# THE LANGUAGE MODELING PROBLEM

Lecture adapted from https://youtube.com/playlist?list=PLIQ By7xY8mbJONAWxZmZsHj0iqjMpKO Ni&si=TLh2Phyna3ZndoHD



 One of the oldest problems studied in statistical NLP because very useful for many applications

 We have some finite vacabulary, say V = {the, a, man, telescope, Beckham, two, ...} (can be very large depending on the data)

• We have an (infinite) set of strings,  $V^{\dagger} =>$  set of all possible sentences in this language

 A sentence must have 0 or more words and each word must come from V, any sequence is possible.

- Possible sentences :
  - The STOP
  - A STOP
  - The fan STOP
  - The fan saw Beckham STOP
  - The fan saw saw STOP
  - The the STOP
  - STOP

- We have a training sample of example sentences in English
- Collection of sentences from the New York Times during the last ten years for example,
- or large sample of sentences from the web
- 90s => 20 million words/tokens
- 2000s => 1 billion
- Nowadays => couple trillion

- Our task is to « learn » a probability distribution *p* over the sentences in our language.
- 2 conditions:
  - $p(x) \ge 0 \ \forall \ x \in V^{\dagger} =>$  For any sentence x, the probability of that sentence must be greater or equal to 0
  - $\sum_{(x \in V^{\dagger})} p(x) = 1 =>$  If we sum over all of the probabilities of the sentences in the language we obtain 1, meaning p is a well-formed distribution.

 p is essentially a function which returns the probability for a sequence in a given language.

- $P(\text{the STOP}) = 10^{-12}$
- P(the fan STOP) =  $10^{-8}$
- P(the fan saw Beckham STOP) = 2 x 10<sup>-8</sup>
- P (the fan saw saw) =  $10^{-15}$
- ... assign a probability to every sequence in the language

 We want to try and assign a high probability to likely sentences in English and low probability to unlikely sentences in English

#### Why would we want to do this?!

- Language models are useful in many applications:
  - Speech recognition: language models are critical for modern speech recognizers (handwriting recognition also)
  - The estimation techniques used for this problem are useful for other NLP problems such as POS tagging or automatic translation.
  - Nowadays they are widely used for generative purposes

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#### Language modeling for Speech Recognition

- Quick sketch:
  - Input => an acoustic recording
  - Then map this input to the words which are actually spoken

# Language modeling for Speech Recognition

• Imagine the person says « recognize speech »

- In practice, there are actually many alternative sentences which could have been spoken:
  - « wreck a nice beach »
  - « wreck an ice peach »
- Similar sentences from an acoustic point of view

# Language modeling for Speech Recognition

 A language model allows us to produce a probability for each sentence and estimate that « recognize speech » is more probable than other options.

 => Adds some very useful info to get rid of these kinds of confusions

#### A naive method for Language Modeling

- We have N sentences
- For any sentence or sequence  $x_1 \dots x_n$ ,
- $C(x_1 \dots x_n)$  is the # of times the sentence was seen in our training data.

A naive estimate :

• 
$$p(x_1 \dots x_n) = \frac{C(x_1 \dots x_n)}{N}$$

#### A naive method for Language Modeling

 Has some deficiencies, although it's a well-formed language model:

 Mainly it assigns proba 0 to any sentence not seen in our training sample...

Cannot generalize to new sentences

#### Trigram Models

Widely used statistical language model

• Build heavily on the idea of Markov processes...

#### Markov Processes

- Consider a sequence of random variables  $X_1, ..., X_n$ .
- Each random variable can take any value in a finite set V (vocab).
- We can assume the length n is fixed for now. (n=100 for ex.)
- We want to model the joint probability

$$P(X_1 = X_1, ..., X_n = X_n)$$

#### Markov Processes

• Huge number of possible values.

• |V|n possible sequences in our example

- Going to use the chain rule to decompose this joint proba
- Remember:  $P(A,B) = P(A) \times P(B|A)$
- And therefore  $P(A,B,C) = P(A) \times P(B|A) \times P(C|A,B)$
- So :

$$P(X_1 = x_1, ..., X_n = x_n)$$

$$=$$

$$P(X_1 = x_1) \prod_{i=2}^n P(X_i = x_i | X_1 = x_1, ..., X_{i-1} = x_{i-1})$$

• The 1st order Markov assumption states that

$$\prod_{i=2}^{n} P(X_i = x_i | X_1 = x_1, \dots, X_{i-1} = x_{i-1})$$

Can be **simplified** as:

$$\prod_{i=2}^{n} P(X_i = x_i | X_{i-1} = x_{i-1})$$

$$P(X_1 = x_1, ..., X_n = x_n)$$

(exact equality)

$$P(X_1 = x_1) \prod_{i=2}^n P(X_i = x_i | X_1 = x_1, ..., X_{i-1} = x_{i-1})$$

(Markov assumption)

$$P(X_1 = x_1) \prod_{i=2}^{n} P(X_i = x_i | X_{i-1} = x_{i-1})$$

 Huge assumption to state that the probability of a word here is only conditioned on the previous word...

#### Second-Order Markov Processes

Very similar model :

$$P(X_1 = x_1, \dots, X_n = x_n)$$

$$= P(X_1 = x_1) \ P(X_2 = x_2 | X_1 = x_1) \prod_{i=3}^{n} P(X_i = x_i | X_{i-2} = x_{i-2}, X_{i-1} = x_{i-1})$$

Condition on previous 2 elements vs. only the previous element

#### Second-Order Markov Processes

 We would also like to make the length of a sentence be a random variable: not all sentences will have 100 words...

• So we can define  $X_n$  to always be equal to STOP where STOP is a special symbol.

• Basically, if STOP is at position i, then this marks the end of the sentence and i=n

#### Trigram Language Model

 Given these concepts we can define a trigram language model, which consists of:

• A finite set V

• A parameter q(w|u,v) for each trigram u,v,w such that  $w \in V \cup \{STOP\}$  and  $u,v \in V \cup \{*\}$  (special start symbols)

### Trigram Language Model Formal Definition

- For any sentence made up of tokens  $x_1, ..., x_n$ 
  - where  $x_i \in V$  for i = 1, ..., n-1
  - and  $x_n = STOP$
- The probability of the sentence under the trigram language model is

$$p(x_1, x_2, ..., x_n) = \prod_{i=1}^n q(x_i | x_{i-2}, x_{i-1})$$

• Where we define  $x_{-1} = x_0 = *$ 

#### An example to make things clearer

Sentence: « \* \* The dog barks STOP »

```
• p(**the\ dog\ barks\ STOP) = q(the|\ *,*)

\times\ q(dog|\ *,the)

\times\ q(barks|the,dog)

\times\ q(STOP|dog,barks)
```

- Product of terms to get the proba of the sentence under this type of language model
- We're treating sentences as being generated by a second order Markov process, where each word generated is dependent purely on the 2 previous words.

#### Trigram Language Model

- Advantages :
  - Simple, easy and cheap
  - useful for many applications
  - availablity of statistics over the internet
  - well understood math
- Disadvantages:
  - Language: they do not capture non-local dependencies

#### Estimating the parameters

- So we need to estimate  $q(w_i|w_{i-2}, w_{i-1})$
- · Remember, if we have two dependent events:

$$p(A,B) = p(A) \times p(B|A)$$

Which is equivalent to

$$p(B \mid A) = \frac{p(A,B)}{p(A)}$$

• Which can be generalized to 3 events

$$p(C|A,B) = \frac{p(A,B,C)}{p(A,B)}$$

#### Estimating the parameters

A natural estimate is therefore:

$$q(w_i|w_{i-2},w_{i-1}) = \frac{Count(w_{i-2},w_{i-1},w_i)}{Count(w_{i-2},w_{i-1})}$$

So for example :

$$q(laughs|the,dog) = \frac{Count(the,dog,laughs)}{Count(the,dog)}$$

# Estimating the parameters from a toy corpus

- An example corpus:
- 1. the cat saw the mouse.
- 2. the cat heard a mouse.
- 3. the mouse heard.
- 4. a mouse saw.
- 5. a cat saw.
- 6. a cat heard the mouse.
- => Using the corpus, give the parameter estimates for :
  - a bigram language model
  - a trigram language model

#### Estimating the parameters

Bigram	Count	Unigram	Count	Relative frequency
* the	3	*	6	3/6
the cat	2	the	5	2/5
cat saw	2	cat	4	2/4
saw the	1	saw	3	1/3
the mouse	2	the	5	2/5
mouse STOP	3	mouse	5	3/5
cat heard	2	cat	4	2/4
heard a	1	heard	3	1/3
a mouse	2	a	4	2/4

#### Estimating the parameters

Trigrams	Count	Bigram	Count	Relative frequency
* * the	3	**	6	3/6
* the cat	2	* the	3	2/3
the cat saw	1	the cat	2	1/2
cat saw the	1	cat saw	2	1/2
saw the mouse	1	saw the	1	1
the mouse STOP	2	the mouse	3	2/3
the cat heard	1	the cat	2	1/2
cat heard a	1	cat heard	2	1/2
heard a mouse	1	heard a	1	1
a mouse STOP	1	a mouse	2	1/2
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