

# Effectful Type Theories

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It is time to CIC ass and chew bubble-gum

CIC, the Calculus of Inductive Constructions.

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- Finest types to describe your programs
- No clear phase separation between runtime and compile time

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The Pinnacle of the Curry-Howard correspondence

## Recall from what you have seen

- A purely functional language
- ... plus  $\Pi$  generalizing arrows
- ... plus ADTs with generalized pattern-matching
- ... where types are terms
- Proof and computation living in harmony

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CIC is quite a complex beast!

**“THE EARTHLY PARADISE OF THE PROOF-PROGRAM CORRESPONDENCE”**



¿ “THE EARTHLY PARADISE OF THE PROOF-PROGRAM CORRESPONDENCE” ?

Actually not quite one single theory.

Several flags tweaking the Coq kernel:

- Impredicative Set
- Type-in-type
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The Many Calculi of Inductive Constructions.

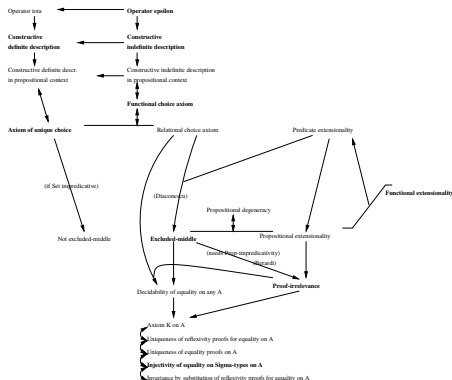
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# In the Axiom Jungle

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- Excluded middle, UIP, choice



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The **univalent** pole:

- Univalence, what else?



« A mathematician is a device for turning toruses into equalities (up to homotopy). »

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- Anti-classical axioms (???)

Varying degrees of compatibility.

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Mathematicians may not care too much, but...

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**COULD YOU WRITE A HELLO WORLD  
PROGRAM PLEASE?**



**Intuitionistic** Logic  $\Leftrightarrow$  **Functional** Programming



# Sad reality (a.k.a. Curry-Howard)

## Intuitionistic Logic $\Leftrightarrow$ Functional Programming

Coq is even purer than Haskell:

- No mutable state (obviously)
- No exceptions (Haskell has them somehow)
- No arbitrary recursion
- and also no **HELLO WORLD** !



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# The Good News

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is by folklore the same as

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- `callcc` gives classical logic
- Delimited continuations prove Markov's principle
- Exceptions implement Markov's rule
- Well-behaved global cells provide univalence
- ...

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There are some good properties we want to ensure...



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There are some good properties we want to ensure...

We want a **model of** type theory with effects.

# Good Properties

**Consistency** There is no proof of False.

**Implementability** Type-checking is decidable.

**Canonicity** Closed integers are indeed integers, i.e

$$\vdash M : \mathbb{N} \quad \text{implies} \quad M \equiv S \dots S 0$$

Assuming we have a notion of reduction compatible with conversion:

**Normalization** Reduction is normalizing

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Some of these properties are interdependent

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Roughly three standard families of models:

- **Set-theoretical** models
- **Realizability** models
- **Categorical** models

Let's review them quickly!

# The Set-Theoretical Model

Because Sets are a (crappy) type theory.

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Because Sets are a (crappy) type theory.

Interpret everything as sets and expect  $\vdash_{\text{CIC}} M : A \Rightarrow \vdash_{\text{ZFC}} [M] \in [A]$ .

## Pro

- Well-known and trusted target
- Imports ZFC properties.

## Con

- Forego syntax, computation and decidability
- No effects in sight.
- Imports ZFC properties.



# The Realizability Models

Construct programs that respect properties.

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Construct programs that respect properties.

- Terms  $M \rightsquigarrow$  programs  $[M]$  (variable languages as a target)
- Types  $A \rightsquigarrow$  meta-theoretical predicates  $\llbracket A \rrbracket$
- $\vdash_{\text{CIC}} M : A \Rightarrow [M] \in \llbracket A \rrbracket$

## Pro

- Some preservation of syntax and computability

## Con

- Usually crazily undecidable
- Meta-theory can be arbitrary crap, including ZFC

# The Categorical Models

Abstract / obfuscated description of type theory.

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Abstract / obfuscated description of type theory.

Rephrase the rules of CIC in a categorical way.

## Pro

- Very abstract and subsumes both previous examples
- Somewhat “easier” to show some structure is a model of TT

## Con

- Same limitations as the previous examples
- Mostly useless to actually construct a model
- Yet another syntax, usually arcane and ill-fitted

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## Syntactic Models



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« This is a *compiler*... »

... and you know it.



# Curry-Howard Orthodoxy

Let's look at what Curry-Howard provides in simpler settings.

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On the **programming** side, enrich the language by program translation.

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On the **logic** side, extend expressivity through proof interpretation.

- Double-negation  $\Rightarrow$  classical logic (`callcc`)
- Friedman's trick  $\Rightarrow$  Markov's rule (exceptions)
- Forcing  $\Rightarrow \neg\text{CH}$  (global monotonous cell)

# Syntactic Models

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We take the following act of faith for granted.



**CIC is.**

Not caring for its soundness, implementation, whatever. It just is.

Do everything by interpreting the new theories relatively to this foundation!

Suppress technical and cognitive burden by lowering impedance mismatch.

**Step 0:** Fix a theory  $\mathcal{T}$  as close as possible\* to CIC, ideally  $\text{CIC} \subseteq \mathcal{T}$ .



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**Step 3:** Expand  $\mathcal{T}$  by going down to the *CIC assembly language*, implementing new terms given by the  $[\cdot]$  translation.

# Anatomy of a syntactic model



COMPILATION



$\vdash_{\text{CIC}++} M : A$

$\rightsquigarrow$

$\vdash_{\text{CIC}} [M] : [A]$

**« CIC, the LLVM of type theory »**

# Syntactic Models III

Obviously, that's subtle.

- The translation  $[\cdot]$  must preserve typing (not easy)
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Yet, a lot of nice consequences.

- Does not require non-type-theoretical foundations (*monism*)
- **Can be implemented in Coq** (*software monism*)
- Easy to show (relative) consistency, look at  $\llbracket \text{False} \rrbracket$
- Inherit properties from CIC: computability, decidability...

We will show three simple models

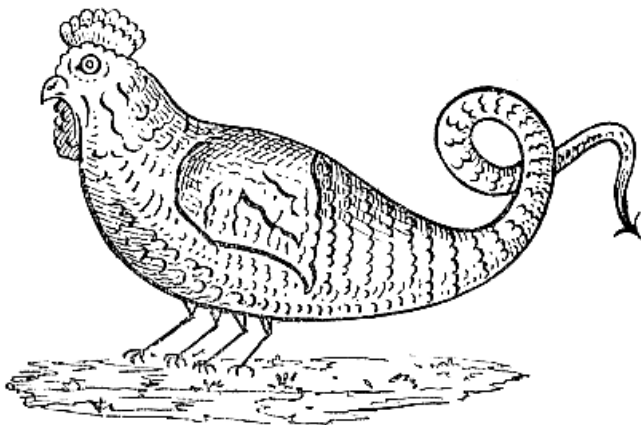
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I and II feature fundamental interactions between effects and dependency.





## Intensional Types, a.k.a. **Dynamically Typed CIC**

(effect-free introductory example)

# Intensional Types

The intensional types translation extends type theory with

$$\begin{array}{ll} \text{flip} & : \square \rightarrow \square \\ \text{flip\_equiv} & : \Pi(A : \square). \text{flip } A \cong A \\ \text{flip\_neq} & : \Pi(A : \square). \text{flip } A \neq A \end{array}$$

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This breaks amongst other things univalence...

# The Intensional Types Implementation

Intuitively:

- Translate  $A : \square$  into  $[A] : \square \times \mathbb{B}$
- Translate  $M : A$  into  $[M] : [A].\pi_1$

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$$\begin{aligned} \llbracket A \rrbracket &\equiv [A].\pi_1 \\ \llbracket \Box \rrbracket &\equiv (\Box \times \mathbb{B}, \mathbf{true}) \\ \llbracket \Pi x : A. B \rrbracket &\equiv (\Pi x : \llbracket A \rrbracket. \llbracket B \rrbracket, \mathbf{true}) \\ \llbracket x \rrbracket &\equiv x \\ \llbracket M N \rrbracket &\equiv [M] [N] \\ \llbracket \lambda x : A. M \rrbracket &\equiv \lambda x : \llbracket A \rrbracket. [M] \end{aligned}$$

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Soundness

If  $\vec{x} : \Gamma \vdash M : A$  then  $\vec{x} : \llbracket \Gamma \rrbracket \vdash [M] : \llbracket A \rrbracket$ .

# Extending the Intensional Types

Let's define the new operations obtained through the translation.

$$\begin{aligned} [\text{flip}] & : \llbracket \Box \rightarrow \Box \rrbracket \\ [\text{flip}] & : \Box \times \mathbb{B} \rightarrow \Box \times \mathbb{B} \\ [\text{flip}] & \equiv \lambda(A, b). (A, \text{negb } b) \\ \\ [\text{flip\_equiv}] & : \llbracket \Pi A : \Box. \text{flip } A \cong A \rrbracket \\ [\text{flip\_equiv}] & \equiv \dots \\ \\ [\text{flip\_neq}] & : \llbracket \Pi A : \Box. \text{flip } A \neq A \rrbracket \\ [\text{flip\_neq}] & : \Pi A : \Box \times \mathbb{B}. [\text{flip}] A \neq A \\ [\text{flip\_equiv}] & \equiv \dots \end{aligned}$$

- $\llbracket \text{flip } A \rrbracket \equiv \llbracket A \rrbracket$
- And isomorphism only depends on  $\llbracket A \rrbracket$
- But (intensional) equality observes the boolean...

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You can do much better: a real mix of Python and Coq!

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- Assuming the target theory features induction-recursion
- Represent (source) types by their code
- This gives a real type-quote function in the source theory

$$\begin{aligned} \text{type\_rect} : & \Pi(P : \square \rightarrow \square). \\ & P \square \rightarrow \\ & (\Pi(A : \square) (B : A \rightarrow \square). P A \rightarrow (\Pi x : A. P (B x)) \rightarrow \\ & \hspace{15em} P (\Pi x : A. B)) \rightarrow \\ & P \mathbb{N} \rightarrow \\ & \dots \rightarrow \\ & \Pi(A : \square). P A \end{aligned}$$

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**Coq is compatible with dynamic types!!!**



The reader translation, a.k.a. **Baby Forcing**

Essentially the same as Haskell's reader effect\*.

- There is a global unnamed cell
- That can be read
- That can be updated **in a well-scoped way**

Not quite a state!

\* main difference is that we're in call-by-name.

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```
read   :  $\mathbb{R}$ 
into   :  $\square \rightarrow \mathbb{R} \rightarrow \square$ 
enter  :  $\Pi(A : \square). A \rightarrow \Pi r : \mathbb{R}. \text{into } A \ r$ 
(morally enter :  $\Pi(A : \square). A \rightarrow \mathbb{R} \rightarrow A$ )
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satisfying the expected definitional equations, e.g.

$$\begin{aligned} \text{enter } \mathbb{R} \text{ read } M & \equiv M \\ \text{enter } \mathbb{R} M \text{ read} & \equiv M \end{aligned}$$



# The Reader Implementation

Assuming a variable  $r : \mathbb{R}$ , intuitively:

- Translate  $A : \square$  into  $[A]_r : \square$
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## Soundness

We have  $\Gamma \vdash M : A$  implies  $\llbracket \Gamma \rrbracket, r : \mathbb{R} \vdash [M]_r : [A]_r$ .

# The Reader Implementation: Inductive Types

PLT tells us we have to take  $[A + B]_r \equiv \llbracket A \rrbracket + \llbracket B \rrbracket$ .

$$\begin{aligned}[A + B]_r &\equiv \llbracket A \rrbracket + \llbracket B \rrbracket \\ [\text{inl } M]_r &\equiv \text{inl } (\Pi s : \mathbb{R}. [M]_s) \\ [\text{inr } M]_r &\equiv \text{inr } (\Pi s : \mathbb{R}. [M]_s)\end{aligned}$$

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It's possible to implement **non-dependent** pattern-matching as usual.

$$\begin{aligned}[\text{elim}_+]_r : \llbracket \Pi P : \Box. (A \rightarrow P) \rightarrow (B \rightarrow P) \rightarrow A + B \rightarrow P \rrbracket &\equiv \Pi (P : \mathbb{R} \rightarrow \Box). \\ (\Pi s : \mathbb{R}. \llbracket A \rrbracket \rightarrow P \ s) \rightarrow (\Pi s : \mathbb{R}. \llbracket B \rrbracket \rightarrow P \ s) \rightarrow (\mathbb{R} \rightarrow \llbracket A \rrbracket + \llbracket B \rrbracket) \rightarrow P \ r\end{aligned}$$

$$\begin{aligned}\text{elim}_+ \ P \ N_l \ N_r \ (\text{inl } M) &\equiv N_l \ M \\ \text{elim}_+ \ P \ N_l \ N_r \ (\text{inr } M) &\equiv N_r \ M\end{aligned}$$

Unfortunately, It's **not possible** to implement **dependent** elimination!

$$\llbracket \Pi P. (\Pi(x : A). P (\text{inl } x)) \rightarrow (\Pi(y : B). P (\text{inr } y)) \rightarrow \Pi b : A + B. P b \rrbracket$$

$\equiv$

$$\Pi P : \mathbb{R} \rightarrow (\mathbb{R} \rightarrow \llbracket A \rrbracket + \llbracket B \rrbracket) \rightarrow \square.$$

$$(\Pi(s : \mathbb{R}) (x : \llbracket A \rrbracket). \text{P } s \ (\lambda \_ : \mathbb{R}. \text{inl } x)) \rightarrow$$

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Unfortunately, It's **not possible** to implement **dependent** elimination!

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Horrible Realization

Dependent elimination is incompatible with effects.

# Not All Predicates are Equal

In general through  $[\cdot]_r$  predicates have the following type:

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**This is provable!**

Induction is still valid for predicates that evaluate **eagerly** their argument.

# Linear Dependence is All You Need

We restrict dependent elimination in the following way:

$$\frac{\Gamma \vdash M : \mathbb{B} \quad \dots \quad P \text{ eager in } b}{\Gamma \vdash \text{if } M \text{ then } N_1 \text{ else } N_2 : P\{b := M\}}$$

- Can be underapproximated by a generic, syntactic **guard condition**
- This can be made formal by the notion of linearity.
- The CBN doppelgänger of the dreaded **value restriction** in CBV!
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This restriction forms **Baclofen Type Theory**.

Outrageous claim

BTT is the generic theory to deal with dependent effects

# The Exceptional Type Theory

(a.k.a. the Curry-Howard-Shadok correspondence)



An extension of CIC rooted in Shadok wisdom.

**“THE MORE IT FAILS, THE MORE LIKELY IT WILL EVENTUALLY SUCCEED.”**



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# The Exceptional Type Theory: Overview

The exceptional type theory  $\mathcal{T}_{\mathbb{E}}$  extends CIC with

$$\begin{array}{ll} \mathbb{E} & : \quad \Box \\ \mathbf{raise} & : \quad \Pi A : \Box. \mathbb{E} \rightarrow A \end{array}$$

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The equations are those of call-by-name exceptions.

$$\begin{aligned}\text{raise } (\Pi x : A. B) \ e &\equiv \lambda x : A. \text{raise } B \ e \\ \text{match } (\text{raise } \mathcal{I} \ e) \text{ ret } P \text{ with } \vec{p} &\equiv \text{raise } (P \ (\text{raise } \mathcal{I} \ e)) \ e\end{aligned}$$

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where  $P : \mathcal{I} \rightarrow \square$ .

Remark that in call-by-name, if  $M : A \rightarrow B$ , in general

$$M (\text{raise } A \ e) \not\equiv \text{raise } B \ e$$

# Catch Me If You Can

Remember that on functions:

$$\text{raise } (\Pi x : A. B) \ e \equiv \lambda x : A. \text{raise } B \ e$$

It means catching exceptions is limited to positive datatypes!



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For inductive types, this is a **generalized induction principle**.

$$\begin{aligned} \text{catch}_{\mathbb{B}} : & \Pi P : \mathbb{B} \rightarrow \square. \\ & P \text{ true} \rightarrow \\ & P \text{ false} \rightarrow \\ & (\Pi e : \mathbf{E}. P (\text{raise } \mathbb{B} e)) \rightarrow \\ & \Pi b : \mathbb{B}. P b \end{aligned}$$

$$\begin{aligned} \mathbb{B}_{\text{rect}} : & \Pi P : \mathbb{B} \rightarrow \square. \\ & P \text{ true} \rightarrow \\ & P \text{ false} \rightarrow \\ & \Pi b : \mathbb{B}. P b \end{aligned}$$

where

$$\begin{aligned} \text{catch}_{\mathbb{B}} P p_t p_f p_e \text{ true} & \equiv p_t \\ \text{catch}_{\mathbb{B}} P p_t p_f p_e \text{ false} & \equiv p_f \\ \text{catch}_{\mathbb{B}} P p_t p_f p_e (\text{raise } \mathbb{B} e) & \equiv p_e e \end{aligned}$$

# The Exceptional Implementation, Negative case

Intuition:  $\vdash_{\mathcal{T}_{\mathbb{E}}} A : \square \quad \rightsquigarrow \quad \vdash_{\text{CIC}} [A] : \Sigma A : \square. \mathbb{E} \rightarrow A.$

Every exceptional type comes with its own implementation of failure!

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$$\llbracket A \rrbracket : \square := \pi_1 [A] \quad \text{and} \quad [A]_{\emptyset} : \mathbb{E} \rightarrow \llbracket A \rrbracket := \pi_2 [A]$$

$$\begin{aligned} \llbracket \square \rrbracket &\equiv \Sigma A : \square. \mathbb{E} \rightarrow A \\ \llbracket \square \rrbracket_{\emptyset} e &\equiv \dots \\ \llbracket \Pi x : A. B \rrbracket &\equiv \Pi x : \llbracket A \rrbracket. \llbracket B \rrbracket \\ \llbracket \Pi x : A. B \rrbracket_{\emptyset} e &\equiv \lambda x : \llbracket A \rrbracket. [B]_{\emptyset} e \end{aligned}$$

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If  $\Gamma \vdash_{\text{CIC}} M : A$  then  $\llbracket \Gamma \rrbracket \vdash_{\text{CIC}} \llbracket M \rrbracket : \llbracket A \rrbracket.$

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It is straightforward to implement the failure operation.

$$\begin{array}{ll} \mathbb{E} & : \quad \square \\ \text{raise} & : \quad \Pi A : \square. \mathbb{E} \rightarrow A \end{array}$$

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Computational rules trivially hold!

$$\begin{aligned}\frac{[\mathbf{raise} (\Pi x : A. B) e]}{\pi_2 ((\Pi x : [A]. [B]), (\lambda (e : \mathbb{E}) (x : [A]). \pi_2 [B] e)) [e]} &\equiv \frac{[\lambda x : A. \mathbf{raise} B e]}{\lambda x : [A]. \pi_2 [B] [e]}\end{aligned}$$



# The Exceptional Implementation, Positive case

The really interesting case is the inductive part of CIC.

How to implement  $\llbracket \mathbb{B} \rrbracket_{\emptyset} : \mathbb{E} \rightarrow \llbracket \mathbb{B} \rrbracket$ ?

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Could pose  $\llbracket \mathbb{B} \rrbracket := \mathbb{B}$  and take an arbitrary boolean for  $\llbracket \mathbb{B} \rrbracket_{\emptyset} \dots$

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... but that would not play well with computation, e.g. `catch`.

Worse, what about  $[\perp]_{\emptyset} : \mathbb{E} \rightarrow [\perp]$ ?

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Very elegant solution: add a default case to every inductive type!

$$\text{Inductive } \llbracket \mathbb{B} \rrbracket := [\text{true}] : \llbracket \mathbb{B} \rrbracket \mid [\text{false}] : \llbracket \mathbb{B} \rrbracket \mid \mathbb{B}_\emptyset : \mathbb{E} \rightarrow \llbracket \mathbb{B} \rrbracket$$

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Pattern-matching is translated pointwise, except for the new case.

$$\llbracket \Pi P : \mathbb{B} \rightarrow \square. P \text{ true} \rightarrow P \text{ false} \rightarrow \Pi b : \mathbb{B}. P b \rrbracket$$
$$\equiv \Pi P : \llbracket \mathbb{B} \rrbracket \rightarrow \llbracket \square \rrbracket. P [\text{true}] \rightarrow P [\text{false}] \rightarrow \Pi b : \llbracket \mathbb{B} \rrbracket. P b$$

- If  $b$  is  $[\text{true}]$ , use first hypothesis
- If  $b$  is  $[\text{false}]$ , use second hypothesis
- If  $b$  is an error  $\mathbb{B}_\emptyset e$ , **reraise**  $e$  using  $[P b]_\emptyset e$

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## Theorem

*The exceptional translation interprets all of CIC.*

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*The exceptional translation interprets all of CIC.*

- 😊 A type theory with effects!
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- 😞 Ah, yeah, and also, the theory is inconsistent.

It suffices to raise an exception to inhabit any type.



# Consistency: A Social Construct

An Impure Dependently-typed Programming Language

Do you whine about the fact that OCaml is logically inconsistent?

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Theorem (Exceptional Canonicity a.k.a. Progress a.k.a. Meaningless explanations)

*If  $\vdash_{\mathcal{T}_{\mathbb{E}}} M : \perp$ , then  $M \equiv \text{raise } \perp \ e$  for some  $e : \mathbf{E}$ .*

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## Cliffhanger

**You can prove that a program does not raise uncaught exceptions.**

**Exception**  
Gotta catch 'em all!

# If You Joined the Talk Recently

The exceptional type theory is logically inconsistent!

Cliffhanger (cont.)

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Let's call **valid** a program in  $\mathcal{T}_{\mathbb{E}}$  that “does not raise exceptions”.

For instance,

- there is no valid proof of  $\perp$
- the only valid booleans are `true` and `false`
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Validity is a type-directed notion!

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**Zo!**

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We just have to adapt it to our exceptional translation.

**Idea:**

From  $\vdash M : A$  produce **two** sequents 
$$\left\{ \begin{array}{l} \vdash_{\text{CIC}} [M] : \llbracket A \rrbracket \\ + \\ \vdash_{\text{CIC}} [M]_{\varepsilon} : \llbracket A \rrbracket_{\varepsilon} \quad [M] \end{array} \right.$$

where  $\llbracket A \rrbracket_{\varepsilon} : \llbracket A \rrbracket \rightarrow \square$  is the validity predicate.

# Parametric Exceptional Translation (Sketch)

Most notably,

$$\llbracket \Pi x : A. B \rrbracket_{\varepsilon} f \equiv \Pi(x : \llbracket A \rrbracket) (x_{\varepsilon} : \llbracket A \rrbracket_{\varepsilon} x). \llbracket B \rrbracket_{\varepsilon} (f x)$$

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Every pure term is now automatically parametric.

If  $\Gamma \vdash_{\text{CIC}} M : A$  then  $\llbracket \Gamma \rrbracket_{\varepsilon} \vdash_{\text{CIC}} \llbracket M \rrbracket_{\varepsilon} : \llbracket A \rrbracket_{\varepsilon} \llbracket M \rrbracket$ .

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## Theorem (Canonicity)

$\mathcal{T}_{\mathbb{E}}^p$  enjoys canonicity, i.e if  $\vdash_{\mathcal{T}_{\mathbb{E}}^p} M : \mathbb{N}$  then  $M \rightsquigarrow^* \bar{n} \in \bar{\mathbb{N}}$ .

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$\mathcal{T}_{\mathbb{E}}^p$  is consistent.

## Theorem (Canonicity)

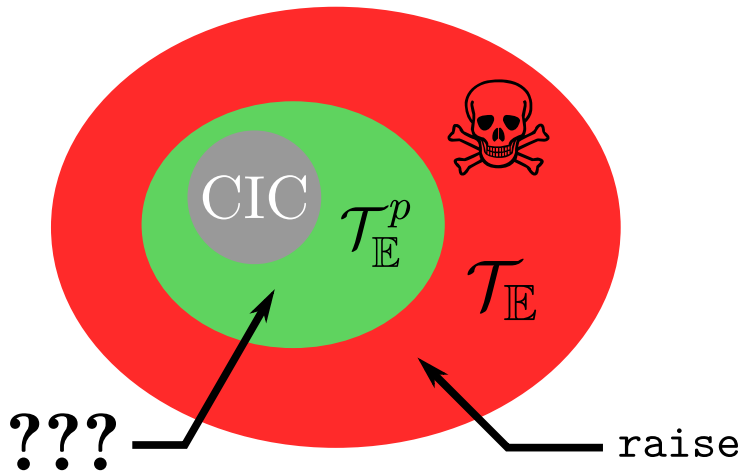
$\mathcal{T}_{\mathbb{E}}^p$  enjoys canonicity, i.e if  $\vdash_{\mathcal{T}_{\mathbb{E}}^p} M : \mathbb{N}$  then  $M \rightsquigarrow^* \bar{n} \in \bar{\mathbb{N}}$ .

## Theorem (Syntax)

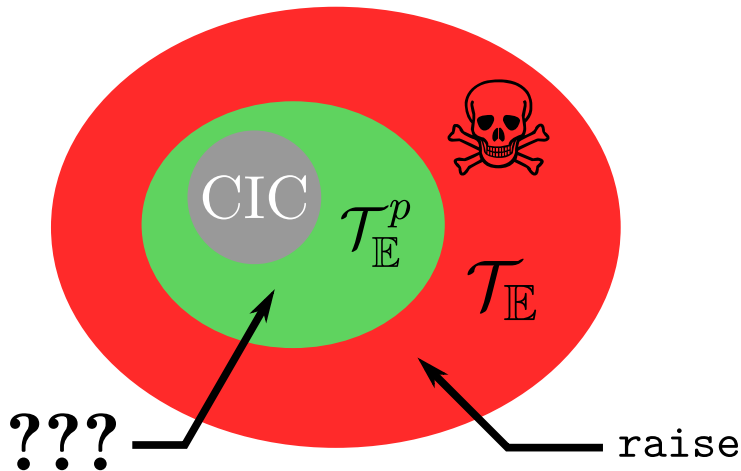
$\mathcal{T}_{\mathbb{E}}^p$  has decidable type-checking, strong normalization and whatnot.



# What If There Were No Cake?

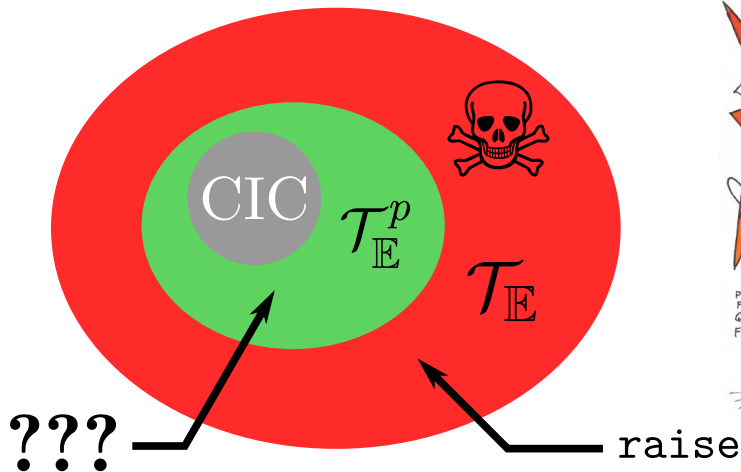


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- ... as long as you prove they don't escape!

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- raising uncaught exceptions is forbidden in  $\mathcal{T}_{\mathbb{E}}^p$
- ... but you can still raise them locally
- ... as long as you prove they don't escape!

$\mathcal{T}_{\mathbb{E}}$  is the unsafe Coq fragment, and  $\mathcal{T}_{\mathbb{E}}^p$  a semantical layer atop of it.

# Independence of Premises

$$\text{IP} : (\neg A \rightarrow \Sigma n : \mathbb{N}. P\ n) \rightarrow \Sigma n : \mathbb{N}. (\neg A \rightarrow P\ n)$$

Theorem (CIC + IP)

$\mathcal{T}_{\mathbb{E}}^p$  validates IP, owing to the fact that in  $\mathcal{T}_{\mathbb{E}}$ , every type is inhabited.



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## Theorem (CIC + IP)

$\mathcal{T}_{\mathbb{E}}^p$  validates IP, owing to the fact that in  $\mathcal{T}_{\mathbb{E}}$ , every type is inhabited.

## Proof (sketch).

In  $\mathcal{T}_{\mathbb{E}}$ , build a term  $\text{ip} : \text{IP}$

- Given  $f : \neg A \rightarrow \Sigma n : \mathbb{N}. P\ n$ , apply it to  $\text{raise } (\neg A)\ e$ .
- If the returned integer is pure, return it with the associated proof.
- Otherwise, return a dummy integer and failing proof.

Easy to show that  $\text{ip}$  is actually valid in  $\mathcal{T}_{\mathbb{E}}^p$ . □

# Stepping Back

The end of the talk will focus on the big picture.

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**Why did people have so much trouble mixing effects and dependency?**

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**Why did people have so much trouble mixing effects and dependency?**

**Because it's hard.**

- Usual models are hard to grasp  $\rightsquigarrow$  use syntactic models (done)
- Stuff breaks  $\rightsquigarrow$  let's concentrate on that

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# Conversion

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Bad news 1

Typing rules embed the dynamics of programs!

Combine that with this other observation and we're in trouble.

Bad news 2

Effects make reduction strategies relevant.

# You Can't Have Your Cake and Eat It

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Effects make reduction strategies relevant.

## Call-by-value



- ☹️ Weaker conversion rule
- 😊 Full dependent elimination
- 😊 Good old ML semantics

## Call-by-name



- 😊 Full conversion rule
- ☹️ Weaker dependent elimination
- ☹️ Strange PL realm

## What can go wrong?

- Call-by-name: **functions** well-behaved vs. **inductives** ill-behaved
- Call-by-value: **inductives** well-behaved vs. **functions** ill-behaved

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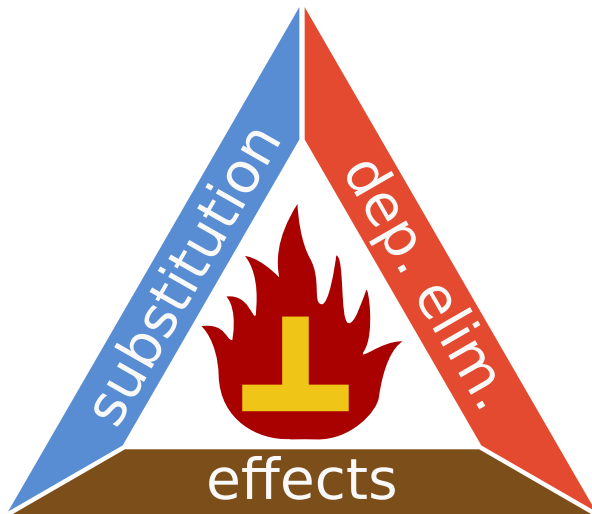
In **call-by-name** + effects:

$$\begin{array}{ll} (\lambda x. M) N \equiv M\{x := N\} & \rightsquigarrow \text{arbitrary substitution} \\ (\lambda b : \text{bool}. M) \text{fail} & \rightsquigarrow \text{non-standard booleans} \end{array}$$

In **call-by-value** + effects:

$$\begin{array}{ll} (\lambda x. M) V \equiv M\{x := V\} & \rightsquigarrow \text{substitute only values} \\ (\lambda b : \text{unit}. \text{fail } b) & \rightsquigarrow \text{invalid } \eta\text{-rule} \end{array}$$

# An Incompatibility



# Conclusion

- Syntactic models bring semantics to the masses
- You can use them to add effects
- But you have to pick your poison
- Effects can be removed a posteriori for great profit

Scribitur ad narrandum, non ad probandum.

Thanks for your attention.