Numbers in Non-Integer Bases

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NVCC

VMATYC, Spring 2017

Outline

- Whole Numbers
 - Definitions
 - Examples
 - Language Generated by Whole Number Bases
- Non-Integer Algebraic Numbers
 - Definitions
 - Examples
 - Properties of Non-Integer Bases
 - Language Generated by Non-Integer Bases

Overview

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Example (Different Whole Number Bases)

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Example (Different Whole Number Bases)

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Maximum Allowed Numeral in Base

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Radix Point

A point used to separate the integer part of a number from the fractional part.

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Beta Expansion

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A means of rewriting a number as the digits multiplied by the base which is raised to the power of position of the digit.

$$\begin{aligned} 125_{10} &= 1\times 10^2 + 2\times 10^1 + 5\times 10^0 \\ \text{A5.E}_{16} &= 10\times 16^1 + 5\times 16^0 + 14\times 16^{-1} \\ 20_2 &= 2\times 10^1 + 0\times 2^0 \end{aligned}$$

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- You're allowed one operation
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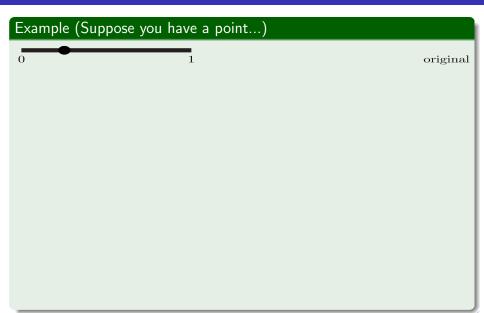


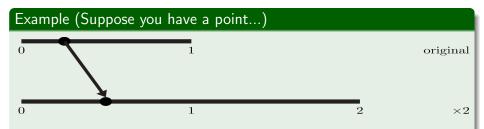
- You're allowed one operation
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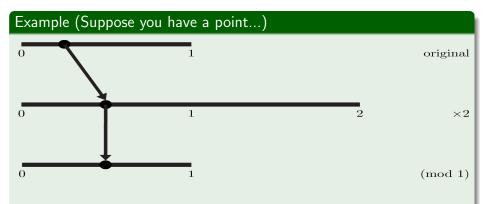
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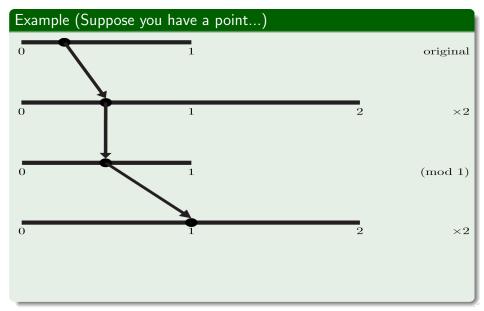


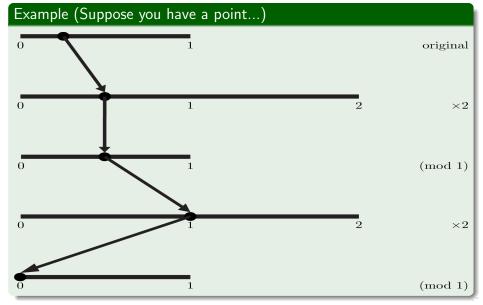
- You're allowed one operation
 - Multiplication by a whole number, followed by modulo one
 - Once you choose a multiplier, you must use it for the duration
- We keep track of the interval that it falls in after the operation









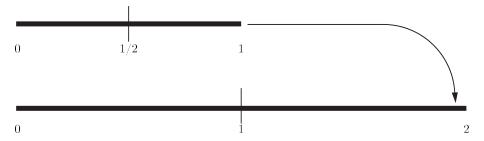


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- So for binary, this is what we were doing:



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 - The conversion of 0.25_{10} to a number in binary: 0.01_2
 - We can check this with a beta expansion:

$$0.01_2 = 0 \times 2^0 + 0 \times 2^{-1} + 1 \times 2^{-2} = 1/4 = 0.25_{10}$$

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- That there is a finite radix point expression in a given base

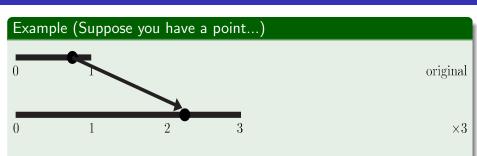
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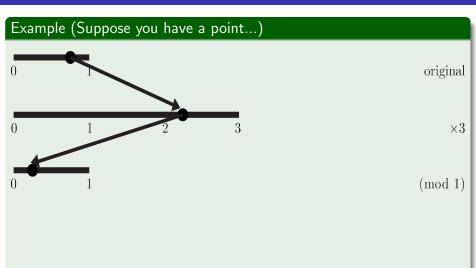
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- That there is a finite radix point expression in a given base
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- Let's do another example

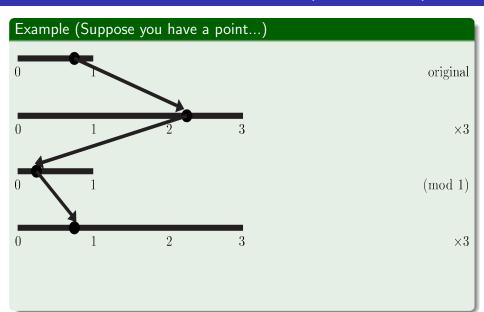
Example (Suppose you have a point...)

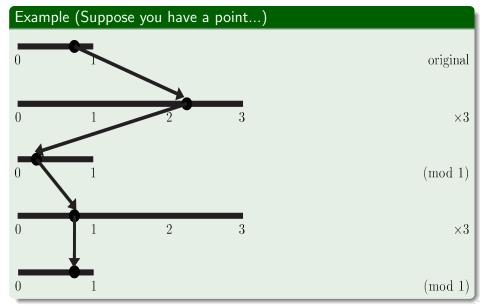


original









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- In general, this can and does happen in any base
- We can explain this phenomenon arithmetically

Theorem



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Finite Radix Point Expression

A number p is said to have a finite radix point expression if

$$p=\frac{n}{m_1m_2\ldots m_k}$$

where $n \in \mathbb{N}$, and $m_1 m_2 \dots m_k$ are all the prime factors of the base raised to some power.

http://mathworld.wolfram.com/DecimalExpansion.html

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 $\verb|http://mathworld.wolfram.com/DecimalExpansion.html|\\$

Example (1/3 in Base 10)

$$\frac{1}{3} \neq \frac{n}{2^{\alpha}5^{\beta}}$$

where $n, \alpha, \beta \in \mathbb{N}$.

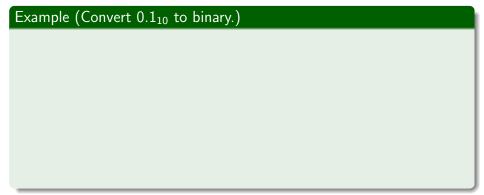


We can do this operation with arithmetic

- We can do this operation with arithmetic
 - We must first qualify what we were doing in our "game" previously

Beta Map

The transformation $T:[0,1)\to [0,1)$ defined by $T=(x\cdot\beta)$ (mod 1).



Example (Convert 0.1_{10} to binary.)

$$0.1 * 2 = 0.2$$

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 $0.2 \mod (1) = 0.2$

0.0

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$$0.1 * 2 = 0.2$$
 $0.2 \mod (1) = 0.2$ 0.0

$$0.2 * 2 = 0.4$$
 $0.4 \mod (1) = 0.4$

0.00

Example (Convert 0.1_{10} to binary.)

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$$0.2 * 2 = 0.4$$
 $0.4 \mod (1) = 0.5$
 $0.4 * 2 = 0.8$ $0.8 \mod (1) = 0.5$

$$0.8 \mod (1) = 0.8$$

0.00

0.000

0.1 * 2 = 0.2	$0.2 \mod (1) = 0.2$	0.0
0.2 * 2 = 0.4	$0.4 \mod (1) = 0.4$	0.00
0.4 * 2 = 0.8	$0.8 \mod (1) = 0.8$	0.000
0.8 * 2 = 1.6	$1.6 \mod (1) = 0.6$	0.0001

0.1 * 2 = 0.2	$0.2 \mod (1) = 0.2$	0.0
0.2 * 2 = 0.4	$0.4 \mod (1) = 0.4$	0.00
0.4 * 2 = 0.8	$0.8 \mod (1) = 0.8$	0.000
0.8 * 2 = 1.6	$1.6 \; mod \; (1) = 0.6$	0.0001
0.6 * 2 = 1.2	$1.2 \mod (1) = 0.2$	0.00011

0.0	$0.2 \mod (1) = 0.2$	0.1 * 2 = 0.2
0.00	$0.4 \mod (1) = 0.4$	0.2 * 2 = 0.4
0.000	$0.8 \mod (1) = 0.8$	0.4 * 2 = 0.8
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$0.0\overline{0011}_{2}$		

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Example (Examples of Words)

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Cat (English)

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Example (Examples of Words)

Cat (English)

101 (Binary)

99.9 (Decimal)

Language

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Numerical Bases

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Example (Examples of Languages)

English

Numerical Bases

All the sounds my cat can make

A word is not allowed in a language if it contains a forbidden subword

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 - Acceptable words in base 10 include 9, or 5.5 but not CAT or 5Z: they contain forbidden subwords

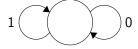
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 - Acceptable words in base 10 include 9, or 5.5 but not CAT or 5Z: they contain forbidden subwords
 - Acceptable words in base 2 include 1, or 0.110000 but not 3, or 4: they contain forbidden subwords
- How can we represent the language generated by these bases?

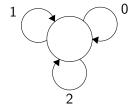
Finite State Machine

A finite state machine (FSM) is a mathematical structure that allows us to imagine a machine with no memory.

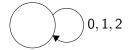
FSM for Binary



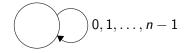
FSM for Ternary



Simplified FSM for Ternary



FSM for Whole Number Bases



 \bullet $n \in \mathbb{N}, n > 1$

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Maximum Allowed Numeral in Base

If the radix is a whole number, the previous definition holds. If the radix is not a whole number, then the maximum allowed numeral is the floor function of the base.

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 $arphi = rac{1+\sqrt{5}}{2} pprox 1.618$, maximum numeral is 1

 $\alpha = 1 + \sqrt{2} \approx 2.14$, maximum numeral is 2

Algebraic Integer

A number x is an algebraic integer if it is the root of a monic polynomial $f(x) = x^n + a_{n-1}x^{n-1} + \cdots + a_1x + a_0$, where $a_{n-1}, \ldots, a_0 \in \mathbb{Z}$.

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$$x^2 - x - 1 = 0$$
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$$x^2 - 2x - 1 = 0$$
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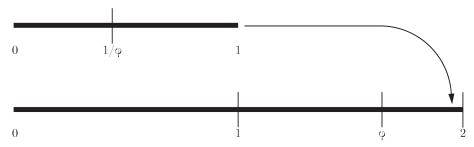
$$x^2 - x - 1 = 0$$
, $x = \frac{1 \pm \sqrt{5}}{2}$

$$x^2 - 2x - 1 = 0$$
, $x = 1 \pm \sqrt{2}$

$$x^3 - x - 1 = 0$$
, $x = \frac{1}{3}\sqrt[3]{\frac{27 - 3\sqrt{69}}{2}} + \frac{\sqrt[3]{\frac{1}{2}(9 + \sqrt{69})}}{3^{2/3}}$

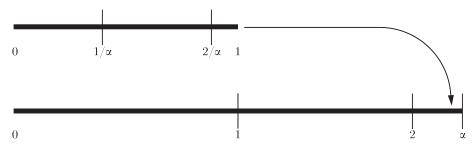
Partitioning Differently

- We don't have to use a whole number
- The partitions won't be even, but that's not a problem



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Examples of Non-Integer Bases

- Base Golden Ratio
- Base Silver Ratio

Golden Ratio

Golden Ratio

The positive solution to the equation $\varphi^2 = \varphi + 1$.

$$\varphi = \frac{1+\sqrt{5}}{2} \approx 1.618$$
.

Silver Ratio

Silver Ratio

The positive solution to the equation $\alpha^2 = 2\alpha + 1$.

$$\alpha = 1 + \sqrt{2} \approx 2.414$$
.

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Properties of Non-Integer Bases

- There will be forbidden words
 - We can find these by using the orbit of any whole number in these bases (one is the easiest choice)
- It is easy/interesting to represent certain numbers in these bases
- Arithmetic is more of a pain than usual

Golden Ratio Addition

Example
$$(1_{arphi}+1_{arphi})$$

Golden Ratio Addition

Example $(1_{arphi}+1_{arphi})$

 $1_{\varphi} = 0.11 \varphi$.

Golden Ratio Addition

Example $(1_{arphi}+1_{arphi})$

$$1_{\varphi} = 0.11 \varphi$$
.

$$1_{\varphi}+0.11\varphi=1.11\varphi=10.01_{\varphi}.$$

Golden Ratio Addition

Example $(1_{arphi}+1_{arphi})$

$$1_{\varphi} = 0.11 \varphi$$
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$$1_{\varphi} + 0.11\varphi = 1.11\varphi = 10.01_{\varphi}.$$

We can double check this operation by doing a beta expansion and using the definition of the base.

Golden Ratio Addition

Example $(1_{arphi}+1_{arphi})$

$$1_{\varphi} = 0.11 \varphi$$
.

$$1_{\varphi} + 0.11\varphi = 1.11\varphi = 10.01_{\varphi}.$$

We can double check this operation by doing a beta expansion and using the definition of the base.

$$10.01_{\varphi} = 1 \times \varphi^1 + 0 \times \varphi^0 + 0 \times \varphi^{-1} + 1 \times \varphi^{-2} = \varphi + 1/\varphi^2 = (\varphi^2 + 1)/\varphi^2 = 2_{10}.$$

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Simplest Form

A number is in simplest form if we are unable to rewrite the beta expansion such that it includes a higher power.

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Let

$$\varphi^2 = \varphi + 1.$$

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$$0.11_{\varphi} = 1 \times \varphi^{-1} + 1 \times \varphi^{-2} = \frac{1}{\varphi} + \frac{1}{\varphi^2}.$$

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$$0.11_{\varphi} = 1 \times \varphi^{-1} + 1 \times \varphi^{-2} = \frac{1}{\varphi} + \frac{1}{\varphi^2}.$$

Then,

$$\frac{\varphi}{\varphi^2} + \frac{1}{\varphi^2} = \frac{\varphi + 1}{\varphi^2},$$

Simplest Form

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Using the positive solution of that equation, $\varphi\approx 1.618$, we can show that $0.11_{\varphi}=1.00_{\varphi}$ by using a beta expansion.

$$0.11_{\varphi} = 1 \times \varphi^{-1} + 1 \times \varphi^{-2} = \frac{1}{\varphi} + \frac{1}{\varphi^2}.$$

Then,

$$\frac{\varphi}{\varphi^2} + \frac{1}{\varphi^2} = \frac{\varphi + 1}{\varphi^2},$$

and by the definition of the base, we can rewrite the numerator:

$$rac{arphi^2}{arphi^2} = 1_{arphi}$$

What Makes a Word Forbidden in Non-Integer Bases?

• In general, a word is forbidden if it is not in simplest form

What Makes a Word Forbidden in Non-Integer Bases?

- In general, a word is forbidden if it is not in simplest form
- In actuality, it is because it escapes the range of the beta map

Escaping the Beta Map

Example (Golden Base Ratio: $T(0.011_{\varphi})$)

Using our previous example:

$$T(0.011_{arphi}) = 0.11_{arphi} = 1.00_{arphi}.$$

Escaping the Beta Map

Example (Golden Base Ratio: $T(0.011_{\varphi})$)

Using our previous example:

$$T(0.011_{\varphi}) = 0.11_{\varphi} = 1.00_{\varphi}.$$

But that can't happen! By definition, $T:[0,1)\to [0,1)$. As such, we say that this is a forbidden word.

Escaping the Beta Map

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Using our previous example:

$$T(0.011_{\varphi}) = 0.11_{\varphi} = 1.00_{\varphi}.$$

But that can't happen! By definition, $T:[0,1)\to [0,1)$. As such, we say that this is a forbidden word.

Geometrically, this can't happen. If we multiply a number in [0,1) by any number and perform (mod 1), we will never get a number larger than one.

• Take the orbit of one in the base

- Take the orbit of one in the base
- Find the period of the orbit and take one segment

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 - Any sequence of numerals greater than the numerals of the period is not allowed

Orbit

The unique, non-terminating radix point representation of a number in any base.

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Orbit

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$$1_{10} = 0.\overline{99}_{10}$$

$$\mathbf{1_9} = 0.\overline{88}_9$$

$$1_2=0.\overline{11}_8$$

 The orbit of one is composed of the maximum allowed numeral in a language

- The orbit of one is composed of the maximum allowed numeral in a language
- All the forbidden words then will subwords of the orbit, with one added until the word is the maximum numeral lexicographically possible

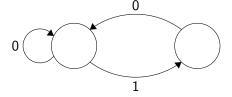
ullet 0. $\overline{10}_{arphi}$ is the orbit of one in base golden ratio

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- ullet 0. $\overline{10}_{arphi}$ is the orbit of one in base golden ratio
- Since the maximum allowed numeral in the language is 10, we add one and find that the forbidden word is 11
- There can be more than one forbidden word, but since the maximum lexicographically allowed numeral in base golden ratio is 1, we can't add one again
- With this in mind, we can now create a finite state machine

FSM For Language Generated by Base Golden Ratio



Language Generated by base Silver Ratio

• $0.\overline{20}_{\alpha}$ is the orbit of one in base silver ratio

Language Generated by base Silver Ratio

- $0.\overline{20}_{\alpha}$ is the orbit of one in base silver ratio
- Therefore, 21 and 22 are forbidden words in the language

FSM For Language Generated by Base Silver Ratio

