

Rebole algorithm overview (continued)

MCCLK

Dataset

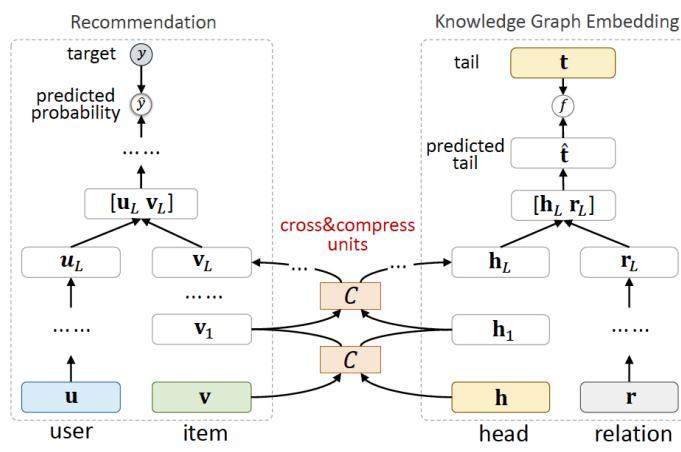
- Book-Crossing
 - book
- MovieLens-1M
- LastFM
- Metric
 - CTR: AUC, F1
 - top-K: Recall@K $\in\{5, 10, 20, 50, 100\}$
- 适用数据: sparse, interaction + attribute data

以下是几个比较老的模型:

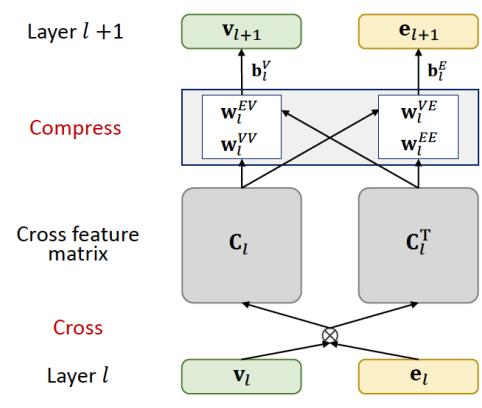
MKR

Overview

- Multi-Task Feature Learning for Knowledge Graph Enhanced Recommendation



(a) Framework of MKR



(b) Cross&compress unit

- 结合KG embedding + 推荐两个任务
 - connected by Cross&compress Unit
 - Cross: item和entity做cross product, 生成交叉特征矩阵

- Compress: 两种cross product加权，输出到下一层
- Recommender模块, KG模块
 - L层MLP后做Cross&compress

Dataset

- MovieLens-1M
- Book-Crossing
- LastFM
- Bing-News
- Metric
 - CTR: AUC, Accuracy
 - top-K: Precision@K={2, 5, 10, 20, 50}
- 适用数据: sparse, side info (文本, e.g. 电影/图书名称, 新闻标题)

KGCN

Overview

- [Knowledge Graph Convolutional Networks for Recommender](#)
- 和之前的工作大同小异
 - 基本Pipeline:
 - 聚合neighbor信息 (各种aggregator, GNN)
 - 建模高阶关系 (multiple-hop)
 - 定义score机制计算概率, e.g. MLP, 内积

Dataset

- MovieLens-20M
- Book-Crossing
- LastFM
- Metric
 - AUC
 - F1
 - Recall@K={1, 2, 5, 10, 20, 50, 100}
- 适用数据: 与前面几个模型相同

KGNLNS

Overview

- Knowledge-aware Graph Neural Networks with Label Smoothness Regularization for Recommender Systems
- 将KG转换为user-specific的加权图，用GNN计算每个user对应的item embedding
 - 把KG看作无向图
 - 边权重可训练
- 标签平滑假设：使用标签平滑正则化，确保知识图谱中相邻item有相似的用户相关性label
 - 相当于图上的标签传播
 - 把某一item看作unlabeled
 - 用其他所有实体信息predict出一个label
 - 在与实际label做cross entropy作为正则项

Dataset

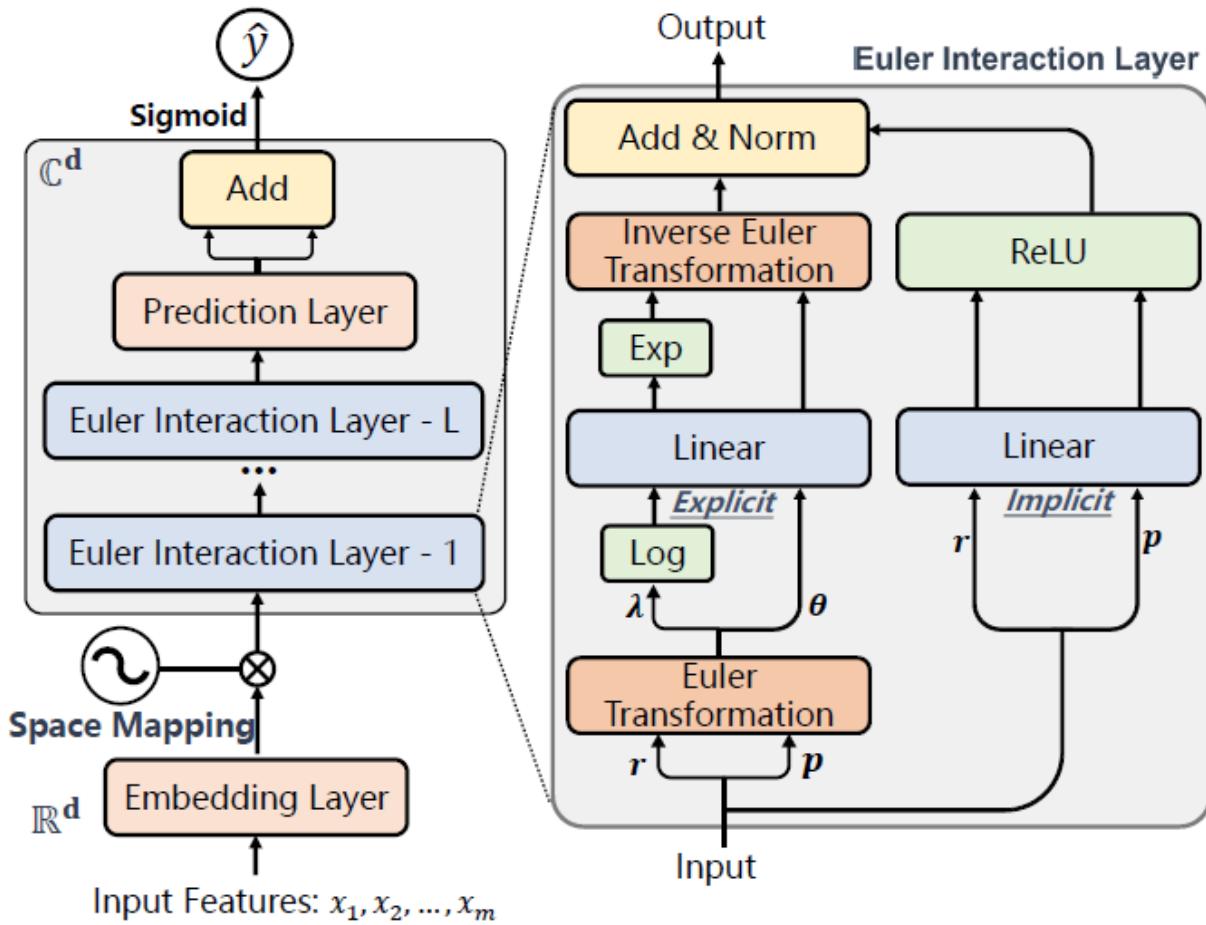
- MovieLens-20M (movie)
- Book-Crossing (book)
- LastFM (music)
- Dianping-Food (restaurant)
 - 大众点评数据
- Metric
 - top-k: Recall@{2, 10, 50, 100}
 - CTR: AUC
- 适用数据：交互数据，实体关系

Context-aware

EulerNet

Overview

- Adaptive Feature Interaction Learning via Euler's Formula for CTR Prediction



- 用欧拉公式在复向量空间中建模特征交互
 - exponential -> 模和相位linear combination
- 统一捕捉显式和隐式交互，相互增强
- 自适应地学习特征交互阶数

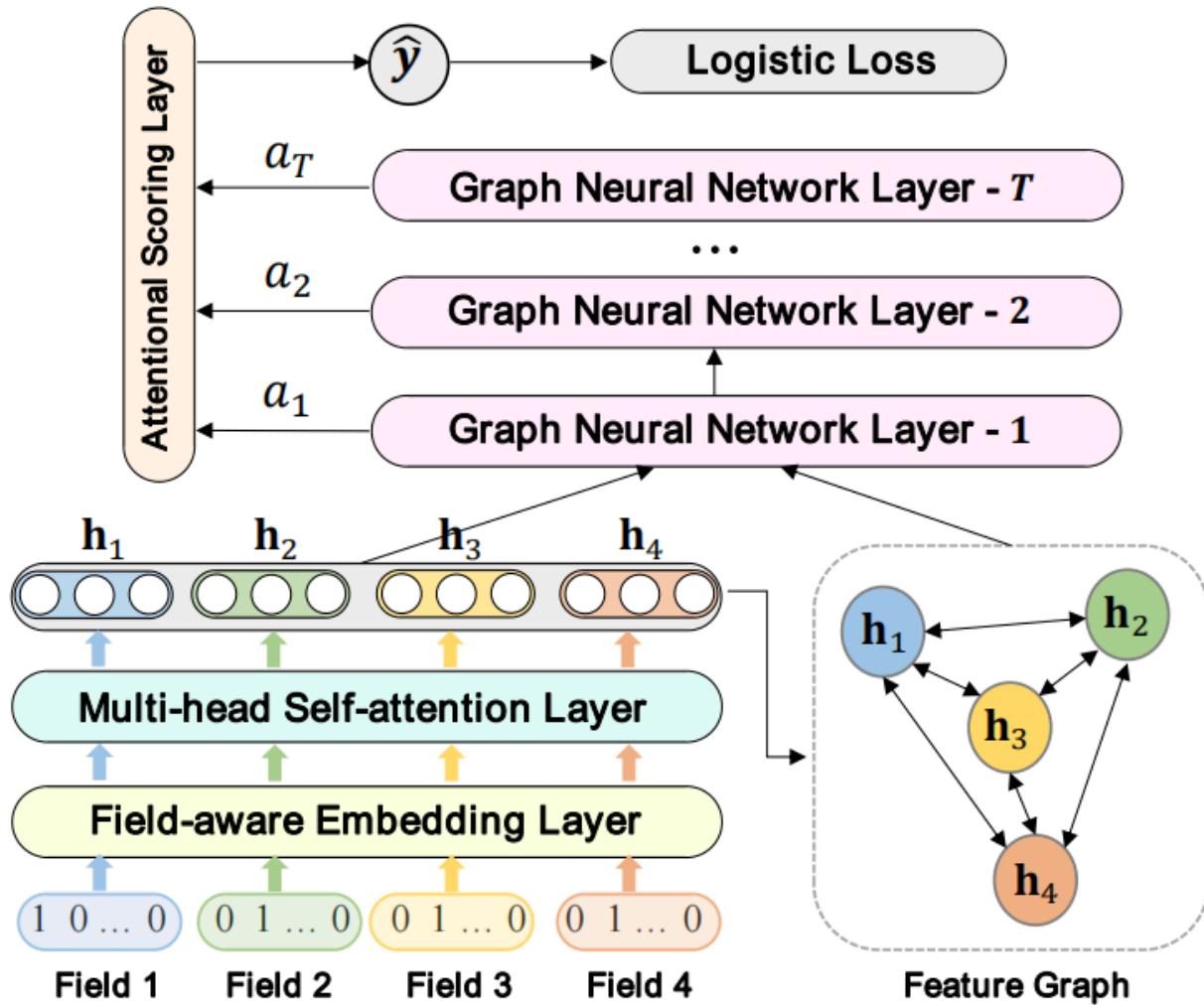
Dataset

- Criteo
 - 7天用户日志，CTR
- Avazu
 - CTR as well
- MovieLens-1M
- Metric
 - AUC
 - Logloss
- 适用数据：稀疏，高维特征数据 (e.g. 用户行为日志中提取的多字段特征)

FiGNN

Overview

- Modeling Feature Interactions via Graph Neural Networks for CTR Prediction



- 解决问题：现有方法简单拼接字段embedding
- 多字段特征表示为图
 - raw feature先过self-attn
 - 随后接传统GNN pipeline
 - 状态聚合 -> 状态更新 (GRU, 残差)

Dataset

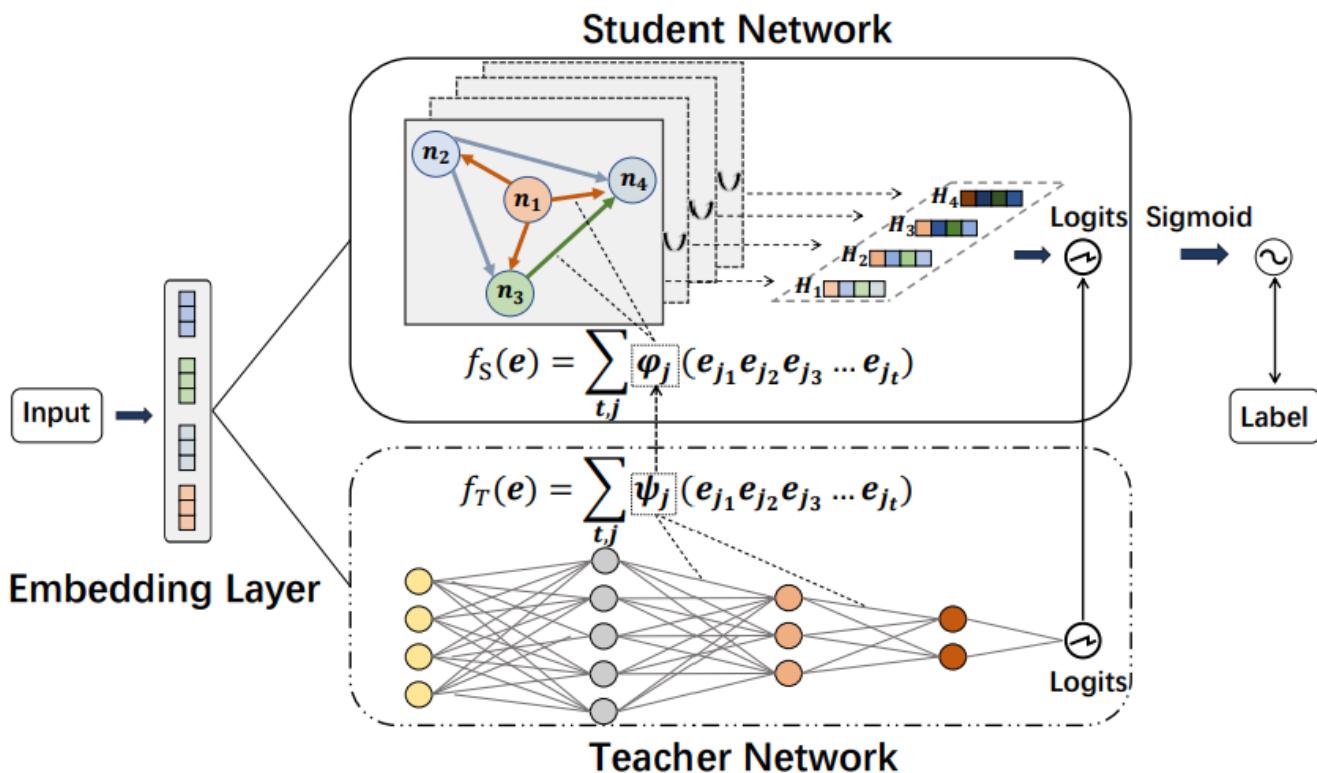
- Criteo
- Avazu
- Metric
 - AUC

- Logloss
- 任务: CTR
- 适用数据:
 - 多字段分类特征数据
 - 稀疏, 高维特征
 - 正负样本不平衡

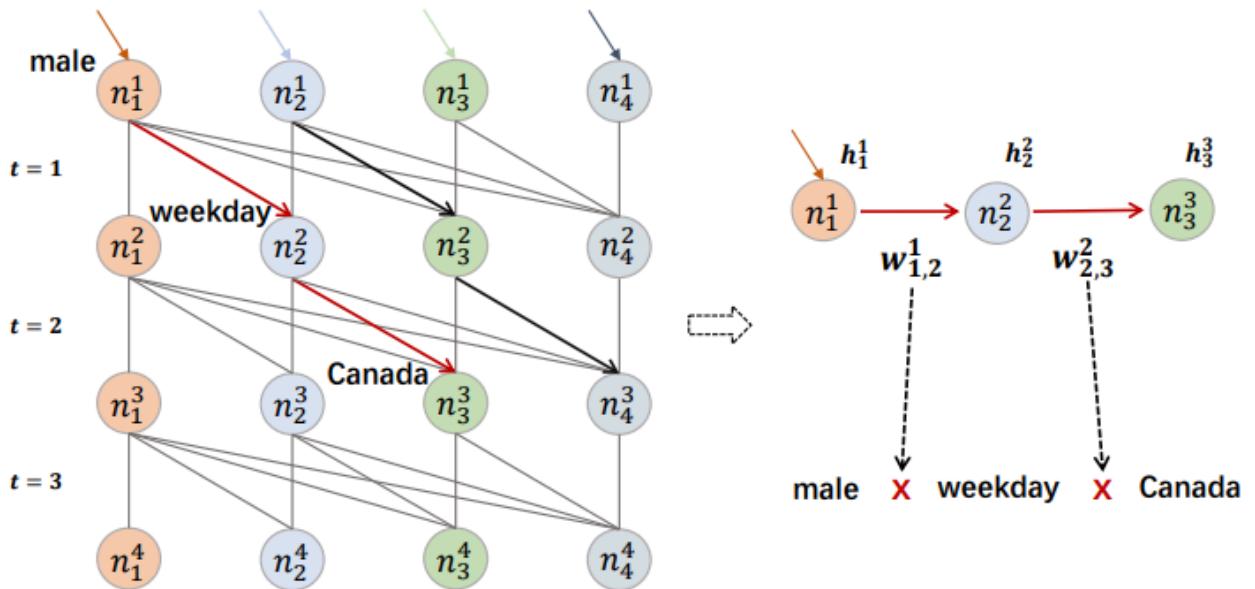
KD_DAGFM

Overview

- Directed Acyclic Graph Factorization Machines for CTR Prediction via Knowledge Distillation.



- “基于知识蒸馏的有向无环图因子分解机”
- 用知识蒸馏从复杂的教师模型向轻量级学生模型传递知识
 - loss为均方误差
- 用dp思想传播hidden state
 - 每个k阶特征交互可以对应到第一层的唯一路径
 - 每个传播层, 每个节点聚合所有邻居的状态



- 改进模型：KD-DAGFM+
 - 用于蒸馏显式和隐式特征交互
 - 最后加MLP
 - 教师模型使用xDeepFM, DCNV2, AutoInt+, FiBiNet

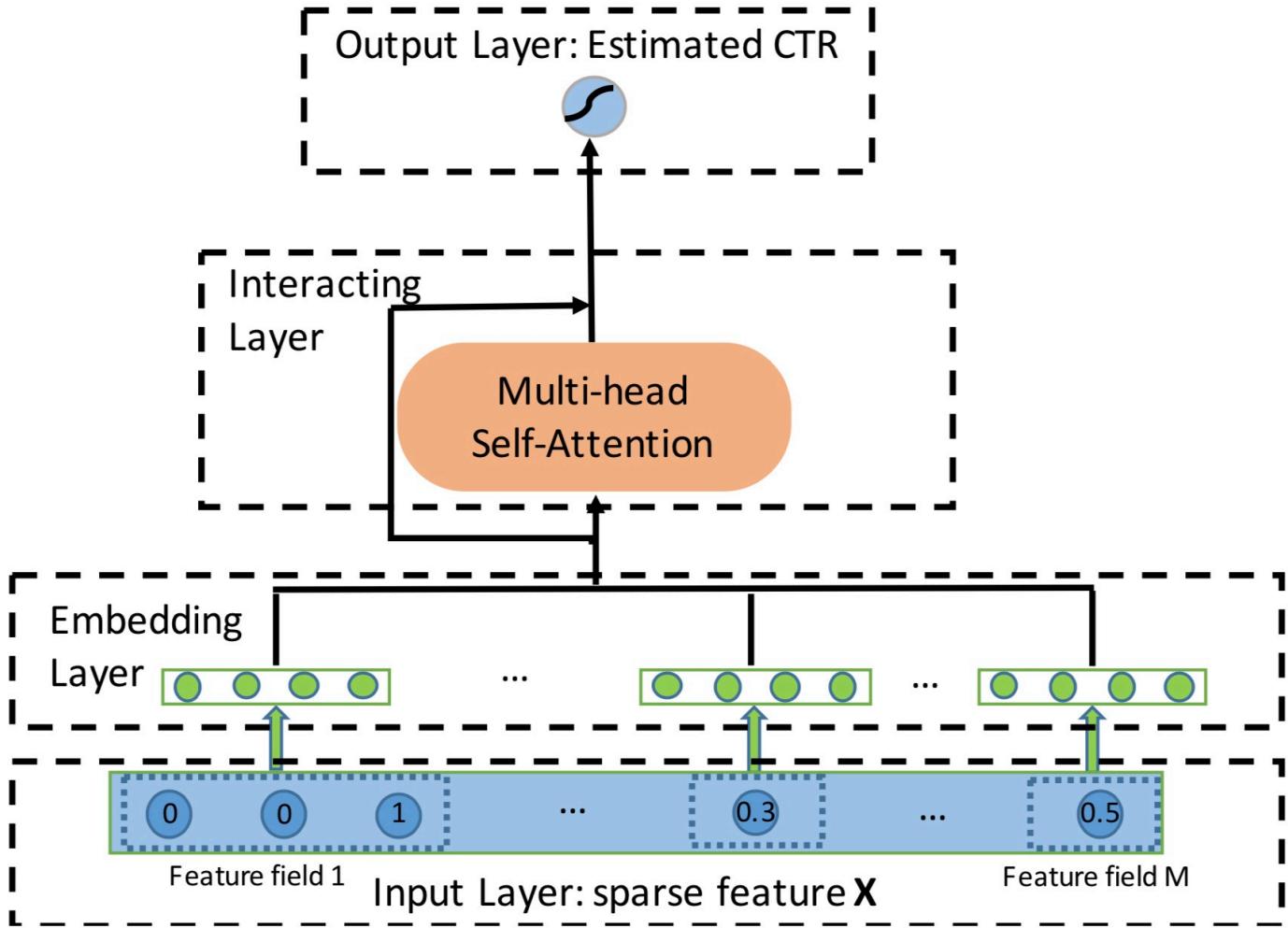
Dataset

- Criteo
 - CTR benchmark
- Avazu
- MovieLens-1M
- WeChat
- Metric
 - AUC
 - Log Loss
- 适用数据：
 - CTR相关
 - u-i交互, 用户画像, item特征
 - 大规模工业数据
 - 高维, 稀疏, noisy

AutoInt

Overview

- Automatic Feature Interaction Learning via Self-Attentive Neural Networks



- Attention is all you need!
- 把数值和分类特征映射到同一低维空间
- 多头自注意力，残差连接

Dataset

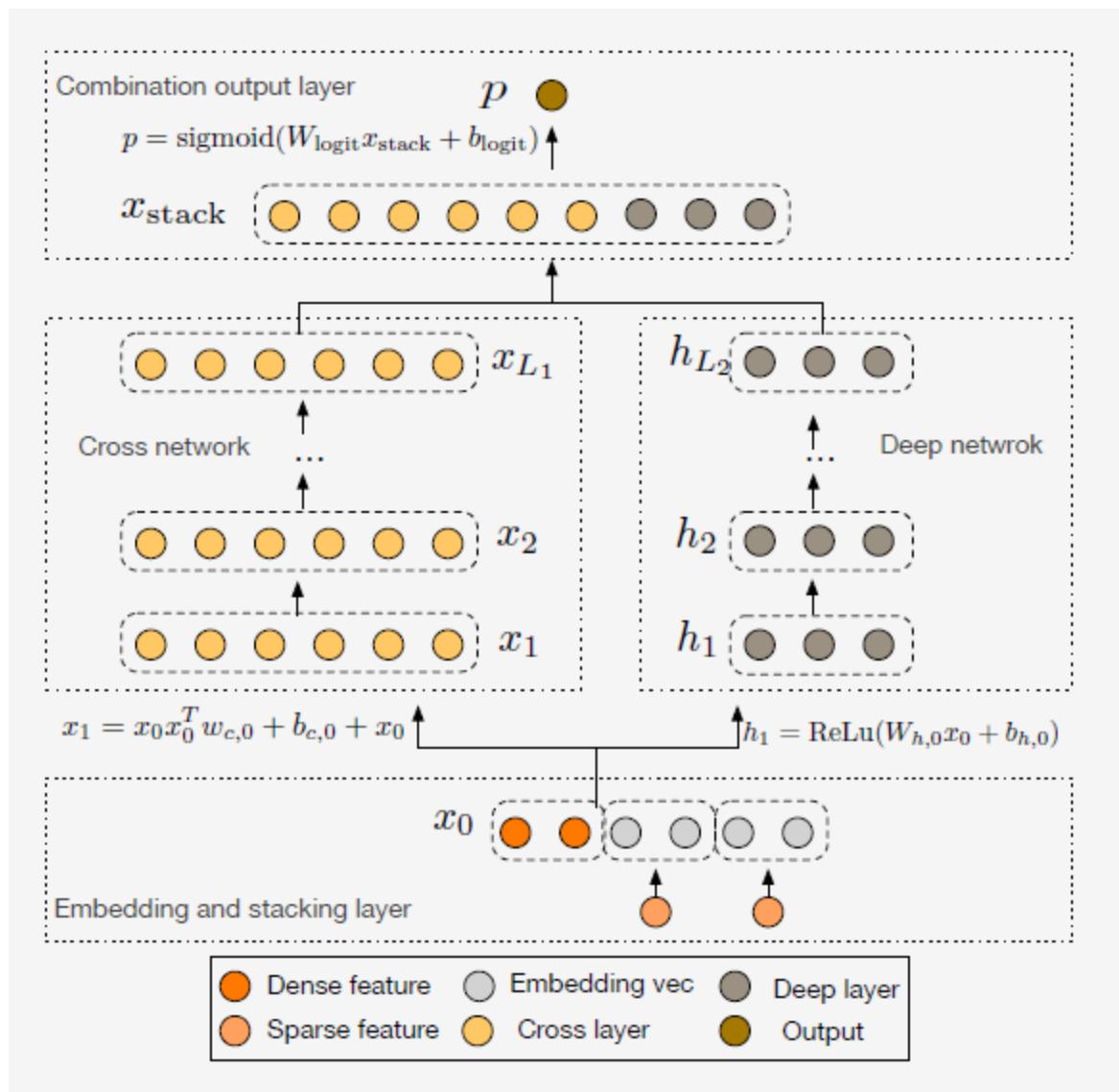
- Criteo
- Avazu
- KDD12
 - KDDCup 2012
- MovieLens-1M
- Metric
 - AUC

- Logloss
- 适用数据:
 - 稀疏，高维
 - 包含数值和分类特征

DCN

Overview

- Deep & Cross Network for Ad Click Predictions



- Deep: MLP
- Cross Network: 每一层显式应用特征交叉，自动计算所有可行的特征组合
- Scalability↑；发现New item

Dataset

- Criteo
- Metric
 - Logloss
- 适用数据：和上述几个模型相似

DCN V2

Overview

- 对DCN的工业级应用改进
- 用低秩结构近似特征交叉，实现更好的性能和延迟的rade-off
- 混合专家架构（MoE），把矩阵分解到多个子空间中，再用gating机制聚合

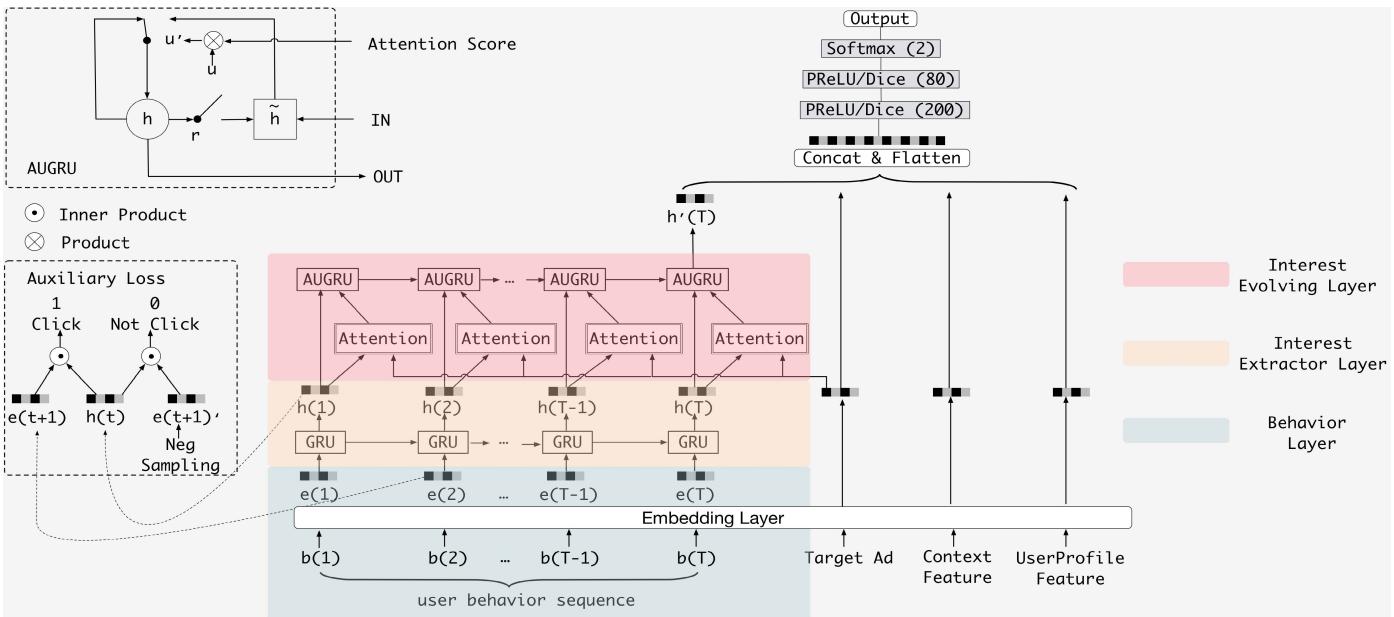
Dataset

- Criteo
- MovieLen-1M
- Metric
 - Logloss
 - AUC
- 适用数据：
 - 大型稀疏特征，web-scale生产数据
 - 低秩结构
 - 权重矩阵具有较大的奇异值差距 / 快速的spectrum decay模式
 - 其他相似

DIEN

Overview

- Deep Interest Evolution Network for Click-Through Rate Prediction



- 解决问题：
 - 用户行为背后的隐藏兴趣
 - 兴趣随时间变化
- w/ sequential model
- AUGRU: GRU with attentional update gate
 - 用注意力得分缩放更新门的所有维度，确保与目标item关系较弱的兴趣对隐藏状态的影响较小

$$\tilde{\mathbf{u}}'_t = a_t * \mathbf{u}'_t,$$

$$\mathbf{h}'_t = (1 - \tilde{\mathbf{u}}'_t) \circ \mathbf{h}'_{t-1} + \tilde{\mathbf{u}}'_t \circ \tilde{\mathbf{h}}'_t,$$

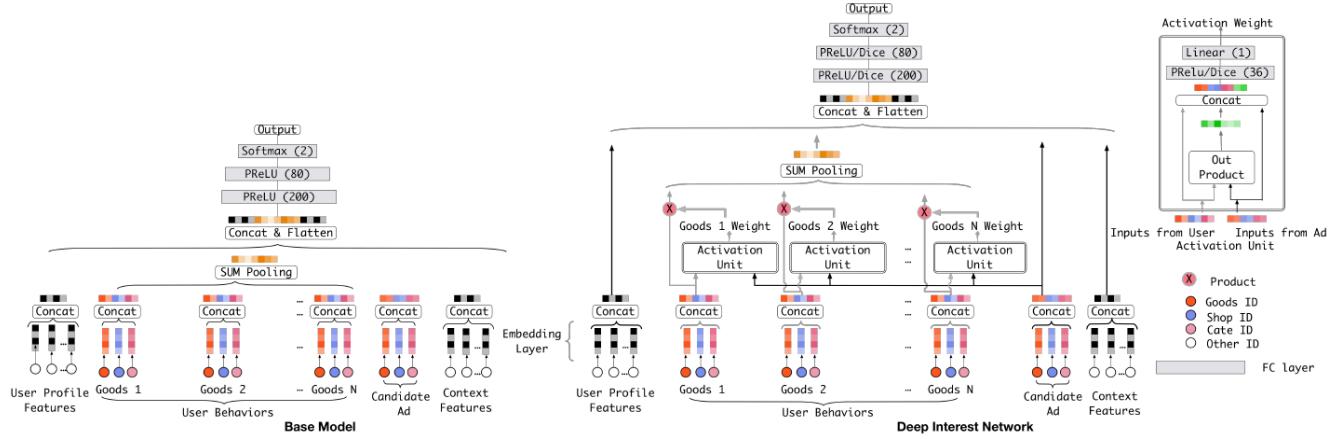
Dataset

- Amazon
 - Books
 - Electronics
- Industrial Dataset
- Metric
 - AUC
- 适用数据：
 - 用户行为数据
 - 多样特征类别
 - 目标商品点击信息

DIN

Overview

- Deep Interest Network for Click-Through Rate Prediction



- 固定长度表达能力不强，与candidate ad无关
- 局部激活单元 (local activation unit)
 - 自适应地从用户历史行为中学习ad-specific的兴趣表示
- Two techniques:
 - 小批量正则化
 - 只计算每个mini-batch中出现的特征参数的L2范数
 - 数据自适应激活函数
 - Dice
 - PReLU的推广
 - 根据输入数分布自适应调整整流点 (均值)

Dataset

- Amazon(Electronics)
- MovieLens
- Alibaba
- Metric
 - AUC
 - RelalImpr (relative improvement)

$$RelalImpr = \left(\frac{AUC(\text{measured model}) - 0.5}{AUC(\text{base model}) - 0.5} - 1 \right) \times 100\%.$$

- 适用数据：

- practical: 阿里广告系统
- 大规模工业级稀疏数据

WideDeep

Previously discussed

DSSM

- 双塔召回
- Previously discussed
- Pipeline:
 - 投影 (高->低维)
 - MLP
 - 余弦相似, softmax
- Metric
 - NDCG@{1, 3, 10}

PNN

Overview

- Product-based neural networks for user response prediction
- 用乘积层来捕捉字段之间的交互模式
 - Inner product
 - Outer product

Dataset

- Criteo
- iPinYou
- Metric
 - AUC
 - RIG (Relative Information Gain)
 - Log Loss
 - RMSE
- 适用数据: 多字段, categorical, 高维one-hot特征

FNN

Overview

- Deep Learning over Multi-field Categorical Data
- 最简单的raw MLP
- FM的objective

Dataset

- iPinYou
- Metric
 - AUC
- 适用数据：广告

以下为FM系的模型：

FFM

Overview

- Field-aware Factorization Machines for CTR Prediction

$$\phi_{\text{FFM}}(\mathbf{w}, \mathbf{x}) = \sum_{j_1=1}^n \sum_{j_2=j_1+1}^n (\mathbf{w}_{j_1, f_2} \cdot \mathbf{w}_{j_2, f_1}) x_{j_1} x_{j_2},$$

- 在传统FM基础上，不是直接学习feature间pairwise的权重，而是学字段间权重

Dataset

- Criteo
- Avazu
- Metric
 - logloss
- 适用数据：
 - 只适合分类特征，并可转换为binary特征的数据

FwFM

Overview

- Field-weighted Factorization Machines for Click-Through Rate Prediction in Display Advertising

$$\Phi_{FwFM_s}((\mathbf{w}, \mathbf{v}), \mathbf{x}) = w_0 + \sum_{i=1}^m x_i w_i + \sum_{i=1}^m \sum_{j=i+1}^m x_i x_j \langle \mathbf{v}_i, \mathbf{v}_j \rangle r_{F(i), F(j)}$$

- 就是在FFM基础上多加了一个field之间的weight, "field-weighted"

Dataset

- Criteo
- Oath
 - 两周广告点击日志
- Metric
 - AUC
- 适用数据：多字段分类数据

AFM

Overview

- Attentional Factorization Machines: Learning the Weight of Feature Interactions via Attention Networks
- 用attention学习各feature interaction的重要性

Dataset

- Frappe
- MovieLens
- Metric
 - RMSE

DeepFM

Overview

- DeepFM: A Factorization-Machine based Neural Network for CTR Prediction
- DNN + FM (简单加和再过sigmoid)

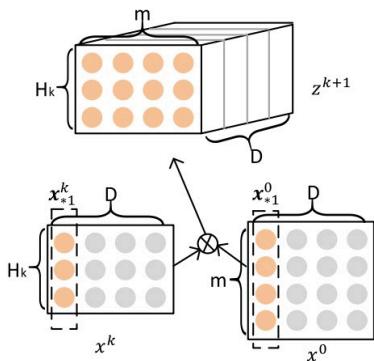
Dataset

- Criteo
- Company*
 - App Store 游戏中心，连续7天的用户点击记录
- Metric
 - AUC
 - Logloss
- 适用数据：高维稀疏，CTR

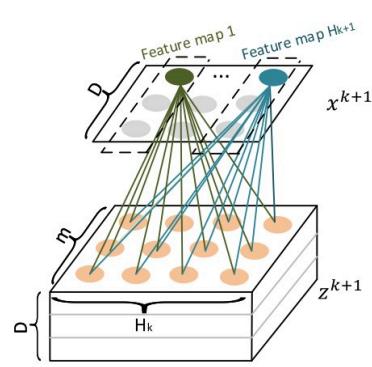
xDeepFM

Overview

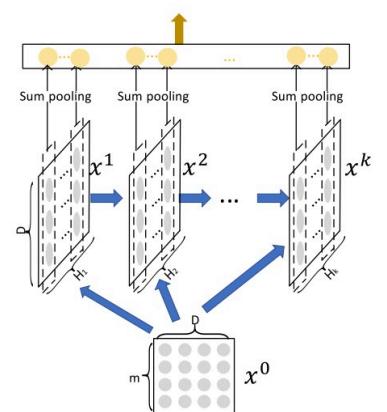
- eXtreme Deep Factorization Machine, Combining Explicit and Implicit Feature Interactions for Recommender Systems
- 显式生成特征交互
- CIN:
 - 每一层隐藏层的输出依赖上层和额外输入，类似RNN
 - 滤波器沿embedding维度滑动，生成特征图，类似CNN



(a) Outer products along each dimension for feature interactions. The tensor Z^{k+1} is an intermediate result for further learning.



(b) The k -th layer of CIN. It compresses the intermediate tensor Z^{k+1} to H_{k+1} embedding vectors (also known as *feature maps*).



(c) An overview of the CIN architecture.

- CIN + Deep: xDeepFM

- 想法和DeepFM, Wide&Deep非常类似

Dataset

- Criteo
- Dianping
- Bing News
- Metric
 - AUC
 - Logloss
- 适用数据：
 - numerical & categorical混合
 - 缺乏明确时空上的相关性

NFM

Overview

- Neural Factorization Machines for Sparse Predictive Analytics
- 显式捕捉bi-interaction (二阶交互) ->再过多层FFN

Dataset

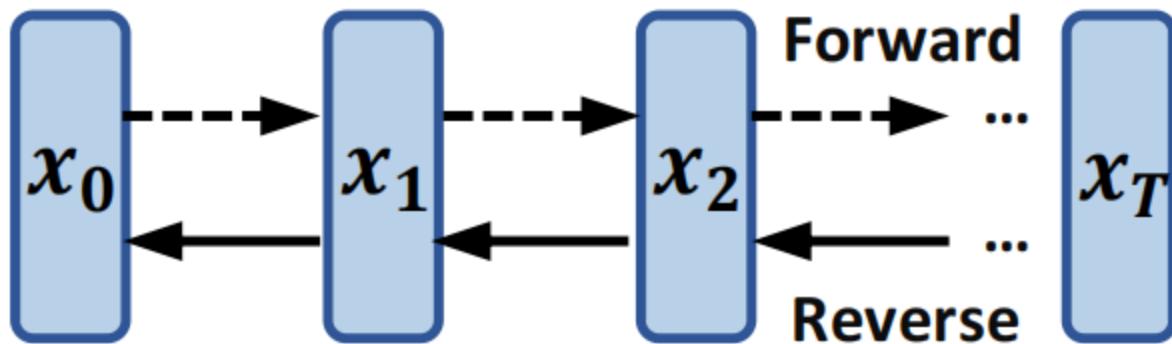
- Frappe
 - app用户日志
- MovieLens
- Metric
 - RMSE
- 适用数据：稀疏特征，categorical

General Recommendation

DiffRec

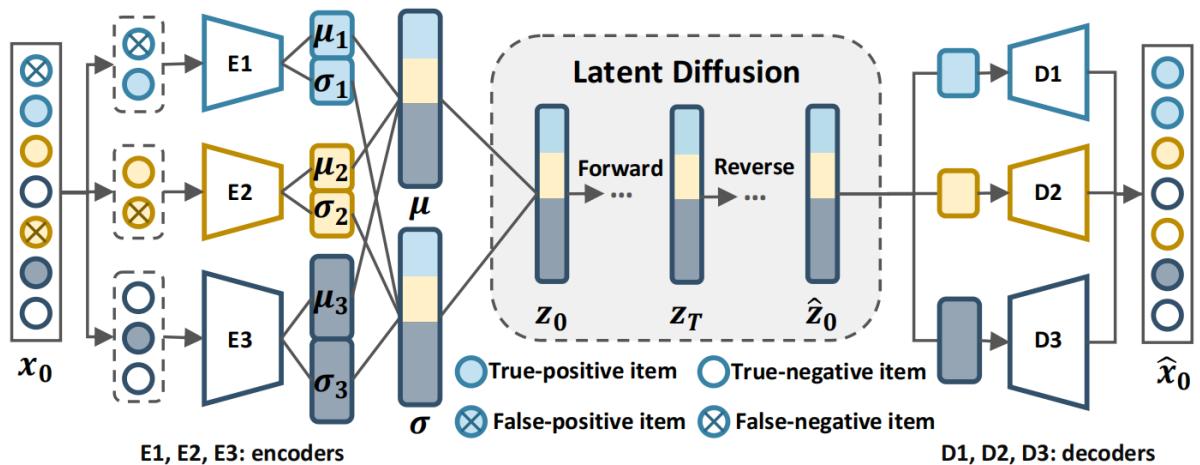
Overview

- Diffusion Recommender Model



(b) Illustration of DiffRec.

- 逐步用高斯噪声腐蚀用户交互历史，然后迭代恢复原始交互
 - 前向过程显著降低噪声尺度，保留用户个性化信息
- Inference: 用重构后的 \hat{x}_0 分布计算probability，推荐排名靠前的商品
- 两个扩展：
 - L-DiffRec
 - 用k-means对物品进行聚类，压缩维度



- T-DiffRec
 - time-aware reweighting

- 时序靠后的交互赋予更大权重
- 有点类似data augmentation?

Dataset

- Amazon-book
- Yelp
- ML-1M
- Metric
 - Recall@k
 - NDCG@k
 - k=10, 20
- 适用数据:
 - 高噪声用户交互
 - large-scale
 - 用户偏好随时间变化

NCL

Overview

- [Improving Graph Collaborative Filtering with Neighborhood-enriched Contrastive Learning](#)
- A+B: Graph CF + CL
 - 将结构和语义邻居纳入图协同过滤，做对比学习
- GNN:
 - 归一化聚合，BPR loss (pairwise)
- 和邻居做CL
 - Structural: 所有邻居平等对待
 - Semantic: 仅考虑聚类之后在同prototype之下的邻居

Dataset

- MovieLens1M
- Yelp
- Amazon Books
- Gowalla
- Alibaba-iFashion
- Metric

- Recall@N
- NDCG@N
- N = 10, 20, 50
- 适用数据：隐式反馈， sparse， nothing specific

SimpleX

Overview

- A Simple and Strong Baseline for Collaborative Filtering
- 关注点：loss function & 负采样比例
 - 简单鲁棒的baseline
- CCL
 - cosine contrastive loss

$$\mathcal{L}_{CCL}(u, i) = (1 - \hat{y}_{ui}) + \frac{w}{|\mathcal{N}|} \sum_{j \in \mathcal{N}} \max(0, \hat{y}_{uj} - m)$$

- m: margin; 正样本 + neg sample
- 基本pipeline：
 - 所有交互的items做aggregation
 - avg
 - attn
 - 聚合的item embed和user embed加权加和
 - 和candidate item余弦相似，最后计算出CCL

Dataset

- Amazon-Books
- Yelp18
- Gowalla
- further test:
 - Amazon-CDs, Amazon-Movies, Amazon-Beauty
 - CiteUlikeA, MovieLens-1M
- Metric
 - Recall@20
 - NDCG@20
 - wide range of comparison
- 适用数据：

- large-scale
- 计算资源有限场景

NCEPLRec

Overview

- Noise Contrastive Estimation for One-Class Collaborative Filtering
- 解决问题：总是推荐popular items，缺乏个性化推荐
- NCE: Noise Contrastive Estimation
 - 平衡observed & unobserved
- NS-AutoRec
 - 通过负采样训练嵌入层
 - 仅考虑重构error，NS作正则项
- NCE-AutoRec
 - unobserved interaction的预测期望用负采样期望近似

$$\underset{\tilde{r}_i}{\operatorname{argmax}} \sum_j r_{i,j} \left[\log p(\tilde{r}_{i,j} = 1) + E_{p(j')} [\log p(\tilde{r}_{i,j'} = 0)] \right],$$

Dataset

- Goodbooks
- MovieLens-20M
- Netflix
- Yahoo
- Metric
 - R-Precision, NDCG, MAP@K,
Precision@K, Recall@K and F1-score@K
- 适用数据：
 - large-scale, real-life
 - implicit feedback
 - 用户偏好多样化

ADMMSLIM

Overview

- Sparse Recommendations for Many Users
- by Netflix
- 优化原始Slim目标函数，训练时间与用户数无关，可扩展到大规模用户群体

$$\begin{aligned} \min_B \quad & \frac{1}{2} \cdot \|X - XB\|_F^2 + \frac{\lambda_2}{2} \cdot \|B\|_F^2 + \lambda_1 \cdot \|B\|_1 \\ \text{s.t.} \quad & \text{diag}(B) = 0 \end{aligned}$$

$$B_{i,j} \geq 0 \quad \forall i, j \in \mathcal{I}$$

- B 涉及多个函数和约束，重新定义为等效优化问题，前两项 $f(B)$ ，最后一项 $g(C)$, s.t. $B = C$
- 这个constraint用拉格朗日乘数约束，然后这个优化用类似ALS的方法交替更新 B 和 C （有闭式解）

$$L_\rho(B, C, \Gamma) = f(B) + g(C) + \langle \Gamma, B - C \rangle_F + \frac{\rho}{2} \cdot \|B - C\|_F^2$$

- 可调整各约束和正则项修改目标函数，灵活，提供ablation
- 优点：转化为优化问题，收敛快，scalability

Dataset

- ML-20M
- Netflix Prize
- Million Song Data(MSD)
- Metric
 - Recall@20
 - Recall@50
 - NDCG@100
- 适用数据：
 - 大量用户
 - 冷启动
 - catalog size > # user

SGL

Overview

- [Self-supervised Graph Learning for Recommendation](#)
- 解决问题：
 - 监督信号稀疏
 - 数据分布偏向高度节点
 - prone to noise
- 数据增强方法：
 - 节点dropout
 - 边dropout
 - random walk
- CL: 最大化同一节点不同视图间的一致性
- MTL: 总loss = cross-entropy + CL loss + regularizatoin

Dataset

- Yelp2018
- Amazon-Book
- Alibaba-iFashion
- Metric
 - Recall@20
 - NDCG@20
- 适用数据：
 - 数据分布biased
 - observed interaction高噪

SLIM Elastic

Overview

- [Sparse Linear Methods for Top-N Recommender Systems](#)
- Refer to [ADMM-SLIM](#)

Dataset

- 购买交易记录

- ccard
- ctlg2
- ctlg3
- ecmrc
- 评分数据
 - BX
 - ML10M
 - Netflix
 - Yahoo
- Metric
 - HR
 - ARHR
 - 对每个用户命中以位置倒数加权:

$$\frac{1}{\#users} \sum_{i \in hit} \frac{1}{p_i}$$
- 适用数据: purchase, rating

EASE

Overview

- Embarrassingly Shallow Autoencoders for Sparse Data
- 在SLIM基础上, drop掉非负约束和L1正则
- 同样提供闭式解 (形式简单), 方法和ADMMSLIM略有不同

$$\hat{B}_{i,j} = \begin{cases} 0 & \text{if } i = j \\ -\frac{\hat{P}_{ij}}{\hat{P}_{jj}} & \text{otherwise.} \end{cases}$$

$$\hat{P} \triangleq (X^\top X + \lambda I)^{-1}$$

Dataset

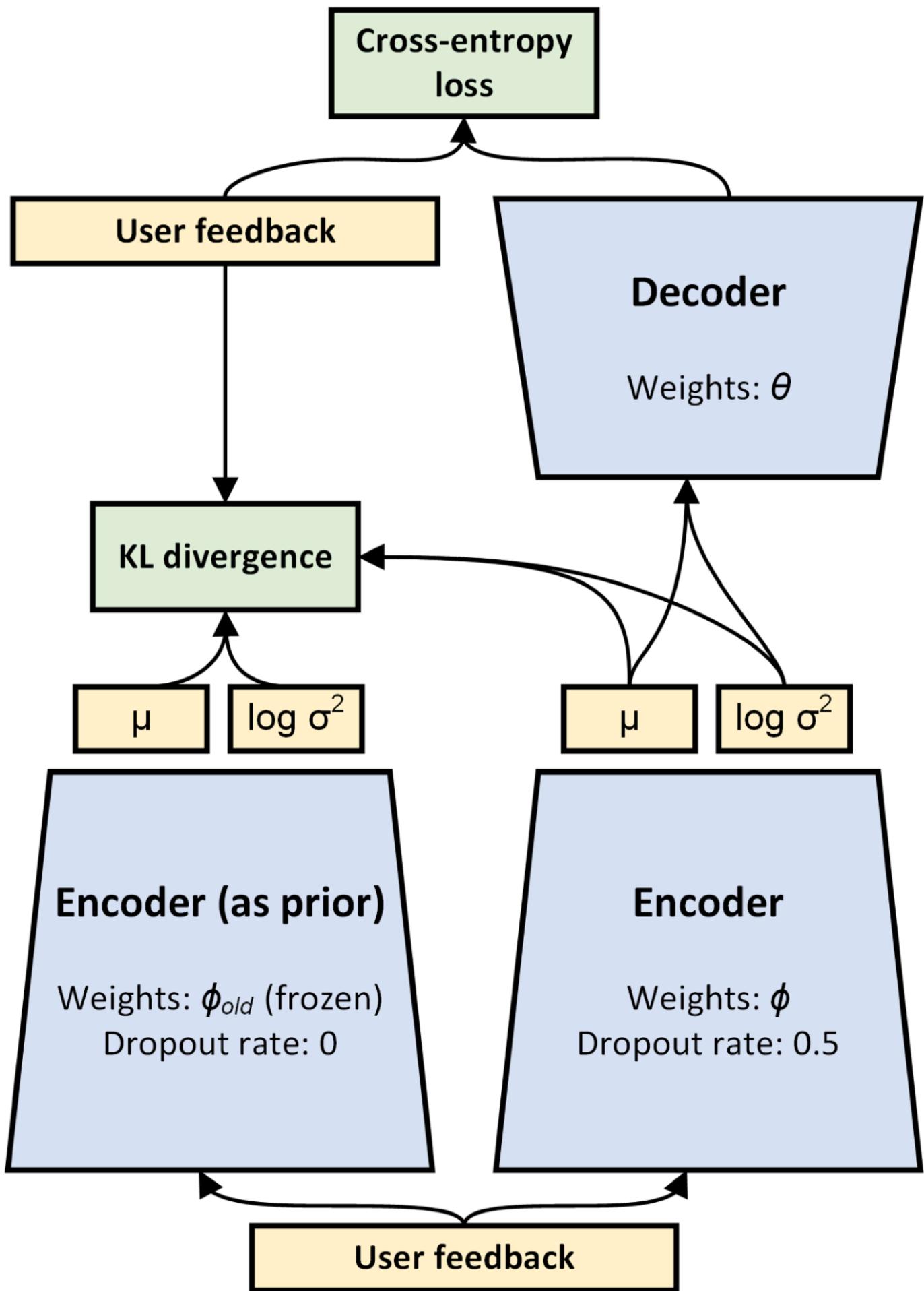
- ML-20M
- Netflix Prize
- Million Song Data (MSD)
- Metric
 - Recall@{20, 50}
 - NDCG@100

- 适用数据：
 - 个性化相关性高，更好推荐长尾item
 - sparse, implicit, etc.

RecVAE

Overview

- [A New Variational Autoencoder for Top-N Recommendations with Implicit Feedback](#)
- Auto Encoder Paradigm



- 把传统VAE的高斯分布改为多项式分布

$$\mathcal{L} = \mathbb{E}_{q_{\phi}(z|\tilde{x})} \mathbb{E}_{q(\tilde{x}|x)} \left[\log p_{\theta}(x|z) - \beta'(x) \text{KL} \left(q_{\phi}(z|\tilde{x}) \| p(z|\phi_{old}, x) \right) \right],$$

- 把原始的ELBO改为去噪VAE的形式 (体现在期望上)
- 复合先验：标准高斯与上一epoch后验近似 ($q_{\phi}(z|x)$) 的weighted sum

$$p(z|\phi_{old}, x) = \alpha \mathcal{N}(z|0, \mathbf{I}) + (1 - \alpha) q_{\phi_{old}}(z|x),$$

- 超参 β 不再是 β -VAE中的常数，而是与当前用户interaction数量成正比 (体现在 $\beta'(x)$)
- 类似ALS，user和item embedding交替进行

Dataset

- MovieLens-20M
- Netflix Prize
- Million Songs
- Metric
 - Recall@{20, 50}
 - NDCG@100
- 适用数据：
 - 电商，内容推荐等隐式反馈场景
 - 处理稀疏，噪声

RaCT

Overview

- [Towards Amortized Ranking-Critical Training for Collaborative Filtering](#)
- 借鉴RL中Actor-Critic (玩家-评委) 的想法
 - Critic: 近似排名指标
 - Actor: 针对指标优化
- 训练方法：
 - 用MLE预训练actor网络, standard in VAE
 - $\mathbb{E}_{q_{\phi}(z|x)} \log p_{\theta}(x | z)$
 - 预训练critic网络, 最小化MSE (w/ ground truth)

- 类似GAN, actor as G, critic as D

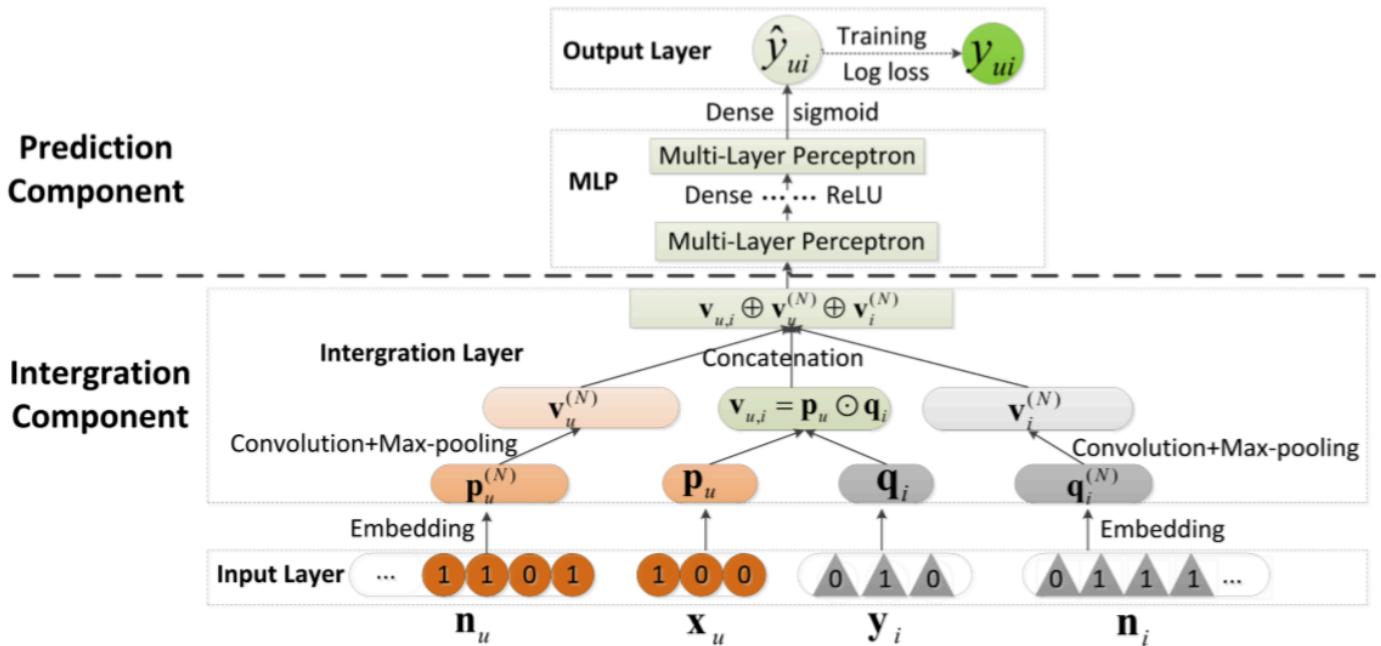
Dataset

- ML-20M
- Netflix
- MSD
- Metric
 - R@20
 - R@50
 - NDCG@100
- 适用数据: implicit, large-scale, sparse

NNCF

Overview

- A Neural Collaborative Filtering Model with Interaction-based Neighborhood



- 增强局部信息利用
- 编码领域信息:
 - 在邻居隐向量上卷积再Max-pooling
- 全局和局部隐向量concat在一起, 再过MLP
- 想法非常trivial

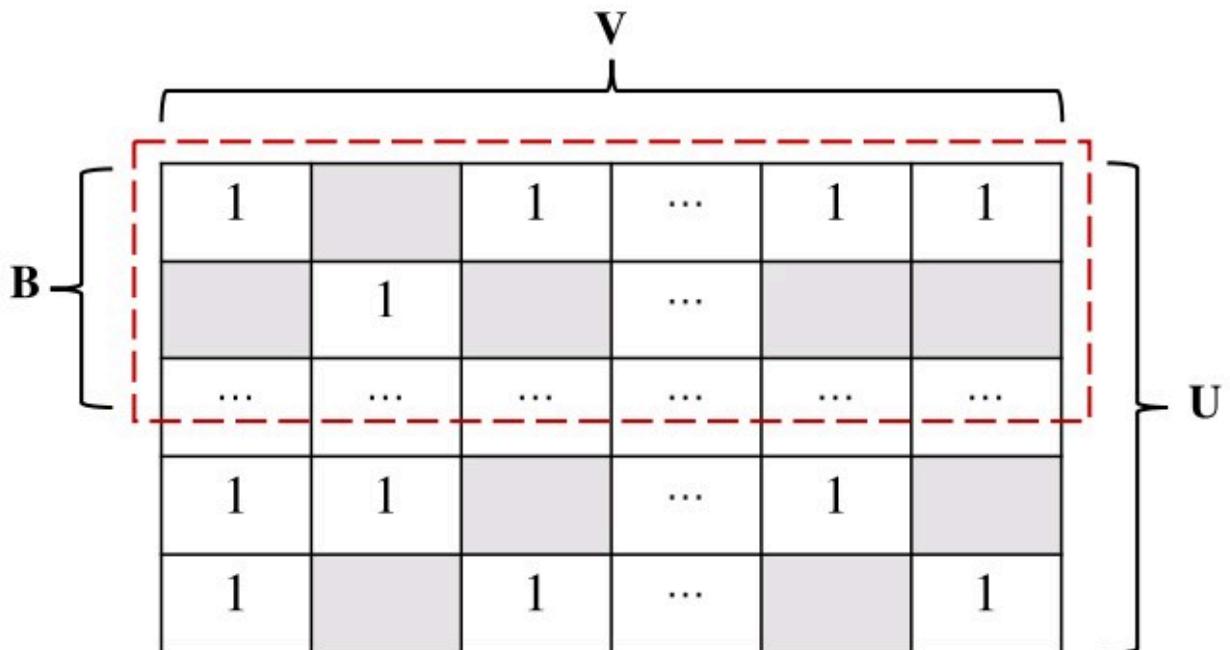
Dataset

- Delicious
 - 社交书签网络服务
- MovieLens
- Rossmann
 - 药店销售记录, kaggle competition
- 适用数据: implicit, sparse, same with traditional CF

ENMF

Overview

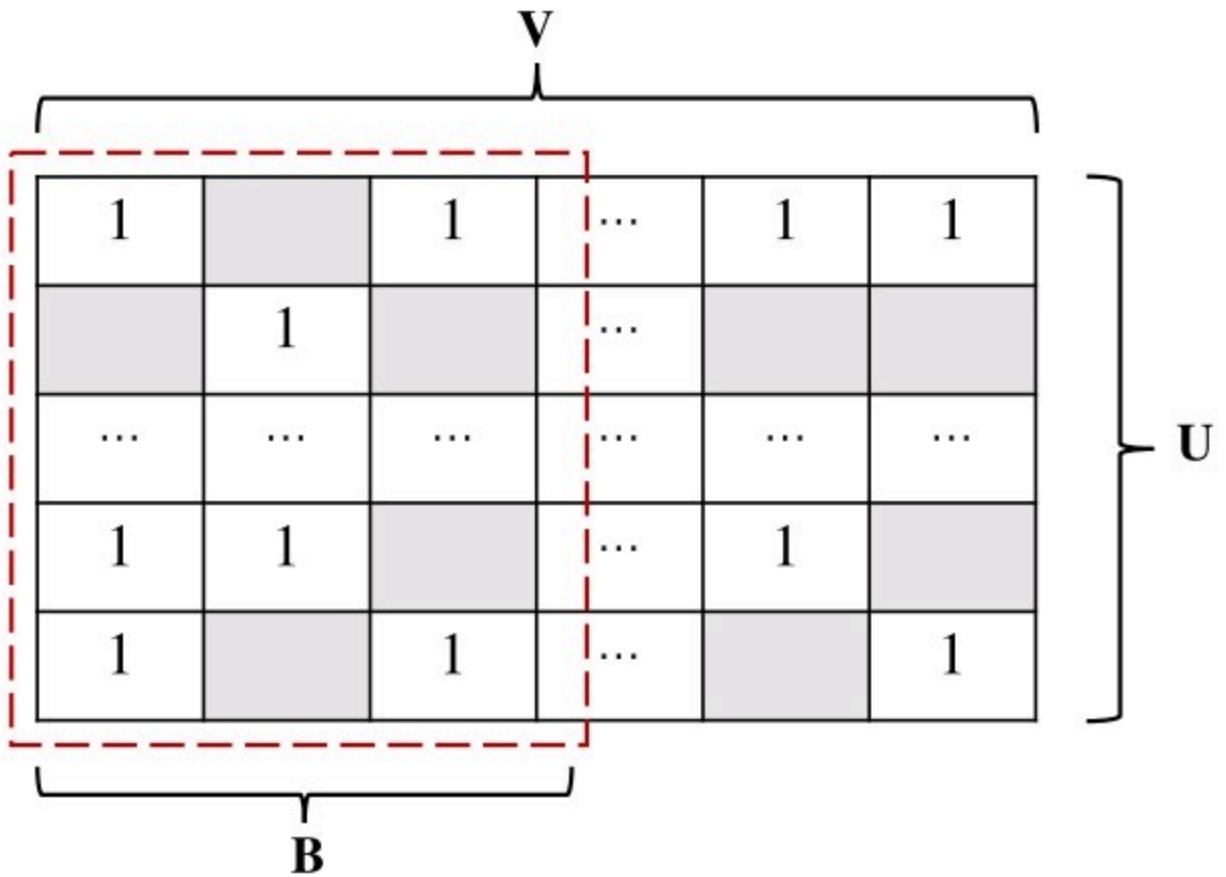
- Efficient Neural Matrix Factorization without Sampling for Recommendation
- 负采样不够鲁棒, 不易优化
- 从整个训练数据学习神经模型, w/o sampling
- 提出三个优化techniques:
 - User-based optimization method (ENMF-U)
 - weighted regression loss
 - user batch (横向)



- 把loss分为正数据损失 $\mathcal{L}_1^P(\Theta)$ & 所有数据损失 $\mathcal{L}_1^A(\Theta)$
- 优化: nested sum的重排

$$\mathcal{L}_1^A(\Theta) = \sum_{i=1}^d \sum_{j=1}^d \left((h_i h_j) \left(\sum_{u \in B} p_{u,i} p_{u,j} \right) \left(\sum_{v \in V} c_v^- q_{v,i} q_{v,j} \right) \right)$$

- Item-based optimization method (ENMF-I)
 - item batch (纵向)



- Alternating-based optimization method (ENMF-A)
 - motivated by ALS, 交替优化user和item

Dataset

- Ciao
 - 购物评分
- Epinions
- MovieLens
- Metric
 - HR
 - NDCG
- 适用数据: large-scale, implicit

以下为VAE/DAE系的模型:

CDAE

Overview

- Collaborative Denoising Auto-Encoders for Top-N Recommender Systems

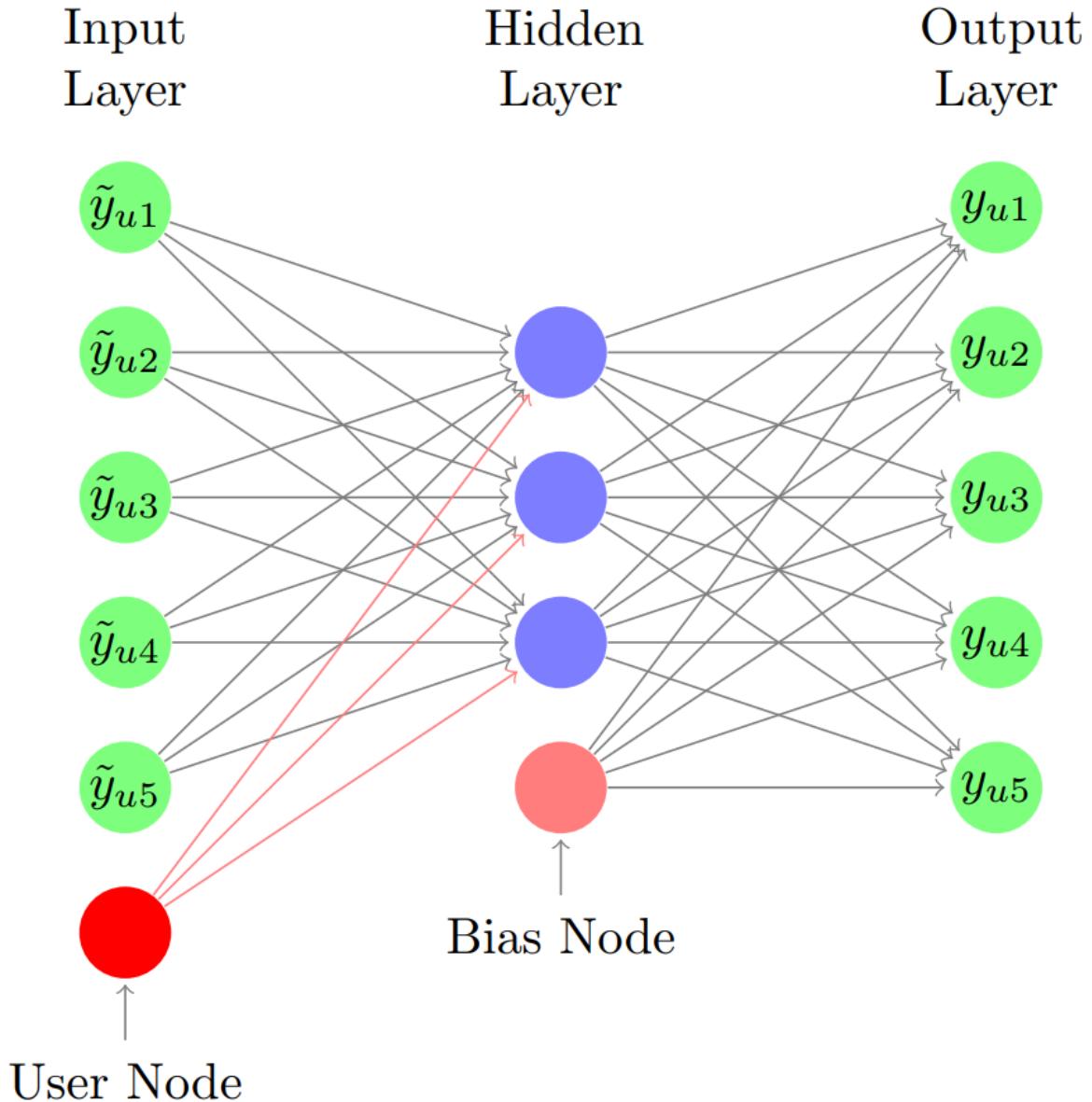


Figure 1: A sample CDAE illustration for a user u . The links between nodes are associated with different weights. The links with red color are user specific. Other weights are shared across all the users.

- 自编码器框架，从被污染的输入学习
 - 单隐藏层
 - input \rightarrow latent \rightarrow reconstruction

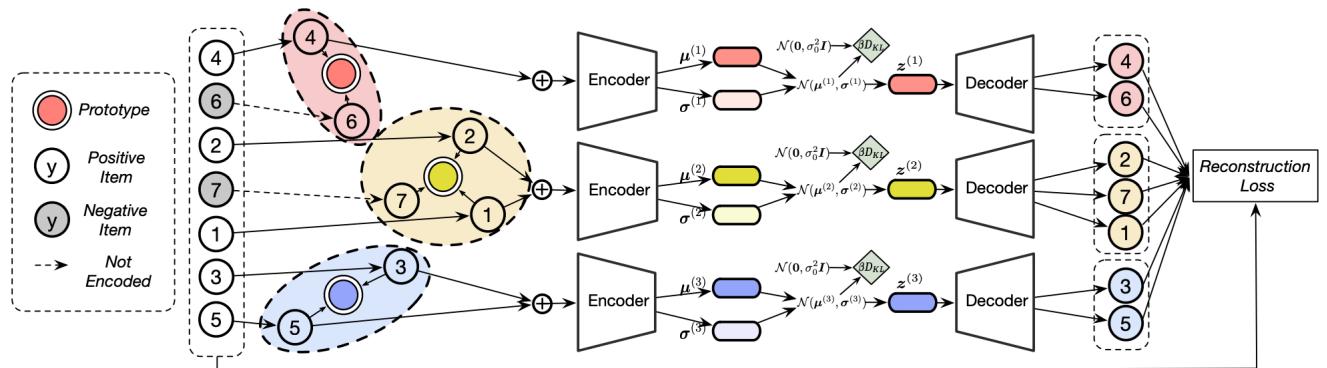
Dataset

- MovieLens-10M
- Netflix
- Yelp
- Metric
 - MAP@{1, 5, 10}
- 适用数据: implicit, also corrupted data (nature of **denoising**)

MacridVAE

Overview

- Learning Disentangled Representations for Recommendation



- 宏观分离 (macro disentanglement) & 微观分离 (micro disentanglement)
 - Macro: 捕获对高层次概念的偏好 (e.g. 类别)
 - latent $\mathbf{z}_u \in \mathbb{R}^{Kd}$, K:高层次概念数量
 - 同时推理独热向量 \mathbf{C} , 聚类item
 - 用VAE paradigm做推理
 - Micro: 独立的低层次因素 (e.g. 大小, 颜色)
 - 鼓励维度间的独立性
 - 做法: ELBO中KL散度写成互信息形式去噪, 并采用 β -VAE to force independence (set β high)

Dataset

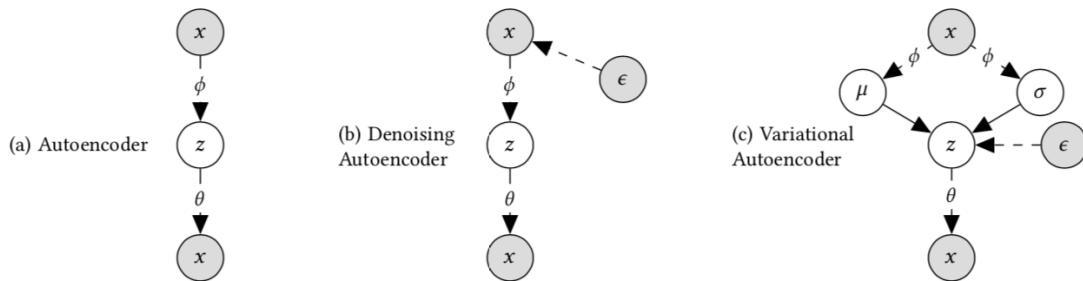
- Netflix Prize
- MovieLens
 - ML-100k

- ML-1M
- ML-20M
- AliShop-7C
 - 7C: 7 categories
- Metric
 - NDCG@100
 - Recall@20
 - Recall@50
- 适用数据: user behavior, u-i interaction

MultVAE & MultiDAE

Overview

- [Variational Autoencoders for Collaborative Filtering](#)
- VAE4Rec的鼻祖
- 用多项式似然 $\mathbf{x}_u \mid \mathbf{z}_u \sim Mult(N_u, MLP(\mathbf{z}_u))$
- Taxonomy:
 - DAE: delta变分分布 (仅在 $g_\phi(\mathbf{x}_u)$ 处有概率密度)
 - VAE: 参数化高斯近似



Dataset

- ML-20M
- Netflix
- MSD
- Metric
 - Recall@20
 - Recall@50
 - NDCG@100

- 适用数据: implicit, large, sparse, high-dim

LINE

Overview

- Large-scale Information Network Embedding
- designed to: 大型信息网络嵌入低维空间
- 优化目标函数同时保留全局&局部结构
 - 一阶近邻 (local) & 二阶近邻 (global)
 - 一阶: 两节点联合概率, sigmoid (observed)
 - 二阶: 建模由上下文节点生成另一节点的条件概率, softmax (unobserved)

$$p_2(v_j|v_i) = \frac{\exp(\vec{u}_j'^T \cdot \vec{u}_i)}{\sum_{k=1}^{|V|} \exp(\vec{u}_k'^T \cdot \vec{u}_i)},$$

- 负采样方法: unigram分布 ($P_n(v) \propto d_v^{\frac{3}{4}}$, out degree)
- 图学习方法可以应用到推荐系统 (observed/unobserved模式), 把图看作interaction关系即可

Dataset

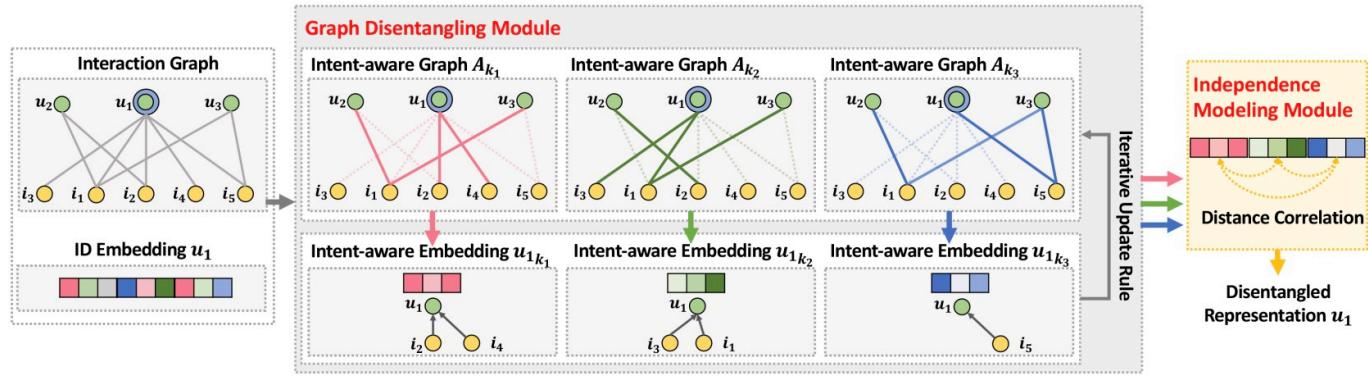
- 论文中使用数据为各种网络数据, e.g. 语言网络 (维基百科), 社交网络 (flickr, youtube), 引用网络 (DBLP)
- 适用数据: interaction, implicit即可

以下为一些graph-based模型:

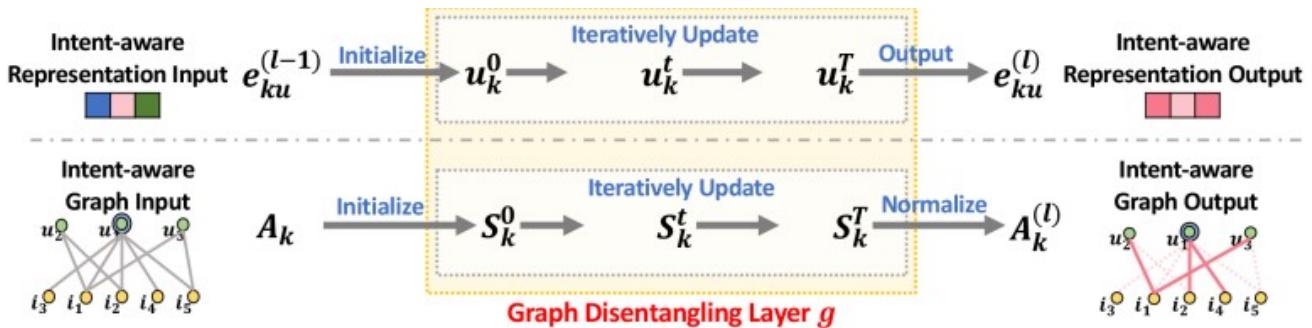
DGCF

Overview

- Disentangled Graph Collaborative Filtering



- 解决问题：uniform modeling无法有效捕捉用户意图的多样性
- Could refer to [MacridVAE](#)
- 基本Pipeline：
 - K个latent intent，每个包含一组user/item分块的表示 $(\mathbf{u}_k, \mathbf{i}_k)$
 - 维护两组矩阵，交替更新



- k intent下user表示
 - aggregate所有该user相邻的item, weighted by (u,i) score $S_k(u, i)$
- k intent下的全局图表示
 - update $S_k(u, i)$, $S += (u^t, i^0)$ attn score (i不更新, nonlinear activation)
- 正则项：鼓励latent factor间独立性，使用distance correlation

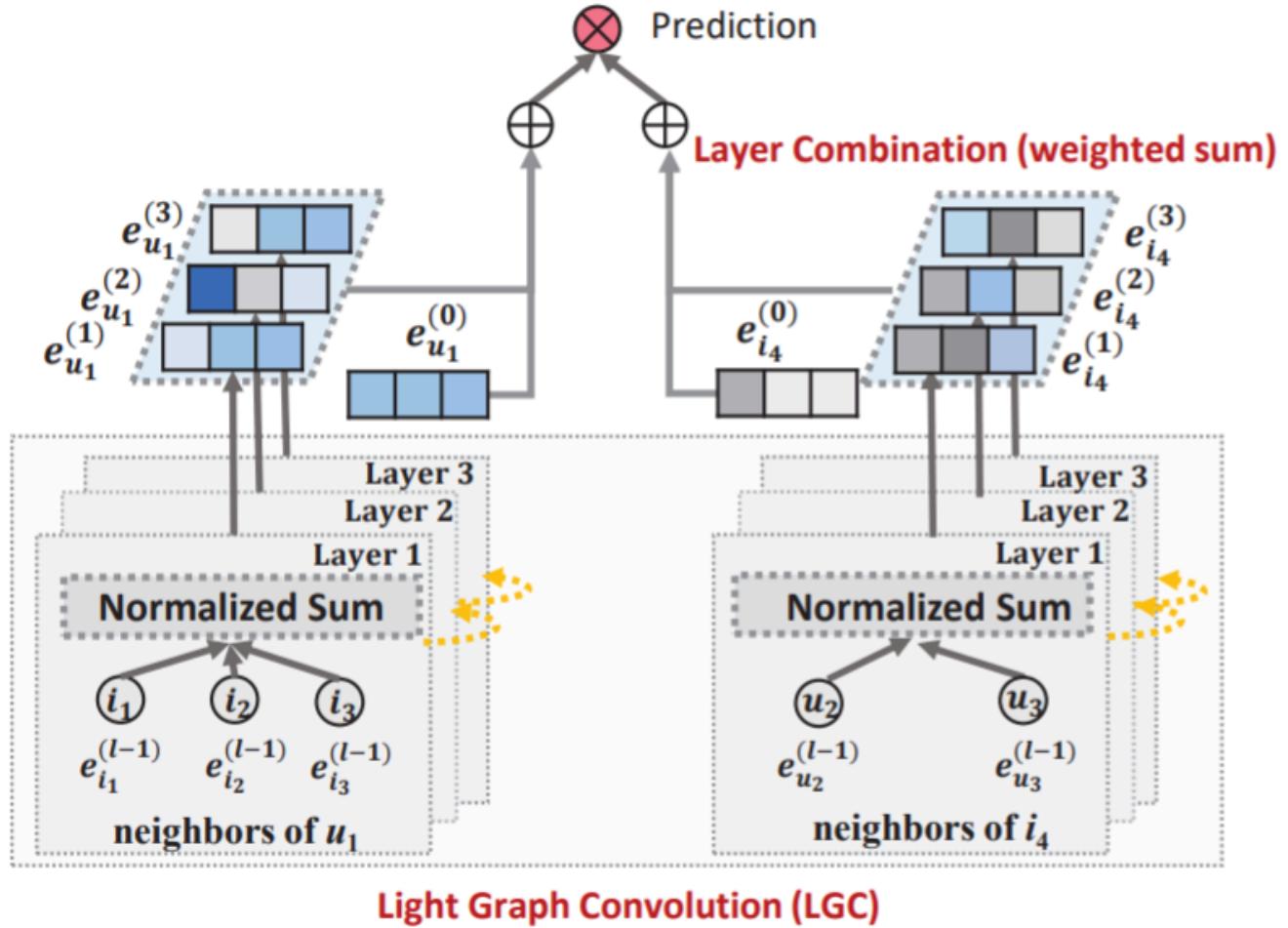
Dataset

- Gowalla
 - location-based check in
- Yelp2018*
- Amazon-Book
- Metric
 - recall@20
 - ndcg@20
- 适用数据：多样化用户意图，其他相似

LightGCN

Overview

- Simplifying and Powering Graph Convolution Network for Recommendation



- Light: 仅包含GCN中领域聚合
 - AGG: normalized sum ($u & i$)
 - 各层组合: weighted sum
 - inner product做预测
- BPR loss

Dataset

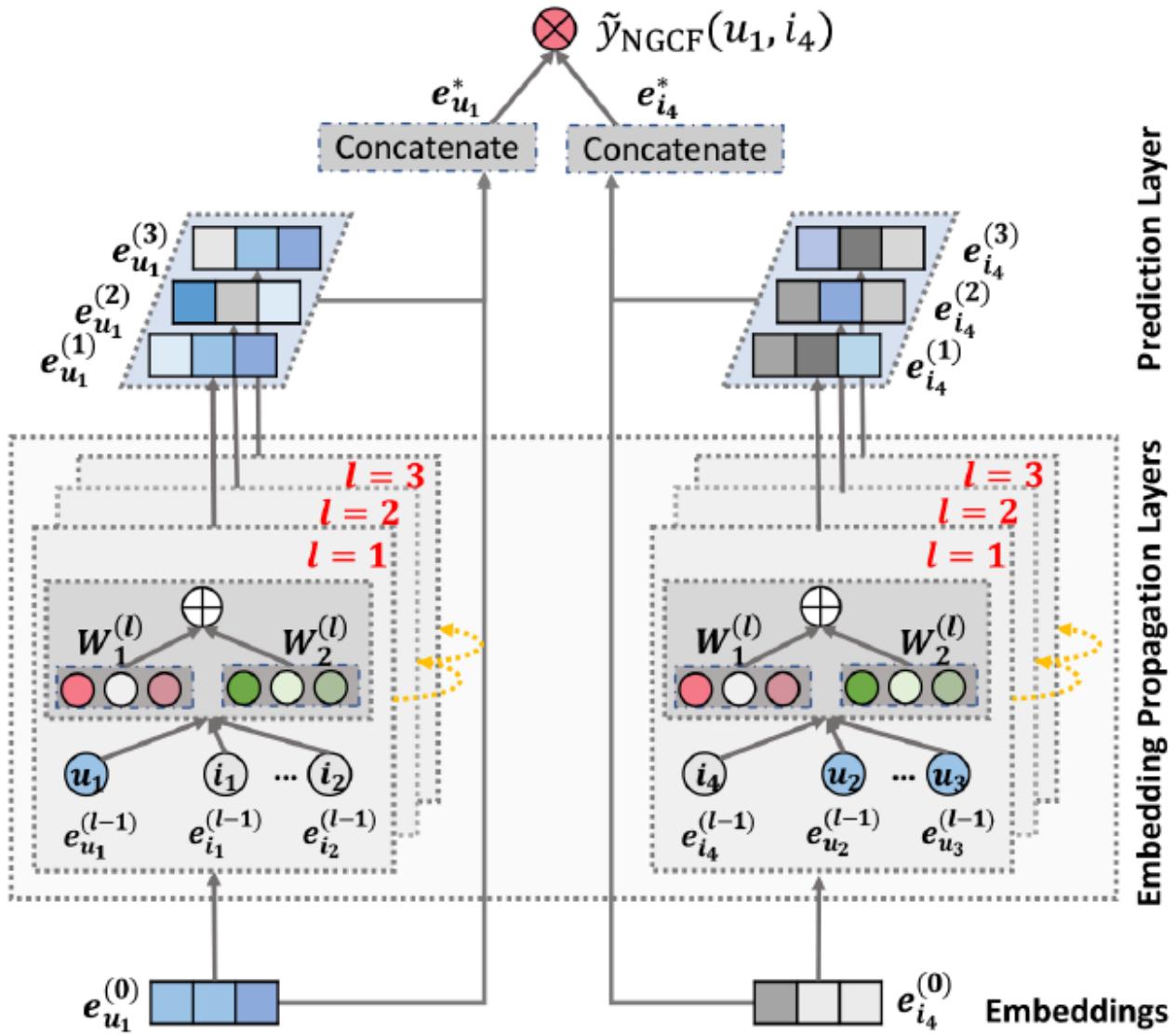
- Gowalla
- Yelp2018
- Amazon-Book
- Metric
 - recall@20

- ndcg@20
- 适用数据：轻量，长期依赖，其他同

NGCF

Overview

- Neural Graph Collaborative Filtering



- 和LightGCN相比：
 - AGG时，包括self-connection (user2user自己的信息传递)
 - activation: LeakyReLU
- LightGCN claim这两处在消融中useless

Dataset

Same as [LightGCN](#)

GCMC

Overview

- [Graph Convolutional Matrix Completion](#)
- Bipartite Graph(user -- item)
 - 转化为link prediction任务
- 仅考虑1-hop
- 其他类似
 - AGG: normalized sum
 - Decoder: softmax
 - both w/ trainable matrix added
- loss: NLL (negative log likelihood)

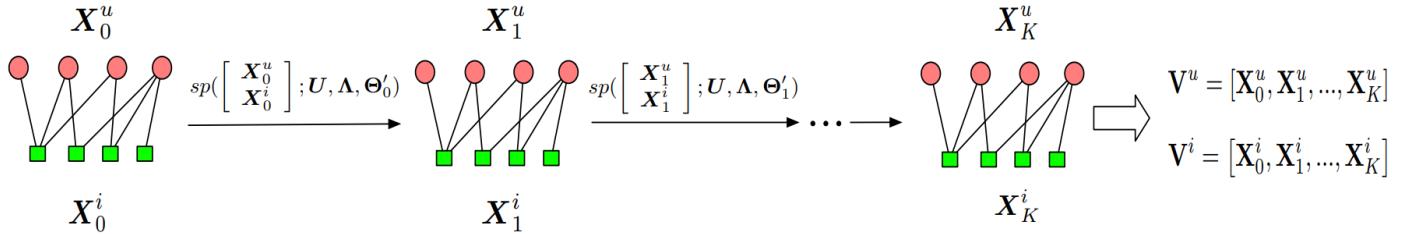
Dataset

- MovieLens(100K, 1M, 10M),
- Flixster
 - also movie rating
- Douban
- YahooMusic
- Metric
 - RMSE
- 适用数据：解决冷启动，任何可以转化为二分图

SpectralCF

Overview

- [Spectral collaborative filtering](#)



- 频谱卷积：动态放大/衰减各频率分量，捕捉连通性信息
- 图傅里叶变换
 - 拉普拉斯矩阵，eigenvector组成 U
 - x (图信号) $\rightarrow U^T \hat{x} \rightarrow U$ (频域信号) \rightarrow 新图信号
- 卷积核 g_θ applied before U , 调节频率分量
 - 优化：卷积核用多项式近似，降低复杂度
 - 节点表示拓展到C维，层数扩展到K层，各层结果concat

$$\begin{bmatrix} \mathbf{X}_{new}^u \\ \mathbf{X}_{new}^i \end{bmatrix} = \sigma \left((\mathbf{U}\mathbf{U}^\top + \mathbf{U}\boldsymbol{\Lambda}\mathbf{U}^\top) \begin{bmatrix} \mathbf{X}^u \\ \mathbf{X}^i \end{bmatrix} \boldsymbol{\Theta}' \right),$$

- loss: BPR

Dataset

- MovieLens-1M
- HetRec
 - extension of ML, also movie ratings, transformed into implicit
- Amazon Instant Video
- Metric
 - Recall@M
 - MAP@M
 - M={20, 40, 60, 80, 100}
- 适用数据：implicit, cold start

以下是一些item-based算法：

NAIS

Overview

- Neural Attentive Item Similarity Model for Recommendation
- item-to-item

- softmax分母平滑化处理

$$\hat{y}_{ui} = \mathbf{p}_i^T \left(\sum_{j \in \mathcal{R}_u^+ \setminus \{i\}} a_{ij} \mathbf{q}_j \right),$$

$$a_{ij} = \frac{\exp(f(\mathbf{p}_i, \mathbf{q}_j))}{[\sum_{j \in \mathcal{R}_u^+ \setminus \{i\}} \exp(f(\mathbf{p}_i, \mathbf{q}_j))]^\beta},$$

- loss: cross-entropy

Dataset

- MovieLens-1M
- Pinterest
- Metric
 - HR@10
 - NDCG@10
- 适用数据: item-based CF, 不同长度的用户历史

FISM

Overview

- Factored Item Similarity Models for Top-N Recommender Systems
- estimated score \hat{r}_{ui} : same as NAIS
- FISMrmse
 - 目标函数: squared error
- FISMauc: BPR loss

Dataset

- ML100K
- Netflix
- Yahoo Music
- Metric
 - HR

- ARHR
- 适用数据: sparse, implicit, scalable

DMF

Overview

- MLP分别编码user/item
- loss: normalized cross-entropy
 - divided by max(rating), 同时考虑explicit / implicit

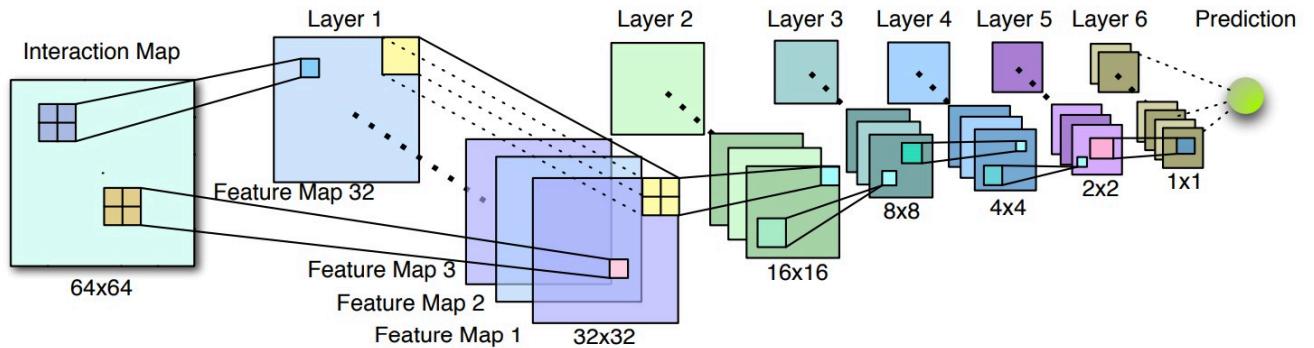
Dataset

- MovieLens 100K, 1M
- Amazon Music, Movie
- Metric
 - NDCG@10
 - HR@10
- 适用数据: explicit & implicit unified

ConvNCF

Overview

- Outer Product-based Neural Collaborative Filtering
- one-hot feature -> embedding (w/ embed_size = K)
- 使用外积构造interaction map
 - $\mathbf{E} = \mathbf{p}_u \otimes \mathbf{q}_i = \mathbf{p}_u \mathbf{q}_i^T \in \mathbb{R}^{K \times K}$
 - 编码维度间二阶correlation
- CNN抓取interaction map中的重要信号
 - 2×2局部信息, 输出为所有维度correlation



- loss: BPR
- prediction: \hat{y}_{ui} 为最后一层输出 reweighted

Dataset

- Yelp
 - Business rating
- Gowalla
 - check-in dataset
- Metric
 - NDCG@10
 - HR@10
- 适用数据: sparse, implicit

NeuMF

Overview

- Neural Collaborative Filtering
- GMF: $\phi = \mathbf{p}_u \odot \mathbf{q}_i$ (linearity)
- MLP: non-linearity
- 两者concat后过激活函数
- loss: cross-entropy

Dataset

- MovieLens 1M
- Pinterest
- Metric
 - NDCG@10
 - HR@10

- 适用数据: same as ConvNCF

BPR

Overview

- BPR-Opt通用优化架构
 - 可适用于: MF, adaptive kNN
 - MF: $\hat{X} = WH^T$, $\Theta = W, H$
 - kNN: $\hat{x}_{ui} = \sum_{k \in I_u^+ \setminus i} s_{ik}$, $\Theta = S$ 为cosine相似度矩阵
- General objective: $\sum \log \sigma(\hat{x}_{uji}) - \lambda \|\Theta\|^2$
 - $i \in I_u^+, j \in I_u^-$
 - $\hat{x}_{uji} = \hat{x}_{ui} - \hat{x}_{uj}$, pairwise
- 训练: 随机选择三元组SGD

Dataset

- Rossmann
- Netflix
- Metric
 - AUC
- 适用数据: any implicit

ItemKNN

Overview

- Item-based top-N recommendation algorithms
- Similarity:
 - cosine
 - 条件概率: $\frac{\sum_{R_{q,j}>0} R_{q,j}}{Freq(i)Freq(j)^\alpha}$, 每行normalized
 - 可推广到set of items

Dataset

- ctlg1,2,3
 - 目录零售采购记录

- ecmrc
 - 电商网站
- ccard
 - 商场信用卡消费记录
- Movies
 - em
 - EachMovie
 - ml
- skill
 - 简历中IT技术
- Metric
 - HR@10
 - ARHR@10
- 适用数据: implicit