

Mediation Analysis of Partisanship and Policies on COVID-19 Infections via Bayesian Latent Variable Modeling

August 25, 2021

Abstract

In this paper we present an original approach for measuring COVID-19 infections while incorporating testing bias and use it to understand the mechanisms through which social and political factors affected the pandemic. To establish empirical relationships, we analyzed COVID-19 case and test data from the fifty United States from March to July of 2020. To allow us to perform mediation analyses, we devised a Bayesian modeling approach that semi-parametrically identifies the infection rate using seroprevalence surveys from the Centers for Disease Control. We are able to show with this analysis how social distancing measures, Trump approval rating, and mask-wearing differentially affected COVID-19 infections through changes in mobility and fear of the pandemic.

In the United States and in other politically polarized countries, a profound disagreement over how to respond to the COVID-19 pandemic has undermined efforts to control the disease. However, while we have increasing evidence about the relationship between partisan identities and individual beliefs about COVID-19 (Fan, Orhun, and Turjeman 2020; G. Grossman et al. 2020), data about partisan identity, along with other social and economic covariates, are rarely included in efforts to model and predict the spread of the disease in the population (Seth Flaxman 2020; Sharma et al. 2020; Haug et al. 2020). Furthermore, the causal pathways through which these covariates affect infections are not simple as policies and social factors can have unintended consequences by shaping people’s perceptions of the pandemic. In this paper we provide estimates of the extent to which partisanship, policies and social-economic factors affected COVID-19 via important mechanisms, namely mobility and fear of the virus.

To do so, we present an original approach to accurately measure COVID-19 infections that permits mediation analysis while also incorporating testing bias. Compared to existing approaches, our model is both simpler in that it does not require a system of differential ordinary equations with extensive assumptions, but also more robust than off-the-shelf methods like difference-in-difference that are not easily adjusted to handle the unique challenges of epidemic tracking. Because we directly measure COVID-19 infections, and can do so with a flexible semi-parametric form that requires few assumptions, we can probe relevant associations in more depth by examining causal mediation. To make the model identifiable, we calibrate our model estimates of the number of infected with state-level serology surveys from the U.S. Center for Disease Control (CDC).

By examining a rich array of covariates and relationships among covariates, we are able to show not only which factors most strongly correlate with rising or falling infections, but also whether these associations are driven by changes in mobility, personal beliefs or other mechanisms. For this reason, even when we cannot make exclusive claims of causal identification, we can still learn about how the associations between covariates and the disease did or did not align with our increasing knowledge about how COVID-19 beliefs translate into human behavior.

We show with this model that even when estimated jointly with other covariates, political partisanship in the United States has a very strong association with the spread of the pandemic. A 1-SD increase in a state’s 2016 vote share for Donald Trump is associated with a cumulative increase of 0.5% to 0.7% of a state’s population infected by SARS-CoV-2 mediated by decreasing people’s concern over the pandemic. A 1-SD increase in a state’s vote share for U.S. President Donald Trump is also associated with a 0.3% to 0.5% increase in a state’s infections mediated by increased mobility. We show that offsetting these increases in infections from mobility associated with partisanship would require at least 100 additional days of a state-wide stay-at-home order.

We also find evidence that political activity on the left is positively associated with the spread of the disease, with states that saw a 1-SD increase in social justice protests following the death of George Floyd witnessing an increase in infections as high as 0.4% over time. On the other hand, we do not find that the protests reduced people’s fears of the disease or changed mobility patterns, suggesting that the spread of the disease happened solely through increased personal contact at the protests and subsequent chains of transmission.

Our model’s estimates also shed light on more conventional factors to control the pandemic, especially social distancing policies. We show that the effect of state-level NPIs targeted at the epidemic varies significantly over the time and exhibits trade-offs in terms of reducing mobility. While stay-at-home orders and business restrictions reduced infections by reducing workplace mobility, they also are associated with increased infections in residential places as more people stayed home. These results demonstrate the difficulty in determining the precise effects of policy changes as they are mediated through individual mobility and beliefs about the seriousness of the disease.

1 Human Behavior and the COVID-19 Pandemic

A vast and expanding literature documents connections between many political, economic and social factors with human behavior related to the COVID-19 pandemic. While existing studies have shown these associations primarily through surveys and other individual-level analyses, it is difficult to test whether these factors jointly have an effect on COVID-19 infections. The reason for this difficulty is due to how these variables affect human behavior in general equilibrium. For example, non-pharmaceutical interventions (NPIs) like stay-at-home orders have been associated with reduced infections, but stay-at-home orders were also implemented in a rapidly changing environment as public health policies, new suppression practices like masking and the health of the economy varied. People have faced myriad influences on their choices during the pandemic, and even if we have a strong reason to believe that a certain factor should influence their behavior, estimating that effect when many other contravening and contrasting factors were at play is challenging.

At the same time, estimating these general equilibrium effects even within the limitations of available data is very important to learn what factors are associated with the spread of COVID-19 in realistic conditions. For example, some argued that masking would lead to increased infections because it would reduce concern over the risk of infection (Abaluck et al. 2020). Evaluating this hypothesis ultimately requires general equilibrium analysis as it involves competing influences on human behavior. In other words, is the moral hazard of being falsely protected a greater threat than the positive benefits of reducing infections via masking? Being able to sort, rank and understand socio-economic, political and healthcare-related factors behind the disease’s spread

is crucial to better understand why and how COVID-19 overwhelmed countries' disease control systems.

In this paper, we seek to address these questions by collecting a rich set of important covariates, implementing models to adjust for bias in COVID-19 data and employ mediation analysis to understand the pathways that covariates affect the spread of the pandemic. We believe that doing so contributes to our emerging understanding of the factors that contributed to the spread of the pandemic, especially with respect to factors that we believe tend to be ignored in epidemiological modeling of COVID-19. We argue that political partisanship can be equally as important to the spread of the pandemic as more conventional factors like the implementation of social-distancing measures.

A literature already exists documenting the role of political identity in individual views towards COVID-19, particularly in the United States, but it has largely remained separate from modeling studies of the spread of the pandemic. Political scientists have focused on partisanship due to the way that the pandemic has been politicized along long-lasting cleavages in U.S. politics. The partisan divide in American politics has become a serious concern in political science and the broader community as identities have hardened in a process ongoing since the 1990s or even earlier (Alesina and Rosenthal 1995; K. T. Poole and Rosenthal 2007; K. Poole and Rosenthal 1997; M. Grossman and Hopkins 2016; Iyengar and Westwood 2015). The powerful effect of partisanship on American politics has grown even stronger since the polarizing presidency of Donald J. Trump and the hardening of racial identities in the United States (Horowitz, Brown, and Cox 2019). More recently, political scientists have investigated to what extent partisanship has inhibited preventive measures against the COVID-19 pandemic as President Trump has argued against public health policies like face masks. Research has already shown that Republicans are less likely than Democrats to practice public health behaviors like hand washing (Gadarian, Goodman, and Pepinsky 2020), to practice social distancing (Andersen 2020; Alcott et al. 2020; Painter and Qiu 2020), and to comply with policies targeted against COVID-19 (Fan, Orhun, and Turjeman 2020; G. Grossman et al. 2020).

While partisanship in favor of President Trump and the Republican party has received the most attention, other types of political mobilization have also come under scrutiny. Of particular note were the protest movements against police brutality that spread across the United States in the summer and fall of 2020. Existing research suggests the protests have not had an adverse effect on COVID-19 infections (Dave et al. 2020), though it is again limited by the observational bias we describe later. As such, it is clear that political motivations on both the left and the right have at times led to reduced compliance with COVID-19 precautions, though it is not clear how severe these factors are, especially when compared to other covariates known to affect COVID-19.

The most important of these, which we also study in this article, are the role of government policies to prevent

close personal interaction, which are often classified under the umbrella of non-pharmaceutical interventions (NPIs). Some of the most sophisticated of these studies, which employ state-of-the-art epidemiological models of COVID-19, have examined how country-level differences in the implementation of stay-at-home orders and business closures affected the spread of the pandemic in the critical early period (Seth Flaxman 2020; Sharma et al. 2020; Haug et al. 2020). While these studies have emphasized the difficult inference issues involved with modeling COVID-19 data, they have largely avoided adjusting NPI estimates with sociopolitical covariates like partisanship, making an implicit assumption that the effect of NPI estimates is independent of these types of human behaviors and identities. For this reason, while these studies help us know much more precisely how NPIs affected the spread of the pandemic through exact measures like the reproduction number, they are more limited in making stronger claims of identification of the NPIs and even through what channels NPIs affect human behavior (M. Sharma et al. 2020).

2 Methods

Performing these types of analyses involving mediation has been difficult in studies of the pandemic to date because of well-known limitations in COVID-19 data stemming from testing bias. To address this crucial problem, we present a new Bayesian latent variable model that has a similar aim as epidemiological disease-tracking models in that it is designed explicitly to model disease dynamics. However, our model is a significant simplification of the compartmental models employed by epidemiologists to study disease, and in particular SARS-CoV2 (Peak et al. 2020; Riou et al. 2020; Robert Verity 2020; Perkins et al. 2020; Jose Lourenco 2020; Ruiyun Li 2020; Neil M Ferguson 2020; Carleton and Meng 2020; Sajadi et al. 2020; Dudel et al. 2020; Tasnim, Hossain, and Mazumder 2020; Seth Flaxman 2020; Brzezinski et al. 2020).

While these models are a powerful expression of the progress of a disease in the population, these models often struggle to provide straightforward estimates when employing empirical data. COVID-19 data, unfortunately, has serious flaws that are increasingly well-known, including limited testing and under-reporting of hospitalizations and deaths (Larremore et al. 2020; Sánchez-Romero et al. 2021). When such data is unavailable, modelers can compensate by simulating plausible random values or using informative prior distributions, but this makes the model estimates tied to the particular set of values used (Grinsztajn et al. 2021). As a result, the challenges in the estimation of compartmental models with empirical data restrict the ability to employ more rigorous forms of covariate adjustment.

By contrast, this paper endeavors to estimate a much simpler quantity than the entire evolution of the outbreak. We believe that many researchers and the general public often only want to learn about what

has already happened, or the *empirical* infection rate (also called the attack rate in the epidemiological literature). For a number of time points $t \in T$ since the outbreak's start and states $c \in C$, we aim to identify the following quantity:

$$f_t \left(\frac{I_{ct}}{S_{ct} + R_{ct}} \right)$$

where I_{ct} denotes the number infected with SARS-CoV-2 at time t and S_{ct} and R_{ct} denote those who remain susceptible to the virus and those who have either died or recovered. In our model, we collapse S_{ct} and R_{ct} to a single quantity—those who are not infected—so we can focus exclusively on identifying I_{ct} .

However, even with this simplification, we do not have estimates of the actual infected rate I_{ct} , only positive COVID-19 cases a_{ct} and numbers of COVID-19 tests q_{ct} due to the aforementioned measurement issues. Given this limitation, the aim of the model is to backwards infer the infection rate I_{ct} as a latent process given observed test and counts. Modeling the latent process is necessary to avoid bias in using only observed case counts as a proxy for I_{ct} . The reason for this is shown in Figure 1 in which a covariate X_{ct} , such as a stay-at-home order, is hypothesized to affect the infection rate I_{ct} . Unfortunately, increasing infection rates can cause both increasing numbers of observed counts a_{ct} and tests q_{ct} . As more people are infected, more tests are likely to be done, which will increase the number of cases independently of the infection rate. As a result, due to the back-door path from the infection rate I_{ct} to case counts a_{ct} via the number of tests q_{ct} , it is impossible to infer the association of X_{ct} on I_{ct} from the observed data alone without modeling the latent infection rate.

Figure 1: Directed Acyclic Graph Showing Confounding of Covariate X_{ct} on Observed Tests q_{ct} and Cases a_{ct} Due to Unobserved Infection Rate I_{ct}

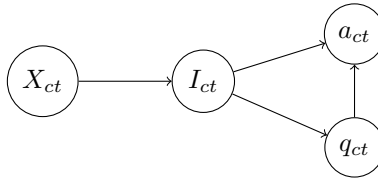


Figure shows the relationship between a covariate X_{ct} representing a policy or social factor influencing the infection rate I_{ct} . Because the infection rate I_{ct} influences both the number of reported tests q_{ct} and reported cases a_{ct} , any regression of a covariate X_{ct} on the reported data will be biased.

In the supplemental information we provide a full derivation of the model and show here only the estimating equations. Given a set of tests q_{ct} and a set of positive confirmed COVID-19 cases a_{ct} by state c and day t , we jointly model the number of tests conducted and the number of positive cases as a function of the number infected I_{ct} using Beta-Binomial distributions:

$$q_{ct} \sim \text{Beta-Binomial}(c_p, \mu_q \phi_q, (1 - \mu_q) \phi_q) \quad (1)$$

$$\mu_q = g^{-1}(\alpha_2 + \beta_b I_{ct} + \beta_{cq1} L_t + \beta_{cq2} L_t^2) \quad (2)$$

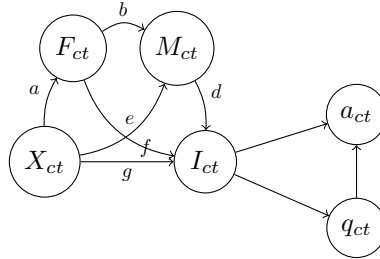
$$a_{ct} \sim \text{Beta-Binomial}(q_{ct}, \mu_a \phi_a, (1 - \mu_a) \phi_a) \quad (3)$$

$$\mu_a = g^{-1}(\alpha_3 + \beta_a I_{ct}). \quad (4)$$

To calibrate our model we rely solely on seroprevalence surveys from the Centers for Disease Control, resulting in realistic estimates that make the best use of available empirical data.

We can then add covariates to model the infection rate I_{ct} directly. We also include additional mediators as we show in Figure 2, in particular the level of mobility as measured by cell phone data and polling data for people’s concern about the severity of COVID-19. Including these mediators permits us to separate the direct associations of covariates with infections from indirect associations via reducing mobility or increasing people’s awareness of the disease.

Figure 2: Directed Acyclic Graph for Latent Infection Rate with Mediators



This figure adds mediators M_{ct} (mobility data) and F_{ct} (fear of COVID-19) that mediate the relationship between state-level covariates X'_{ct} and the latent infection rate I_{ct} . Because beliefs precede actions, F_{ct} is causally prior to M_{ct} and can affect infections both via reducing mobility (path abd) and directly apart from mobility (path ae), such as by encouraging individuals to remain socially distant.

We fit this model using Markov Chain Monte Carlo in the Stan software package (Carpenter et al. 2017).

We run the sampler for 1000 iterations with 500 warmup iterations and two chains to test for convergence.

3 Data

The only data required to fit the model, in addition to the covariates of interest and serology surveys, are observed cases and tests for COVID-19 by day. In this section, we fit the model to numbers of COVID-19 case counts on US states and territories provided by The New York Times. By doing so, we can use the differences in trajectories across states to help identify the effect of state-level covariates on the infection rate. We supplement these observed case counts with testing data by day from the COVID-19 Tracking Project. We then take the 7-day rolling average of both series to account for reporting fluctuations and weekly reporting effects.

We use exclusively state-level data in this paper for two reasons. First, it is our aim to understand mediating beliefs and behaviors, and measures of beliefs—in particular people’s concern over COVID-19—are generally only available at state-level aggregates in time-varying format. Furthermore, county-level measures tend to have more significant problems with measurement error, while state-level aggregates are less affected by reporting bias and other limitations of county-level data.

To analyze the effect of suppression policies, we use data on counts of social distancing policies, restrictions on mass gatherings, restrictions on businesses, mandatory mask orders, restrictions on government services, and stay-at-home orders from the CoronaNet Government Response dataset (Cheng et al. 2020). For each type of policy, we include a variable representing the count of policies in that category effective for a particular day. For each update to an existing policy, we code it as +1 if the update increases the scope of the policy or -1 if it decreases the scope of the policy (down to a minimum of 0). While this is a simplification of the underlying data, we are still able to capture relative complexity over time without having to make judgments about stringency or other qualitative criteria. We then interact these policy counts with a linear trend to examine time-varying policy effects. We separately include policies designed to increase health resources like personal protective equipment (PPE) and also policies requiring mask use as we do not examine time-varying effects of these covariates.

The policy data is plotted by state in Figure 3. As can be seen, there is a rise in policies after the pandemic begins in the middle of March, though the number of policies varies across categories. The count of policies is an admittedly imperfect measure though it communicates more information about policy activity than a simple binary coding. Generally speaking, states imposed many more policies designed to increase their access to PPE for health staff than they were willing to take on lockdowns, social distancing, and restrictions on businesses and government services. This difference likely has to do with the increased cost and salience of these policies vis-a-vis relatively less politically difficult options like gathering more masks and face shields

for health care workers (Cheng et al. 2020).

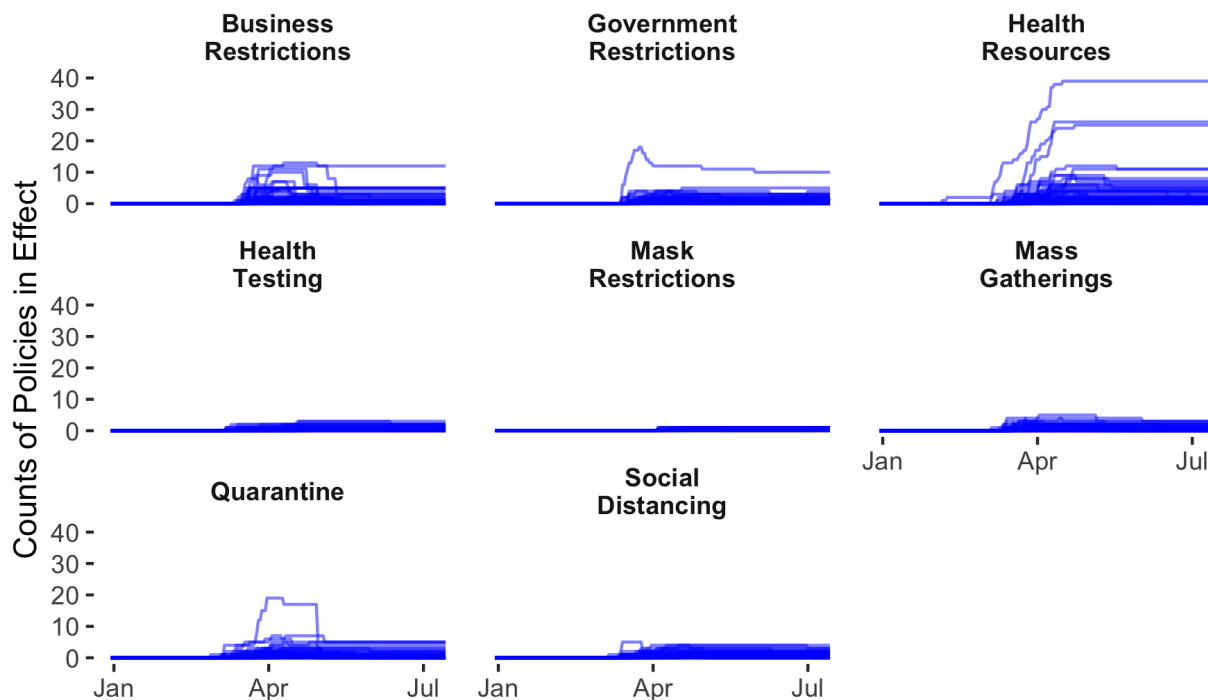


Figure 3: Count of Policies in Effect by Day and by State from the CoronaNet Dataset

To better understand over-time factors that may also affect COVID-19, we include polling data from Civiqs and YouGov at the state level. From Civiqs we include state-level polling averages by day for the percentage of respondents favoring Trump, percentage reporting the economy is “very good,” and the percentage reporting that they are “extremely concerned” about the coronavirus. From YouGov we use a poll from May 8th reporting average number of respondents who said they used masks by U.S. state. As this poll does not vary over time, we set the mask prevalence at one-half the minimum value of the poll prior to the WHO’s revision of guidance concerning wearing masks on April 3rd, and equal to the poll’s values thereafter. As described in the previous section, the poll asking respondents whether they are “extremely concerned” about COVID-19 represents our fear mediator, and is also included as a separate outcome with other covariates as predictors.

To better understand the mediating effects of suppression policies, we include Google mobility data¹ for retail, residential, parks, workplaces, transit and retail establishments. These estimates are by day and aggregated to the state level. They are measured in terms of an index that is initialized with a value of 100 at the index start on February 15th, 2020. To test for mediation, we include these as predictors of the infection rate, and separately fit a likelihood with each mobility covariate as an outcome and the other

¹See <https://www.google.com/covid19/mobility/>

covariates as predictors.

We note that it is important to measure mediation for mobility because mobility is hypothesized to affect the spread of COVID-19 (Gao et al. 2020). As such, measuring the simultaneous effect on mobility for covariates in our model is important as the covariates could be affecting mobility, which subsequently affects COVID-19 spread. Ignoring this association would result in post-treatment bias that deflates the effect of predictors in the model, though our main interest in including these variables is because this mediation is substantively interesting to decompose.

To measure protest activity, we include a covariate reflecting the proportion of a state’s population engaged in social justice protests following the death of George Floyd on May 25, 2020. This data is drawn from publicly available information about the number and size of protests from three online sources: Wikipedia protest data, the Count Love protest web-crawling web site,² and list of protests compiled by Ipsos.³ For protests present in only one of the three sources, we used information on both size and location. If a protest was present in three sources, we averaged reported protest size. If the sources had contradictory information about the type of protest, we had research assistants re-code the protest using secondary sources. For protests for which size was not available, we imputed missing data using random forest algorithms (Stekhoven and Bühlmann 2012).

All time-varying covariates—polling, protests, policies and mobility data—are lagged by 14 days to account for the likely delay in events showing up in reported cases. This 14-day lag comes from the epidemiology literature (Seth Flaxman 2020) and is meant to take into the account the amount of time required for people to be infected, be tested and then have the test results reflected in case counts.

We further add in non-varying state-level data on Donald Trump’s vote share for the 2016 election from the MIT Election Lab, a 2019 estimate of state GDP from the Bureau of Economic Analysis, the 2018 percentage of foreign born residents, population under 18 years of age and population density from the U.S. Census Bureau, 2019 state-level average data on air pollution,⁴ cardiovascular deaths per capita, percentage of residents under age 18, number of dedicated health care providers, public health funding, and smoking rates provided by the United Health Foundation (“America’s Health Rankings 2019 Report” 2019). All variables are standardized to permit comparability.

We employ state-level data rather than country-level data because our aim is to have a rich adjustment set of covariates. While some of our data is available as well at the country level, crucial covariates such as polling

²<https://countlove.org/>

³See <https://www.ipsos.com/en-us/knowledge/society/Protests-in-the-wake-of-George-Floyd-killing-touch-all-50-states>

⁴Defined as average exposure of the general public to particulate matter of 2.5 microns or less (PM_{2.5}) measured in micrograms per cubic meter (3-year estimate).

about fears of COVID-19 and the state of the economy are only available at the state level. We believe that obtaining quality estimates of these crucial variables is more important than the statistical power we would obtain from dis-aggregation.

This is particularly true because in general we cannot make claims of causal identification as we can with our claims of statistical identification of the latent infection rate. COVID-19 is not a very likely candidate for meeting any kind of assumption about ignorable selection into treatment; it is a disease that is indirectly caused by human behavior. Our identification strategy primarily relies on including as many relevant adjustment variables as is prudent to isolate factors which are likely to or known to have an effect on COVID-19 spread and could be confounding variables.

In addition, even when we cannot ensure causal identification, we can still learn important aspects of the underlying relationships by partitioning the variance via mediation analysis. Doing so allows us to isolate the part of the association which we have a strong theoretical reason to believe is causally related to the spread of COVID-19, such as via influencing people’s fears over the severity of the virus in their area. While using observational data necessarily means there are inferential concerns we cannot rule out, we can still learn substantially from variables that we have a strong prior reason to believe are related to the outcome.

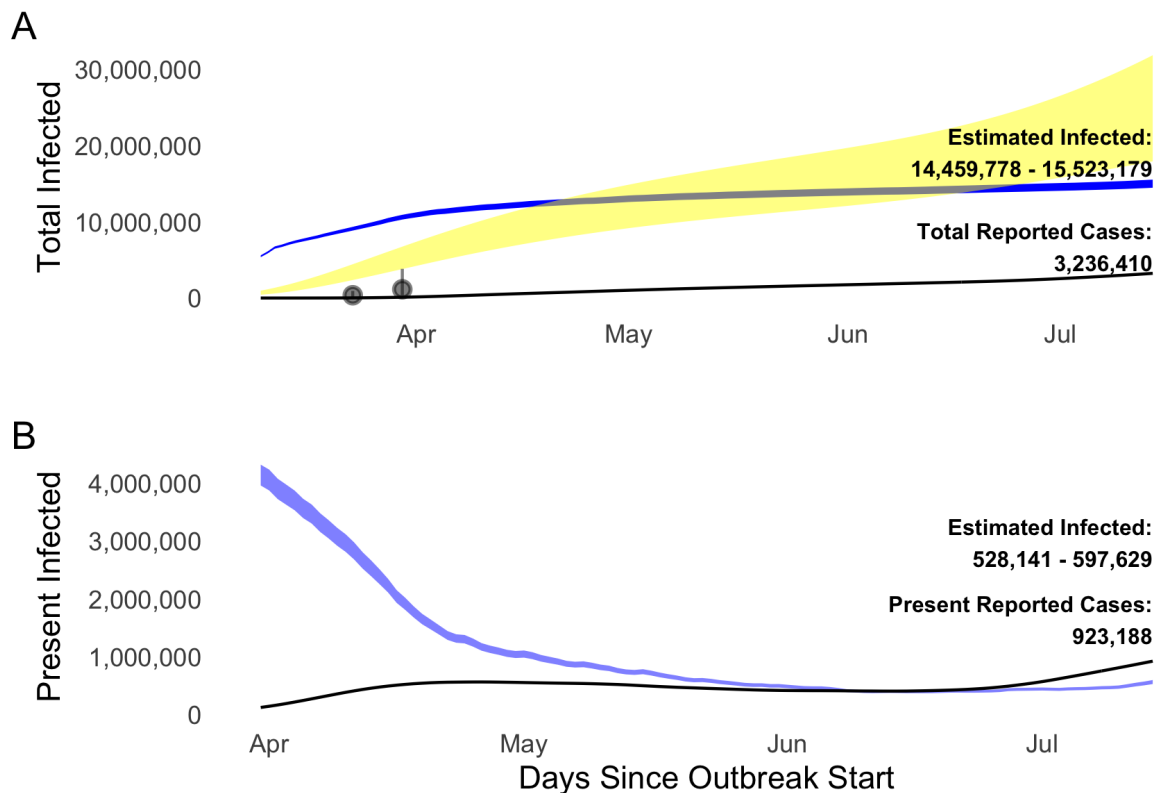
4 Results

We first report the model’s estimates of infected counts for the U.S. population as a whole in Figure 4. Panel A in this plot shows the cumulative total both for reported cases (thin black line) and for the model’s estimate of total infected (blue line). The interval in this plot, as with all figures presented, are the 5% and 95% quantiles of the empirical posterior distribution. As can be seen, the model estimates that there are approximately 3-4 times as many infected people in the United States as reported cases, with the total cumulative number of infected persons reaching 15 million with around 500,000 infected as of mid-July. Early expert estimates are shown as black points in panel A, revealing that even epidemiologists largely under-estimated the spread of the disease in its early stages, largely due to limitations in testing and case reporting.

We compare these estimates with a popular COVID-19 forecaster employing SEIR models from Gu (2020) by plotting their estimates as a yellow ribbon on the plot. As can be seen, the trajectories are similar although they diverge slightly at the end of the series in mid-July. On the whole it would seem that our estimate of infected individuals is on the conservative end compared to other approaches—in other words, while we do not know for certain what the true number is, we are unlikely to be under-estimating the total. Furthermore, our

intervals are far more precise than other approaches, which is likely because we employ extensive covariate adjustment to better infer human behavior during the course of the pandemic.

Panel B in the plot shows our estimates of infected individuals, excepts that it adjusts the cumulative number with a 19-day lag to account for the approximate time that recovery from COVID-19 requires (deaths are first subtracted). This plot displays an imperfect but useful formulation of the likely number of people infected at any given time point. As of July 14, it would appear that there were approximately 500 thousand infected individuals in the United States, while the number peaked at about four million in late April.⁵



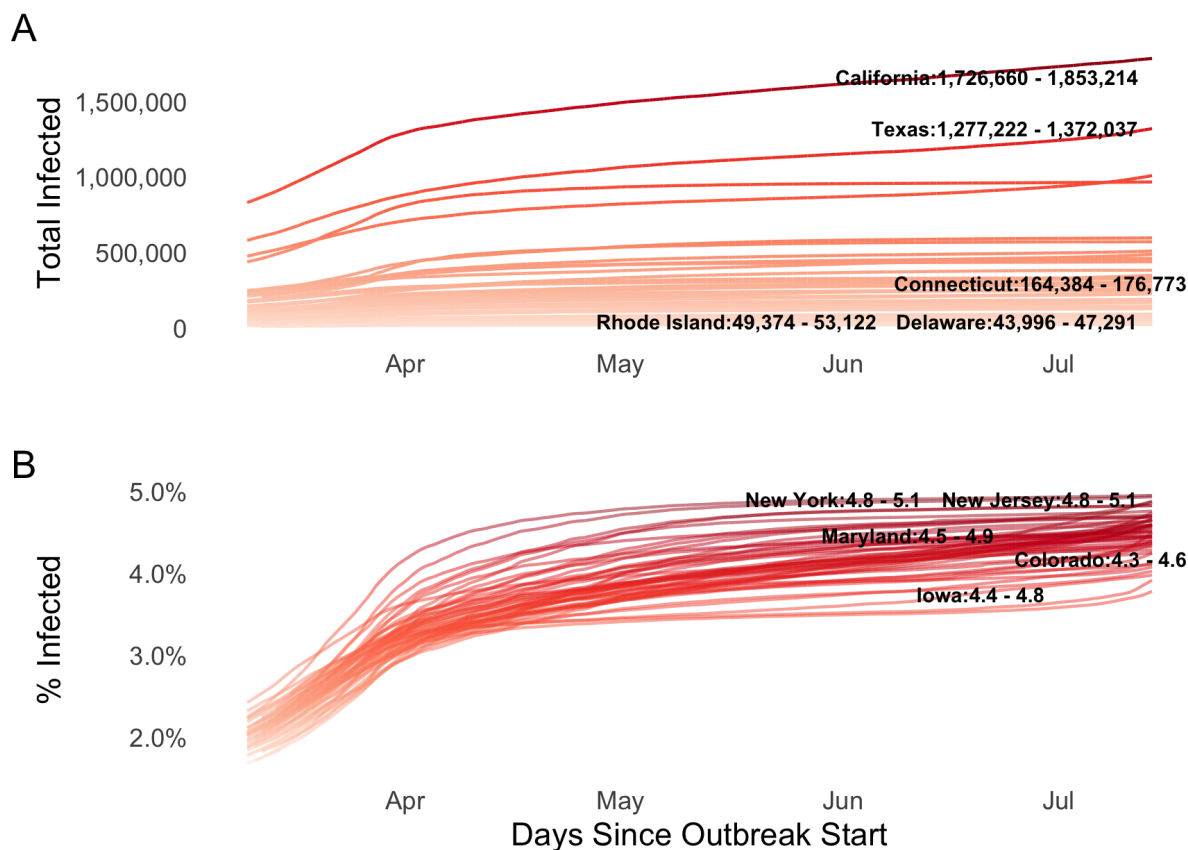
Blue 5% - 95% HPD intervals show estimated infected and the black line shows observed cases from the New York Times. These estimates are based on CDC seroprevalence data and a Bayesian model of how cases and tests are influenced by infection rates. Black dots in Panel A show early expert estimates of COVID-19 prevalence in the United States. Yellow ribbon shows 5% - 95% predicted cumulative infections from covid19-projections.com hybrid SEIR model.

Figure 4: Total Cumulative and Present COVID-19 Infections in the United States

By comparison, Figure 5 shows the cumulative totals of estimated infections by state. Plot A in this figure has the count of infections by state, while plot B shows the percentage of the population infected by state. Both the overall S-shape of the epidemic can be seen along with the substantial heterogeneity in infections,

⁵While it is interesting to note that the model shows fewer infected people than observed cases for this plot at the end, this difference is merely an artifact of the 19-day lag. It is difficult to put a precise number on the presently infected as states do not always report how many recover from COVID-19.

with early infected states like New York and New Jersey still in the top quartile of states with infections even though they successfully reduced the rate of disease spread.



Some lines are labeled with uncertainty of estimates (5% - 95% Interval). These estimates are based on seroprevalence data from the Centers for Disease Control and a Bayesian model of how cases and tests are influenced by infection rates.

Figure 5: Average Cumulative Count of Infected People by U.S. State as of July 14th

In addition to the estimation of the cumulative count of infected individuals, the model provides further useful information by parameterizing the relationship between the unobserved infection rate and the number of tests conducted in a given state. These individual parameters are shown in Figure 6. The scale of the y axis shows the number of people that a state was able to test relative to each person infected. The plot shows that some states have been able to test far more people than have been infected (New York, New Jersey), while other states like Pennsylvania and Oregon have tested barely twice as many as those who have been infected. The fact that new outbreaks have been seen in Texas and Arizona suggests that this shortfall in testing likely disguised early outbreaks that could have been detected otherwise.

In addition, we know from Figure 6 that because New York and New Jersey are quite high in the test/case

distribution, having tested around four individuals per infected person, the high infection rates in Figure 5 for these states are not an artifact of more rigorous testing. The model is successfully able to separate the bias of increased testing from the actual level of new infections.

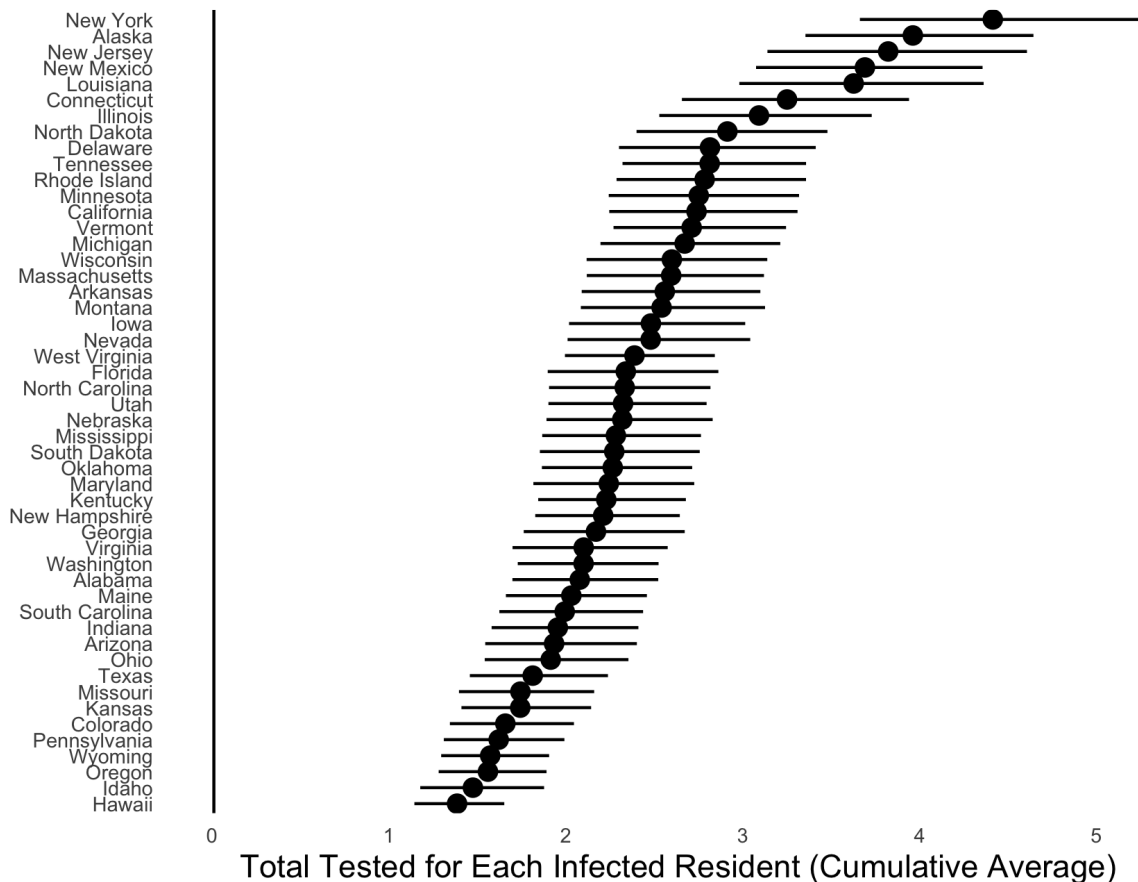


Figure shows the average number of additional people tested in a given state for each person who becomes infected. Estimate is a cumulative average of the last seven days of data.

Figure 6: Measuring States' Testing Rates Relative to Infection Rates

We would note that this information is also helpful to policy makers and others trying to make sense of observed case counts given the limitation in testing thus far. Our estimates help take into account these known biases and adjust them based on differences between states and within states in terms of disease trajectories. We believe this model can be used to help understand disease trends and factors associated with it even in the relatively data-poor environment many countries find themselves in. Unlike SEIR/SIR approaches, we do not employ information about hospitalization and death reporting delays, the infection-age distribution, or initial seeds. While these other outcomes can provide additional information about the progress and severity of the disease, they also considerably complicate inference.

To calculate the effect of covariates on the infection rate, we report here average cumulative marginal effects by state, i.e., by how much a given covariate increased the proportion infected for a one-unit increase in the covariate over time. We report cumulative marginal effects rather than the sample average marginal effect because the outcome monotonically increases, and so the marginal effect at any one point in time is not as meaningful a statistic. The way to interpret the coefficients presented is how a 1-unit (1-SD) change would affect the infection rate if that increase were sustained for an average state’s entire time series (March to July).

We first show the association of mobility types with the infection rate. In Figure 7 we show the marginal effect of a 1-SD increase in different types of Google mobility on the infection rate expressed as a fraction of a state’s population. In line with the growing research on cellphone mobility and the epidemic, there are strong positive effects of some types of mobility on the spread of the disease, especially residential, workplace, and retail mobility. Movement in parks, on the other hand, is negatively associated with COVID-19 occurrence. While these results are somewhat surprising given that both residential and workplace mobility are very large, other results confirm with prior suspicions that outdoor activities like attending parks are relatively low-risk for COVID exposure. In fact, increased mobility in parks is associated with reduced infections, probably because it substitutes for more high-risk types of mobility.

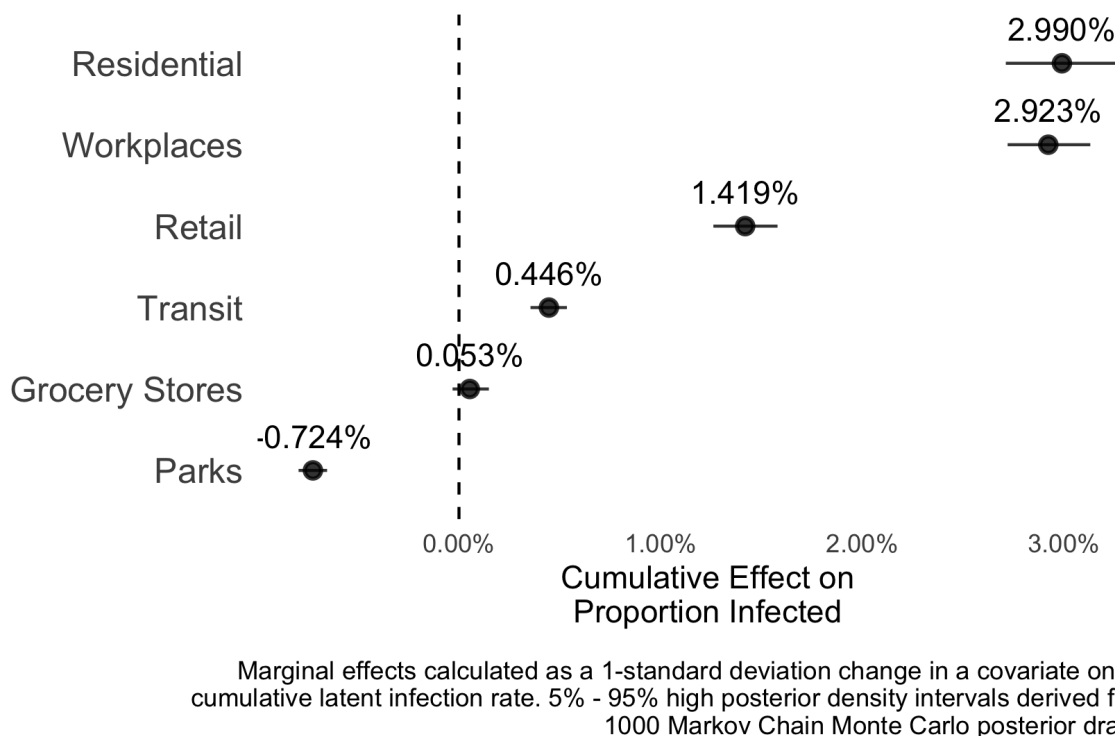


Figure 7: Effect of Google Mobility Data on COVID-19 Spread

To interpret these coefficients correctly, it is important to take into account the multivariate normal distribution that was used to model each of these mobility measures as one joint distribution. The residual correlations for the mobility measures model are shown in Figure 8. These correlations are intuitive, with transit positively correlated with other mobility measures except residential (people tend to be at home if they are not in transit). What is quite important is that workplace and residential mobility are strongly inversely correlated at -0.88 ; in other words, people tend to be at home if they are not working and vice versa. As a result, the effect of residential and workplace mobility on COVID-19 is complicated due to this displacement effect. The fact that residential mobility is positively associated with infections once this displacement effect is taken into account accords with the modeling literature that warned that stay-at-home orders would paradoxically increase infections in the home as people were kept in close quarters with each other (Neil M Ferguson 2020). We believe these strong correlations provide compelling evidence for employing the multivariate normal distribution in our model so that we do not assume these measures are conditionally independent. At the same time, it does render the interpretation of mediation effects somewhat more complicated as the model is explicitly taking into account that changes in one type of mobility are likely to displace or effect other types of mobility.

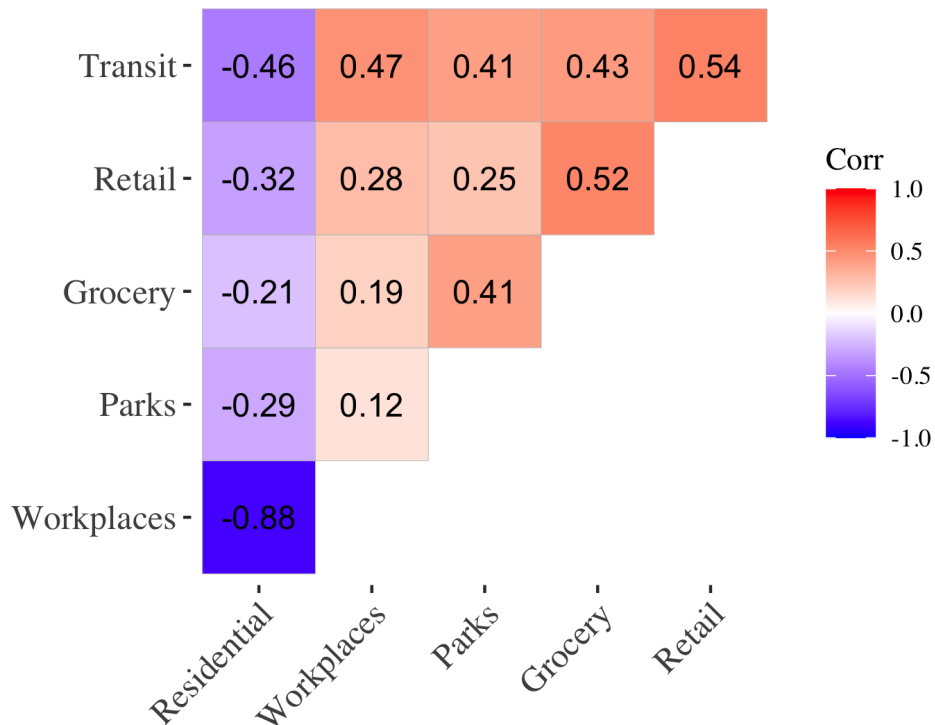


Figure 8: Estimated Correlation of Mobility Measures

We next turn to an analysis of the rest of the covariates used to predict the latent infection rate. Figure 9

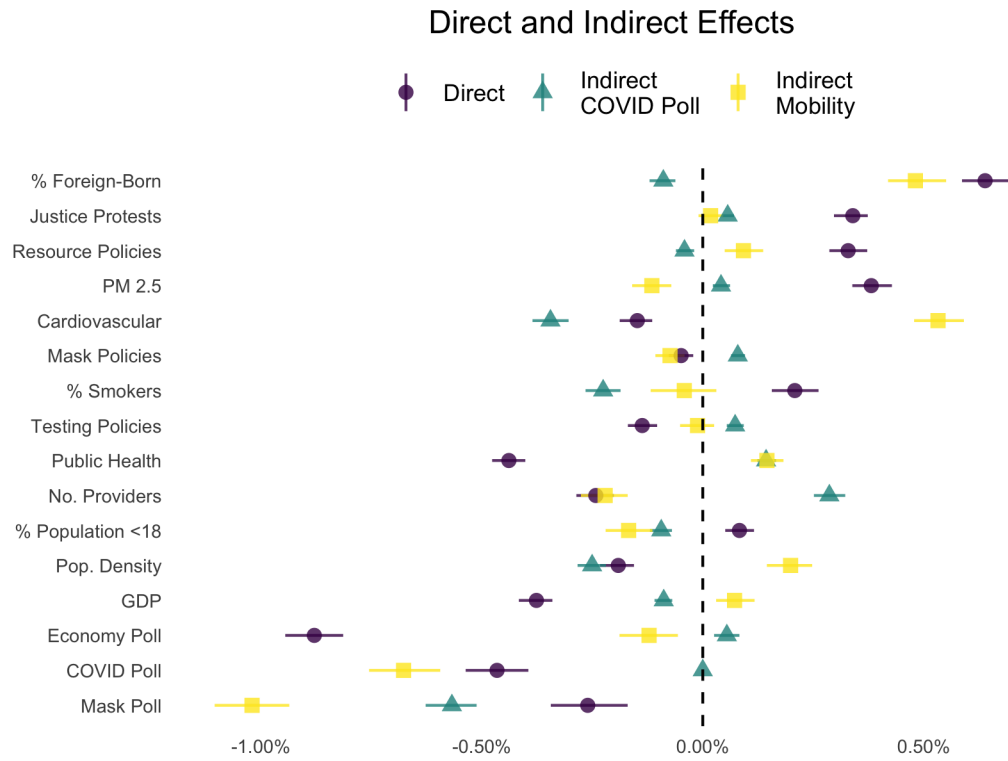
shows the marginal effect of all other covariates in the model on the latent infection rate expressed as average cumulative marginal effects. The estimates are further broken out in terms of mediation. The mobility effect is equivalent to the ed path in Figure 2, i.e., it is the path from the covariates to mobility that does not go through increased fear of COVID-19 measured by daily polls. The fear of COVID-19 pathway, on the other hand, is equivalent to the $abd + ae$ paths, or the sum of the path from fear through mobility and the path from fear to infections apart from mobility. In other words, a covariate’s effect mediated by increased fear of COVID-19 can both immediately impact the outcome by heightening sensitivity to the severity of the pandemic and affect the outcome by reducing an individual’s willingness to engage in dangerous types of mobility. The direct effects, which represent the unexplained effect of covariates independent of either concern over COVID-19 or changes in mobility, are then equivalent to the g path in Figure 2, and the total effects are the sum of all paths. The direct and indirect effects are disaggregated in panel A while the total effects are shown in panel B.

The use of mediation analysis shows substantial heterogeneity in the types of associations and whether direct and indirect effects tend to complement or substitute each other. First, it is important to note that the single strongest associations in panel B come from the YouGov mask-wearing poll, the Civiqs concern over coronavirus poll and the economy poll, and the percent of a state’s residents that are foreign-born. As these are cumulative average marginal effects, that number reflects what an *average* state might experience; the effect could well be larger for states with higher infection rates than average. On the other hand, as these effects are cumulative, they reflect a state that experienced a sustained increase in the covariates and so it might overstate the effects somewhat.

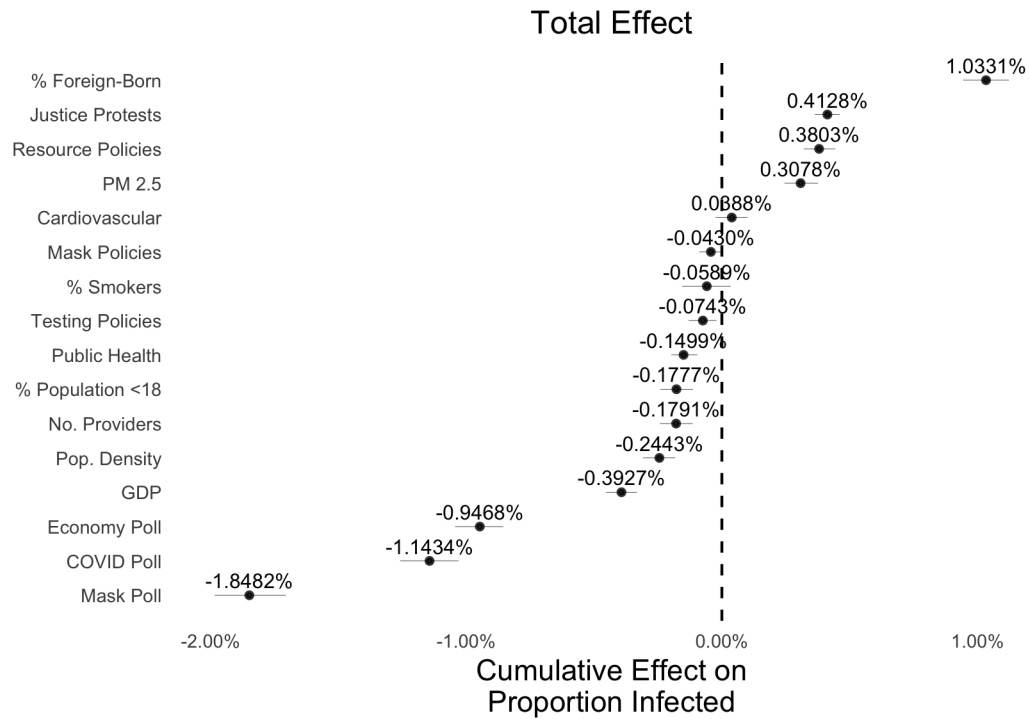
In terms of total effects in panel B, the factors that are most strongly associated with reducing infections include concern over COVID-19, wearing masks, concern over the state of the economy, higher per capita income and higher population density. Conversely, a higher percentage of foreign-born, more social justice protests, PPE policies and greater concentrations of $PM_{2.5}$ are associated with more infections. Some of these associations would correspond to what is known about the virus, such as mask-wearing reducing infections while large gatherings like protests increasing infections.

Mediation analysis is helpful at understanding what may be driving these associations. We can learn more about the meaning of the results when we can identify effects through pathways which we have a theoretical reason to believe matter for fighting the epidemic: individual concern over COVID-19 and individual mobility. For example, while the association with percent foreign-born is quite strong, we know that the bulk of this association arose through an unspecified mechanism. Indirectly, the percentage foreign born is associated with *reduced* COVID-19 spread via the fear pathway. Instead, it is most likely the case that the percentage

A



B



Marginal effects calculated as a 1-standard deviation change in a covariate on the latent infection rate. 5% - 95% high posterior density intervals derived from 100 Markov Chain Monte Carlo posterior draws.

Figure 9: Marginal Effects of Covariates on Latent Infection Rates for U.S. States

of foreign born is a proxy for international travel which led to initial outbreaks. This proxy was the reason the covariate was introduced into the model as it is difficult to otherwise capture travel patterns that may have introduced the virus earlier.

In contrast to other research, we find that social justice protests are positively associated with COVID-19 spread, though the effect is of medium size. Furthermore, as we report cumulative marginal effects, it is unlikely that states experienced protests every day in the sample, suggesting that the reported effect is more of an upper bound for what most states experienced. We do not find much evidence, as Dave et al. (2020) suggest, that the positive effect of the protests was offset by reduced mobility by non-protesters as the indirect effects are almost zero. On the other hand, the effect of the protests could have been much worse if it had decreased people's fears of the virus or induced risky travel patterns. In this case, the direct effect is relatively easy to surmise: close contact through the protests which spread infections. It is important to note as well that this effect exists even controlling for both Trump vote share and Trump approval rating, so it is not simply a proxy for state-level partisanship.

There are other interesting associations in Figure 7. States with more people with cardiovascular issues tended to see more infections due to risky mobility patterns but fewer infections due to increased concern over COVID-19. States with more public health spending tended to have a strongly negative direct effect on the spread of infections, as we might expect given that public health practices can help spread information about the virus, but this association was partially offset by reduced concern over the pandemic and riskier travel patterns. Similarly, the number of health providers has a strong negative association with the virus that is entirely offset by reduced concern over the pandemic. Both of these covariates have as a consequence very small total effects, suggesting that the different pathways are obscuring the complex ways with which state health care resources affected the course of the pandemic.

The economy poll is another interesting case as on the whole it is strongly negatively associated with infections. As the percentage of people who believed the economy was in a good state rose, infections tended to decrease. Furthermore, this effect is primarily a direct effect, though there is some association with reduced infections via mobility. This result is theoretically interesting as trade-offs over the economy were often framed as a willingness to combat the epidemic versus the economic consequences of social distancing (Bonaccorsi et al. 2020). The empirical analysis shows that this trade-off may exist and that fears over an economic downturn increased infections, though not by changing mobility patterns or concern over the severity of the disease. We might speculate that this association results from increased willingness to comply with economically costly social distancing behaviors when the economy is believed to be on sound footing, though without further analysis we cannot say for sure.

What is clear is that the strongest time-varying factors present in the model concern individual behavior more than policies or state preparedness. Considering that the percentage of foreign residents (i.e., exposure to international travel) and per capita income were determined long before COVID-19 arrived, the most important manipulable factors are those involving beliefs, such as in the strength of the economy and the relative threat of COVID-19, along with personal behaviors like mask-wearing.

It is also interesting to note contrasting direct and indirect effects in panel A of Figure 9. The large effect from the COVID poll primarily comes from mobility data; people who are more concerned about COVID are less likely to frequent places where they could contract the disease. The mask poll is associated with repressing COVID through mobility, fear of COVID-19, and as a direct effect (presumably reduced spread through airways). The fact that all of these associations align suggests that the idea that masks would encourage risky behavior is in fact untrue (Abaluck et al. 2020). Finally, states with a larger proportion of smokers do tend to see more infections on a direct pathway, presumably by increasing people’s risk to severe disease, but this effect is largely offset by increased fear in these states of the disease. This fascinating result shows how competing direct and indirect effects can mask an important empirical association we would expect given prior knowledge.

We next turn to the prominence of partisanship variables in explaining the spread of the disease, which we did not include in the previous figures as we interacted Trump vote share and within-state changes in approval polls in our model. Instead, we explore this interaction graphically in Figure 10. In this figure, the effect of Trump 2016 vote share is plotted conditional on the relative level of daily Trump approval polling on the x axis. The effects are shown aggregated in panels A and B and disaggregated across mobility types in panel C. Panel A shows that in general, the effect of partisanship for Trump has both direct and indirect effects, with the direct effect highly conditional on the above/below polling average of approval for Trump in a given state (which has a maximum swing of about ± 4 pp). When Trump approval rose, states with high Trump vote share witnessed fewer infections later on. These high conditional associations are likely due to the rally-around-the-flag effect in which Trump’s approval rating spiked when the epidemic first appeared in March and April, leading to an association between high approval levels and low infection counts in conservative areas of the country.

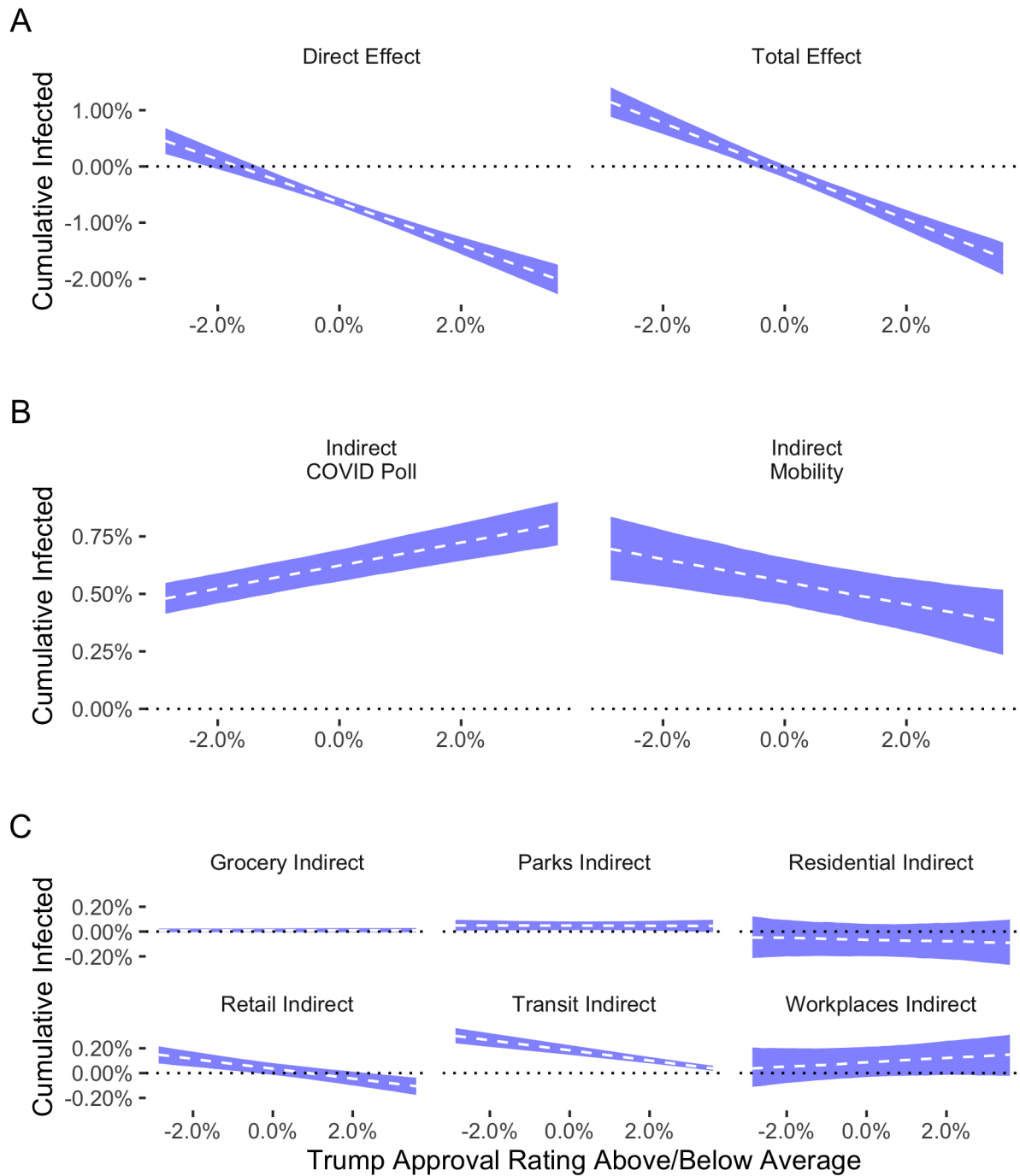
However, it is important to note opposite effects through the mediated pathways. Panels B and C in Figure 10 shows that Trump vote share mediated through mobility and fear is strongly positive in terms of infection counts. While the effects are not as large as the direct effects, they are still substantial. Trump vote share’s effect on COVID-19 mediated through these important channels shows that pro-Trump states tend to implement social-distancing behaviors at lower rates, as previous research has shown, with consequent

relative increases in infections. Furthermore, these associations are relatively constant given Trump approval polls, although there is a more stronger association for combined Trump approval polling and Trump vote share in dampening fears over COVID-19; i.e., in states that voted the most for Trump, when Trump approval reached it highest point then fear of COVID-19 declined the most (and infections consequently increased).

On the whole, this finding points to very strong associations between partisanship and the spread of the COVID-19, comparable or greater than the demographic and socio-economic factors in the model. States with higher Trump vote shares have seen significantly fewer infections via unexplained pathways, but very importantly, this decrease did not come through reduced mobility nor increased concern over COVID-19. The direct relationship is likely an artifact of the pattern of the early spread of the virus. After all, it is well-known that the early states that were infected with COVID tended to vote against Trump, although partisanship is not why they were more vulnerable to COVID initially. We believe that pro-Trump states received fortuitous outcomes by happening to not be on major travel routes from early COVID-19 hot spots; rising Trump approval in these states occurred as pro-Trump residents believed their president’s dismissal of the virus’ threat. In other words, the unexplained direct effect justified the relative inattention to important behaviors that could prevent infection. Given the increase in COVID-19 infections in the last two months in heavily Republican states, it would seem that this tendency would lead pro-Trump states to suffer in the long run as behavior caught up with initial conditions.

Finally, we can also use estimates of cell phone mobility on COVID-19 to understand how NPIs have had mediated effects on the disease through increasing or decreasing mobility. Figure 11 shows the disaggregated mediation effects for two types of policies, restrictions on businesses and stay-at-home orders. The plots reveal how indirect mediation effects change substantially over time. Panel A shows that business restrictions had a powerful suppressive effect on workplace mobility and to a lesser extent retail establishments during the early part of the epidemic, though that association weakened over time. By contrast, panel B indicates that stay-at-home orders have had more durable effects on mobility that have suppressed the disease, particularly in retail establishments, workplaces and transit. Furthermore, these effects seem to be increasing rather than decreasing over time. On the other hand, stay-at-home orders seem to be increasing disease infections via increasing residential mobility, trade-offs that were noted in some early epidemiological modeling of COVID-19 (Seth Flaxman 2020).

To compare the associations of NPIs to that of partisanship we can consider a policy of a given length of implementation. Both business restrictions and stay-at-home orders reduced infections primarily via reducing retail mobility and workplace mobility, with stay-at-home orders with associations twice as high per day compared to business restrictions. For stay-at-home orders, a policy implementation of the full



Plots show marginal effect of within-state increasing Trump approval rating conditional on a state's vote share for Trump in 2016.

Figure 10: Marginal Effects of Trump Vote Share in 2016 Conditional on State Approval Polls



Results from mediation analysis using MCMC with Stan.
 Panel A shows indirect (via mobility data) and direct effects for business policy restrictions.
 Panel B shows direct and indirect (via mobility data) effects for stay-at-home policy restrictions.

Figure 11: Mediated Effects of Lockdowns on Google Mobility Data

sample period days is associated with a total reduction in infections of -0.59% (95% UI -0.03% , -1.14%). If we consider that a 1-SD increase in Trump’s 2016 vote share is associated with an increase in the infection rate of 0.38% (95% UI 0.23% , 0.51%) via mobility alone, it appears that a stay-at-home order would need to be implemented for at least 100 days to compensate the partisanship association, especially when Trump approval polls were rising.

5 Conclusion

Our results show that sociopolitical covariates like partisanship are equally as important predictors of the disease’s spread as are NPIs designed to counter the pandemic. These results suggest that future research take into account these covariates even if they are not traditionally included in epidemiological studies. The politicization of the pandemic undermined measures to combat and led to reduced concern over the virus in the United States, with serious consequences for individuals’ exposure to SARS-CoV-2. We find that politicization on the right end of the political spectrum has the strongest association with increased spread of COVID-19, although left-leaning political activity aimed at ending policy brutality via protests is also associated with increased spread, though at a lower scale.

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