

# Lecture Notes for **Machine Learning in Python**

Professor Eric Larson  
**Lecture: RNN Demo**

# Lecture Agenda

- Logistics
  - RNNs due **During Finals Time**
- Recurrent Networks
  - *Overview*
  - *Problem Types*
  - *Embeddings*
  - *Types of RNNs*
  - **Demo A**
  - **CNNs and RNNs**
  - **Demo B**
  - **Ethics Case Study**
  - **Course Retrospective**

# Class Overview, by topic

Table Data  
Visualization

Numpy, Pandas, Seaborn  
Overviews with some in-depth discussion

Dimension  
Reduction and  
Image Processing

Scikit-learn, Scikit Image,  
Intuition only, Some mathematics

Linear and  
Logistic  
Regression

Numpy, Recreate API for Scikit-learn  
Detailed mathematics for simple optimization  
intuition for advanced optimization

Neural Networks  
and Back Prop.

Numpy  
Detailed mathematics for NN operations

Wide and Deep  
Networks

Convolutional  
Networks

Recurrent  
Networks

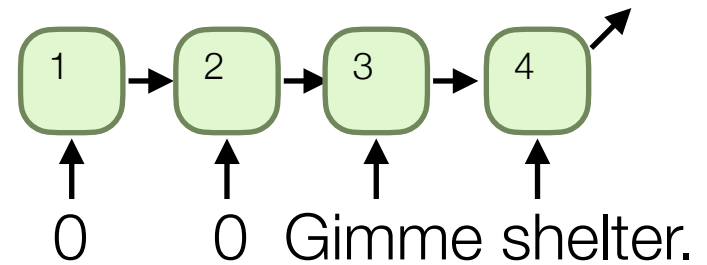
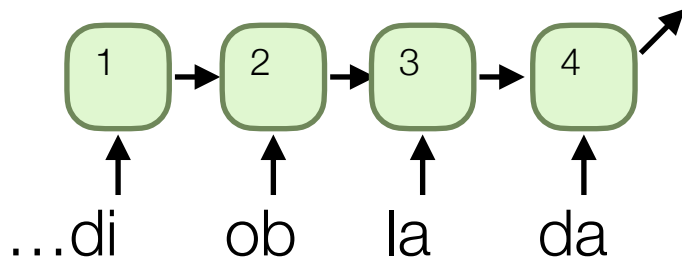
Keras, Tensorflow  
Intuition, Detailed implement.

Ethics in  
Language Models

ConceptNet  
Case studies

# Last Time

- padding/clipping



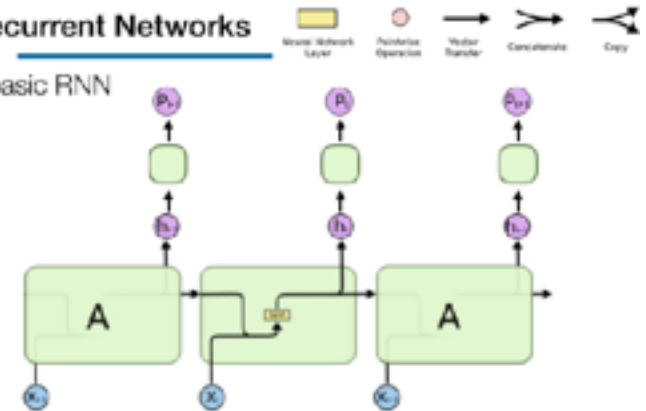
## Visualization

GloVe produces word vectors with a marked banded structure that is evident upon visualization:



## Recurrent Networks

- basic RNN



$$h_t = \tanh(W_A (X_t @ h_{t-1}) + b_A)$$

$$P_t = \text{softmax}(W_P h_t + b_P)$$

<https://arxiv.org/pdf/1609.03126v1.pdf>

# Word Embeddings

- Many are pre-trained for you!!

## GloVe

Global Vectors for Word Representation

### Highlights

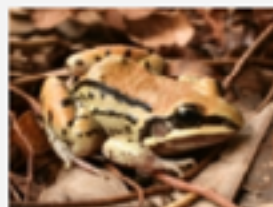
#### 1. Nearest neighbors

The Euclidean distance (or cosine similarity) between two word vectors provides an effective method for measuring the linguistic or semantic similarity of the corresponding words. Sometimes, the nearest neighbors according to this metric reveal rare but relevant words that lie outside an average human's vocabulary. For example, here are the closest words to the target word *frog*:

0. *frog*
1. frogs
2. toad
3. litoria
4. leptodactylidae
5. rana
6. lizard
7. eleutherodactylus



3. litoria



4. leptodactylidae

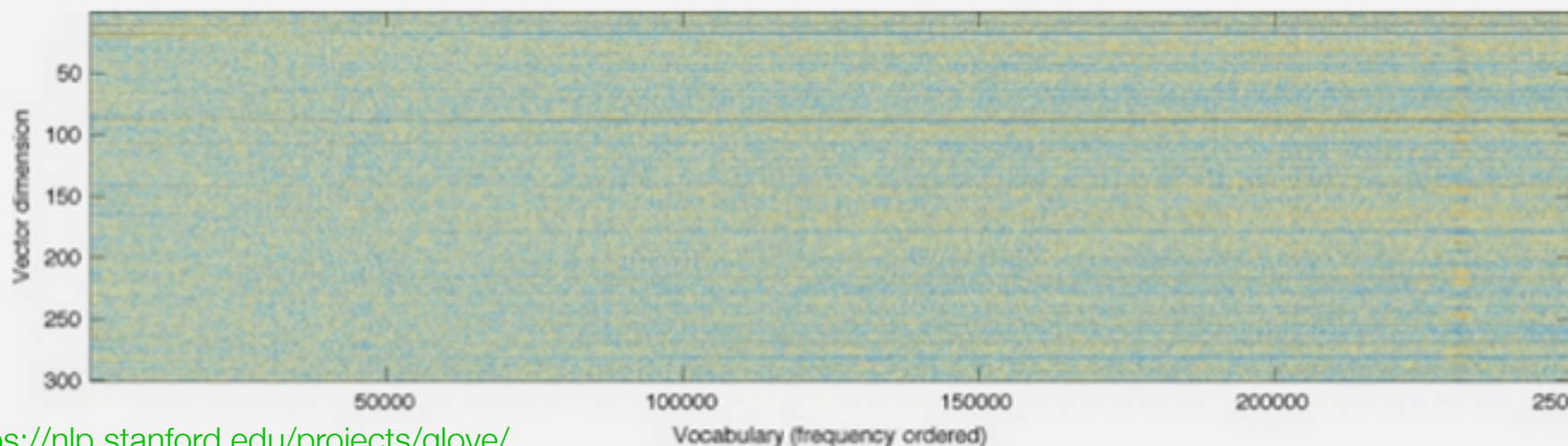


5. rana



7. eleutherodactylus

GloVe produces word vectors with a marked banded structure that is evident upon visualization:





Global Vectors for Word Representation



t-SNE visualizations of word embeddings. Left: Number Region; Right: Jobs Region. From Turian *et al.* (2010), see complete image.

FRANCE	JESUS	XBOX	REDDISH	SCRATCHED	MEGABITS
AUSTRIA	GOD	AMIGA	GREENISH	NAILED	CCTETS
BELGIUM	SATI	PLAYSTATION	BLUISH	SMASHED	MB/S
GERMANY	CHRIST	MSX	PINKISH	PUNCHED	HIT/S
ITALY	SATAN	IPOD	PURPLISH	POPPED	BAUD
GREECE	KALI	SEGA	BROWNISH	CRIMPED	CARATS
SWEDEN	INDRA	PSNUMBER	GREYISH	SCRAPED	KBIT/S
NORWAY	VISHNU	HD	GRAYISH	SCREWED	MEGAHERTZ
EUROPE	ANANDA	DREAMCAST	WHITISH	SECTIONED	MEGAPIXELS
HUNGARY	PARVATI	GEFORCE	SILVERY	SLASHED	GBIT/S
SWITZERLAND	GRACE	CAPCOM	YELLOWISH	RIPPED	AMPERES

The **chairman** called the **meeting** to order.

The **director** called the **conference** to order.

The **chief** called the **council** to order.

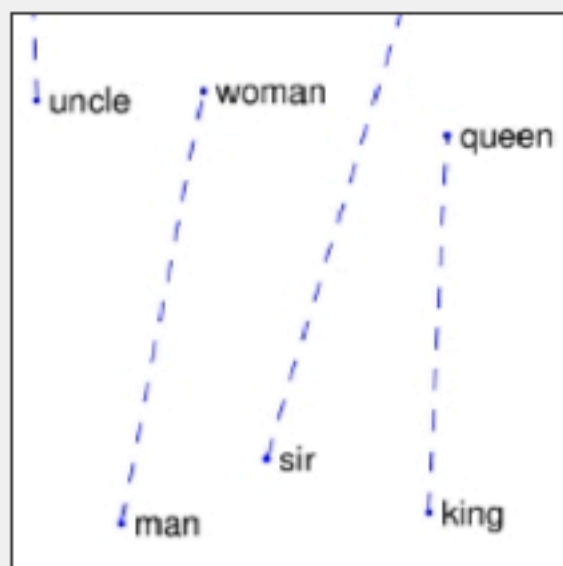
What words have embeddings closest to a given word? From Collobert *et al.* (2011)

<http://colah.github.io/posts/2014-07-NLP-RNNs-Representations/>

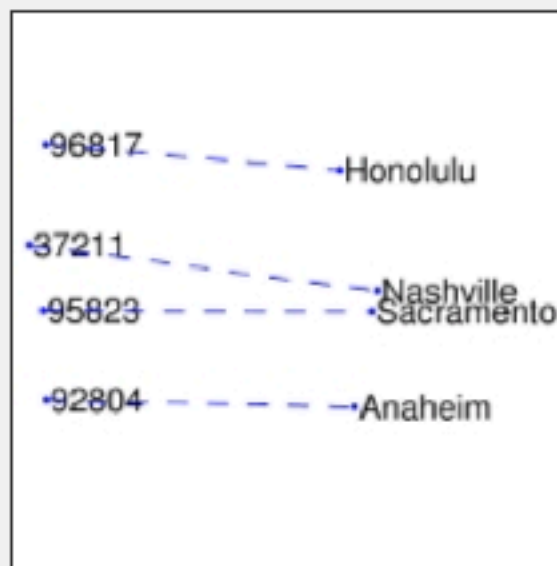
# Word Embeddings: Analogy

## GloVe

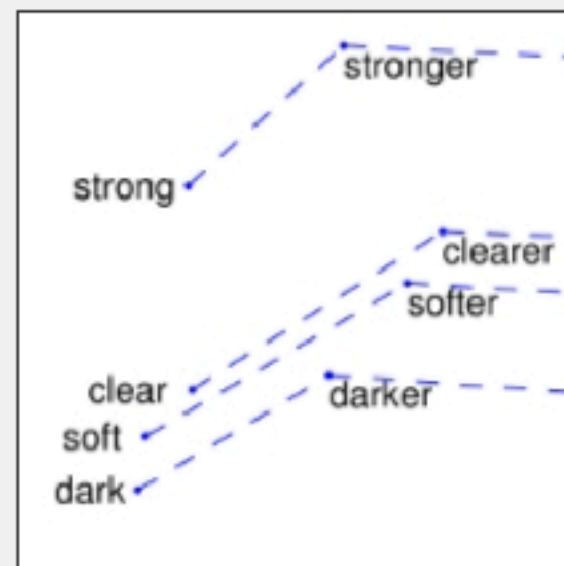
Global Vectors for Word Representation



man - woman



city - zip code



comparative - superlative

each axis **might** encode a different type of relationship



# Word Embeddings: Analogy

## GloVe

Global Vectors for Word Representation



From Mikolov *et al.*  
(2013a)

$$W(\text{"woman"}) - W(\text{"man"}) \simeq W(\text{"aunt"}) - W(\text{"uncle"})$$

$$W(\text{"woman"}) - W(\text{"man"}) \simeq W(\text{"queen"}) - W(\text{"king"})$$

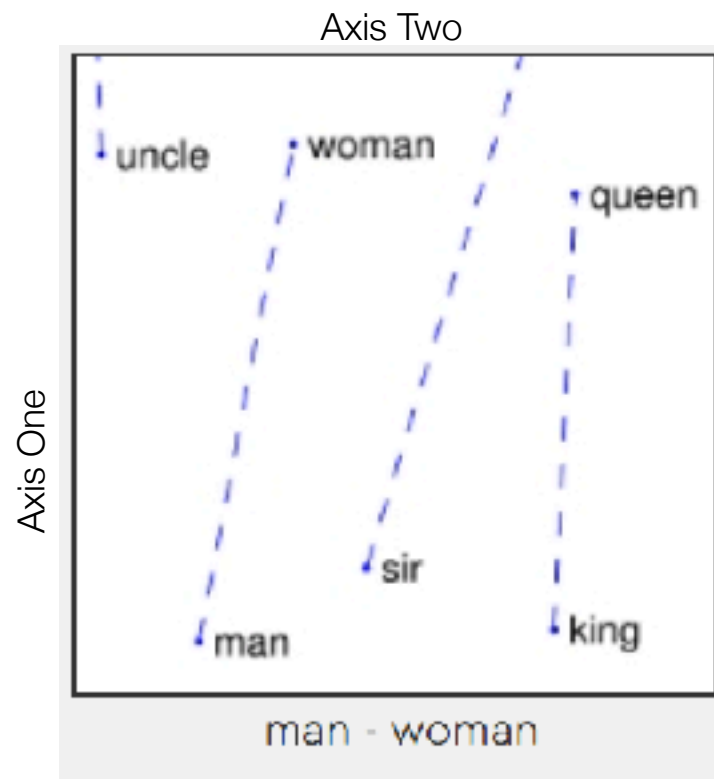
Relationship	Example 1	Example 2	Example 3
France - Paris	Italy: Rome	Japan: Tokyo	Florida: Tallahassee
big - bigger	small: larger	cold: colder	quick: quicker
Miami - Florida	Baltimore: Maryland	Dallas: Texas	Kona: Hawaii
Einstein - scientist	Messi: midfielder	Mozart: violinist	Picasso: painter
Sarkozy - France	Berlusconi: Italy	Merkel: Germany	Koizumi: Japan
copper - Cu	zinc: Zn	gold: Au	uranium: plutonium
Berlusconi - Silvio	Sarkozy: Nicolas	Putin: Medvedev	Obama: Barack
Microsoft - Windows	Google: Android	IBM: Linux	Apple: iPhone
Microsoft - Ballmer	Google: Yahoo	IBM: McNealy	Apple: Jobs
Japan - sushi	Germany: bratwurst	France: tapas	USA: pizza

Relationship pairs in a word embedding. From Mikolov *et al.* (2013b).



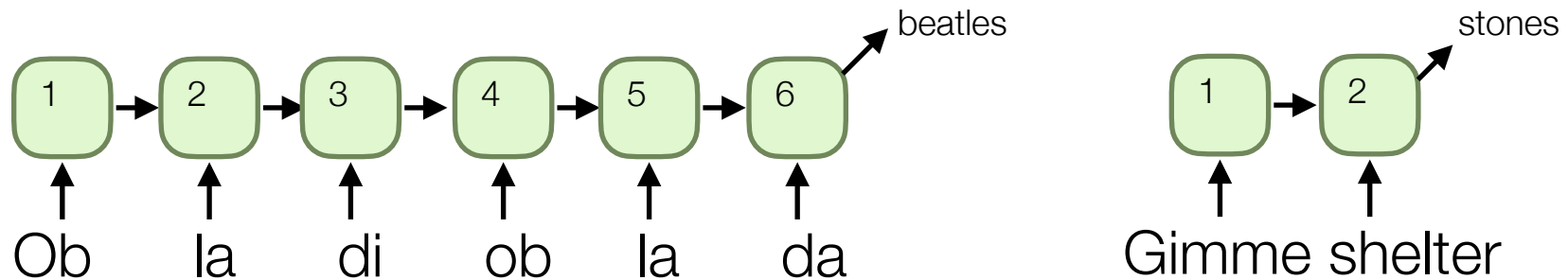
# Self Test: Analogy

- Each axis on the embedding plot below corresponds to:
- A. a weight inside the embedding layer
- B. an average of weights inside the embedding layer
- C. the average of the one hot encoding for a word
- D. an output of the embedding layer



# Practical Logistics: Sequence Length

- option A: dynamic length sequences



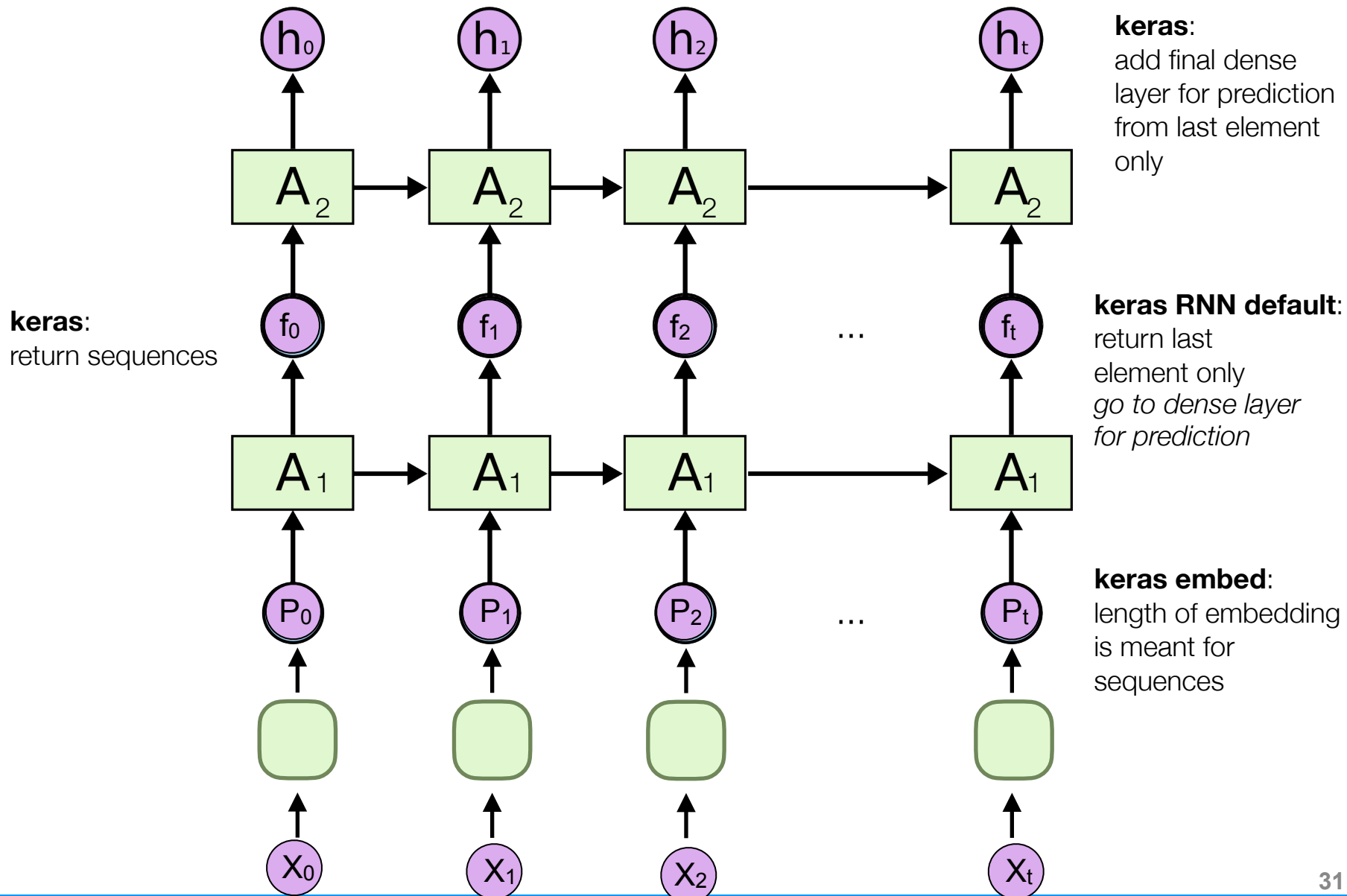
- option B: padding/clipping



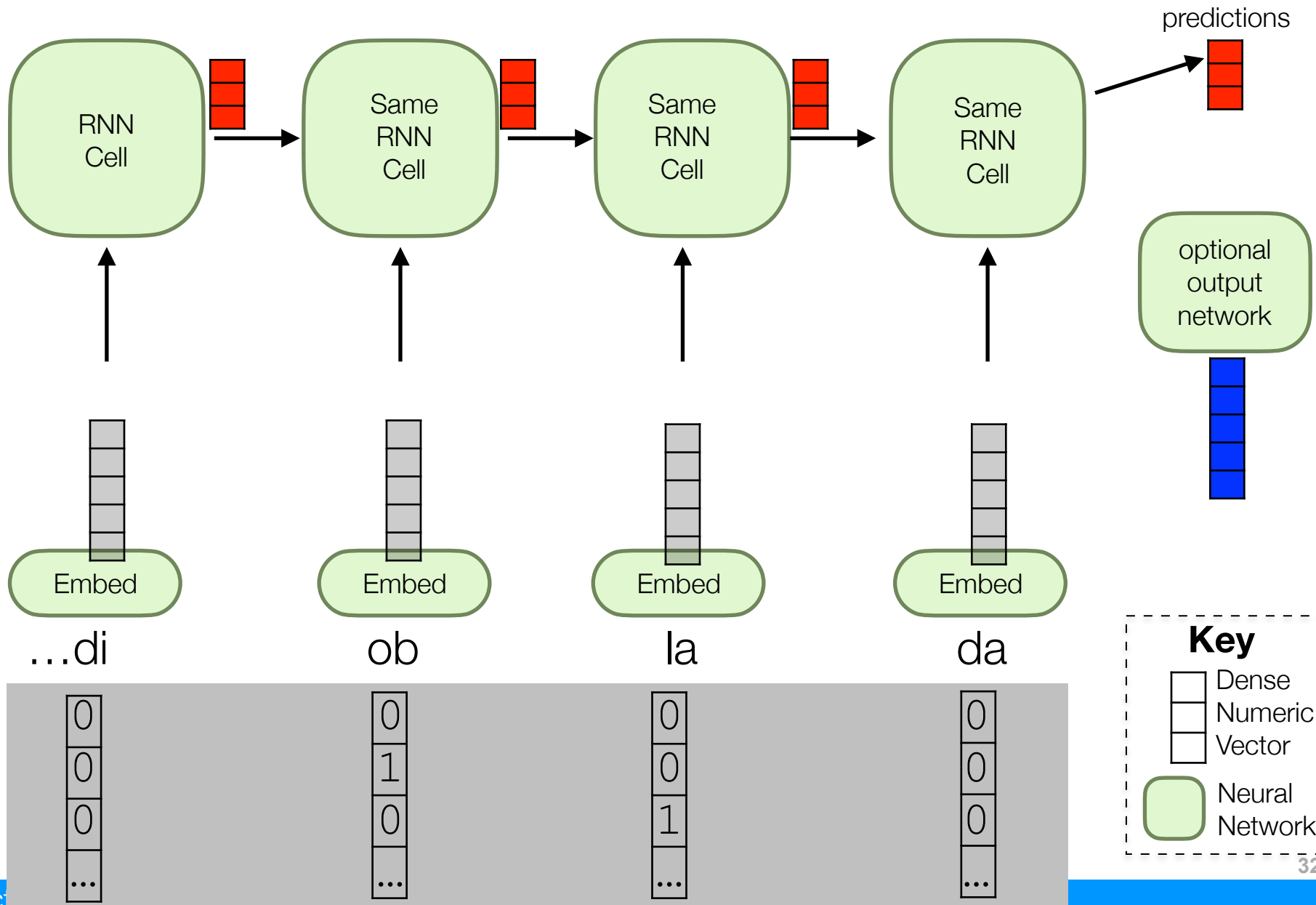
- main difference:

**speed based on computation graph design**

# Sequence Stacking

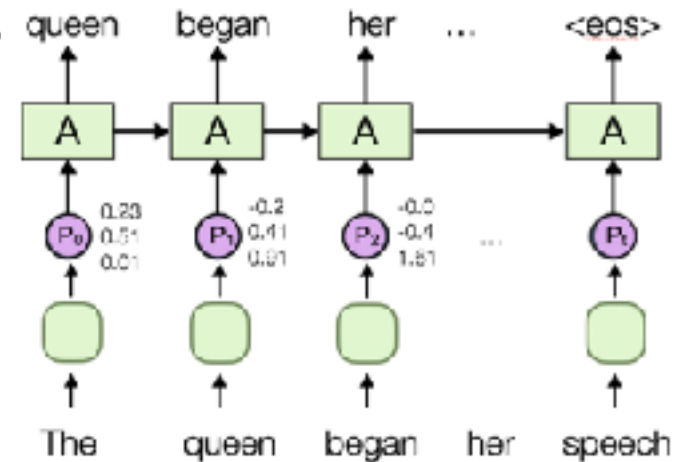


# General recurrent flow (many to one)



# Self Test

- T/F: In Recurrent Neural Networks that are “rolled out”, each RNN cell can be run in parallel.
  - A. **True**, state vectors can be added later
  - B. **True**, but parallelization must use forward backward (like Viterbi)
  - C. **False**, state vectors must be found sequentially
  - D. **False**, input changes due to sequential nature of  $X_t$

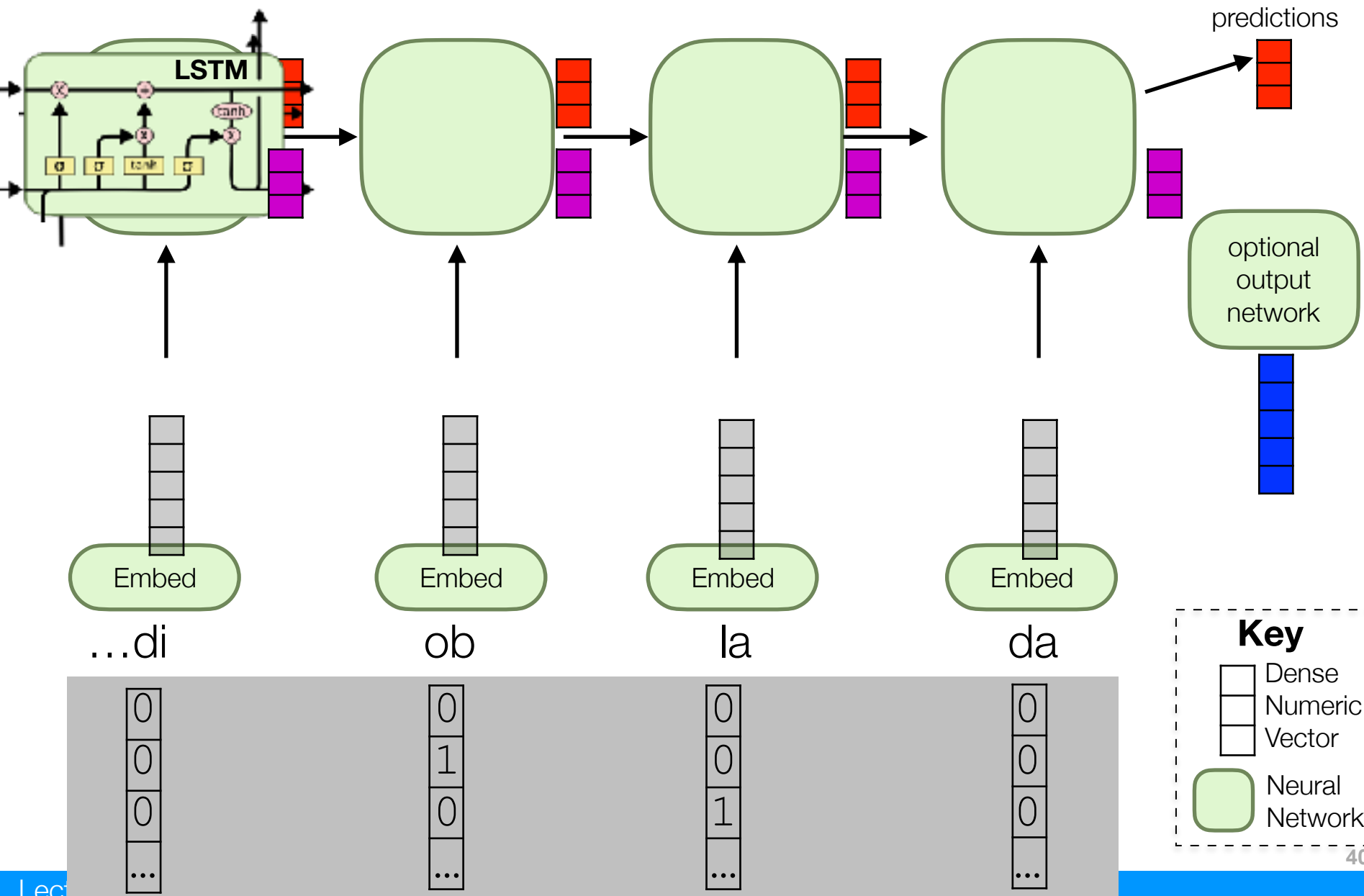


# Commonly Used RNN Nodes



***I like this version better.***

# General recurrent flow (many to one)





# Recurrent Networks: GRUs

- gated recurrent units

Selectivity controls, gates (**0 or 1**)

$$r_t = \sigma(W_r s_{t-1} + U_r x_t + b_r)$$

$$z_t = \sigma(W_z s_{t-1} + U_z x_t + b_z)$$



past state

current input



selectively remember

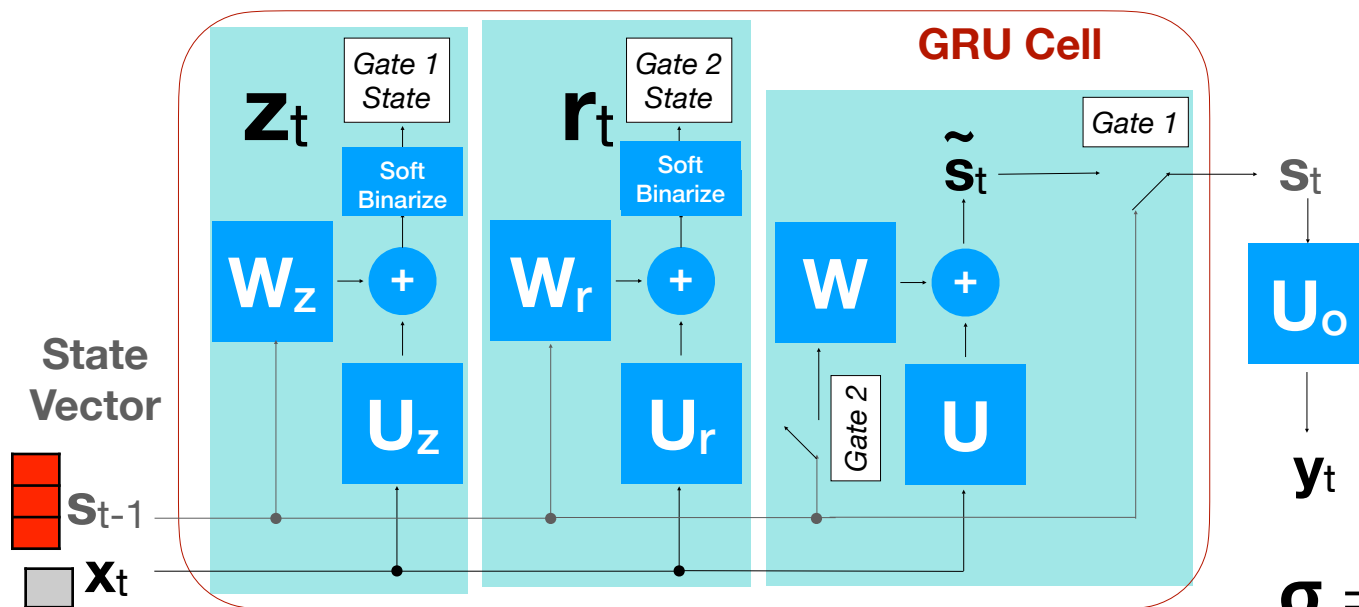
with influence

$$\tilde{s}_t = \phi(W(r_t \odot s_{t-1}) + Ux_t + b)$$

$$s_t = z_t \odot s_{t-1} + (1 - z_t) \odot \tilde{s}_t$$

remember only past

OR remember with input



$\sigma$  = sigmoid

$\odot$  = elem. multiplication

# Self Test

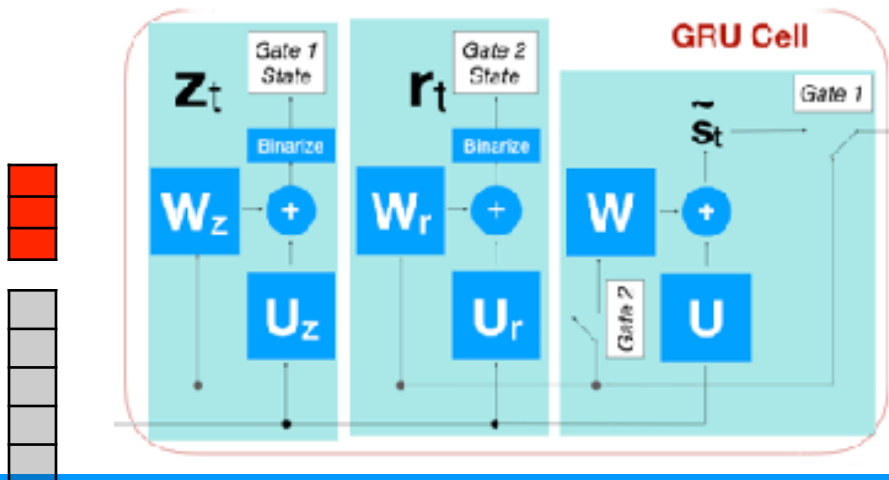
- What element of the GRU helps with vanishing and exploding gradients?
- A. derivative of  $\sigma$
- B. no activation function
- C. derivative of  $\phi$
- D.  $\phi$

$$r_t = \sigma(W_r s_{t-1} + U_r x_t + b_r)$$

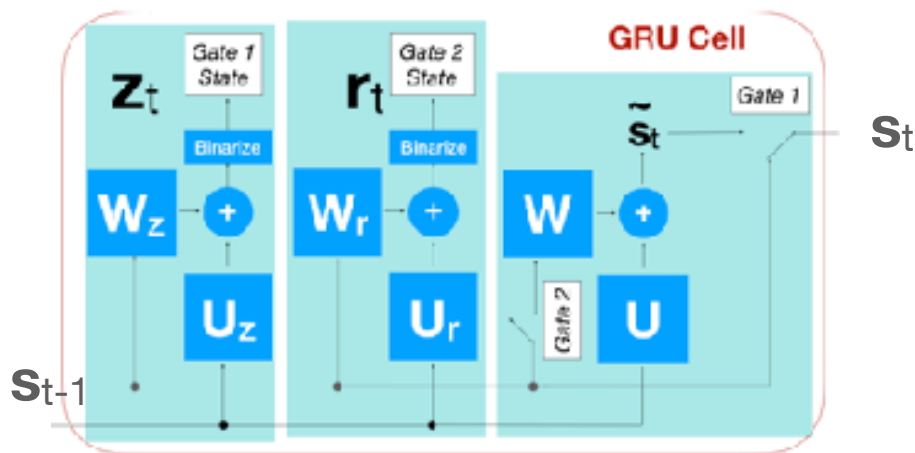
$$z_t = \sigma(W_z s_{t-1} + U_z x_t + b_z)$$

$$\tilde{s}_t = \phi(W(r_t \odot s_{t-1}) + Ux_t + b)$$

$$s_t = z_t \odot s_{t-1} + (1 - z_t) \odot \tilde{s}_t$$



# Derivative of GRU



$$r_t = \sigma(W_r s_{t-1} + U_r x_t + b_r)$$

$$z_t = \sigma(W_z s_{t-1} + U_z x_t + b_z)$$

$$\tilde{s}_t = \phi(W(r_t \odot s_{t-1}) + U x_t + b)$$

$$s_t = z_t \odot s_{t-1} + (1 - z_t) \odot \tilde{s}_t$$

To back propagate, we need sensitivity of state vector, w.r.t previous state

Product Rule

Product Rule

$$\partial s_t / \partial s_{t-1} = (\partial z_t \times s_{t-1}) + (\partial s_{t-1} \times z_t) + \partial \tilde{s}_t - (\partial z_t \times \tilde{s}_t) - (\partial \tilde{s}_t \times z_t)$$

likely vanish

could vanish, depending on  $\phi$

likely vanish

could vanish, depending on  $\phi$

hard to vanish unless  $z_t = 0$

# Recurrent Networks: Gen 1 LSTM

- LSTM prototype

Selectivity controls (**gates, 0 or 1**)

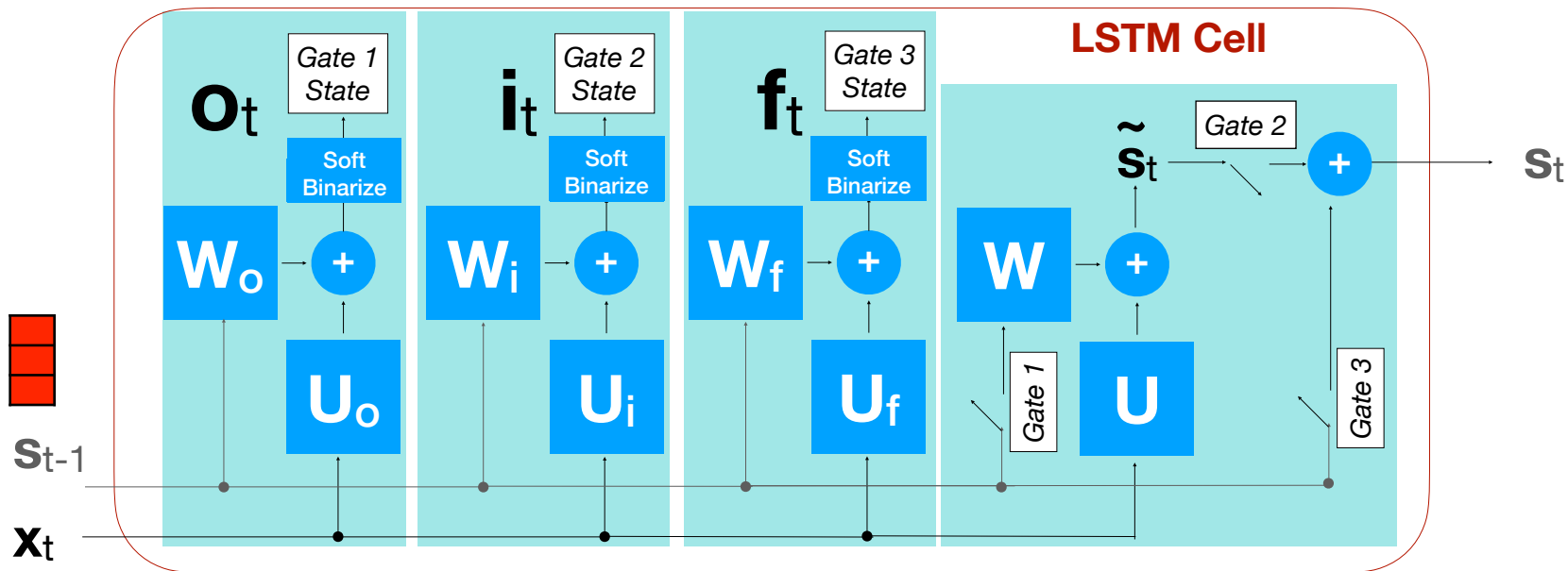
$$o_t = \sigma(W_o s_{t-1} + U_o x_t + b_o)$$

$$i_t = \sigma(W_i s_{t-1} + U_i x_t + b_i)$$

$$f_t = \sigma(W_f s_{t-1} + U_f x_t + b_f)$$

$$\begin{aligned} \tilde{s}_t &= \phi(W(o_t \odot s_{t-1}) + Ux_t + b) \\ s_t &= f_t \odot s_{t-1} + i_t \odot \tilde{s}_t \end{aligned}$$

selectively remember past      with influence  
selectively remember past      with past weighted influence



# Recurrent Networks: Gen 2 LSTM

- LSTM in TensorFlow

Selectivity controls (**gates, 0 or 1**)

$$i_t = \sigma(W_i h_{t-1} + U_i x_t + b_i)$$

$$o_t = \sigma(W_o h_{t-1} + U_o x_t + b_o)$$

$$f_t = \sigma(W_f h_{t-1} + U_f x_t + b_f)$$

explicit remembering state

$$\tilde{c}_t = \phi(W h_{t-1} + U x_t + b)$$

$$c_t = f_t \odot c_{t-1} + i_t \odot \tilde{c}_t$$

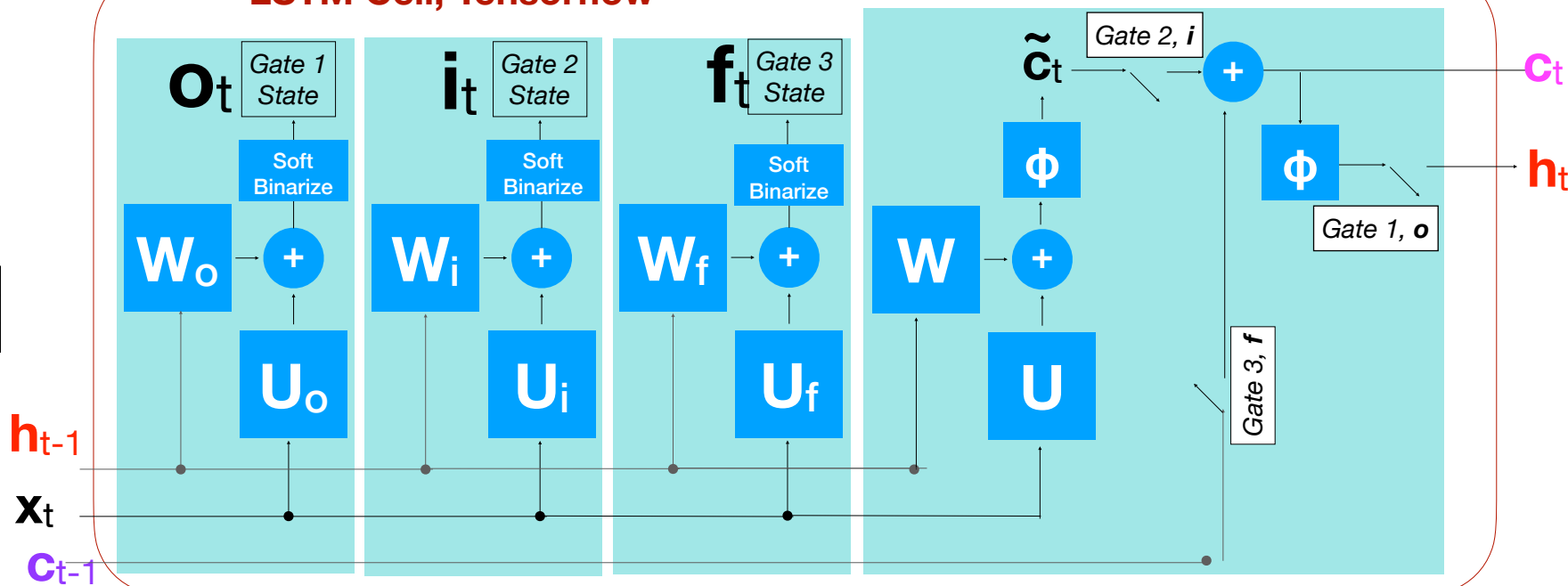
remember  
previous state

update with  
output,  $h_t$

$$h_t = o_t \odot \phi(c_t)$$

get next  $h_t$  for  
selecting gates

LSTM Cell, Tensorflow



# LSTM Dropout

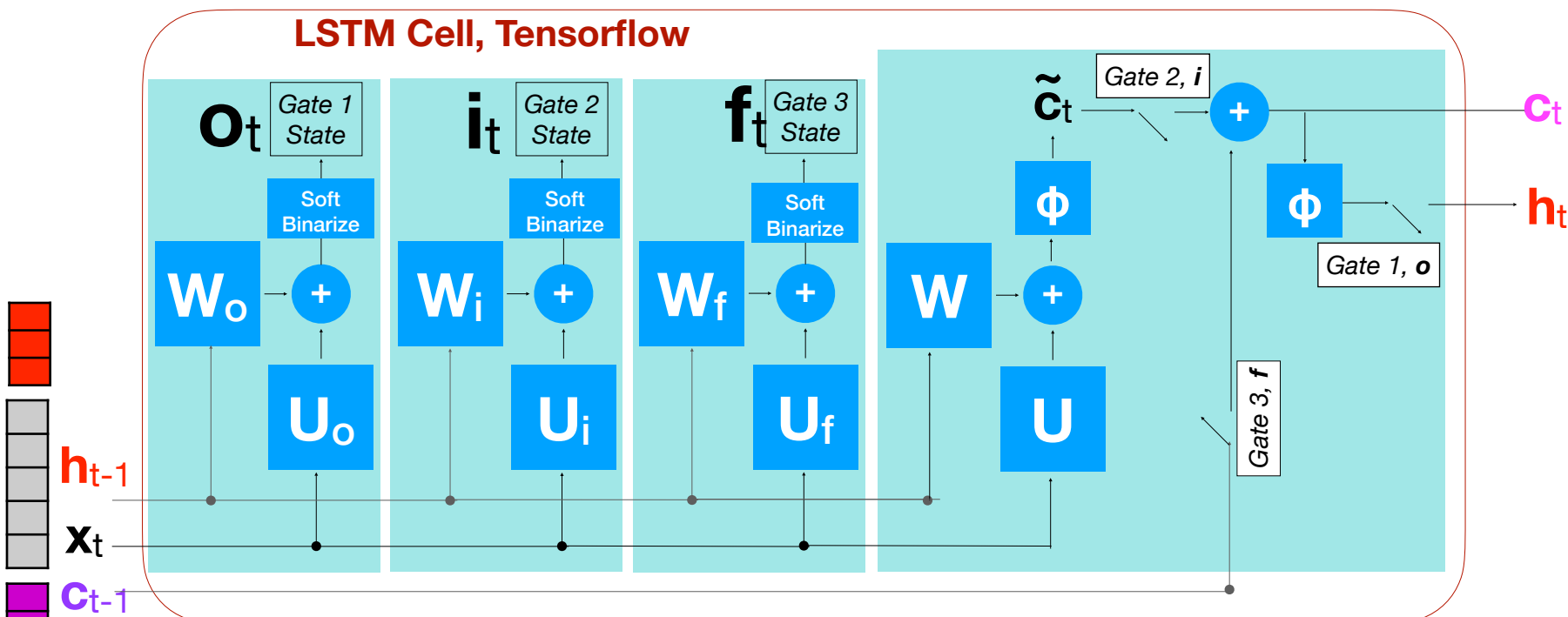
$$\begin{aligned}i_t &= \sigma(W_i h_{t-1} + U_i x_t + b_i) \\o_t &= \sigma(W_o h_{t-1} + U_o x_t + b_o) \\f_t &= \sigma(W_f h_{t-1} + U_f x_t + b_f)\end{aligned}$$

Recurrent  
Dropout

Input  
Dropout

The days of  
training **without**  
using **dropout** are  
**over**.

## LSTM Cell, Tensorflow



# What to choose?

- There is no hard and fast rule
  - try both
  - basic LSTM has had great success
  - GRU also sometimes is easier to train
  - you will see many variations
    - peephole LSTM
    - hierarchical LSTM
    - and many more...



Many to one:  
Simple RNNs  
GRUs  
LSTMs



More examples:

<https://github.com/tensorflow/tensorflow/tree/r0.11/tensorflow/examples/skflow>

<http://r2rt.com/recurrent-neural-networks-in-tensorflow-i.html>

<http://machinelearningmastery.com/sequence-classification-lstm-recurrent-neural-networks-python-keras/>

Seq2Seq:

[https://github.com/tensorflow/tensorflow/blob/r0.11/tensorflow/examples/skflow/neural\\_translation\\_word.py](https://github.com/tensorflow/tensorflow/blob/r0.11/tensorflow/examples/skflow/neural_translation_word.py)

# Recurrent Generation

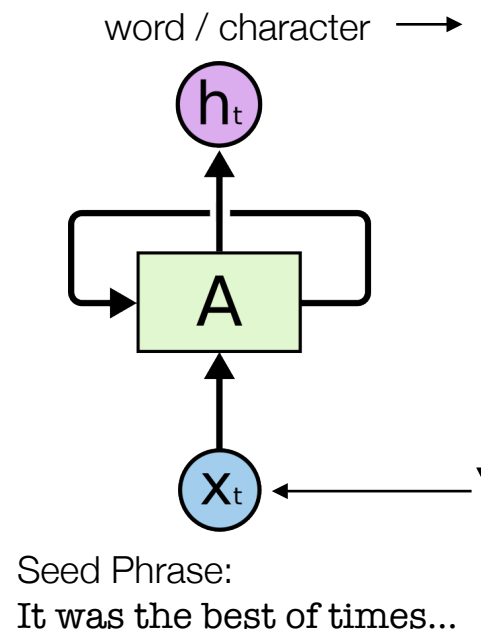


WHEN VISITING A NEW HOUSE, IT'S  
GOOD TO CHECK WHETHER THEY HAVE  
AN ALWAYS-ON DEVICE TRANSMITTING  
YOUR CONVERSATIONS SOMEWHERE.

*if time: just for fun*

# Generating Outputs

- Highly “sophisticated” steps:
  - train an RNN to generate the **next** word/character from the **current** word/character
  - train on a corpus of text
    - Shakespeare
    - Movie Scripts
    - Whatever!
  - seed with random word, feed output words as input to next node
  - rinse, repeat



# Training Data: Plays by Shakespeare

First Citizen:

Before we proceed any further, hear me speak.

All:

Speak, speak.

First Citizen:

You are all resolved rather to die than to famish?

All:

Resolved. resolved.

First Citizen:

First, you know Caius Marcius is chief enemy to the people.

All:

We know't, we know't.

First Citizen:

Let us kill him, and we'll have corn at our own price.

Is't a verdict?

All:

No more talking on't; let it be done: away, away!

Second Citizen:

One word, good citizens.

services he has done for his country?

First Citizen:

Very well; and could be content to give him good report fort, but that he pays himself with being proud.

Second Citizen:

Nay, but speak not maliciously.

First Citizen:

We are accounted poor citizens, the patricians g  
What authority surfeits on would relieve us: if  
would yield us but the superfluity, while it wer  
wholesome, we might guess they relieved us human  
but they think we are too dear: the leanness tha  
afflicts us, the object of our misery, is as an  
inventory to particularise their abundance; our  
sufferance is a gain to them Let us revenge this  
our pikes, ere we become rakes: for the gods kno  
speak this in hunger for bread, not in thirst fo

Second Citizen:

Would you proceed especially against Caius Marci

All:

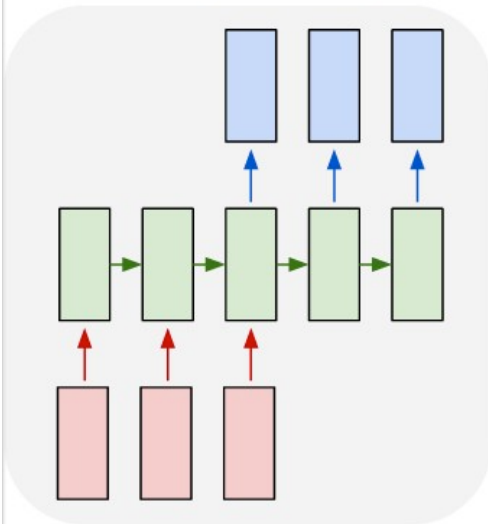
Against him first: he's a very dog to the common

Second Citizen:

Consider you what s

# Generating Shakespeare

many to many



ATOOS

UIEAOUYOUZZZZZZUZAAAYAYf n fsflflrurctuateot t ta's a wtutss

ESGNANO:

Whith then, a do makes and them and to sees,  
I wark on this ance may string take thou honon  
To sorriccorn of the bairer, whither, all  
I'd see if yiust the would a peid.

LARYNGLe:

To would she troust they fould.

PENMES:

Thou she so the havin to my shald woust of  
As tale we they all my forder have  
As to say heant thy wansing thag and  
Whis it thee shath his breact, I be and might, she  
Tirs you desarvishensed and see thee: shall,  
What he hath with that is all time,  
And sen the have would be sectionens, way thee,  
They are there to man shall with me to the mon,  
And mere fear would be the balte, as time an at  
And the say oun touth, thy way womers thee.

# Generating Movies

**more data:** star wars + star trek + tarantino + the matrix

DENT 'SUEENCK

Bartholomew of the TIE FIGHTERS are stunned. There is a crowd and armored switcheroos.

PICARD

(continuing)

Couns two dim is tired. In order to the sentence...

The sub bottle appears on the screen into a small shuttle shift of the ceiling. The DAMBA FETT splash fires and matches them into the top, transmit to stable high above upon their statels, falling from an alien shaft.

ANAKIN and OBI-WAN stand next to OBI-WAN down the control plate of smoke at the TIE fighter. They stare at the centre of the station loose into a comlink cover -- comes up to the General, the GENERAL HUNTAN AND FINNFURMBARD from the PICADOR to a beautiful Podracisly.

ENGINEER

Naboo from an army seventy medical security team area re-weilergular.

EXT.

THE MULTIVERSE —

# Movie written by algorithm turns out to be hilarious and intense

For *Sunspring*'s exclusive debut on Ars, we talked to the filmmakers about collaborating with an AI.

ANNALEE NEWITZ - 6/5/2016, 5:30 AM

