Responsibility in verification

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What is responsibility?

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Consider a system with some **agents**, each performing **actions** resulting in **events**.

- → An agent is considered a cause of an event if, had that agent acted differently, this event would not have happened.
- → In order to gauge the importance of a part of a system, we check whether the system still works when that part malfunctions.

Shapley values

We fix a set of players $\{1, ..., N\}$, and we note $\mathcal{P}(N)$ the set of partitions of $\{1, ..., N\}$.

Definition

A *coalition* is a pair (T, P) with $P \in \mathcal{P}(N)$ and $T \in P$. The set of coalitions is denoted $\mathcal{C}(N)$.

Definition

A *coalitional game* is a function $\nu : \mathcal{C}(N) \to \mathbb{R}$.

We focus on games such that $\nu(T, P)$ only depends on T.

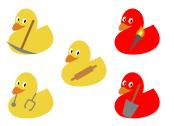
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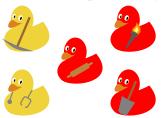
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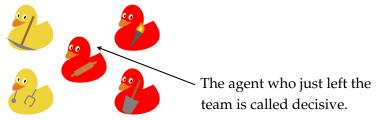
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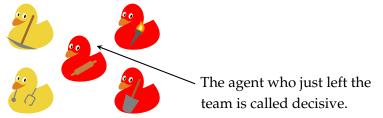
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The importance of an agent is its probability to be decisive.

Shapley values

The Shapley value function is the only one satisfying the following conditions.

- **①** Efficiency $\sum_{i=0}^{N} \varphi_i(\nu) = \nu(\{1, \dots, N\}, \{\{1, \dots, N\}\})$
- Symmetry Renaming players does not affect their rewards.
- **3** Additivity For all games μ, ν , and $C \in \mathbb{R}$, $\varphi_i(C\mu + \nu) = C\varphi_i(\mu) + \varphi_i(\nu)$, i.e., φ is a linear function.
- **Null-Player Axiom** If for all $(T, P) \in \mathcal{C}(N)$, $\nu(T \cup \{i\}, P_{T \leftarrow i}) = \nu(T, P)$ then $\varphi_i(\nu) = 0$.

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The **Shapley value** is defined as:

$$Sh(a) = \frac{1}{n!} \sum_{\pi \in \Pi_n} \nu(\pi_{\geq a}) - \nu(\pi_{\geq a} \setminus \{a\})$$

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- \rightarrow The Shapley value of agent a is its probability to be decisive.

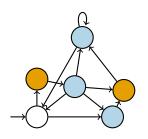
LTL is a logics designed to express properties of infinite words.

$$\varphi ::= a \mid \varphi \wedge \varphi \mid \neg \varphi \mid X\varphi \mid \varphi U\varphi \mid G\varphi \mid F\varphi$$

For instance, GFa expresses that at all positions of the word there is a further position at which a is true.

Given a Kripke structure K and an LTL formula φ .

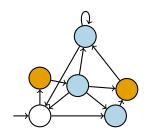
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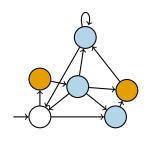


Which states of K are important for φ ?

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Which states of K are important for φ ?

A state of K is (more) important for φ if its nondeterminism matters (more).

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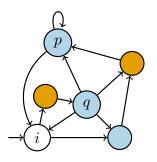
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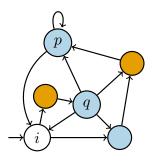
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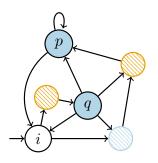
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Deterministic states have importance 0.

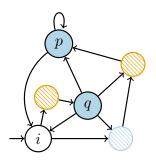


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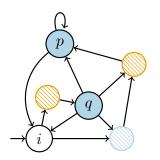


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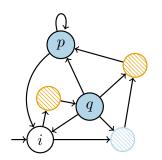
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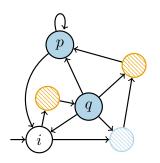
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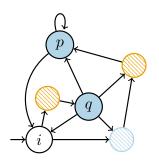
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$$\mathcal{I}(i) = \mathcal{I}(q) = 1/6$$

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Is *C* enough to make the system work?

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Importance computation problem. Given $q \in S$:

compute
$$|S|! \cdot \mathcal{I}(q)$$

TABLE I

A SUMMARY OF THE RESULTS ON THE COMPLEXITY OF THE VALUE, USEFULNESS AND IMPORTANCE PROBLEMS FOR VARIOUS TYPES OF SPECIFICATIONS.

	Büchi	Rabin	Streett	Parity	Explicit Muller
Value	P	NP	CONP	$\in NP \cap coNP$	P
Usefulness	NP	$\Sigma_2^{ m P}$	$\Sigma_2^{ m P}$	NP	NP
Importance	#P	#P ^{NP}	#P ^{NP}	#P	#P
	Emerson-Lei	LTL	2-turn CTL	Concurrent CTL	
Value	Emerson-Lei PSPACE	LTL 2ExpTime	2-turn CTL $\Sigma_2^{\rm P}$	Concurrent CTL $\in EXPTIME$	
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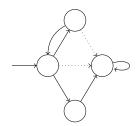
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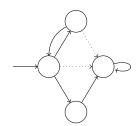
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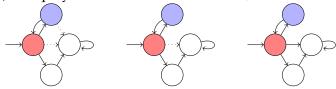


It is not clear how to design a **turn-based game**.

We considered **one-shot** games instead.

We consider two interpretations for CTL:

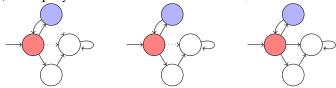
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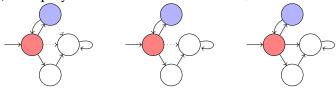


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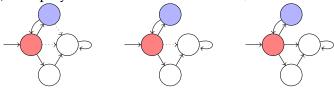


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• The game is asymmetric, one of the players has an advantage.

- 2) Both players choose their transitions concurrently.
 - Players may use randomized strategies to choose their transitions.
 - Computing the value of a set of states comes down to solving a linear optimization problem with exponential input.

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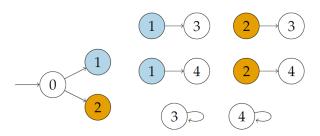
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A *linear strategy* is a function $\sigma : E^* \to E$.

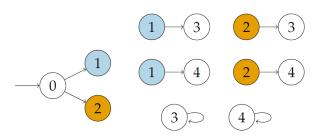
Sat (resp. Unsat) wins if they have a strategy to guarantee that the resulting tree (un)satisfies the objective. The game is sometimes undetermined.

Example of undeterminacy



In this example we consider the CTL formula $EF3 \wedge EF4$.

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Question

Which tree objectives allow tree games to be determined?

Game automata

Definition

A *game automaton* is an alternating tree automaton in which a pair (q, i) appears at most once in each transition.

Proposition

Languages expressed by game automata yield determined tree games.

However there exist languages non expressible by game automata which yield determined tree games.

It is the case with the language of trees having countably many branches fully labelled with a.

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- → Extension to probabilistic systems.
- → Control point of view (make the adversary all-knowing)

Thank you for your attention!

Axiomatic characterization of the Shapley value

Let $A=\{1,\ldots,n\}$ be a set of agents. A value function φ is a function that assigns to a cooperative game ν and an agent $a\in A$ a real number $\varphi(\nu,a)$. The **Shapley value** is the unique value function satisfying:

• Efficiency.

$$\sum_{a\in A} \varphi(\nu,a) = \nu(A) \quad \text{ for all } \nu$$

• Symmetry. If $\nu(S \cup \{a_1\}) = \nu(S \cup \{a_2\})$ for all $S \subseteq A \setminus \{a_1, a_2\}$:

$$\varphi(\nu, a_1) = \varphi(\nu, a_2)$$
 for all ν

• **Dummy players**. If $\nu(S \cup \{a\}) = \nu(S)$ for all $S \subseteq A \setminus \{a\}$:

$$\varphi(\nu, a) = 0$$
 for all ν

• Additivity. Let $(\nu \oplus \omega)(S) = \nu(S) + \omega(S)$. Then:

$$\varphi(\nu, a) + \varphi(\omega, a) = \varphi(\nu \oplus \omega, a)$$
 for all ν, ω