

# **Resource allocation in Eucalyptus**

By

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I wish to thank Jesus and my mom yo

## **Statement of Authentication**

The work presented in this thesis is, to the best of my knowledge and belief, original except as acknowledged in the text. I hereby declare that I have not submitted this material, either in full or in part, for a degree at this or any other institution.

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## LIST OF ABBREVIATIONS

$A_n$	Net leaf photosynthesis rate
$A_c$	Component specific biomass partitioning??
$aC_a$	Ambient CO <sub>2</sub> treatment
AC i	Photosynthetic CO <sub>2</sub> response curves
$A_{max}$	Leaf net photosynthesis at saturating light and CO <sub>2</sub> concentration
$A_{sat}$	Leaf net photosynthesis at saturating light
AT	Ambient air temperature treatment
C	Carbon
[CO <sub>2</sub> ]	CO <sub>2</sub> concentration
$C_{ab}$	Aboveground standing crop C mass
$C_{day}$	Predicted daily carbon assimilation
$C_i$	Intercellular CO <sub>2</sub> concentration (or partial pressure)
$C_c$	Chloroplastic CO <sub>2</sub> concentration (or partial pressure)
$C_{r,T}$	Total C mass of roots
E	Leaf transpiration
$eC_a$	Elevated CO <sub>2</sub> treatment
ET	Elevated air temperature treatment
FACE	Free-air CO <sub>2</sub> enrichment experiments
$F_c$	Net aboveground carbon uptake
$F_{c,r}$	Residual belowground C flux
free	naturally planted
FRLD	Fine root length density
$g_s$	Stomatal conductance
$g_m$	Mesophyll conductance
ITE	Leaf level instantaneous transpiration efficiency
$J_{max}$	Maximum rate of photosynthetic electron transport
$K_1$	Leaf-specific hydraulic conductance

LA Leaf area

LMA Leaf mass per unit area

LMF Leaf mass fraction

N Nitrogen

$N_a$  Leaf nitrogen on an area basis

$N_f$  TNC-free leaf nitrogen content

PPFD Photosynthetic photon flux density

$Q_{10}$  Rate of change in respiration due to 10 °C increase in temperature

R Leaf dark respiration rates

RMF Root mass fraction

SLA Specific leaf area

$SLA_f$  TNC-free Specific leaf area

SMF Stem mass fraction

TBCA Total belowground carbon allocation

TNC Total non-structural carbohydrate

TDL Tunable diode laser

$V_{c_{max}}$  Maximum rate of rubisco carboxylation

VPD Vapour pressure deficit

VPDP Standard Vienna Pee Dee Belemnite

WUE Water-use efficiency

WTC Whole-tree chambers

$\delta$  Isotope discrimination

$\Delta$  Carbon isotope discrimination during C3 photosynthesis

$\Psi_1$  Midday leaf water potential

$\Psi_{pd}$  Predawn leaf water potential

$\sigma_s$  Self shading parameter

## ABSTRACT

Plants must utilize external resources including light, CO<sub>2</sub>, water and mineral nutrients to support photosynthetic carbon (C) gain. This photoassimilate is then allocated within the plant as the essential C resource for growth, maintenance and storage. Theory and observations suggest that C allocation and leaf physiology are optimized to maintain functional balance for external resource capture and to maximize C gain. However, the impacts of a changing climate may disrupt the proposed balance of C allocation between above and belowground pools. Variation in resource distribution and leaf physiology within tree canopies is also not fully understood, thus all canopy leaves may not follow theories of leaf optimal behavior. These unanswered questions regarding C uptake and fate of assimilated C inhibit our ability to precisely test the coordination between canopy photosynthesis and growth. To address these broad ecological questions, this PhD research utilized a diverse set of experiments which manipulated resource availability and climate factors on *Eucalyptus* tree species. My goal was to measure aspects of resource allocation and C uptake across different scales, from leaf to whole tree, to improve physiological understanding of the processes which define tree growth and the sensitivity of these processes to changing environments.

First, I determined whether manipulations of soil volume would limit growth in *Eucalyptus tereticornis* seedlings by disrupting the balance between source and sink activity. Seedlings were grown in a large range of container sizes and planted flush to the soil alongside naturally planted seedlings ('free'). Aboveground growth of seedlings in containers was negatively affected compared to free seedlings soon after the experiment started. Despite large reductions in growth across soil volume treatments, relative partitioning of mass to leaves, stems and roots was similar for all seedlings after 120 days. Leaf photosynthetic capacity decreased in containers compared to free seedlings, and was correlated to both leaf nitrogen (N) content and starch accumulation. Although belowground sink limitation resulted in a reduction of net leaf photosynthesis ( $A_n$ ), a mass balance model concluded that these reductions were not large enough to explain observed growth responses. As  $A_n$  and growth were not tightly coordinated, the model predicted excess photosynthetic C not attributed to biomass in potted seedlings. Quantifying the fate of this excess C will be essential in evaluating feed-backs between sink strength and leaf C uptake in future studies.

Second, I investigated how light gradients within *Eucalyptus tereticornis* tree canopies affect the distribution of resources that define photosynthetic capacity of sun and shade leaves. Trees were grown in climate-controlled whole tree chambers under prevailing ambient and warmed (+3 °C) treatments and leaf gas exchange was coupled with online C isotope discrimination to measure  $A_n$ , stomatal conductance ( $g_s$ ) and mesophyll conductance ( $g_m$ ) of sun and shade leaves. Photosynthesis rates were reduced by ca. 40 % in shade leaves associated with a 75% reduction in photosynthetically active radiation compared to sun leaves. Photosynthetic capacity (ca. 20% lower  $V_{c\max}$  and  $J_{\max}$ ) and leaf N were also lower in shade leaves than sun leaves however,  $g_s$  was similar. Leaf  $C_i$ , estimated from both leaf  $\delta^{13}C$  and gas exchange, was higher in shade leaves than sun leaves. Here, the optimization theory hypothesis that  $C_i$  should be optimized throughout the canopy was rejected because water use efficiency was lower in shade leaves, compared to sun leaves. When light intensity was increased from low light to high light for shade leaves both  $g_s$  and  $g_m$  increased rapidly, leading to increases in  $A_n$  greater than sun leaves at the same high light environment. This rapid response of  $g_m$  with light enables shade leaves to respond quickly to sunflecks and represents a new mechanism underpinning leaf gas exchange responses to light. This capacity of shade leaves to adjust their physiological behavior and increase C uptake when sunflecks occur likely plays significant role in whole tree C uptake for some tree species. These findings reveal that plant resources within a canopy may be distributed to utilize sunflecks and the dynamic physiological responses of shade leaves to altered light environments must be accounted for when up-scaling leaf level measurements to predict whole canopy C gain.

Finally, I examined how net aboveground C uptake correlated to tree biomass growth and whether elevated [CO<sub>2</sub>] and drought treatments altered C allocation patterns above or belowground in *Eucalyptus saligna* trees. Trees were grown in climate-controlled whole tree chambers (WTCs) over a period of two years with interacting treatments of two [[CO<sub>2</sub>] (380 ppm and 620 ppm) and two watering regimes (well-watered and a four-month drought). Additionally, we utilized a novel approach to calculate total belowground C allocation (TBCA) for each WTC as the residual between the aboveground net CO<sub>2</sub> uptake and aboveground C mass. Measured cumulative aboveground net C uptake correlated positively to whole tree C mass production and leaf area over the final eleven months of the experiment. Contrary to previous studies, cumulative TBCA

was unaffected by either elevated CO<sub>2</sub> or drought treatments. As a fraction of total aboveground net C uptake, TBCA was also found to remain relatively stable across daily time steps for all trees. Increases in C allocation to leaves were detected in elevated CO<sub>2</sub> treatments, while the effects of a 4 month drought were negligible on C allocation in aboveground tissues. These results reveal how climate change factors impact the investment of photosynthetic C in a *Eucalyptus* tree species and provide evidence that belowground processes may not be as sensitive to global change factors as previously thought.

In conclusion, this PhD research addressed diverse questions regarding resource allocation in *Eucalyptus* tree species by linking leaf physiology to whole canopy C gain and allocation of photosynthetic C to whole tree growth. This study confirms that the distribution of photosynthetic resources constrain canopy C uptake, yet within canopy leaf physiology does not follow prevailing optimal theory. Results from this work reveal how quantifying the fate of photosynthetic C among tissue and ecosystem pools, beyond biomass production, is imperative to accurately assess the impacts of environmental change on tree productivity. This research offers critical empirical data needed to refine process based models which predict canopy C gain from rates of  $A_n$  and forest growth models where C allocation is represented. Ultimately, this work contributes valuable information regarding the physiological and growth responses of iconic *Eucalyptus* tree species essential for reconciling the impacts of resource availability and global climate change on fragile Australian ecosystems and the productivity of \*Eucalyptus\* plantation forests.

# CHAPTER 1

## GENERAL INTRODUCTION

### OVERVIEW

#### Resource allocation in plants

Plants need to extract resources including light, CO<sub>2</sub>, water and mineral nutrients to support growth and reproduction. To accomplish this requires energy, appropriate tissues for uptake and a transport system to deliver resources to their required destination (Grace, 1997). The uptake of nutrients from roots is necessary for leaf growth. Leaves then fix the C, via net photosynthesis ( $A_n$ ), required for growth of the entire plant. This assimilated C from source leaves, in the form of simple sugars, is in itself an essential C resource that must be allocated to the growth and maintenance of tissues or is diverted to a storage pool of carbohydrates. As a result, growth is driven by several simultaneous processes, including  $A_n$ , C investment among organs, resource acquisition and metabolic costs (Körner, 2006; Fourcaud et al., 2008). Gaining an understanding of the sensitivity of these processes to environmental change is crucial for predicting future terrestrial C cycling (Friedlingstein et al., 1999), as there is currently little consensus on how C allocation should be modeled (Franklin et al., 2012; De Kauwe et al., 2014).

#### Resource allocation theory

Theoretically, growth under resource limitation will be maximized when investment into the acquisition of any single resource from the environment leads to an equivalent increase in growth (Bloom et al., 1985). The two critical assumptions of allocation theory are that the resource in question is in fixed supply and that allocation among competing functions is mutually exclusive (Bazzaz et al., 2000). Thus, trade-offs between different tissue C sinks will exist as resources are invested within the plant. Allocation of newly acquired resources to different tissues will then affect subsequent rates of capture of CO<sub>2</sub> and soil resources (Shipley and Meziane, 2002). In resource saturated environments plants should maximize growth by allocating resources to support leaf growth to increase C acquisition (Monsi and Saeki, 2005). Resource availability, however, is rarely saturated in natural ecosystems. As a result, shifts in allocation of external resources and assimilated C to different tissue or ecosystem components can occur.

Shifts in resource allocation within plants have led to two main theories regarding allocation strategies. First, the balanced growth hypothesis suggests that individual plant tissues should provide a 'balanced internal economy' as each component supplies resources for the other (Davidson, 1969). This functional equilibrium between tissues can then be adaptive if conditions limit  $A_n$  or soil nutrient uptake (Cannell et al., 1985), such that plants should allocate resources to the organ that is capturing the resource most limiting growth (Shipley and Meziane, 2002). Changes in plant resource allocation are also theorized to be a function of allometric trajectories of plant development, independent of changes in nutrient supply (Müller et al., 2000). In this strategy, investment into leaf mass increases with both stems and root, but not proportionally, as a greater allocation of biomass to the stem exists as a simple function of plant size (Zens and Webb, 2002). When constrained by ontogeny, plants are more likely to adjust tissue morphology, chemistry, metabolism or turnover to alter resource capture (Reich et al., 2002).

#### Tree canopy resource gradients

Incident PPFD declines exponentially with cumulative leaf area index from the top of the tree downward, creating steep light gradients within tree canopies (Monsi and Saeki, 2005). Leaf photosynthesis responds strongly and non-linearly to irradiance [Evans 1995 carbon]. As costs and limitations of light harvesting prevent plants from exposing all leaves to full sun [Niinemets 2010 review], it follows that a substantial portion of canopy C assimilation should occur in leaves with the highest exposure to light. Consequently, the distributions of resources required for  $A_n$  are partially defined by canopy light gradients. As the

photosynthetic capacity of leaves is related to its N content (Field and Mooney, 1986), a larger investment in N to the upper canopy should yield a larger return from whole canopy C assimilation [Ellsworth 1993 canopy]. The supply of water also imposes limits photosynthetic C gain through direct limitations on leaf level physiology. The stomatal resistance to CO<sub>2</sub> uptake is a function of the balance between transpiration losses and leaf water potentials (Farquhar and Sharkey, 1982) and sun leaves frequently experience greater water limitations in the upper canopy (Sellin et al., 2008; Niinemets, 2012). Thus, photosynthetic N investment in the upper canopy will be ineffective in enhancing  $A_n$  if water supply is insufficient (Niinemets, 2012; Peltoniemi et al., 2012). Overall, the allocation of soil resources within the canopy constrains leaf physiology and photosynthetic capacity, thereby regulating the efficiency of CO<sub>2</sub> uptake.

### **Fate of assimilated carbon**

Carbon allocation represents the fraction of net primary productivity distributed to different tissue components above and belowground. In trees, C allocation encompasses investment into tissue biomass production as well as fluxes including respiration, exudation and turnover rates (Litton et al., 2007). The fate of this assimilated C is regulated by the delicate balance between leaf C uptake (source) and the C sink strength of the different biomass pools. For example, the sensitivity of C source and sink activities to water and nutrient availability could lead to an imbalance between C supply and C used for tissue growth and respiration (Faticchi et al., 2014). Additionally, imbalances between source and sink activity can lead to investment into carbohydrates synthesis as a transient C storage sink (Paul and Foyer, 2001).

As woody plants have competing tissue carbohydrate sinks, growth should principally depend on the allocation of leaf C assimilate among different sink organs (Kozlowski, 1992; Lacointe, 2000). In response to changing environmental conditions, however, trees may adaptively shift tissue C allocation to balance growth, storage and C loss. Due to conservation of mass, it is theoretically possible to track the fates of this assimilate from leaf C uptake to their eventual destination in above and belowground pools. Although mass balance approaches can be used to quantitatively assess tree C allocation, few studies so far have been able to provide direct empirical measurements of C allocation among component pools (Klein and Hoch, 2015).

### ***Eucalyptus* tree species as model for research**

Research on *Eucalyptus* trees is ecologically important for Australia as it is the most dominant tree genus (Boland et al., 2006). *Eucalyptus* forests are the continent's most common forest type, covering about three-quarters of Australia's native forests (92 million hectares) and occurring in all but the continent's driest regions (SOFR, 2013). *Eucalyptus* tree species are also economically important globally as they are commonly cultivated in plantations due to fast growth. Despite the fact that only a few *Eucalyptus* species have natural ranges outside continental Australia (Pryor and Johnson, 1981), *Eucalyptus* trees are grown in plantations in over 90 countries (citebooth2013eucalypt). This is because *Eucalyptus* species have been shown to exhibit both adaptive plasticity and genetic specialization to spatial variation in climate (Byrne et al., 2013). Currently, the global plantation area of eucalypts totals nearly 20 million hectares, accounting for around 15 % of the world's total plantation forests (IUFRO, 2015). Consequently, this iconic Australian tree species is an excellent model to investigate strategies of resource allocation in trees facing global climate change.

## **CURRENT KNOWLEDGE GAPS**

### **Resource allocation in trees**

The distribution of assimilated C is a primary determinant of plant growth (Friedlingstein et al., 1999), yet our knowledge of the mechanisms by which allocation is regulated is poor (Poorter et al., 2012). A key issue with drawing generalized conclusions about the plasticity of C allocation in trees is with the inconsistency in terminology used to define C allocation to specific tissue or ecosystem components (Litton et al., 2007). Biomass partitioning should not be confused with the allocation of newly fixed photosynthates to different organs, as the measured biomass at any time point represent the cumulative result of dynamic C allocation

over time (Poorter et al., 2015). This dynamic C allocation includes not only tree parts such as leaves, stems and roots but also respiration, exudation, turnover and transient C storage pools. As C allocation integrates all of these processes, it is extremely difficult using current methods to assess C allocation in whole trees. Disentangling the effects of resource supply on plant C allocation patterns is often assessed across “snapshots” in time, which should be done with caution (Reich et al., 2002). This is because of plants have developmental shifts in biomass partitioning, independent of resource supply, as they age (Müller et al., 2000; Poorter et al., 2015). Additionally, supplies of light and soil resources fluctuate continuously, making equilibrium with C allocation at any “snapshot” highly unlikely (Shipley and Meziane, 2002).

As accurately measuring tree C allocation remains a difficult task, especially belowground, drawing conclusions that are applicable to whole plants or ecosystems remains a challenge. Currently, the representation of C allocation lags behind photosynthesis ( $A_n$ ) in process-based forest models (Friedlingstein et al., 1999; Franklin et al., 2012; Iversen and Norby, 2014) and our understanding of how global change impacts C allocation is incomplete (Litton et al., 2007; Warren et al., 2012). This knowledge gap of C allocation patterns in trees is of major concern due to the potential for forest ecosystems to sequester C in a changing climate. This deficiency requires more empirical data to derive basic principles that drive patterns of tree C allocation in changing environments. However, this will require novel experiments and approaches to better quantify shifts in C allocation above and belowground in future studies.

### **Coupling of photosynthesis and tree growth**

On short timescales,  $A_n$  and respiratory losses may not correlate with growth because of tissue C storage pools. On longer timescales, however, they determine net plant C balance and must correlate to growth. This had led to the long standing debate over how strongly plant growth is controlled by either source or sink activity (Sweet and Wareing, 1966; Körner, 2013). Studies manipulating either source activity ( $\text{CO}_2$  fumigation or defoliation) or sink activity (fruit removal, girdling or low growth temperatures) have not reached consensus when addressing this debate. This uncertainty arises from the difficulty in measuring the balance between C uptake and the fate of assimilated C among pools with long (biomass) or short (carbohydrate storage, respiration, exudation) retention times. When shifts in carbohydrate storage, tissue respiration or turnover rates occur, rates of C assimilation may not correlate with biomass production at a given time point (Rocha et al., 2006; Litton et al., 2007; Gough et al., 2008). To assess this balance will likely require integration of empirical and modelling approaches to assess leaf physiological and whole plant responses to manipulations of source-sink activity. To address this knowledge gap, new approaches are needed to test how interactions between source and sink activity affect the fate of assimilate C across different temporal scales.

### **Within canopy resource utilization**

Due to the relationships between light, N and leaf photosynthetic capacity, it is commonly assumed that a limited availability of N should be distributed proportional to light availability within tree canopies. Observed canopy distribution of N is often less steep than optimal theory suggests, however, with shade leaves having more N than expected based on average light gradients [(Peltoniemi et al., 2012). Additionally, constraints on water distribution from the soil to the upper canopy may negatively impact the distribution of photosynthetic N to canopy light availability (Niinemets, 2012; Peltoniemi et al., 2012). Whether insufficient hydraulic supply results in the observed sub-optimal canopy N gradients has yet to be empirically tested. Assessing leaf C gain as a function of light availability is also made difficult by frequent light fluctuations within a canopy, via sunflecks. Sunflecks cause temporal variation in PPFD that is not taken into account when considering what is optimal for a plant in terms of distributing resources along a gradient of light availability.

Leaves have been proposed to exhibit optimal physiological behavior in order to efficiently utilize and transport resources to maximize  $A_n$  (Thornley, 1972). In trees, leaf physiology often focuses on full sun leaves and relationships between leaf physiological behavior and the availability of N, water and light between sun and shade leaves requires further attention. For example,  $g_s$  has been hypothesized to be distributed within a canopy to utilize supplies of light, N and water to maximize  $A_n$  (Peltoniemi et al.,

2012). In shade leaves, stomata might be expected to be more closed to efficiently use water with generally low  $A_n$ . To date, however, no clear picture has emerged on the relationship between  $g_s$  and  $A_n$  within canopies (Jifon and Syvertsen, 2003; Tissue et al., 2006; Sellin and Lubenets, 2010, see). As mesophyll conductance ( $g_m$ ) also limits  $A_n$ , complex relationships may exist between canopy light gradients, leaf N and  $g_m$ . Unfortunately, a scarcity of values for  $g_m$  within tree canopies (Lloyd et al., 1992; Warren et al., 2003; Piel et al., 2002; Warren et al., 2007, see) hinders our ability to relate individual leaf physiological behavior to optimal canopy C uptake. As the  $CO_2$  drawdown from the atmosphere to the site of carboxylation includes  $g_s$  and  $g_m$ , relationships between  $A_n$  with light availability, N and water within canopies will require the integration of both physiological parameters in future experiments.

## THESIS OBJECTIVES

The overall research goal is to evaluate how trees adjust their growth and physiology to maximize resource uptake and C gain. Specifically, this PhD research addresses knowledge gaps of how tissue C allocation, source and sink regulation and resource distribution affect the coordination between  $A_n$  and whole tree growth. In order to investigate key mechanisms that drive patterns in resource allocation in trees this research was carried out across multiples scales, from leaf isotope discrimination across the photosynthetic  $CO_2$  flux pathway to tissue specific biomass partitioning to total belowground C allocation. Understanding how resource allocation is correlated with individual leaf physiological behavior within tree canopies is crucial in accurately determining the capacity for whole canopy C assimilation, which is the essential resource for tree growth. Aspects of this research use manipulations of key global change factors, including elevated  $CO_2$ , warming and drought to investigate the plasticity of observed physiological and growth responses to future climate scenarios. An improved understanding of how C is allocated within trees will supply much needed empirical data for process-based forest models where C allocation is currently poorly represented.

This research focuses on two Australian tree species, *Eucalyptus tereticornis* and *Eucalyptus saligna*, which have important roles in both native forests ecosystems and as commercial plantation timber. *Eucalyptus tereticornis* is part of the critically endangered Cumberland Plain ecological community and *Eucalyptus saligna* is part of the critically endangered Blue Gum High Forest ecological community, with both communities having fragmented geographic distributions in the Sydney Basin bioregion (Hughes, 2011). Both of these species are part of the “big nine” *Eucalyptus* species group which accounts for more than 90 % of planted *Eucalyptus* forests worldwide (Stanturf et al., 2013). As a result, the core findings of this PhD research have both conservation and commercial applications in addressing the productivity of these two important tree species in the face of global climate change. For example, considerable uncertainty remains as to the magnitude of  $CO_2$  fertilization on this continent as much of the vegetation is already under nutrient and/or water limitation (Hughes, 2003).

This research was conducted using the state of the art Whole Tree Chamber experiment as well as a novel field-based seedlings container study at Western Sydney University. Using the two *Eucalyptus* tree species, fundamental principles of common optimization theories were tested at several tree growth stages. Leaf based data were combined with tissue biomass production and canopy C fluxes to develop a better understanding of how resources are allocated to optimize whole tree growth. Empirical data were also integrated with a seedling growth model to test how resource limitation impacts the coordination between A and growth. Specifically, this thesis aimed to address current knowledge gaps by answering the following main questions:

### 1. Where does the carbon go?

How will biomass partitioning and carbon allocation in *Eucalyptus* trees be affected by global climate change and belowground resource limitation?

### 2. When do photosynthesis and growth not add up?

What do mass balance approaches reveal about the coordination of growth and photosynthesis at different temporal scales?

### 3. Are whole canopies optimized for carbon gain?

How does resource availability within *Eucalyptus* tree canopies interact with dynamic physiology of sun



and shade leaves to maximize canopy carbon gain?

## THESIS OUTLINE

**Chapter 2** was designed to address thesis questions 1 & 2 by manipulating belowground sink strength in *Eucalyptus tereticornis* seedlings, via a range of container sizes, in a novel field-based experimental design. The effects of belowground resource limitation were then used to investigate patterns in biomass partitioning, leaf gas exchange and growth between container treatments and field grown seedlings. Empirically measured gas exchange parameters were then used to model daily C gain for each seedling to test the coordination between the reduction in  $A_n$  and biomass production of seedlings with soil volume restriction. The sensitivity of this model to different C allocation scenarios was used to speculate possible fates of photosynthetic C not accounted for in the default model. Results of this study are then used to address the ongoing debate over source or sink controls of  $A_n$  and growth. The flexibility of this mass balance modelling approach is used to highlight the importance of quantifying C allocation when evaluating the impacts of resource limitation on tree seedling growth.

**Chapter 3** addressed thesis question 3 by combining leaf gas exchange with online C isotope discrimination to measure the responses of sun and shade leaf physiology to light availability. The distribution of leaf N and leaf hydraulic conductance within *Eucalyptus tereticornis* canopies were examined to test if the resources required for  $A_n$  were preferentially invested into sun leaves, as predicted by standard optimal theory, to maximize whole canopy C gain. The physiological capacity of shade leaves to respond to increases in light availability was quantified to determine if shade leaves “wait” for sunflecks. Trees were grown in climate controlled WTCs under ambient and elevated air (+3 °C) temperature treatments to test the impacts of future climate warming on each of these processes. Rarely have relationships between  $A_n$  and both  $g_s$  and  $g_m$  been quantified within tree canopies, thus results from this experiment are used to reveal potential new mechanisms underpinning leaf gas exchange responses to light. Unexpected decreases in water-use efficiency in shade leaves were related to the capacity of inner canopy leaves to rapidly utilize sunflecks. Empirical data from this experiment improves our ability to predict whole canopy C gain by prioritizing both sun and shade leaf physiology, which may be optimized differently.

**Chapter 4** addresses thesis questions 1 & 2 by quantifying high resolution net canopy photosynthesis measurements and C allocation in *Eucalyptus saligna* trees grown under interacting drought and elevated CO<sub>2</sub> treatments. The unique WTC experimental design measures cumulative net aboveground C fluxes which were compared to canopy leaf area and tree biomass production. A novel framework was also applied to calculate a more reliable estimate of the sensitivity of TBCA to global climate change. I then evaluated how interacting climate change factors impacted C allocation to above and belowground pools through time. Results from this experiment emphasize the need to correctly define individual aspects of tree C allocation and separate impacts on measured biomass from other components of C allocation when evaluating tree growth responses. As empirical measurements of C allocation are difficult to obtain, especially with belowground processes, these results provide much needed empirical data to validate process-based model where C allocation is represented.

**Chapter 5** presents the synthesis and outlook of the major findings in my PhD research as they relate to each main thesis question. First, shifts in C allocation likely occurred as these two *Eucalyptus* species were impacted by changing environments, even though biomass partitioning of harvested trees remained relatively conserved. Combined results from Chapters 2 & 4 are used to discuss how observed responses of biomass partitioning and C allocation correspond to prevailing theory and how these mass balance approaches have improved our understanding of the investment of photosynthetic C in trees. Second, I show that coupling between total C gain and tree growth can be disrupted over shorter experimental time frames, while over longer time scales they are strongly correlated as a function of leaf area. Results from Chapter 2 use empirical data and modelling approaches to address the current debate over source and sink control over seedling growth, while Chapter 4 is used to discuss how unique measurements of net canopy photosynthesis correlate to tree productivity under future climate scenarios. Last, I show that sun and shade leaves exhibit different physiological behavior in order to utilize differential availability of external resources within *Eucalyptus tereticornis* canopies. Results from Chapter 3 are used to show that shade leaf physiology is likely optimized differently from sun leaves in order to respond to sunflecks, which has

important consequence for current theories regarding how resources are allocated to optimize canopy C gain.

### **Reproducible Research**

Science is driven by data, yet it is a challenge to ensure that reported experimental data are appropriately described, standardized, archived and openly available (Hanson et al., 2011). Reproducibility serves as a minimum standard for judging scientific claims when full independent replication of a study is not possible, which should include making available the data and the computer code used to analyze the data (Peng, 2011). Not only does creating reproducible research increase the reliability and credibility of one's findings, but it encourages the engagement of the scientific community to advance new research ideas. Every aspect of this PhD research attempts to adhere to these key principles of reproducibility. Raw data and code for each experimental chapter are located in an easily accessible online repository. This entire thesis is also compiled as a reproducible document, and is made available for open access. As a result, all necessary information required to reproduce this thesis, in its entirety, are located in repositories at <https://github.com/CourtneyCampany>.

## **CHAPTER 2**

### **Below-ground sink limitation alters growth and carbon balance of Eucalyptus seedlings**

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Table 1: Responses of plant and leaf characteristics of *Eucalyptus tereticornis* seedlings to soil volume treatments. Each value reflects the mean( $\pm$ 1 standard error) for each treatment. Seedling mass and leaf  $\delta^{13}\text{C}$  values are from final harvest. Values of leaf starch, sugars, nitrogen and SLA represent overall means across measurement campaigns (n=6). Different letters represent significant differences between treatments. The volume effect P value represents the overall difference between seedlings with soil volume restriction and the control seedlings.

Volume (L)	Seedling mass (g)	SLA <sub>TNC-free</sub> (m <sup>2</sup> kg <sup>-1</sup> )	Leaf Starch (%)	Leaf Sugars (%)	Leaf Nitrogen	TNC-free (%)	Leaf $\delta^{13}\text{C}$ (‰)
5	14.8 (1.82) a	11.8 (0.32) a	12.7 (0.97) b	6.4 (0.28) a	1.3 (0.03) a		-30.1 (0.26) a
10	20.0 (2.38) ab	11.7 (0.31) a	9.4 (0.75) ab	6.7 (0.25) a	1.5 (0.04) ab		-30.2 (0.25) a
15	25.4 (2.49) ab	12.7 (0.48) a	7.3 (0.73) a	7.2 (0.28) a	1.6 (0.07) ab		-30.3 (0.36) a
20	23.4 (1.63) ab	11.8 (0.37) a	9.5 (0.88) ab	6.6 (0.26) a	1.7 (0.06) ab		-29.7 (0.28) a
25	30.4 (5.49) ab	12.4 (0.40) a	9.8 (0.71) ab	6.9 (0.24) a	1.6 (0.07) ab		-29.7 (0.25) a
35	52.2 (9.55) b	13.5 (0.46) ab	9.8 (0.65) ab	6.8 (0.22) a	1.8 (0.08) b		-30.6 (0.38) a
Free	174.5 (18.02) c	15.1 (0.47) b	6.8 (0.65) a	7.4 (0.25) a	2.7 (0.09) c		-30.0 (0.34) a
Volume Effect (P value)	0.001	0.001	0.029	0.125	0.001		0.372

Table 2: Responses of root characteristics of *Eucalyptus tereticornis* seedlings to soil volume treatments. Each value reflects the mean ( $\pm 1$  standard error) for each treatment. All values are from the final harvest. Values for FRLD were only calculated for seedlings in containers as free seedlings had potentially unlimited soil volume to exploit. Different letters represent significant differences between treatments. The volume effect P value represents the overall difference between seedlings with soil volume restriction and the control seedlings, except for FRLD which represents only differences between seedlings in containers.

Root Nitrogen (%)	SRL (cm m <sup>-1</sup> )	FRLD (m dm <sup>-3</sup> )	NA
5	0.78 (0.04) ab	73.0 (6.73) ab	36.4 (5.68) bc
10	0.75 (0.02) a	99.6 (8.70) b	45.9 (8.68) c
15	0.71 (0.02) a	74.6 (6.98) ab	20.9 (1.51) ab
20	0.76 (0.04) a	85.8 (7.37) ab	23.0 (3.09) ab
25	0.74 (0.02) a	82.5 (15.02) ab	24.7 (7.58) ab
35	0.77 (0.03) ab	63.1 (6.47) a	13.3 (1.98) a
Free	0.90 (0.03) b	50.9 (5.00) a	
Volume Effect (P value)	0.017	0.009	0.001

Table 3: Responses of leaf level gas exchange parameters of *Eucalyptus tereticornis* seedlings to soil volume treatments. Each value reflects the mean ( $\pm 1$  standard error) for each treatment.  $A_{\max}$ ,  $R_{\text{dark}}$  and  $g_s$  are each measured at 25°C. Values of  $A_{\max}$ ,  $g_s$  and  $g_1$  represent overall means across measurement campaigns (n=6).  $R_{\text{dark}}$ ,  $J_{\max}$  and  $V_{C_{\max}}$  values are means of two measurement campaigns at beginning and end of gas exchange measurements. Different letters represent significant differences between treatments. The volume effect P value represents the overall difference between seedlings with soil volume restriction and the control seedlings.

Volume (L)	$A_{\max}$ ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ )	$R_{\text{dark}}$ ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ )	$J_{\max}$	$V_{C_{\max}}$	$g_s$ ( $\text{mol m}^{-1} \text{s}^{-1}$ )	$g_1$
5	21.2 (0.9) a	0.61 (0.04) a	104.5 (3.3) a	63.3 (2.5) a	0.30 (0.009) a	5.1 (0.14) bc
10	22.3 (1.4) ab	0.79 (0.06) a	116.5 (7.5) a	69.4 (4.7) a	0.36 (0.009) ab	5.4 (0.10) cd
15	23.3 (1.2) ab	0.70 (0.05) a	125.4 (7.8) a	80.8 (5.1) ab	0.42 (0.010) ab	5.8 (0.14) d
20	26.1 (0.7) b	0.73 (0.11) a	131.5 (8.6) a	82.1 (4.7) ab	0.37 (0.011) ab	4.9 (0.12) ac
25	23.9 (0.9) ab	0.53 (0.13) a	132.8 (13.1) a	79.0 (8.7) a	0.30 (0.009) a	4.5 (0.14) a
35	25.0 (1.0) ab	0.61 (0.04) a	127.2 (6.1) a	82.4 (3.6) a	0.31 (0.011) a	4.4 (0.15) a
Free	33.1 (0.7) c	0.64 (0.07) a	169.0 (8.2) b	100.4 (3.3) b	0.44 (0.011) b	4.5 (0.14) ab
Volume Effect (P value)	0.001	0.269	0.004	0.005	0.007	0.001

Table 4: Seedling growth model default parameters.

Variable	Description	Default, Value	Units	Source
$L_{area}$	initial leaf area	0.035	$m^2$	this study
$L_{mass}$	initial leaf mass	3.45	g	this study
$S_{mass}$	initial stem mass	1.51	g	this study
$R_{mass}$	initial root mass	0.99	g	this study
$\epsilon$	biomass conversion efficiency	0.65	$g\ C\ g\ mass^{-1}$	M <sup>?</sup> kel? (1997)
$R_{coarse}$	coarse root respiration	0.00124	$g\ C\ g\ root^{-1}\ d^{-1}$	Marden et al. (2008)
$R_{fine}$	fine root respiration	0.01037	$g\ C\ g\ root^{-1}\ d^{-1}$	Ryan et al. (2010)
$R_{stem}$	stem respiration	0.00187	$g\ C\ g\ stem^{-1}\ d^{-1}$	Drake et al. (unpublished)
$C_{day}$	daily leaf carbon assimilation	5.4 - 7.6	$g\ C\ m^{-2}\ d^{-1}$	this study
$\lambda$	leaf or root turnover	1	$yr^{-1}$	theoretical

## **CHAPTER 3**

**Rapid response of mesophyll conductance to light availability allows shade leaves to take advantage of sunflecks**

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Table 5: *Eucalyptus tereticornis* leaf morphological and physiological traits between full sun and shade leaves under ambient and elevated temperature treatments. Leaf mass per area, N extsubscripta,  $\delta^{13}\text{C}$ ,  $\Psi_{\text{pd}}$ ,  $\Psi_1$  and K extsubscriptl values represent treatment mean ( $\pm 1$  standard error) across measurement campaigns (n=6). Values of  $V_{\text{Cmax}}$  and  $J_{\text{max}}$  are treatment mean ( $\pm 1$  standard error) from  $\text{AC}_i$  curves measured in each chamber at saturating light. Units of LMA and Leaf  $N_{\text{area}}$  are  $\text{g m}^{-2}$ ,  $K_i$  is  $\text{mmol m}^{-2} \text{s}^{-1} \text{MPa}$ , WP is MPA, WP is MPA, WP represents significant differences between leaf type and temperature treatments. The P value represents the overall effect between each unique combination of leaf type and temperature treatment for each trait.

Leaf	Temperature	LMA	$N_a$	$V_{\text{Cmax}}$	$J_{\text{max}}$	K	WP <sub>pre</sub>	WP <sub>mid</sub>	$\delta^{13}\text{C}$
Sun	AT	114.1 (4.5) a	2.63 (0.08) b	96.0 (6.7) b	141.6 (7.5) b	1.69 (0.18) a	-0.32 (0.03) a	-1.60 (0.10) a	-28.1 (0.18) b
	ET	109.9 (4.8) a	2.60 (0.09) b	95.5 (6.6) ab	148.3 (11.8) b	1.79 (0.15) a	-0.32 (0.02) a	-1.70 (0.09) a	-28.3 (0.17) b
Shade	AT	118.3 (4.4) a	2.13 (0.07) a	73.3 (6.4) a	102.1 (6.9) a	1.70 (0.13) a	-0.27 (0.02) a	-1.50 (0.09) a	-29.9 (0.17) a
	ET	113.1 (4.3) a	1.88 (0.06) a	77.6 (4.9) ab	106.2 (6.5) a	1.78 (0.14) a	-0.30 (0.02) a	-1.60 (0.11) a	-30.4 (0.22) a
P value		0.785	0.001	0.028	0.002	0.001	0.3486	0.6385	0.973

Table 6: Responses of *Eucalyptus tereticornis* leaf gas exchange parameters for sun and shade leaves under ambient and elevated temperature treatments. Each value reflects the mean ( $\pm$  1 standard error) for each treatment across gas exchange campaigns (n=6). Units for A and E are  $\mu\text{mol m}^{-2} \text{s}^{-1}$ , for  $g_s$  and  $g_m$  are  $\text{mol m}^{-2} \text{s}^{-1}$  and for VPD are kPa. Different letters represent significant differences between leaf type, light environment and temperature treatments. The P value represents the overall effect between each unique combination of leaf type, light environment and temperature treatment for each parameter.

Leaf	Light	Temperature	A	g <sub>s</sub>	g <sub>m</sub>	ITE	E	VPD	C <sub>i</sub>	C <sub>c</sub>
Sun	High	AT	13.5 (0.3) b	0.122 (0.005) a	0.163 (0.005) c	8.26 (0.48) b	1.78 (0.07) a	1.60 (0.04) ab	179.8 (3.2) a	92.2 (2.9) a
		ET	13.1 (0.3) b	0.123 (0.005) a	0.153 (0.007) bc	6.57 (0.39) ab	2.21 (0.09) a	1.90 (0.05) b	187.9 (2.9) a	92.2 (2.8) a
Shade	Low	AT	10.4 (0.1) a	0.150 (0.005) a	0.117 (0.004) ab	6.24 (0.50) a	1.93 (0.07) a	1.40 (0.04) a	255.4 (3.8) b	160.0 (4.1) c
		ET	10.0 (0.1) a	0.146 (0.005) a	0.116 (0.004) a	5.43 (0.51) a	2.23 (0.09) a	1.60 (0.05) a	253.8 (4.1) b	160.3 (3.5) bc
	High	AT	18.1 (0.3) c	0.255 (0.007) b	0.184 (0.003) c	5.85 (0.33) a	3.42 (0.12) b	1.40 (0.04) a	237.4 (2.2) b	137.4 (1.9) b
		ET	16.7 (0.2) c	0.246 (0.009) b	0.177 (0.003) c	5.02 (0.35) a	3.81 (0.15) b	1.70 (0.04) ab	238.1 (3.2) b	141.7 (2.8) bc
P value			0.001	0.001	0.001	0.001	0.005	0.001	0.001	0.001

## **CHAPTER 4**

### **Elevated atmospheric CO<sub>2</sub> and drought alter carbon allocation above but not belowground in *Eucalyptus saligna***

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**SUPPORTING INFORMATION**

Table 7: Final harvest C mass of above and belowground tissues, cumulative aboveground tree C uptake ( $F_{c,T}$ ) and specific leaf area (SLA). Each value represents the mean ( $\pm 1$  standard error) for each treatment combination. Units for C mass and  $F_{c,T}$  are g C, while SLA are  $\text{cm}^2 \text{g}^{-1}$ . For each variable, different letters represent significant differences between treatments from the overall model which includes  $\text{CO}_2$  \* drought interactions. P values represent overall differences of  $\text{CO}_2$  or drought main effects and the  $\text{CO}_2$  \* drought interaction.

Treatment	Bole	Branch	Leaf	Litter	Root	Tree C.flux	SLA
aCO <sub>2</sub> -dry	5231.8 (687.0) b	2799.2 (628.3) a	2642.8 (370.7) a	1129.8 (336.0) a	3052.9 (500.2) a	19394.2 (2169.5) a	83.2 (3.3) a
aCO <sub>2</sub> -wet	7785.1 (267.1) ab	3154.6 (687.1) a	3254.2 (393.5) a	1043.1 (47.3) a	3677.4 (316.8) a	23556.5 (1689.0) a	87.9 (2.8) a
eCO <sub>2</sub> -dry	4080.5 (682.5) a	1926.0 (369.4) a	2232.1 (235.4) a	889.4 (82.6) a	2518.7 (481.6) a	14620.7 (3456.2) a	70.6 (3.6) a
eCO <sub>2</sub> -wet	4026.3 (783.3) a	1856.8 (474.5) a	2358.3 (473.6) a	919.0 (244.3) a	2213.9 (705.8) a	15197.9 (3253.5) a	81.8 (6.1) a
CO <sub>2</sub> effect (P)	0.005	0.086	0.122	0.417	0.091	0.044	0.053
Drought effect (P)	0.085	0.803	0.358	0.897	0.766	0.413	0.089
CO <sub>2</sub> * Drought (P)	0.075	0.712	0.539	0.792	0.397	0.532	0.454

## CHAPTER 5

### SYNTHESIS AND CONCLUSIONS

#### SYNTHESIS

It has long been recognized that resources limit plant growth in different environments, at different life stages and individual plant processes are limited by different resources (Bazzaz et al., 2000). Consequently, a quantitative understanding of how plants gain and allocate resources is necessary to predict their success in any environment [Mooney 1972 carbon]. In this thesis, resources allocated for growth in *Eucalyptus* tree species are classified into two distinct groups. The first group consists of environmental plant resources, such as N and H<sub>2</sub>O, that are captured, distributed and utilized to drive rates of leaf photosynthesis ( $A_n$ ) and thus tree C gain. These C assimilates comprise the second group, which are the essential internal resource required for tissue growth, storage and to fuel respiration. These two resource groups are inextricably linked and interact to define plant growth across spatial and temporal scales. For example, the C expended in acquiring N makes up a significant fraction of the total energy a plant consumes, while leaf N investment constrains photosynthetic capacity (Chapin et al., 1987). In trees, rates of  $A_n$  will then depend on the photosynthetic light response of individual leaves and the energetic trade-offs of gas exchange related to transpiration and water supply (Givnish, 1988).

The research presented in this thesis was designed to investigate resource allocation in trees at individual tissue and whole plant scales in *Eucalyptus*. I sought to address theories of plant functional balance by testing biomass partitioning in seedlings and trees undergoing various environmental manipulations. As observed biomass production may not necessarily reveal shifts in plant functional responses to environmental change, I evaluated the sensitivity of the allocation of photosynthate above and belowground across different temporal scales. Using mass balance approaches I then tested the coordination between growth and  $A_n$ , using leaf gas exchange parameters in seedlings and measurements of net canopy C gain in trees. To help bridge the knowledge gap between leaf and canopy C gain I investigated the distribution of N and H<sub>2</sub>O as a function of light availability within canopies and the effect this has on individual leaf physiology. By utilizing novel experimental approaches, evidence from this work improves our understanding of functional processes that determine the net C uptake of trees and then how this assimilated C is used to fuel growth. The contribution of this body of work provides fundamental evidence underlying resource allocation in ecologically and commercially important *Eucalyptus* tree species.

#### Where does the carbon go?

This thesis question arises from large uncertainties that remain regarding fundamental processes which affect terrestrial C cycling. The question “Where does the carbon go?” arises from the need to track the fate of C from canopy  $A_n$  to determine the contribution of forests ecosystems to C cycling (Litton et al., 2007). Currently, empirical data on C allocation are critical to the further development of forest models and subsequent predictions of global C balance under climate change (Franklin et al., 2012). Growth responses during early phases of tree establishment (seedlings or young trees) to changes in soil resource availability or climate change factors will likely depend on shifts in the plant C budget to balance growth, respiration and storage. Consequently, understanding environmentally driven shifts in C allocation in young *Eucalyptus* trees will be crucial to manage their fitness in fragile native ecosystems and their productivity in terms of timber production and quality in forestry systems.

First, I examined patterns in biomass partitioning of *E. tereticornis* seedlings with belowground resource limitation (Chapter 1) and with *E. saligna* trees exposed to eC<sub>a</sub> and drought treatments (Chapter 2). Across these studies, partitioning of biomass largely followed allometric trajectories related to plant size, nearly independent of treatment manipulation. Partitioning to roots, leaves and stems in *E. tereticornis* seedlings was conserved across a ten-fold variation in seedling biomass with and without soil volume restriction. During this early growth stage, these results infer that growth inhibition from reduced belowground sink strength did not elicit a functional partitioning response. With much larger 2 year old *E. saligna* trees, grown in WTCs, differences in partitioning to stem biomass were detected between aC<sub>a</sub> and eC<sub>a</sub> treatments. These

patterns were also attributed to size dependent relationships associated with ontogeny (Poorter et al., 2015, see), rather than a direct functional response to  $eC_a$ .

Combined results from these two experiments argue against traditional views of plant functional balance in the context of observed biomass production. These theories posit that plants will “optimally forage” for the most limiting resource, thus shifts in biomass partitioning should occur. However, adaptive plant responses may not include changes in biomass production at any given “snapshot” in time. This makes tracking C allocation to processes other than observed biomass just as important in assessing overall responses to manipulations of resource availability. Here, empirical and modelling evidence from Chapters 2 & 4 reveal that detection in shifts of tissue C allocation were necessary to interpret whole tree response to environmental manipulations. For *E.tereticornis* seedlings, modelling results infer that increases in C allocation to pools other than biomass were required to fully explain the effects of soil volume restriction on seedling growth. For *E.saligna* trees, increased leaf C demand under  $eC_a$  treatments resulted in higher C allocation to leaves without altering observed leaf biomass production. Overall, the ability to distinguish biomass production from C allocation across tissues reveals that alternate explanations are likely need to interpret the degree in which trees strive to maintain functional balance.

Alternatively, shifts in tissue morphology, metabolism or turnover to alter resource uptake or loss [Reich2002root], increased root exudation to alleviate resource limitation [Phillips2011enhanced] or increased C allocation to storage (Sala et al., 2012; Dietze et al., 2014) may be used to balance trade-offs between tissue sink strength, resource availability and source C supply. Partial evidence for these 'non-biomass' responses were evident in *E.tereticornis* seedlings in research presented in Chapter 2. Increases in specific root length were detected in some, but not all, of seedlings with soil volume restriction. Modelling results also revealed that increases in tissue respiration rates were a possible mechanism to account for the oversupply of C not allocated to biomass. Increases in leaf carbohydrate storage were correlated with reduced belowground sink strength in these seedlings, and it is possible that C storage increased in other tissues. Although not measured, root exudation may have increased in response to adverse poor quality soil conditions with *E.tereticornis* seedlings in containers or in *E.saligna* trees under  $eC_a$  to meet resource demand, but was not explicitly measured.

The ability to compare biomass partitioning with aspects of C allocation across multiple experiments highlights how partial accounting of C may lead to erroneous conclusions regarding adaptive plant responses to changing environments. Overall, these results reveal why studies using only biomass partitioning to assess functional balance or allometric based theories have mixed results. Additionally, shifts in above but not belowground C allocation *E.saligna* trees disagrees with the common observation of enhancement of belowground processes in other trees species under  $eC_a$  (Palmroth et al., 2006; Iversen and Norby, 2014, see). Shipley and Meziane (2002) states that it is more appropriate to state that plants shift biomass allocation to reduce imbalances between leaf source activity and tissue resource acquisition. Collectively, results from this research tend to agree with this conclusion, with the caveat that the concept of allocation must be extended to include fates of C other than measured biomass. Consequently, we agree with Poorter et al. (2012) that understanding C allocation above and belowground requires a better understanding of the interactions between tissue source and sink activity at any time point. In order to fully understand the impact environmental change has on forest productivity approaches to quantify patterns in C allocation must be prioritized in future studies.

### **When do photosynthesis and growth not add up?**

This thesis question addresses the debate over how strongly plant growth is controlled by either source or sink activity, which may disrupt the coordination between  $A_n$  and growth at different temporal scales. Assimilated C is first allocated to provide sufficient sucrose for the immediate demands of the plant during the day, and sufficient starch to meet 'anticipated' demands during the following night (Smith and Stitt, 2007). The C demands for each tissue, referred to as tissue C sinks, determine the C budget for the entire plant and regulate C allocation. Despite competition among highly integrated C sinks, woody plants also maintain storage carbohydrate pools as C reserves (Kozlowski, 1992). Understanding the coordination between plant growth and  $A_n$  thus requires mass balance approaches to quantify the fractions of C supply allocated to growth, storage and respiration of different organs. Reductions in tissue sink strength have been shown to signal the down regulation of  $A_n$ , which can lead to increased starch synthesis for storage (Sage, 1994; Kitao

et al., 2007). This had led to support for the argument that increased shifts to C storage will compete with C available for plant growth (Chapin et al., 1990), which may then disrupt the coordination between  $A_n$  and growth at short time scales.

To address this thesis question the belowground sink strength of *E. tereticornis* seedlings was manipulated, through container size treatments, to test the effects of putative sink limitation on  $A_n$  and leaf TNC production (Chapter 1). Empirical results and modelling approaches were combined to test the coordination of A and growth of seedlings with and without soil volume limitation over 120 days. First, apparent reductions in belowground sink strength negatively impacted leaf N content and photosynthetic capacity, while leaf starch increased. These results support other findings where manipulation of tissue C sinks leads to carbohydrate accumulation and photosynthetic down regulation (Hoch et al., 2002; Iglesias et al., 2002; Equiza et al., 2006; ?; Haouari et al., 2013). Second, large reductions of harvested biomass in seedlings with soil volume limitation initially suggested that observed reductions in  $A_n$  and growth were tightly linked. As previously shown in thesis Question 1, however, partial accounting of C allocation could lead to premature conclusions regarding this linkage. Importantly, using measured reductions in  $A_n$  with a mass balance seedling growth model largely over-predicted biomass production from observed results. These findings reveal that not only can  $A_n$  and growth not add up when belowground sink strength changes but other mechanisms, beyond  $A_n$  and carbohydrate accumulation, must now be explored to explain growth responses.

At long enough time scales, however,  $A_n$  and respiratory losses together determine net C balance and must be coordinated to plant growth. Consequently, we need to evaluate if trade-offs between storage and growth actually matter for long term C balance of trees (Palacio et al., 2014). The difficulty in measuring total canopy C uptake and the allocation of this assimilate to different tissue sinks currently impedes the ability to quantify whole tree C balance through time. Combining allometric approaches to estimate growth with seasonal variation in carbohydrates of stem wood and roots, Genet et al. (2010) found contrasting results with the C balance between storage and growth across a chronosequence of stand age. Utilizing the novel WTC experimental design, I sought to address this knowledge gap by applying a simple mass balance approach with *E. saligna* trees (Chapter 4). Empirical measurements of net cumulative C uptake were correlated with whole tree C mass, which integrates the total allocation of C to growth and storage over an 11 month period. This simplified method allows for the coordination of  $A_n$  and growth to be tested with minimal issues in accounting for C retention in tissues through time. During this time period, total tree C mass was strongly correlated to net canopy photosynthetic C gain across a 2.5 fold range in tree size. Even though the the C balance between growth and storage was likely disrupted by  $eC_a$  in these trees, it did not affect the overall coordination between C supply and growth over 1 yr. Overall, results from Chapters 2 & 4 highlight how utilization of C mass balance improves our ability to explore mechanisms in which source and sink activity feedback to tree growth. Although I show that answers to the debate regarding the coordination of allocation of C to storage and growth requires a deeper understanding C allocation, it appears that whole canopy assimilation and tree growth are tightly coordinated over long periods.

### **Are whole canopies optimized for carbon gain?**

Scaling from single leaf photosynthetic performance to net canopy assimilation is difficult because of concomitant variations in environment and foliage physiology and structure (Niinemets and Anten, 2009). The ability to estimate whole canopy C gain involves knowledge of the non-linear responses of  $A_n$  to light between shaded and sunlit leaves (De Pury and Farquhar, 1997; Linderson et al., 2012), which requires the ability to differentiate light energy utilization, environmental resource distribution, physiological behavior and CO<sub>2</sub> fluxes within tree canopies (Dai et al., 2004; Peltoniemi et al., 2012; Niinemets, 2012). Theory suggests that interactions between traits which influence  $A_n$  and transpiration should interact to determine optimal patterns of behavior for whole plant C gain (?). Theories of optimal resource allocation and leaf physiological behavior have been developed (Cowan and Farquhar, 1977; Medlyn et al., 2011; Peltoniemi et al., 2012) and subsequently tested (Wright et al., 2003; H  roult et al., 2013; Prentice et al., 2014; Lin et al., 2015) across different ecosystems and plant functional types. This thesis question arises because optimal leaf physiology is commonly assessed for seedlings or 'full sun' leaves, thus our understanding of how resource allocation and individual leaf physiology interact to maximize net canopy C uptake is surprisingly limited. Seeking answers to ecological questions such as "Where does the carbon go?" and "When do photosynthesis and growth not add up?" first requires an understanding of "Where is the carbon fixed?"

Theories of leaf economic strategies are often used to describe the patterns in which resources are distributed in order for plants to optimize  $A_n$  (Wright et al., 2003). In this economic framework, I first evaluated how N and water supply were distributed in relation to photosynthetic capacity within *E. tereticornis* tree canopies (Chapter 3). Leaf N and photosynthetic capacity were found to be highest in full sun leaves, which agree with conventional theory that resources for  $A_n$  should be preferentially invested relative to light availability. Overall, higher measured rates of  $A_n$  in full sun leaves compared to shade leaves implies that N resources were invested to maximize source activity in upper canopy full sun leaves. The distribution of leaf hydraulic conductance, however, was not correlated with canopy N gradients or  $A_n$  between sun and shade leaves. It was therefore necessary to further investigate relationships between leaf physiology, carbon uptake and water-use efficiency (WUE) across leaf types.

It has been previously hypothesized that stomatal conductance ( $g_s$ ) should be distributed within a canopy to utilize supplies of light, N and water to maximize  $A_n$  (Peltoniemi et al., 2012). Under ambient light conditions  $g_s$  was consistently higher in shade leaves despite lower rates of  $A_n$ . The resultant inefficient water use in shade leaves suggests that stomatal behavior may be optimized differently within tree canopies. Pearcy and Way (2012) theorize that shade leaves may have mechanisms to enhance sunfleck use, including changes in induction through enzyme regulation or stomatal opening. Our data agree with Tausz et al. (2005) that sustaining higher  $g_s$  may be a strategy to efficiently utilize sunflecks through reduced stomatal response time. This strategy, however, does not guarantee increased leaf C uptake as mesophyll conductance ( $g_m$ ) may still limit  $A_n$ . Under high light conditions  $g_m$  and  $A_n$  responded rapidly in shade leaves, leading to leaf C gain of greater magnitude than sun leaves. Rarely have relationships between  $A_n$  and both  $g_s$  and  $g_m$  been quantified within tree canopies, thus I reveal a possible new mechanism of how leaf physiological behavior responds to light. These findings show that resources may also be distributed within a canopy to utilize sunflecks and that both  $\text{CO}_2$  resistance pathways must be accounted for when evaluating leaf behavior to optimize canopy C gain.

## CONCLUSIONS

### General conclusions

The diversity and non-linearity of plant ecophysiological processes poses challenges in predicting and analyzing structure and function of ecological systems (Field, 1983). These processes include complex strategies in ways plant uptake, distribute and utilize resources for growth in fluctuating environments. I examined how these resources, in the context of external environmental resources and new C assimilate, are allocated to fuel growth in both current and future climate conditions. This thesis work demonstrates that quantifying the underlying processes defining tree growth requires knowledge of the feedbacks between leaf source activity and tissue sink strength, which are both constrained by resource availability. When addressing the fates of assimilated C across multiple experiments it was determined that biomass partitioning patterns did not support theories of “optimal foraging” when faced with  $eC_a$ , drought, or belowground resource limitation. If trees strive to maintain functional balance, this collective research indicates that quantifying shifts in C allocation beyond biomass production are the key to unraveling adaptive responses. Additionally, I show how measuring shifts in C allocation are now necessary to gain new perspective regarding sink and source controls of growth and  $A_n$ . This thesis research advocates for continued use of C mass balance approaches which include empirically measured or accurately modeled whole plant net C uptake. As this research presents new strategies in which tree canopies are optimized for C gain, further investigation of resource allocation and leaf physiological behavior within canopies should be prioritized to advance predictions of tree C gain. It will be the ability to quantify cumulative plant C gain through time combined with continued exploration of the fate of assimilated C that will allow future research to elucidate plant responses to environmental change beyond “snapshots” in time.

### *Eucalyptus* forests

A goal of this thesis was to contribute to the knowledge of the physiological ecology of *Eucalyptus* tree species to aid in understanding the susceptibility of threatened native forest ecosystems and the productivity of commercially important tree species to future climate change. First, findings related to the response of



shade leaf physiology to dynamic light environments contributes to the overall understanding of canopy C gain in *Eucalyptus* trees. This utilization of sunflecks may play a critical role in productivity of *Eucalyptus* open-forests, specifically dry sclerophyll forests, in Australian ecosystems. Canopy cover in these open forest types likely allow for frequent sunflecks of high intensity at varying lengths. Additionally, many *Eucalyptus* species are characterized by steep leaf angles which can alter light penetrating the canopy, leaf physiology, radiation loads and C gain (Cowan, 1981; King, 1997; James and Bell, 2000; Falster and Westoby, 2003). Integration of these research findings with the functional role of leaf orientation may explain how *Eucalyptus* trees maintain positive C and energy balance in resource poor ecosystems and may be applicable to improve commercial stand productivity through thinning or pruning.

Second, aspects of leaf physiology and C allocation in these *Eucalyptus* trees species were less sensitivity to manipulations of warming,  $eC_a$  and drought than hypothesized. *Eucalyptus* trees are often characterized as being highly adaptable in order to cope with Australia's prevailing climate and soils. It is possible that this adaptability plays a role in the observed stability of ecophysiological processes across the duration of these experiments (months to years). Consequently, warming treatments and simulated droughts may not have been of large enough magnitude to elicit functional plant response within experimental time frames. However, these results should by no means be used to conclude that Australian forest ecosystems are overly resilient to future climate regimes. Future climate scenarios predict increased frequency of extreme daily temperatures, heat waves, and limited water resources due to higher temperature and decreased rainfall in Australia (IPCC, 2014). Importantly, this research emphasizes that further empirical data quantifying C allocation to specific tissue, flux and ecosystem pools are critical in uncovering the drivers of tree responses to climate. Continued investigation of the cumulative impacts of  $eC_a$ , warming and drought on *Eucalyptus* tree growth and fitness, such as the WTC experiments, will develop our ability to predict "tipping points" for Australian forest ecosystems under future climate change.

## **APPENDIX A: SUPPLEMENTARY FIGURES AND TABLES**

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