

How self-regulation, [the](#) storage effect and their interaction contribute to coexistence in stochastic and seasonal environments

Coralie Picoche ^{1,*}, Frédéric Barraquand ^{1,2}

October 18, 2018

¹ University of Bordeaux, Integrative and Theoretical Ecology, LabEx COTE,
Bât. B2 - Allée Geoffroy St-Hilaire, 33615 Pessac, France

² CNRS, Institute of Mathematics of Bordeaux, 351 Cours de la Libération,
33405 Talence, France

* Corresponding author. Email: coralie.picoche@u-bordeaux.fr

Abstract

Explaining coexistence in species-rich communities of primary producers remains a challenge for ecologists because of the likely competition for shared resources. Following Hutchinson's seminal suggestion, many theoreticians have tried to create diversity through a fluctuating environment, which impairs or slows down competitive exclusion. There are now several fluctuating-environment models allowing coexistence, but they often produce only a dozen of coexisting species at best. Here, we investigate how to create even richer communities in fluctuating environments, using an empirically parameterized model. Building on the forced Lotka-Volterra model of Scranton and Vasseur (2016) inspired by phytoplankton communities, we have investigated the effect of two coexistence mechanisms, namely the storage effect and higher intra- than interspecific competition strengths (i.e., strong self-regulation). We tuned the competition ratio on empirical data, in which self-regulation usually dominates interspecific interactions. Although a strong self-regulation maintained more species (50%) than the storage effect (25%), we show that none of the two coexistence mechanisms considered could, by itself, ensure the coexistence of all species present at the beginning of our simulations. Realistic seasonal environments only aggravated that picture, as they decreased persistence relative to a random environment. Our results suggest that combining different mechanisms for biodiversity maintenance into community models might be more fruitful than trying to find which mechanism explains best the observed diversity levels. We additionally highlight that while trait-biomass distributions provide some clues regarding coexistence mechanisms, they cannot indicate by themselves which coexistence mechanisms are at play.

Number of words: 240

Keywords: coexistence; seasonality; competition; phytoplankton; Lotka-Volterra; storage effect

1 Introduction

There has been a rich debate in theoretical ecology on how to reconcile niche and neutral perspectives on species coexistence (Gravel et al, 2006; Carmel et al, 2017). Under the neutral perspective, all species have equal birth and death rates and compete equally (since space is limited) whilst under the niche perspective, birth and death rates can vary between species and various mechanisms contribute to increasing intraspecific over interspecific competition (Hubbell, 2001). However, as it has been pointed out repeatedly, niche and neutral processes are not mutually exclusive: they may actually act together to produce observed species coexistence (Gravel et al, 2006; Mutshinda et al, 2009; Götzenberger et al, 2012).

An intriguing offshoot of the niche vs neutrality debate is the concept of ‘clumpy coexistence’ (Scheffer and van Nes, 2006), whereby a simultaneous influence of both niche and neutral processes create several clumps of similar species along a single trait axis. Classical stabilizing niche differences (SNDs), such as a stronger net intraspecific competition than interspecific competition, enable coexistence of multiple clumps (Chesson, 2000), while within-clump coexistence occurs through neutral processes (Hubbell, 2001; Munoz and Huneman, 2016), as species that differ too little in their fitnesses cannot exclude themselves out on reasonable timeframes. Indeed, clumps on the trait axis eventually thin out in absence of immigration, but transient coexistence can last for extended periods of time. This ‘emergent neutrality’ within groups (Holt, 2006) has been proposed as a unifying concept for the niche and neutral theories. The findings of Scheffer and van Nes (2006) have been disputed due to hidden niches in the original model (Barabás et al, 2013). Hidden niches emerge through stronger intraspecific competition mediated by ~~a~~ an additional intraspecific predation-like term (Barabás et al, 2013). This makes coexistence in the Scheffer and van Nes model more similar to that of the classical Lotka-Volterra model (Barabás et al, 2016), so that coexistence within clumps is not exactly neutral. Still, the idea that niche and neutral assembly can mould communities stays potent (Vergnon et al, 2013). Since then, several studies have suggested that ‘clumpy coexistence’ can occur in theoretical models, most notably models incorporating temporal variation (Scranton and Vasseur, 2016; Sakavara et al, 2018). In these temporal-variation models, equal competitive strengths are combined with other mechanisms like

the storage effect (or temporal niche partitioning, that is an equivalent concept for forced Lotka-Volterra models, Barabás et al, 2012; Scranton and Vasseur, 2016). The storage effect increases the possibility of coexistence by making the interaction strength covary positively with a fluctuating environment (see also Barabás et al, 2012).

Here, we build on the work of Scranton and Vasseur (2016) to investigate the possibility of coexistence through species response to fluctuating environments. Our enthusiasm for the Scranton and Vasseur (2016) model stems from our interest in phytoplankton communities, that inspired their thermal preference curves modeling intrinsic growth rates. However, Scranton and Vasseur (2016) described daily temperature as a random noise, i.e., independent and identically distributed Gaussian random variates over time. This appeared to us a key assumption to relax. Under most latitudes, temperature is indeed a seasonal signal, and seasonal forcing can strongly affect the dynamics of the community considered (Vesipa and Ridolfi, 2017). Hence, over short timescales, random temporal variations often only add noise to a largely deterministic seasonal trend. Our present work can therefore be seen as an attempt to blend Scranton and Vasseur (2016)’s framework with the periodic environments of Barabás et al (2012), to better represent the mixture of stochastic and deterministic environmental forces affecting phytoplankton community dynamics.

Because many phytoplankton species or genera respond in similar ways to temperature despite having different optimas (Moisan et al, 2002), we hypothesized that a large seasonal variation might not necessarily foster species coexistence. In fact, similar responses to seasonal fluctuations should lead to an increased synchrony of species abundances which, in turn, should theoretically mitigate the expected temporal partitioning. How seasonality affects coexistence (as opposed to a purely randomly fluctuating environment) is therefore a key feature of this paper. In particular, we contrast cases where the storage effect is present vs absent, which conveniently maps to two different parameterizations of the forced Lotka-Volterra model. Moreover, the overall diversity obtained at the end of the simulations with Scranton and Vasseur (2016)’s model was relatively low compared to what we usually observe in phytoplankton communities (several dozens to hundreds of species). We have therefore sought out which mechanisms would foster a truly species-rich community for extended periods of time.

81 In an empirical study combining phytoplankton community-level time series and multivariate
82 autoregressive models (Barraquand et al, 2018), we found that despite a large influence of the
83 environment (including temperature, irradiance, and other factors), a strong intraspecific (or in-
84 tragenus) competition, when compared to interspecific interaction coefficients, was most likely the
85 key driver of species coexistence. In other words, strong self-regulation had a large role to play
86 in maintaining species diversity in coastal phytoplankton (Barraquand et al, 2018). These high
87 intraspecific interaction strengths mirror those found in a number of terrestrial plant communities
88 (Adler et al, 2018) and in animal communities (Mutshinda et al, 2009).

89 Here, we therefore try to establish what ~~are~~ the relative contributions of the storage effect
90 vs strong self-regulation **are** to coexistence in a phytoplankton-like theoretical community model.
91 This led us to cross different combinations of seasonality in the forcing signal, presence of the
92 storage effect or not, and **different ratio of** intra- vs interspecific competition **strength**, in order to
93 disentangle the contributions of all these factors to biodiversity maintenance.

94 **2 Methods**

95 *Models description*

96 The model described in Scranton and Vasseur (2016) is based on a variant of the Lotka-Volterra
97 competition model. Fluctuations in the environment are introduced in the model by temperature-
98 dependent intrinsic growth rates (see Eq. 1-2, all coefficients are defined in Table 1) so that species
99 growth rates can be expressed as:

$$\frac{dN_i}{dt} = r_i(\tau)N_i \left(1 - \sum_{j=1}^S \alpha_{ij}N_j\right) - mN_i \quad (1)$$

$$r_i(\tau) = a_r(\tau_0)e^{E_r \frac{(\tau - \tau_0)}{k\tau\tau_0}} f_i(\tau) \quad (2)$$

$$\text{where } f_i(\tau) = \begin{cases} e^{-|\tau - \tau_i^{opt}|^3/b_i}, & \tau \leq \tau_i^{opt} \\ e^{-5|\tau - \tau_i^{opt}|^3/b_i}, & \tau > \tau_i^{opt} \end{cases} \quad (3)$$

$$\text{and } b_i \text{ defined by numerically solving } \int r_i(\tau)d\tau = A \quad (4)$$

100 Model parameters are detailed in Table 1, and we set their values to match the features of
 101 phytoplankton communities as in Scranton and Vasseur's work (2016). The niche of each species is
 102 defined by its thermal optimum τ_i^{opt} . Thermal performance curves defined in Eq. 3 are parameter-
 103 ized so that all species share the same niche area (Eq. 4), which sets a trade-off between maximum
 104 growth rates and niche width.

Table 1: Parameter definition and values of the model described in Eq. 1-4. Parameter values are not specified when they vary with time and/or the species considered.

Name	Definition	Value (unit)
S	Number of species	60 (NA)
N_i	Biomass density of the i th species	(kg/area)
τ	Temperature	(K)
$r_i(\tau)$	Growth rate of species i as a function of temperature	$(\frac{\text{kg}}{\text{kg*year}})$
α_{ij}	Strength of competition of species j on species i	0.001 (area/kg)
b_i	Normalization of the thermal decay rate	(K^3)
m	Mortality rate	$15(\frac{\text{kg}}{\text{kg*year}})$
τ_0	Reference temperature	293 (K) / 20 ($^{\circ}\text{C}$)
$a_r(\tau_0)$	Growth rate at reference temperature	$386(\frac{\text{kg}}{\text{kg*year}})$
E_r	Activation energy	0.467 (eV)
k	Boltzmann's constant	$8.6173324 \cdot 10^{-5} (\text{eV} \cdot \text{K}^{-1})$
$f_i(\tau)$	Fraction of the maximum rate achieved for the i th species	(NA)
μ_τ	Mean temperature	293 (K)
σ_τ	Standard deviation for temperature	5 (K)
τ_{\min}	Minimum thermal optimum	288 (K)
τ_{\max}	Maximum thermal optimum	298 (K)
A	Niche breadth	$10^{3.1}(\frac{\text{kg}}{\text{kg*year}})$
τ_i^{opt}	Thermal optimum for growth of the i th species	(K)
θ	Scaling between random and seasonal noise	$[0, \sqrt{2}]$ (NA)
κ	Ratio of intra-to-intergroup competition strengths	(1;10) (NA)

The original environmental forcing is a normally distributed variable centered on 293 K (20°C), with a 5 K dispersion. Temperature varies from one day to the next, but is kept constant throughout the day. At the monthly or annual temporal scale usually used in ecological studies, temperature could therefore be considered as a white noise (Vasseur and Yodzis, 2004). However, from a mathematical viewpoint, the noise is slightly auto-correlated as the integration process goes slightly below the daily time step. We therefore use the expression ‘random noise’ to describe this forcing, as opposed to the ‘seasonal noise’ described hereafter. To construct the seasonal noise, we add to the random forcing signal a lower-frequency component, using a sinusoidal function with a period of 365 days (Eq. 5). We tune the ratio of low-to-high frequency with the variable θ so as to keep the same energy content - i.e., equal total variance - in the forcing signal.

$$\tau(t) = \mu_\tau + \theta \sigma_\tau \sin(2\pi t) + \epsilon_t, \text{ where } \epsilon_t \sim \mathcal{N}\left(0, \sigma_\tau \sqrt{1 - \frac{\theta^2}{2}}\right) \quad (5)$$

115 Note that the upper limit for θ , $\sqrt{2}$, corresponds to a completely deterministic model which we
 116 do not explore here (but see Zhao (1991) proving bounded coexistence). We choose to keep the
 117 stochasticity in the signal and to model a plausible temperature signal with $\theta = 1.3$ (see Fig. 1b)
 118 when considering a seasonal forcing of the dynamics.

119 The formulation of the forced Lotka-Volterra model of Scranton and Vasseur (2016) implies
 120 a storage effect, as the net effect of competition exerted by species j on i is the product of the
 121 temperature-related growth rate $r_i(\tau)$ and the product of competitive strength α_{ij} exerted by
 122 species j with the abundance N_j . Therefore, net competition covaries positively with the growth
 123 rate values $r_i(\tau)$ (Chesson, 1994; Ellner et al, 2016). To remove the assumption of an explicit storage
 124 effect, we created another version of the model using the mean value of a species' growth rate (\bar{r}_i)
 125 to weight the interaction coefficients (see Table 2). The mean growth rate value was computed by
 126 first generating the temperature time series and averaging all r_i over the corresponding sequences
 127 of τ values.

$$\frac{dN_i}{dt} = N_i \left(r_i(\tau) - \sum_{j=1}^S \bar{r}_i \alpha_{ij} N_j \right) - m N_i \quad (6)$$

128 In this way, net competition remains unaffected by the environmental conditions, in contrast
 129 to intrinsic growth rates (Eq. 6), while preserving the same average magnitude as in Eq. 1.

130 Strong self-regulation is ensured by the addition of the coefficient κ , which is the ratio of intra-
 131 to-intergroup competition strength. We can therefore re-write the interaction coefficients α_{ij} in
 132 Eq. 7

$$\alpha_{ij} = \alpha (1 + (\kappa - 1) \delta_{ij}) \quad (7)$$

133 where δ_{ij} is the Kronecker symbol, equal to 1 if $i = j$ and to 0 otherwise. The value of the
 134 parameter $\kappa = 10$ was chosen from analyses of phytoplanktonic data (Barraquand et al, 2018)¹.
 135 Hereafter, the expression “strong self-regulation” characterizes dynamics where the intraspecific
 136 competition strength is 10 times higher than the interspecific competition strength, as opposed to

¹The values of non-zero interspecific competition coefficients reported in Fig. 5 of Barraquand et al (2018) are somewhat higher than here (and κ lower, closer to 4) because the best-fitting model actually set to zero some intergroup competition coefficients; using a model where all α_{ij} are non-zero leads in contrast to $\kappa = 10$.

137 the equal competitive strengths where intra- and interspecific competition strengths are equal.

138 In addition to two types of environmental forcings (random noise with $\theta = 0$, and seasonal
139 noise with $\theta = 1.3$), we compare the results for four formulations of the model: with and without
140 an explicit storage effect (Eq. 1 and Eq. 6, respectively) ; with ~~and without~~ strong or weak
141 self-regulation ($\kappa = 10$ or $\kappa = 1$, respectively). These are summed up in Table 2.

$\frac{1}{N_i} \frac{dN_i}{dt} + m_i$	Storage effect	No storage effect
Strong self-regulation ($\kappa = 10$)	$r_i(\tau) \left(1 - \sum_{j=1}^S \alpha (1 + 9\delta_{ij}) N_j\right)$	$r_i(\tau) - \sum_{j=1}^S \bar{r}_i \alpha (1 + 9\delta_{ij}) N_j$
Equal competitive strengths ($\kappa = 1$)	$r_i(\tau) \left(1 - \sum_{j=1}^S \alpha N_j\right)$	$r_i(\tau) - \sum_{j=1}^S \bar{r}_i \alpha N_j$

Table 2: Growth rate of species i in the four formulations of the model we present

142

143 Set-up

144 We replicate the ‘Species sorting’ experiment of Scranton and Vasseur (2016) so as to investigate
145 how the structure of synthetic phytoplankton communities varies under the different scenarios we
146 described above. We focused on the dynamics of a community initialized with 60 species with
147 thermal optima uniformly spaced along the interval $[15^\circ\text{C}, 25^\circ\text{C}]$, and with the same initial density
148 $\left(\frac{1}{\alpha S}\right)$. Each simulation was run for 5000 years in 1-day intervals. When the density of a species
149 dropped below 10^{-6} , it was considered extinct. For each combination of parameters (type of
150 environmental signal, storage effect and stabilizing niche differences), we ran 100 simulations.

151 All simulations were ~~run~~ with Matlab’s ode45 algorithm, an explicit Runge-Kutta (4,5) algo-
152 rithm with an absolute error tolerance of 10^{-8} , and relative error tolerance of 10^{-3} . The code is
153 available in a GitHub repository².

²<https://github.com/CoraliePicoche/Seasonality>, will be made public upon acceptance or at the reviewer’s request

3 Results

Typical dynamics of the community following Eq. 1 (the model of Scranton and Vasseur, 2016), with both a purely Gaussian noise ~~the environmental signals described in Eq. 1~~ (original choice of Scranton and Vasseur, 2016; Fig. 1a) and a seasonal noise described in Eq. 5 (our variant, Fig. 1b), are shown in Fig. 1c and d. A sinusoidal forcing produces the strongly seasonally structured dynamics that are typical of phytoplankton. Even though only 5 species can be seen in Fig. 1c, there were 14 species still present at the end of the simulation forced by a random noise, with large disparities in the range of variation of their biomasses. A third of the species kept a biomass above 10 kg/area (setting area = 1 ha, with a depth of a few meters, produces a realistic standing biomasses; Reynolds, 2006) while 6 out of the 14 species biomass remained below the unit. All persisting species in the random noise simulations were clustered within a 3.2°C-range of thermal optima (see the biomass distribution as a function of the thermal optimum in ~~Fig. A1 in the~~ Supplementary Material, Fig. A1). No obvious temporal patterns (e.g., cycles) could be seen in the community forced by random noise. On the contrary, seasonal cycles were clear in the seasonally-forced case of Fig. 1d. Only 4 species coexisted at the end of the simulation with seasonal noise, gathered in two groups with large thermal optimum differences (5.7°C between the maximum thermal optimum of the first group and the minimum thermal optimum of the second group). When temperatures are high, the group with higher thermal optima reaches its maximum biomass, then as temperature decreases through the season, these species leave room for the growth of the low-temperature group.

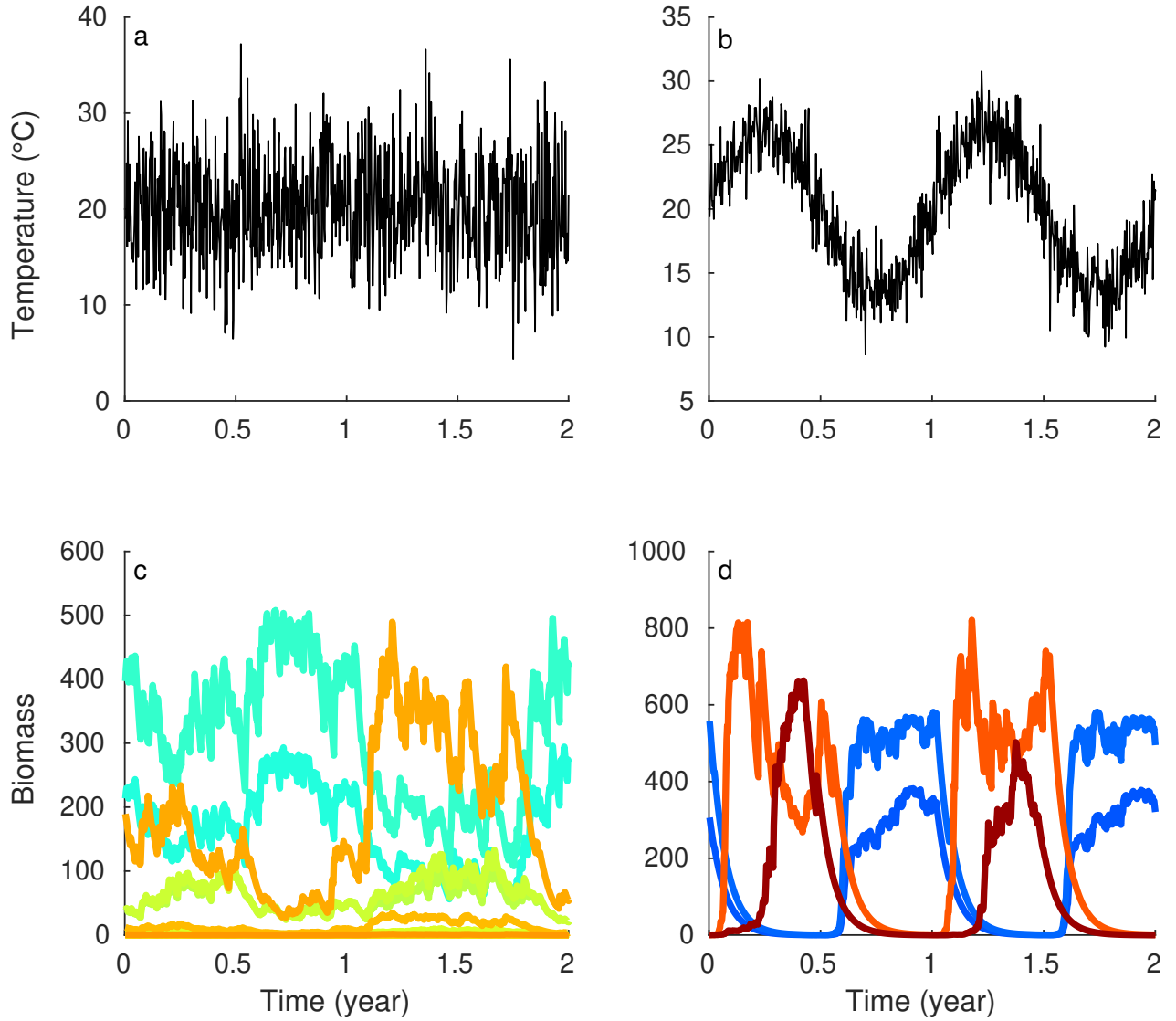


Figure 1: Time series of temperature (top; a-b) and extant species (bottom; c-d) for the 2 last years of a 5000-year simulation, with storage effect and no differences between intraspecific and interspecific competition strengths. The forcing temperature is either a random noise (a) or a seasonal noise (b), leading to community dynamics with more erratic fluctuations (c) vs seasonally structured fluctuations (d). Line colors of species biomasses correspond to their thermal optimum (from blue, corresponding to low thermal optimum, to red, corresponding to high thermal optimum).

174 The decrease in persistence due to seasonality observed in Fig. 1 was confirmed in all our
 175 simulations (Fig. 2). In cases where final species richness varied from one simulation to another
 176 (namely, the two middle cases in Fig. 2: with storage effect but ~~without strong~~weak self-regulation,
 177 or without storage effect but with strong self-regulation), seasonality reduced the number of extant
 178 species to, on average, 27% and 48% of their original values, respectively (Fig. 2). A seasonal signal

179 therefore led to a much smaller average persistence. There was also less variance in persistence
 180 between seasonally forced simulations when compared to random noise simulations.

181 Both a strong self-regulation and the storage effect markedly increased persistence. Without
 182 any of these coexistence mechanisms, only one species persisted at the end of the simulations.
 183 When only the storage effect was present, the number of extant species varied between 8 and 20
 184 (14.8 ± 2.4) with a whiterandom noise, or 2 and 6 (4.1 ± 0.7) with a seasonal signal. On the
 185 other hand, when only a strong self-regulation was present, the number of extant species nearly
 186 doubled, varying between 20 and 32 (27.5 ± 2.4), or 12 and 15 (13.3 ± 0.6), with a random or a
 187 seasonal noise, respectively. Remarkably, when the storage effect and a strong self-regulation both
 188 affected the community dynamics, all species persisted in the community, while neither of these
 189 mechanisms was able to produce that result alone, for either random and seasonal noise.

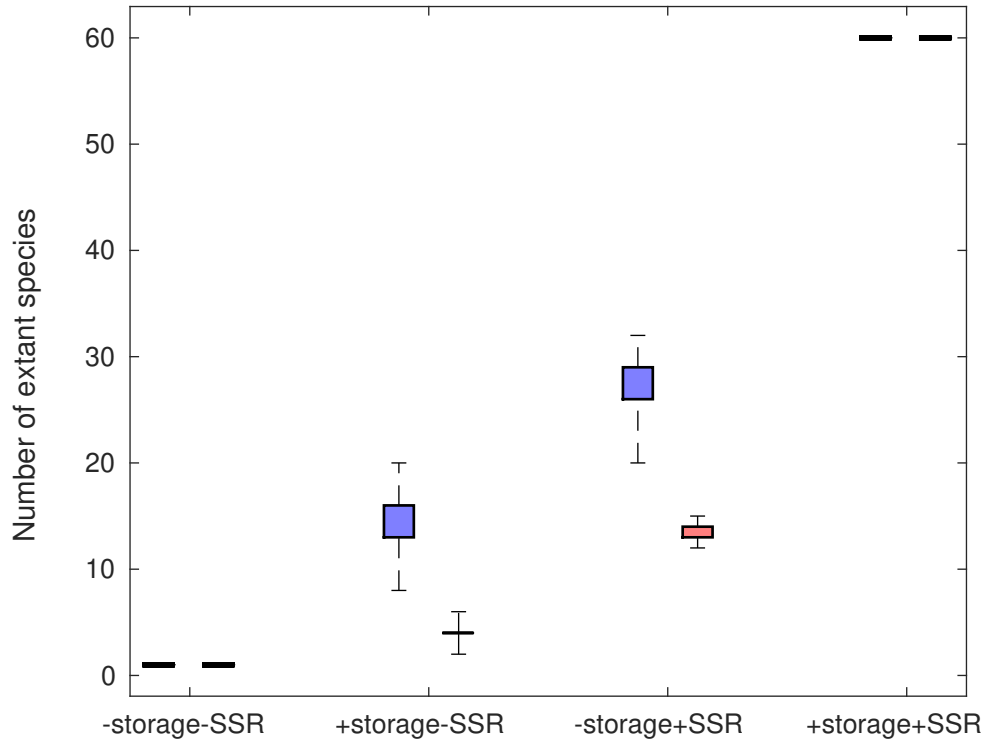


Figure 2: Number of species still present at the end of 100 simulations (5000 years each), initialized with 60 species, with a random forcing signal (blue) or a seasonal noise (red). The signs + or - storage refer to presence or absence of the storage effect, respectively; + / - SSR, presence or absence of Strong Self-Regulation, respectively. Community compositions are stable in the cases -storage-SSR and +storage+SSR, for which 1 or 60 species are still present at the end of all simulations, respectively. Due to low variance, the whiskers here represent min and max rather than 1.5 interquartile range.

190 The trait ~~pattern~~-biomass distribution of the community was affected by the type of forcing
191 even when the richness of the community was stable (Fig. 3). Without storage effect nor strong self-
192 regulation, there was only one species left at the end of ~~the~~ simulations. A random noise favored
193 species with intermediate thermal optima: the final species had a thermal optimum between 18.9°C
194 and 21.4°C (only a fourth of the initial range of thermal optima) for two simulations out of three
195 and the maximum final biomasses over 100 simulations was reached in this range (Fig. 3a). This
196 distribution may indicate a selection for the highest long-term growth rates, averaged over time (see
197 scaled growth rates in Fig. 3). Seasonality with no coexistence mechanisms also led to a single
198 final species but, in this case, the species always had a higher maximum growth rate (thermal
199 optimum above 22°C). Species with a higher thermal optimum were more likely to persist and to
200 reach a higher biomass at the end of the simulation. 38% of the simulations therefore ended with
201 the species having the highest temperature optimum, 25°C. The shift in trait distribution towards
202 higher maximum growth rates with seasonality vs higher average growth rates with a random noise
203 was consistent for all model types considered (see below).

204 When both storage effect and strong self-regulation were present, the 60 initial species coexisted
205 with small variations in biomasses for each species over the 100 simulations (mean CV=0.008 across
206 simulations with either a random or a seasonal noise, Fig. 3b and d). The forcing signal modified
207 only the distribution of biomasses resulting in contrasted community structures despite equal
208 richness in both simulation types. With a random noise, the distribution was unimodal with a
209 maximum biomass reached for the second ~~best~~highest long-term average growth rate (corresponding
210 to a thermal optimum of 20.2°C). On the contrary, a seasonal signal led to a bi-modal distribution
211 (centered on 17.0°C and 24.4°C), each corresponding to one season, with highest biomasses for
212 higher thermal optima (Fig. 3d). The minimum biomass was reached for the ~~best~~highest long-
213 term average growth rate at an intermediate temperature (20.4°C).

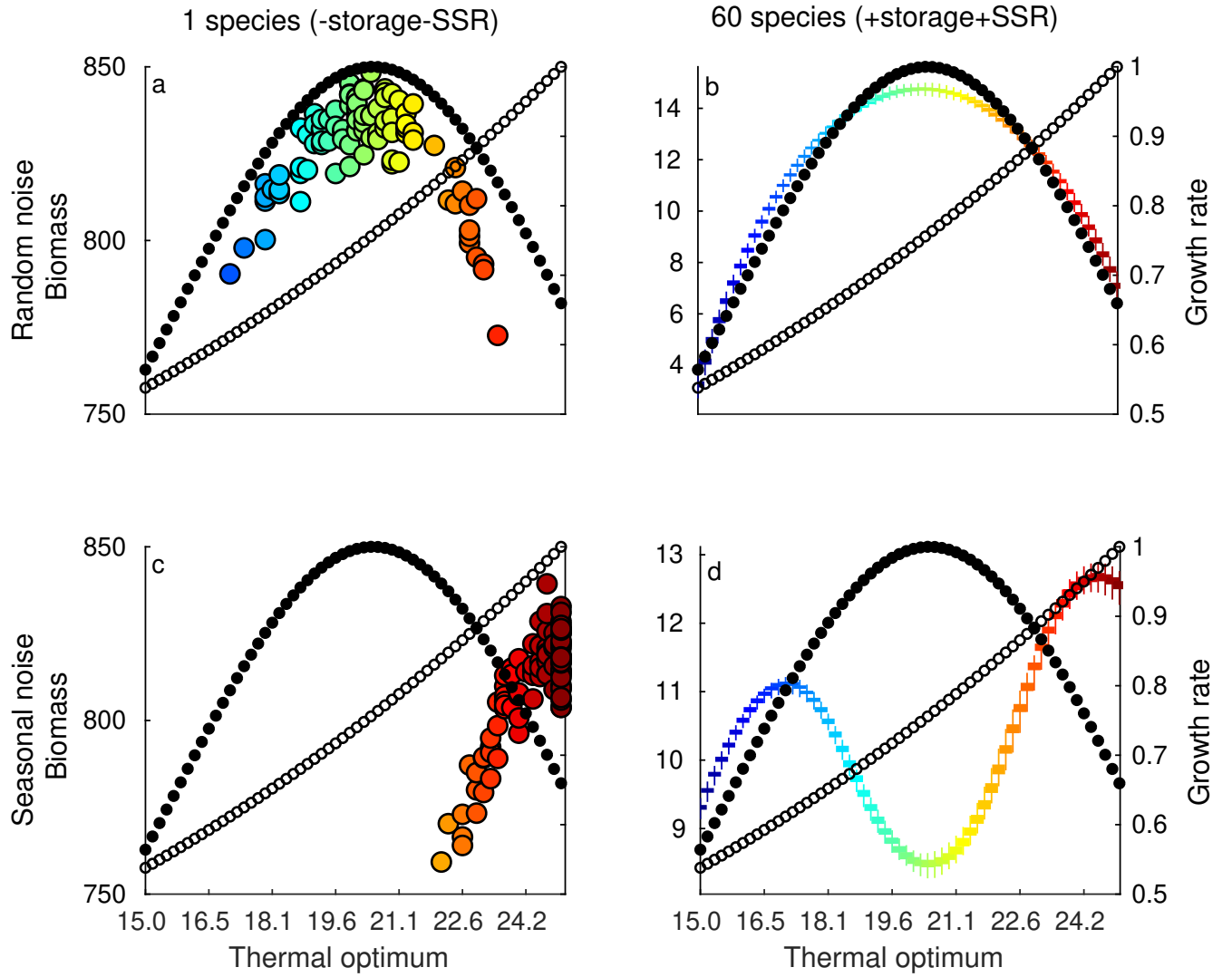


Figure 3: Mean biomass distribution over the last 200 years for 100 simulations, as a function of the species thermal optima. Here we consider the two stable-composition cases and two types of forcing signal. On the left column, simulations without storage effect nor strong self-regulation are presented. Only one species is present at the end of the simulations and its mean value is represented by one large colored circle per simulation. There can be several circles for the same species, corresponding to multiple simulations ending with this species alone. On the right column, simulations with storage effect and strong self-regulation are represented. All species are present at the end of the simulations and small boxplots present the variation in the temporal average of biomass with a given trait, for 100 simulations. The forcing signal is either a random (top) or a seasonal noise (bottom). Each species is identified by its thermal optimum through its color code. Scaled (divided by maximum) average and maximum growth rates are shown as small filled and open circles, respectively, and are indexed on the right y-axis.

214 In cases where the richness of the community varied, the overall shape (multimodal vs unimodal)
 215 of the marginal distribution of extant species with respect to the trait axis were similar for both
 216 types of environmental forcings (Fig. 4). By contrast, the type of coexistence mechanism generated

217 different shapes. Indeed, the storage effect (when acting alone) led to a multimodal biomass
218 distribution with respect to thermal optima. We always observed 3 modes with a random noise
219 and 3 modes in 95% of the seasonal simulations (Fig. 4a). With a random noise, extant species are
220 grouped in rather similar clumps regarding species thermal optima (between 18.8°C and 22.2°C)
221 whereas species tended to be further apart in the seasonal case, covering a total range of 7.7°C, with
222 species grouping in the higher part of the thermal range, above 22°C. On the other hand, strong
223 self-regulation led to a quasi-uniform biomass distribution (Fig. 4 b). Species ~~characterising~~
224 communities forced by a random noise stayed in the lower range of temperatures (in 96% of the
225 simulations, the highest thermal optimum was 22.4°C, see Fig. A2 in the Supplementary Material)
226 while they were filtered out in communities subjected to a seasonal fluctuation of their environment,
227 for which species with thermal optima above 20.5°C persisted. As before (Fig. 3), seasonality
228 promoted species with a higher maximum growth rates since the autocorrelated temperatures
229 enabled them to achieve this highest growth rate for a longer period of time than a random noise
230 would have.

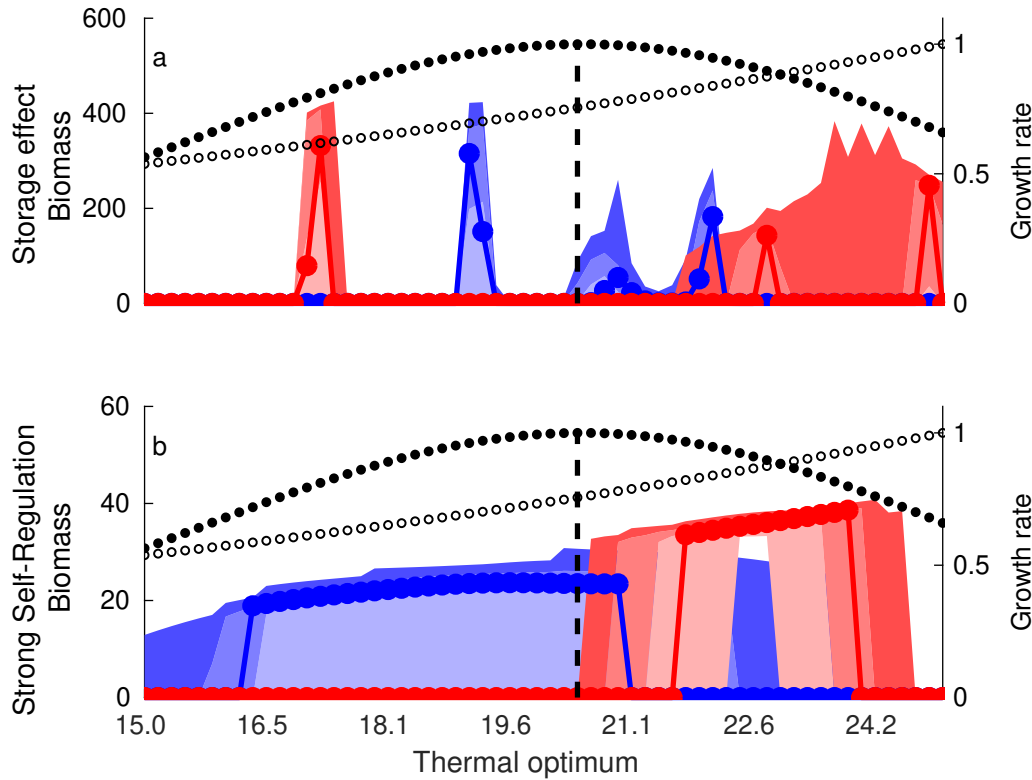


Figure 4: Mean biomass distribution over the last 200 years for 100 simulations, as a function of thermal optima, with storage effect and equal competitive strengths (a) and without storage effect, with strong self-regulation (b). The forcing signal is either a random (in blue) or a seasonal noise (in red). Shades of the same color correspond to the 50th, 90th and 100th percentiles of the distributions while colored lines correspond to one representative simulation. Scaled (divided by maximum) average (whose maximum is indicated by the dashed line) and maximum growth rates are shown as filled and open and circles, respectively, and indexed on the right y-axis.

4 Discussion

We have simulated competitive Lotka-Volterra dynamics forced by a fluctuating environment (e.g., temperature fluctuations) under a range of scenarios allowing more or less coexistence. Two coexistence mechanisms, the storage effect and strong self-regulation (i.e., intraspecific competition much stronger than interspecific competition), could be either present or absent, which led to four scenarios. These four scenarios were crossed with two possibilities for the forcing signal, a random noise (mostly white) and a stochastic yet seasonal signal, both with equal temporal variance.

238 Our investigation therefore built on the model of Scranton and Vasseur (2016), which included a
 239 random forcing and a storage effect, but considered seven additional combinations of mechanisms.
 240 This was motivated by our wish to include two observed features of phytoplankton dynamics:
 241 seasonal cycles (Winder and Cloern, 2010) and strong self-regulation (Chesson, 2000; Adler et al,
 242 2010; Barraquand et al, 2018). Many mechanisms can lead to intraspecific competition being
 243 stronger than interspecific competition: nonlinearities in the functional forms of competition or
 244 mutualism that contribute to increasing self-regulation (Kawatsu and Kondoh, 2018), or predation
 245 as well as parasitism (see e.g., the generalist predators in Haydon, 1994). Strong self-regulation
 246 seems nonetheless an ubiquitous feature in competition networks of primary producers (Adler et al,
 247 2018), and perhaps even more general networks (Barabás et al, 2017).

248 Before discussing the ecological interpretation of our results, we first recall some technical
 249 assumptions made in this study. All our simulations lasted for a fixed duration (5000 timesteps)
 250 as in Scranton and Vasseur (2016). This means that short- and medium-term transients (a few
 251 years to hundreds of years) are completely negligible at the end of the time series, but very long
 252 transients can remain. We realized that convergence could be incomplete after 5000 years in
 253 some cases (e.g., random noise + storage effect + equal competitive strength). Such simulations
 254 would take up to 15 000 years to converge and the rate of convergence would slow over time,
 255 as can also be observed for similar models (Scheffer and van Nes, 2006). We kept a fixed time
 256 integration window rather than waiting for convergence for both technical and ecological reasons.
 257 From a technical standpoint, adding 10 000 years of numerical integration (or more) for the sake of
 258 reaching equilibrium would have been very computationally challenging, and comparison with the
 259 values reported by Scranton and Vasseur (2016) would have been compromised. From an ecological
 260 standpoint, waiting for full convergence when there are extremely long transients (Hastings et al,
 261 2018) is also quite artificial: there is no reason to believe that very long transients (i.e., transients
 262 that maintains for thousands of years) has any less ecological reality than an attractor that is
 263 deemed stable because convergence has been reached. Speed of convergence is therefore an issue to
 264 judge whether transients should be considered or excluded, and very long yet fixed time window for
 265 integration allows advantageously to compare mechanisms for which speed of convergence might

266 differ.

267 Another assumption pertains to competition coefficients. To allow for comparison of our results
268 with Scranton and Vasseur (2016), we took intra- and inter-specific competition coefficients that
269 were identical between species, i.e., there was no variance in α_{ii} and no variance in α_{ij} for $i \neq j$. By
270 contrast, data-based coefficients vary to some degree (Barraquand et al, 2018), with a majority of
271 weak interactions (as suggested in Wootton and Emmerson (2005)~~Wootton and Emmerson 2005~~)
272 and more variance in intraspecific coefficients. Stump (2017) recently considered the potential
273 effects of competition coefficient variability (also called non-diffuse competition), as did Kokkoris
274 et al (2002)~~Kokkoris et al. 2002~~; more variance in interspecific competition strength is usually
275 detrimental to coexistence (see Stump (2017) for a classification of the various effects). Setting the
276 coefficients using a multidimensional trait-based framework, like that of Ashby et al (2017)~~Ashby
277 et al 2017~~, would provide a natural development to the work presented here; it is in our opinion
278 difficult to speculate on those variance effects because both intra- and inter-specific competition
279 coefficient variances may matter to community persistence.

280 With these assumptions in mind, we have found that first, temporally forced Lotka-Volterra
281 dynamics cannot sustain any diversity with our phytoplankton-based set of parameters, unless the
282 structure is geared to include either a storage effect or a strong self-regulation. Although this
283 absence of diversity-enhancing effect of “pure” environmental variation has already been stated
284 by other authors (Barabás et al, 2012; Fox, 2013; Scranton and Vasseur, 2016), this is not always
285 intuitive (Fox, 2013), so we feel compelled to stress it once more: temporal variation in growth rate
286 alone cannot help coexistence within competitive communities. A nice point made by Scranton and
287 Vasseur (2016) was that a built-in storage effect in a forced Lotka-Volterra model, parameterized
288 for phytoplankton communities, could lead to some degree of coexistence. Our investigation repro-
289 duced these results, using the random noise considered by Scranton and Vasseur (2016). However,
290 an arguably more lifelike noisy and seasonal temperature forcing considerably lessened the richness
291 of the community after 5000 years, decreasing from 15 to 4 species on average. Even imagining
292 that groups represented here are genera or classes rather than species, this is a fairly low diversity
293 for a phytoplankton-like community (see Chapter 1 in Reynolds, 2006). This suggests that the

storage effect may not, on its own, be sufficient to maintain species-rich communities (e.g., dozens to hundreds of species). We have therefore sought out whether a stronger self-regulation could maintain a higher diversity, using field-based intra- vs intergroup (species or genera) competition strength ratio (Barraquand et al, 2018), where the intragroup density-dependence was chosen 10 times stronger. Implementing such strong self-regulation, in the forced Lotka-Volterra models that we considered, ~~it~~ produced a higher level of diversity than the storage effect (almost double). Of course, the result is somehow contingent upon the strength of self-regulation. Our estimates are a little stronger than what was found in perennial plants (Adler et al, 2010), where interspecific competition was suggested 4 or 5 times stronger than intraspecific. Still, the widespread effects of natural enemies in phytoplankton (zooplankton, parasites) may contribute to increase the strength of self-regulation (Huber and Gaedke, 2006; Barraquand et al, 2018) relative to other systems, hence we believe that 10 times stronger intraspecific competition constitutes a reasonable order of magnitude.

However, such strong self-regulation was still insufficient to maintain the whole community diversity (60 species) by itself, especially when the seasonal forcing (always decreasing species richness) was considered. The diversity within clumps of similar values of growth rates was considerably decreased once seasonality was implemented. This diversity reduction occurs because within a season, the signal autocorrelation gives long, contiguous time intervals to the best competitor to exclude its less adapted heterospecifics. This makes the results likely to hold not only for seasonal environments, but more generally for autocorrelated ones, i.e., “red” noise. This could be relevant for species whose population dynamics occurs at timescales largely above one year. In contrast, the random noise – which can be considered white noise above the daily temporal scales – generates large temperature shifts more frequently, and thereby forbids such competitive exclusion. In a seasonal setting, a species with the highest long-term (arithmetically) averaged growth rate may not be the best competitor, and can disappear as a result of a strong competition from both low- and high-temperature tolerant species. This holds with or without a storage effect.

Our results may appear at odds with recent proposals that seasonal forcing in itself would help maintain diversity (Sakavara et al, 2018). However, we compared the effect of seasonal forcing

322 to that of other forcing signals while controlling for total variance. Thus, the contrast between
323 our results and those of Sakavara et al (2018) may be due to the role of forcing variance over
324 time (we compare scenarios under a constant total variance). Overall, while seasonality may be
325 slightly better than no forcing at all in maintaining diversity, seasonal forcing of parameters does
326 not improve coexistence: diversity within clumps is lower when seasonality is included, when
327 compared to whiter noise.

328 In addition to community diversity, the biomass-trait relationship also varied from one sim-
329 ulation to another. Some regularities did emerge across simulations though. The storage effect
330 begot several clumps along the trait space (as observed by Scranton and Vasseur, 2016). The
331 seasonality that we added to the temperature signal led to more distant clumps on the trait axis
332 (as said above, less species per clump). Conversely, strong self-regulatory mechanisms alone led to
333 relatively uniform biomass distributions, with species forming a single large cluster, which covers a
334 fraction of the initial trait space. Therefore, the shape of the distribution was affected by the coex-
335 istence mechanism at work while the average trait value was modified by the type of environmental
336 forcing, even though the mean value of the environmental signal did not change. The biomass-trait
337 distributions therefore constitute clues to interpret community dynamics (D’Andrea and Ostling,
338 2016; Loranger et al, 2018), although we certainly recommend to interpret them with caution to
339 avoid over-generalization. The identification of multiple modes in biomass-trait relationships and
340 SADs is relatively recent (Dornelas and Connolly, 2008; Matthews et al, 2014) and is a rare pat-
341 tern in theoretical models (McGill et al, 2007). Barabás et al (2013) convincingly argued that
342 multimodality could arise from the demographic stochasticity of a single model run (with either
343 self-regulation or neutrality, but without the clumpy coexistence emerging from a storage effect).
344 However, our results are based on many model runs, for which either the storage effect alone or
345 a storage effect + strong self-regulation in a seasonal context consistently produced multimodal
346 distributions, while simulations without the storage effect always led to a single cluster along the
347 trait axis. Our suggestion for empirical studies is as follows: if only one spatial location is observed,
348 caution in interpreting multiple clumps on the trait axis is of course required, as Barabás et al
349 (2013) highlighted. However, with several locations - or in a theoretical context - one could average

350 across locations to reproduce similar graphs to the ones produced here. Clumps in the trait axis
351 when averaged across model runs/locations are therefore a signature of a coexistence induced by
352 the storage effect, for the cases that we considered in the article. Of course, other mechanisms
353 that we did not include in our models may produce similar patterns (Rael et al, 2018) or obfus-
354 cate these patterns – typically strong self-regulation weakens the clustering on the trait axis. We
355 therefore view clustering on the trait axis (when averaged over several samples) as an interesting
356 clue suggesting to look for a storage effect, rather than any definite proof that the storage effect is
357 at work.

358 In our previous empirical study of coastal phytoplankton dynamics (Barraquand et al, 2018),
359 we did not find any storage effect (which does not mean that it could not be observed in other
360 planktonic systems). Given the consequences of the storage effect for species richness and com-
361 position presented here, we are skeptical that the storage effect could by itself help explaining
362 phytoplankton diversity. However, our results suggest that in phytoplankton-like seasonal envi-
363 ronments, even though empirically-based self-regulation produce much more diversity than the
364 storage effect when considered in isolation, the storage effect can help diversity maintenance when
365 combined to other mechanisms. Indeed, the combination storage effect + strong self-regulation is
366 non-additive: the cases where both self-regulation and the storage effect were present showed more
367 diversity than generated by any mechanism on its own.

368 The above results suggest the very exciting idea that multiple coexistence mechanisms might
369 combine superadditively, thus helping us to better understand the astounding diversity of primary
370 producers. This logic could, in principle, be extended to mechanisms that we have not considered
371 here (e.g., spatial structure, specialized natural enemies, that could be as important here for plank-
372 ton as they are for tropical trees, see Bagchi et al, 2014; Comita et al, 2014; Barraquand et al,
373 2018). Previous research has however demonstrated that generalist seed predation could weaken
374 the storage effect (Kuang and Chesson, 2009, 2010) thus different mechanisms might not always
375 combine superadditively as we found here. That said, superadditivity has been found in some
376 cases, i.e., pathogens could enhance the storage effect (Mordecai, 2015). Better explaining plant
377 or microbial diversity would then not be about selecting the best unique mechanism susceptible

378 to explain the observed diversity, but rather better combining those mechanisms together. This
 379 may obviously be an annoyance for those who like to sharpen Occam’s razor, but it clearly holds
 380 opportunities for theoreticians wishing to investigate synergies between coexistence mechanisms
 381 in highly diverse communities. Aside from the synergies between predator diversity-enhancing ef-
 382 fects, strong self-regulation through various means and storage effects (on the temporal axis), one
 383 obvious follow-up of this research would be interactions with spatial structure. Spatial structure
 384 occurs both endogeneously, through spatially restricted movements and interactions, and exoge-
 385 neously, through spatial variation in environmental covariates (Bolker, 2003). Numerous studies
 386 (e.g., Bolker and Pacala, 1999; Murrell and Law, 2002) have shown that spatially restricted move-
 387 ments and interactions - very small-scale spatial structure - can help coexistence, which we believe
 388 would be especially important for phytoplankton since many species form colonies (Reynolds, 2006;
 389 see discussion in Barraquand et al, 2018). Moreover, although temperature is usually relatively
 390 spatially homogeneous over space, other drivers (e.g., rainfall, pH in terrestrial ecosystems; salin-
 391 ity in aquatic ones) may exhibit spatial variation which is a main factor for coexistence (Snyder,
 392 2008). The odds that different (resource) niches, natural enemies, spatial limits to competition and
 393 temporal niche partitioning all interact to promote the very high-dimensional coexistence observed
 394 in the field seem much higher than for any of those mechanisms alone. Whether the diversity-
 395 enhancing effects of these mechanisms combine sub-additively (as in Kuang and Chesson, 2010) or
 396 super-additively like here is therefore worthy of further research.

397 **Acknowledgements**

398 We thank Alix Sauve for thoughtful comments and some bibliographic references, as well as
 399 Gyuriörgy Barabás and an anonymous referee for constructive feedback. This study was sup-
 400 ported by the French ANR through LabEx COTE (ANR-10-LABX-45).

References

- Adler PB, Ellner SP, Levine JM (2010) Coexistence of perennial plants: an embarrassment of niches. *Ecology letters* 13(8):1019–1029
- Adler PB, Smull D, Beard KH, Choi RT, Furniss T, Kulmatiski A, Meiners JM, Tredennick AT, Veblen KE (2018) Competition and coexistence in plant communities: intraspecific competition is stronger than interspecific competition. *Ecology Letters* doi:10.1111/ele.13098
- Ashby B, Watkins E, Lourenço J, Gupta S, Foster KR (2017) Competing species leave many potential niches unfilled. *Nature Ecology & Evolution* 1(10):1495–1501, doi:10.1038/s41559-017-0295-3
- Bagchi R, Gallery RE, Gripenberg S, Gurr SJ, Narayan L, Addis CE, Freckleton RP, Lewis OT (2014) Pathogens and insect herbivores drive rainforest plant diversity and composition. *Nature* 506(7486):85–88, doi:10.1038/nature12911
- Barabás G, Mészéna G, Ostling A (2012) Community robustness and limiting similarity in periodic environments. *Theoretical Ecology* 5(2):265–282, doi:10.1007/s12080-011-0127-z
- Barabás G, D’Andrea R, Rael R, Mészéna G, Ostling A (2013) Emergent neutrality or hidden niches? *Oikos* 122(11):1565–1572, doi:10.1111/j.1600-0706.2013.00298.x
- Barabás G, Michalska-Smith MJ, Allesina S (2016) The Effect of Intra- and Interspecific Competition on Coexistence in Multispecies Communities. *The American Naturalist* doi:10.1086/686901
- Barabás G, Michalska-Smith MJ, Allesina S (2017) Self-regulation and the stability of large ecological networks. *Nature Ecology & Evolution* 1(12):1870–1875, doi:10.1038/s41559-017-0357-6
- Barraquand F, Picoche C, Maurer D, Carassou L, Auby I (2018) Coastal phytoplankton community dynamics and coexistence driven by intragroup density-dependence, light and hydrodynamics. *Oikos Accepted*, doi:10.1101/171264
- Bolker B, Pacala S (1999) Spatial Moment Equations for Plant Competition: Understanding Spatial Strategies and the Advantages of Short Dispersal. *The American Naturalist* 153(6):575–602

426 Bolker BM (2003) Combining endogenous and exogenous spatial variability in analytical population
427 models. *Theoretical Population Biology* 64(3):255–270, doi:10.1016/S0040-5809(03)00090-X

428 Carmel Y, Suprunenko YF, Kunin WE, Kent R, Belmaker J, Bar-Massada A, Cornell SJ (2017)
429 Using exclusion rate to unify niche and neutral perspectives on coexistence. *Oikos* 126(10):1451–
430 1458, doi:10.1111/oik.04380

431 Chesson P (1994) Multispecies Competition in Variable Environments. *Theoretical Population*
432 *Biology* 45:227–276

433 Chesson P (2000) Mechanisms of maintenance of species diversity. *Annual review of Ecology and*
434 *Systematics* pp 343–366

435 Comita LS, Queenborough SA, Murphy SJ, Eck JL, Xu K, Krishnadas M, Beckman N, Zhu Y
436 (2014) Testing predictions of the Janzen-Connell hypothesis: a meta-analysis of experimental
437 evidence for distance- and density-dependent seed and seedling survival. *Journal of Ecology*
438 102(4):845–856, doi:10.1111/1365-2745.12232

439 D’Andrea R, Ostling A (2016) Challenges in linking trait patterns to niche differentiation. *Oikos*
440 125(10):1369–1385, doi:10.1111/oik.02979

441 Dornelas M, Connolly SR (2008) Multiple modes in a coral species abundance distribution. *Ecology*
442 *Letters* 11(10):1008–1016, doi:10.1111/j.1461-0248.2008.01208.x

443 Ellner SP, Snyder RE, Adler PB (2016) How to quantify the temporal storage effect using simula-
444 tions instead of math. *Ecology Letters* doi:10.1111/ele.12672

445 Fox JW (2013) The intermediate disturbance hypothesis should be abandoned. *Trends in Ecology*
446 *& Evolution* 28(2):86–92, doi:10.1016/j.tree.2012.08.014

447 Götzenberger L, de Bello F, Bråthen KA, Davison J, Dubuis A, Guisan A, Lepš J, Lindborg
448 R, Moora M, Pärtel M, Pellissier L, Pottier J, Vittoz P, Zobel K, Zobel M (2012) Ecological
449 assembly rules in plant communities-approaches, patterns and prospects. *Biological Reviews*
450 87(1):111–127, doi:10.1111/j.1469-185X.2011.00187.x

451 Gravel D, Canham CD, Beaudet M, Messier C (2006) Reconciling niche and neutrality:
452 the continuum hypothesis: Reconciling niche and neutrality. *Ecology Letters* 9(4):399–409,
453 doi:10.1111/j.1461-0248.2006.00884.x

454 Hastings A, Abbott KC, Cuddington K, Francis T, Gellner G, Lai YC, Morozov A, Petrovskii S,
455 Scranton K, Zeeman ML (2018) Transient phenomena in ecology. *Science* 361(6406):eaat6412,
456 doi:10.1126/science.aat6412

457 Haydon D (1994) Pivotal assumptions determining the relationship between stability and com-
458 plexity: an analytical synthesis of the stability-complexity debate. *The American Naturalist*
459 144(1):14–29

460 Holt R (2006) Emergent neutrality. *Trends in Ecology & Evolution* 21(10):531–533,
461 doi:10.1016/j.tree.2006.08.003

462 Hubbell SP (2001) *The Unified Neutral Theory of Biodiversity and Biogeography* (MPB-32).
463 Princeton University Press

464 Huber V, Gaedke U (2006) The role of predation for seasonal variability patterns among phyto-
465 plankton and ciliates. *Oikos* 114(2):265–276

466 Kawatsu K, Kondoh M (2018) Density-dependent interspecific interactions and the complexity–
467 stability relationship. *Proc R Soc B* 285(1879):20180698

468 Kokkoris GD, Jansen VAA, Loreau M, Troumbis AY (2002) Variability in interaction strength
469 and implications for biodiversity. *Journal of Animal Ecology* 71(2):362–371, doi:10.1046/j.1365-
470 2656.2002.00604.x

471 Kuang JJ, Chesson P (2009) Coexistence of annual plants: generalist seed predation weakens the
472 storage effect. *Ecology* 90(1):170–182

473 Kuang JJ, Chesson P (2010) Interacting coexistence mechanisms in annual plant communities:
474 frequency-dependent predation and the storage effect. *Theoretical population biology* 77(1):56–
475 70

- 476 Loranger J, Munoz F, Shipley B, Violle C (2018) What makes trait-abundance relationships
477 when both environmental filtering and stochastic neutral dynamics are at play? *Oikos*
478 doi:10.1111/oik.05398
- 479 Matthews TJ, Borges PAV, Whittaker RJ (2014) Multimodal species abundance distributions:
480 a deconstruction approach reveals the processes behind the pattern. *Oikos* 123(5):533–544,
481 doi:10.1111/j.1600-0706.2013.00829.x
- 482 McGill BJ, Etienne RS, Gray JS, Alonso D, Anderson MJ, Benecha HK, Dornelas M, Enquist
483 BJ, Green JL, He F, Hurlbert AH, Magurran AE, Marquet PA, Maurer BA, Ostling A, Soykan
484 CU, Ugland KI, White EP (2007) Species abundance distributions: moving beyond single pre-
485 diction theories to integration within an ecological framework. *Ecology Letters* 10(10):995–1015,
486 doi:10.1111/j.1461-0248.2007.01094.x
- 487 Moisan JR, Moisan TA, Abbott MR (2002) Modelling the effect of temperature on the
488 maximum growth rates of phytoplankton populations. *Ecological Modelling* 153(3):197–215,
489 doi:10.1016/S0304-3800(02)00008-X
- 490 Mordecai EA (2015) Pathogen impacts on plant diversity in variable environments. *Oikos*
491 124(4):414–420
- 492 Munoz F, Huneman P (2016) From the neutral theory to a comprehensive and multiscale theory
493 of ecological equivalence. *The Quarterly Review of Biology* 91(3):321–342
- 494 Murrell DJ, Law R (2002) Heteromyopia and the spatial coexistence of similar competitors. *Ecology*
495 *Letters* 6(1):48–59, doi:10.1046/j.1461-0248.2003.00397.x
- 496 Mutshinda CM, O’Hara RB, Woiwod IP (2009) What drives community dynamics? *Proceedings*
497 *of the Royal Society B: Biological Sciences* 276(1669):2923–2929, doi:10.1098/rspb.2009.0523
- 498 Rael RC, D’Andrea R, Barabás G, Ostling A (2018) Emergent niche structuring leads to increased
499 differences from neutrality in species abundance distributions. *Ecology* doi:10.1002/ecy.2238
- 500 Reynolds CS (2006) *The ecology of phytoplankton*. Cambridge University Press

501 Sakavara A, Tsirtsis G, Roelke DL, Mancy R, Spatharis S (2018) Lumpy species coexistence arises
502 robustly in fluctuating resource environments. *Proceedings of the National Academy of Sciences*
503 115(4):738–743, doi:10.1073/pnas.1705944115

504 Scheffer M, van Nes EH (2006) Self-organized similarity, the evolutionary emergence of groups of
505 similar species. *Proceedings of the National Academy of Sciences* 103(16):6230–6235

506 Scranton K, Vasseur DA (2016) Coexistence and emergent neutrality generate synchrony among
507 competitors in fluctuating environments. *Theoretical Ecology* doi:10.1007/s12080-016-0294-z

508 Snyder RE (2008) When does environmental variation most influence species coexistence? *Theo-*
509 *retical Ecology* 1(3):129–139

510 Stump SM (2017) Multispecies Coexistence without Diffuse Competition; or, Why Phylogenetic
511 Signal and Trait Clustering Weaken Coexistence. *The American Naturalist* 190(2):213–228,
512 doi:10.1086/692470

513 Vasseur DA, Yodzis P (2004) The Color Of Environmental Noise. *Ecology* 85(4):1146–1152,
514 doi:10.1890/02-3122

515 Vergnon R, van Nes EH, Scheffer M (2013) Interpretation and predictions of the Emergent
516 neutrality model: a reply to Barabás et al. *Oikos* 122(11):1573–1575, doi:10.1111/j.1600-
517 0706.2013.00790.x

518 Vesipa R, Ridolfi L (2017) Impact of seasonal forcing on reactive ecological systems. *Journal of*
519 *Theoretical Biology* 419:23–35, doi:10.1016/j.jtbi.2017.01.036

520 Winder M, Cloern JE (2010) The annual cycles of phytoplankton biomass. *Philo-*
521 *sophical Transactions of the Royal Society B: Biological Sciences* 365(1555):3215–3226,
522 doi:10.1098/rstb.2010.0125

523 Wootton JT, Emmerson M (2005) Measurement of Interaction Strength in Na-
524 ture. *Annual Review of Ecology, Evolution, and Systematics* 36(1):419–444,
525 doi:10.1146/annurev.ecolsys.36.091704.175535

- 526 Zhao XQ (1991) The qualitative analysis of n-species Lotka-Volterra periodic competition systems.
527 Mathematical and Computer Modelling 15(11):3–8