# How self-regulation, the storage effect and their interaction contribute to coexistence in stochastic and seasonal environments

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### Abstract

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Explaining coexistence in species-rich communities of primary producers remains a challenge for ecologists because of the likely competition for shared resources. Following Hutchinson's seminal suggestion, many theoreticians have tried to create diversity through a fluctuating environment, which impairs or slows down competitive exclusion. There are now several fluctuating-environment models allowing coexistence, but they often produce only a dozen of coexisting species at best. Here, we investigate how to create even richer communities in fluctuating environments, using an empirically parameterized model. Building on the forced Lotka-Volterra model of Scranton and Vasseur (2016) inspired by phytoplankton communities, we have investigated the effect of two coexistence mechanisms, namely the storage effect and higher intra- than interspecific competition strengths (i.e., strong self-regulation). We tuned the competition ratio based on empirical analyses, in which self-regulation usually dominates interspecific interactions. Although a strong self-regulation maintained more species (50%) than the storage effect (25%), we show that none of the two coexistence mechanisms considered could, by itself, ensure the coexistence of all species present at the beginning of our simulations. Realistic seasonal environments only aggravated that picture, as they decreased persistence relative to a random environment. Our results suggest that combining different mechanisms for biodiversity maintenance into community models might be more fruitful than trying to find which mechanism explains best the observed diversity levels. We additionally highlight that while trait-biomass distributions provide some clues regarding coexistence mechanisms, they cannot indicate by themselves which coexistence mechanisms are at play.

#### Number of words: 242

**Keywords:** coexistence; seasonality; competition; phytoplankton; Lotka-Volterra; storage effect

## 26 1 Introduction

There has been a rich debate in theoretical ecology on how to reconcile niche and neutral perspectives on species coexistence (Gravel et al, 2006; Carmel et al, 2017). Under the neutral perspective, all species have equal birth and death rates and compete equally (since space is limited) whilst under the niche perspective, birth and death rates can vary between species and various mechanisms contribute to increasing intraspecific over interspecific competition (Hubbell, 2001). However, as it has been pointed out repeatedly, niche and neutral processes are not mutually exclusive: they may actually act together to produce observed species coexistence (Gravel et al, 2006; Mutshinda et al, 2009; Götzenberger et al, 2012).

An intriguing offshoot of the niche vs neutrality debate is the concept of 'clumpy coexistence' (Scheffer and van Nes, 2006), whereby simultaneous influences of both niche and neutral processes create several clumps of similar species along a single trait axis. Classical stabilizing niche differences (SNDs), such as a net intraspecific competition stronger than interspecific competition.

36 37 ferences (SNDs), such as a net intraspecific competition stronger than interspecific competition, 38 enable coexistence of multiple clumps (Chesson, 2000), while within-clump coexistence occurs 39 through neutral processes (Hubbell, 2001; Munoz and Huneman, 2016), as species that differ too little in their fitnesses cannot exclude themselves out on reasonable timeframes. Indeed, clumps on the trait axis eventually thin out in absence of immigration, but transient coexistence can 42 last for extended periods of time. This 'emergent neutrality' within groups (Holt, 2006) has been proposed as a unifying concept for the niche and neutral theories. The findings of Scheffer and van Nes (2006) have been disputed due to hidden niches in the original model (Barabás et al, 2013). Hidden niches emerge through stronger intraspecific competition mediated by an additional predation-like term (Barabás et al., 2013). This makes coexistence in the Scheffer and van Nes model more similar to that of the classical Lotka-Volterra model (Barabás et al, 2016), so that coexistence within clumps is not exactly neutral. Still, the idea that niche and neutral assembly can mould communities stays potent (Haegeman and Loreau, 2011; Vergnon et al, 2013). Since then, several studies have suggested that 'clumpy coexistence' can occur in theoretical models, most notably models incorporating temporal variation (Scranton and Vasseur, 2016; Sakavara et al, 2018). In these temporal-variation models, equal competitive strengths are combined with other mechanisms like the storage effect (or temporal niche partitioning, that is an equivalent concept for forced Lotka-Volterra models, Barabás et al, 2012; Scranton and Vasseur, 2016). The storage effect increases the possibility of coexistence by making the interaction strength covary positively with a fluctuating environmental quality (see also Barabás et al, 2012).

Here, we build on the work of Scranton and Vasseur (2016) to investigate the possibility of 58 coexistence through species response to fluctuating environments. Our enthusiasm for the Scranton 59 and Vasseur (2016) model stems from our interest in phytoplankton communities, that inspired 60 their thermal preference curves modeling intrinsic growth rates. However, Scranton and Vasseur 61 (2016) described daily temperature as a random noise, i.e., independent and identically distributed 62 Gaussian random variates over time. This appeared to us a key assumption to relax. Under most latitudes, temperature is indeed a seasonal signal, and seasonal forcing can strongly affect the dynamics of the community considered (Vesipa and Ridolfi, 2017). Hence, over short timescales, random temporal variations often only add noise to a largely deterministic seasonal trend. Our present work can therefore be seen as an attempt to blend Scranton and Vasseur (2016)'s stochastic framework with the periodic environments of Barabás et al (2012), to better represent the mixture of stochastic and deterministic environmental forces affecting phytoplankton community dynamics. Because many phytoplankton species or genera respond in similar ways to temperature despite 70 having different optimas (Moisan et al. 2002), we hypothesized that a large seasonal variation 71 might not necessarily foster species coexistence. In fact, similar responses to seasonal fluctuations 72 should lead to an increased synchrony of species abundances which, in turn, should theoretically 73 mitigate the expected temporal partitioning. How seasonality affects coexistence (as opposed to a 74 purely randomly fluctuating environment) is therefore a key feature of this paper. In particular, we contrast cases where the storage effect is present vs absent, which conveniently maps to two different 76 parameterizations of the forced Lotka-Volterra model. Moreover, the overall diversity obtained at 77 the end of the simulations with Scranton and Vasseur (2016)'s model was relatively low compared 78 to what we usually observe in phytoplankton communities (several dozens to hundreds of species). We have therefore sought out which mechanisms would foster a truly species-rich community for extended periods of time.

In an empirical study combining phytoplankton community-level time series and multivariate autoregressive models (Barraquand et al, 2018), we found that despite a large influence of the environment (including temperature, irradiance, and other factors), a strong intraspecific (or intragenus) competition, when compared to interspecific interaction coefficients, was most likely the key driver of species coexistence. In other words, strong self-regulation had a large role to play in maintaining species diversity in coastal phytoplankton (Barraquand et al, 2018). These high intraspecific interaction strengths mirror those found in a number of terrestrial plant communities (Adler et al, 2018) and in animal communities (Mutshinda et al, 2009).

Here, we therefore try to establish what are the relative contributions to coexistence of the storage effect vs strong self-regulation, in a phytoplankton-like theoretical community model. This led
us to cross different combinations of seasonality in the forcing signal, presence of the storage effect
or not, and intra- vs interspecific competition intensity, in order to disentangle the contributions
of all these factors to biodiversity maintenance.

## $_{5}$ 2 Methods

## $_{ ext{ iny 96}}$ $Models \ description$

The model described in Scranton and Vasseur (2016) is based on the Lotka-Volterra competition model. Fluctuations in the environment are introduced in the model by temperature-dependent intrinsic growth rates (see Eq. 1-2, all coefficients are defined in Table 1) so that species growth rates can be expressed as:

$$\frac{dN_i}{dt} = r_i(\tau)N_i\left(1 - \sum_{j=1}^S \alpha_{ij}N_j\right) - mN_i \qquad (1)$$

$$r_i(\tau) = a_r(\tau_0)e^{E_r\frac{(\tau - \tau_0)}{k\tau\tau_0}}f_i(\tau)$$
 (2)

where 
$$f_i(\tau)$$
 = 
$$\begin{cases} e^{-|\tau-\tau_i^{opt}|^3/b_i}, & \tau \leq \tau_i^{opt} \\ e^{-5|\tau-\tau_i^{opt}|^3/b_i}, & \tau > \tau_i^{opt} \end{cases}$$
(3)

and 
$$b_i$$
 is defined by numerically solving  $\int r_i(\tau)d\tau = A$  (4)

Model parameters are detailed in Table 1, and we set their values to match the features of phytoplankton communities as in Scranton and Vasseur's work (2016). The niche of each species is defined by its thermal optimum  $\tau_i^{opt}$ . Thermal performance curves defined in Eq. 3 are parameterized so that all species share the same niche area (Eq. 4), which sets a trade-off between maximum growth rates and niche width.

Table 1: Parameter definitions and values for the model described in Eqs. 1-4. Parameter values are not specified when they vary with time and/or the species considered.

Name	Definition	Value (unit)
$\overline{S}$	Initial number of species	60 (NA)
$N_{i}$	Biomass density of the $i^{th}$ species	(kg/area)
au	Temperature	(K)
$r_i(\tau)$	Growth rate of species $i$ as a function of temperature	$\left(\frac{\text{kg}}{\text{kg} \times \text{year}}\right)$
$\alpha_{ij}$	Strength of competition of species $j \to i$	0.001  (area/kg)
$b_i$	Normalization constant for the thermal decay rate	$(K^3)$
m	Mortality rate	$15(\frac{\text{kg}}{\text{kg} \times \text{year}})$
$ au_0$	Reference temperature	293 (K) / 20 (°C)
$a_r(\tau_0)$	Growth rate at reference temperature	$386(\frac{\text{kg}}{\text{kg}\times\text{vear}})$
$E_r$	Activation energy	0.467  (eV)
k	Boltzmann's constant	$8.6173324.10^{-5} (eV.K^{-1})$
$f_i( au)$	Fraction of the maximum rate achieved for the $i^{th}$ species	(NA)
$\mu_{ au}$	Mean temperature	293 (K)
$\sigma_{ au}$	Standard deviation for temperature	5 (K)
$ au_{ m min}$	Minimum thermal optimum	288 (K)
$ au_{ m max}$	Maximum thermal optimum	298 (K)
A	Niche breadth	$10^{3.1} \left( \frac{\mathrm{kg}}{\mathrm{kg} \times \mathrm{year}} \right)$
$ au_i^{ ext{opt}}$	Thermal optimum for growth of the $i^{th}$ species	(K)
$\theta$	Scaling between random and seasonal noise	(0;1.3) (NA)
$\kappa$	Ratio of intra-to-interspecific competition strength	(1;10) (NA)

The original environmental forcing is a normally distributed variable centered on 293 K (20°C), 106 with a 5 K dispersion. Temperature varies from one day to the next, but is kept constant through-107 out the day. At the monthly or annual temporal scale usually used in ecological studies, tempera-108 ture could therefore be considered as a white noise (Vasseur and Yodzis, 2004). However, from a 109 mathematical viewpoint, the noise is slightly autocorrelated as the integration process goes below 110 the daily time step. We therefore use the expression 'random noise' to describe this forcing, as 111 opposed to the 'seasonal noise' described hereafter. To construct the seasonal noise, we add to the 112 random forcing signal a lower-frequency component, using a sinusoidal function with a period of 113 365 days (Eq. 5). We tune the ratio of low-to-high frequency with the variable  $\theta$  so as to keep the 114 same energy content - i.e., equal total variance - in the forcing signal. 115

$$\tau(t) = \mu_{\tau} + \theta \sigma_{\tau} \sin(2\pi t) + \epsilon_{t}, \text{ where } \epsilon_{t} \sim \mathcal{N}\left(0, \sigma_{\tau} \sqrt{1 - \frac{\theta^{2}}{2}}\right)$$
 (5)

Note that the upper limit for  $\theta$ ,  $\sqrt{2}$ , corresponds to a completely deterministic model which we do not explore here (but see Zhao (1991) proving bounded coexistence). We choose to keep the stochasticity in the signal and to model a plausible temperature signal with  $\theta = 1.3$  (illustrated in Fig. 1b) when considering a seasonal forcing of the dynamics.

The formulation of the forced Lotka-Volterra model of Scranton and Vasseur (2016) implies a storage effect, as the net effect of competition exerted by species j on i is the product of the temperature-related growth rate  $r_i(\tau)$  and the competitive strength  $\alpha_{ij}$  exerted by species j multiplied by its abundance  $N_j$ . Therefore, total net competition  $(\sum_{j=1}^S r_i(\tau)\alpha_{ij}N_j)$  covaries positively with the growth rate values  $r_i(\tau)$ , which defines the storage effect (Chesson, 1994; Fox, 2013; Ellner et al, 2016). To remove the assumption of an explicit storage effect, we created another version of the model using the mean value of a species' growth rate  $(\bar{r}_i)$  to weight the interaction coefficients (see Eq. 6). The mean growth rate value was computed by first generating the temperature time series and then averaging all  $r_i$  over the corresponding sequences of  $\tau$  values.

$$\frac{dN_i}{dt} = N_i \left( r_i(\tau) - \sum_{j=1}^S \bar{r}_i \alpha_{ij} N_j \right) - mN_i \tag{6}$$

Following Eq. 6, net competition remains unaffected by the environmental conditions, in contrast to intrinsic growth rates, while preserving the same average magnitude as in Eq. 1.

Strong self-regulation is ensured by the addition of the coefficient  $\kappa$ , which is the ratio of intrato-interspecific competition strength. We can therefore re-write the interaction coefficients  $\alpha_{ij}$  in Eq. 7

$$\alpha_{ij} = \alpha \left( 1 + (\kappa - 1)\delta_{ij} \right) \tag{7}$$

where  $\delta_{ij}$  is the Kronecker symbol, equal to 1 if i = j and to 0 otherwise. The value of the parameter  $\kappa = 10$  was chosen from analyses of phytoplanktonic data (Barraquand et al, 2018)<sup>1</sup>. Hereafter, the expression "strong self-regulation" characterizes dynamics where the intraspecific competition strength is 10 times higher than the interspecific competition strength, as opposed to

<sup>&</sup>lt;sup>1</sup>The values of non-zero interspecific competition coefficients reported in Fig. 5 of Barraquand et al (2018) are somewhat higher than here (and  $\kappa$  lower, closer to 4) because the best-fitting model actually set to zero some intergroup competition coefficients; using a model where all  $\alpha_{ij}$  are non-zero leads in contrast to  $\kappa = 10$ .

"equal competitive strengths" where intra- and interspecific competition strengths are equal.

In addition to two types of environmental forcings (random noise with  $\theta = 0$ , and seasonal noise with  $\theta = 1.3$ ), we compare the results for four formulations of the model: with and without an explicit storage effect (Eq. 1 and Eq. 6, respectively); with strong self-regulation or equal intraand inter-competition strength ( $\kappa = 10$  or  $\kappa = 1$ , respectively). These are summed up in Table 2.

$\frac{1}{N_i} \frac{dN_i}{dt} + m_i$	Storage effect	No storage effect
Strong self-regulation ( $\kappa = 10$ )	$r_i(\tau) \left(1 - \sum_{j=1}^{S} \alpha \left(1 + 9\delta_{ij}\right) N_j\right)$	$r_i(\tau) - \sum_{j=1}^{S} \bar{r}_i \alpha \left(1 + 9\delta_{ij}\right) N_j$
Equal competitive strengths $(\kappa = 1)$	$r_i(\tau) \left(1 - \sum_{j=1}^{S} \alpha N_j\right)$	$r_i(\tau) - \sum_{j=1}^{S} \bar{r}_i \alpha N_j$

Table 2: Growth rate of species i in the four formulations of the model we present

## 144 Set-up

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We replicate the 'Species sorting' experiment of Scranton and Vasseur (2016) so as to investigate how the structure of synthetic phytoplankton communities varies under the different scenarios we described above. We focused on the dynamics of a community initialized with 60 species with thermal optima uniformly spaced along the interval [15°C, 25°C], and with the same initial density  $(\frac{1}{\alpha S})$ . Each simulation was run for 5000 years in 1-day intervals. When the density of a species dropped below  $10^{-6}$ , it was considered extinct. For each combination of parameters (type of environmental signal, storage effect and stabilizing niche differences), we ran 100 simulations.

All simulations were run with Matlab's ode45 algorithm, an explicit Runge-Kutta (4,5) integration scheme with an absolute error tolerance of  $10^{-8}$ , and relative error tolerance of  $10^{-3}$ . The code is available in a GitHub repository<sup>2</sup>.

<sup>&</sup>lt;sup>2</sup>https://github.com/CoraliePicoche/Seasonality, will be made public upon acceptance or at the reviewer's request and stored in Zenodo

## 155 3 Results

Typical dynamics of the community following Eq. 1 (the model of Scranton and Vasseur, 2016), 156 with both a purely Gaussian noise (original choice of Scranton and Vasseur, 2016; Fig. 1a) and a 157 seasonal noise described in Eq. 5 (our variant, Fig. 1b), are shown in Fig. 1c and d, respectively. A sinusoidal forcing produces the strongly seasonally structured dynamics that are typical of 159 phytoplankton. Even though only 5 species can be seen in Fig. 1c, there were 14 species still 160 present at the end of the simulation forced by a random noise, with large disparities in the range 161 of their biomasses. A third of the species kept a biomass above 10 kg/area (setting area = 1 ha, 162 with a depth of a few meters, produces a realistic standing biomasses; Reynolds, 2006) while 6 out 163 of the 14 species biomasses remained below the unit. All persisting species in the random noise 164 simulation were clustered within a 3.2°C-range of thermal optima (see the biomass distribution as 165 a function of the thermal optimum in Supplementary Material, Fig. A1). No obvious temporal 166 patterns (e.g., cycles) could be seen in the community forced by random noise. On the contrary, 167 seasonal cycles were clear in the seasonally-forced case of Fig. 1d. Only 4 species coexisted at 168 the end of the simulation with seasonal noise, gathered in two groups with large thermal optimum 169 differences (5.7°C between the maximum thermal optimum of the first group and the minimum 170 thermal optimum of the second group). When temperatures were high, the group with higher 171 thermal optima reached its maximum biomass, then as temperature decreases through the season, 172 these species leave room for the growth of the low-temperature group.

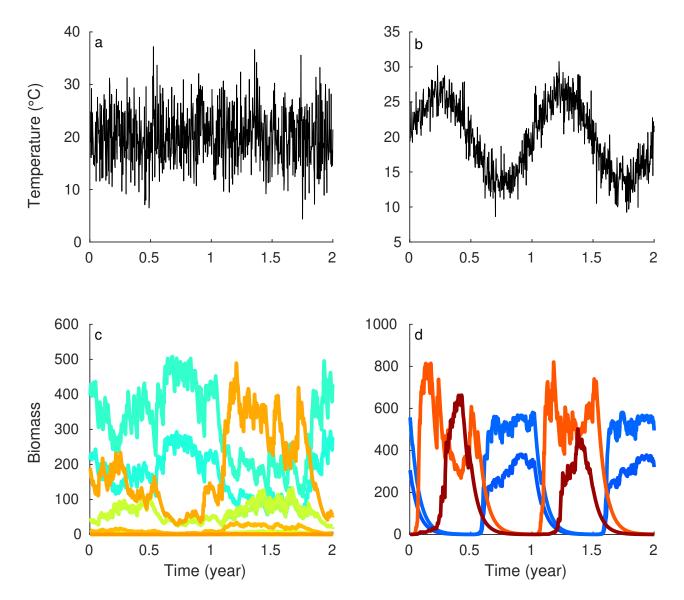


Figure 1: Time series of temperature (top; a-b) and extant species (bottom; c-d) for the 2 last years of a 5000-year simulation, with storage effect but no differences between intraspecific and interspecific competition strengths. The forcing temperature is either a random noise (a) or a seasonal noise (b), leading to community dynamics with more erratic fluctuations (c) vs seasonally structured fluctuations (d). Line colors of species biomasses correspond to their thermal optimum (from blue, corresponding to low thermal optimum, to red, corresponding to high thermal optimum).

The decrease in persistence due to seasonality observed in Fig. 1 was confirmed in all our simulations (Fig. 2). In cases where final species richness varied from one simulation to another (namely, the two middle cases in Fig. 2: with storage effect but without strong self-regulation, or without storage effect but with strong self-regulation), seasonality reduced the number of extant species to, on average, 27% and 48% of their original values, respectively (Fig. 2). A seasonal signal

therefore led to a much smaller average persistence. There was also less variance in persistence between seasonally forced simulations compared to random noise simulations.

Both a strong self-regulation and the storage effect markedly increased persistence. Without 181 any of these coexistence mechanisms, only one species persisted at the end of the simulations. 182 When only the storage effect was present, the number of extant species varied between 8 and 20 183  $(14.8 \pm 2.4)$  with random noise, or 2 and 6  $(4.1 \pm 0.7)$  with a seasonal signal. On the other 184 hand, when only a strong self-regulation was present, the number of extant species nearly doubled, 185 varying between 20 and 32 (27.5  $\pm$  2.4), or 12 and 15 (13.3  $\pm$  0.6), with a random or a seasonal 186 noise, respectively. Remarkably, when the storage effect and a strong self-regulation both affected 187 the community dynamics, all species persisted in the community: the number of species coexisting 188 with both mechanisms present is therefore greater than the sum of the species coexisting with either 189 mechanism alone. The two mechanisms therefore combine superadditively, as their interaction has 190 a positive effect on the richness of the community. , while neither of these mechanisms was able 191 to produce that result alone, for either random and seasonal noise.

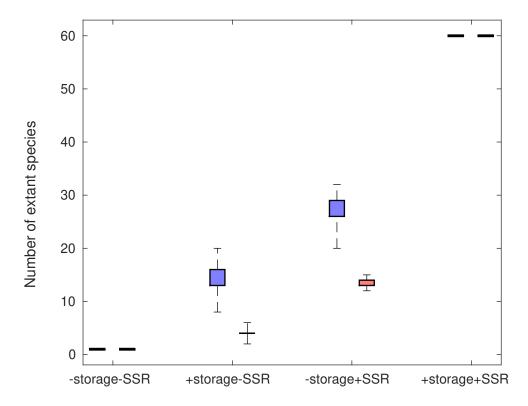


Figure 2: Number of species still present at the end of 100 simulations (5000 years each), initialized with 60 species, with a random forcing signal (blue) or a seasonal noise (red). The signs + or - storage refer to presence or absence of the storage effect, respectively; + / - SSR, presence or absence of Strong Self-Regulation, respectively. Community compositions are stable in the cases -storage-SSR and +storage+SSR, for which 1 or 60 species are still present at the end of all simulations, respectively. Due to low variance, the whiskers here represent min and max rather than 1.5 interquartile range.

The trait-biomass distribution of the community was affected by the type of forcing even 193 when the richness of the community was stable (Fig. 3). Without storage effect nor strong self-194 regulation, there was only one species left at the end of the simulations. A random noise favored 195 species with intermediate thermal optima: the final species had a thermal optimum between 18.9°C 196 and 21.4°C (only a fourth of the initial range of thermal optima) for two simulations out of three 197 and the maximum final biomasses over 100 simulations was reached in this range (Fig. 3a). This 198 distribution may indicate a selection for the highest long-term growth rates, averaged over time (see 199 scaled growth rates in Fig. 3). Seasonality with no coexistence mechanisms also led to a single final species but, in this case, the species always had a higher maximum growth rate (thermal 201 optimum above 22°C). Species with a higher thermal optimum were more likely to persist and to reach a higher biomass at the end of the simulation. 38% of the simulations therefore ended with
the species having the highest temperature optimum, 25°C. The shift in trait distribution towards
higher maximum growth rates with a seasonal noise vs higher average growth rates with a random
noise was consistent for all model types considered.

When both storage effect and strong self-regulation were present, the 60 initial species coexisted 207 with small variations in biomasses for each species over the 100 simulations (mean CV=0.008) 208 across simulations with either a random or a seasonal noise, Fig. 3b and d). The forcing signal 209 modified only the distribution of biomasses resulting in contrasted community structures despite 210 equal richness in both simulation types. With a random noise, the distribution was unimodal with 211 a maximum biomass reached for the second highest long-term average growth rate (corresponding 212 to a thermal optimum of 20.2°C). On the contrary, a seasonal signal led to a bimodal distribution 213 (centered on 17.0°C and 24.4°C), each corresponding to one season, with highest biomasses for 214 higher thermal optima (Fig. 3d). The minimum biomass was reached for the highest long-term 215 average growth rate at an intermediate temperature (20.4°C).

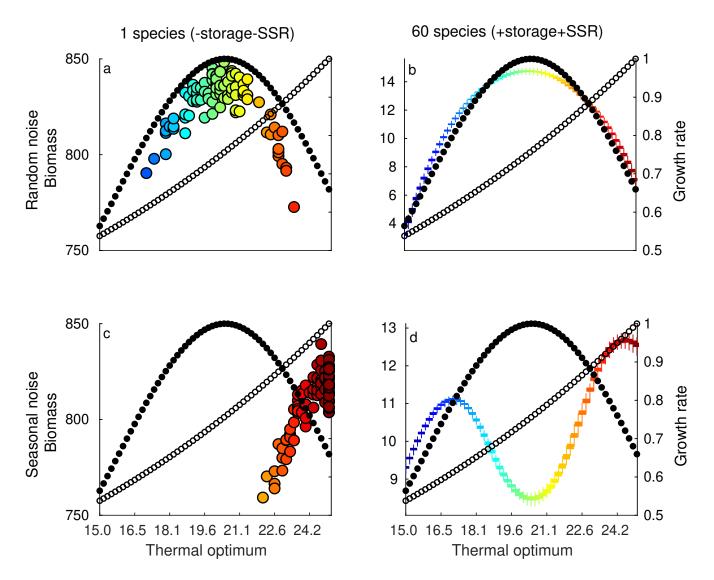


Figure 3: Mean biomass distribution over the last 200 years for 100 simulations, as a function of the species thermal optima. Here we consider the two stable-composition cases and two types of forcing signal. On the left column, simulations without storage effect nor strong self-regulation are presented. Only one species is present at the end of the simulations and its mean value is represented by one large colored circle per simulation. There can be several circles for the same species, corresponding to multiple simulations ending with this species alone. On the right column, simulations with storage effect and strong self-regulation are represented. All species are present at the end of the simulations and small boxplots present the variation in the temporal average of biomass with a given trait, for 100 simulations. The forcing signal is either a random (top) or a seasonal noise (bottom). Each species is identified by its thermal optimum through its color code. Scaled (divided by maximum) average and maximum growth rates are shown as small filled and open circles, respectively, and are indexed on the right y-axis.

In cases where the richness of the community varied, the overall shape (multimodal vs unimodal)
of the marginal distribution of extant species with respect to the trait axis were similar for both
types of environmental forcings (Fig. 4). By contrast, the type of coexistence mechanism generated

different shapes. Indeed, the storage effect (when acting alone) led to a multimodal biomass 220 distribution with respect to thermal optima. We always observed 3 modes with a random noise 221 and 3 modes in 95% of the seasonal simulations (Fig. 4a). With a random noise, extant species were 222 grouped in rather similar clumps regarding species thermal optima (between 18.8°C and 22.2°C) 223 whereas species tended to be further apart in the seasonal case, covering a total range of 7.7°C, with 224 species grouping in the higher part of the thermal range, above 22°C. On the other hand, strong 225 self-regulation led to a quasi-uniform biomass distribution (Fig. 4b). Species in communities forced 226 by a random noise stayed in the lower range of thermal optima (in 96% of the simulations, the 227 highest thermal optimum was 22.4°C, see Fig. A2 in the Supplementary Material) while they were 228 filtered out in communities subjected to a seasonal fluctuation of their environment, for which 229 species with thermal optima above 20.5°C persisted. As before (Fig. 3), seasonality promoted 230 species with a higher maximum growth rate since the autocorrelated temperatures enabled them 231 to achieve this highest growth rate for a longer period of time than a random noise would have. 232

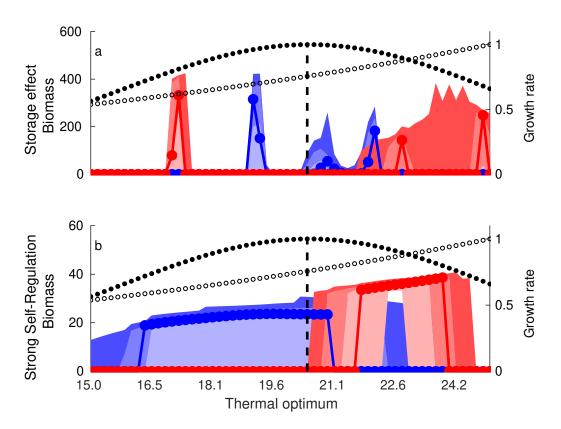


Figure 4: Mean biomass distribution over the last 200 years for 100 simulations, as a function of thermal optima, with storage effect and equal competitive strengths (a) and without storage effect, with strong self-regulation (b). The forcing signal is either a random (in blue) or a seasonal noise (in red). Shades of the same color correspond to the 50th, 90th and 100th percentiles of the distributions while colored lines correspond to one representative simulation. Scaled (divided by maximum) average (whose maximum is indicated by the dashed line) and maximum growth rates are shown as filled and open and circles, respectively, and indexed on the right y-axis.

## 4 Discussion

We have simulated competitive Lotka-Volterra dynamics forced by a fluctuating environment (e.g.,
temperature fluctuations) under a range of scenarios allowing more or less coexistence. Two
coexistence mechanisms, the storage effect and strong self-regulation (i.e., intraspecific competition
much stronger than interspecific competition), could be either present or absent, which led to four
scenarios. These four scenarios were crossed with two possibilities for the forcing signal, a random
noise (mostly white) and a stochastic yet seasonal signal, both with equal temporal variance.

Our investigation therefore built on the model of Scranton and Vasseur (2016), which included a 240 random forcing and a storage effect, but considered seven additional combinations of mechanisms. 241 This was motivated by our wish to include two observed features of phytoplankton dynamics: 242 seasonal cycles (Winder and Cloern, 2010) and strong self-regulation (Chesson, 2000; Adler et al, 243 2010; Barraquand et al., 2018). Many mechanisms can lead to intraspecific competition being 244 stronger than interspecific competition: nonlinearities in the functional forms of competition or 245 mutualism that contribute to increasing self-regulation (Kawatsu and Kondoh, 2018), or predation 246 as well as parasitism (see e.g., the generalist predators in Haydon, 1994). Strong self-regulation 247 seems nonetheless an ubiquitous feature in competition networks of primary producers (Adler et al, 248 2018), and perhaps even more general networks (Barabás et al., 2017). 249

Before discussing the ecological interpretation of our results, we first recall some technical 250 assumptions made in this study. All our simulations lasted for a fixed duration (5000 timesteps) 251 as in Scranton and Vasseur (2016). This means that short- and medium-term transients (a few 252 years to hundreds of years) are completely negligible at the end of the time series, but very long transients can remain and can still reveal part of the community functioning Hastings et al (2018). 254 We realized that convergence could be incomplete after 5000 years in some cases (e.g., random noise + storage effect + equal competitive strength). Such simulations would take up to 15 000 years 256 to converge and the rate of convergence would slow over time, as can also be observed for similar 257 models (Scheffer and van Nes, 2006). We kept a fixed time integration window rather than waiting 258 for convergence for both technical and ecological reasons. From a technical standpoint, adding 250 10 000 years of numerical integration (or more) for the sake of reaching equilibrium would have 260 been very challenging computationally, and comparison with the values reported by Scranton and 261 Vasseur (2016) would have been compromised. Another way to shorten the transients in the case 262 developed by Scranton and Vasseur (2016) would be to vary the mortality parameter, shifting the 263 model further away from neutral dynamics. This did not alter the conclusions (see Appendix B in 264 Supplementary Material). Speed of convergence therefore depends on the mechanisms considered. 265 As we wanted to focus on the storage effect and the self-regulation while keeping all other things 266 equal, we did not change the time window for integration From an ecological standpoint, waiting 267

for full convergence when there are extremely long transients (Hastings et al, 2018) is also quite
artificial: there is no reason to believe that very long transients (i.e., transients that maintains
for thousands of years) have any less ecological reality than an attractor that is deemed stable.
Speed of convergence is therefore an issue to judge whether transients should be considered or
excluded, and a very long yet fixed time window for integration allows advantageously to compare
all mechanisms.

Another assumption pertains to competition coefficients. To allow for comparison with Scran-274 ton and Vasseur (2016), we did not introduce variability in intraspecific competition strength 275 or interspecific competition strength. By contrast, data-based coefficients vary between species 276 (Barraquand et al, 2018), with a majority of weak interactions (as suggested in Wootton and Em-277 merson, 2005) and more variance in intraspecific coefficients. Stump (2017) recently considered the 278 potential effects of competition coefficient variability (also called non-diffuse competition), as did 279 Kokkoris et al (2002); more variance in interspecific competition strength is usually detrimental to 280 coexistence (see Stump (2017) for a classification of the various effects). Setting the competition 281 coefficients using a multidimensional trait-based framework, like that of Ashby et al (2017), would 282 provide a natural development to the work presented here; it is in our opinion difficult to speculate 283 on those variance effects because both intra- and interspecific competition coefficient variances may 284 matter to community persistence. 285

Finally, our study is limited to communities whose species have fast population dynamics relative to the yearly timescale, like phytoplankton and likely other fast-living organisms, so that many generations can occur in a year. Different effects of seasonality may occur in species that have slower life histories or with generations that extend over multiple years (e.g., multiyear cycles and chaotic attractors, Rinaldi et al 1993; Taylor et al 2013; Tyson and Lutscher 2016). Persistence may be affected differently by seasonality in such cases with slower community dynamics (Miller and Klausmeier, 2017).—

With these assumptions in mind, we have found that first, temporally forced Lotka-Volterra dynamics cannot sustain any diversity with our phytoplankton-based set of parameters, unless the structure is geared to include either a storage effect or a strong self-regulation. Although this

absence of diversity-enhancing effect of "pure" environmental variation has already been stated by 296 other authors (Chesson and Huntly, 1997; Barabás et al. 2012; Fox. 2013; Scranton and Vasseur, 297 2016), this is not always intuitive (Fox, 2013), so we feel compelled to stress it once more: tem-298 poral variation in growth rate alone cannot help coexistence within competitive communities. A 299 nice point made by Scranton and Vasseur (2016) was that a built-in storage effect in a forced 300 Lotka-Volterra model, parameterized for phytoplankton communities, could lead to some degree 301 of coexistence. Our investigation reproduced these results, using the random noise considered by 302 Scranton and Vasseur (2016). However, an arguably more lifelike noisy and seasonal temperature 303 forcing considerably lessened the richness of the community after 5000 years, decreasing from 15 304 to 4 species on average. Even imagining that groups represented here are genera or classes rather 305 than species, this is a fairly low diversity for a phytoplankton-like community (see e.g., Chapter 306 1 in Reynolds, 2006). This suggests that the storage effect may not, on its own, be sufficient 307 to maintain species-rich communities (e.g., dozens to hundreds of species). We have therefore 308 sought out whether a stronger self-regulation could maintain a higher diversity, using field-based 309 intra- vs intergroup (species or genera) competition strength ratio (Barraquand et al., 2018), where 310 the intragroup density-dependence was estimated 10 times stronger. Implementing such strong 311 self-regulation, in the forced Lotka-Volterra models that we considered, produced a higher level of 312 diversity than the storage effect (almost double). Of course, the result is somehow contingent upon 313 the strength of self-regulation. Our estimates are a little stronger than what was found in perennial 314 plants (Adler et al., 2010), where interspecific competition was suggested 4 or 5 times stronger than 315 intraspecific. Still, the widespread effects of natural enemies in phytoplankton (zooplankton, para-316 sites) may contribute to increase the strength of self-regulation (Barraquand et al., 2018; Chesson, 317 2018) relative to other systems, hence we believe that 10 times stronger intraspecific competition 318 constitutes a reasonable order of magnitude. 319

However, such strong self-regulation was still insufficient to maintain the whole community diversity (60 species) by itself, especially when the seasonal forcing (always decreasing species richness) was considered. The diversity within clumps of similar values of thermal optima was considerably decreased once seasonality was implemented. This diversity reduction occurs because

within a season, the signal autocorrelation gives long, contiguous time intervals to the best com-324 petitor to exclude its less adapted competitors. This makes the results likely to hold not only for 325 seasonal environments, but more generally for autocorrelated ones above the daily scale, i.e., "red" 326 noise. In contrast, the random noise scenario – which can be considered white noise above the 327 daily temporal scales – generates large temperature shifts more frequently, and thereby forbids such 328 competitive exclusion. In a seasonal setting, a species with the highest long-term (arithmetically) 329 averaged growth rate may not be the best competitor, and can disappear as a result of a strong 330 competition from both low- and high-temperature tolerant species. This holds with or without a 331 storage effect. 332

Our results may appear at odds with recent proposals that seasonal forcing in itself would help
maintain diversity (Sakavara et al, 2018). However, we compared the effect of seasonal forcing to
that of other forcing signals while controlling for total variance. Thus, the contrast between our
results and those of Sakavara et al (2018) may be due to the role of forcing variance over time
(we compare scenarios under a constant total variance). Overall, while seasonality may be slightly
better than no forcing at all in maintaining diversity if a storage effect is present, seasonal forcing
of parameters does not improve coexistence when compared to white noise.

In addition to community diversity, the biomass-trait relationship also varied from one sim-340 ulation to another. Some regularities did emerge across simulations though. The storage effect 341 alone-begot several clumps along the trait space (as observed by Scranton and Vasseur, 2016). The 342 seasonality that we added to the temperature signal led to more distant clumps on the trait axis, 343 with less species per clump. Conversely, strong self-regulatory mechanisms alone led to relatively 344 uniform biomass distributions, with species forming a single large cluster, which covers a fraction 345 of the initial trait space. Therefore, the shape of the distribution was mostly-affected by the 346 coexistence mechanism at work while the average trait value was modified by the type of environ-347 mental forcing, even though the mean value of the environmental signal did not change. However, 348 when both mechanisms were at play, the biomass-trait distribution could either be unimodal or 349 multimodal depending on the type of noise, random or seasonal, driving the community dynamics. 350 This implies that the mere observation of multimodality is not a proof of the storage effect acting 351

on its own, or conversely, the proof of the influence of a seasonal environment. The biomass-trait 352 distributions therefore indeed constitute clues to interpret community dynamics (D'Andrea and 353 Ostling, 2016; Loranger et al., 2018), although we certainly recommend to interpret them with 354 caution to avoid over-generalization. The identification of multiple modes in biomass-trait rela-355 tionships and SADs is relatively recent (Dornelas and Connolly, 2008; Matthews et al., 2014) and 356 is a rare pattern in theoretical models (McGill et al, 2007). Barabás et al (2013) convincingly 357 argued that multimodality could arise from the demographic stochasticity of a single model run 358 (with either self-regulation or neutrality, but without the clumpy coexistence emerging from a 359 storage effect). However, our results are based on many model runs, for which either the storage 360 effect alone or a storage effect + strong self-regulation in a seasonal context consistently produced 361 multimodal distributions, while simulations without the storage effect always led to a single cluster 362 along the trait axis. Our suggestion for empirical studies is as follows: if only one spatial location is 363 observed, caution in interpreting multiple clumps on the trait axis is of course required, as Barabás 364 et al (2013) highlighted. However, with several locations - or in a theoretical context - one could average across locations to reproduce similar graphs to the ones produced here. Clumps in the trait 366 axis when averaged across model runs/locations are therefore a signature of a coexistence induced 367 by the storage effect, for the cases that we considered in the article. Of course, other mechanisms 368 that we did not include in our models may produce similar patterns (Rael et al, 2018) or obfus-369 cate these patterns – typically strong self-regulation weakens the clustering on the trait axis. We 370 therefore view clustering on the trait axis (when averaged over several samples) as an interesting 371 clue suggesting to look for a storage effect, rather than any definite proof that the storage effect 372 is at work. In addition to ecological considerations, we may want to mention that according to 373 Pigolotti 2010 thinks that's because of the form of the competition kernel; and Hernandez-Garcia 374 et al. 2009 ascribe this to variable carrying capacity. Here we have kind of both. 375

In our previous empirical study of coastal phytoplankton dynamics (Barraquand et al, 2018),
we did not find any storage effect. This, however, does not mean that it could not be observed
in other planktonic systems. Given the consequences of the storage effect for species richness and
composition presented here, we are skeptical that the storage effect could by itself help explaining

phytoplankton diversity. However, our results suggest that in phytoplankton-like seasonal environments, even though empirically-based self-regulation produce much more diversity than the storage effect when considered in isolation, the storage effect can help diversity maintenance when combined to other mechanisms. Indeed, the combination storage effect + strong self-regulation is non-additive: the cases were both self-regulation and the storage effect were present showed more diversity than generated by any mechanism on its own.

The above results suggest the very exciting idea that multiple coexistence mechanisms might 386 combine superadditively to affect the richness of the community, thus helping us to better under-387 stand the astounding diversity of primary producers. This logic could, in principle, be extended 388 to mechanisms that we have not considered here (e.g., spatial structure, specialized natural ene-389 mies, that could be as important here for plankton as they are for tropical trees, see Bagchi et al, 2014; Comita et al, 2014; Barraquand et al, 2018). The effect of interacting mechanism can be 391 measured either on community diversity, as we did here, or on other processes of the commu-392 nity such as the growth rates of species when rare.—Using the latter metric, Pprevious research has however demonstrated that generalist seed predation could weaken the storage effect-(Kuang 394 and Chesson, 2009, 2010) thus different mechanisms might not always combine superadditively 395 as we found here.—That said, superadditivity has been found in some cases, i.e., pathogens could 396 enhance the storage effect and broaden the conditions in which species could coexist-(Mordecai, 397 2015). Better explaining plant or microbial diversity would then not be about selecting the best 398 unique mechanism susceptible to explain the observed diversity, but rather better combining those 399 mechanisms together. This may obviously be an annoyance for those who like to sharpen Occam's 400 razor, but it clearly holds opportunities for theoreticians wishing to investigate synergies between 401 coexistence mechanisms in highly diverse communities. Aside from the synergies between preda-402 tor diversity-enhancing effects, strong self-regulation through various means and storage effects 403 (on the temporal axis), one obvious follow-up of this research would be interactions with spatial 404 structure. Spatial structure occurs both endogeneously, through spatially restricted movements 405 and interactions, and exogeneously, through spatial variation in environmental covariates (Bolker, 2003). Numerous studies (e.g., Bolker and Pacala, 1999; Murrell and Law, 2002) have shown that 407

spatially restricted movements and interactions - very small-scale spatial structure - can help co-408 existence, which we believe would be especially important for phytoplankton since many species 409 form colonies (Reynolds, 2006; see discussion in Barraquand et al, 2018). Moreover, although 410 temperature is usually relatively spatially homogeneous over space, other drivers (e.g., rainfall, pH 411 in terrestrial ecosystems; salinity in aquatic ones) may exhibit spatial variation which is a main 412 factor for coexistence (Snyder, 2008). The odds that different (resource) niches, natural enemies, 413 spatial limits to competition and temporal niche partitioning all interact to promote the very high-414 dimensional coexistence observed in the field seem much higher than for any of those mechanisms 415 alone. Whether the diversity-enhancing effects of these mechanisms combine subadditively (as in 416 Kuang and Chesson, 2010) or superadditively like here is therefore worthy of further research.

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