#### Polynomial Methods in Combinatorics

Conrad Crowley

Supervisor: Marco Vitturi

March 2022

#### What are Polynomial Methods?

A collection of techniques in Combinatorics which use polynomials and their rigidity properties to argue a ....

### What are Polynomial Methods?

A collection of techniques in Combinatorics which use polynomials and their rigidity properties to argue a . . . .

#### Example (Rigidity and Interpolation)

• Rigidity: If  $P \in \mathbb{R}[X_1, \dots, X_n]$  has degree D and a line  $\ell$  intersects P in more than D points then  $\ell \subset Z(P)$ .

### What are Polynomial Methods?

A collection of techniques in Combinatorics which use polynomials and their rigidity properties to argue a . . . .

#### Example (Rigidity and Interpolation)

- Rigidity: If  $P \in \mathbb{R}[X_1, \dots, X_n]$  has degree D and a line  $\ell$  intersects P in more than D points then  $\ell \subset Z(P)$ .
- Interpolation: We can do parameter-counting arguments using the fact that dim  $\mathbb{R}_{\deg < D}[X_1, \dots, X_n] \sim D^n$ .

We examined proofs of these theorems using the polynomial method:

• Kakeya Conjecture in Finite Fields: If  $A \subset \mathbb{F}^n$  contains a line in every direction then  $|A| \gtrsim |\mathbb{F}|^n$ .

- Kakeya Conjecture in Finite Fields: If  $A \subset \mathbb{F}^n$  contains a line in every direction then  $|A| \gtrsim |\mathbb{F}|^n$ .
- Cauchy-Davenport Theorem:  $|A+B| \ge \min\{p, |A|+|B|-1\}$  where  $A, B \subset \mathbb{Z}_p$  and  $A+B := \{a+b \mid a \in A, \ b \in B\}$ .

- Kakeya Conjecture in Finite Fields: If  $A \subset \mathbb{F}^n$  contains a line in every direction then  $|A| \geq |\mathbb{F}|^n$ .
- Cauchy-Davenport Theorem:  $|A + B| \ge \min\{p, |A| + |B| 1\}$  where  $A, B \subset \mathbb{Z}_p$  and  $A + B := \{a + b \mid a \in A, b \in B\}$ .
- Joints Problem: A collection of N lines in  $\mathbb{R}^3$  can form at most  $N^{3/2}$  joints.

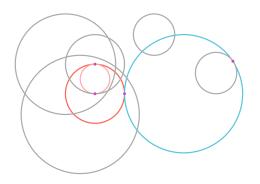
- Kakeya Conjecture in Finite Fields: If  $A \subset \mathbb{F}^n$  contains a line in every direction then  $|A| \geq |\mathbb{F}|^n$ .
- Cauchy-Davenport Theorem:  $|A+B| \ge \min\{p, |A|+|B|-1\}$  where  $A, B \subset \mathbb{Z}_p$  and  $A+B := \{a+b \mid a \in A, \ b \in B\}$ .
- Joints Problem: A collection of N lines in  $\mathbb{R}^3$  can form at most  $N^{3/2}$  joints.
- Szemerédi-Trotter Theorem: Given S points and L lines, there are  $\lesssim (SL)^{2/3} + S + L$  point-line incidences. (i.e.  $(p, \ell)$  s.t.  $p \in \ell$ )

- Kakeya Conjecture in Finite Fields: If  $A \subset \mathbb{F}^n$  contains a line in every direction then  $|A| \geq |\mathbb{F}|^n$ .
- Cauchy-Davenport Theorem:  $|A+B| \ge \min\{p, |A|+|B|-1\}$  where  $A, B \subset \mathbb{Z}_p$  and  $A+B := \{a+b \mid a \in A, \ b \in B\}$ .
- Joints Problem: A collection of N lines in  $\mathbb{R}^3$  can form at most  $N^{3/2}$  joints.
- Szemerédi-Trotter Theorem: Given S points and L lines, there are  $\lesssim (SL)^{2/3} + S + L$  point-line incidences. (i.e.  $(p, \ell)$  s.t.  $p \in \ell$ )
- Circle Tangencies: Given a (suitably non-degenerate) collection of N circles in  $\mathbb{R}^2$ , they determine  $\lesssim N^{3/2}$  tangencies.

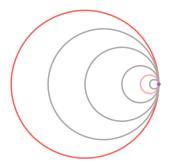
# Circle Tangencies

#### Theorem

Given a (suitably non-degenerate) collection of N circles in  $\mathbb{R}^2$ , they determine  $\lesssim N^{3/2}$  tangencies.



# Circle Tangencies: What's degenerate?



#### Theorem

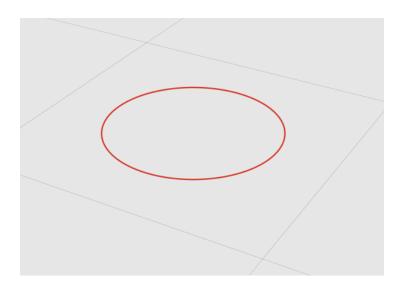
Given a (suitably non-degenerate) collection of N circles in  $\mathbb{R}^2$ , they determine  $\lesssim N^{3/2}$  tangencies.

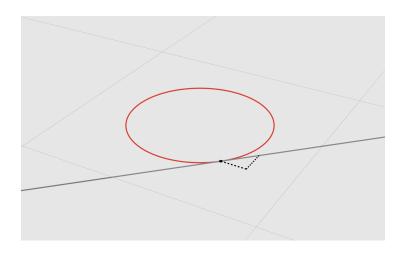
We now present a sketch of a recent proof due to Ellenberg, Solymosi, and Zahl. [1]

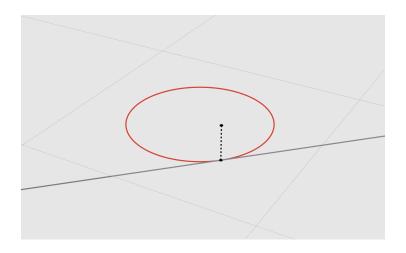
**Sketch Proof:** Assume that there are  $\gtrsim N^{3/2}$  tangencies with each circle tangent to  $\gtrsim N^{1/2}$  other circles in our collection.

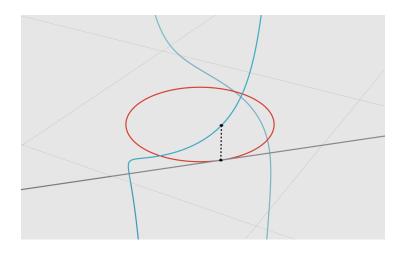
For each circle  $\gamma$  in our collection, we define the curve  $\beta(\gamma) \subset \mathbb{R}^3$  as:

$$\beta(\gamma) := \left\{ (x,y,z) \mid (x,y) \in \gamma, \ z = \frac{-1}{\mathsf{Slope of tangent at}} (x,y) \right\}$$

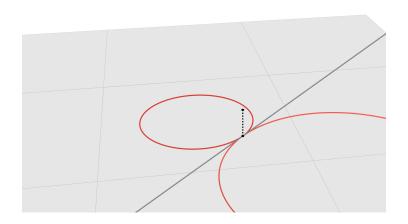




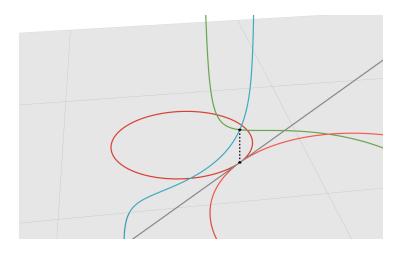




# Circle Tangencies: Tangencies to Incidences

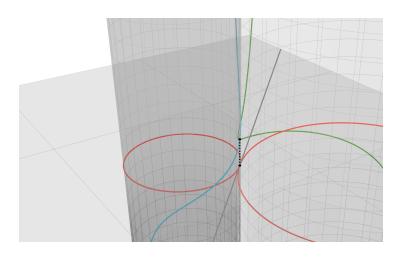


# Circle Tangencies: Tangencies to Incidences



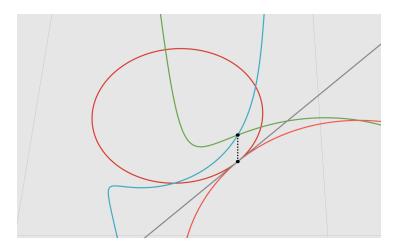
Tangency problem in  $\mathbb{R}^2 \to$  Incidences problem in  $\mathbb{R}^3$ .

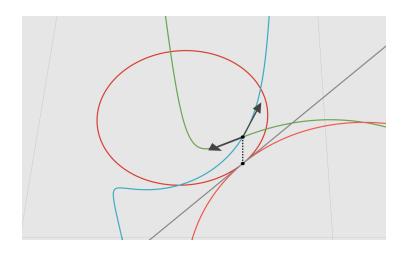
# Circle Tangencies: Tangencies to Incidences

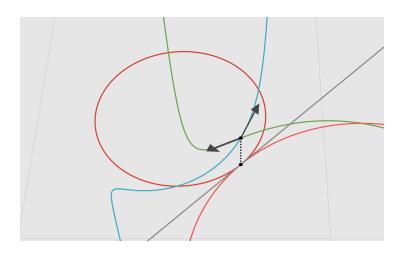


Tangency problem in  $\mathbb{R}^2 \to$  Incidences problem in  $\mathbb{R}^3$ .

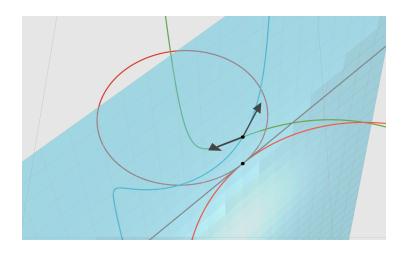
Let us examine the tangent vectors at a point of incidence between two curves:



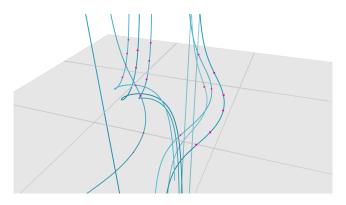


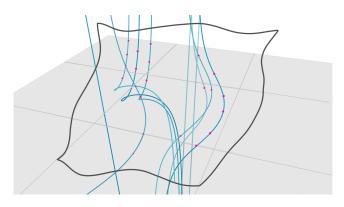


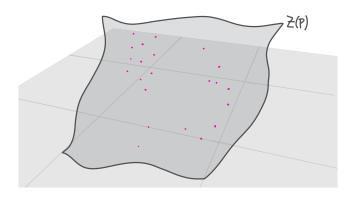
At every point of intersection, the tangent vectors span a vertical plane.

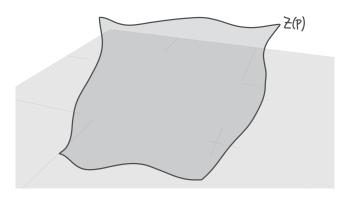


At every point of intersection, the tangent vectors span a vertical plane.

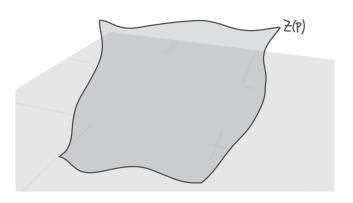




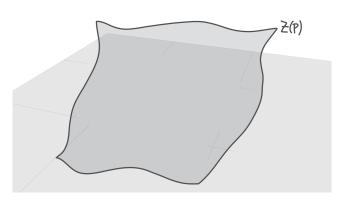




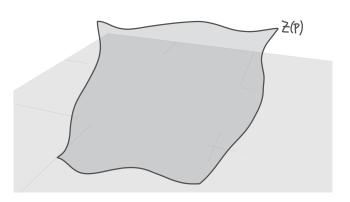
Let P be a polynomial such that all  $N^{3/2}$  incidences are contained in Z(P).



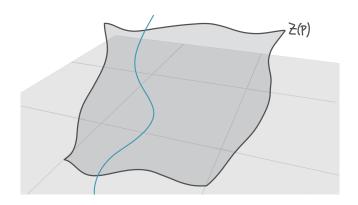
• Recall that  $\dim \mathbb{R}_{\deg \leq D}[X,Y,Z] \sim D^3$ .

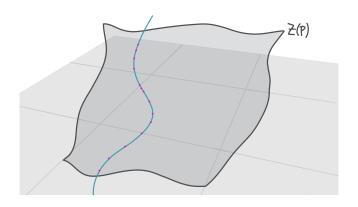


- Recall that dim  $\mathbb{R}_{\deg \leq D}[X, Y, Z] \sim D^3$ .
- Each incidence point gives one linear equation for the coefficients.

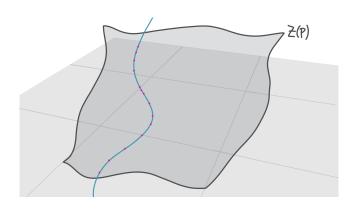


- Recall that dim  $\mathbb{R}_{\text{deg} \leq D}[X, Y, Z] \sim D^3$ .
- Each incidence point gives one linear equation for the coefficients.
- $D^3 \sim N^{3/2} \implies D \sim N^{1/2}$ .

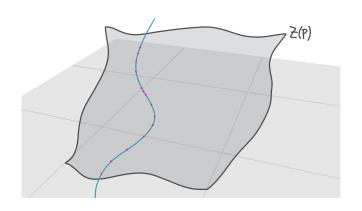




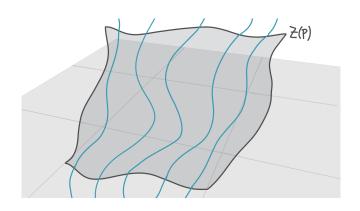
• Each curve  $\beta(\gamma)$  contains  $\gtrsim N^{1/2}$  points of intersection with Z(P).



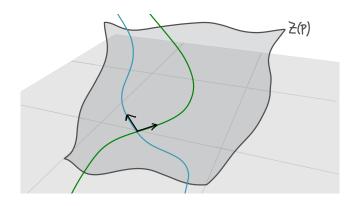
- Each curve  $\beta(\gamma)$  contains  $\gtrsim N^{1/2}$  points of intersection with Z(P).
- deg  $\beta(\gamma) = O(1)$  and deg  $P \sim N^{1/2}$

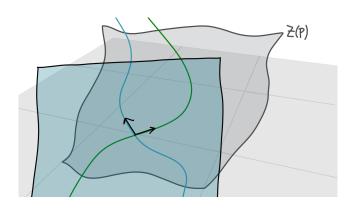


- Each curve  $\beta(\gamma)$  contains  $\gtrsim N^{1/2}$  points of intersection with Z(P).
- deg  $\beta(\gamma) = O(1)$  and deg  $P \sim N^{1/2}$
- $\implies \beta(\gamma) \subset Z(P)$  by Bézout's Theorem.

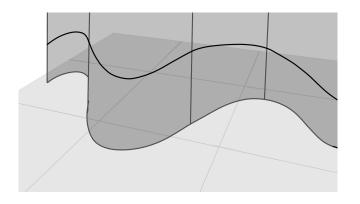


- Each curve  $\beta(\gamma)$  contains  $\gtrsim N^{1/2}$  points of intersection with Z(P).
- deg  $\beta(\gamma) = O(1)$  and deg  $P \sim N^{1/2}$
- $\implies \beta(\gamma) \subset Z(P)$  by Bézout's Theorem.





- Tangent vectors span the z-axis at tangencies, so  $\partial_z P = 0$  on all incidences!
- $\Longrightarrow Z(\partial_z P)$  also contains all incidences.
- $\bullet \implies P(X, Y, Z) = Q(X, Y).$



deg  $Q \sim N^{1/2}$ , but Z(Q) contains N circles. Contradiction!

# Circle Tangencies: Recap of Argument

- **1** Assume there are  $\gtrsim N^{3/2}$  tangencies in our collection.
- ② Lift curves into  $\mathbb{R}^3$  and change into an incidence problem.
- Use a low degree polynomial P to interpolate these points. (parameter-counting)
- **4** Argue that if Z(P) contains  $\gtrsim N^{1/2}$  points of  $\beta(\gamma)$  then  $\beta(\gamma) \subset Z(P)$ . (rigidity)
- **1** Use structure of the objects to argue P(X, Y, Z) = Q(X, Y)
- **o** Contradiction as degree of Q is  $N^{1/2}$  but contains N circles.



Jordan S. Ellenberg, Jozsef Solymosi, and Joshua Zahl.

New bounds on curve tangencies and orthogonalities, 2016.