

# How self-regulation, the storage effect and their interaction contribute to coexistence in stochastic and seasonal environments

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## Abstract

Explaining coexistence in species-rich communities of primary producers remains a challenge for ecologists because of the likely competition for shared resources. Following Hutchinson's seminal suggestion, many theoreticians have tried to create diversity through a fluctuating environment, which impairs or slows down competitive exclusion. There are now several fluctuating-environment models allowing coexistence, but they often produce only a dozen of coexisting species at best. Here, we investigate how to create even richer communities in fluctuating environments, using an empirically parameterized model. Building on the forced Lotka-Volterra model of Scranton and Vasseur (2016) inspired by phytoplankton communities, we have investigated the effect of two coexistence mechanisms, namely the storage effect and higher intra- than interspecific competition strengths (i.e., strong self-regulation). We tuned the competition ratio based on empirical analyses, in which self-regulation usually dominates interspecific interactions. Although a strong self-regulation maintained more species (50%) than the storage effect (25%), we show that none of the two coexistence mechanisms considered could, by itself, ensure the coexistence of all species present at the beginning of our simulations. Realistic seasonal environments only aggravated that picture, as they decreased persistence relative to a random environment. Our results suggest that combining different mechanisms for biodiversity maintenance into community models might be more fruitful than trying to find which mechanism explains best the observed diversity levels. We additionally highlight that while trait-biomass distributions provide some clues regarding coexistence mechanisms, they cannot indicate by themselves which coexistence mechanisms are at play.

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**Keywords:** coexistence; seasonality; competition; phytoplankton; Lotka-Volterra; storage effect

# 1 Introduction

The continued maintenance of diversity in spite of widespread competition has long bewildered ecologists, especially for primary producers such as phytoplankton that share the same basic resources (Hutchinson, 1961). A solution –for the ‘paradox of the plankton’ was proposed by Hutchinson: temporal variation of the environment. However, it has been shown later that inclusion of temporal variability *per se* in competition models is not sufficient for maintaining a realistic diversity (Chesson and Huntly, 1997; Fox, 2013). Additional mechanisms such as the storage effect (Chesson, 1994; Ellner et al, 2016) or a relative nonlinearity of competition (Armstrong and McGehee, 1980; Chesson, 2000; Descamps-Julien and Gonzalez, 2005; Fox, 2013) need to be introduced for diversity to maintain. Moreover, richness rarely exceeds a handful to a dozen of species in model competitive communities in fluctuating environments, except when external inputs such as immigration sustain diversity (Jabot and Lohier, 2016). To our knowledge, the effect of temporal variability on persistence in competition models has mostly been examined in theoretical communities of 2 to 3 species (e.g., Chesson and Huntly, 1997; Litchman and Klausmeier, 2001; Li and Chesson, 2016; Miller and Klausmeier, 2017).

One of the richest modeled communities that we identified is the model of Scranton and Vasseur (2016), which is based on temperature variation and different thermal optima for each species (Moisan et al, 2002). In this model, the synchronizing effect of the environment and the storage effect can maintain 12 phytoplankton-like species on average. Scranton and Vasseur (2016) described daily temperature as a random noise, i.e., independent and identically distributed Gaussian random variates over time. However, under most latitudes, seasonality drives part of the environmental variation: over short timescales, random temporal variations often only add noise to a largely deterministic seasonal trend (Scheffer et al, 1997; Boyce et al, 2017; Barraquand et al, 2018).

Seasonal forcing of parameters can strongly affect the dynamics of model communities by synchronizing species to the seasonal signal or even promoting oscillations with lower frequency (Rinaldi et al, 1993; Barabás et al, 2012; Miller and Klausmeier, 2017; Vesipa and Ridolfi, 2017). How seasonality affects coexistence, as opposed to a randomly fluctuating environment, is therefore

54 a key feature of this paper. Our present work can be seen as an attempt to blend Scranton and  
55 Vasseur (2016)’s stochastic framework with the periodic environments of Barabás et al (2012), to  
56 better represent the mixture of stochastic and deterministic environmental forces affecting phyto-  
57 plankton community dynamics.

58 What other key features of field communities should be considered when modelling phyto-  
59 plankton? Strong self-regulation, with intraspecific competition much stronger than interspecific  
60 interactions, has been found to be widespread in terrestrial plant communities (Adler et al, 2018),  
61 animal communities (Mutshinda et al, 2009), and more recently phytoplanktonic communities  
62 (Barraquand et al, 2018). We will therefore insert those niche differences, manifesting as strong  
63 self-regulation, into our models of phytoplankton competition. The interaction between environ-  
64 ment variability and niche overlap was investigated by Abrams (1976) but his results did not extend  
65 to communities more diverse than 4 species; our objective is therefore to see how those mechanisms  
66 interact for species-rich communities.

67 Niche models have often been opposed to the neutral theory (Hubbell, 2001), where dispersal  
68 and drift can ensure a transient coexistence of many species, though several authors have attempted  
69 to blend niche and neutral processes (Gravel et al, 2006; Scheffer and van Nes, 2006; Carmel et al,  
70 2017). An intriguing offshoot of these attempts is the concept of ‘clumpy coexistence’ (Scheffer  
71 and van Nes, 2006), whereby simultaneous influences of both niche and neutral processes create  
72 several clumps of similar species along a single trait axis. Niche differences enable coexistence of  
73 multiple clumps (Chesson, 2000) while within-clump coexistence occurs through neutral processes.  
74 This ‘emergent neutrality’ within groups (Holt, 2006) has been proposed as a unifying concept for  
75 niche and neutral theories (even though the neutrality of the original model has been disputed  
76 due to hidden niches, Barabás et al, 2013). Since then, clumpy coexistence has been shown to  
77 occur in theoretical models incorporating a temporally variable environment interacting with a  
78 thermal preference trait axis (Scranton and Vasseur, 2016; Sakavara et al, 2018). The relationship  
79 (or absence thereof) between biomass-trait distributions and coexistence mechanisms is currently  
80 debated (D’Andrea and Ostling, 2016), although there are suggestions that clustering on trait axes  
81 under competition may be a robust find (d’Andrea et al. 2018, 2019).

Here, we try to establish what are the relative contributions to coexistence of the storage effect vs strong self-regulation, in a phytoplankton-like theoretical community model with a large number of species. This led us to cross combinations of seasonality vs randomness in the forcing signal, presence of the storage effect or not, and intra- vs interspecific competition intensity, in order to disentangle the contributions of these factors to biodiversity maintenance and their potential interactions. Alongside the resulting species richness, we also report which biomass-trait distribution can be expected under a given combination of processes leading to coexistence.-

## 2 Methods

### *Models description*

The model described in Scranton and Vasseur (2016) is based on the Lotka-Volterra competition model. Fluctuations in the environment are introduced in the model by temperature-dependent intrinsic growth rates (see Eq. 1-2, all coefficients are defined in Table 1) so that species growth rates can be expressed as:

$$\frac{dN_i}{dt} = r_i(\tau)N_i \left( 1 - \sum_{j=1}^S \alpha_{ij}N_j \right) - mN_i \quad (1)$$

$$r_i(\tau) = a_r(\tau_0) e^{E_r \frac{(\tau - \tau_0)}{k\tau\tau_0}} f_i(\tau) \quad (2)$$

$$\text{where } f_i(\tau) = \begin{cases} e^{-|\tau - \tau_i^{opt}|^3/b_i}, & \tau \leq \tau_i^{opt} \\ e^{-5|\tau - \tau_i^{opt}|^3/b_i}, & \tau > \tau_i^{opt} \end{cases} \quad (3)$$

$$\text{and } b_i \text{ is defined by numerically solving } \int r_i(\tau) d\tau = A \quad (4)$$

Model parameters are detailed in Table 1, and we set their values to match the features of phytoplankton communities as in Scranton and Vasseur's work (2016). The niche of each species is defined by its thermal optimum  $\tau_i^{opt}$ . Thermal performance curves defined in Eq. 3 are parameterized so that all species share the same niche area (Eq. 4), which sets a trade-off between maximum

Table 1: Parameter definitions and values for the model described in Eqs. 1-4. Parameter values are not specified when they vary with time and/or the species considered.

Name	Definition	Value (unit)
$S$	Initial number of species	60 (NA)
$N_i$	Biomass density of the $i^{th}$ species	(kg/area)
$\tau$	Temperature	(K)
$r_i(\tau)$	Growth rate of species $i$ as a function of temperature	$(\frac{\text{kg}}{\text{kg} \times \text{year}})$
$\alpha_{ij}$	Strength of competition of species $j \rightarrow i$	0.001 (area/kg)
$b_i$	Normalization constant for the thermal decay rate	$(K^3)$
$m$	Mortality rate	$15(\frac{\text{kg}}{\text{kg} \times \text{year}})$
$\tau_0$	Reference temperature	293 (K) / 20 ( $^{\circ}\text{C}$ )
$a_r(\tau_0)$	Growth rate at reference temperature	$386(\frac{\text{kg}}{\text{kg} \times \text{year}})$
$E_r$	Activation energy	0.467 (eV)
$k$	Boltzmann's constant	$8.6173324 \cdot 10^{-5} (\text{eV} \cdot \text{K}^{-1})$
$f_i(\tau)$	Fraction of the maximum rate achieved for the $i^{th}$ species	(NA)
$\mu_\tau$	Mean temperature	293 (K)
$\sigma_\tau$	Standard deviation for temperature	5 (K)
$\tau_{\min}$	Minimum thermal optimum	288 (K)
$\tau_{\max}$	Maximum thermal optimum	298 (K)
$A$	Niche breadth	$10^{3.1}(\frac{\text{kg}}{\text{kg} \times \text{year}})$
$\tau_i^{\text{opt}}$	Thermal optimum for growth of the $i^{th}$ species	(K)
$\theta$	Scaling between random and seasonal noise	(0;1.3) (NA)
$\kappa$	Ratio of intra-to-interspecific competition strength	(1;10) (NA)

100 The original environmental forcing is a normally distributed variable centered on 293 K (20°C),  
 101 with a 5 K dispersion. Temperature varies from one day to the next, but is kept constant through-  
 102 out the day. At the monthly or annual temporal scale usually used in ecological studies, tempera-  
 103 ture could therefore be considered as a white noise (Vasseur and Yodzis, 2004). However, from a  
 104 mathematical viewpoint, the noise is slightly autocorrelated as the integration process goes below  
 105 the daily time step. We therefore use the expression ‘random noise’ to describe this forcing, as  
 106 opposed to the ‘seasonal noise’ described hereafter. To construct the seasonal noise, we add to the  
 107 random forcing signal a lower-frequency component, using a sinusoidal function with a period of  
 108 365 days (Eq. 5). We tune the ratio of low-to-high frequency with the variable  $\theta$  so as to keep the  
 109 same energy content - i.e., equal total variance - in the forcing signal.

$$\tau(t) = \mu_\tau + \theta \sigma_\tau \sin(2\pi t) + \epsilon_t, \text{ where } \epsilon_t \sim \mathcal{N}\left(0, \sigma_\tau \sqrt{1 - \frac{\theta^2}{2}}\right) \quad (5)$$

110 Note that the upper limit for  $\theta$ ,  $\sqrt{2}$ , corresponds to a completely deterministic model which we  
 111 do not explore here (but see Zhao (1991) proving bounded coexistence). We choose to keep the  
 112 stochasticity in the signal and to model a plausible temperature signal with  $\theta = 1.3$  (illustrated in  
 113 Fig. 1b) when considering a seasonal forcing of the dynamics.

114 The formulation of the forced Lotka-Volterra model of Scranton and Vasseur (2016) implies  
 115 a storage effect, as the net effect of competition exerted by species  $j$  on  $i$  is the product of the  
 116 temperature-related growth rate  $r_i(\tau)$  and the competitive strength  $\alpha_{ij}$  exerted by species  $j$  multi-  
 117 plied by its abundance  $N_j$ . Therefore, total net competition ( $\sum_{j=1}^S r_i(\tau) \alpha_{ij} N_j$ ) covaries positively  
 118 with the growth rate values  $r_i(\tau)$ , which defines the storage effect (Chesson, 1994; Fox, 2013; Ellner  
 119 et al, 2016). To remove the assumption of an explicit storage effect, we created another version of  
 120 the model using the mean value of a species' growth rate ( $\bar{r}_i$ ) to weight the interaction coefficients  
 121 (see Eq. 6). The mean growth rate value was computed by first generating the temperature time  
 122 series and then averaging all  $r_i$  over the corresponding sequences of  $\tau$  values.

$$\frac{dN_i}{dt} = N_i \left( r_i(\tau) - \sum_{j=1}^S \bar{r}_i \alpha_{ij} N_j \right) - m N_i \quad (6)$$

123 Following Eq. 6, net competition remains unaffected by the environmental conditions, in con-  
 124 trast to intrinsic growth rates, while preserving the same average magnitude as in Eq. 1.

125 Strong self-regulation is ensured by the addition of the coefficient  $\kappa$ , which is the ratio of intra-  
 126 to-interspecific competition strength. We can therefore re-write the interaction coefficients  $\alpha_{ij}$  in  
 127 Eq. 7

$$\alpha_{ij} = \alpha (1 + (\kappa - 1) \delta_{ij}) \quad (7)$$

128 where  $\delta_{ij}$  is the Kronecker symbol, equal to 1 if  $i = j$  and to 0 otherwise. The value of the  
 129 parameter  $\kappa = 10$  was chosen from analyses of phytoplanktonic data (Barraquand et al, 2018).  
 130 Hereafter, the expression “strong self-regulation” characterizes dynamics where the intraspecific

131 competition strength is 10 times higher than the interspecific competition strength, as opposed to  
 132 “equal competitive strengths” where intra- and interspecific competition strengths are equal.

133 In addition to two types of environmental forcings (random noise with  $\theta = 0$ , and seasonal  
 134 noise with  $\theta = 1.3$ ), we compare the results for four formulations of the model: with and without  
 135 an explicit storage effect (Eq. 1 and Eq. 6, respectively); with strong self-regulation or equal intra-  
 136 and inter-competition strength ( $\kappa = 10$  or  $\kappa = 1$ , respectively). These are summed up in Table 2.

$\frac{1}{N_i} \frac{dN_i}{dt} + m_i$	Storage effect	No storage effect
Strong self-regulation ( $\kappa = 10$ )	$r_i(\tau) \left( 1 - \sum_{j=1}^S \alpha (1 + 9\delta_{ij}) N_j \right)$	$r_i(\tau) - \sum_{j=1}^S \bar{r}_i \alpha (1 + 9\delta_{ij}) N_j$
Equal competitive strengths ( $\kappa = 1$ )	$r_i(\tau) \left( 1 - \sum_{j=1}^S \alpha N_j \right)$	$r_i(\tau) - \sum_{j=1}^S \bar{r}_i \alpha N_j$

Table 2: Growth rate of species  $i$  in the four formulations of the model we present

137

## 138 Set-up

139 We replicate the ‘Species sorting’ experiment of Scranton and Vasseur (2016) so as to investigate  
 140 how the structure of synthetic phytoplankton communities varies under the different scenarios we  
 141 described above. We focused on the dynamics of a community initialized with 60 species with  
 142 thermal optima uniformly spaced along the interval  $[15^\circ\text{C}, 25^\circ\text{C}]$ , and with the same initial density  
 143  $\left(\frac{1}{\alpha S}\right)$ . Each simulation was run for 5000 years in 1-day intervals. When the density of a species  
 144 dropped below  $10^{-6}$ , it was considered extinct. For each combination of parameters (type of  
 145 environmental signal, storage effect and stabilizing niche differences), we ran 100 simulations.

146 All simulations were run with Matlab’s ode45 algorithm, an explicit Runge-Kutta (4,5) inte-  
 147 gration scheme with an absolute error tolerance of  $10^{-8}$ , and relative error tolerance of  $10^{-3}$ . The  
 148 code is available in a GitHub repository<sup>1</sup>.

<sup>1</sup><https://github.com/CoraliePicoche/Seasonality>, will be made public upon acceptance or at the reviewer’s request and stored in Zenodo



### 149 3 Results

150 Typical dynamics of the community following Eq. 1 (the model of Scranton and Vasseur, 2016),  
151 with both a purely Gaussian noise (original choice of Scranton and Vasseur, 2016; Fig. 1a) and a  
152 seasonal noise described in Eq. 5 (our variant, Fig. 1b), are shown in Fig. 1c and d, respectively.  
153 A sinusoidal forcing produces the strongly seasonally structured dynamics that are typical of  
154 phytoplankton. Even though only 5 species can be seen in Fig. 1c, there were 14 species still  
155 present at the end of the simulation forced by a random noise, with large disparities in the range of  
156 their biomasses. A third of the species kept a biomass above 10 kg/area (setting area = 1 ha, with  
157 a depth of a few meters, produces a realistic standing biomasses; Reynolds, 2006) while 6 out of the  
158 14 species biomasses remained below the unit. All persisting species in the random noise simulation  
159 were clustered within a 3.2°C-range of thermal optima (see the biomass distribution as a function  
160 of the thermal optimum in [Electronic-Supplementary Material](#), Fig. A1). No obvious temporal  
161 patterns (e.g., cycles) could be seen in the community forced by random noise. On the contrary,  
162 seasonal cycles were clear in the seasonally-forced case of Fig. 1d. Only 4 species coexisted at  
163 the end of the simulation with seasonal noise, gathered in two groups with large thermal optimum  
164 differences (5.7°C between the maximum thermal optimum of the first group and the minimum  
165 thermal optimum of the second group). When temperatures were high, the group with higher  
166 thermal optima reached its maximum biomass, then as temperature decreases through the season,  
167 these species leave room for the growth of the low-temperature group.

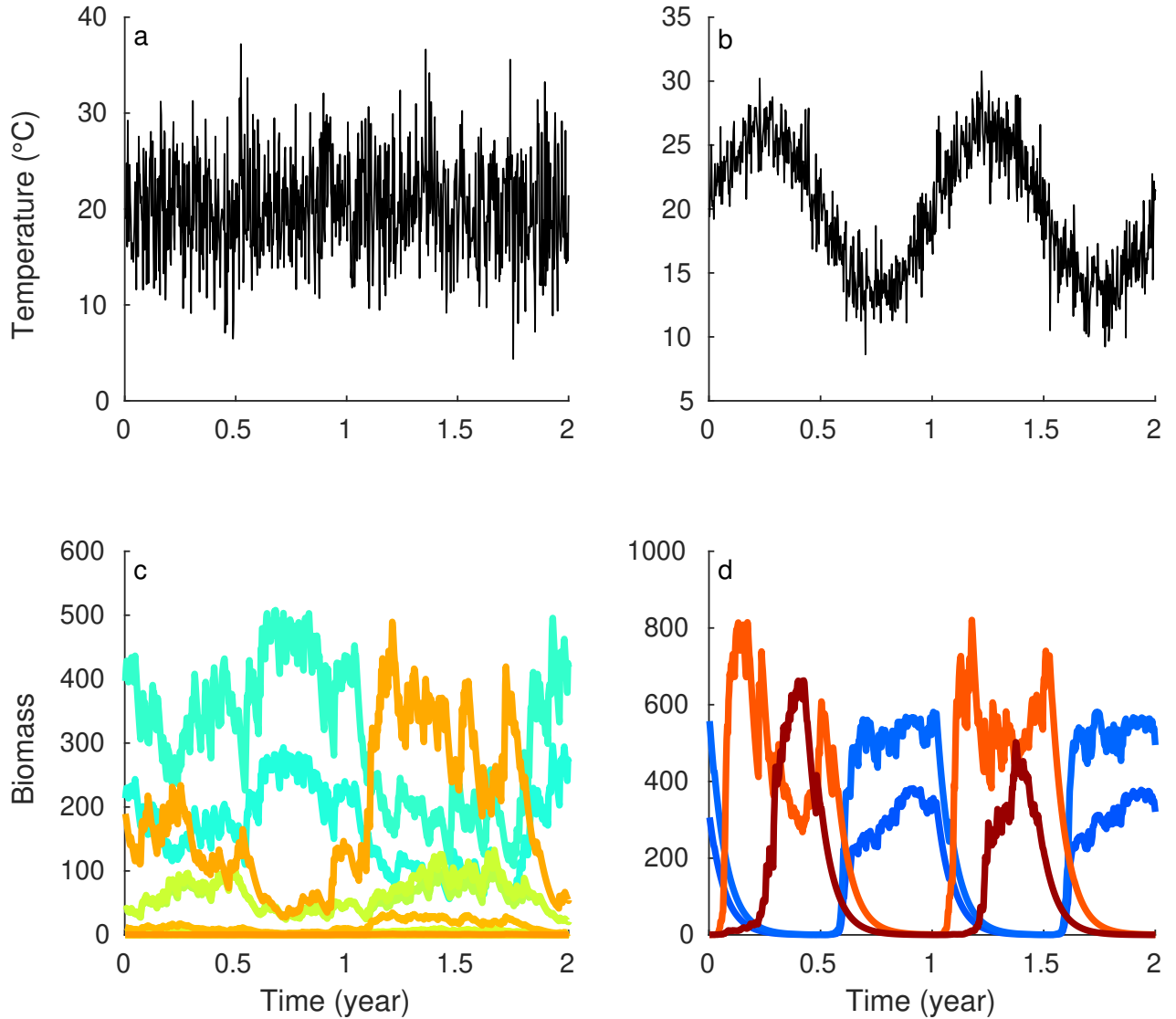


Figure 1: Time series of temperature (top; a-b) and extant species (bottom; c-d) for the 2 last years of a 5000-year simulation, with storage effect but no differences between intraspecific and interspecific competition strengths. The forcing temperature is either a random noise (a) or a seasonal noise (b), leading to community dynamics with more erratic fluctuations (c) vs seasonally structured fluctuations (d). Line colors of species biomasses correspond to their thermal optimum (from blue, corresponding to low thermal optimum, to red, corresponding to high thermal optimum).

168 The decrease in persistence due to seasonality observed in Fig. 1 was confirmed in all our  
169 simulations (Fig. 2). In cases where final species richness varied from one simulation to another  
170 (namely, the two middle cases in Fig. 2: with storage effect but without strong self-regulation, or  
171 without storage effect but with strong self-regulation), seasonality reduced the number of extant  
172 species to, on average, 27% and 48% of their original values, respectively (Fig. 2). A seasonal signal

173 therefore led to a much smaller average persistence. There was also less variance in persistence  
174 between seasonally forced simulations compared to random noise simulations.

175 Both a strong self-regulation and the storage effect markedly increased persistence. Without  
176 any of these coexistence mechanisms, only one species persisted at the end of the simulations.  
177 When only the storage effect was present, the number of extant species varied between 8 and 20  
178 ( $14.8 \pm 2.4$ ) with random noise, or 2 and 6 ( $4.1 \pm 0.7$ ) with a seasonal signal. On the other  
179 hand, when only a strong self-regulation was present, the number of extant species nearly doubled,  
180 varying between 20 and 32 ( $27.5 \pm 2.4$ ), or 12 and 15 ( $13.3 \pm 0.6$ ), with a random or a seasonal  
181 noise, respectively. Remarkably, when the storage effect and a strong self-regulation both affected  
182 the community dynamics, all species persisted in the community: the number of species coexisting  
183 with both mechanisms present is therefore greater than the sum of the species coexisting with either  
184 mechanism alone. The two mechanisms therefore combine superadditively, as their interaction has  
185 a positive effect on the richness of the community.

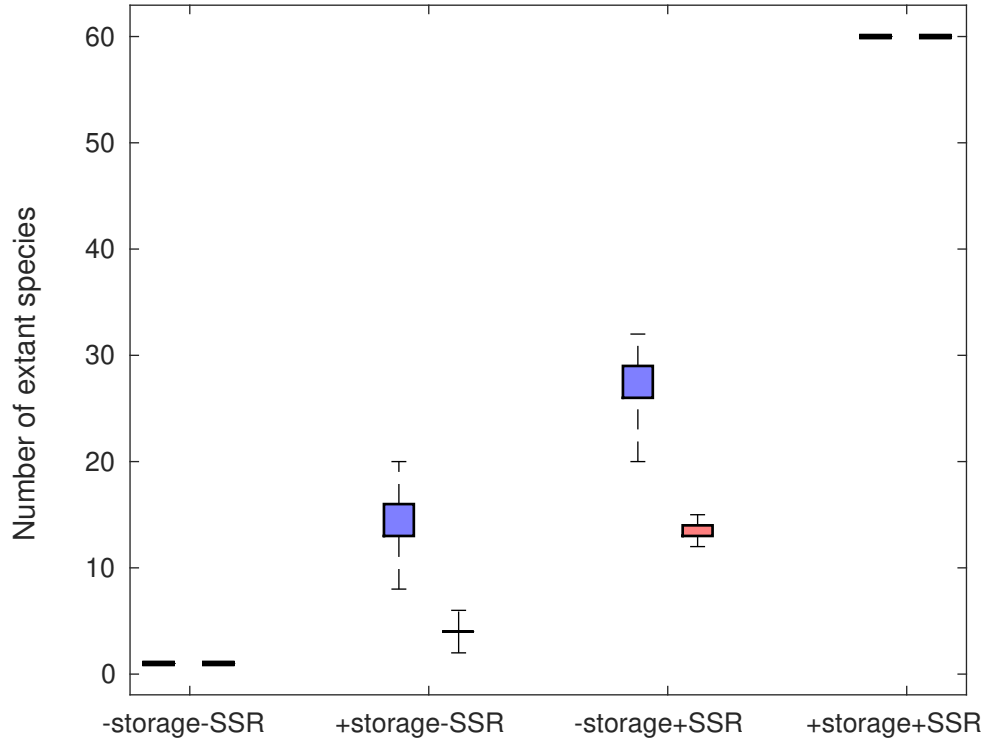


Figure 2: Number of species still present at the end of 100 simulations (5000 years each), initialized with 60 species, with a random forcing signal (blue) or a seasonal noise (red). The signs + or - storage refer to presence or absence of the storage effect, respectively; + / - SSR, presence or absence of Strong Self-Regulation, respectively. Community compositions are stable in the cases -storage-SSR and +storage+SSR, for which 1 or 60 species are still present at the end of all simulations, respectively. Due to low variance, the whiskers here represent min and max rather than 1.5 interquartile range.

186 The trait-biomass distribution of the community was affected by the type of forcing even  
 187 when the richness of the community was stable (Fig. 3). Without storage effect nor strong self-  
 188 regulation, there was only one species left at the end of the simulations. A random noise favored  
 189 species with intermediate thermal optima: the final species had a thermal optimum between 18.9°C  
 190 and 21.4°C (only a fourth of the initial range of thermal optima) for two simulations out of three  
 191 and the maximum final biomasses over 100 simulations was reached in this range (Fig. 3a). This  
 192 distribution may indicate a selection for the highest long-term growth rates, averaged over time (see  
 193 scaled growth rates in Fig. 3). Seasonality with no coexistence mechanisms also led to a single  
 194 final species but, in this case, the species always had a higher maximum growth rate (thermal  
 195 optimum above 22°C). Species with a higher thermal optimum were more likely to persist and to

196 reach a higher biomass at the end of the simulation. 38% of the simulations therefore ended with  
197 the species having the highest temperature optimum, 25°C. The shift in trait distribution towards  
198 higher maximum growth rates with a seasonal noise vs higher average growth rates with a random  
199 noise was consistent for all model types considered.

200 When both storage effect and strong self-regulation were present, the 60 initial species coexisted  
201 with small variations in biomasses for each species over the 100 simulations (mean CV=0.008  
202 across simulations with either a random or a seasonal noise, Fig. 3b and d). The forcing signal  
203 modified only the distribution of biomasses resulting in contrasted community structures despite  
204 equal richness in both simulation types. With a random noise, the distribution was unimodal with  
205 a maximum biomass reached for the second highest long-term average growth rate (corresponding  
206 to a thermal optimum of 20.2°C). On the contrary, a seasonal signal led to a bimodal distribution  
207 (centered on 17.0°C and 24.4°C), each corresponding to one season, with highest biomasses for  
208 higher thermal optima (Fig. 3d). The minimum biomass was reached for the highest long-term  
209 average growth rate at an intermediate temperature (20.4°C).

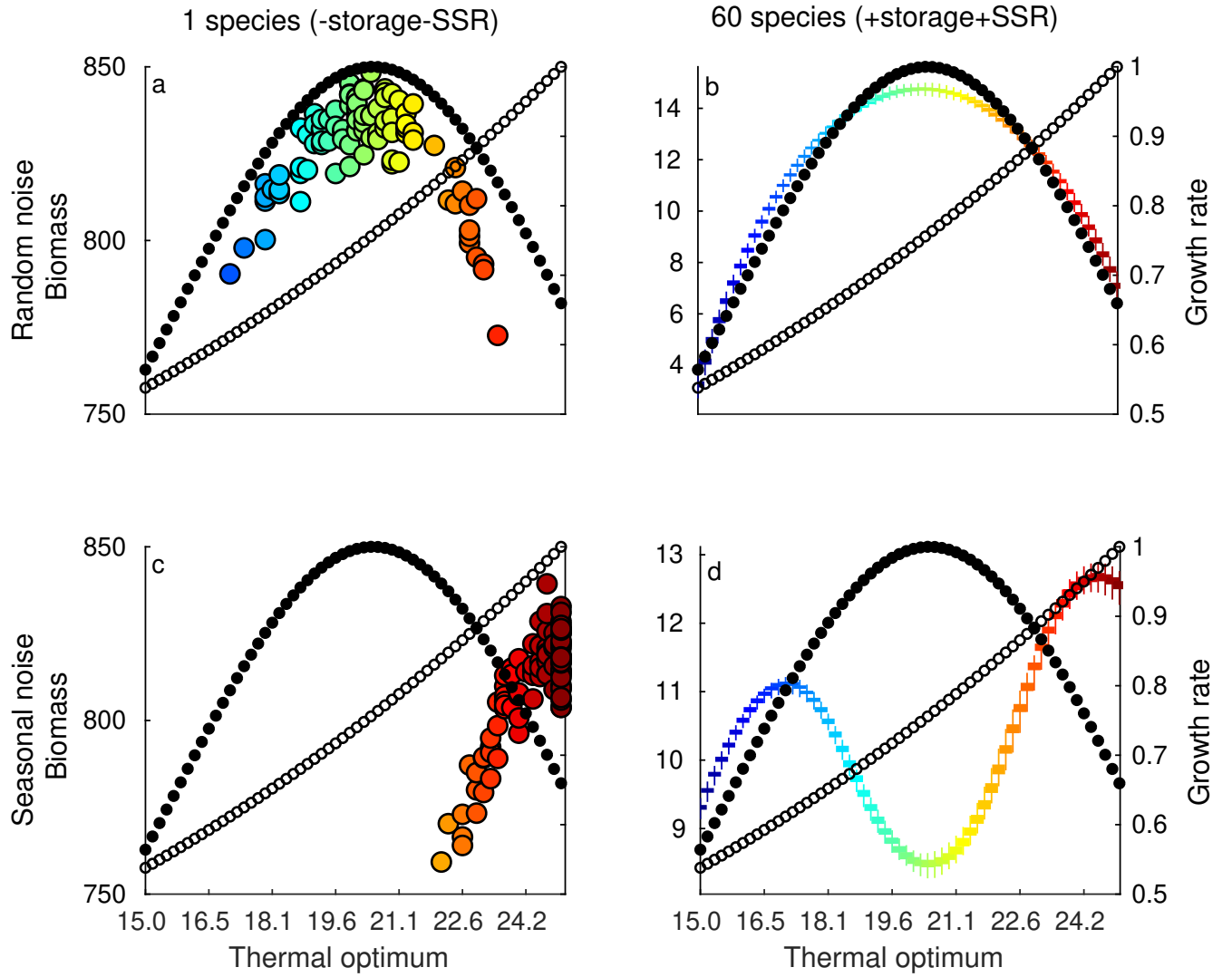


Figure 3: Mean biomass distribution over the last 200 years for 100 simulations, as a function of the species thermal optima. Here we consider the two stable-composition cases and two types of forcing signal. On the left column, simulations without storage effect nor strong self-regulation are presented. Only one species is present at the end of the simulations and its mean value is represented by one large colored circle per simulation. There can be several circles for the same species, corresponding to multiple simulations ending with this species alone. On the right column, simulations with storage effect and strong self-regulation are represented. All species are present at the end of the simulations and small boxplots present the variation in the temporal average of biomass with a given trait, for 100 simulations. The forcing signal is either a random (top) or a seasonal noise (bottom). Each species is identified by its thermal optimum through its color code. Scaled (divided by maximum) average and maximum growth rates are shown as small filled and open circles, respectively, and are indexed on the right y-axis.

210 In cases where the richness of the community varied, the overall shape (multimodal vs unimodal)  
 211 of the marginal distribution of extant species with respect to the trait axis were similar for both  
 212 types of environmental forcings (Fig. 4). By contrast, the type of coexistence mechanism generated

different shapes. Indeed, the storage effect (when acting alone) led to a multimodal biomass distribution with respect to thermal optima. We always observed 3 modes with a random noise and 3 modes in 95% of the seasonal simulations (Fig. 4a). With a random noise, extant species were grouped in rather similar clumps regarding species thermal optima (between 18.8°C and 22.2°C) whereas species tended to be further apart in the seasonal case, covering a total range of 7.7°C, with species grouping in the higher part of the thermal range, above 22°C. On the other hand, strong self-regulation led to a quasi-uniform biomass distribution (Fig. 4 b). Species in communities forced by a random noise stayed in the lower range of thermal optima (in 96% of the simulations, the highest thermal optimum was 22.4°C, see Fig. A2 in ~~the~~Electronic Supplementary Material) while they were filtered out in communities subjected to a seasonal fluctuation of their environment, for which species with thermal optima above 20.5°C persisted. As before (Fig. 3), seasonality promoted species with a higher maximum growth rate since the autocorrelated temperatures enabled them to achieve this highest growth rate for a longer period of time than a random noise would have.

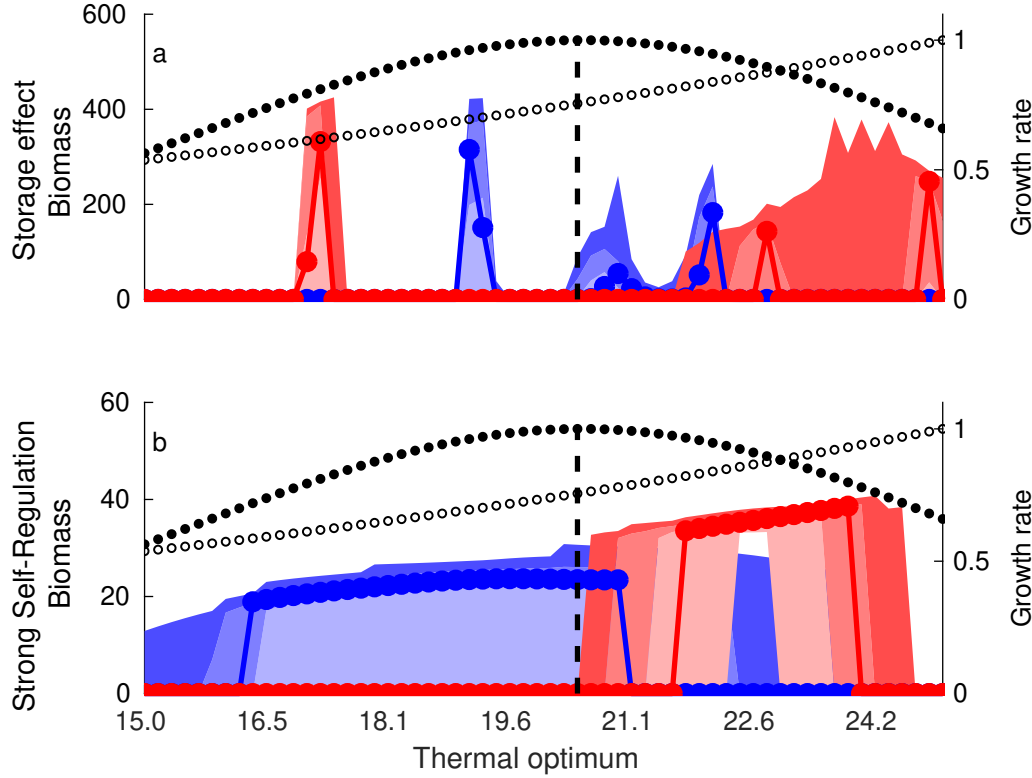


Figure 4: Mean biomass distribution over the last 200 years for 100 simulations, as a function of thermal optima, with storage effect and equal competitive strengths (a) and without storage effect, with strong self-regulation (b). The forcing signal is either a random (in blue) or a seasonal noise (in red). Shades of the same color correspond to the 50th, 90th and 100th percentiles of the distributions while colored lines correspond to one representative simulation. Scaled (divided by maximum) average (whose maximum is indicated by the dashed line) and maximum growth rates are shown as filled and open and circles, respectively, and indexed on the right y-axis.

## 4 Discussion

We have simulated competitive Lotka-Volterra dynamics forced by a fluctuating environment (e.g., temperature fluctuations) under a range of scenarios allowing more or less coexistence. Two coexistence mechanisms, the storage effect and strong self-regulation (i.e., intraspecific competition much stronger than interspecific competition), could be either present or absent, which led to four scenarios. These four scenarios were crossed with two possibilities for the forcing signal, a random noise (mostly white) and a stochastic yet seasonal signal, both with equal temporal variance.



Our investigation therefore built on the model of Scranton and Vasseur (2016), which included a random forcing and a storage effect, but considered seven additional combinations of mechanisms. This was motivated by our wish to include two observed features of phytoplankton dynamics: seasonal cycles (Winder and Cloern, 2010) and strong self-regulation (Chesson, 2000; Adler et al, 2010; Barraquand et al, 2018). Many mechanisms can lead to intraspecific competition being stronger than interspecific competition: nonlinearities in the functional forms of competition or mutualism that contribute to increasing self-regulation (Kawatsu and Kondoh, 2018), or predation as well as parasitism (see e.g., the generalist predators in Haydon, 1994). Strong self-regulation seems nonetheless an ubiquitous feature in competition networks of primary producers (Adler et al, 2018), and perhaps even more general networks (Barabás et al, 2017).

Before discussing the ecological interpretation of our results, we first recall some technical assumptions made in this study. All our simulations lasted for a fixed duration (5000 timesteps) as in Scranton and Vasseur (2016). This means that short- and medium-term transients (a few years to hundreds of years) are completely negligible at the end of the time series, but very long transients can remain in this class of models (Hastings et al, 2018). We realized that convergence could be incomplete after 5000 years in some cases (e.g., random noise + storage effect + equal competitive strength). Such simulations would take up to 15 000 years to converge and the rate of convergence would slow over time, as can also be observed for similar models (Scheffer and van Nes, 2006). We kept a fixed time integration window rather than waiting for convergence. From a technical standpoint, adding 10 000 years of numerical integration (or more) for the sake of reaching equilibrium is more challenging computationally, and comparison with the values reported by Scranton and Vasseur (2016) would have been compromised. Another way to shorten the transients would be to vary the mortality parameter, ~~shifting the~~ but this shifts the model further away from neutral dynamics. We checked this for robustness' sake and ~~T~~this did not alter the conclusions (see Appendix B in [Electronic Supplementary Material](#)). ~~As we wanted to focus on the storage effect and the self-regulation while keeping all other elements of the model identical to Scranton and Vasseur (2016), we did not change the time window for integration.~~ We therefore kept the 5000-year time window for integration.

Another assumption pertains to competition coefficients. To allow for comparison with Scranton and Vasseur (2016), we did not introduce variability in intraspecific competition strength or interspecific competition strength. By contrast, data-based coefficients vary between species (Barraquand et al, 2018), with a majority of weak interactions (as suggested in Wootton and Emerson, 2005) and more variance in intraspecific coefficients. Stump (2017) recently considered the potential effects of competition coefficient variability (also called non-diffuse competition), as did Kokkoris et al (2002); more variance in interspecific competition strength is usually detrimental to coexistence (see Stump (2017) for a classification of the various effects). Setting the competition coefficients using a multidimensional trait-based framework, like that of Ashby et al (2017), would provide a natural development to the work presented here; it is in our opinion difficult to speculate on those variance effects because both intra- and interspecific competition coefficient variances may matter to community persistence.

Finally, our study is limited to communities whose species have fast population dynamics relative to the yearly timescale, like phytoplankton and likely other fast-living organisms, so that many generations can occur in a year. Persistence in community with slower dynamics may be affected differently by seasonality (Miller and Klausmeier, 2017). This especially true for species with generations that extend over multiple years (e.g., multiyear cycles and chaotic attractors, Rinaldi et al 1993; Taylor et al 2013; Tyson and Lutscher 2016). ~~Persistence may be affected differently by seasonality in such cases with slower community dynamics (Miller and Klausmeier, 2017).~~ ~~Inter-annual variability, as opposed to intra-annual seasonality, can also emerge in the presence of an additional trophic level: Dakos et al (2009) present a planktonic community with seasonally-entrained chaotic dynamics which may be partly due to zooplanktonic predation. Predation probably entails additional niche differences, possibly with an emerging self-regulation created by predation processes (Chesson, 2018).~~

With these assumptions in mind, we have found that first, temporally forced Lotka-Volterra dynamics cannot sustain any diversity with our phytoplankton-based set of parameters, unless the structure is geared to include either a storage effect or a strong self-regulation. Although this absence of diversity-enhancing effect of “pure” environmental variation has already been stated by

290 other authors (Chesson and Huntly, 1997; Barabás et al, 2012; Fox, 2013; Scranton and Vasseur,  
 291 2016), this is not always intuitive (Fox, 2013), so we feel compelled to stress it once more: temporal  
 292 variation in growth rate alone cannot help coexistence within competitive communities. A nice  
 293 point made by Scranton and Vasseur (2016) was that a built-in storage effect in a forced Lotka-  
 294 Volterra model, parameterized for phytoplankton communities, could lead to a reasonable degree  
 295 of coexistence. Our investigation reproduced these results, using the random noise considered by  
 296 Scranton and Vasseur (2016). However, an arguably more lifelike noisy and seasonal temperature  
 297 forcing considerably lessened the richness of the community after 5000 years, decreasing from  
 298 15 to 4 species on average. Even imagining that groups represented here are genera or classes  
 299 rather than species, this is a fairly low diversity for a phytoplankton-like community (see e.g.,  
 300 Chapter 1 in Reynolds, 2006). This suggests that the storage effect may not, on its own, be  
 301 sufficient to maintain species-rich communities (e.g., dozens to hundreds of species). We have  
 302 therefore sought out whether a stronger self-regulation could maintain a higher diversity, using  
 303 field-based intra- vs intergroup (species or genera) competition strength ratio (Barraquand et al,  
 304 2018), where the intragroup density-dependence was estimated 10 times stronger. Implementing  
 305 such strong self-regulation, in the forced Lotka-Volterra models that we considered, produced a  
 306 higher level of diversity than the storage effect (almost double). Of course, the result is somehow  
 307 contingent upon the strength of self-regulation. Our estimates are a little stronger than what was  
 308 found in perennial plants (Adler et al, 2010), where interspecific competition was suggested 4 or 5  
 309 times stronger than intraspecific. Still, the widespread effects of natural enemies in phytoplankton  
 310 (zooplankton, parasites) may contribute to increase the strength of self-regulation (Barraquand  
 311 et al, 2018; Chesson, 2018) relative to other systems, hence we believe that 10 times stronger  
 312 intraspecific competition constitutes a reasonable order of magnitude.-

313 However, such strong self-regulation was still insufficient to maintain the whole community  
 314 diversity (60 species) by itself, especially when the seasonal forcing (always decreasing species  
 315 richness) was considered. The diversity within clumps of similar values of thermal optima was  
 316 considerably decreased once seasonality was implemented. This diversity reduction occurs because  
 317 within a season, the signal autocorrelation gives long, contiguous time intervals to the best com-

petitor to exclude its less adapted competitors. This makes the results likely to hold not only for seasonal environments, but more generally for autocorrelated ones above the daily scale, i.e., “red” noise. In contrast, the random noise scenario – which can be considered white noise above the daily temporal scales – generates large temperature shifts more frequently, and thereby forbids such competitive exclusion. In a seasonal setting, a species with the highest long-term (arithmetically) averaged growth rate may not be the best competitor, and can disappear as a result of a strong competition from both low- and high-temperature tolerant species. This holds with or without a storage effect.

Our results may appear at odds with recent proposals that seasonal forcing in itself would help maintain diversity (Sakavara et al, 2018). However, we compared the effect of seasonal forcing to that of other forcing signals while controlling for total variance. Thus, the contrast between our results and those of Sakavara et al (2018) may be due to the role of forcing variance over time (we compare scenarios under a constant total variance). Overall, while seasonality may be slightly better than no forcing at all in maintaining slightly more diversity than no forcing at all if a storage effect is present, seasonal forcing of parameters does not improve coexistence when compared to white noise. the reddening of the environmental noise due to such seasonality does not necessarily improve coexistence. This increase in autocorrelation, despite keeping the same strength in the forcing signal, can either increase or decrease biomass fluctuations and synchrony, depending on species intrinsic growth rates, with initially no forcing at all, which can in turn destabilize a community (Ruokolainen et al, 2009). dynamistype of dynamical behaviour. It is noteworthy that zooplanktonic predation included in their model probably entails some niche differences, possibly with an emerging self-regulation created by predation processes (Chesson, 2018)., even though this result is partially dampened by added niche differences through zooplanktonic predation.

In addition to community diversity, the biomass-trait relationship also varied from one simulation to another. Some regularities did emerge across simulations though. The storage effect alone begot several clumps along the trait space (as observed by Scranton and Vasseur, 2016). The seasonality that we added to the temperature signal led to more distant clumps on the trait axis, with less species per clump. Conversely, strong self-regulatory mechanisms alone led to relatively

uniform biomass distributions, with species forming a single large cluster, which covers a fraction  
 of the initial trait space. Therefore, the shape of the distribution was mostly affected by the coex-  
 istence mechanism at work while the average trait value was modified by the type of environmental  
 forcing, even though the mean value of the environmental signal did not change. However, when  
 both strong-self regulation and the storage effect were at play, the biomass-trait distribution could  
 either be unimodal or multimodal depending on the type of noise (random or seasonal, respectively)  
 driving the community dynamics. This implies that the mere observation of multimodality in a  
 thermal preference trait-biomass distribution is not a proof of a storage effect, or conversely, the  
 proof of the influence of a seasonal environment. The biomass-trait distributions indeed constitute  
 clues to interpret community dynamics (D’Andrea and Ostling, 2016; Loranger et al, 2018), but  
 the identification of multiple modes in these distributions is a relatively recent feature in models  
 and data (Segura et al, 2013; D’Andrea et al, 2018, 2019) and we recommend to interpret them  
 with caution to avoid over-generalization. ~~The identification of multiple modes in biomass-trait  
 relationships and SADs is relatively recent (Dornelas and Connolly, 2008; Matthews et al, 2014)  
 and is a rare pattern in theoretical models (McGill et al, 2007).~~ Barabás et al (2013) convincingly  
 argued that multimodality could arise from the demographic stochasticity of a single model run  
 (with either self-regulation or neutrality, but without the clumpy coexistence emerging from a  
 storage effect). However, our results are based on many model runs, for which either the storage  
 effect alone or a storage effect + strong self-regulation in a seasonal context consistently produced  
 multimodal distributions, while simulations without the storage effect always led to a single cluster  
 along the trait axis. Our suggestion for empirical studies is as follows: if only one spatial loca-  
 tion is observed, caution in interpreting multiple clumps on the trait axis is of course required,  
 as Barabás et al (2013) highlighted. However, with several locations - or in a theoretical context  
 - one could average across locations. Clumps in the thermal preference trait axis when averaged  
 across model runs/locations may be a “storage effect clue”, for the cases that we considered in the  
 article. Of course, other mechanisms that we did not include in our models may produce similar  
 patterns (Rael et al, 2018) or obfuscate these patterns – typically strong self-regulation weakens  
 the clustering on the trait axis. Moreover, one should note that the occurrence of clustering is

374 very sensitive to the shape of the competition kernel: small differences in shape can shift the  
375 distribution towards either clustered or uniform (Pigolotti et al, 2010). Moreover, we focus on a  
376 trait (thermal optimum) which clearly interacts with the environment: clustering may emerge on  
377 another trait axis, such as size, which typically affects the competition coefficient, without having  
378 any relationship to the storage effect (Segura et al, 2011, 2013; D’Andrea et al, 2018, 2019). We  
379 therefore view clustering on the thermal preference trait axis as an interesting clue suggesting to  
380 look for a storage effect, rather than any definite proof that the storage effect is at work.

381 In our previous empirical study of coastal phytoplankton dynamics (Barraquand et al, 2018),  
382 we did not find any storage effect. This, however, does not mean that it could not be observed  
383 in other planktonic systems. Given the consequences of the storage effect for species richness and  
384 composition presented here, we are skeptical that the storage effect could by itself help explaining  
385 phytoplankton diversity. However, our results suggest that in phytoplankton-like seasonal envi-  
386 ronments, even though empirically-tuned self-regulation produces much more diversity than the  
387 storage effect when considered in isolation, the storage effect can help diversity maintenance when  
388 combined to other mechanisms. Indeed, the combination storage effect + strong self-regulation is  
389 non-additive: the cases where both self-regulation and the storage effect were present showed more  
390 diversity than generated by any mechanism on its own.-

391 The above results suggest the very exciting idea that multiple coexistence mechanisms might  
392 combine superadditively to determine the richness of the community, thus helping us to better  
393 understand the astounding diversity of primary producers. This logic could, in principle, be ex-  
394 tended to mechanisms that we have not considered here (e.g., spatial structure, specialized natural  
395 enemies, that could be as important here for plankton as they are for tropical trees, see Bagchi  
396 et al, 2014; Comita et al, 2014; Barraquand et al, 2018). The effect of interacting mechanisms can  
397 be measured either on community diversity, as we did here, or on processes rates directly, e.g.  
398 the invasion growth rates. Using the latter metric, previous research has however demonstrated  
399 that generalist seed predation could weaken the storage effect (Kuang and Chesson, 2009, 2010)  
400 thus different mechanisms might not always combine superadditively as we found here. That said,  
401 superadditivity has been found in some cases, i.e., pathogens could enhance the storage effect and

402 broaden the conditions in which species could coexist (Mordecai, 2015). Better explaining plant  
 403 or microbial diversity would then not be about selecting the best unique mechanism susceptible  
 404 to explain the observed diversity, but rather better combining those mechanisms together. This  
 405 may obviously be an annoyance for those who like to sharpen Occam’s razor, but it clearly holds  
 406 opportunities for theoreticians wishing to investigate synergies between coexistence mechanisms  
 407 in highly diverse communities. Aside from the synergies between predator diversity-enhancing ef-  
 408 fects, strong self-regulation through various means and storage effects (on the temporal axis), one  
 409 obvious follow-up of this research would be interactions with spatial structure. Spatial structure  
 410 occurs both endogeneously, through spatially restricted movements and interactions, and exoge-  
 411 neously, through spatial variation in environmental covariates (Bolker, 2003). Numerous studies  
 412 (e.g., Bolker and Pacala, 1999; Murrell and Law, 2002) have shown that spatially restricted move-  
 413 ments and interactions - very small-scale spatial structure - can help coexistence, which we believe  
 414 would be especially important for phytoplankton since many species form colonies (Reynolds, 2006;  
 415 see discussion in Barraquand et al, 2018). Moreover, although temperature is usually relatively  
 416 spatially homogeneous over space, other drivers (e.g., rainfall, pH in terrestrial ecosystems; salin-  
 417 ity in aquatic ones) may exhibit spatial variation which is a main factor for coexistence (Snyder,  
 418 2008). The odds that different (resource) niches, natural enemies, spatial limits to competition and  
 419 temporal niche partitioning all interact to promote the very high-dimensional coexistence observed  
 420 in the field seem much higher than for any of those mechanisms alone. Whether the diversity-  
 421 enhancing effects of these mechanisms combine subadditively (as in Kuang and Chesson, 2010) or  
 422 superadditively like here is therefore worthy of further research.

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