



综上所述，等比数列 $\{a_n\}$ 的求和公式为：

$$S_n = \begin{cases} \frac{a_1 - a_1 q^n}{1 - q} & q \neq 1 \\ na_1 & q = 1 \end{cases}$$

经过推导，可以得到另一个求和公式：当 $q \neq 1$ 时，

$$S_n = \frac{a_1(1 - q^n)}{1 - q} = \frac{a_1 q^n - a_1}{q - 1}$$

first ratio

当 $-1 < q < 1$ 时，等比数列无限项之和

由于当 $-1 < q < 1$ 及 $n$ 的值不断增加时， $q^n$ 的值便会不断减少而且趋于0，因此无限项之和为：

$$S = \lim_{n \rightarrow \infty} S_n = \lim_{n \rightarrow \infty} \frac{a_1 - a_1 q^n}{1 - q} = \frac{a_1}{1 - q}$$

### Example 5

```
read(n); a=0.7;
while( n>1) {
  For(j=1; j<n; j++) print( "*" );
  n=a*n; }
```

- The **first** time the outer loop is called, the "print" is called  $n$  times.
- The **2nd** time the outer loop is called, the "print" is called  $an$  times.
- The **3rd** time the outer loop is called, the "print" is called  $a^2n$  times...
- The **k**th time the outer loop is called, the "print" is called  $a^k n$  times

• Let  $t$  be the number of iterations of the outer loop. Then the total time

$$= n + an + a^2n + a^3n + \dots + a^t n = n(1 + a + a^2 + a^3 + \dots + a^t) < n(1 + a + a^2 + a^3 + \dots + a^t + \dots) = n / (1 - a) = O(n).$$

• Same analysis holds for any  $a < 1$



$\Omega(g(n)) = \{\text{funcs that grow at least as fast as } g(n)\}$

$$\Omega(g(n)) = \left\{ \begin{array}{l} f(n): \exists c > 0, n_0 > 0 \\ \forall n \geq n_0 \\ c g(n) \leq f(n) \end{array} \right\}$$

### The lower bound trick – Second example

We are about to insert  $n$  keys  $\{k_1, \dots, k_n\}$  into an empty AVL tree. How much time would it take?

Upper bound: When the  $i+1$  key is inserted, the tree contains  $i$  keys, so its height is  $O(\log i)$ , and an insert operation takes  $O(\log i)$  which is also  $O(\log n)$

So the overall running time is  $O(\log 1) + O(\log 2) + O(\log 3) + \dots + O(\log n) \leq O(\log n) + O(\log n) + O(\log n) + \dots + O(\log n) = O(n \log n)$

This is an upper bound. What is the lower bound?

- $\Omega(n)$ ?
- $\Omega(n+1)$ ?
- $\Omega(2^n)$ ?
- $\Omega(n \log n)$

### Examples 4

*Time Complexity* = Outer  $n$ . Inner  $O(n)$ , Total =  $O(n^2)$

```
read(n);
for(i=1; i<n; i++)
  for(j=i; j<n; j++)
    print( "*" );
```

• More "sensitive" analysis:

- For  $i=1$  we run through  $j=1, 2, 3, 4, \dots, n$ , total  $n$  times.
- For  $i=2$  we run through  $j=2, 4, 6, 8, 10, \dots, n$ , total  $n/2$  times.
- For  $i=3$  we run through  $j=3, 6, 9, 12, \dots, n$ , total  $n/3$  times.
- For  $i=4$  we run through  $j=4, 8, 12, 16, \dots, n$ , total  $n/4$  times.
- For  $i=n$  we run through  $j=n$ , total  $n/n=1$  times.
- Summing up:  $T(n) = n + n/2 + n/3 + n/4 + \dots + n/n = n(1 + 1/2 + 1/3 + 1/4 + \dots + 1/n) \approx n \ln n$  Harmonic Sum

### Properties of big-O

- **Claim:** if  $T_1(n) = O(g_1(n))$  and  $T_2(n) = O(g_2(n))$  then  $T_1(n) + T_2(n) = O(g_1(n) + g_2(n))$
- **Example:**  $T_1(n) = O(n^2)$ ,  $T_2(n) = O(n \log n)$  then  $T_1(n) + T_2(n) = O(n^2 + n \log n) = O(n^2)$
- **Proof:** We know that there are constants  $n_1, n_2, c_1, c_2$  s.t.
  - for every  $n > n_1$   $T_1(n) < c_1 g_1(n)$ . (definition of big-O)
  - for every  $n > n_2$   $T_2(n) < c_2 g_2(n)$ . (definition of big-O)
- Now set  $n' = \max\{n_1, n_2\}$ , and  $c' = c_1 + c_2$ , then
  - for every  $n > n'$  we have that
  - $T_1(n) + T_2(n) < c_1 g_1(n) + c_2 g_2(n) \leq c' g_1(n) + c' g_2(n) = c' (g_1(n) + g_2(n))$

### More properties of big-O

- **Claim:** if  $T_1(n) = O(g_1(n))$  and  $T_2(n) = O(g_2(n))$  then  $T_1(n) T_2(n) = O(g_1(n) g_2(n))$
- **Example:**  $T_1(n) = O(n^2)$ ,  $T_2(n) = O(n \log n)$  then  $T_1(n) T_2(n) = O(n^3 \log n)$
- Similar properties hold for  $\Theta$

### The lower bound trick – a less trivial example

We **demonstrate** this trick by giving an  $\Omega(n \log n)$  bound on the time  $T(n)$  required to insert  $n$  keys into an (initially empty) balanced search tree.

The  $i$ th insertion takes  $K \log(i)$  time (for a constant  $K$ , that we ignore). Hence

$$\begin{aligned} \sum_{i=1}^n \log i &= \log 1 + \log 2 + \dots + \log\left(\frac{n}{2} - 1\right) + \log\left(\frac{n}{2}\right) + \log\left(\frac{n}{2} + 1\right) + \dots + \log n \\ &\geq \log\left(\frac{n}{2}\right) + \log\left(\frac{n}{2} + 1\right) + \log\left(\frac{n}{2} + 2\right) + \dots + \log n \\ &\geq \log\left(\frac{n}{2}\right) + \log\left(\frac{n}{2}\right) + \log\left(\frac{n}{2}\right) + \dots + \log\left(\frac{n}{2}\right) = \left(\frac{n}{2}\right) \log\left(\frac{n}{2}\right) = \Omega(n \log n) \end{aligned}$$

eliminated small part