

# Analysis of Cascode Converter gain in Discontinuous Conduction Mode

1<sup>st</sup> Guangzong Chen  
Electric and Computer Engineering  
University of Pittsburgh  
Pittsburgh, USA  
GUC25@pitt.edu

**Abstract**—This paper derives the formula of a Cascode Converter. A Cascode Converter is a DC-DC converter which consists of two buck-boost converters. Previous work has provided the relationship between input and output voltage of a Cascode Converter in Continuous Conduction mode(CCM) [1]. However, Discontinuous Conduction Mode(DCM) also plays an important role in converter analysis. This paper provides formulas of the a Cascode Converter in DCM, and uses software to simulate the circuit to show how it works.

**Index Terms**—Buck-Boost converter, Cascode Converter, Discontinuous Conduction Mode(DCM).

## I. INTRODUCTION

A Cascode converter is a DC-DC converter and it consists of two buck-boost converters. It has two semi-stages, a higher and a lower semi-stage. It can be used as a Buck converter or a Boost Converter based on duty cycle [1]. Because this converter can be use as a Boost converter without high duty cycle, it has higher efficiency than other converter [2]. In this paper, the formula of Cascode converter in DCM will be shown, and verify the correctness by experiments.

## II. METHODS

### A. Notations

- $V_g$  Input voltage
- $V_{out}$  Output voltage
- $K$  The dimensionless parameter K is a measure of the tendency of a converter to operate in the discontinuous conduction mode [2].
- $K_{critical}$  critical value of K
- $D_1$  Duty cycle: MOS ON
- $D_2$  Duty cycle2 : the inductor keeping conducting
- $M(D, K)$  the gain of converter operate in DCM
- $MOS$  metal-oxide-semiconductor field-effect transistor for type 3 and type 4
- $D_3$  Duty cycle 3:  $L_1$  and  $L_2$  conducting simultaneously
- $D_4$  Duty cycle 4: inductors stop conducting

### B. Definition of DCM in a Cascode converter

Discontinuous Conduction Mode(DCM) occurred when the current of inductors which connected to the load decrease to zero in one period [2]. In Continuous Conduction Mode(CCM), using small ripple approximation derives the relationship between input and the output voltage. Small

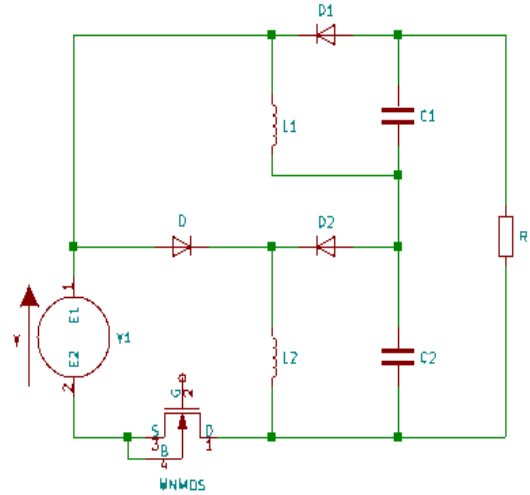


Fig. 1. Example of a figure caption.

ripple approximation can greatly simplify the analysis process. Because the current of inductors decrease to zero, the ripple is not small. In DCM, small ripple approximation can not be applied to figure out the relationship between input and output voltage. So DCM occurred when process analysis that can not use small ripple approximation. A Cascode Converter has two inductors and both inductors are connected to the output node. Using the definition of DCM above, DCM in this paper is the mode when the current through inductors decrease to zero in one period.

### C. Derivation gain of a Cascode Converter

In DCM, the the circuit has three intervals. When MOS is on, it is interval 1. When MOS off and  $L_1$  and  $L_2$  both in conducting mode is interval 2. When MOS off and either current  $L_1$  or  $L_2$  decrease to zero as interval 3.

In a Cascode converter, four types of interval 3 must be derived. The current of  $L_1$  decreases to zero; The current of  $L_2$  decreases to zero; The current of both  $L_1$  and  $L_2$  decrease to zero but not at the same time.

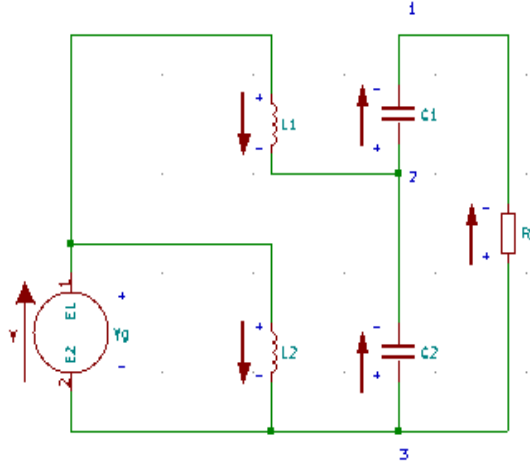


Fig. 2. Interval 1

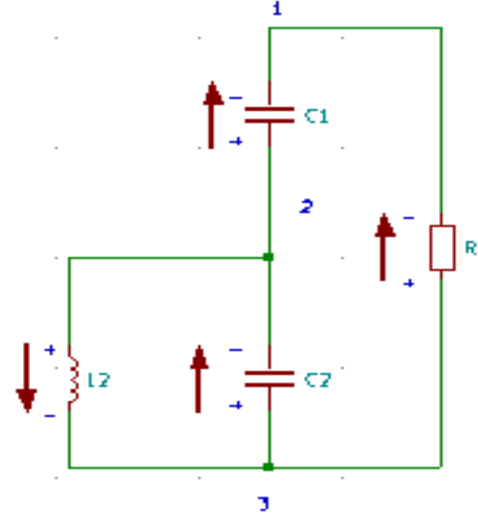


Fig. 4. the equivalent circuit of interval 3 type 1

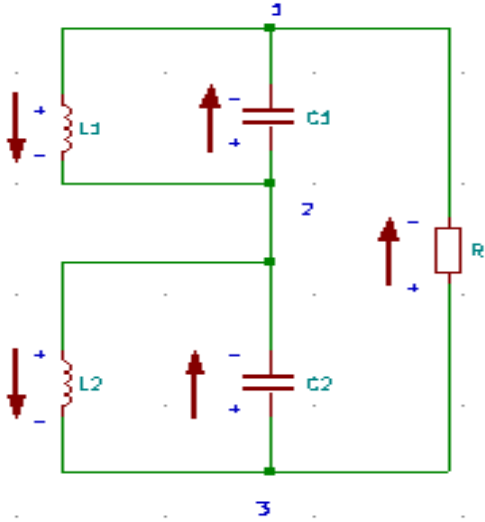


Fig. 3. Interval 2

In interval 1, MOS is on, the equivalent circuit is shown as Fig 1. Applying Kirchhoff's Voltage Law (KVL), obtain bellowing equations

$$v_{L1} = v_g + v_{C2} \quad (1)$$

$$v_{L2} = v_g \quad (2)$$

$$v = v_{C2} + v_{C2} \quad (3)$$

Applying Kirchhoff's Current Law(KCL) at node 1,2 (figure 2), obtain bellowing equations

$$i_{C2} = -i_o \quad \text{node 1} \quad (4)$$

$$i_{C2} = -i_{L1} - i_o \quad \text{node 2} \quad (5)$$

In interval 2, MOS is off and  $L_1$  and  $L_2$  is conducting, using KVL, obtain following equations

$$v_{L1} = -v_{C2} \quad (6)$$

$$v_{L2} = -v_{C2} \quad (7)$$

$$v = v_{C2} + v_{C2} \quad (8)$$

Applying KCL at node 1,3(Fig.3)

$$i_{C2} = i_{L1} - i_o \quad \text{node 1} \quad (9)$$

$$i_{C2} = i_{L2} - i_o \quad \text{node 3} \quad (10)$$

Cascode converter has four cases in interval 3.

1) *Type 1*: Type 1 has the following characteristics. The current through  $L_1$  decreases to zero at the begin of interval 3.  $L_2$  keeps conducting in interval 3. The equivalent circuit is shown in Fig.4. In this case, Diode 2 is on and Diode 1 is off. The current through  $L_1$  decrease to zero in interval 3.

Applying KVL, obtain following equations

$$v_{L2} = -v_{C2} \quad (11)$$

$$v_{C2} + v_{C2} = v \quad (12)$$

Applying KCL at node 1,3 in Fig 4, obtained

$$i_{C2} = -i_o \quad \text{node 1} \quad (13)$$

$$i_{C2} = i_{L2} - i_o \quad \text{node 3} \quad (14)$$

Applying small ripple approximation on current and voltage except for  $i_{L1}$ .

In steady-state analysis the average voltage of  $L_1$  and  $L_2$  are equal to zero. That is

$$(V_g + V_{C2})D_1 - V_{C2}D_2 = 0 \quad (15)$$

$$V_gD_1 - V_{C2}(1 - D_1) = 0 \quad (16)$$

In steady-state the average current of  $C_1$  and  $C_2$  are equal to zero. That is

$$\frac{1}{2}D_2i_{L_1peak} - I_o = 0 \quad (17)$$

$$-\frac{1}{2}D_1i_{L_1peak} + (1 - D_1)I_{L_2} - I_o = 0 \quad (18)$$

The expression of  $i_{L_1peak}$  can be obtained by using  $L\frac{di}{dt} = v$

$$i_{L_1peak} = D_1T_s \frac{V_g + V_{C_2}}{L_1} \quad (19)$$

From Eq.(15-19), the relationship of  $V_g, V_{C_2}, D_2, I_{L_2}, V_o$  can be obtained.

The relationship between the input and output voltage is

$$V_o = \frac{(1 + \sqrt{\frac{(L_1 + 2RT_s)}{L}})D_1V_g}{2(1 - D_1)} \quad (20)$$

The expression of  $D_2$  is shown in Eq.(21)

$$D_2 = \frac{\sqrt{L_1(L_1 + 2RT_s)} + L_1}{RT_s} \quad (21)$$

The critical value of  $L_1$  makes circuit operate in DCM is shown in Eq.(22)

$$L_1 \leq \frac{(D_1 - 1)^2 RT_s}{2(2 - D_1)} \quad (22)$$

The  $K$  for Cascode converter is shown in Eq.(23)

$$K = \frac{2L_1}{RT_s} \quad (23)$$

The  $K_{critical}$  of Cascode Converter in Type 1 is

$$K_{critical} = \frac{(1 - D_1)^2}{(2 - D_1)} \quad (24)$$

If  $K_{critical} \geq K$  Cascode Converter operates in DCM.

The gain,  $M(D, K)$ , of Cascode Converter is shown in Eq.(25)

$$M(D, K) = \frac{(1 + \sqrt{\frac{(L_1 + 2RT_s)}{L}})D_1}{2(1 - D_1)} \quad (25)$$

and  $D_2$  can be written as

$$D_2 = \frac{\sqrt{1 + 4K} + 1}{2K} \quad (26)$$

2) *Type 2*: Type 2 is the opposite of Type 1. Type 2 has the following characteristics. The current through  $L_2$  decrease to zero in interval 3.  $L_1$  keep conducting in interval 3. The equivalent circuit is shown in Fig.5. In this case, Diode 2 is off and Diode 1 is on.

Applying KVL, obtain following equations

$$v_{L_1} = -v_{C_2} \quad (27)$$

$$v_o = v_{C_2} + v_{C_2} \quad (28)$$

Applying KCL at node 1,3 in Fig.5, obtain following equations

$$i_{C_2} = i_{L_1} - i_o \quad \text{node 1} \quad (29)$$

$$i_{C_2} = -i_o \quad \text{node 3} \quad (30)$$

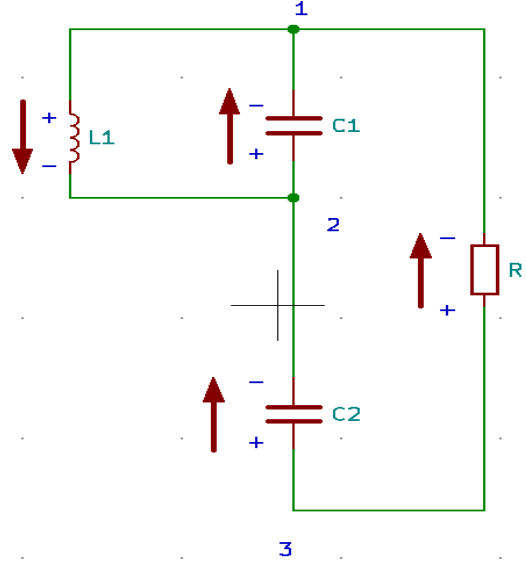


Fig. 5. Interval 3 type 2

In steady state, apply same process as Type 1 The average value of  $v_{L_1}, v_{L_2}, i_{C_2}, i_{C_2}$  equal 0. Following equations can be obtained

$$(V_g + V_{C_2})D_1 - (1 - D_1)V_{C_2} = 0 \quad (31)$$

$$V_gD_1 - V_{C_2}D_2 = 0 \quad (32)$$

$$(1 - D_1)I_{L_1} - I_o = 0 \quad (33)$$

$$-D_1I_{L_1} + \frac{1}{2}D_2i_{L_2peak} - I_o = 0 \quad (34)$$

Associated interval 1 and interval 2, obtain

$$V_{C_2} + V_{C_2} = V_o \quad (35)$$

$$i_{L_2peak} = D_1T_s \frac{V_g}{L_2} \quad (36)$$

From Eq.(31-36), The relations between input and output voltage can be obtained

$$V_o = \frac{D_1V_g \left(1 + \sqrt{\frac{2RT_s}{L_2}(1 - D_1)^2 + 1}\right)}{2(1 - D_1)} \quad (37)$$

The expression of  $D_2$  is shown in Eq.(38)

$$D_2 = \frac{(1 + \sqrt{\frac{2RT_s}{L_2}(1 - D_1)^2 + 1})L_2}{2RT_s(1 - D_1)^2} \quad (38)$$

The critical value of  $L_2$  makes circuit operate in DCM is shown in Eq.(39)

$$L_2 \leq \frac{(1 - D_1)^4 RT_s}{2(2 - D_1)} \quad (39)$$

The  $K_{critical}$  of Cascode Converter in Type 2 is

$$K_{critical} = \frac{(1 - D_1)^4}{(2 - D_1)} \quad (40)$$

If  $K_{critical} \geq K$  the converter operate in DCM

The gain,  $M(D, K)$ , of Cascode Converter is

$$M(D, K) = \frac{D_1 \left( 1 + \sqrt{\frac{2RT_s}{L_2} (1 - D_1)^2 + 1} \right)}{2(1 - D_1)} \quad (41)$$

3) *Type 3*: Type 3 has the following characteristics. At the end of interval 2 the current through  $L_1$  decrease to zero. During interval 3 the current through  $L_2$  decrease to zero. Using same method obtain following equations

$$(V_g + V_{C_2})D_1 - V_{C_2}D_2 = 0 \quad (42)$$

$$V_g D_1 - V_{C_2}(D_2 + D_3) = 0 \quad (43)$$

$$\frac{1}{2} D_2 i_{L_1 peak} - I_o = 0 \quad (44)$$

$$-\frac{1}{2} D_1 i_{L_1 peak} + \frac{1}{2} (D_2 + D_3) i_{L_2 peak} - I_o = 0 \quad (45)$$

$$(46)$$

The equation of  $i_{L_1 peak}$  and  $i_{L_2 peak}$  are

$$i_{L_1 peak} = D_1 T_s \frac{V_g + V_{C_2}}{L_1} \quad (47)$$

$$i_{L_2 peak} = D_1 T_s \frac{V_g}{L_2} \quad (48)$$

4) *Type 4*: Type 4 has the following characteristics. At the end of interval 2 the current through  $L_2$  decrease to zero. During interval 3 the current through  $L_1$  decrease to zero. Using same method obtain following equations

$$(V_g + V_{C_2})D_1 - (D_2 + D_3)V_{C_2} = 0 \quad (49)$$

$$V_g D_1 - V_{C_2}D_2 = 0 \quad (50)$$

$$\frac{1}{2} i_{L_1 peak} (D_2 + D_3) - I_o = 0 \quad (51)$$

$$-\frac{1}{2} D_1 i_{L_1 peak} + \frac{1}{2} D_2 i_{L_2 peak} - I_o = 0 \quad (52)$$

The expression of  $i_{L_1 peak}$  and  $i_{L_2 peak}$  are

$$i_{L_1 peak} = D_1 T_s \frac{V_g + V_{C_2}}{L_1} \quad (53)$$

$$i_{L_2 peak} = D_1 T_s \frac{V_g}{L_2} \quad (54)$$

If trying to solve the equations shows in Type 3 and Type 4, they will produce 4th order equations. It is very hard to give the expression of  $M(D, K)$  from 4th order equations except give the real number.

So the expression of  $M(D, K)$ ,  $D_2$ ,  $D_3$  and  $K_{critical}$  of type 3 and type 4 do not provided in this paper.

### III. RESULT

The previous sections give the expression of  $M(D, K)$  and the value of  $L_1, L_2$  of this circuit.

In this section, PSIM will be used to do simulation and shows the relationship between input and output voltage. Using PSIM to do the simulation, the current waveform of inductors can be obtained. To inspect the current waveform of inductors shows that circuit working in DCM. The value obtained using PSIM is very close to the theoretical value.

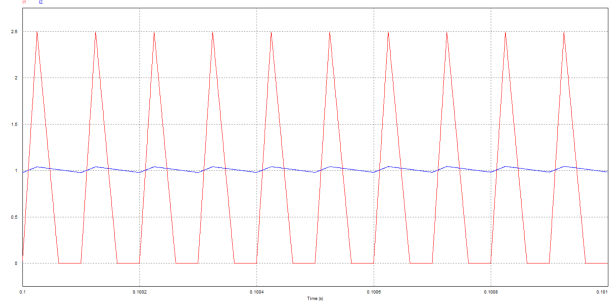


Fig. 6. the current through  $L_1$  and  $L_2$  for type 1

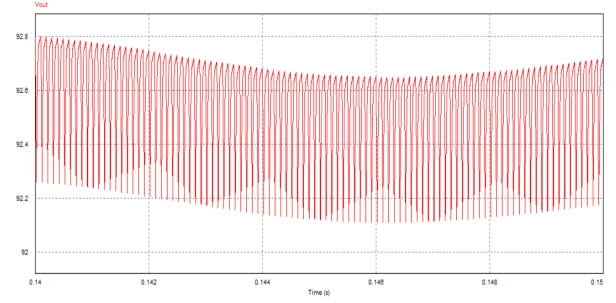


Fig. 7. output voltage of type 1

#### A. Simulate for type 1

For type 1, do simulate using following parameters.

$$V_g = 75 \text{ V} \quad (55)$$

$$R = 200 \text{ } \Omega \quad (56)$$

$$D = 0.25 \quad (57)$$

$$L_1 = 1 \text{ mH} \quad (58)$$

$$L_2 = 30 \text{ mH} \quad (59)$$

$$C_1 = 100 \text{ } \mu\text{F} \quad (60)$$

$$C_2 = 100 \text{ } \mu\text{F} \quad (61)$$

$$f = 10 \text{ kHz} \quad (62)$$

Using equations shows in Type 1, the output voltage is

$$V_{out} = 92.5391 \quad (63)$$

$K = 0.1 < K_{critical} = 0.321429$ , the circuit operate in DCM.

Using PSIM, the output voltage is shown in Fig. 7.

In Fig.7, the output voltage can be found. It is similar to the calculation.

#### B. Simulate for type 2

For type 2, do simulate using following parameters.

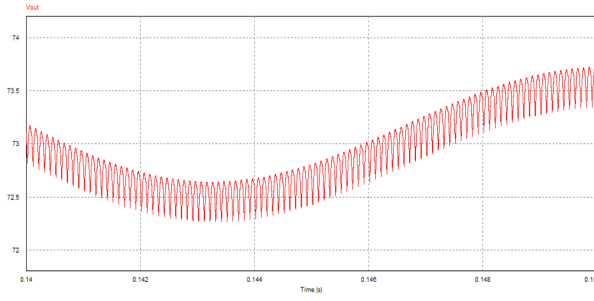


Fig. 8. output voltage of type 2

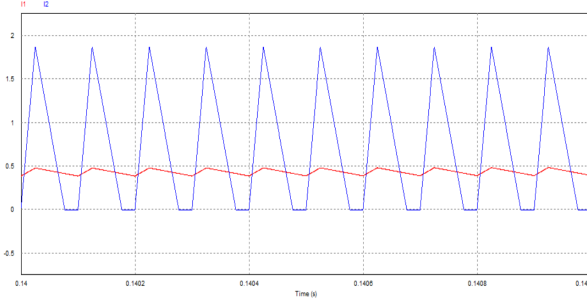


Fig. 9. the current through  $L_1$  and  $L_2$  for type 2

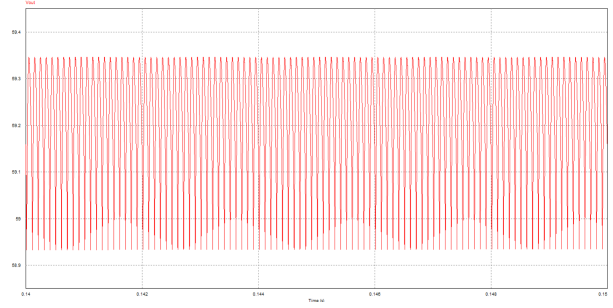


Fig. 10. output voltage of type 3

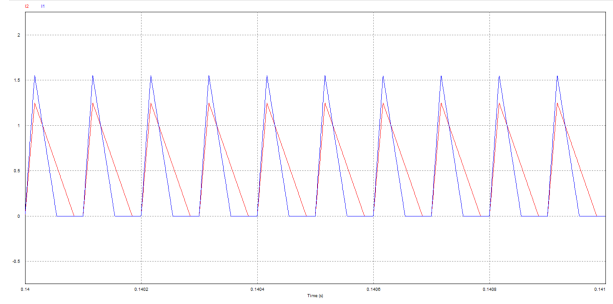


Fig. 11. the current through  $L_1$  and  $L_2$  for type 3

$$V_g = 75 \text{ V} \quad (64)$$

$$R = 200 \text{ } \Omega \quad (65)$$

$$D = 0.25 \quad (66)$$

$$L_1 = 30 \text{ mH} \quad (67)$$

$$L_2 = 1 \text{ mH} \quad (68)$$

$$C_1 = 100 \text{ } \mu\text{F} \quad (69)$$

$$C_2 = 100 \text{ } \mu\text{F} \quad (70)$$

$$f = 10\text{kHz} \quad (71)$$

Using equations shows in Type 2, the output voltage is

$$V_{out} = 73.096 \text{ V} \quad (72)$$

$K = 0.1 < K_{critical} = 0.180804$ , the circuit operate in DCM. Using PSIM, the output voltage is shown in Fig.8. In Fig 8, the output voltage can be found. It is similar to the calculation.

### C. Simulate for Type 3 and Type 4

As shown before, in Type 3 and Type 4 is very hard to derive the gain of Cascode converter except using real number.

Using real numbers to do simulate and also using these numbers to solve equations.

For type 3, do simulate using following parameters. The current through  $L_1$  decrease to zero first.

$$V_g = 75 \text{ V} \quad (73)$$

$$R = 200 \text{ } \Omega \quad (74)$$

$$D = 1/6 \quad (75)$$

$$L_1 = 1 \text{ mH} \quad (76)$$

$$L_2 = 1 \text{ mH} \quad (77)$$

$$C_1 = 100 \text{ mF} \quad (78)$$

$$C_2 = 100 \text{ mF} \quad (79)$$

$$f = 10\text{kHz} \quad (80)$$

Use the formula in Type 3 and substitute the number, the output voltage has been found.  $V_o = 59.2223 \text{ V}$ . The result of simulating is shown in Fig.10. Using PSIM, the output voltage is shown in Fig.10. In Fig.10, the output voltage can be found. It is similar to the calculation.

Following are parameters for type 4. The current through  $L_2$  decrease to zero first.

$$V_g = 75 \text{ V} \quad (81)$$

$$R = 200 \text{ } \Omega \quad (82)$$

$$D = 1/6 \quad (83)$$

$$L_1 = 2 \text{ mH} \quad (84)$$

$$L_2 = 1 \text{ mH} \quad (85)$$

$$C_1 = 100 \text{ mF} \quad (86)$$

$$C_2 = 100 \text{ mF} \quad (87)$$

$$f = 10\text{kHz} \quad (88)$$

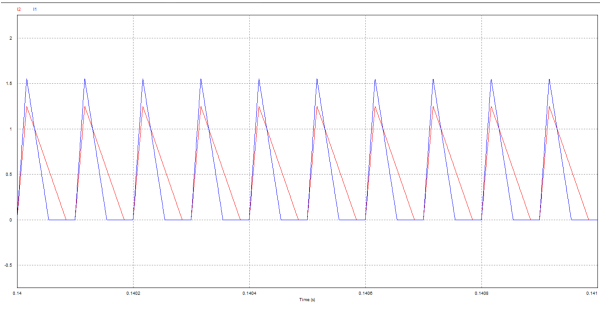


Fig. 12. the current through  $L_1$  and  $L_2$  for type 3

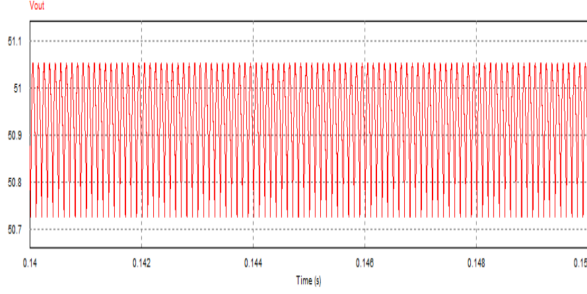


Fig. 13. output voltage of type 4

Use the formula in Type 4 and substitute the number, the output voltage has been found.  $V_o = 50.9437 \text{ V}$ . The result of simulating is shown in Fig.13. Using PSIM, the output voltage is shown in Fig.13. In Fig.13, the output voltage can be found. It is similar to the calculation.

#### IV. DISCUSSIONS

This paper shows all formulas about the Cascode Converter in DCM. For Type 1 and Type 2, the relationship between input and output voltage is given in Eq.(25) and Eq.(37). For Type 3 and Type 4, the relationship between input and output voltage is too difficult to expression by a simple formula. So the equations are listed. At the same time this paper shows two formulas to judging DCM. In type 1, when  $K < K_{critical}$  the current in  $L_1$  will reach zero at the end of interval 2. Then the converter operates in DCM. Type 2 is similar with Type 1. In Type 3 and Type 4, the relationship between input and output

voltage can be obtained by solving equations. When  $K < K_{critical}$  occurred both in  $L_1$  and  $L_2$ , the converter operate in type 3 or type 4. In order to determine the converter operate in which type, it is necessary to solve the equations mentioned in chapter II. Only one of them will get a reasonable answer. In conclusion, this paper shows the method and equations to analyze Cascode Converter in DCM.

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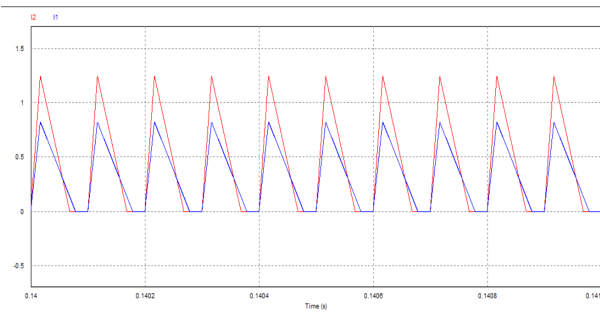


Fig. 14. the current through  $L_1$  and  $L_2$  for type 4