



Effective Java, Chapter 3: Methods Common to All Objects

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Agenda

- Material From Joshua Bloch
 - Effective Java: Programming Language Guide
- Cover Items 8 through 12
 - Methods Common to All Objects
- Moral:
 - Contract model = Industry Practice!



Item 8

- Obey the general contract when overriding `equals()`
- Overriding seems simple, but there are many ways to get it wrong.
- Best approach – Avoid! Works if:
 - Each instance of a class is unique
 - You don't care if class has logical equality
 - The superclass `equals` is satisfactory
 - Class is not public and `equals` never used



General contract for equals

- Reflexive
 - `x.equals(x)` must be true
- Symmetric
 - `x.equals(y)` iff `y.equals(x)`
- Transitive
 - If `x.equals(y) && y.equals(z)`
 - Then `x.equals(z)`
- Consistency...
- Null values:
 - `x.equals(null)` is always false



How hard could this be?

- Reflexivity is pretty much automatic
- Symmetry is not:
 - Example `CaseInsensitiveString`

```
private String s;  
// Broken - violates symmetry  
@Override public boolean equals (Object o) {  
    if (o instanceof CaseInsensitiveString)  
        return s.equalsIgnoreCase(  
            ((CaseInsensitiveString) o).s);  
    if (o instanceof String) // Not Symmetric!  
        return s.equalsIgnoreCase((String) o);  
    return false;  
}
```



Why does this violate symmetry?

- Consider this code:

```
Object x = new CaseInsensitiveString ("abc");  
Object y = "Abc"; // y is a String  
if (x.equals(y)) {...} // evaluates true, so execute  
if (y.equals(x)) {...} // evaluates false, so don't...
```

- Dispatching of `equals()` calls

- First `equals()` call to `CaseInsensitiveString`
- Second `equals()` call to `String`

- This is horrible!



Correct Implementation

- Avoid temptation to be “compatible” with the `String` class:

```
// CaseInsensitiveString is not a subclass of String!  
private String s;  
@Override public boolean equals (Object o) {  
    return (o instanceof CaseInsensitiveString)  
        &&  
        ((CaseInsensitiveString) o).s.  
            equalsIgnoreCase(s);  
}
```



Symmetry and Transitivity

- Surprisingly difficult – general result about inheritance
- Example:
 - A 2D `Point` class
 - State is two integer values `x` and `y`
 - `equals()` simply compares `x` and `y` values
 - An extension to include color
 - `public class ColorPoint extends Point`
 - What should `equals()` do?



Preliminaries: What does equals in Point look like?

```
public class Point { // routine code
    private int x; private int y;
    ...
    @Override public boolean equals(Object o) {
        if (!(o instanceof Point))
            return false;
        Point p = (Point) o;
        return p.x == x && p.y == y;
    }
}
```



Choice 1 for equals() in ColorPoint

- Have equals() return true iff the other point is also a ColorPoint:

// Broken - violates symmetry

```
@Override public boolean equals(Object o) {  
    if (!(o instanceof ColorPoint))  
        return false;  
    ColorPoint cp = (ColorPoint o);  
    return super.equals(o) &&  
        cp.color == color;  
}
```



Problem

- Symmetry is broken
- Different results if comparing:

```
ColorPoint cp = new ColorPoint (1, 2, RED);  
Point p = new Point (1,2);  
// p.equals(cp), cp.equals(p) differ
```

- Unfortunately, `equals()` in `Point` doesn't know about `ColorPoints`
 - Nor should it...
- So, try a different approach...



Choice 2 for equals() in ColorPoint

- Have `equals()` ignore color when doing “mixed” comparisons:

// Broken - violates transitivity

```
@Override public boolean equals(Object o) {  
    if (!(o instanceof Point)) return false;  
    // If o is a normal Point, be colorblind  
    if (!o instanceof ColorPoint)  
        return o.equals(this);  
    ColorPoint cp = (ColorPoint o);  
    return super.equals(o) && cp.color == color;  
}
```



Now symmetric, but not transitive!

- Consider the following example

```
ColorPoint p1 = new ColorPoint(1,2,RED);  
Point p2 = new Point(1,2);  
ColorPoint p3 = new ColorPoint(1,2,BLUE);
```

- The following are true:
 - `p1.equals(p2)`
 - `p2.equals(p3)`
- But not `p1.equals(p3)` !



The real lesson

- There is no way to extend an *instantiable* class and add an aspect while preserving the equals contract.
 - Note that abstract superclass definitions of `equals()` are fine. (See Bloch Item 20)
- Wow! Inheritance is hard!
- Solution: Favor composition over inheritance (Item 16).
- Note: This was not well understood when some Java libraries were built...



How to implement equals()

- Use `==` to see if argument is a reference to this (optimization)
- Use `instanceof` to check if argument is of the correct type (properly handles null)
- Cast the argument to the correct type
- Check each “significant” field
- Check reflexivity, symmetry, transitivity



Be sure to maintain Liskov Substitution Principle

- Rumor has it you can use `getClass()` instead of `instanceof`
 - Bloch argues that this is simply wrong
 - See Wagner, *Effective C#*, Item 9, for an alternate viewpoint

// **Broken - violates Liskov substitution principle**

```
@Override public boolean equals(Object o) {  
    if (o == null || o.getClass() != getClass())  
        return false;  
    Point p = (Point o);  
    return p.x == x && p.y == y;  
}
```




Client Use of Point Class

- `// Initialize UnitCircle to contain Points on unit circle`
`private static final Set<Point> unitCircle;`
`static {`
 `unitCircle = new HashSet<Point>();`
 `unitCircle.add(new Point(1, 0));`
 `unitCircle.add(new Point(0, 1));`
 `unitCircle.add(new Point(-1, 0));`
 `unitCircle.add(new Point(0, -1));`
`}`
`public static boolean onUnitCircle (Point p) {`
 `return unitCircle.contains(p);`
`}`

Question: Which Point objects should onUnitCircle() handle?



Completion of prior example

- Now consider a different subclass `CounterPoint`
 - Question: What happens to clients of `Point`?
 - Answer: `CounterPoint` objects behave badly 😞

```
public class CounterPoint extends Point
{
    private static final AtomicInteger counter =
        new AtomicInteger();

    public CounterPoint(int x, int y) {
        super (x, y);
        counter.incrementAndGet();
    }

    public int numberCreated() { return counter.get(); }
}
```



What not to do

- Don't be too clever
- Don't use unreliable resources, such as IP addresses
- Don't substitute another type for Object
 - `@Override public boolean equals (MyClass o)`
 - Wrong, but `@Override` tag guarantees compiler will catch problem
 - Overloads `equals()` – does not override it!
- Don't throw `NullPointerException` or `ClassCastException`



Item 9

- Always override `hashCode()` when you override `equals()`
- Contract:
 - `hashCode()` must return same integer on different calls, as long as `equals()` unchanged
 - If `x.equals(y)`, then `x`, `y` have same hashcode
 - It is **not** required that unequal objects have different hashcodes.



Second provision is key

- Suppose `x.equals(y)`, but `x` and `y` have different values for `hashCode()`

- Consider this code:

```
Map m = new HashMap();  
m.put(x, "Hello"); // expect x to map to Hello  
// m.get(y) should return Hello,  
// since x.equals(y), but it doesn't!
```

- Ouch!



How to implement hashCode

- Avoid really bad implementations
 - `@Override public int hashCode() { return 42; }`
 - Hash table now performs terribly (but, at least, correctly...)
- Start with some nonzero value (eg 17)
- (Repeatedly) compute `int hashCode "c"` for each "significant field"
 - Various rules for each data type
- Combine: `result = result*37 + c;`



Optimize hashCode() for immutable objects

- No reason to recompute hashCode
- Maybe no reason to compute at all!

```
// Lazy initialization example
private int hashCode = 0;
@Override public int hashCode() {
    if (hashCode == 0)
        { ...} // needed now, so compute hashCode
    else return hashCode;
}
```



Item 10

- Always override `toString()`
- Return all the “interesting” information in an object
 - `toString()` simply implements the Abstraction Function for an object
 - `toString()` values **must not change** if representation changes
- Document intentions with respect to format
 - Clients may (unwisely) decide to depend on format
 - Provide getters for values `toString()` provides
 - Do **not** force clients to parse String representation



Item 11

- Override `clone()` judiciously
- Cloneable is a “mixin” interface
 - Unfortunately, it fails to provide any methods
 - `clone()` is defined in `Object` (protected)
- Contract:
 - Create a copy such that `x.clone() != x`
 - `x.clone().getClass() == x.getClass()`
 - Should have `x.clone().equals(x)`
 - No constructors are called



What a strange contract

- The requirement on classing is too weak
 - A programmer calling `super.clone()` wants an instance of the subclass, not the superclass.
 - The only way to do this is to call `super.clone()` all the way up to `Object`.
 - Explicit use of constructors gives the wrong class.
- Rule: Always implement `clone()` by calling `super.clone()`.



The role of mutability

- If a class has only primitive fields or immutable references as fields, `super.clone()` returns exactly what you want
- For objects with mutable references, “deep copies” are required.
- Example: cloning a `Stack` class that uses a `Vector` for a representation.
 - Representation `Vector` must also be cloned.
 - So, call `super.clone()`, then clone `Vector`



Other Cloning problems

- Cloning may be a problem with final fields
- Cloning recursively may not be sufficient
- Result:
 - You may be better off not implementing Cloneable
 - Providing a separate copy mechanism may be preferable.
 - Copy Constructor: `public Yum (Yum yum)`
 - Factory: `public static Yum newInstance(Yum yum)`



Item 12

- Consider Implementing Comparable
- Contract
 - Returns negative, zero, or positive depending on order of this and specified object
 - `sgn(x.compareTo(y)) == -sgn(y.compareTo(x))`
 - `compareTo()` must be transitive
 - If `x.compareTo(y) == 0`, `x` and `y` must consistently compare to all values `z`.
 - Recommended that `x.compareTo(y) == 0` iff `x.equals(y)`
 - Note that `compareTo()` can throw exceptions



Elements of the contract

- The same issue with `equals()` arises in the case of inheritance:
 - There is simply no way to extend an instantiable class with a new aspect while preserving the `compareTo()` contract.
 - Same workaround – Favor composition over inheritance
- Some Java classes violate the consistency requirement with `equals()`.
 - Example: The `BigDecimal` class



BigDecimal Example

```
//This is horrible!
Object x = new BigDecimal("1.0");
Object y = new BigDecimal("1.00");
// !x.equals(y), but x.compareTo(y) == 0
Set s = new HashSet(); Set t = new TreeSet();
s.add(x); s.add(y);
// HashSet uses equals, so s has 2 elements
t.add(x); t.add(y);
// TreeSet uses compareTo, so t has 1 element
```