

User's Guide for Resampling Package

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1 Introduction

1.1 Objective

In order to study the effect of replicates via performing gene differential expression analyses to htseq counts data, we need to resample the original data set to construct a new truth set for a more accurate and reasonable result. Further, resampling the new truth set gives different sample subsets which can be used for subgroup comparison and analysis.

1.2 Description

Package *Resampling* provides a two-step resampling of the data sets provided by data package “mydata” in order to generate new truth set and perform further subgroup gene differential expression analyses. It mainly consists of two parts:

- first-step resampling: `rang()`
- second-step resampling: `card`, `subrang()`

The first-step resampling is realized via function `rang()`. This function returns a sample matrix by randomly generating a desired number of sample indices for certain times from original data set. New truth sets can be constructed through this sample matrix. The second-step resampling is implemented by functions `card` and `subrang()`. Note that `card` is only necessary when we deal with TCGA-sarc and it has to be executed before

`subrang()`. This is because the data structure for TCGA-sarc is different from that for TCGA-luad and TCGA-brca. Paired samples come from the same patient in the latter cases, i.e., we only need to resample the indices of patients. Nevertheless, “dl(differentiated liposarcoma)” and “lm(leiomyosarcoma)”, two types of sarcoma, are chosen to construct two sample conditions of TCGA-sarc. Moreover, they all come from different patients and possess different numbers of samples. Therefore, resampling has to be performed to “dl” and “lm” separately to obtain paired samples. Also, even if the total numbers of samples coincide for two new truth sets, they may differ in the partition of conditions “dl” and “lm”. In this case, function `card` imports the sample matrix resulting from `rang()` and exports a list containing vectors with the information of sample partitions “dl” and “lm” of the new truth sets, including their cardinalities¹. The other function `subrang()` returns an index matrix which corresponds to a group of subsets of one new truth set. These subsets can be used in further differential expression analyses.

2 Standard workflow

First of all, package *Resampling* needs to be installed. You can just use `install_github("Coraline66/Resampling")`. As `install_github` is a function from `devtools`, this package should be loaded before the installation.

2.1 First-step resampling

The first-step resampling is utilized for the construction of new truth set through function `rang(SEED, N, M, n)`. There are four parameters in `rang()`:

- **SEED**: an integer used as a seed in `set.seed()`.
- **N**: the number of iterations(times), positive integer.
- **M**: a positive integer giving the range for resampling, i.e., length of the complete set of indices of the samples. In our case, M should equals 57 for data type TCGA-luad, 100 for TCGA-brca and 162 for TCGA-sarc.
- **n**: a non-negative integer giving the number of indices chosen from M. **n** is exactly the cardinality of the new truth set if we choose TCGA-sarc, while **n** is half the cardinality of the new truth set if we choose TCGA-luad or TCGA-brca. `rang()` returns an $N \times n$ matrix that each row consists of the indices of samples in the new truth set for each iteration. Here shows the basic r code of first-step resampling.

```
library(devtools)
library(Resampling)
sam <- rang(20161220, 10, 100, 90)
```

In this example, 10 new truth sets are generated for TCGA-brca and each truth set is composed of 90 pairs of samples(180 samples). The indices of these samples are stored in matrix `sam`, row-wisely.

2.2 Second-step resampling

Function `subrang()` together with `card()` achieve the second-step resampling and should only be invoked after the first-step resampling because they use the result matrix of `rang()` as an argument. Function `card()` has one argument `sam` which corresponds to the matrix above and it returns a list comprised of four vectors:

- **DL**: a vector of lists giving the sample indices of “dl” in matrix `sam`. `DL[[j]]` corresponds to the *j*th row of `sam`.

¹Here, the cardinality of a set is defined as the total number of elements in this set.

- **LM**: a vector of lists giving the sample indices of “lm” in matrix **sam**. **LM[[j]]** corresponds to the **j**th row of **sam**.
- **dl**: a numeric vector of the cardinalities of sample condition “dl” in matrix **sam**. **dl[j]** corresponds to the **j**th row of **sam**.
- **lm**: a numeric vector of the cardinalities of sample condition “lm” in matrix **sam**. **lm[j]** corresponds to the **j**th row of **sam**.

Arguments involved in **subrang()** are listed as follows.

- **SEED**: an integer used as a seed in **set.seed()**. It differs from the value used in **rang()**.
- **N**: the number of iterations(times), positive integer.
- **n**: a non-negative integer giving the number of indices chosen from the new truth set. Here the generated sample subset has a cardinality of $2 \times n$. **n** should be no more than **dim(sam)[2]** for TCGA-luad or TCGA-brca. As for TCGA-sarc, since the new truth set is composed of two sample conditions: “dl” and “lm”, **n** should not exceed the smaller cardinality of these two sample groups.
- **sam**: matrix that is comprised of the indices of new truth sets. It’s returned from **rang()**.
- **j**: a positive integer giving the row index of matrix **sam** to choose from. **j** should be no larger than the number of rows of **sam**.
- **type**: character indicating the type of data, i.e., **type** = “luad” if the user wants to do resampling regarding TCGA-luad, **type** = “brca” for TCGA-brca and **type** = “sarc” for TCGA-sarc. Note that double quotes are required.

subrang() returns an $N \times n$ index matrix representing a group of subsets of one new truth set. Note that parameter **j** determines which new truth set obtained from **rang()** is involved in **subrang()**. Each row of the returned matrix corresponds to the generated sample subsets for each iteration. The basic steps of second-step resampling can be shown as follows.

```
library(devtools)
library(Resampling)
sam <- rang(20161220, 10, 100, 90)
samp <- subrang(20161222, 20, 5, 2, sam, "brca")
```

We use the same input in **rang()** as the preceding example and get **sam** as a 10×90 matrix. As **N**=20, **n**=5 and **j**=2, the new truth set is generated from the second row of **sam** and 20 subsets of 5 pairs of samples are constructed after the execution of **subrang()**. The result is stored in **samp**, a 20×5 matrix.

3 Examples

In this section, some examples with complete details are provided for users to get familiar with package “Resampling”. In order to help users comprehend these examples, we give the data structure of TCGA-luad, TCGA-brca and TCGA-sarc as follows.

	luad	brca	sarc
NP	57	100	162
NS	114	200	162
SC	normal-tumor	normal-tumor	dl-lm
NC	N:57 / T:57	N:100 / T:100	dl:58 / lm:104

In this table, “NP” and “NS” are short for number of patients and number of samples, respectively. “SC” stands for the sample conditions, as we defined in the preceding section, “dl-lm” means “differentiated

liposarcoma-leiomyosarcoma". "NC" gives the number of samples for each condition, e.g., "N:100 / T:100" means that both the conditions(normal, tumor) possess 100 samples.

3.1 Example I

Here is the r code for the first example:

```
# load libraries
library(parallel)
library(Resampling)

# first-step resampling
sam <- rang(20161218, 100, 57, 50)

# second-step resampling
# 3, 5, 10, 20, 40 pairs of samples are generated via parallel processing
no_cores <- detectCores() - 1
cl <- makeCluster(no_cores)
clusterExport(cl=cl, c("sam", "subrang"), envir=environment())
k <- c(3, 5, 10, 20, 40)
samp <- parLapply(cl, k,
                  function(k) {
                    subrang(20161219, 50, k, 1, sam, "luad")
                  })
stopCluster(cl)
```

In this example, our goal is to resample the data set of TCGA-luad. First, we need to apply the first-step resampling to the original sample files to attain new truth sets. There are 57 pairs of samples, so M should be equal to 57. 100 new truth sets are generated and stored in `sam`, each of which consists of 50 pairs of samples. In the process of second-step resampling, 3, 5, 10, 20, 40 pairs of samples are picked from the new truth set generated by the first row of matrix `sam` for 50 iterations. The results are stored in `samp(samp[[i]], i=1, 2, 3, 4, 5)` for further analysis.

3.2 Example II

The second example is regarding the data set of TCGA-brca.

```
# load libraries
library(parallel)
library(Resampling)

# first-step resampling
sam <- rang(20161220, 50, 100, 80)

# second-step resampling
# 3, 5, 10, 20, 50, 80 pairs of samples are generated via parallel processing
no_cores <- detectCores() - 1
cl <- makeCluster(no_cores)
clusterExport(cl=cl, c("sam", "subrang"), envir=environment())
k <- c(3, 5, 10, 20, 50, 80)
samp <- parLapply(cl, k,
                  function(k) {
```

```

        subrang(20161221, 100, k, 10, sam, "brca")
    })
stopCluster(cl)

```

During the process of first-step resampling, 80 pairs are randomly chosen 50 times out of 100 pairs of samples to build the new truth sets. Parallel processing is performed in the second-step resampling as we pick six subsets with 3, 5, 10, 20, 50, 80 pairs of samples from the new truth set for 100 iterations. Note that under our assumptions, the new truth set is generated by the 10th row of matrix `sam`. The results for the second-step resampling can be found in the list `samp(samp[[i]], i=1, 2, 3, 4, 5)`.

3.3 Example III

Finally, we shall give an example to show how to perform “resampling” to the data set of TCGA-sarc. This is slightly different from the two cases above because the numbers of “liposarcoma” and “leiomyosarcoma” are not equal and we have to figure out the exact numbers for these two conditions before resampling.

```

# load libraries
library(parallel)
library(Resampling)

# first-step resampling
sam <- rang(20161222, 10, 162, 140)

# second-step resampling
# use card() to get sample partitions of new truth sets
len <- card(sam=sam)

# number of "dl"s in the 10 new truth sets
len$dl

## [1] 53 51 51 48 53 50 52 48 52 55

# number of "lm"s in the 10 new truth sets
len$lm

## [1] 87 89 89 92 87 90 88 92 88 85

# 3, 5, 10, 20, 30, 40, 50 pairs of samples are generated via parallel processing
no_cores <- detectCores() - 1
cl <- makeCluster(no_cores)
clusterExport(cl=cl, c("sam", "subrang", "card"), envir=environment())
k <- c(3, 5, 10, 20, 30, 40, 50)
samp <- parLapply(cl, k,
  function(k) {
    subrang(20161223, 20, k, 5, sam, "sarc")
  })
stopCluster(cl)

```

In the process of first-step resampling, parameters `N` and `n` are equal to 10 and 140, respectively. Then `sam` is a 10×140 matrix consisted of the new truth sets. In order to find out the partition of each truth set, we use function `card` on `sam` and save the results in `len`. `len$dl` and `len$lm` represent the numbers of

samples for “liposarcoma” and “leiomyosarcoma” in each new truth set, respectively. Since `len$d1[5]=53`, `len$l1[5]=87`, the maximum pairs of samples we could generate from this new truth set are 53. The left can be done by following the same procedure as we did for TCGA-luad and TCGA-brca. 3, 5, 10, 20, 30, 40, 50 pairs of samples are picked from the new truth set generated by the fifth row of matrix `sam` for 20 iterations. The results are saved in list `samp(samp[[i]], i=1, 2, 3, 4, 5, 6, 7)` for further analysis.

4 Session Info

- R version 3.3.1 (2016-06-21)
- Platform: x86_64-apple-darwin13.4.0 (64-bit)
- Locale:
 - LC_CTYPE=en_US.UTF-8, LC_NUMERIC=C
 - LC_TIME=en_US.UTF-8, LC_COLLATE=en_US.UTF-8
 - LC_MONETARY=en_US.UTF-8, LC_MESSAGES=en_US.UTF-8
- Base packages: devtools, parallel