

1 **High-order cognition is supported by complex but**
2 **compressible brain activity patterns**

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10 **Abstract**

11 We applied dimensionality reduction algorithms to the activity patterns in each experimental condition. Specifically, we sought to understand the “dimensionality” of the neural patterns that were sufficient to decode participants’ listening times (or approach was similar to that of Mack et al. 2017). We found that even low-dimensional embeddings of the data were sufficient to accurately decode listening times from the intact story recording, whereas finer temporal scramblings of the story required higher-dimensional embeddings of the data to reach peak decoding accuracy.

12 **Introduction**

13 Large-scale networks, including the human brain, may be conceptualized as occupying one or more positions
14 along on a continuum. At one extreme, every node is fully independent of every other node. At the other
15 extreme, all nodes are fully coupled and behave identically. Each extreme optimizes key properties of how
16 the network functions. When every node is independent, the network is maximally *expressive*: if we define
17 the network’s “state” as the total set of activity patterns across nodes, then every state is equally reachable by
18 a network with fully independent nodes. On the other hand, a fully coupled network optimizes *robustness*:
19 any subset of nodes, other than the entire network, may be removed from the network without any loss of
20 function or expressive power. Note that a given set of nodes might reconfigure its connections or behaviors
21 under different circumstances to change its position along this continuum according to the needs at hand.
22 Presumably, most systems tend to occupy positions between the above extremes. We wondered: might the
23 human brain reconfigure itself to be more flexible or more robust according to ongoing demands?

24 We’re interested in the complexity of brain patterns that underly different types of thoughts. To explore
25 this question space, we will take brain patterns recorded under different experimental conditions used in
26 Aim 2, and project them into lower dimensional spaces using principle components analysis. We can then

27 ask how well those low-dimensional embeddings of the data retain cognitively relevant information like
28 when in a story someone is listening to.

29 This work has been inspired, in part, by Mack et al. (2020). In this paper, they investigated the role of
30 the prefrontal cortex in filtering out irrelevant content. Specifically, they looked at if the vmPFC performs
31 data reduction on incoming information through compression. This was motivated, in part, by orbital
32 frontal cortex (OFC) compression in rats (Zhou et al., 2019). They studied this using a learning paradigm in
33 which participants had to classify insects based on different numbers of feature dimensions. The idea was
34 that participants in some learning blocks, participants could identify the insects based on one feature (low
35 complexity) or several features (high complexity), but importantly the stimuli remained the same across all
36 learning problems. They found that complexity and compression had an inverse relationship; the lower
37 complexity of a conceptual space, the higher the degree of compression. Building on this idea, we wonder
38 if varying degrees of compression is performed throughout the brain. We also want to test this idea, but
39 using varying levels of engagement listening to a naturalistic stimuli.

40 To understand the degree of compression throughout the brain during cognition, we will use the same
41 fMRI data from Aim 2, collected while participants listened to a story in different scrambling conditions.
42 We will measure the degree that multivoxel activation patterns are compressed during story listening
43 using principle components analysis (PCA) a method for low-rank approximation of multidimensional
44 data (Eckart & Young, 1936). We will explore this using decoding accuracy as a function of the number of
45 components, or dimensions, in the low-dimensional space under different cognitive conditions.

46 You can imagine two reasonable predictions of how cognition is reflected in brain patterns. The first is
47 as our thoughts become more complex, they are supported by more complex brain patterns, and require
48 more components to decode. The second is that when thoughts are deeper and more complicated, the units
49 of neural activity would carry more information, and would require therefore fewer components to decode.

50 This idea can be explored in this visual analogy (Fig. ??) for neural compression. Here there are two
51 images of pies, the top pie is more complex than the bottom. On the left we're illustrating that it takes fewer
52 components to reach the same 95 percent variance explained in the less complex pie, which corresponds to
53 higher compression. However, on the right with very few components similar variance is explaining both
54 pies.

55 We investigated the dimensionality of neural patterns by training classifiers using more and more
56 principle components. Or, in other words, we used less and less compression to decode. We applied the
57 approach to a neuroimaging dataset comprising data collected as participants listened to a story varying in
58 cognitive richness (Simony et al., 2016).

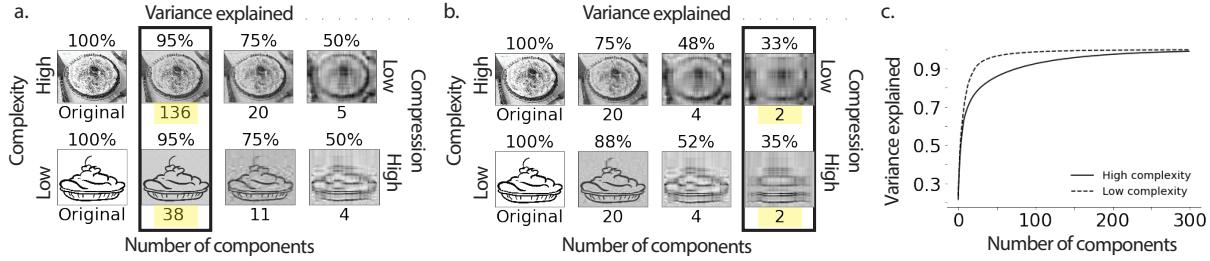


Figure 1: **Illustration of compression.** Visual analogy for neural compression. Here are 2 images of pies, one more complex than the other. **a.** It takes fewer components to reach the same percent variance explained in the less complex pie, which corresponds to higher compression. **b.** However, with very few components, similar variance is explained in both pies. **c.** Plots the cumulative explained variance for more and more components.

59 Evaluation metrics

60 We will evaluate the degree of compression of held-out neuroimaging data by assessing the time at which
 61 it was collected. We will use this evaluation (timepoint decoding) as a proxy for gauging how much
 62 explanatory power the compressed data held with respect to the observed data.

63 Timepoint decoding

64 To explore how compression varies with complexity, we will use a previous neuroimaging dataset Simony
 65 et al. (2016) in which participants listened to an audio recording of a story; 36 participants listen to an intact
 66 version of the story, 17 participants listen to time-scrambled recordings of the same story where paragraphs
 67 were scrambled, 36 participants listen to word-scrambled version and 36 participants lay in rest condition.

68 Following the analyses conducted by (HTFA) Manning et al. (2018), we first apply *hierarchical topographic*
 69 *factor analysis* (HTFA) to the fMRI datasets to obtain a time series of 700 node activities for every participant.

70 We then apply dimensionality reduction (Incremental PCA) for each group.

71 We then compare the groups' activity patterns (using Pearson correlations) to estimate the story times
 72 each corresponding pattern using more and more principle components.

73 To assess decoding accuracy, we randomly divide participants for each stimulus into training and testing
 74 groups. We then compare the groups' activity patterns (using Pearson correlations) to estimate the story
 75 times each corresponding pattern using more and more principle components (as the data became less
 76 compressed). Specifically, we ask, for each timepoint: what are the correlations between the first group's
 77 and second group's activity patterns at each order. We note that the decoding test we used is a conservative
 78 in which we count a timepoint label as incorrect if it is not an exact match.

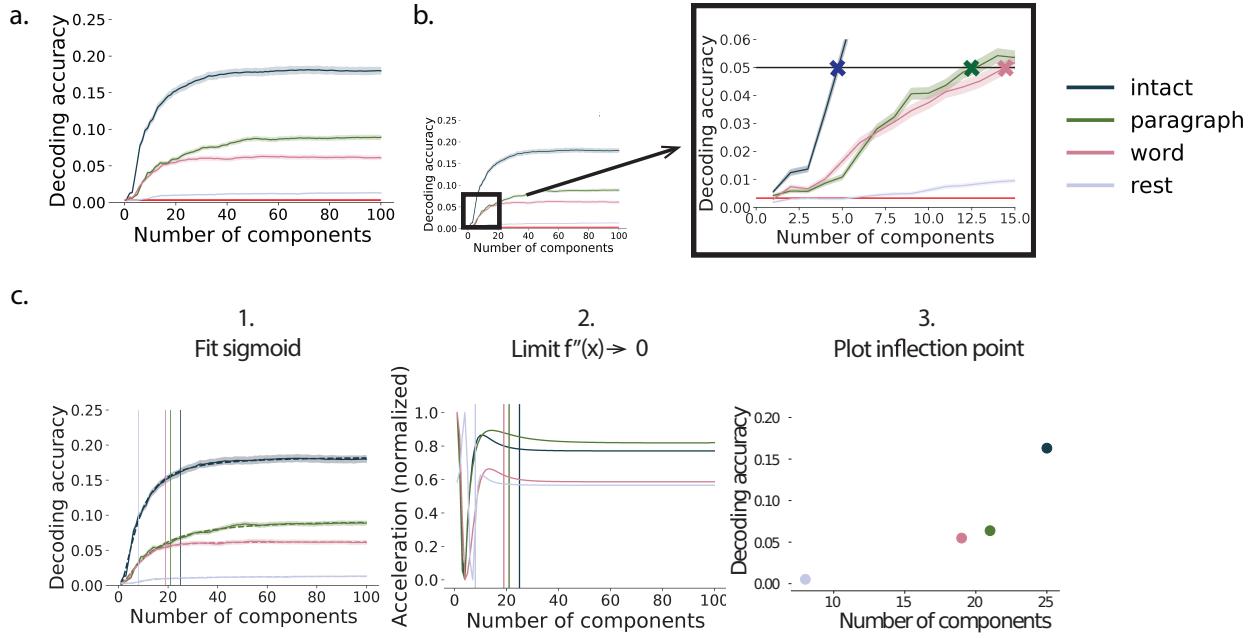


Figure 2: Decoding accuracy. **a. Decoding accuracy by number of components.** Ribbons of each color display cross-validated decoding performance for each condition (intact, paragraph, word, and rest). Decoders were trained using increasingly more principle components and displayed relative to chance (red line). **b. Fixed decoding accuracy by number of components.** We zoom in on the plot shown in **a.** and add a line denoting fixed decoding accuracy (.05). We plot where the intact, paragraph, and word conditions intersect. **c. Explanation of inflection metric.** First we fit a sigmoid function to the decoding accuracy by number of components. Second, we found where the second derivative is both positive and less than .0001. Last, we then plot that inflection point as a single metric to capture the slope and asymptote of the curve.

79 Results

80 By training classifiers using more and more principle components to decode, and comparing across conditions
 81 with varying degrees of cognitive richness, we can assess the explanatory power of the compressed
 82 data held with respect to the observed data (see *Methods*). We note that our primary goal was not to achieve
 83 perfect decoding accuracy, but rather to use decoding accuracy as a benchmark for assessing whether
 84 different neural features specifically capture cognitively relevant brain patterns.

85 Prior work has shown participants share similar neural responses to richly structured stimuli when
 86 compared to stimuli with less structure Simony et al. (2016). We replicate this finding, showing as complexity
 87 of the stimulus increases, decoding accuracy increases (Fig. 2, a.). Additionally, we found that as complexity
 88 of the stimuli increases, we need fewer components to decode the same amount (Fig. 2, b.). However, we
 89 also found that as complexity of the stimuli increases, more components are required to reach peak decoding
 90 accuracy (Fig. 2, c.). We posit that as the complexity of our thoughts increases, neural compression decreases.
 91 However, as our thoughts become deeper and richer, more reliable information is available at higher neural

92 compression.

93 We also wondered how this compression would change across brain regions. We repeated the analysis
94 but limited the brain hubs to 7 networks using the Yeo et al. (2011) network parcellation shown here in the
95 inflated brain (Fig. 3, d.). We found that as complexity of the stimuli increases, decoding accuracy increases
96 with higher cognitive areas. (Fig. 3).

97 We were also curious how compression would change across time. If, there is some understanding of
98 the narrative that accumulates over time, we should be able to see that difference. We found increases
99 in decoding accuracy with the same number or fewer components for more complex, cognitively rich,
100 conditions. We also found decreases in decoding accuracy for the word-scrambled and rest condition.

101 Overall, we found that as story listening conditions become more complex, more components are
102 required to decode. We also found we could decode better with more impoverished data when there is the
103 underlying structure of the narrative providing more cognitive richness. We posit that as the complexity
104 of our thoughts increases, neural compression decreases. However, as our thoughts become deeper and
105 richer, more reliable information is available at higher neural compression.

106 Discussion

107 - We trained classifiers using more and more principle components to decode, and compared across condi-
108 tions with varying degrees of cognitive richness. -We found that as listening conditions become more
109 cognitively rich, decoding accuracy increased. -Also, decoding accuracy increased as understanding of the
110 narrative accumulated over time, in more complex listening conditions. - Decoding accuracy also increased
111 in higher cognitive areas, in more complex listening conditions. -We found that as story listening conditions
112 become more complex, more components are required to decode. -We also found we could decode better
113 with more impoverished data when there is the underlying structure of the narrative providing more
114 cognitive richness. -We posit that as the complexity of our thoughts increases, neural compression decreases.
115 However, as our thoughts become deeper and richer, more reliable information is available at higher neural
116 compression.

117 Based on prior work (?) and following the direction of the field (Turk-Browne, 2013) we think our
118 thoughts might be encoded in dynamic network patterns, and possibly higher order network patterns
119 (Fig. ??). We sought to test this hypothesis by developing an approach to inferring high-order network
120 dynamics from timeseries data.

121 One challenge in studying dynamic interactions is the computational resources required to calculate
122 higher-order correlations. We developed a computationally tractable model of network dynamics (Fig. ??)

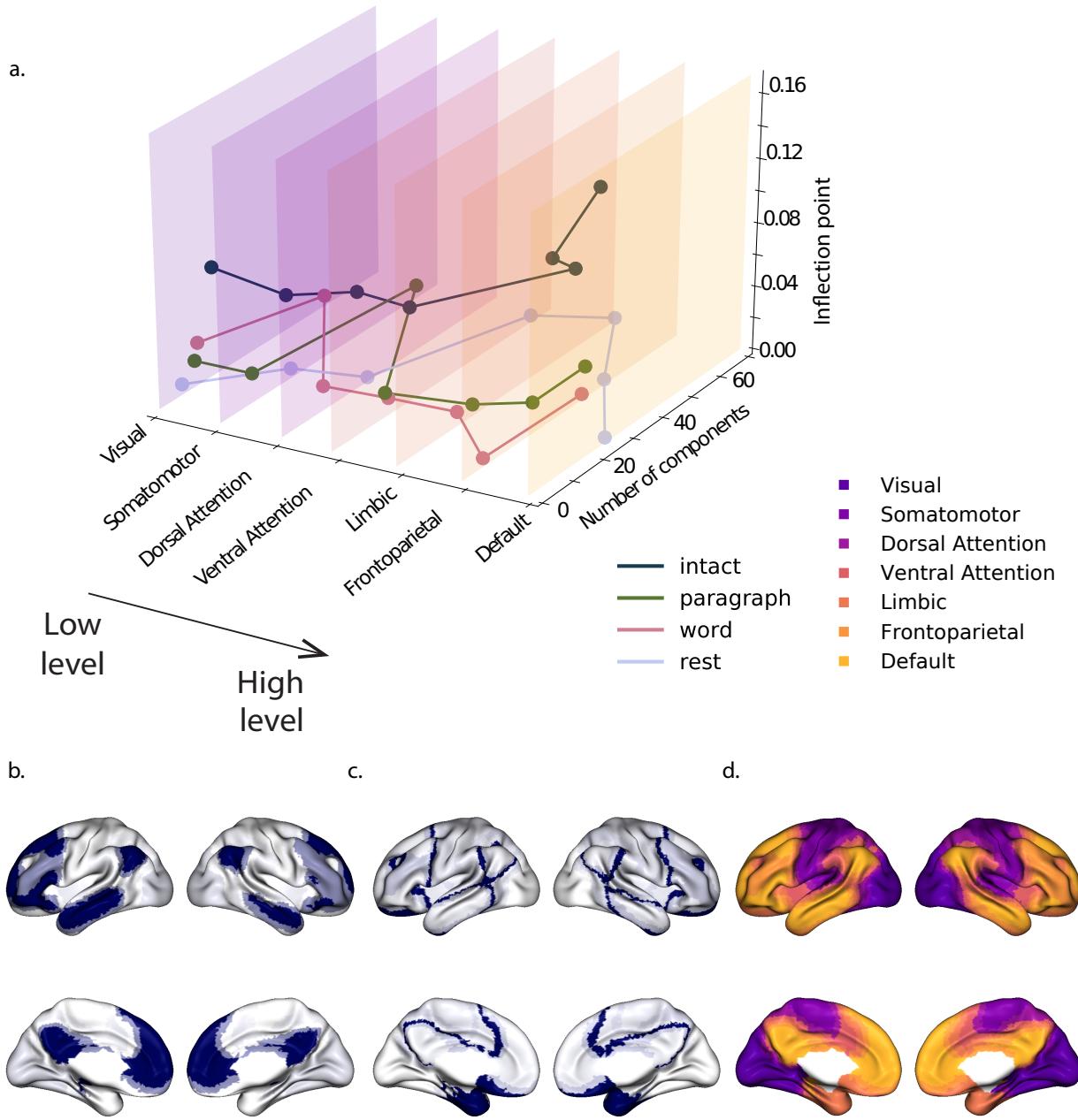


Figure 3: Inflection points by network. a. Inflection point was calculated as explained in Fig. 2, b. Analyses were limited by the brain networks (using the Yeo et al. (2011) network parcellation) and arranged in increasing order relative to the intact condition. b. and c. For the total time in the intact condition, we are plotting the relative inflection points (b.) and corresponding number of components (c.) by network. d. The network parcellation defined by Yeo et al. (2011) is displayed on the inflated brain maps. The colors and network labels serve as a legend for a. and d.

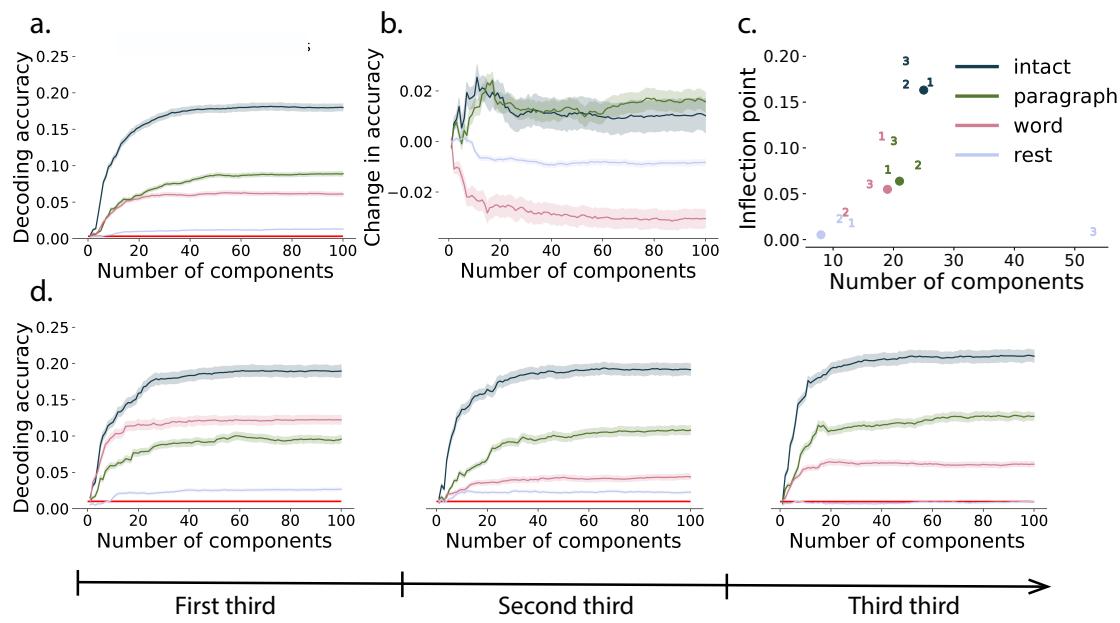


Figure 4: **Inflection points by thirds.** **a.** Decoding accuracy by number of components not broken into thirds (Fig. 2 a.). **b.** and **c.** Quantifying changes in decoding accuracy across time. **b.** Slope of decoding accuracy was calculated by fitting a regression line for each component/condition for each third. **c.** We also repeated the analysis (Fig. 2, b.) to obtain the inflection point for each condition and for each third. **d.** Decoding accuracy by number of components for each third of the scan time. We repeated the same analysis in Fig. 2 a. but breaking the scan time for each condition into 3 intervals.

123 that takes in a feature timeseries and outputs approximated first-order dynamics (i.e., dynamic functional
124 correlations), second-order dynamics (reflecting homologous networks that dynamically form and disperse),
125 and higher-order network dynamics (up to tenth-order dynamic correlations).

126 We first validated our model using synthetic data, and explored how recovery varied with different
127 underlying data structures and kernels. We then applied the approach to an fMRI dataset (Simony et al.,
128 2016) in which participants listened to an audio recording of a story, as well as scrambled versions of the
129 same story (where the scrambling was applied at different temporal scales). We trained classifiers to take
130 the output of the model and decode the timepoint in the story (or scrambled story) that the participants
131 were listening to. We found that, during the intact listening condition in the experiment, classifiers that
132 incorporated higher-order correlations yielded consistently higher accuracy than classifiers trained only
133 on lower-order patterns (Fig. ??, a.&d.). By contrast, these higher-order correlations were not necessary
134 to support decoding the other listening conditions and (minimally above chance) during a control rest
135 condition. This suggests that the cognitive processing that supported the most cognitively rich listening
136 conditions involved second-order (or higher) network dynamics.

137 Although we found decoding accuracy was best when incorporating higher-order network dynamics
138 for all but rest condition, it is unclear if this is a product of the brain or the data collection technique. It could
139 be that the brain is second-order or it could be that fMRI can only reliably give second-order interactions.
140 Exploring this method with other data collection technique will be important to disentangle this question.

141 Concluding remarks

142 How can we better understand how brain patterns change over time? How can we quantify the potential
143 network dynamics that might be driving these changes? One way to judge the techniques of the future is
144 to look at the trajectory of the fMRI field so far has taken so far (Fig. ??). The field started with univariate
145 activation, measuring the average activity for each voxel. Analyses of multivariate activation followed,
146 looking at spatial patterns of activity over voxels. Next, correlations of activity were explored, first with
147 measures like resting connectivity that take temporal correlation between a seed voxel and all other voxels
148 then with full connectivity that measure all pairwise correlations. Additionally, this path of increasing
149 complexity also moved from static to dynamic measurements. One logical next step in this trajectory would
150 be dynamic higher-order correlations. We have created a method to support these calculations by scalably
151 approximating dynamic higher-order correlations.

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159 **Author contributions**

160 Concept: J.R.M. and L.L.W.O. Implementation: L.L.W.O., and J.R.M. Analyses: L.L.W.O and J.R.M.

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