

1. Validating the XML against the schema while creating a data packet.
 - a. On the Create Data Packet tab, select the Schema Validation checkbox. This controls whether the selected XML file is validated against a schema or not.

XML File

Tax Year: 2015

Signing Certificate (Sender's Private Key)

Signing Certificate Password

Encryption Key Certificate (Receiver's Public Key)

Encryption Key Certificate password (if needed)

Sign and Encrypt XML

Model 1 Opt 2

☒ CBC Mode

☐ ECB Mode

☒ Schema Validation

☐ Signature Validation

☐ Auto SFTP File

☐ Send Entire Folder

EXIT

- b. On the Utilities tab, select the Schema Folder. This folder must contain the .xsd files.

Signed Payload File

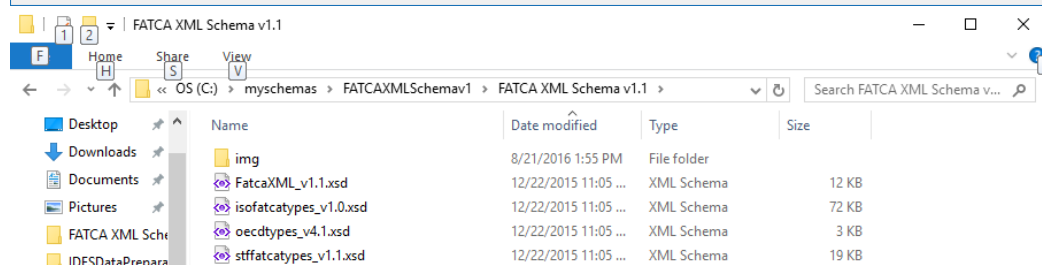
Check Signature

Schema Folder: C:\myschemas\FATCAXMLSchemav1\FAT

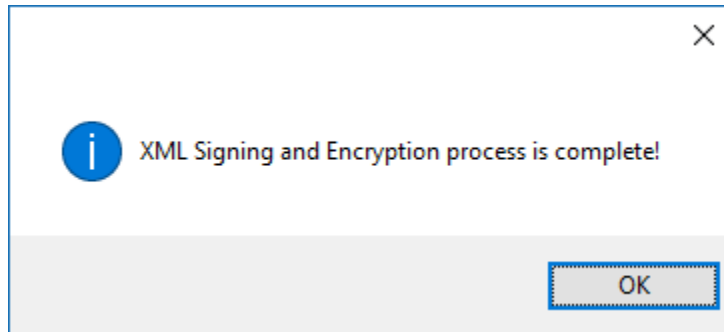
XML File To Validate

Check Schema

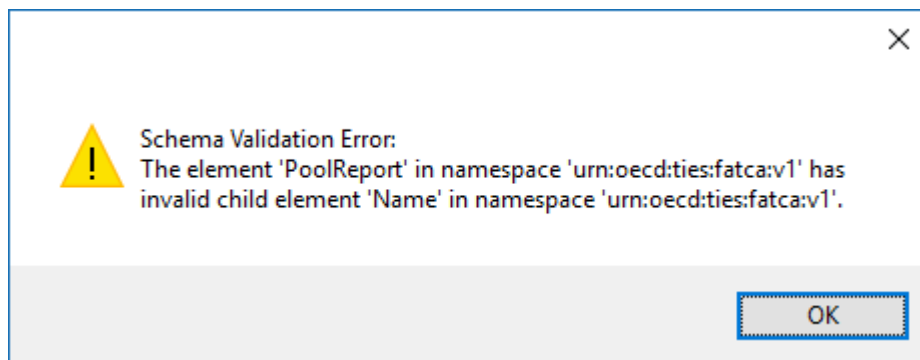
EXIT



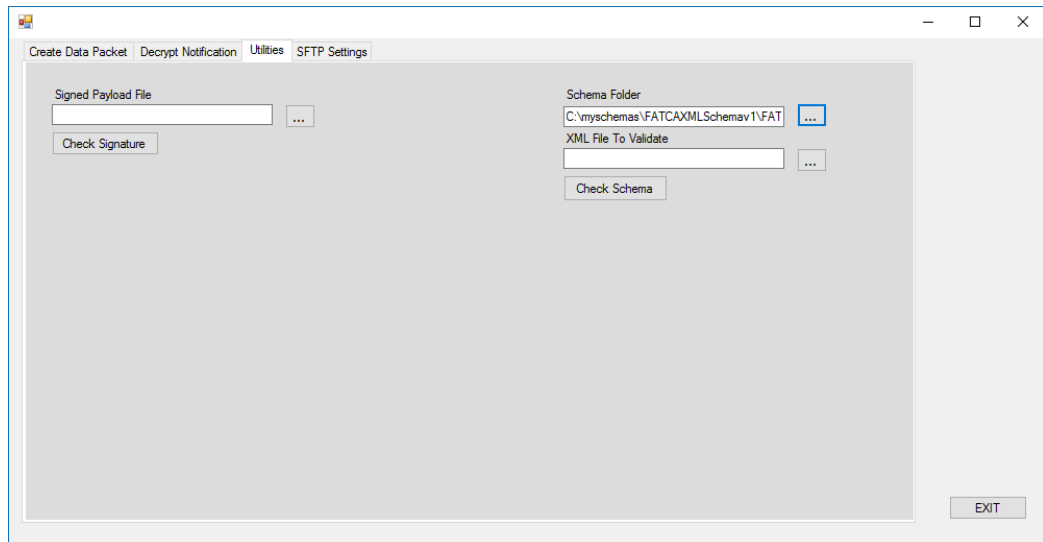
- c. On the Create Data Packet tab, enter the needed fields and click the Sign and Encrypt XML button.
 - d. Successful validation and packet creation will produce a success message that the process is complete.



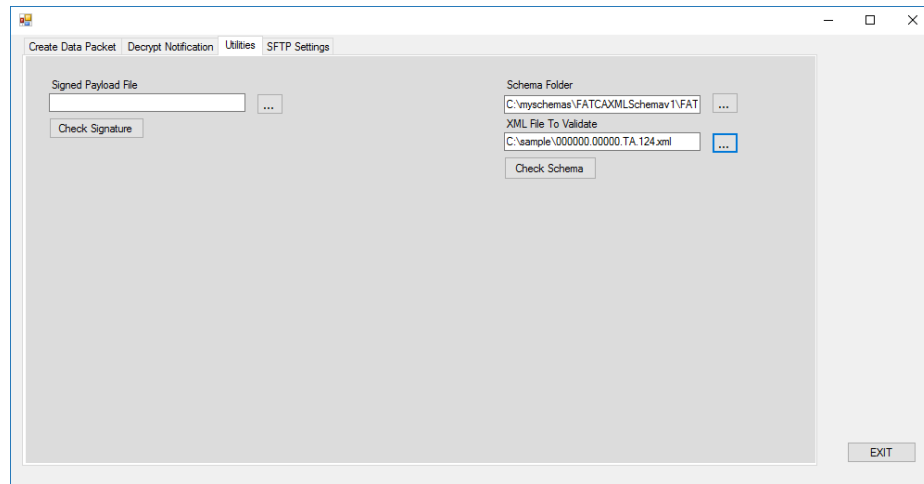
- e. A validation error will produce a failure message with information on the source of the error. For example, an invalid element detected in the PoolReport would produce a similar error below. The data packet will not be created if a schema validation error is found.



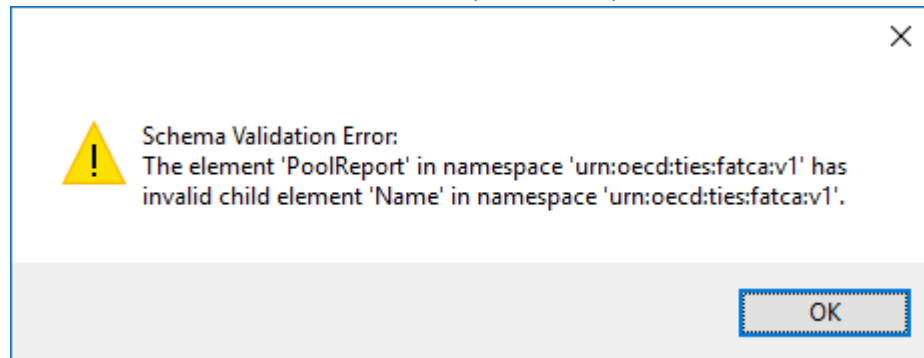
- 2. Validating the XML against the schema while not creating a data packet.
 - a. Select the Utilities tab. Select the Schema Folder that contains the .xsd files.



- b. Select the XML File To Validate.



- c. Click the Check Schema button. This will validate the selected XML file against the selected schema folder.
- d. A validation failure will present a message with the error information. For example, an invalid element detected in the PoolReport would produce a similar error below.



- e. If no validation errors are found, a success message will be presented.

