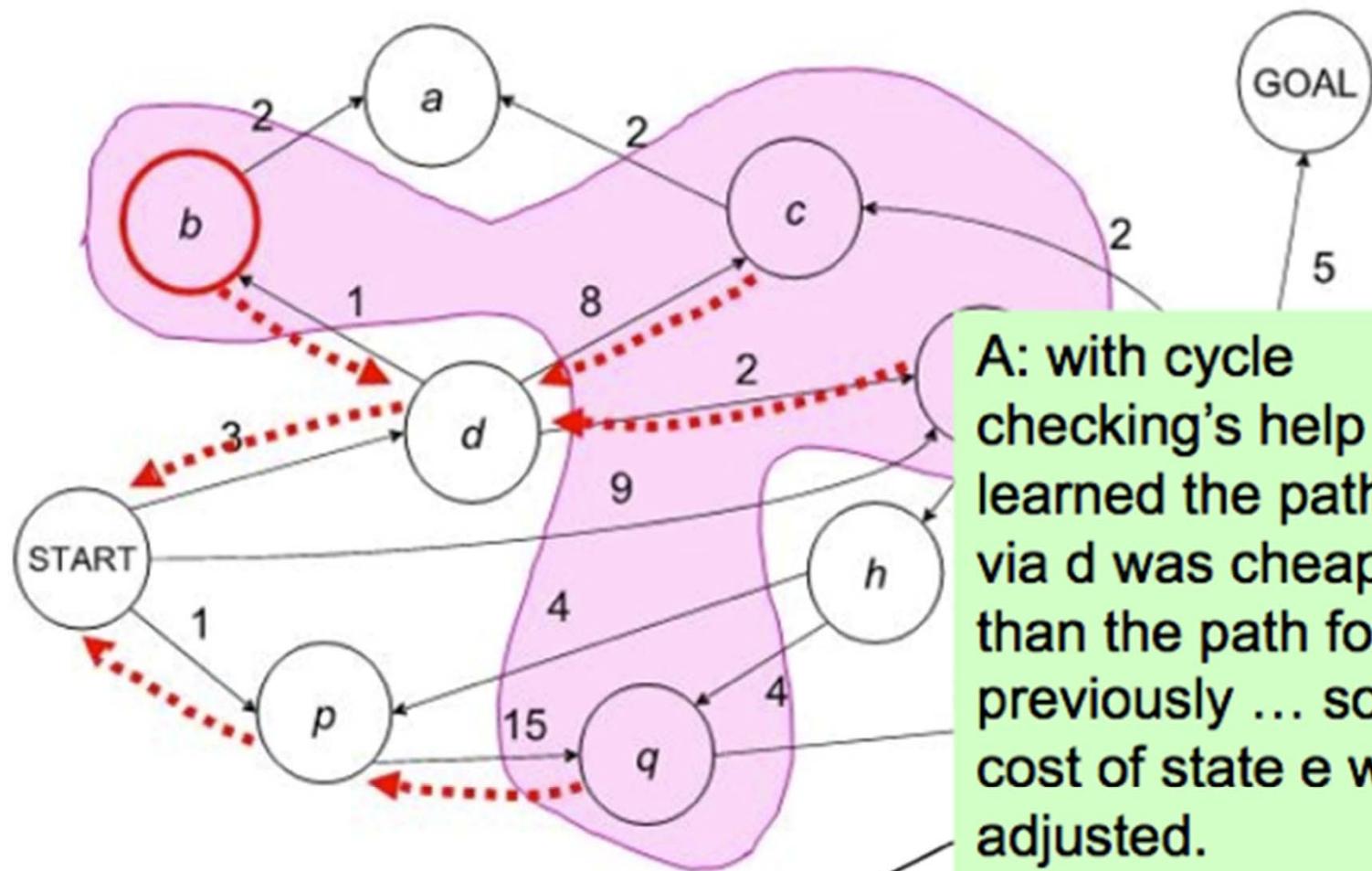


Frontier = {(b,4), (e,5), (c,11), (q,16)}

Q: what  
happened in  
here?????

cost  
2. Add successors

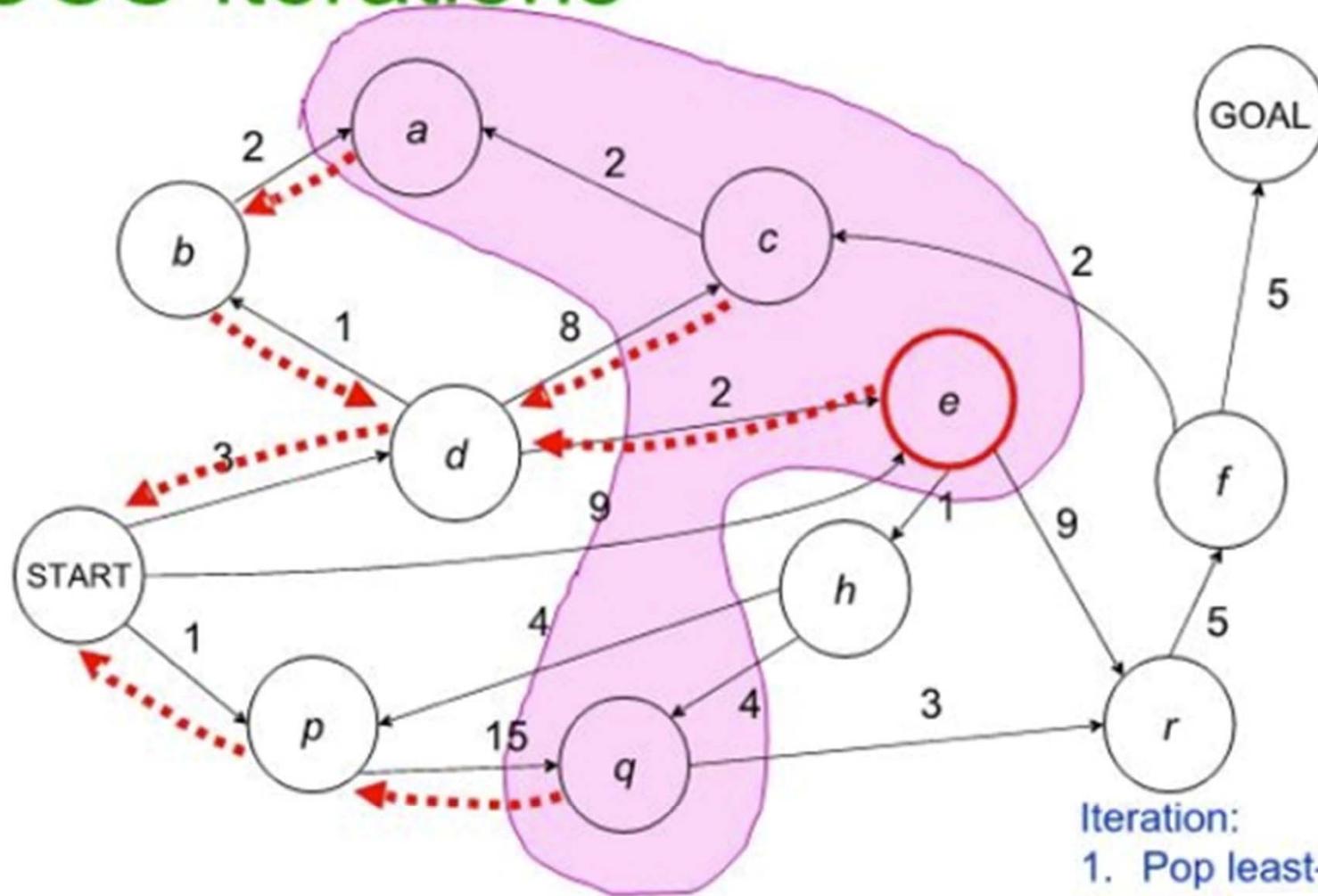
# UCS Iterations



Frontier = {(b,4), (e,5), (c,11), (q,16)}

state  
2. Add successors

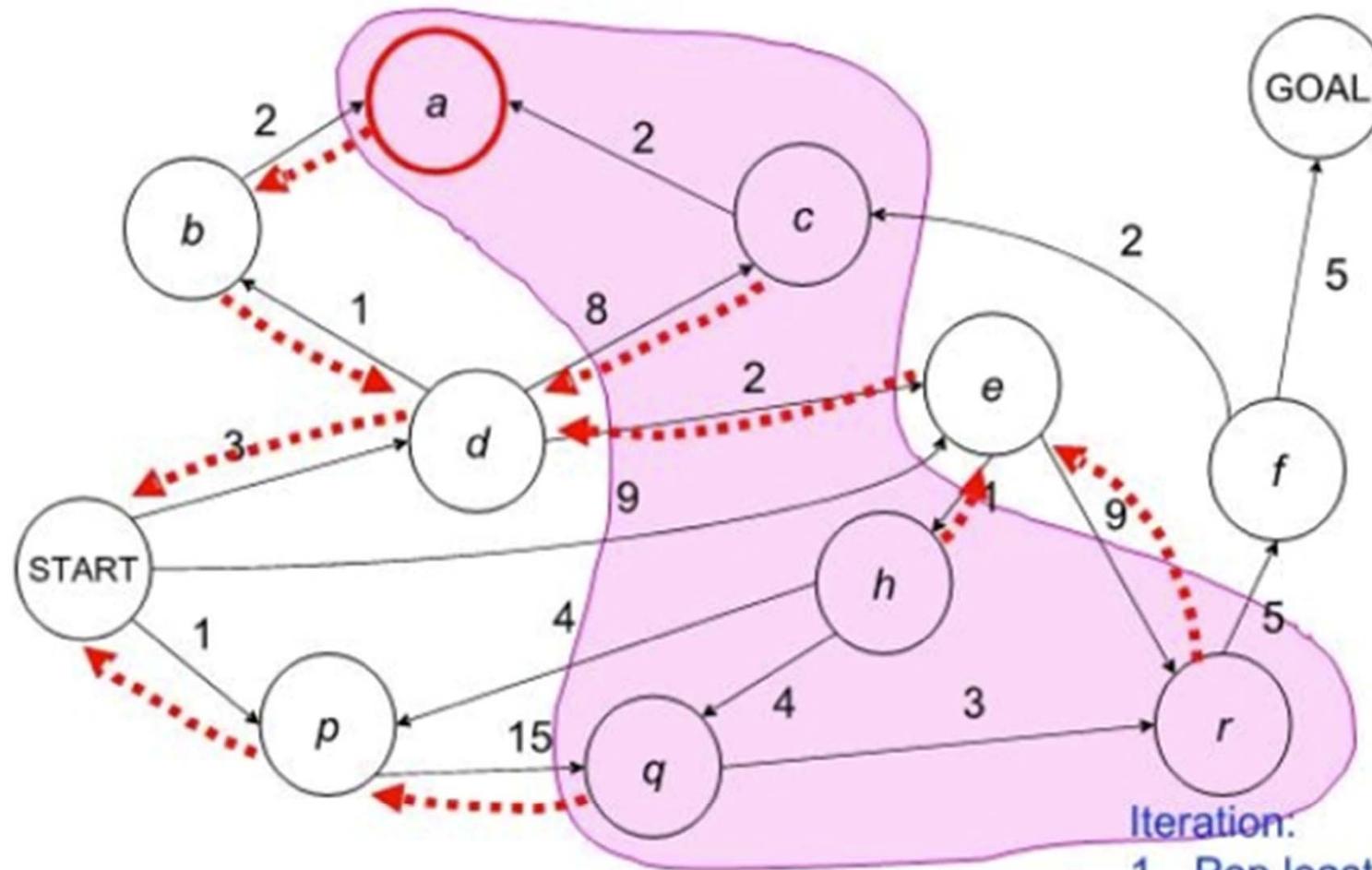
# UCS Iterations



Frontier = {(e,5), (a,6) (c,11), (q,16)}

- Iteration:
1. Pop least-cost state
  2. Add successors

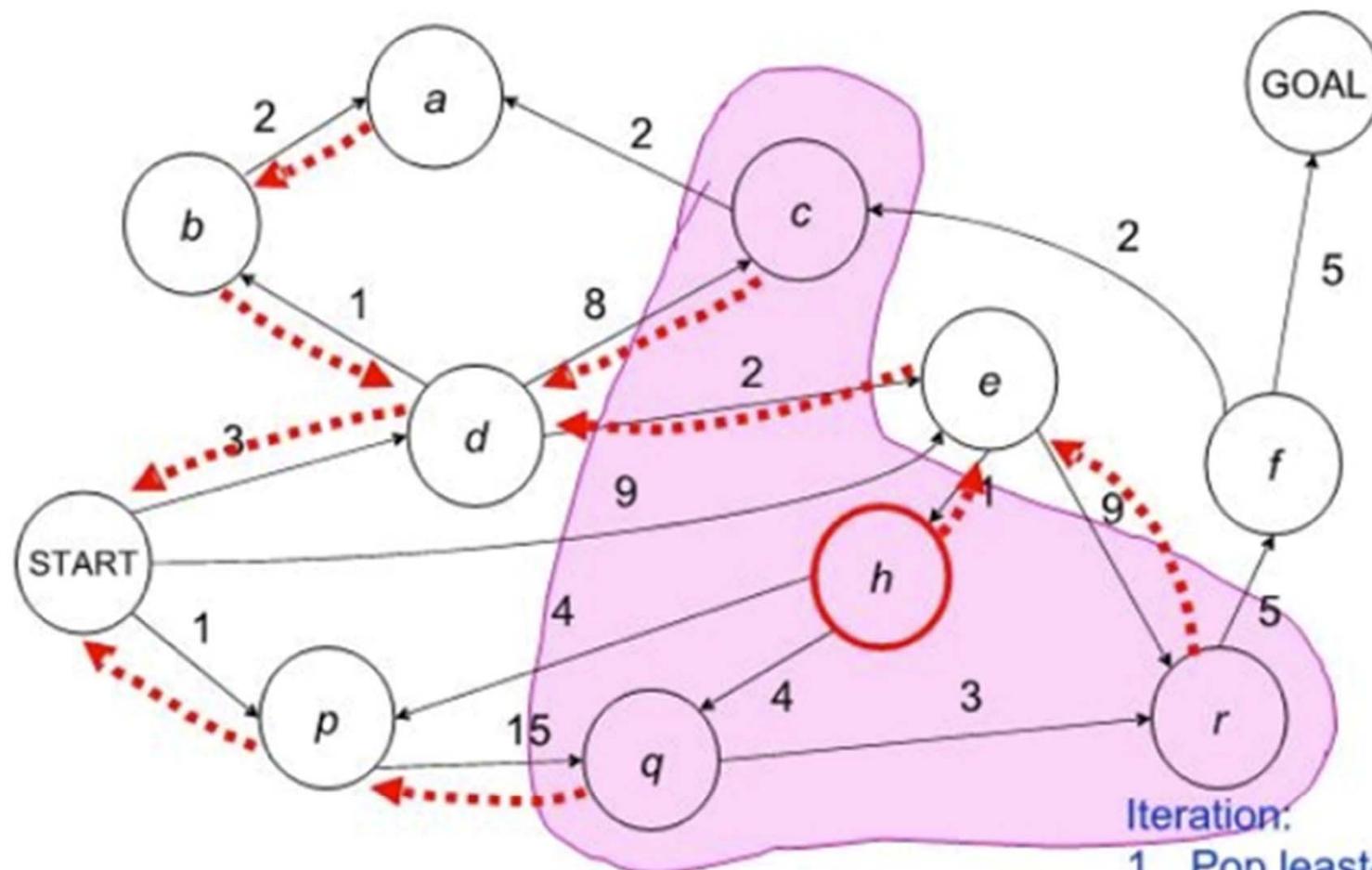
# UCS Iterations



Frontier = {(a,6), (h,6), (c,11), (r,14), (q,16)}

- Iteration:
1. Pop least-cost state
  2. Add successors

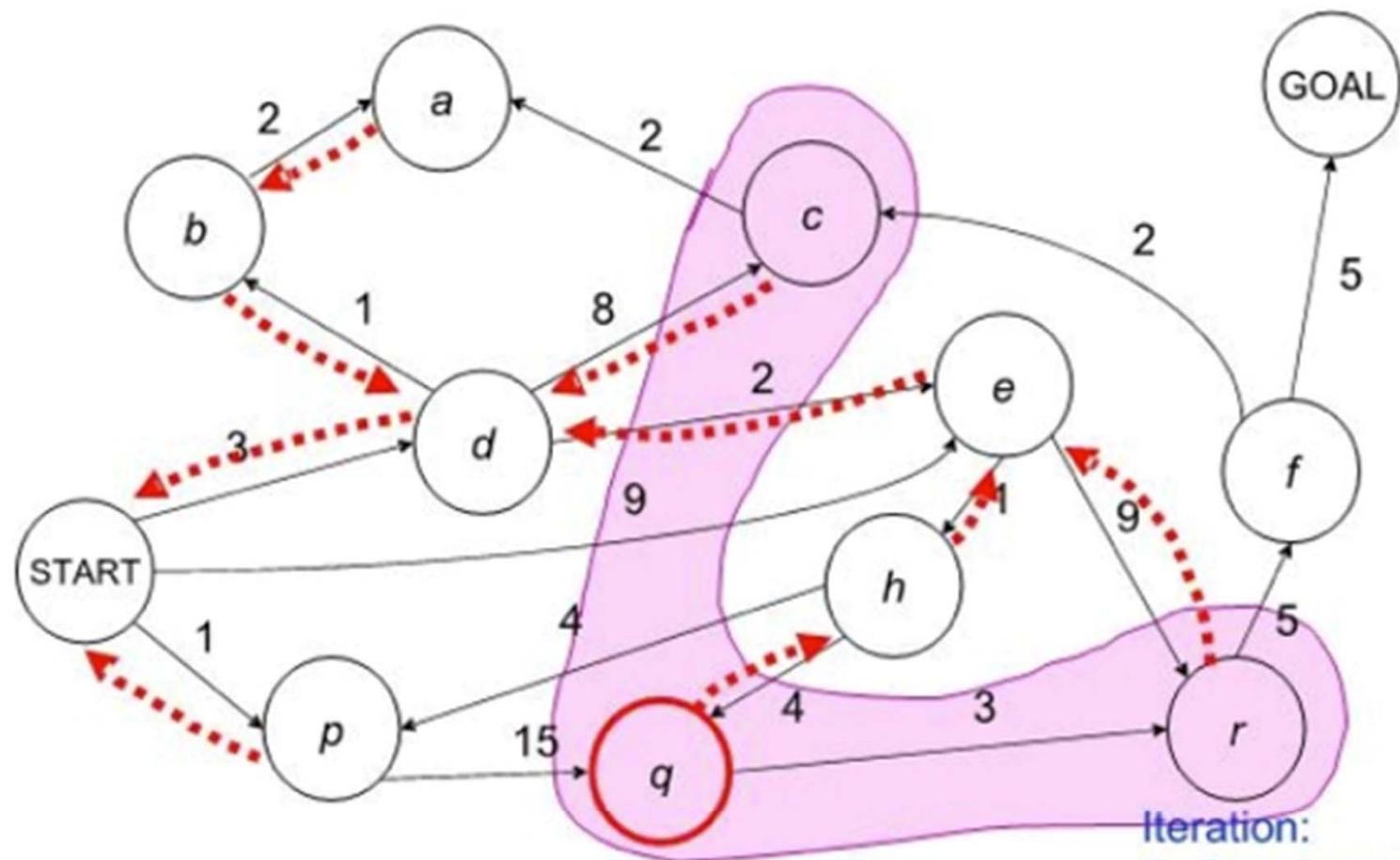
# UCS Iterations



Frontier = {(h,6), (c,11), (r,14), (q,16)}

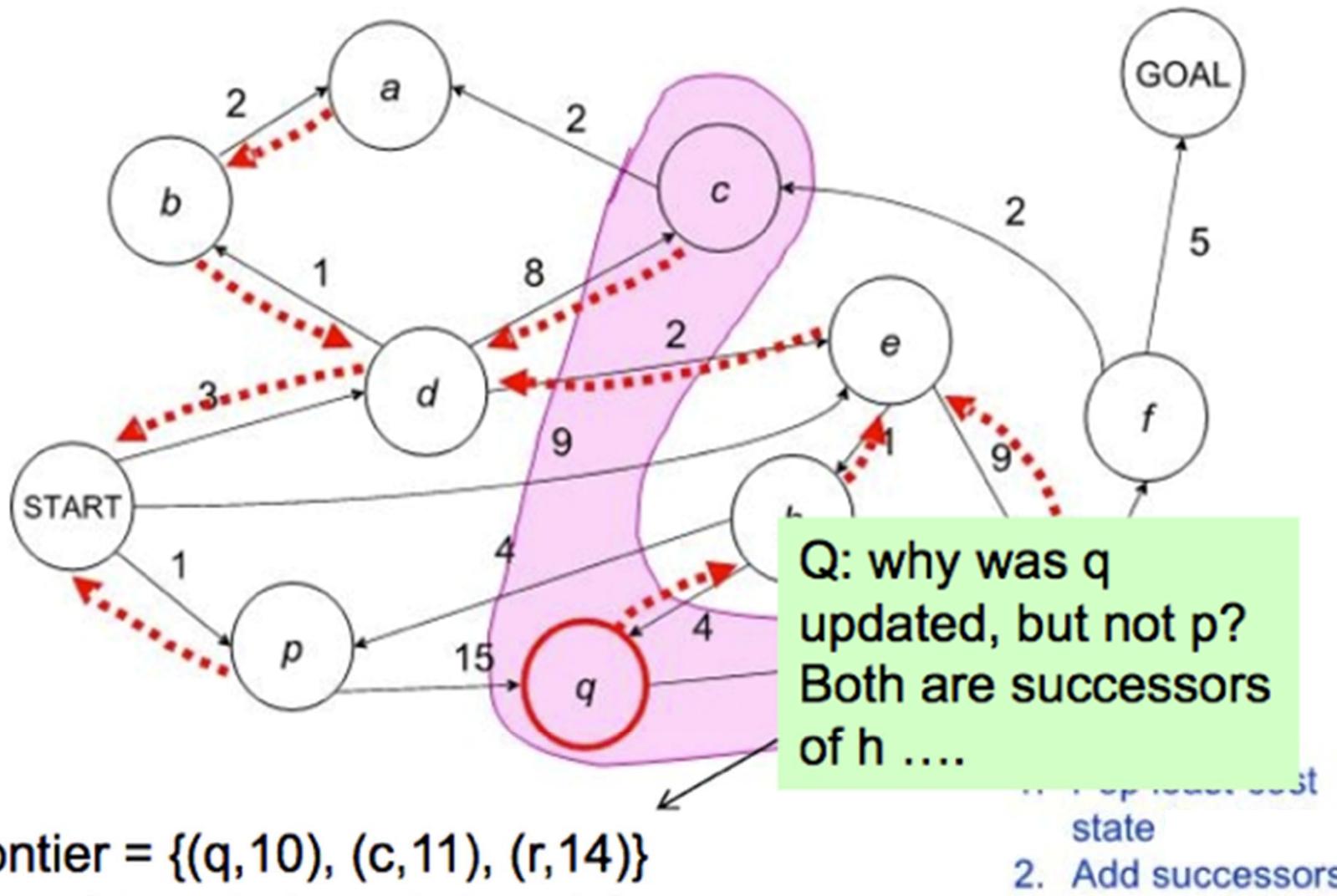
- Iteration:
1. Pop least-cost state
  2. Add successors

# UCS Iterations

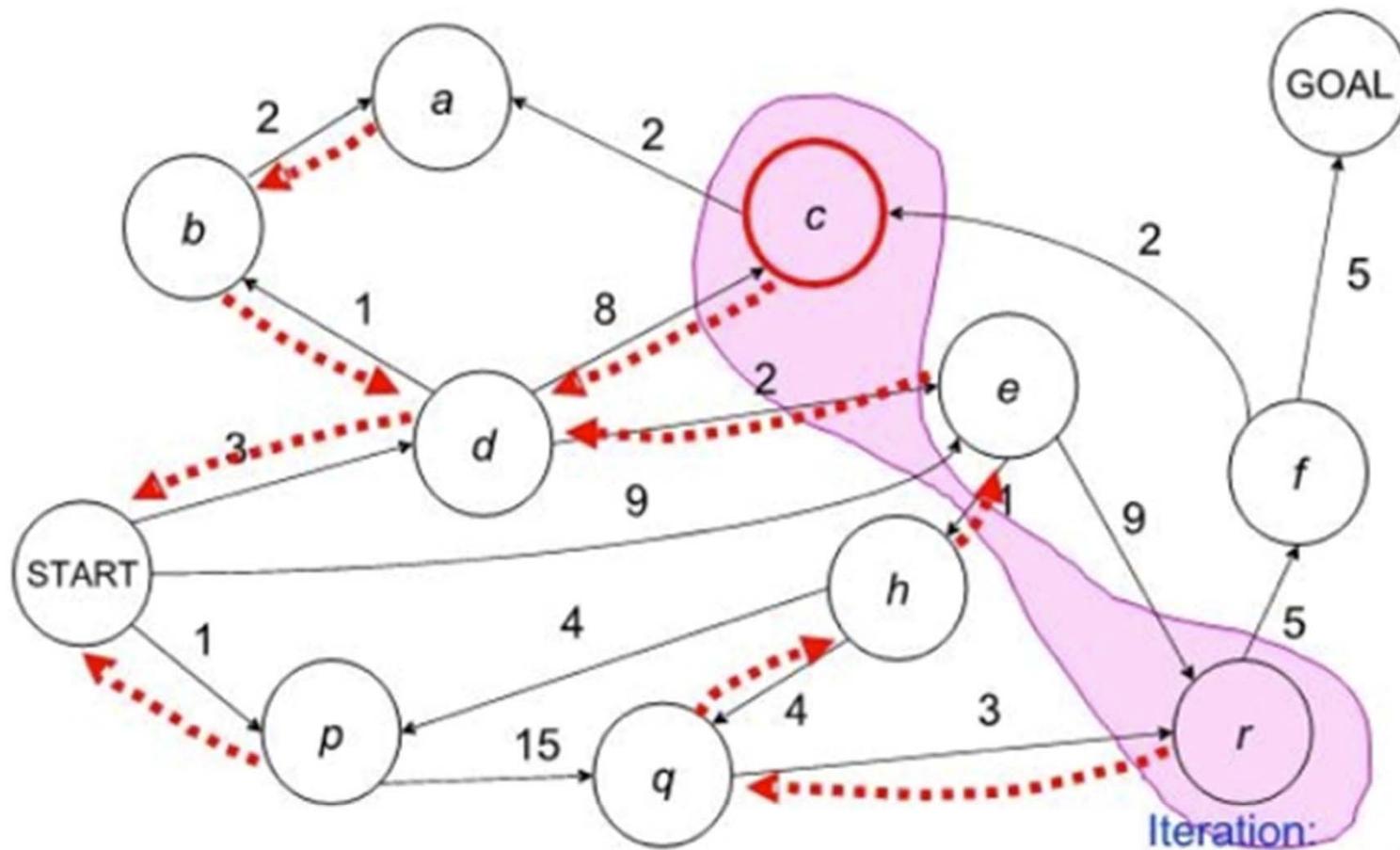


Frontier = {(q, 10), (c, 11), (r, 14)}

# UCS Iterations



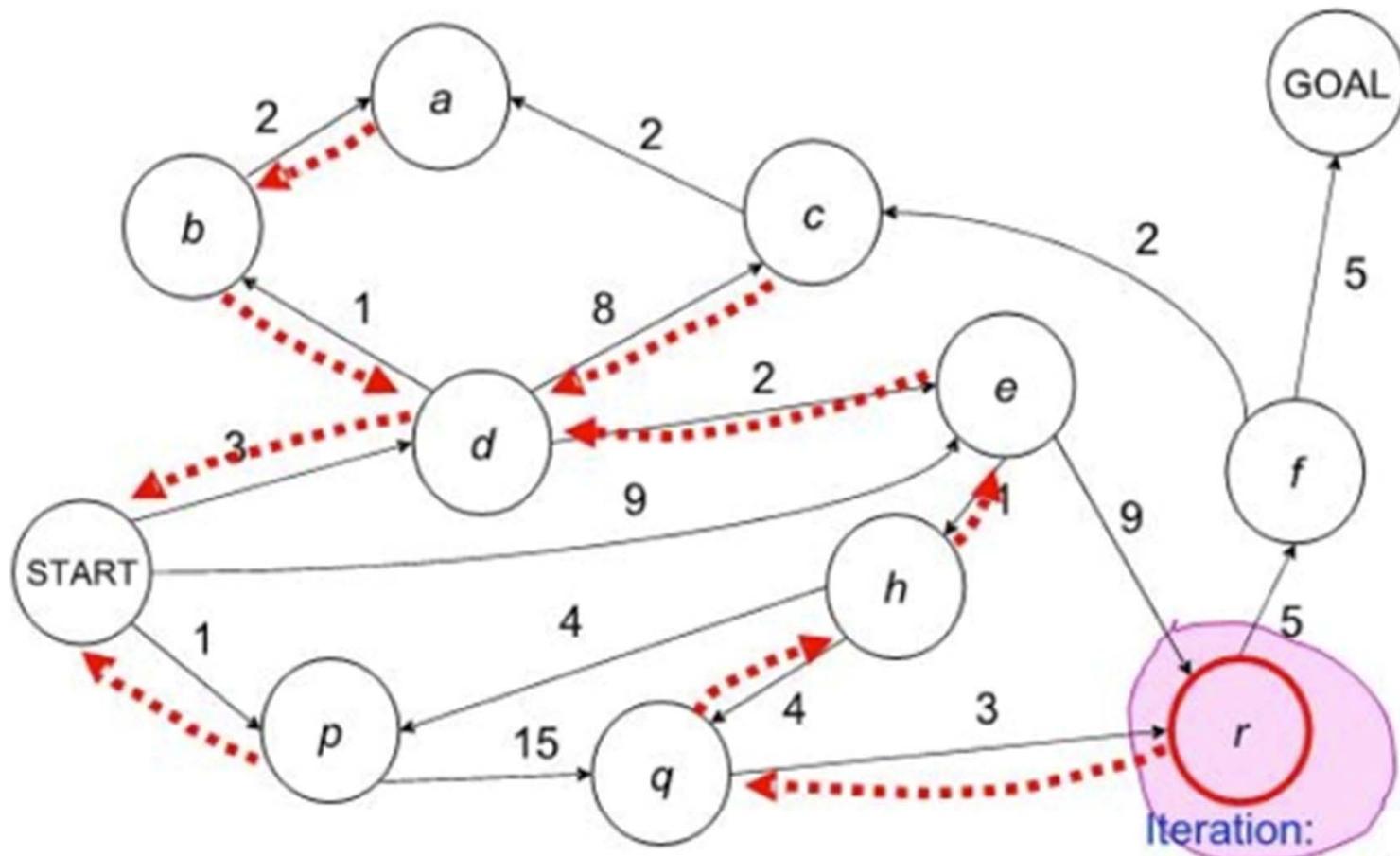
# UCS Iterations



Frontier = {(c,11), (r,13)}

- Iteration:
1. Pop least-cost state
  2. Add successors

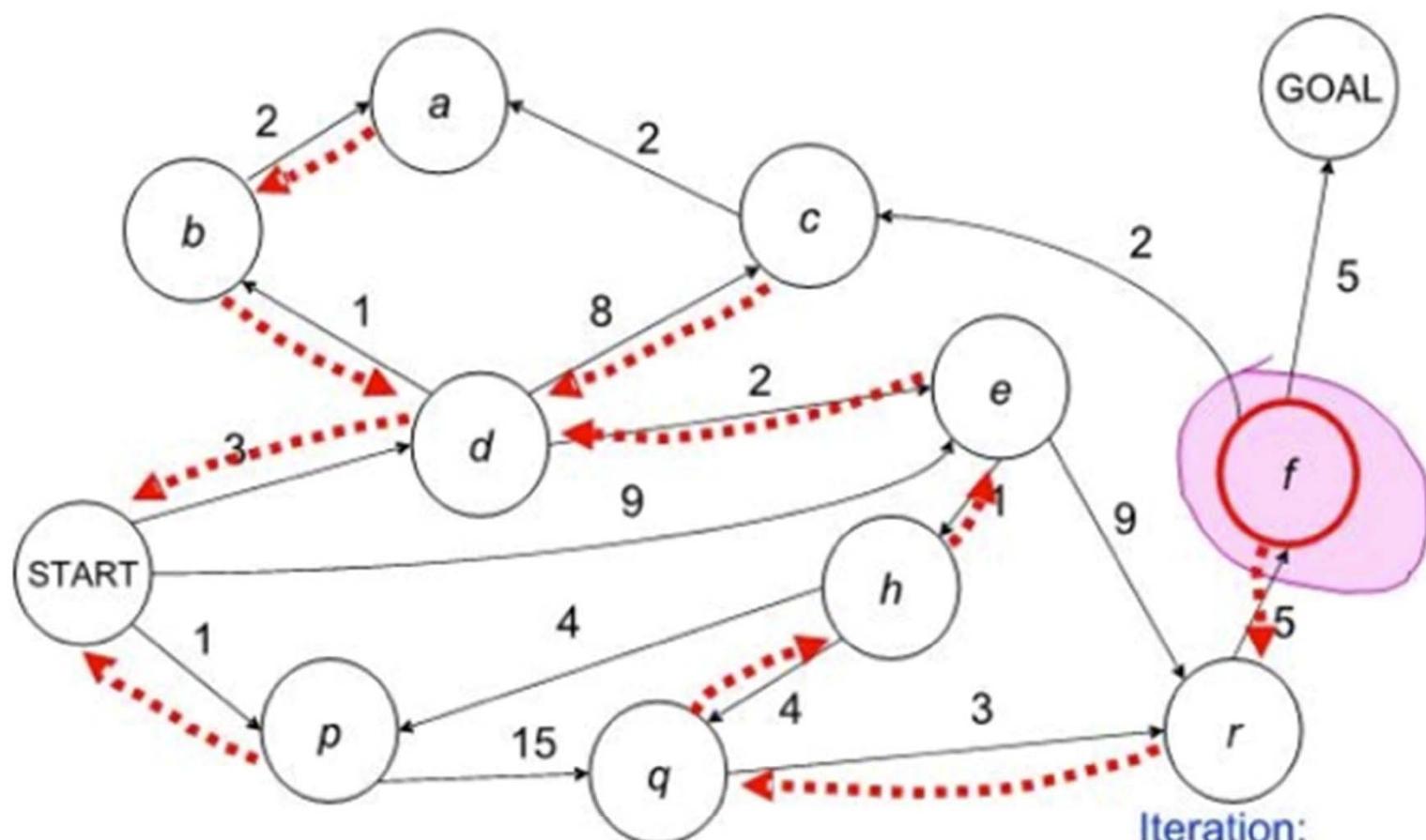
# UCS Iterations



Frontier = {(r,13)}

1. Pop least-cost state
2. Add successors

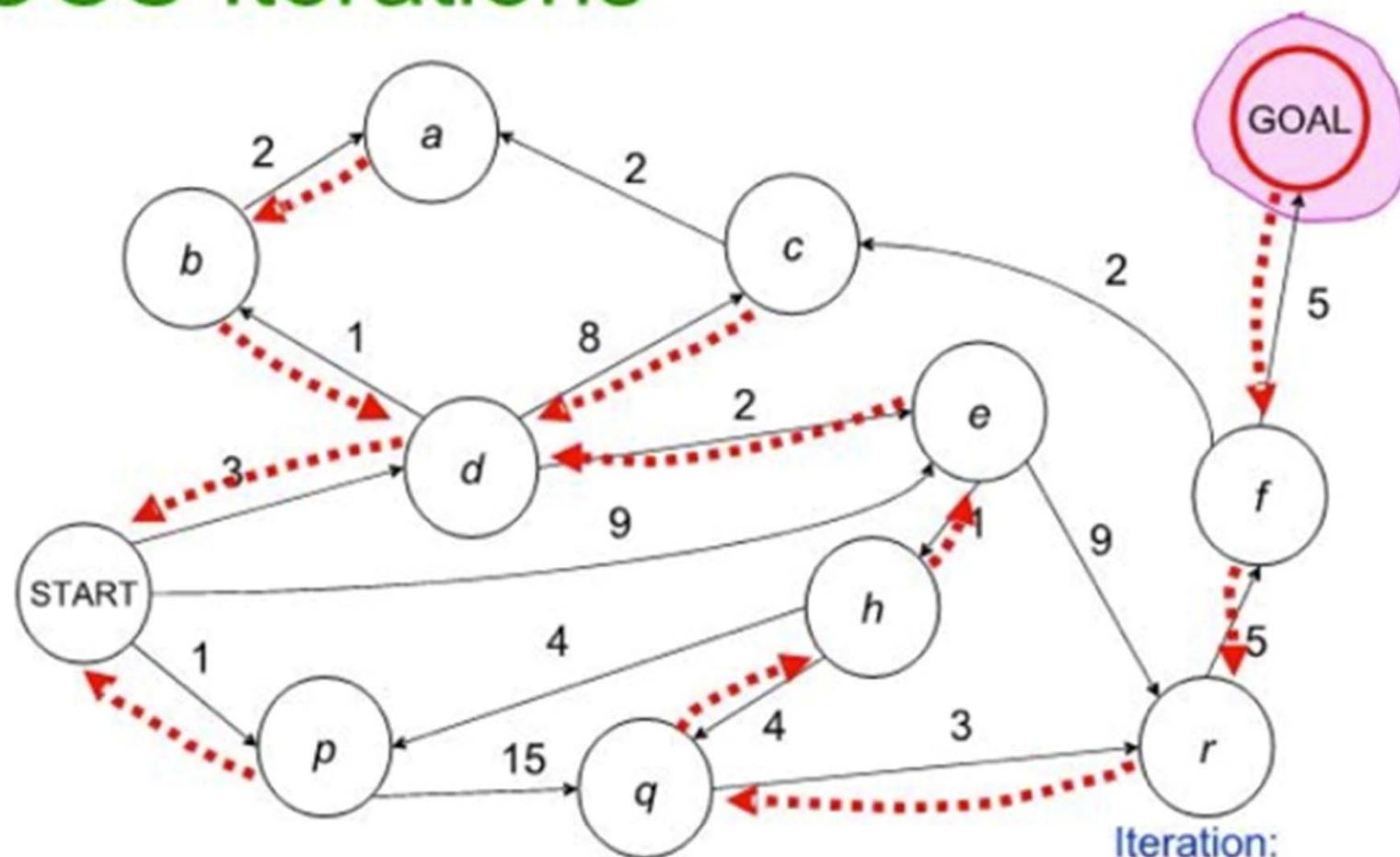
# UCS Iterations



Frontier = {(f, 18)}

- Iteration:
1. Pop least-cost state
  2. Add successors

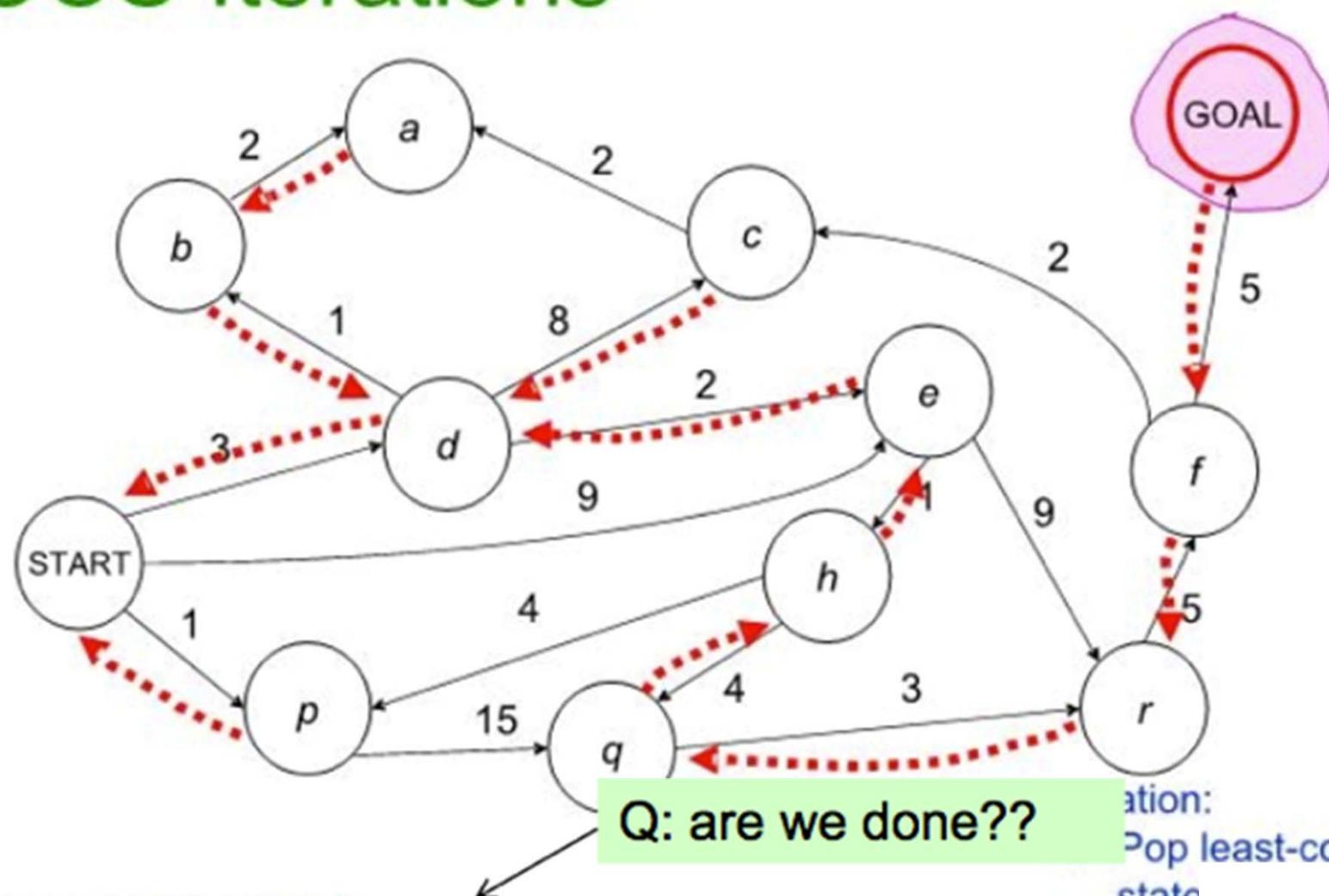
# UCS Iterations



Frontier = {(GOAL, 23)}

- Iteration:
1. Pop least-cost state
  2. Add successors

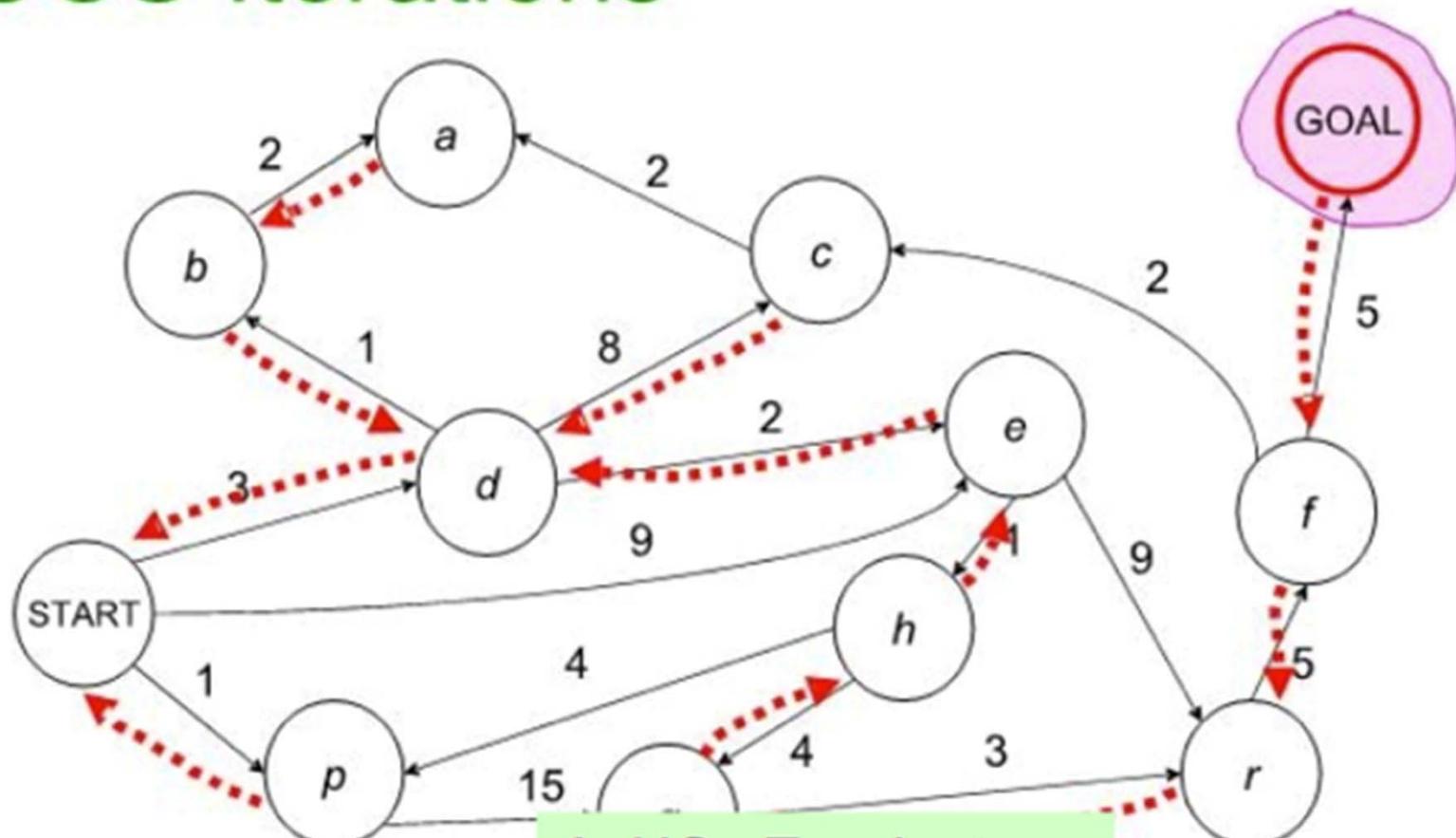
# UCS Iterations



Frontier = {(GOAL,23)}

Iteration:  
1. Pop least-cost  
state  
2. Add successors

# UCS Iterations



Frontier = {}

A: NO. Terminate  
only when goal is  
removed from  
Frontier.

- Iteration:
1. Pop least-cost state
  2. Add successors

# Uniform-Cost Properties

Optimality?

- **YES.** Let's prove this. Note that the arguments we see here will be used again when we examine heuristic search.

*Is cycle checking required to guarantee an optimal solution?*

# Uniform-Cost Search. Proof of Optimality

**Given:** each transition has cost  $\geq \varepsilon > 0$ .

**Lemma 1:** Let  $c(n)$  be the cost of node  $n$  on Frontier (cost of the path to  $n$  represented by  $c(n)$ ). If  $n_2$  is expanded IMMEDIATELY after  $n_1$  then  $c(n_1) \leq c(n_2)$ .

When  $n_1$  was expanded the Frontier could have looked one of two ways. What are these?

# Uniform-Cost Search. Proof of Optimality

**Given:** each transition has cost  $\geq \varepsilon > 0$ .

**Lemma 1:** Let  $c(n)$  be the cost of node  $n$  on Frontier (cost of the path to  $n$  represented by  $c(n)$ ). If  $n_2$  is expanded IMMEDIATELY after  $n_1$  then  $c(n_1) \leq c(n_2)$ .

**Proof of Lemma 1:** there are 2 cases:

$n_2$  was on Frontier when  $n_1$  was expanded:

*We must have  $c(n_1) \leq c(n_2)$  otherwise  $n_2$  would have been selected for expansion rather than  $n_1$*

$n_2$  was added to Frontier when  $n_1$  was expanded:

*Now  $c(n_1) < c(n_2)$  since the path represented by  $n_2$  extends the path represented by  $n_1$  and thus costs at least  $\varepsilon$  more.*

# Uniform-Cost Search. Proof of Optimality

**Lemma 2:** When node  $n$  is expanded every path in the search space with cost strictly less than  $c(n)$  has already been expanded.

**Proof:**

- Assume we've just expanded  $n$ .
- Let  $n_0 = \langle \text{Start} \rangle$
- Let  $n_k = \langle \text{Start}, n_0, n_1, \dots, n_k \rangle$  be a path with cost less than  $c(n)$ , i.e.  $c(n_k) < c(n)$ .
- Let  $\mathbf{ni}$  be *the last node on this path expanded by our search*:  $\langle \text{Start}, n_0, n_1, n_{i-1}, \mathbf{ni}, n_{i+1}, \dots, n_k \rangle$
- So,  $\mathbf{ni+1}$  must still be on the frontier. Also  $c(n_{i+1}) < c(n)$  since the cost of the entire path to  $n_k$  is  $< c(n)$ .
- But then uniform-cost would have expanded  $ni+1$  not  $n$ .
- So every node on this path must already be expanded as it is a lower cost path, i.e., this path has already been expanded.

# Uniform-Cost Search. Proof of Optimality

**Lemma 3:** The first time uniform-cost expands a node  $n$  terminating at state  $S$ , it has found the minimal cost path to  $S$  (it might later find other paths to  $S$  but none of them can be cheaper).

## Proof:

- All cheaper paths have already been expanded, none of them terminated at  $S$ .
- All paths expanded after  $n$  will be at least as expensive, so no cheaper path to  $S$  can be found later.

So, when a path to a goal state is expanded the path must be optimal (lowest cost).

# Uniform-Cost Properties

- Completeness?
  - **YES.** Given positive, nonzero transition costs, the previous argument used for breadth first search holds: the cost of the path represented by each node  $n$  chosen to be expanded must be non-decreasing.

# Uniform-Cost Properties

Time and Space Complexity?

Assuming each transition cost is  $\geq \varepsilon > 0$ .

- $O(b^{C^*/\varepsilon + 1})$  where  $C^*$  is the cost of the optimal solution and  $\varepsilon$  the minimal cost of transitions.
- Paths with cost lower than  $C^*$  can be as long as  $C^*/\varepsilon$  (why not longer?)
- There may be many paths with cost  $\leq C^*$ ; Uniform Cost Search must explore them all.
- We may have  $b^{C^*/\varepsilon}$  paths to explore and expand before finding the optimal cost path.