

# Faculty of Engineering Sciences

Heidelberg University

Master Thesis  
in Computer Engineering  
submitted by  
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YOUR TITLE HERE

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at the  
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under the supervision of  
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## ABSTRACT

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*Nice quote here.*  
— Some Author

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# 1

## INTRODUCTION

### 1.1 MOTIVATION

The global increase in usage of machine learning applications illustrates an acceleration in adoption across both industry and the private sector. The unfathomably large energy costs tied to this broader adoption have already prompted a change in public sentiment towards energy infrastructure. Plans for building trillion-dollar data centers are emerging, necessitating the re-commissioning of previously decommissioned nuclear power plants, which were originally phased out as part of nuclear energy reduction efforts. This reversal of nuclear phase-out policies underscores the significant infrastructural and political pressures exerted by the energy requirements of machine learning technologies.

In this landscape it is more pressing than ever to gain insight into the roots of the energy costs in order to optimize future developments on an informed basis.

In order to facilitate a more informed pairing of workload and GPU we introduce a framework to help guide the decision towards an optimal choice. This way regardless whether the fastest execution or the smallest energy footprint is desired, the informed choice enabled by our framework prevents wasteful computation.

### 1.2 PROBLEM STATEMENT

While a considerable amount of previous work has been done in profiling and prediction of neural network performance, no prior work covers the same cases and performance metrics targeted in this work. Therefore, our study investigates both training and inference cases covering both execution time and power consumption.

This contribution is valuable because in most cases where a new model architecture is designed or an existing architecture is adapted, both the training and the inference efficiency are relevant at some point of the models lifespan. At the same time, latency or power envelope requirements may be fluent between the training and inference stages, necessitating the study of both performance metrics.

### 1.3 SCOPE

This can only be written, when research is finished.

### 1.4 CONTRIBUTIONS OVERVIEW

#### 1.4.1 Dataset Collection

In our first contribution we introduce our method for collecting profiling data and creating a coherent dataset by processing the collected data.

The profiling measurement is executed via a python script, utilizing the Pytorch Benchmark library<sup>1</sup> to conduct the execution time measurements. The power measurements are conducted using the command-line tool nvidia-smi<sup>2</sup> being run in the background while the benchmark is performed.

In order to enable repeatability the execution times and power readings, along with their respective measurement errors are stored together with the Pytorch objects for profiled operations, along with a sensible selection of related metrics.

#### 1.4.2 Prediction Model

In our second contribution we present our prediction model. Based on the predictions for the operations occurring in a neural network we can infer a prediction for the complete network. We can therefore provide insight into which of the studied GPUs is most suitable for a given neural network, depending on the metric of interest. This way we can identify both the GPU which can execute the neural network in the fastest time, and the GPU which results in the smallest energy consumption and accompanying heat output.

#### 1.4.3 Validation

In our third and final contribution we investigate the quality of our dataset of measurements for individual operations by comparing the sum of individual operations against a full neural network run for both execution time and energy consumption.

In the second part of this contribution we assess the accuracy of our predictions both for individual operations as well as for full neural networks. Both for the individual operations as well as for the full neural networks this is achieved by comparing the predictions to

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<sup>1</sup> <https://pytorch.org/tutorials/recipes/recipes/benchmark.html>

<sup>2</sup> <https://docs.nvidia.com/deploy/nvidia-smi/index.html>

measurements acquired using the same measurement methodology used to collect the initial dataset.



# 2

## STATE OF THE ART AND RELATED WORKS

### 2.1 STATE OF THE ART

The challenge of predicting neural network performance has invited a plurality of approaches. Apart from the methodological approaches they also differ in a number of aspects. While execution time is commonly the metric of choice, only few go further and also study metrics like power consumption and memory footprint. Another important distinction is the workload studied in the work, more specifically, whether both training and inference are studied. For practical reasons it is also relevant which machine learning framework is used and what hardware targets are required and can be predicted for. These many dimensions of possibility result in no work covering all possibilities, but allows for many different approaches which have use cases in a given situation.

### 2.2 RELATED WORK

Kaufmann et al. take an approach of performance modeling by means of the computation graph. They are however limited to the Google Tensor Processing Unit in this work.

Justus et al. take an approach exploiting the modular and repetitive nature of DNNs. Given the same operations are repeated over and over in training, often only varying in a few key parameters, these execution time for these base building blocks is measured. This is then done for one batch in the training process and generalized to the whole training process from there. There is however no presentation of the methodology for the execution time measurements.

Qi et al. present PALEO which employs an analytical approach towards predicting the execution for both training and inference of deep neural networks. The analytical approach brings both advantages and disadvantages with it. It does not require a dataset of measured execution times as a training set in the same way many other works do, but on the other hand it also is based on more fixed assumptions about the DNN execution than a more data driven approach.

Wang et al. approach with a mult-layer regression model to predict execution time for training and inference. Their work is however rather limited in terms of hardware targets and different DNNs studied.

Cai et al. focus their work, NeuralPower, on CNNs running on GPUs. For each target GPU, they collect a dataset and fit a sparse polynomial

regression model to predict power, runtime, and energy consumption. While NeuralPower achieves good results, its usefulness has become limited due to its exclusive focus on CNNs, as other DNN architectures have grown in popularity.

Gianitti et al. also exploit the modular nature of DNNs in their approach. They define a complexity metric for each layer type, optionally including backpropagation terms, allowing them to predict execution times for both training and inference. However, their method faces significant limitations, as the complexity metric is only defined for a specific set of operations, making it incompatible with networks that include layers not covered in the original work. As a result, their approach is essentially limited to classic CNN architectures.

Velasco-Montero et al. also take the familiar per-layer approach. Their predictions are based on linear regression models per type of layer, but again for a specific set of predefined operations. Given their focus on low-cost vision devices these restrictions are reasonable, but limit generalizability.

Sponner et al. take a broad approach in their work. It works in the TVM framework giving it high flexibility in target hardware and studied metrics. It is in fact the only work to include execution time, power consumption and memory allocation. Given the automated data collection used to create the dataset basis for the predictions, there are also few limitations to the networks that can be studied with this. The predictions are based on an extremely randomized tree (ERT) approach with XGBoosting applied. The only major drawback for this work is its limitation to only study inference, due to TVMs limitation to inference.

## 2.3 RESEARCH GAP

With all these very different works no single one was able to cover all possible angles to interest, although Sponner et al. got rather close. But given the current landscape of available publications our work will focus on finding the best GPU for a PyTorch job. In order to achieve that, we will cover execution time, power and energy consumption and will provide inference and training predictions for these metrics. Our approach also employs a different method of automatic dataset collection, which allows for a broad field of study. In order to obtain power readings are collected directly through `nvidia-smi`. While due to the scope of this work this limits us to Nvidia GPUs, the methodology could just as well be applied to any other hardware target which supports reporting power readings.



# 3

## DATASET COLLECTION

This contribution outlines the method used to collect a profiling dataset. The dataset serves as a training set for the prediction model. The parameters covered are various Torchvision models and input sizes, execution time and power, both the inference and the training case as well as different GPUs and various GPU clocks.

### 3.1 OPERATIONS

In order to increase generality our approach exploits the layerwise structure of DNNs. This is achieved by working on the layer level rather than on the model level. In order to be rigorous we need to be clear on the terminology. The workload and its characteristics depend on the layer and its input features, just like it would on the model level on the DNN and the input dimensions. We are interested in most general objects with a the same characteristic workload. On the layer level those will be layers with specific input feature dimensions and layer settings. We will refer to these objects as operations. On the model level those will be DNNs with specific input image dimensions, which we will refer to as model-input sets.

The units for which we will later make predictions are individual operations. To this end, we aim to collect a dataset of operations and profile the execution time and wattage for each one. To ensure that the dataset reflects operations encountered in real-world scenarios, we select a number of representative model-input sets from models of the Torchvision library. The set of operations we will be profiling, is the set of all operations occurring in any of these model-input sets.

Extracting this set is automated via scripts, but can just as well be done by hand if so desired. With this set at hand we can dive into the actual profiling.

### 3.2 TIME PROFILING

The time profiling is performed using the benchmark utility from `torch.utils.benchmark` called `Timer`. This enables us to run our benchmark function with the specific layer and input features as input parameters, allowing us to run it for each operation.

To achieve more stable results and improve the simultaneous power profiling it is desirable to run the benchmark for each operations

for at least a few seconds. This is achieved by setting a minimum runtime for the benchmark to aggregate statistics. Different workload characteristics and different computational capabilities depending on the GPU configuration being profiled result in different requirements for individual profiling runs in order to ensure sufficient repetitions in the measurement process. In order to accommodate this requirement, the runtime of the measurement is configurable via a command-line parameter.

For the initialization of the operations we are going to benchmark we have to be careful to avoid extreme cases in order to achieve a sensible approximation of the execution characteristics within a neural network. In the first case we initialize one instance of the operation and perform each benchmark loop on that instance. This will result in hitting the cache everytime and not be representative. On the other end of the spectrum of possibilities we have the case of initializing a new instance for each loop of the benchmark run, which adds the initialization overhead and the larger latency of having to access the GPU main memory every time, skewing our results in that direction. We have therefore chosen the middleground approach of initializing a sizable block of operation instances with random weights and biases, which is looped over by the benchmark kernel, resulting in some reuse of layers, stressing the memory system in a sensible manner.

### 3.2.1 Inference

The central difference between inference and training profiling appears in the design of the benchmark function.

For inference profiling we put our operations into `eval` mode and call the operations within a `torch.inference_mode` environment, which is equivalent to the execution in a typical inference forward pass through a model. Within the loop we call the operator on a preinitialized random input tensor for the forward pass. The input tensor is a single instance, as it represents the activations from the previous layer which can be expected to live in high level memory in our memory hierarchy. Since this forward pass is everything we want to profile for inference, this benchmark loop is sufficient.

### 3.2.2 Training

For the training profiling we put our operations into `train` mode and omit the environment used in the inference case. In order to portray the training process for a single operation, we basically simply need to run a forward pass and a backward pass. The forward pass is handled identically. In order to execute the backward pass we need a substitute for the gradients flowing backward through the model. This is achieved

by preinitializing a random torch vector with the dimensions of our operation's output which we can call the backward pass on. Other than that we only have to make sure to have keep our gradients for the operation instances and the input vector reset and can proceed.

### 3.3 ENERGY PROFILING

Details.

### 3.4 INFERENCE

Yet another detail.

### 3.5 TRAINING

yadda yadda

### 3.6 GPU CLOCKS



## 4 | SECOND CONTRIBUTION

The second contribution goes here.



# 5 | DISCUSSION AND OUTLOOK

Summary and discussion of the results and outlook/future work.





# a | APPENDIX

Appendix here



# ERKLÄRUNG

Ich versichere, dass ich diese Arbeit selbständig verfasst habe und keine anderen als die angegebenen Quellen und Hilfsmittel benutzt habe.

*Heidelberg, den Day/Month/Year Here*

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