

# Lecture 7 - Undecidability and the Church-Turing Thesis

Eric A. Autry

# Course Office Hours

|                            |  |                             |
|----------------------------|--|-----------------------------|
| Monday 9-11 am             | Zhe Wang   | Physics 154                 |
| Monday 2-4 pm              | Zhe Wang   | LSRC B105                   |
| <b>Monday 5:30-7:30 pm</b> | <b>Eric Autry</b><br>Zicheng Yuan                | <b>Fishbowl CIEMAS 3602</b> |
| Tuesday 1-3 pm             | Yuanyuan Yu                                      | Hudson Hall 232             |
| Wednesday 8 am - noon      | Yu Cao   | Fishbowl CIEMAS 3602        |
| Wednesday 2-4 pm           | Siyuan Liu                                       | LSRC D106                   |
| <b>Wednesday 4-8 pm</b>    | <b>Eric Autry</b><br>Yuanyuan Yu<br>Zicheng Yuan | <b>Gross Hall 304B</b>      |
| Thursday 12-2 pm           | Siyuan Liu                                       | Fishbowl CIEMAS 3602        |

Last time: Turing Machines and Infinity

This time: Undecidability and the Church-Turing Thesis

Next time: The Halting Problem and Reductions

Homework 2 solutions are posted on Sakai.

Homework 3 is posted on Sakai and due **this Thursday the 27th.**

- ▶ Skip problem 4(c).
- ▶ Typo in problem 5 (new version uploaded). Should be all subsets of  $\mathbb{N}$ .

Midterm is **next Tuesday the 2nd.**

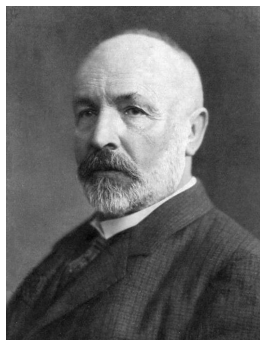
# Real Numbers

## Theorem

*The real numbers  $\mathbb{R}$  are uncountable.*

*Proof:*

Diagonalization proof developed by Cantor in 1891.



# Real Numbers

## Theorem

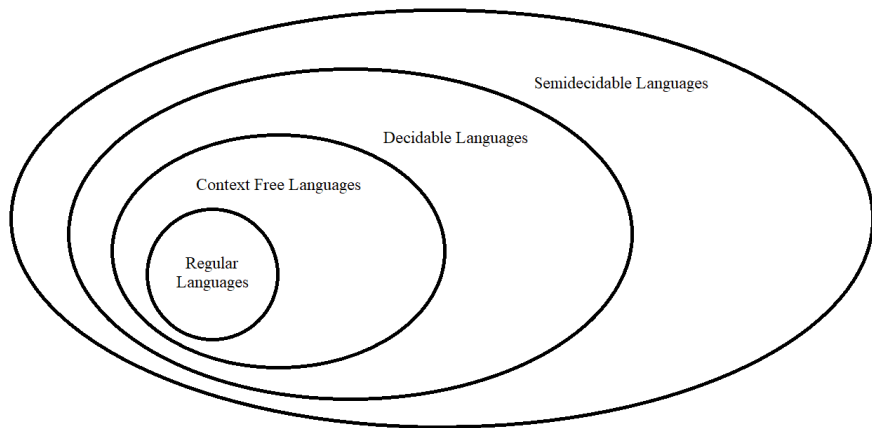
*The real numbers  $\mathbb{R}$  are uncountable.*

*Proof:*

This new number cannot be in the original list.

|   |  |   |   |   |   |   |   |   |     |   |   |   |   |   |   |   |
|---|--|---|---|---|---|---|---|---|-----|---|---|---|---|---|---|---|
| 1 |  | 0 | . | 5 | 0 | 0 | 0 | 0 | ... | 0 | . | 4 | 7 | 6 | 1 | 9 |
| 2 |  | 3 | . | 1 | 4 | 1 | 5 | 9 | ... |   |   |   |   |   |   |   |
| 3 |  | 2 | . | 7 | 1 | 8 | 2 | 8 | ... |   |   |   |   |   |   |   |
| 4 |  | 1 | . | 4 | 1 | 4 | 2 | 1 | ... |   |   |   |   |   |   |   |
| 5 |  | 1 | . | 7 | 3 | 2 | 0 | 5 | ... |   |   |   |   |   |   |   |
| . |  | . | . | . | . | . | . | . |     |   |   |   |   |   |   |   |
| . |  | . | . | . | . | . | . | . |     |   |   |   |   |   |   |   |
| . |  | . | . | . | . | . | . | . |     |   |   |   |   |   |   |   |

# Context Free vs Decidable vs Semidecidable



**Question: are all languages decidable?**

# Decidable Languages

If a Turing machine halts on all inputs and either accepts or rejects, the language it recognizes is called a **decidable** language. (These are also known as recursive languages.)

When this happens, we say that the Turing machine **decides** the language.

What if there is an input that causes the Turing Machine to never halt?

# Semidecidable Languages

If a Turing machine

- ▶ halts and accepts all strings in a language  $A$ ,

and for strings that are not in  $A$ , **either**:

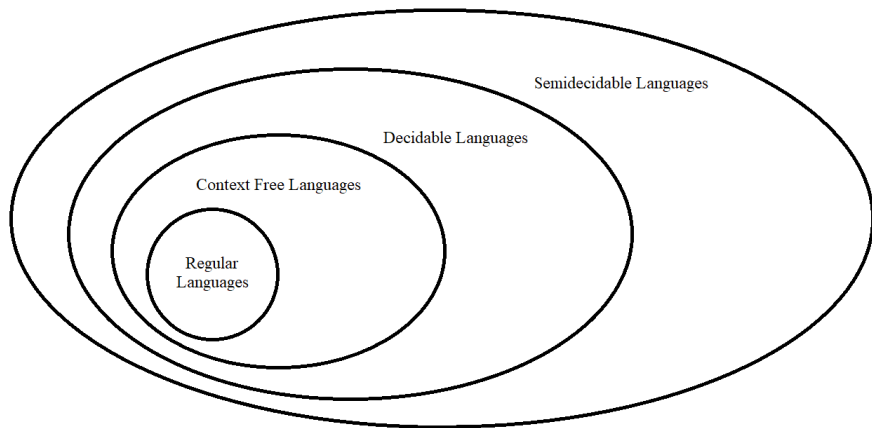
- ▶ halts and rejects, **or**
- ▶ loops forever,

we say the Turing machine **recognizes** the language  $A$  and call the language **semidecidable**. (These are also known as Turing-recognizable or recursively enumerable languages.)

Note: for these semidecidable languages, we are treating infinite loops as a form of rejection.



# Context Free vs Decidable vs Semidecidable



**Question: can we find a language that is not decidable?**

# Acceptance Problem for DFAs

A **decidable language about DFAs**:

$$A_{DFA} = \{ \langle B, w \rangle \mid B \text{ is a DFA that accepts input string } w \}$$

1. Simulate machine  $B$  on input  $w$ .
  - (a) Mark the start state and the first input of  $w$ .
  - (b) Read the marked input of  $w$  and the marked state of  $B$ .
  - (c) Follow the corresponding transition, marking the new state of  $B$  and the next symbol of  $w$ .
  - (d) If the next symbol is not black, return to Step 1(b), otherwise continue to Step 2.
2. If  $B$  ends in an accepting state, accept. If it ends in a nonaccepting state, reject.

Note: we can do this for NFAs, Regular Expressions, PDAs, and CFGs too!

# Acceptance Problem for Turing Machines

Question: can we do the same thing with Turing Machines?

$$A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a TM that accepts input string } w \}$$

What happens when we try to simulate machine  $M$ ?

Clearly if it accepts, we accept. And if it rejects, we reject.

But what if machine  $M$  enters an infinite loop?

Then our new machine will also loop. **Therefore  $A_{TM}$  is semidecidable, but is not decidable!**

Can't we try to detect whether the machine is infinitely looping?

- ▶ This is called the Halting Problem, and we will prove later that it cannot be done.

(Note, a Turing Machine which simulates other Turing Machines is often labeled as  $U$ , and called the universal Turing Machine.)

# Undecidable Languages

So, we have now found a language that was semidecidable but not decidable.

The next natural question is then: are there languages that are not even semidecidable?

## Theorem

*Some languages cannot be recognized by a Turing Machine.*

*Proof:*

There are a countable number of Turing Machines. Each machine can be encoded as a finite string, meaning that the set of all Turing Machines corresponds to a subset of all finite strings. The set of all finite strings is countable (homework problem), and so the set of all Turing Machines is countable.

# Undecidable Languages

## Theorem

*Some languages cannot be recognized by a Turing Machine.*

*Proof:*

There are a countable number of Turing Machines.

How many languages are there?

Key idea: a language is a set of strings. We often use some rule to define a language (like all strings that end in 0), but a rule is not required.

Let's use a diagonalization argument to show that the set of all languages is uncountable.

# Undecidable Languages

## Theorem

*Some languages cannot be recognized by a Turing Machine.*

*Proof:*

Let's use a diagonalization argument to show that the set of all languages is uncountable.

$$S = \{L \mid L \text{ is a language made up of binary strings}\}$$

Note: the set of all finite length binary strings is countable, i.e., we can write  $b_1, b_2, \dots, b_k, \dots$

0, 00, 01, 10, 11, 000, 001, 010, ...

# Undecidable Languages

## Theorem

*Some languages cannot be recognized by a Turing Machine.*

*Proof:*

$$S = \{L \mid L \text{ is a language made up of binary strings}\}$$

Assume by way of contradiction that  $S$  is countable.

Then a list of these binary languages must exist:

$$L_1, \quad L_2, \quad L_3, \quad \dots$$

Let's construct a binary language that is not in that list...

# Undecidable Languages

## Theorem

*Some languages cannot be recognized by a Turing Machine.*

*Proof:*

Let's construct a binary language that is not in that list...

1. Look at language  $L_1$ .
  - ▶ If the string  $b_1$  **is** in  $L_1$ , then it **is not** in our new language.
  - ▶ If the string  $b_1$  **is not** in  $L_1$ , then it **is** in our new language.
2. Look at language  $L_2$ .
  - ▶ If the string  $b_2$  **is** in  $L_2$ , then it **is not** in our new language.
  - ▶ If the string  $b_2$  **is not** in  $L_2$ , then it **is** in our new language.
3. Look at language  $L_3$ .
  - ▶ If the string  $b_3$  **is** in  $L_3$ , then it **is not** in our new language.
  - ▶ If the string  $b_3$  **is not** in  $L_3$ , then it **is** in our new language.
4. etc



# Undecidable Languages

## Theorem

*Some languages cannot be recognized by a Turing Machine.*

*Proof:*

We've defined a binary language, because all of the string in the language are binary strings.

But, our new binary language could not have been in  $S$  because it was different than every language in  $S$ . So  $S$  was incomplete and we have reached our contradiction.

There are a countable number of Turing Machines, but an uncountable number of languages!

# Undecidable Languages

There are a countable number of Turing Machines, but an uncountable number of languages!

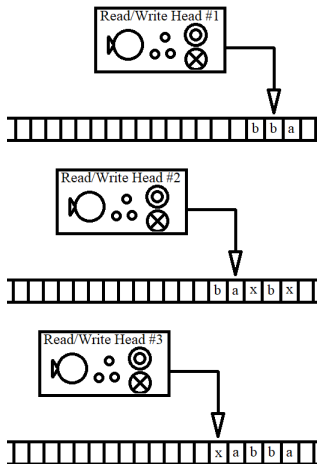
But, we've had problems before...

- ▶ When we saw that DFAs were limited, we added an infinite stack to get the more powerful PDAs.
- ▶ When we saw that PDAs were limited, we added an infinite tape to get the even more powerful Turing Machines.
- ▶ Now we see that Turing Machines are limited...

Can we build a better Turing Machine?

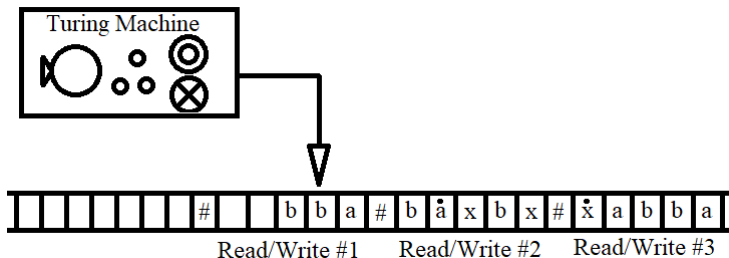
# Turing Machine Variant #1

What if we gave the machine multiple infinite tapes, and each tape had its own read/write head?



# Turing Machine Variant #1

Nope.



- ▶ Just simulate each separate tape/head one at a time.
- ▶ If one of the tapes runs out of space, pause and shift everything to give it more space.

## Turing Machine Variant #2

What if we allowed nondeterministic behavior?

Nope. Let's build a 3-tape Turing Machine that is equivalent to a nondeterministic Turing Machine.

- ▶ Note that we just showed that a 3-tape TM is equivalent to a 1-tape TM.

Define the 3 tapes as:

**Tape 1:** The input tape. Stores the input and never changes it.

**Tape 2:** The simulation tape.

**Tape 3:** The nondeterminism tape.

## Turing Machine Variant #2

**Tape 1:** The input tape. Stores the input and never changes it.

**Tape 2:** The simulation tape.

**Tape 3:** The nondeterminism tape.

- ▶ Copy the input to the simulation tape and run the machine.
- ▶ If there is a choice, mark both options on the third tape.
- ▶ For each **step** we take, reset the simulation tape and rerun the machine following the branch specified by the third tape until we reach the **next step** of computation.
- ▶ Increment the third tape to look at the next branch and repeat until we've made a single step for each branch. Then perform another **single step** of computation.
- ▶ If a branch rejects, remove it from the third tape.
- ▶ If we ever reach the accept state, accept. If all branches on the third tape reject, then reject.

# Weaker Turing Machines?

It turns out, we can put limitations on a Turing Machine that do not decrease its power:

- ▶ What if we cut the tape at one end so it is only infinite in one direction?
  - ▶ Still equivalent.
- ▶ What if we don't allow the machine to stand still, forcing it to always move?
  - ▶ Still equivalent.
- ▶ What if we don't allow the machine to move left, instead forcing it to move right or reset to its initial position?
  - ▶ Still equivalent.

# Church-Turing Thesis

Since 1936, many variations of machines have been proposed, but all have ended up being equivalent to (or less powerful than) a Turing Machine.

This lead to the Church-Turing Thesis:

- ▶ It basically states that the intuitive notion of an algorithm is equivalent to Turing Machine algorithms.

i.e.,

- ▶ If a solution to a problem is calculable, a Turing Machine can compute it.

This hasn't been proven, but so far nobody has come up with a better machine...

What about quantum computing?



# Proving Undecidability

So, there exist undecidable problems...

How do we show if a problem is undecidable?

We can either prove it by directly considering the problem, or **reduce** the problem to another undecidable problem.

Famous undecidable problem used in many proofs: The Halting Problem.

# Proving Undecidability

Let's prove that  $A_{TM}$  is undecidable:

$$A_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM that accepts input string } w\}$$

*Proof:*

Let's assume by way of contradiction that  $A_{TM}$  is decidable.

Then there exists a Turing Machine  $H$  that decided the language, i.e.,

$$H(\langle M, w \rangle) = \begin{cases} \text{accept} & \text{if } M \text{ accepts } w, \\ \text{reject} & \text{if } M \text{ rejects } w. \end{cases}$$

# Proving Undecidability

Let's prove that  $A_{TM}$  is undecidable:

$$A_{TM} = \{ \langle M, w \rangle \mid M \text{ is a TM that accepts input string } w \}$$

*Proof:*

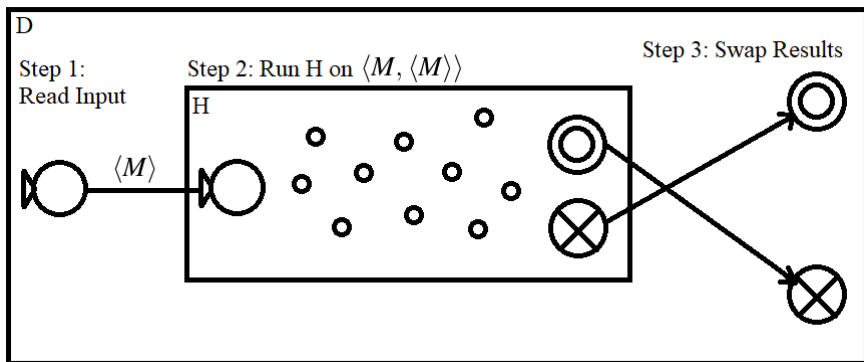
Let's create a Turing Machine  $D$  that takes in another Turing Machine  $M$ , runs  $H$  on  $M$  with the string representation of  $M$  as the input, then returns the opposite:

1. Run  $H$  on the input  $\langle M, \langle M \rangle \rangle$ .
2. If  $H$  accepts, then return reject. If  $H$  rejects, then return accept.

$$D(\langle M \rangle) = \begin{cases} \text{accept} & \text{if } M \text{ does not accept string } \langle M \rangle, \\ \text{reject} & \text{if } M \text{ accepts string } \langle M \rangle. \end{cases}$$

# Proving Undecidability

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# Proving Undecidability

Let's prove that  $A_{TM}$  is undecidable:

$$A_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM that accepts input string } w\}$$

*Proof:*

What happens when we run  $D$  on itself?

$$D(\langle D \rangle) = \begin{cases} \text{accept} & \text{if } D \text{ does not accept string } \langle D \rangle, \\ \text{reject} & \text{if } D \text{ accepts string } \langle D \rangle. \end{cases}$$

Wait...  $D$  can only accept if it rejects, and only reject if it accepts?

$D$  cannot exist. But, we built  $D$  using only the machine  $H$ .

Therefore  $H$  cannot exist and there is no Turing Machine that can decide  $A_{TM}$ .