

# CS 310: Dynamic Arrays

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## Worst, Average, or Best Case

- Plan for the worst, hope for the best
- Best case isn't usually helpful (best case is almost always  $O(1)$ )
- Average case can be helpful but it is typically very hard to prove, or can only be shown probabilistic-ally
- Worst case is the most important (usually)

## String Concatenation

- Efficiency analysis needs to consider everything that the computer does
  - Even the stuff that's not obvious!

```
void method8(String[] arr) {  
    String result = "[";  
    for (String s : arr) {  
        result += s + " ";  
    }  
    result += "];"  
    System.out.println(result);  
}
```

In the code above, we might think that `result += s + " "` is constant, but it is in fact linear. Put this inside of a `for` loop and we've got  $O(n^2)$  already.

## New Topic: List

- Wikipedia: a list or sequence is an abstract data type that represents a countable number of ordered values, where the same value may occur more than once
  - Dynamic array: like `ArrayList` from Java's `Collections` framework
  - Linked lists (either singly or doubly linked)

## Outline Today

- Goals today: check how to implement an expandable array similar to `ArrayList`
  - Generic type with type parameters
  - Implementation highlights
    - \* Size can grow if needed
    - \* Lacking nice `[]` syntax: use `.get()` and `.set()`
    - \* Other convenient operations supported
  - Analyze the complexity

## Our Expandable Array

- Using an underlying array to keep data

```
public class MyArrayList<T> {  
    T[] data;  
    int size;  
    ...  
}
```

- Generic class (an array that can hold any type T)
- `data` is a standard fixed sized array
  - Use consecutive locations, no “holes” allowed
- If/when `data` runs out of space, expand it: when? how?
  - When we absolutely must (do it lazily). We can increase the space available by allocating an array of twice the size and copying over the old contents to the new array.
- What is the use of `size`?
  - Using a variable to hold it means that looking up the size of the array is  $O(1)$ .

## Create MyArrayList

```
public class MyArrayList<T> {  
    T[] data; // Holds elements  
    int size; // Virtual size  
    public MyArrayList(); // Initialize fields  
    public int size(); // Virtual size of ArrayList  
    public void add(T x); // Add an element to the end  
    public T get(int i); // Accessing an element  
    public void set(int i, T x);  
  
    // Only replaces an existing element  
    public void insert(int i, T x);  
  
    /// Insert x at position i, shift elements if necessary  
    public T remove(int i);  
  
    // Remove element at position i, shift elements to remove the gap  
    public int indexOf(T x);  
}
```

## Expanding Array

- Which methods need to expand?
  - Any method which modifies the size of the array.
- When to expand?
  - If/when `data` runs out of space
- How to expand array `data`?
  1. Allocate a new larger array `data2`

2. Copy from `data` to `data2`
3. Update reference: set `data` to `data2`
4. GC gets the old array

## Implementation

- Demo in Java

## Key Observations

- Can't do `new T[10]`, instead use `(Object[])` to cast it to a generic array
  - Recall that arrays are co-variant (preserves the ordering of types ( $\leq$ ), which orders types from more specific to more generic) and generics are invariant
  - Casting to an array of object from a generic array (and vice versa) are considered unsafe operations
    - \* We can use `@SuppressWarnings("unchecked")` to silence the compiler, but only when we absolutely must
- Magic numbers: standard Java `ArrayList` increases the new size to  $3/2 * \text{oldSize} + 1$ 
  - Chose based on engineering experience rather than theory, can use bit shifts to compute the size quickly
  - Similarly, default size = 10

## Complexity

- `ArrayList` of size  $N$

Method	Big- $O$
<code>.size()</code>	$O(1)$
<code>.get(i)</code>	$O(1)$
<code>.set(i, x)</code>	$O(1)$
<code>.add(x)</code>	$O(n)$
<code>.insert(i, x)</code>	$O(n)$
<code>.remove(i)</code>	$O(n)$
<code>.indexOf(x)</code>	$O(n)$

## Compare with a Static Array

Implementation	get/set	add/del at end	add/del at start	add/del in mid	search	can grow?
Static Array	1	1	$N$	$N$	$N$	no
Dynamic Array	1	<b>N</b>	$N$	$N$	$N$	yes

- Array of size  $N$
- Worst case
- Wait... we are only occasionally expanding the array, so do we care about all these other things?

## Amortized Analysis for `add(x)`

i-th call	1	2	3	4	5	6	7	8	9	10	11	12
size	1	2	4	4	8	8	8	8	16	16	16	16
Cost of doubling/copying	-	1	2	-	4	-	-	-	8	-	-	-
Cost of putting <code>x</code>	1	1	1	1	1	1	1	1	1	1	1	1
Total cost	1	2	3	1	5	1	1	1	9	1	1	1

- Assume that we start with `capacity` = 1
- Assume that we always double the capacity
- Worst case: keep adding, no removing

## Dynamic Array Add: Algebraic Approach

- If we always double the array...
- $c_i$  is the cost of the  $i$ -th call
  - If  $i - 1$  is an exact power of 2, we need to expand and  $c_i = 1$
- Total time for  $N$  operations is  $O(n)$  as shown below

$$\begin{aligned}
 \sum_{i=1}^n c_i &\leq 1 + \sum_{j=0}^{\lfloor \log(n) \rfloor} 2^j \\
 &< 1 + 2^{\lfloor \log(n) \rfloor + 1} \\
 &= 1 + 2 \cdot 2^{\lfloor \log(n) \rfloor} \\
 &\leq 1 + 2n \\
 &= 3n
 \end{aligned}$$

- Amortized analysis shows that `add(x)` is  $O(1)$

## Amortized Analysis

- Consider a sequence of  $M$  operations

$$\text{amortized efficiency} = \frac{\text{worst-case sequence efficiency}}{M}$$

- Looks at the time performance a sequence of operations averaged over the number of operations:  $T(n)/n$
- Shows that the average cost over time isn't as bad as the worst case for a single operation
- This is **NOT** the same as average case analysis
  - **Average case:** the expected cost of each operation (innately probabilistic)
  - **Amortized:** the average cost of each operation is the worst case

## Complexity

Implementation	get/set	add/del at end	add/del start	add/del mid	search	can grow?
Static Array	1	1	$N$	$N$	$N$	no
Dynamic Array	1	<b>1</b> *	$N$	$N$	$N$	no

\*Amortized analysis

- `.add()` and `.remove()` are amortized as a constant
- Now competitive with a static array for linear operations

## Take-Home

- Today: expandable array
  - Practice by completing the code
  - Time/space best/worst case analysis
- Next time: linked lists!
  - Reading: Chapter 17 of Weiss