

# Constraint Satisfaction Problem

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LESSON 5

# Reading

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## Chapter 5

# Outline

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- Constraint satisfaction problems?
- Backtracking
- Variable ordering and value selection
- Forward checking
- Constraint propagation
- Iterative min-conflicts

# Constraint satisfaction problems (CSPs)

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- Standard search problem:
  - **state** is a “black box” – any data structure that supports successor function, heuristic function, and goal test
- CSP:
  - **state** is defined by **variables**  $X_i$  with **values** from **domain**  $D_i$
  - **goal test** is a set of **constraints** specifying allowable combinations of values for subsets of variables
- Simple example of a formal representation language
- Allows useful general-purpose algorithms with more power than standard search algorithms

# Example: Map-Coloring

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- **Variables:**  $WA, NT, Q, NSW, V, SA, T$
- **Domains:**  $D_i = \{\text{red}, \text{green}, \text{blue}\}$
- **Constraints:** adjacent regions must have different colors
- e.g.,  $WA \neq NT$ , or  $(WA, NT)$  in  $\{(\text{red}, \text{green}), (\text{red}, \text{blue}), (\text{green}, \text{red}), (\text{green}, \text{blue}), (\text{blue}, \text{red}), (\text{blue}, \text{green})\}$

# Example: Map-Coloring

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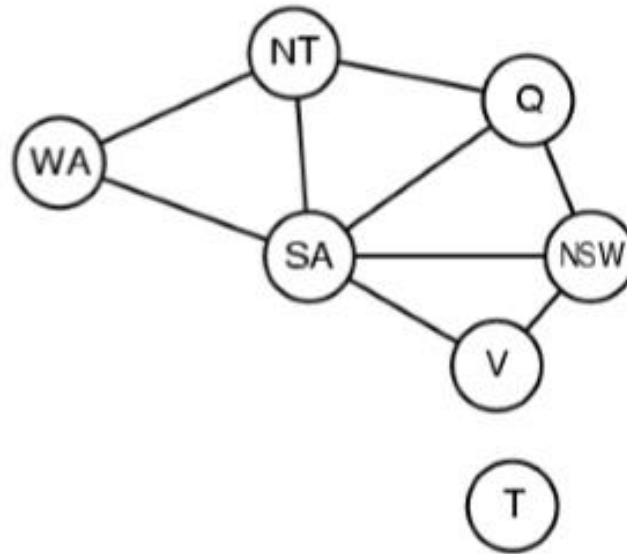
- **Solutions** are **complete** and **consistent** assignments, e.g., WA = red, NT = green, Q = red, NSW = green, V = red, SA = blue, T = green

# Constraint graph

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**Binary CSP:** each constraint relates two variables

**Constraint graph:** nodes are variables, arcs are constraints



# Varieties on the CSP formalism

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- Discrete variables

- finite domains:

- $n$  variables, domain size  $d \rightarrow O(d^n)$  complete assignments
    - e.g., Boolean CSPs, incl.  $\sim$  Boolean satisfiability (NP-complete)

- infinite domains:

- integers, strings, etc.
    - e.g., job scheduling, variables are start/end days for each job
    - need a constraint language, e.g.,  $\text{StartJob } 1 + 5 \leq \text{StartJob } 3$

- Continuous variables

- e.g., start/end times for Hubble Space Telescope observations
  - linear constraints solvable in polynomial time by linear programming



# Varieties of constraints

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- **Unary** constraints involve a single variable,
  - e.g.,  $SA \neq \text{green}$
- **Binary** constraints involve pairs of variables,
  - e.g.,  $SA \neq WA$
- **Higher-order** constraints involve 3 or more variables,
  - e.g., cryptarithmic column constraints

# Example: Sudoku

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		3		2		6		
9			3		5			1
		1	8		6	4		
		8	1		2	9		
								8
		6	7		8	2		
		2	6		9	5		
8			2		3			9
		5		1		3		

- **Variables:** *up to 81 variables*
- **Domains:**  $\{0,1,2,3,4,5,6,7,8,9\}$
- **Constraints:** *Alldiff (...) \* 27 (columns, rows, boxes)*

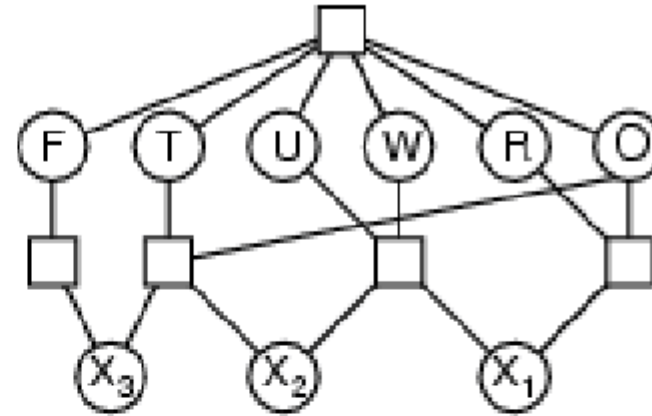
# Example: Cryptarithmic

$$\begin{array}{r} \text{ T W O} \\ + \text{ T W O} \\ \hline \text{ F O U R} \end{array}$$

**Variables:**  $F T U W$   
 $R O X_1 X_2 X_3$

**Domains:**  $\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$

**Constraints:**  $\text{Alldiff}(F, T, U, W, R, O)$



- $O + O = R + 10 \cdot X_1$
- $X_1 + W + W = U + 10 \cdot X_2$
- $X_2 + T + T = O + 10 \cdot X_3$
- $X_3 = F, T \neq 0, F \neq 0$

# Real-world CSPs

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- Assignment problems
    - e.g., who teaches what class
  - Timetabling problems
    - e.g., which class is offered when and where?
  - Transportation scheduling
  - Factory scheduling
- 
- Many real-world problems involve real-valued variables
  - Many problems also feature preferences (I don't want to on Monday morning)

# Standard search formulation (incremental)

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Let's start with the straightforward approach, then fix it

States are defined by the values assigned so far

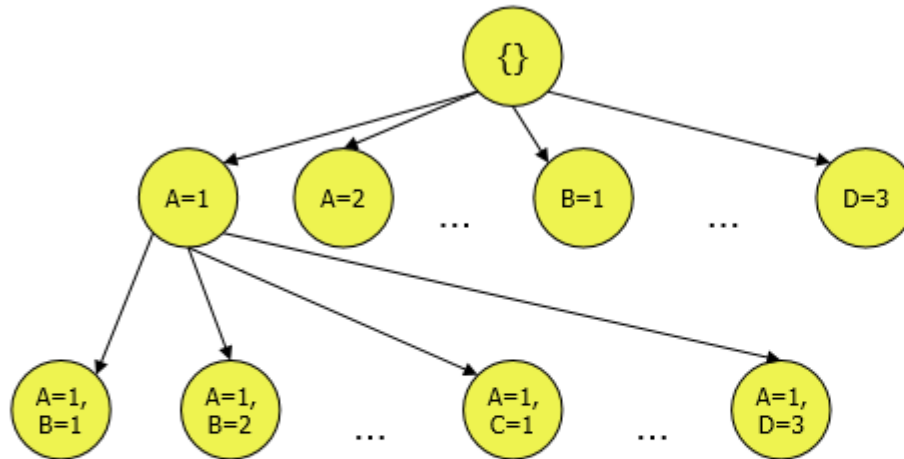
- **Initial state:** the empty assignment  $\{ \}$
- **Successor function:** assign a value to an unassigned variable that does not conflict with current assignment  $\rightarrow$  fail if no legal assignments
- **Goal test:** the current assignment is complete

This is the same for all CSPs 2. Every solution appears at depth  $n$  with  $n$  variables  $\rightarrow$  use depth-first search

Path is irrelevant, so can also use complete-state formulation

# CSP Search tree size

$b = (n - \ell)d$  at depth  $\ell$ , hence  $n! \cdot d^n$  leaves



Variables: A,B,C,D  
Domains: 1,2,3

Depth 1: 4 variables x 3 domains  
= 12 states

Depth 2: 3 variables x 3 domains  
= 9 states

Depth 3: 2 variables x 3 domains  
= 6 states

Depth 4: 1 variable x 3 domains  
= 3 states (leaf level)

# Backtracking search

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- Variable assignments are **commutative**, i.e.,  
[ WA = red then NT = green ] same as [ NT = green then WA = red ]
- Only need to consider assignments to a single variable at each node
  - Fix an **order** in which we'll examine the variables  
→  $b = d$  and there are  $d^n$  leaves
- Depth-first search for CSPs with single-variable assignments is called **backtracking** search
  - Is the basic uninformed algorithm for CSPs
  - Can solve n-queens for  $n \sim 25$

# Backtracking search

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```
function BACKTRACKING-SEARCH(csp) returns a solution, or failure
  return RECURSIVE-BACKTRACKING({}, csp)

function RECURSIVE-BACKTRACKING(assignment, csp) returns a solution, or failure
  if assignment is complete then return assignment
  var ← SELECT-UNASSIGNED-VARIABLE(Variables[csp], assignment, csp)
  for each value in ORDER-DOMAIN-VALUES(var, assignment, csp) do
    if value is consistent with assignment according to Constraints[csp] then
      add { var = value } to assignment
      result ← RECURSIVE-BACKTRACKING(assignment, csp)
      if result ≠ failure then return result
      remove { var = value } from assignment
  return failure
```



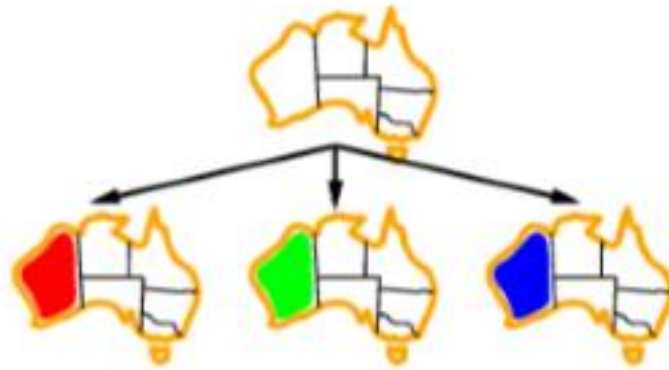
# Backtracking example

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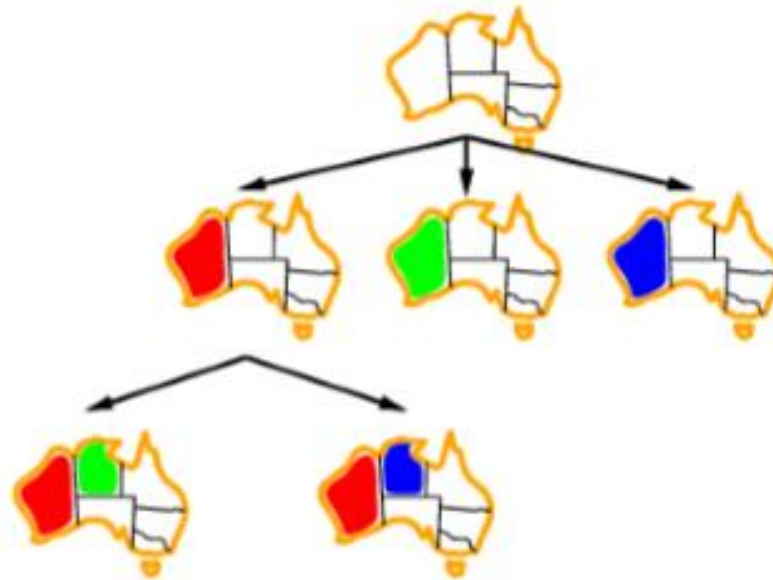
# Backtracking example

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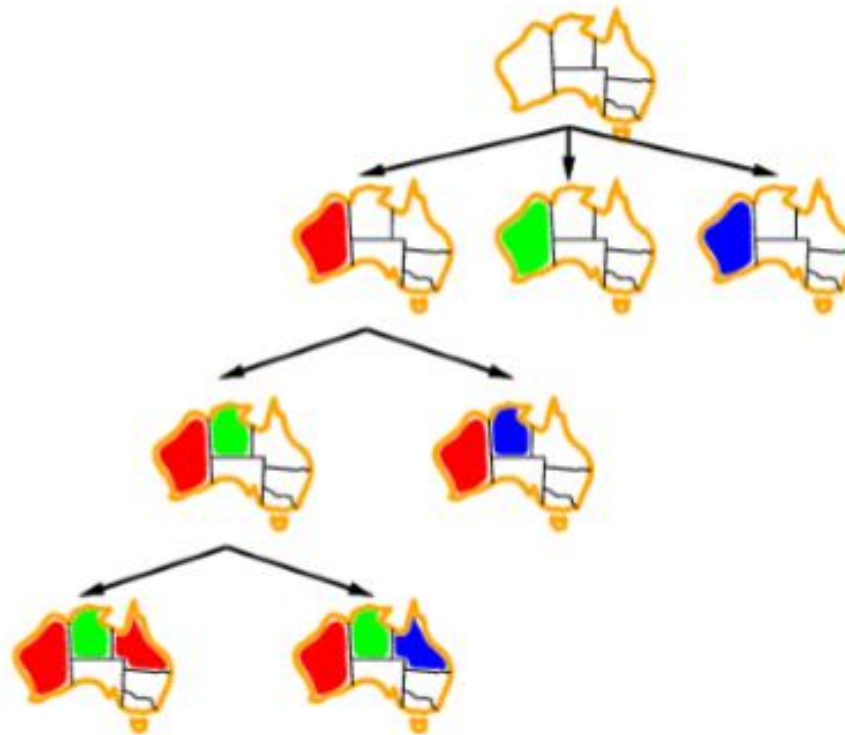
# Backtracking example

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# Backtracking example

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# Exercise - paint the town

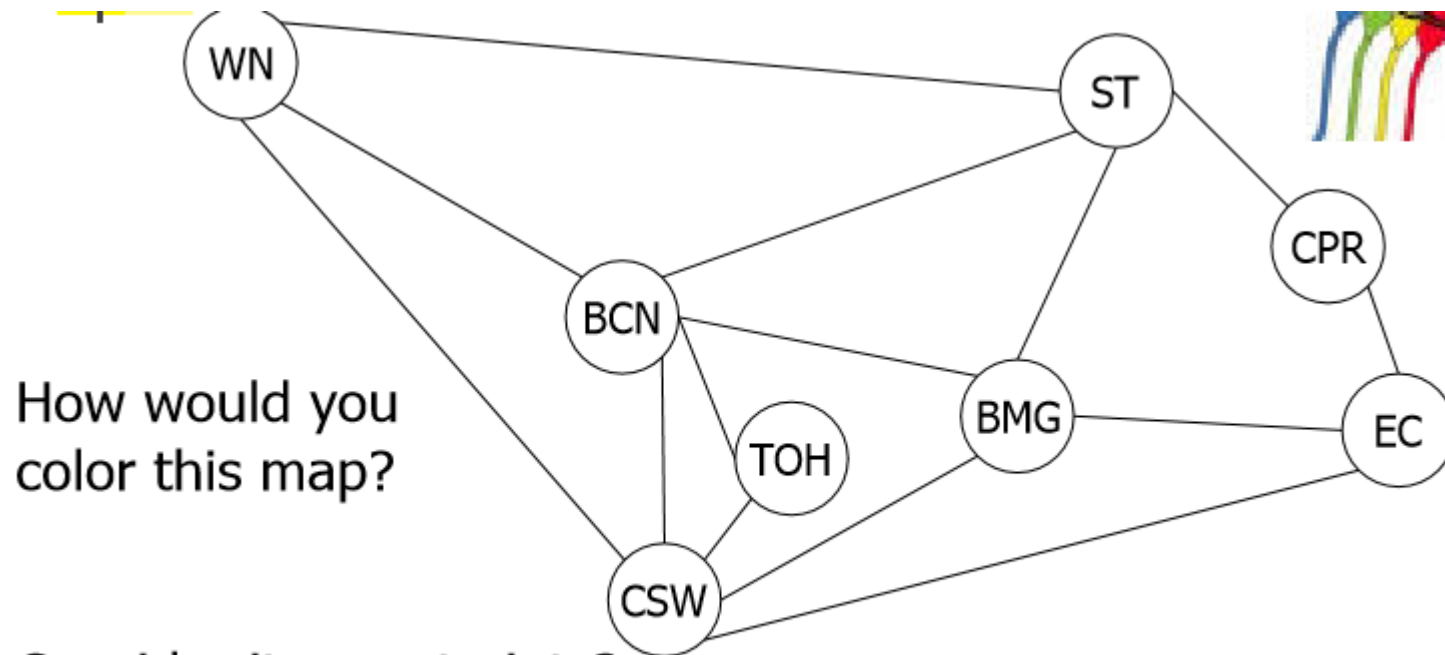
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- Districts across corners can be colored using the same color.

# Constraint Graph

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How would you  
color this map?

Consider its constraints?  
Can you do better than blind search?

# Improving backtracking efficiency

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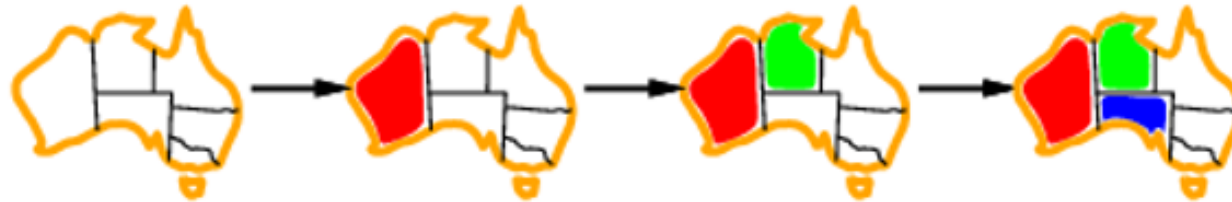
- General-purpose methods can yield significant gains in speed:
  - Which variable should be assigned next?
  - In what order should its values be tried?
  - Can we detect inevitable failure early?

# Most constrained variable

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Most constrained variable:

choose the variable with the fewest legal values



a.k.a. **minimum remaining values (MRV)**  
heuristic



# Most constraining variable

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Tie-breaker among most constrained variables

Most constraining variable:

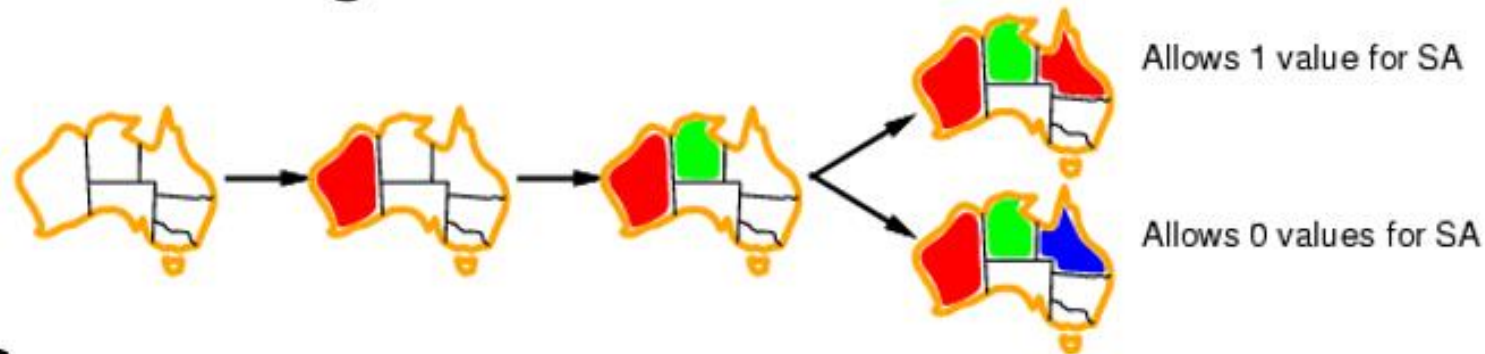
- choose the variable with the most constraints on remaining variables



# Least constraining value



- Given a variable, choose the least constraining value:
  - the one that rules out the fewest values in the remaining variables

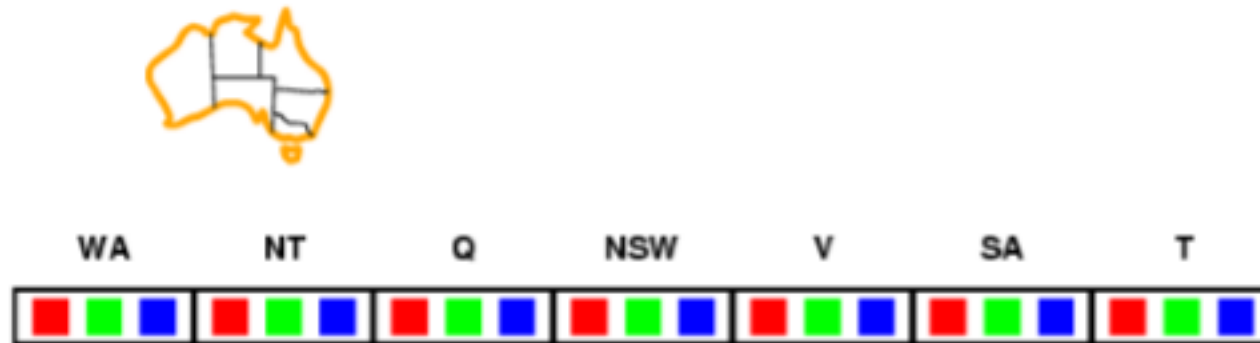


- Combining these heuristics makes 1000 queens feasible

# Forward checking

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- Idea:
  - Keep track of remaining legal values for unassigned variables
  - Terminate search when any variable has no legal values



# Difference: backtracking and forward checking

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- Forward Checking is an improved version of simple backtracking. In forward checking, again initially a variable is instantiated to a value from its domain. Then repeatedly at each step, next variable is instantiated to a value that is consistent with the previous assignments.
- Different than backtracking, while assigning a value to the current variable, arc consistency between the current variable and the uninstantiated variables are maintained. By this way, current variable cannot take a value that causes an empty domain for one of the uninstantiated variables.
- If there is not such a value, then the algorithm backtracks to the point where it can start a new branch.
- In backtracking, the inconsistencies are detected when they occur, however in forward checking it is possible to detect inconsistencies much earlier. On the other hand, forward checking does more computations compared to backtracking although it has a smaller search tree.

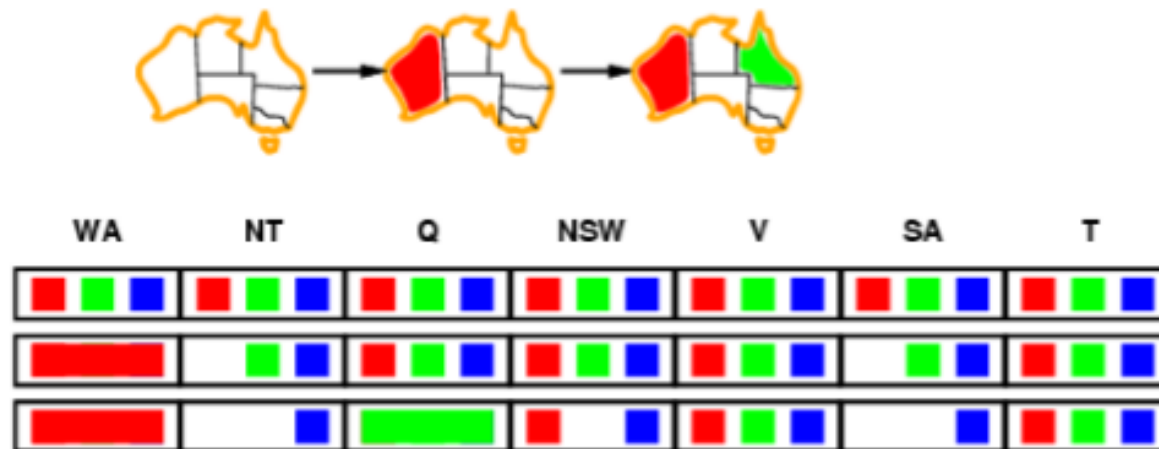
# Forward checking

- Idea:
  - Keep track of remaining legal values for unassigned variables
  - Terminate search when any variable has no legal values



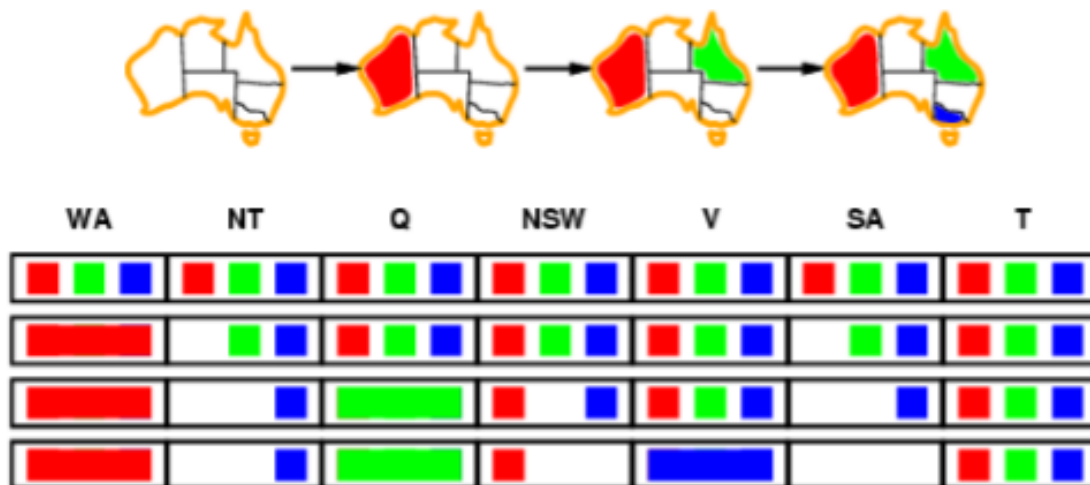
# Forward checking

- Idea:
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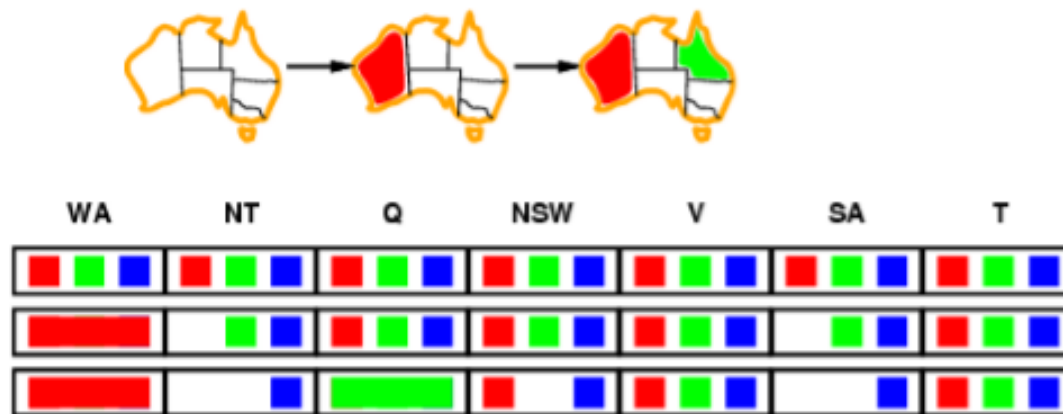
# Forward checking

- Idea:
  - Keep track of remaining legal values for unassigned variables
  - Terminate search when any variable has no legal values



# Constraint propagation

- Forward checking propagates information from assigned to unassigned variables, but doesn't provide early detection for all failures:



- NT and SA cannot both be blue!
- Constraint propagation** repeatedly enforces constraints locally

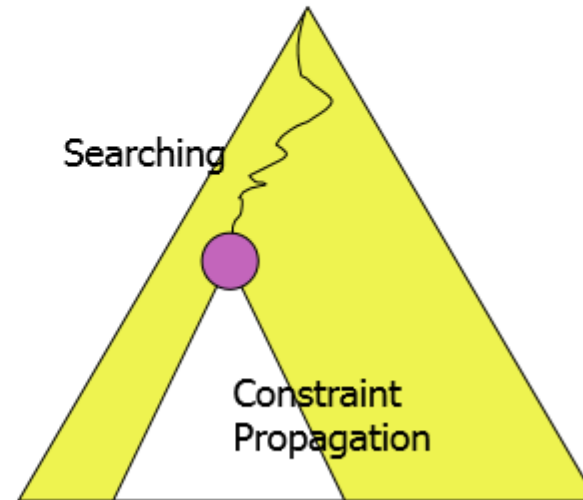


# Inference in CSPs

- Besides **searching**, in CSPs we can try to infer **illegal** values for variables by performing **constraint propagation**

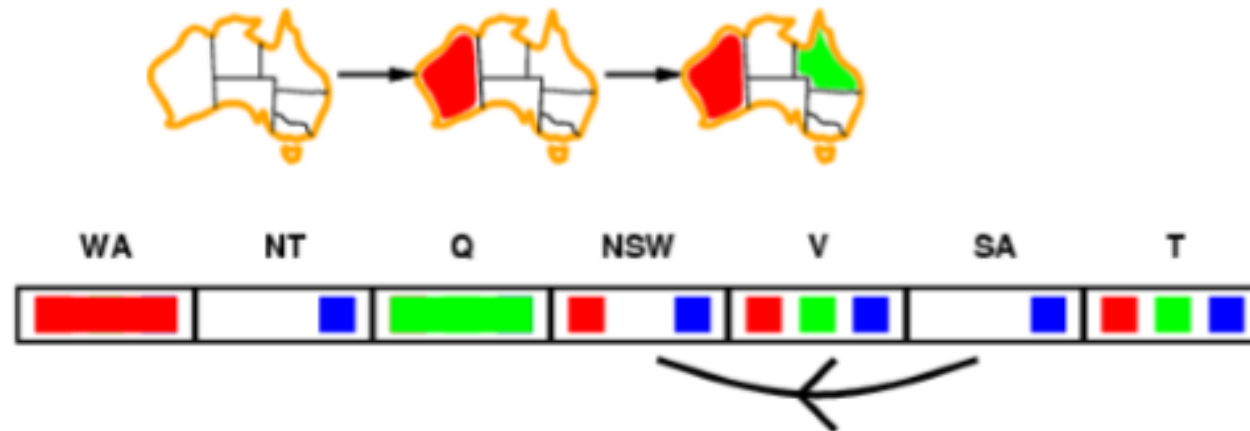
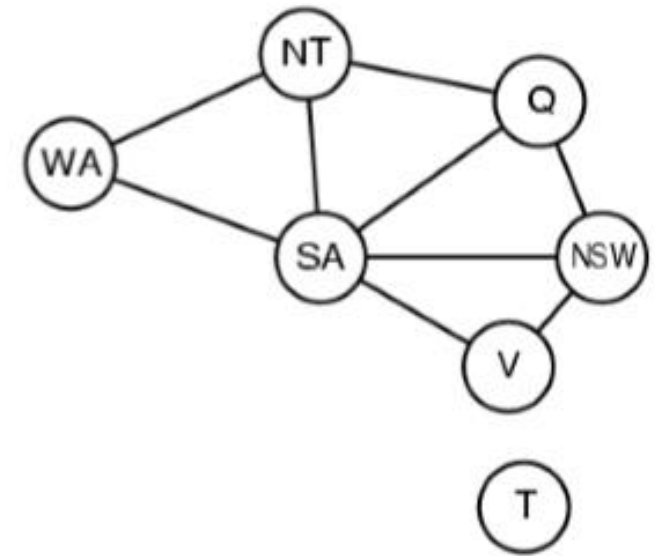
- **Node** consistency for **unary** constraints
- **Arc** consistency for **binary** constraints
- ...

- Can interleave with searching or do as preprocessing



# Arc consistency

- Simplest form of propagation makes each arc consistent
- $X \rightarrow Y$  is consistent iff for every value  $x$  of  $X$  there is some  $y$  in  $Y$  such that  $(x, y)$  is allowed



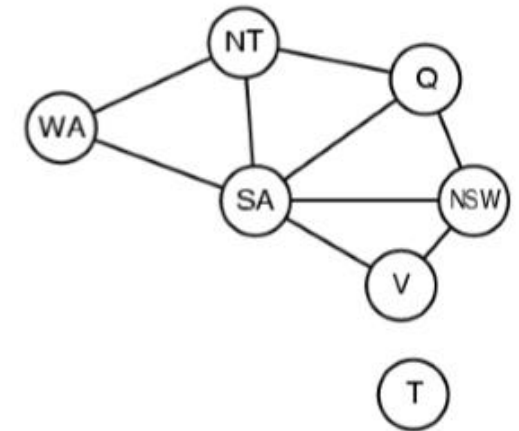
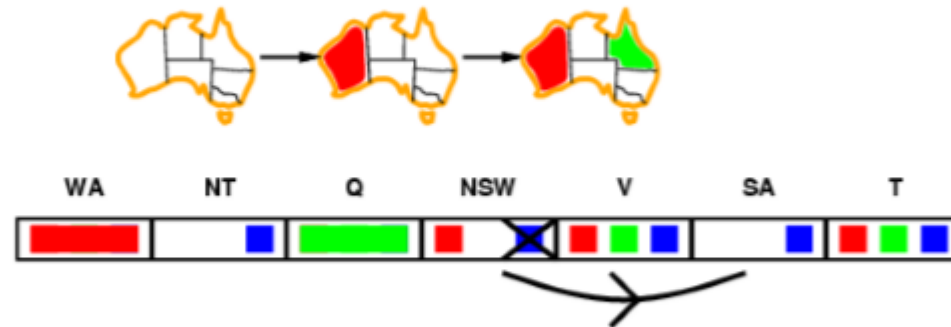
# More on arc consistency

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- Arc consistency is based on a very simple concept
  - if we can look at just one constraint and see that  $x=v$  is impossible ...
  - obviously we can remove the value  $x=v$  from consideration
- How do we know a value is impossible?
- If the constraint provides no support for the value
- e.g. if  $Dx = \{1,4,5\}$  and  $Dy = \{1, 2, 3\}$ 
  - then the constraint  $x > y$  provides no support for  $x=1$
  - we can remove  $x=1$  from  $Dx$

# Arc consistency

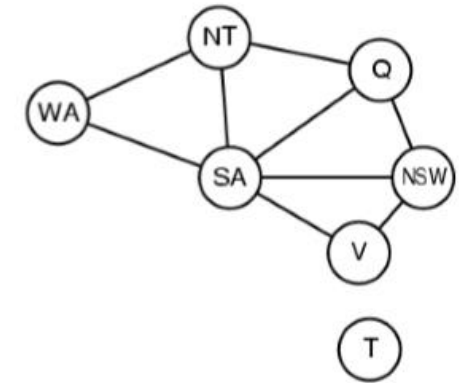
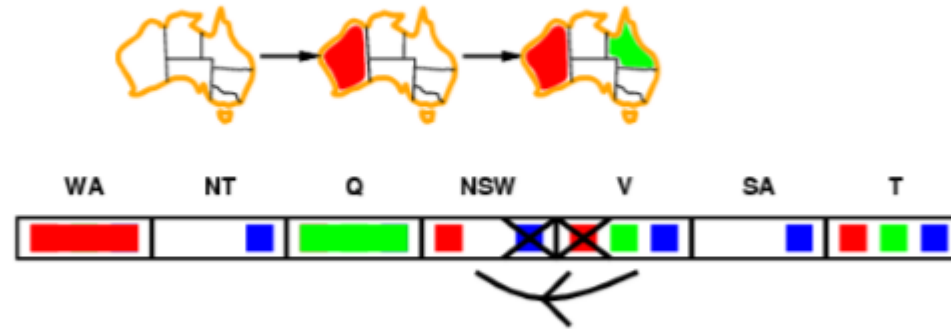
- Simplest form of propagation makes each arc **consistent**
- $X \rightarrow Y$  is consistent iff  
for **every** value  $x$  of  $X$  there is **some** allowed  $y$



- Arcs are directed, a binary constraint becomes two arcs
- $NSW \Rightarrow SA$  arc originally not consistent, is consistent after deleting **blue**

# Arc consistency

- Simplest form of propagation makes each arc **consistent**
- $X \rightarrow Y$  is consistent iff  
for **every** value  $x$  of  $X$  there is **some** allowed  $y$



- If  $X$  loses a value, neighbors of  $X$  need to be (re)checked

# Arc consistency propagation

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- When we remove a value from  $D_x$ , we may get new removals because of it
- E.g.  $D_x = \{1, 4, 5\}$ ,  $D_y = \{1, 2, 3\}$ ,  $D_z = \{2, 3, 4, 5\}$ 
  - $x > y$ ,  $z > x$
  - As before we can remove 1 from  $D_x$ , so  $D_x = \{4, 5\}$
  - But now there is no support for  $D_z = 2, 3, 4$
  - So we can remove those values,  $D_z = \{5\}$ , so  $z=5$
  - Before AC applied to  $y-x$ , we could not change  $D_z$
- This can cause a chain reaction

# Sudoku Chain Reaction

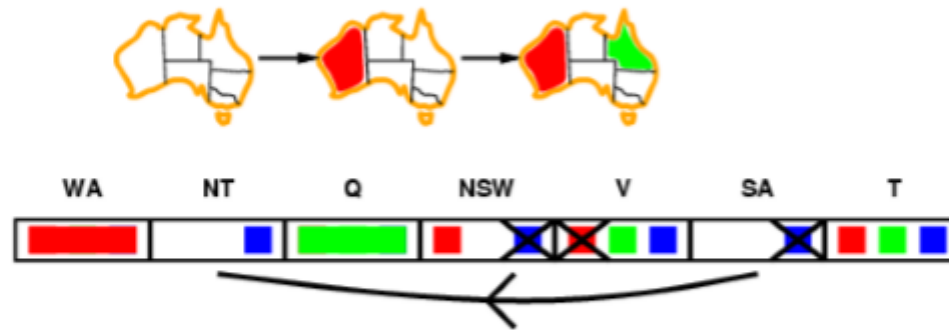
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		8	1		2	9		
								8
		6	7		8	2		
		2	6		9	5		
8			2		3			9
		5		1		3		

- Alldiff from box makes domain of **red** square  $\{3,4,5,6,9\}$   
Column constraints reduces domain to  $\{4\}$
- Then consider **purple** square. Original column and box constraints yield domain of  $\{1,4\}$ . Red square forces  $\{1\}$
- Then final **blue** box must be  $\{7\}$  as column already has eight values.

# Arc consistency

- Simplest form of propagation makes each arc **consistent**
- $X \rightarrow Y$  is consistent iff  
for **every** value  $x$  of  $X$  there is **some** allowed  $y$



- If  $X$  loses a value, neighbors of  $X$  need to be (re)checked
- Arc consistency detects failure earlier than forward checking
- Can be run as a preprocessor or after each assignment



# Arc consistency algorithm AC-3

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```
function AC-3(csp) returns the CSP, possibly with reduced domains
  inputs: csp, a binary CSP with variables  $\{X_1, X_2, \dots, X_n\}$ 
  local variables: queue, a queue of arcs, initially all the arcs in csp

  while queue is not empty do
     $(X_i, X_j) \leftarrow \text{REMOVE-FIRST}(\textit{queue})$ 
    if RM-INCONSISTENT-VALUES( $X_i, X_j$ ) then
      for each  $X_k$  in NEIGHBORS[ $X_i$ ] do
        add  $(X_k, X_i)$  to queue



---


function RM-INCONSISTENT-VALUES( $X_i, X_j$ ) returns true iff remove a value
  removed  $\leftarrow$  false
  for each  $x$  in DOMAIN[ $X_i$ ] do
    if no value  $y$  in DOMAIN[ $X_j$ ] allows  $(x, y)$  to satisfy constraint( $X_i, X_j$ )
      then delete  $x$  from DOMAIN[ $X_i$ ]; removed  $\leftarrow$  true
  return removed
```

Time complexity:  
 $O(n^2d^3)$

# Time complexity of AC-3

- CSP has  $n^2$  directed arcs
- Each arc  $X_i, X_j$  has  $d$  possible values.
- For each value we can reinsert the neighboring arc  $X_k, X_i$  at most  $d$  times because  $X_i$  has  $d$  values
- Checking an arc requires at most  $d^2$  time
- $\boxed{?}$   $O(n^2 * d * d^2) = O(n^2 d^3)$

```
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      then delete  $x$  from DOMAIN[ $X_i$ ]; removed  $\leftarrow$  true
  return removed
```

# Maintaining AC (MAC)

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- We can use AC in search
- i.e. search proceeds as follows:
  - establish AC at the root
  - when AC3 terminates, choose a new variable/value
  - re-establish AC given the new variable choice (i.e. maintain AC) repeat;
  - backtrack if AC gives domain wipe out
- The hard part of implementation is undoing effects of AC

# Special kinds of Consistency

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- Some kinds of constraint lend themselves to special kinds of arc-consistency
- Consider the all-different constraint
  - the named variables must all take different values
  - not a binary constraint
  - can be expressed as  $n(n-1)/2$  not-equals constraints
- We can apply (e.g.) AC3 as usual
- But there is a much better option

# All Different

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- Suppose  $Dx = \{2,3\} = Dy$ ,  $Dz = \{1,2,3\}$
- All the constraints  $x \neq y$ ,  $y \neq z$ ,  $z \neq x$  are all arc consistent
  - e.g.  $x=2$  supports the value  $z = 3$
- The single ternary constraint  $\text{AllDifferent}(x,y,z)$  is not!
  - We must set  $z = 1$
- A special purpose algorithm exists for All-Different to establish GAC in efficient time
  - Special purpose propagation algorithms are vital

# K-consistency

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Arc Consistency (2-consistency) can be extended to kconsistency

3-consistency (path consistency): any pair of adjacent variables can always be extended to a third neighbor.

- Catches problem with  $D_x$ ,  $D_y$  and  $D_z$ , as assignment of  $D_z = 2$  and  $D_x = 3$  will lead to domain wipe out.
- But is expensive, exponential time

$n$  -consistency means the problem is solvable in linear time

- As any selection of variables would lead to a solution

In general, need to strike a balance between consistency and search.

- This is usually done by experimentation.

# Local search for CSPs

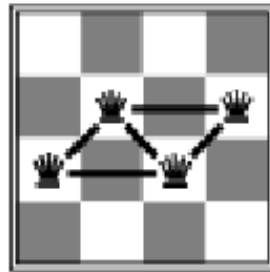
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- Hill-climbing, simulated annealing typically work with "complete" states, i.e., all variables assigned
- To apply to CSPs:
  - allow states with unsatisfied constraints
  - operators **re-assign** variable values
- Variable selection: randomly select any conflicted variable
- Value selection by **min-conflicts** heuristic:
  - choose value that violates the fewest constraints
  - i.e., hill-climb with  $h(n)$  = total number of violated constraints

# Example: 4-Queens

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- **States:** 4 queens in 4 columns ( $4^4 = 256$  states)
- **Actions:** move queen in column
- **Goal test:** no attacks
- **Evaluation:**  $h(n)$  = number of attacks



**$h = 5$**

- Given random initial state, can solve  $n$ -queens in almost constant time for arbitrary  $n$  with high probability (e.g.,  $n = 10,000,000$ )



# Min-conflicts

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```
function MIN-CONFLICTS(csp, max_steps) returns a solution or failure
  inputs: csp, a constraint satisfaction problem
           max_steps, the number of steps allowed before giving up

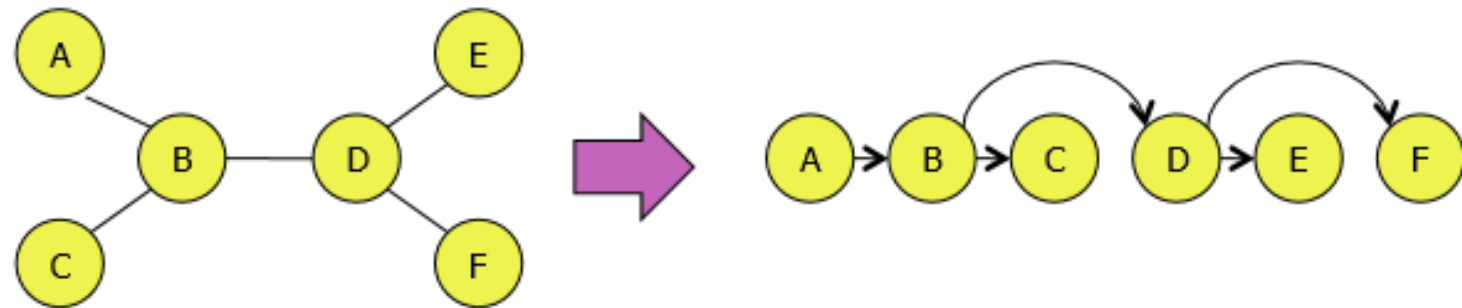
  current  $\leftarrow$  an initial complete assignment for csp
  for i = 1 to max_steps do
    if current is a solution for csp then return current
    var  $\leftarrow$  a randomly chosen, conflicted variable from VARIABLES[csp]
    value  $\leftarrow$  the value v for var that minimizes CONFLICTS(var, v, current, csp)
    set var = value in current
  return failure
```

**Figure 5.8** The MIN-CONFLICTS algorithm for solving CSPs by local search. The initial state may be chosen randomly or by a greedy assignment process that chooses a minimal-conflict value for each variable in turn. The CONFLICTS function counts the number of constraints violated by a particular value, given the rest of the current assignment.

# The structure of problems

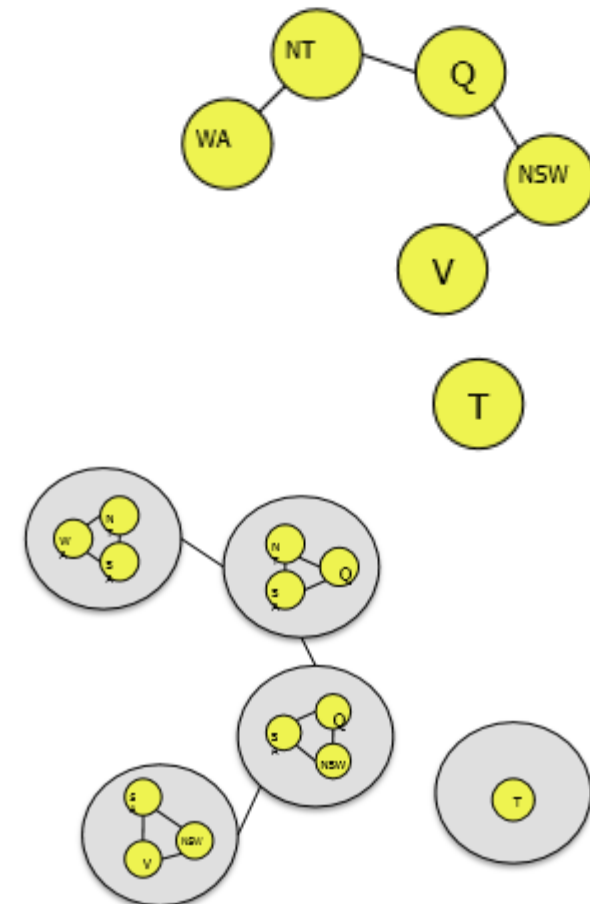
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- Independent subproblems = unconnected components
- (Return to this point after midterm)
- Tree based CSPs can be solved by topological sort
  - Pick a root and “dangle” other nodes by it
  - Will have  $n-1$  arcs, can make arc consistent in  $O(n)$
  - $O(nd^2)$



# Reducing CSP Trees

- Reduce other problems to trees, to use Tree-CSP-Solver, which yields solutions without backtracking. Aim to reduce to many small subproblems.
- Two approaches:
  - Remove nodes from CSP graph to make a tree
    - Assign values to removed nodes and remove used domains from tree nodes
  - Tree decomposition: make tree CSP with nodes as subproblems



# Summary

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- CSPs are a special kind of problem:
  - states defined by values of a fixed set of variables
  - goal test defined by constraints on variable values
- Backtracking = depth-first search with one variable assigned per node
- Variable ordering and value selection heuristics help significantly
- Forward checking prevents assignments that guarantee later failure
- Constraint propagation (e.g., arc consistency) does additional work to constrain values and detect inconsistencies
- Iterative min-conflicts is usually effective in practice