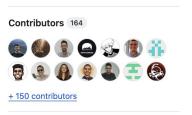
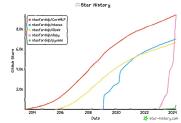
### Shangyin Tan Shangyin Tan









# It's never been easier to prototype impressive Al demos.

When was Stanford University founded?

Stanford University was founded in 1891. It was named after Leland Stanford, a former California governor who donated the land and funds to establish the university. Stanford is located in Stanford, California, near Palo Alto.

## Turning monolithic LMs into reliable Alsystems remains challenging.

When was Stanford University founded?

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## Turning monolithic LMs into reliable Alsystems remains challenging.

When was Stanford University founded?

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#### The **DSPy** paradigm - <u>dspy.ai</u>

Let's program—not prompt—LMs.

Connect declarative modules into a computation graph, and compile it into a chain of optimized prompts (or LM finetunes) automatically. **How?** 

- 1. Hand-written Prompts Signatures: question -> answer long\_document -> summary
- 2. Prompting Techniques and Chains Modules: dspy.ChainOfThought dspy.ReAct
- 3. Manual Prompt Engineering
  - **a. Optimizers** (given a metric you want to maximize)
  - **b. Assertions** (similar to assertions in programming language)

#### Let's get concrete: Question Answering with HotPotQA

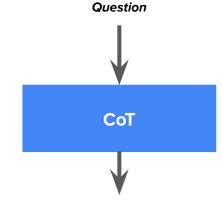
**Question:** How many storeys are in the castle David Gregory inherited?

**Passages:** (1) St. Gregory Hotel is a 9-floor boutique hotel... (2) Kinnairdy Castle is tower house with five storeys...

**Answer:** Kinnairdy Castle has five storeys.

#### Let's build three programs for this task. **Program 1.**

```
CoT = dspy.ChainOfThought("question -> answer")
```



Chain of Thought (Reasoning); Answer

How many storeys are in the castle David Gregory inherited?



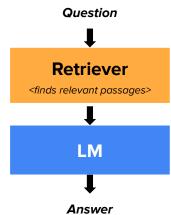
**Answer: Castle Gregory** has **three** storeys.



#### Let's build another program for this task. Program 2.

```
class RAG(dspy.Module):
    def __init__(self, num_passages=3):
        self.retrieve = dspy.Retrieve(k=num_passages)
        self.generate_answer = dspy.ChainOfThought("context, question -> answer")

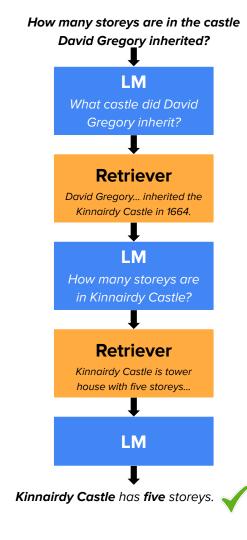
def forward(self, question):
    passages = self.retrieve(question).passages
    return self.generate_answer(context=passages, question=question)
```





#### Let's build a solid **Program 3** for this task.

```
class MultiHop(dspy.Module):
  def init (self, passages per hop=3):
      self.generate query = dspy.ChainOfThought("context, question -> query")
      self.retrieve = dspy.Retrieve(k=passages per hop)
      self.generate answer = dspy.ChainOfThought("context, question -> answer")
  def forward(self, question):
      context = []
      for in range(2):
        query = self.generate query(context=context, question=question).query
        context += self.retrieve(query).passages
      return self.generate answer(context=context, question=question)
```



#### What does the **DSPy Compiler** do?

improved\_dspy\_program = optimizer.compile(dspy\_program, few\_examples)



#### Let's **compile** our multi-hop program.

```
Given the fields 'context', 'question', produce the fields 'search_query'
     Follow the following format
     Context: ${context}
     Reasoning: Let's think step by step in order to ${produce the search_query}. We ...
     Search Ouery: ${search_query}
     [1] Twilight (novel series) | Twilight is a series of four vampire-themed fantasy romance novels by American author
16 [2] Harper Connelly Mysteries | The Harper Connelly Mysteries is a series of fantasy mystery novels written by Charlaine
      Harris, and first published in 2005. ...
     [3] The Dark Heroine | The Dark Heroine is a series of vampire-themed fantasy romance novels written by English author
      Abigail Gibbs, published by HarperCollins in 2012. ...
     Question: In which year was the first of the vampire-themed fantasy romance novels for which The Twilight Saga: The
      Official Illustrated Guide serves as a spin-off encyclopedic reference book first published?
     Reasoning: Let's think step by step in order to determine the year the first of the vampire-themed fantasy romance novels
      was first published. ...
      Search Ouery: When was the first of the vampire-themed fantasy romance novels published?
24
25
     [1] The Victorians | The Victorians - Their Story In Pictures is a 2009 British documentary series which focuses on
      Victorian art and culture. ..
     [2] The Caxtons | The Caxtons: A Family Picture is an 1849 Victorian novel by Edward Bulwer-Lytton that was popular in its
     [3] Victorian (comics) | The Victorian is a 25-issue comic book series published by Penny-Farthing Press and starting in
      1999. ...
      Question: The Victorians - Their Story In Pictures is a documentary series written by an author born in what year?
     Reasoning: Let's think step by step in order to produce the search query. We know that the documentary series is about
      Victorian art and culture, and it was written and presented by Jeremy Paxman. Therefore, we need to find the year in which
      Jeremy Paxman was born.
36
      Search Query: Jeremy Paxman birth year
37
38
39
41
     Context:
```

Figure 11: Shortened copy of the prompt automatically generated by DSPy for HotPotQA Llama2-13b-chat multi-hop program (generating second hop query) compiled with bootstrap.

```
class MultiHop(dspy.Module):
    def __init__(self, passages_per_hop=3):
        self.generate_query = dspy.ChainOfThought("context, question -> query")
        self.retrieve = dspy.Retrieve(k=passages_per_hop)
        self.generate_answer = dspy.ChainOfThought("context, question -> answer")

def forward(self, question):
        context = []

for _ in range(2):
        query = self.generate_query(context, question).query
        context += self.retrieve(query).passages

return self.generate_answer(context, question)
```

#### Basket of **optimizers** (and growing!)

```
fewshot_program = dspy.LabeledFewShot(k=8).compile(program, trainset=trainset)
```

```
teleprompter = dspy.BootstrapFewShotWithRandomSearch(metric=gsm8k_accuracy)
bootstrapped_program = teleprompter.compile(program, trainset=trainset)
```

```
bootstrappedx2_program = teleprompter.compile(program, teacher=bootstrapped_program, trainset=trainset)
```

```
ensemble = dspy.Ensemble(reduce_fn=dspy.majority).compile(bootstrapped_program.programs[:7])
```

#### GSM8K - grade school math dataset

20 birds migrate on a seasonal basis from one lake to another, searching for food. If they fly from lake Jim to lake Disney in one season, which is 50 miles apart, then the next season they fly from lake Disney to lake London, 60 miles apart, calculate the combined distance all of the birds have traveled in the two seasons.

Answer: 20 \* (50 + 60) = 2200 miles

#### First DSPy program

```
class SimpleMathSolver(dspy.Module):
    def __init__(self):
        self.prog = dspy.ChainOfThought("question -> answer")

    def forward(self, question):
        return self.prog(question=question)

simple math solver = SimpleMathSolver()
```

Given the fields `question`, produce the fields `answer`.

---

Follow the following format.

Question: \${question}

Reasoning: Let's think step by step in order to \${produce the answer}. We ...

Answer: \${answer}

---

Question: 20 birds migrate on a seasonal basis from one lake to another, searching for food. If they fly from lake Jim to lake Disney in one season, which is 50 miles apart, then the next season they fly from lake Disney to lake London, 60 miles apart, calculate the combined distance all of the birds have traveled in the two seasons.

Reasoning: Let's think step by step in order to produce the answer. We know that the birds fly 50 miles from lake Jim to lake Disney and then 60 miles from lake Disney to lake London. To find the combined distance, we simply add the two distances together.

Answer: The combined distance all of the birds have traveled in the two seasons is 50 miles + 60 miles = 110 miles.

#### Two problems:

- 1. Reasoning does not include all the information needed
- 2. Answer in complicated sentences, not a single number

LMs are not following what we expect.

And, there's no way to even specify the constraints except manual prompt tuning.

**Goal**: Enable DSPy programmers to define constraints on LM behavior.

Can we make a robust, extensible programming construct?

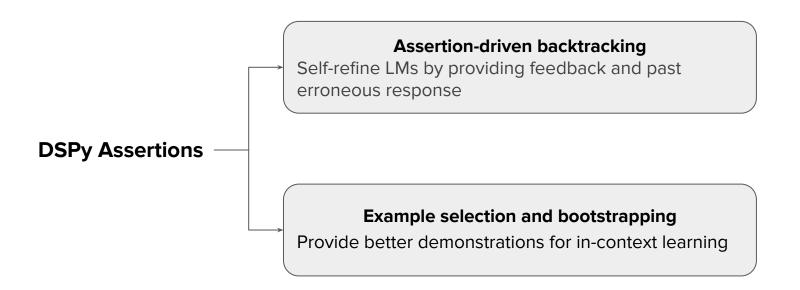
#### Including Assertions in DSPy

dspy. Assert - DSPy must either pass the assertion or raise an Exception

dspy. Suggest - DSPy should try to pass the assertion, but permit the code to continue otherwise

dspy.Suggest(constraint: bool, instruction message: str)

Introducing **DSPy Assertions** — to guide LM behavior in DSPy programs.



#### DSPy program with Assertions

```
def extract number(question):
   """extract numbers from a question"""
def has numbers(rationale, numbers):
   """whether rationale has all the numbers, if not, return the missing number"""
class SimpleMathSolverWithSuggest(dspy.Module):
   def init (self):
       self.prog = dspy.ChainOfThought("question -> answer")
                                       Assertion-driven backtracking
   def forward(self, quest:
                             Self-refine LMs by providing feedback and past
       pred = self.prog(que)
                             erroneous response
       rationale has number
                                                                                 ract number (question))
       dspy.Suggest(rationale has numbers, f"Your Reasoning should contain {missing number}.")
       dspy.Suggest(len(pred.answer) < 10, "Your Answer should be a number.")</pre>
       return pred
```

simple math solver suggest = SimpleMathSolverWithSuggest().activate assertions()

Given the fields `question`, produce the fields `answer`.

---

Follow the following format.

Question: \${question}

Reasoning: Let's think step by step in order to \${produce the answer}. We ...

Answer: \${answer}

---

Question: 20 birds migrate on a seasonal basis from one lake to another, searching for food. If they fly from lake Jim to lake Disney in one season, which is 50 miles apart, then the next season they fly from lake Disney to lake London, 60 miles apart, calculate the combined distance all of the birds have traveled in the two seasons.

Reasoning: Let's think step by step in order to produce the answer. We know that the birds fly 50 miles from lake Jim to lake Disney and then 60 miles from lake Disney to lake London. To find the combined distance, we simply add the two distances together.

Answer: The combined distance all of the birds have traveled in the two seasons is 50 miles + 60 miles = 110 miles.

```
"""extract numbers from a question"""
def has numbers(rationale, numbers):
   """whether rationale has all the numbers, if not, return the missing number"""
class SimpleMathSolverWithSuggest(dspy.Module):
  def init (self):
       self.prog = dspy.ChainOfThought("question -> answer")
  def forward(self, question):
       pred = self.prog(question=question)
       rationale has numbers, missing number = has numbers(pred.rationale, extract number(question))
       dspy.Suggest(rationale has numbers, f"Your Reasoning should contain {missing number}.")
       dspy.Suggest(len(pred.answer) < 10, "Your Answer should be a number.")</pre>
       return pred
simple math solver suggest = SimpleMathSolverWithSuggest().activate assertions()
```

def extract number(question):

Given the fields `question`, produce the fields `answer`.

Follow the following format.

Question: \${question}

Previous Reasoning: past Reasoning with errors Instructions: Some instructions you must satisfy

December 1 at a think at a but at a in and at to flow

Reasoning: Let's think step by step in order to \${produce the answer}. We ...

Answer: \${answer}

---

Question: 20 birds migrate on a seasonal basis from one lake to another, searching for food. If they fly from lake Jim to lake Disney in one season, which is 50 miles apart, then the next season they fly from lake Disney to lake London, 60 miles apart, calculate the combined distance all of the birds have traveled in the two seasons.

Previous Reasoning: produce the answer. We know that the birds fly 50 miles from lake Jim to lake Disney and then 60 miles from lake Disney to lake London. To find the combined distance, we simply add the two distances together. Instructions: Your Reasoning should contain 20.

Reasoning: Let's think step by step in order to calculate the combined distance all of the birds have traveled in the two seasons. In the first season, 20 birds fly 50 miles from lake Jim to lake Disney, which totals to 20 birds \* 50 miles = 1000 miles. In the second season, they fly 60 miles from lake Disney to lake London, which totals to 20 birds \* 60 miles = 1200 miles. Therefore, the combined distance all of the birds have traveled in the two seasons is 1000 miles + 1200 miles = 2200 miles.

Answer: The combined distance all of the birds have traveled in the two seasons is 2200 miles.

```
"""extract numbers from a question"""
def has numbers(rationale, numbers):
   """whether rationale has all the numbers, if not, return the missing number"""
class SimpleMathSolverWithSuggest(dspy.Module):
  def init (self):
       self.prog = dspy.ChainOfThought("question -> answer")
  def forward(self, question):
       pred = self.prog(question=question)
       rationale has numbers, missing number = has numbers(pred.rationale, extract number(question))
       dspy.Suggest(rationale has numbers, f"Your Reasoning should contain {missing number}.")
       dspy.Suggest(len(pred.answer) < 10, "Your Answer should be a number.")</pre>
       return pred
simple math solver suggest = SimpleMathSolverWithSuggest().activate assertions()
```

def extract number(question):

Given the fields `question`, produce the fields `answer`.

\_\_\_

Follow the following format.

Question: \${question}

Previous Reasoning: past Reasoning with errors

Instructions: Some instructions you must satisfy

Reasoning: Let's think step by step in order to \${produce the answer}. We ...

Answer: \${answer}

---

Question: 20 birds migrate on a seasonal basis from one lake to another, searching for food. If they fly from lake Jim to lake Disney in one season, which is 50 miles apart, then the next season they fly from lake Disney to lake London, 60 miles apart, calculate the combined distance all of the birds have traveled in the two seasons.

Previous Answer: The combined distance all of the birds have traveled in the two seasons is 2200 miles. Instructions: Your Answer should be a number.

Reasoning: Let's think step by step in order to produce the answer. We know that in the first season, 20 birds fly 50 miles from lake Jim to lake Disney, totaling 20 birds \* 50 miles = 1000 miles. In the second season, they fly 60 miles from lake Disney to lake London, totaling 20 birds \* 60 miles = 1200 miles. Therefore, the combined distance all of the birds have traveled in the two seasons is 1000 miles + 1200 miles = 2200 miles.

**Answer: 2200 miles** 

#### **Example selection and bootstrapping**

Provide better demonstrations for in-context learning

- 1. Demonstrations need to pass DSPy assertions, too.
- 2. Demonstrations can also contain traces with errors and fixes.
- 3. Optimizer is "assertion-aware" it also calculates how many assertion failure in addition to "metric"

#### Results

Simple CoT	CoT w Assertions	Compiled CoT	Compiled w Assertion
61.7	74.3	83.7	84.0

\*Compiled w Assertion does not outperform Compiled on this task. Our observations are: the harder assertions are, the better they are at improving in-context learning demonstrations.

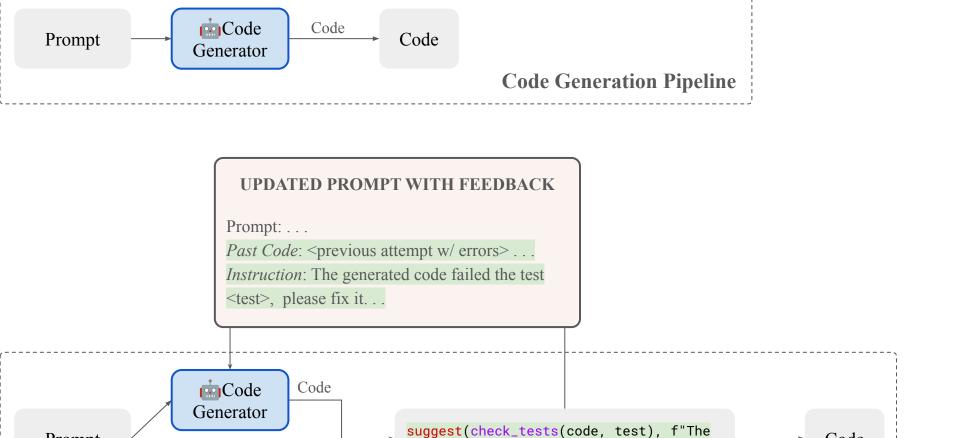
#### HumanEval - code generation

How could we use LM Assertions here?

#### First DSPy program

```
class NaiveCodeGenerator(dspy.Module):
    def __init__(self):
        self.prog = dspy.ChainOfThought("prompt -> code")

def forward(self, prompt):
    pred = self.prog(prompt=prompt)
    return pred
```



Test

Test Generator

generated code failed the test {test}")

Prompt

**Code Generation Pipeline w. Suggestions** 

Code

#### DSPy program with Assertions

```
class NaiveCodeGenerator(dspy.Module):
 def init (self):
      self.prog = dspy.ChainOfThought("prompt -> code")
      self.generate test = dspy.ChainOfThought("prompt -> test")
 def forward(self, prompt):
     pred = self.prog(prompt=prompt)
      tests = self.generate test(prompt=prompt)
      result, test, error = check tests(pred, tests)
      dspy.Suggest( result == "passed",
        f"The generated code failed the test {test}, please fix {error}.",
       backtrack module = self.prog )
      return pred
```

#### Results

Naive Program Generator	Program Generator w Assertions	
70.7	75.6	

- \*Issues with self-consistency:
  - 1. Both tests and code went wrong in the same way
- 2. Generated tests are wrong, thus not giving useful feedback

### Notebook: <u>bit.ly/dspy-gsm8k</u> <u>bit.ly/dspy-humaneval</u> <u>bit.ly/dspy-intro</u>

Twitter: @Shangyint

#### Multi-Hop Question Answering with HotPotQA with Suggestions

```
class MultiHop(dspy.Module):
  def init (self, passages per hop=3):
      self.generate query = dspy.ChainOfThought("context, question -> query")
      self.retrieve = dspy.Retrieve(k=passages per hop)
      self.generate answer = dspy.ChainOfThought("context, question -> answer
                                                                            The query should be
  def forward(self, question):
                                                                                    concise
      context = []
      queries = [question]
      for in range(2):
         query = self.generate query(context=context, question=question).query
         dspy.Suggest(len(query) < 100,</pre>
                                                                                   The queries should
            "Query should be less than 100 characters")
                                                                                    be different from
         dspy.Suggest(is query distinct(query, queries), 0
                                                                                       previous ones
            f"Query should be distinct from {queries}")
         queries += query
         context += self.retrieve(query).passages
     return self.generate answer(context=context, question=question)
```

#### Multi-Hop Question Answering with HotPotQA with Suggestions

```
class MultiHop(dspy.Module):
  def init (self, passages per hop=3):
      self.generate query = dspy.ChainOfThought("context, question -> query")
      self.retrieve = dspy.Retrieve(k=passages per hop)
      self.generate answer = dspy.ChainOfThought("context, question -> answer")
   def forward(self, question):
      context = []
      queries = [question]
                                                        backtrack and regenerate query with new prompt
      for in range(2):
         query = self.generate query(context=context, question=question).query =-
         dspy.Suggest(len(query) < 100,
            "Query should be less than 100 characters")
                                                                                 update prompt with feedback
Fail X
         dspy.Suggest(is query distinct(query, queries),
                                                                            Context: ...
            f"Query should be distinct from {queries}")
                                                                            Question: ...
                                                                            Past Query: {previous attempt w/ errors}
         queries += query
                                                                            Instructions: Query should be distinct from ...
         context += self.retrieve(query).passages
      return self.generate answer(context=context, question=question)
```

