Assignment 2

Submitted by

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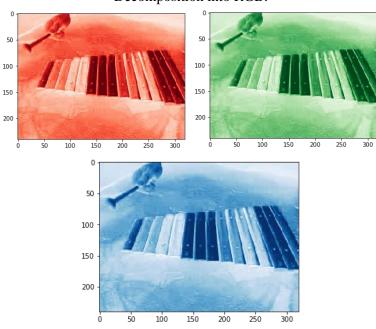
Suchet Bhalla, 2022EET2088

1. Luminance, Chrominance red & Chrominance blue, aka Y Cr Cb

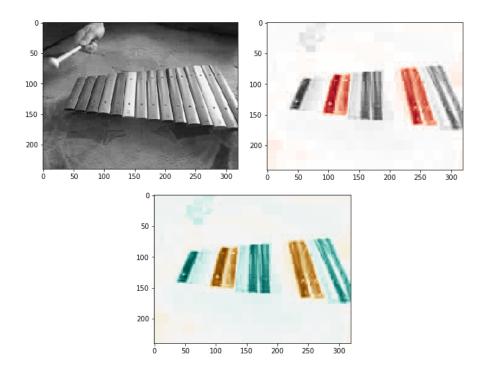
- **Q.** What is Y Cr Cb?
- **A.** We know that an image can be represented as RGB channels. Similarly, YCrCb is a representation of an image. Most of the information is captured in the Y channel, as a grayscale image. The Cr & Cb channels contain information to add colour to the Y channel, to recreate the RGB image. The following images display these representations.

Frame (at t= 0)

Decomposition into RGB:



Decomposition into Y Cr Cb:



2. MPEG coding: Motion-compensated interframe prediction & Discrete Cosine Transform

- **Q.** What is *predictive encoding*?
- **A. Motivation**: A video is composed of a sequence of images aka *frames*. Typically, many frames are similar, i.e., there is *temporal redundancy* between (multiple) sub-sequences of (successive) frames. This redundancy can be utilized to store & transmit a video with lesser bits.

Concept: We label each frame as I, P or B. A frame which is kept unchanged is called an I-frame. A P-frame is composed of *error* signals, this error is the difference between the preceding I-frame & current I-frame. By adding this P-frame to the preceding I-frame, we can recreate the current I-frame. If there is temporal redundancy between 2 successive frames, then the mean error will be (about) 0 & standard deviation in error will also be low. Thus, by using a *variable length encoding*, a P-frame can be encoded with lesser bits. A B-frame is formed from a preceding & a succeeding I-frame.

To further utilize *temporal redundancy*, we applied *predictive encoding* with <u>motion compensation</u>.

Q. What is *motion compensation*?

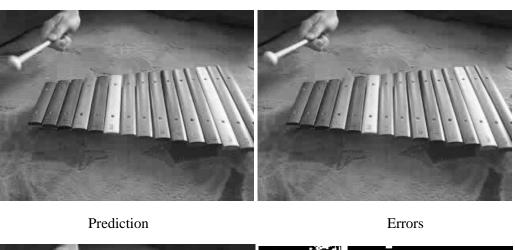
- **A.** We shall explain this concept with an example. Consider 2 successive frames with high *temporal redundancy*, F₁ & F₂, of dimensions 240 x 320.
 - **a.** Macroblocks: We segregate $F_1 \& F_2$ into macroblocks of size 16x16.
 - **b.** Motion vectors: Then, we displace each macroblock in F₁ horizontally & vertically, to best resemble a 16x16 sub-frame (not macroblock) in F₂. By doing this for each macroblock in F₁, we create a prediction of F₂, say F'₂. The displacement of each macroblocks is called a motion vector. Now, we have a matrix of motion vectors of size 30 x 40.

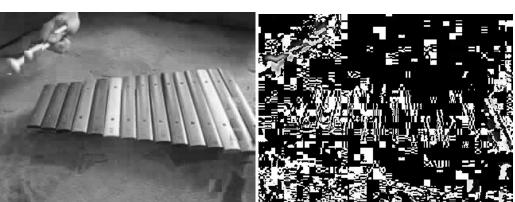
- **c.** P-frame: We calculate the error between F'₂ & F₂, say E.
 - a. And, By applying motion vectors to macroblocks in F₁, we create F'₂, then
 - b. By adding F'_2 & E, we recreate F_2 exactly.

Because, F_1 & F_2 have high *temporal redundancy*, we expect the error to be low & the *motion vectors* to be small.

The following images demonstrate the result of motion compensation. **Note,** we performed *motion compensation* on 16x16 macroblocks, by using a variant of the *three step search algorithm*, with an initial step of 16.

Frame 0 Frame 1





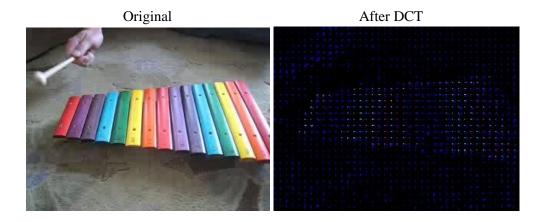
Observation: The error signals appear as rectangular blocks, because we chose to compress the frames (via DCT & iDCT) before *motion compensation*, with the aim of gaining better compression of video.

Q. What is DCT?

A. Th information in an image can be decomposed into its constituent, orthogonal cosinusoidal signals. With DCT, we exploit *spatial redundancy* (within a frame) to achieve compression. We remove the high frequency components, & retain the low frequency components, still the difference is invisible to the human eye.

We performed DCT on every 8x8 block of a frame. For the aforesaid removal, we performed component-wise quantization, with the standard matrix Q₅₀.

The following images demonstrate the application of DCT & its inverse on an RGB frame.



After iDCT (with Q 50)



- **Q.** To encode the frames (video), why do we perform a zig-zag scan?
- **A.** Before storage, we convert each frame to a stream of binary data. After quantization, most coefficients in a frame are zero. <u>To consolidate a long run of zeroes</u>, we scan a frame in a zig-zag fashion.

After this scan, we applied run length coding, then our custom variable length coding.

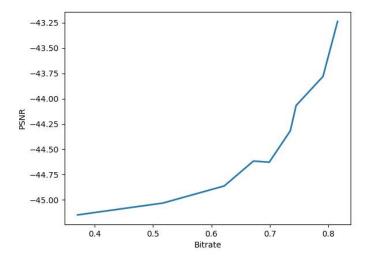
Result: With this <u>encoding</u>, we achieved a maximum compression of 48 times, on average, with the quantization matrix Q_{10} . The compression achieved for Y, Cr, Cb frames is different; 6, 67, 72, respectively.

The size of each uncompressed frame is 230.4 KB (= 240 rows x 320 cols x 3 channels). After compression it is 4.8 KB.

The size of an uncompressed video should be 16 MB (= 230.4 KB x 69 frames). The size of the encoded video is 331 KB (~ 4.8 KB x 69 frames).

3. PSNR vs Bitrate

In the following graph, we varied the degree of quantization with the matrices Q_{10} to Q_{90} , to obtain different qualities of reconstruction, hence PSNRs.



References:

- 1. "Image Compression & the Discrete Cosine Transform" by Ken Cabeen & Peter Gent, College of the Redwoods, Eureka, California
- 2. "An Investigation of Block Searching Algorithms for Video Frame Codecs" by Jerome Casey, Technological University Dublin, Dublin, Ireland
- **3.** "Search Algorithms for Block Matching in Motion Estimation" by Deepak Turaga, Mohamed Alkanhal, Carnegie Mellon University, Pittsburgh, Pennsylvania

Source Code:

```
#Libraries
import cv2
from sys import exit
import numpy as np
import matplotlib.pyplot as plt
from os.path import getsize
from os import remove
from Seg import *
from runlen import *
from VLC import *
#Function definitions
#Extracts frames from a video with 'name' (which is in current directory), into the list
'empty_list'
def extract_frames(name, empty_list):
  #opens video
  capture = cv2.VideoCapture(name)
  #If the file is absent from the current-directory, terminate this program
  if capture.isOpened() == False:
    print("Error: File not is current directory")
    exit(0)
  #Stores each frame in a list
  while capture.isOpened():
    ret, frame = capture.read()
    #If there is a frame, then store it
    if ret == True:
       #cv2 stores frames as BGR. Here I convert to RGB; dtype = uint8
       empty_list.append(cv2.cvtColor(frame, cv2.COLOR_BGR2RGB))
    #Else, I have read all frames
    else:
       break
  capture.release()
  del capture
#1. Color-converts an RGB image to YCrCb
#2. Returns the converted frame
def rgb2ycrcb(old):
```

```
** ** **
```

```
SOURCE of the formulae:
https://docs.opencv.org/3.4/de/d25/imgproc_color_conversions.html
  rows, cols, depth = old.shape
  new = np.zeros((rows, cols, depth), dtype = int)
  new[:, :, 0] = 0.299*old[:, :, 0] + 0.587*old[:, :, 1] + 0.114*old[:, :, 2]
  #Cr
  new[:, :, 1] = (old[:, :, 0] - new[:, :, 0])*0.713 + 128
  #Cb
  new[:, :, 2] = (old[:, :, 2] - new[:, :, 0])*0.564 + 128
  #multiplication by float converts the array's data-type (uint8) to 'float 64'
  return new.astype(int)
#Converts all frames from RGB to YCrCb, & stores these in the empty_list
def convert_to_YCrCb(frames, empty_list):
  #To store the result separately
  rows, cols, depth = frames[0].shape
  for i in range(len(frames)):
     empty_list.append( rgb2ycrcb(frames[i]) )
#creates the T matrix
def create_T():
  from math import cos, pi
  N = 8
  T = np.zeros((N, N))
  x = round(1/np.sqrt(N), 5)
  Pi = round(pi, 4)
  del pi
  sqrt2 = round(np.sqrt(2), 5)
  for i in range (N):
     for j in range(N):
       if i == 0:
          T[i, j] = round(x, 4)
       else:
          T[i, j] = round(x * sqrt2 * round(cos((2*j + 1)*i*Pi/(2*N)), 4), 4)
  return T
#Performs DCT on 1 frame; inplace
def dct(processed_frame):
```

```
rows, cols, depth = processed_frame.shape
  #Performs DCT on all the 8-by-8 blocks
  #1. subtracts 128
  processed_frame[:, :, :] = processed_frame[:, :, :] - 128
  for i in range(0, rows, 8):
     for j in range(0, cols, 8):
       for k in range(0, depth, 1):
          #Performs T.M.T'
            processed_frame[i:i+8, j:j+8, k] = T @ processed_frame[i:i+8, j:j+8, k] @
T.transpose()
            #Performs quantization
            #This if-else enables different quantizations for the luma & chromas
            #luma
            if (k == 0):
               processed_frame[i:i+8, j:j+8, k] = np.divide(processed_frame[i:i+8, j:j+8, k])
k], Q_50)
            #chromas
            else:
               processed_frame[i:i+8, j:j+8, k] = np.divide(processed_frame[i:i+8, j:j+8, k])
k], Q_10)
#Performs iDCT on 1 frame; inplace
def idct(processed_frame):
  rows, cols, depth = processed_frame.shape
  #Performs iDCT on all the 8-by-8 blocks
  for i in range(0, rows, 8):
     for j in range(0, cols, 8):
       for k in range(0, depth, 1):
          #Performs de-quantization
          if (k == 0):
            processed_frame[i:i+8, j:j+8, k] = np.multiply(Q_50, processed_frame[i:i+8,
j:j+8, k]
            processed_frame[i:i+8, j:j+8, k] = np.multiply(Q_10, processed_frame[i:i+8,
j:j+8, k]
          #Performs T'.M.T
          processed_frame[i:i+8, j:j+8, k] = T.transpose() @ processed_frame[i:i+8,
j:j+8, k] @ T
  #Adds 128
  processed_frame[:, :, :] = processed_frame[:, :, :] + 128
#1. Converts an image in YCrCb to RGB
#2. Returns the converted frame
def ycrcb2rgb(old):
  R \leftarrow Y + 1.403 \cdot (Cr-delta)
```

```
B \leftarrow Y + 1.773 \cdot (Cb-delta)
  G \leftarrow Y - 0.714 \cdot (Cr - delta) - 0.344 \cdot (Cb - delta)
  delta = 128 for 8 bit images
  rows, cols, depth = old.shape
  new = np.zeros((rows, cols, depth), dtype = int)
  #R
  new[:, :, 0] = old[:, :, 0] + 1.403*(old[:, :, 1] - 128)
  #B
  new[:, :, 2] = old[:, :, 0] + 1.773*(old[:, :, 2] - 128)
  new[:,:,1] = old[:,:,0] - 0.714*(old[:,:,1] - 128) - 0.344*(old[:,:,2] - 128)
  return np.uint8(new)
#Converts all frames from YCrCb to RGB, & stores these in the list 'results'
def convert to RGB(processed frames, results):
  rows, cols, depth = processed_frames[0].shape
  for i in range(len(processed_frames)):
     results.append(ycrcb2rgb(processed_frames[i]))
#Makes a (colored) video from frames (at fps)
def makevideo(frames, fps, isColor = True):
  rows = frames[0].shape[0]
  cols = frames[0].shape[1]
  writer = cv2.VideoWriter("7. Compressed_video.avi",
cv2.VideoWriter_fourcc(*"DIVX"), \
                 fps, (cols, rows), isColor = isColor)
  for frame in frames:
     writer.write(cv2.cvtColor(frame, cv2.COLOR_RGB2BGR))
  writer.release()
  del writer
#1. Motion Compensation: Shifts macro-blocks (16x16) (in the previous-frame) to
recreate the next-frame
#2. Returns the prediction
#3. The arguments are grayscale-images
def predict(fprev, fnext):
  #init
  rows, cols = fprev.shape
  pred = np.copy(fprev)
  #To store the motion vectors
  mv = []
  #size of macro-block
```

```
size = 16
#For each 16x16 block
for i in range(0, rows, size):
  for j in range(0, cols, size):
     #Each block
     block = np.copy(fprev[i:i+size, j:j+size])
     #The new-position will be stored here; motion vector = (new - old) position
     ip = i
    jp = j
     #The max. step by which a block can displace
     step = 16
     #condition for termination
     while step > 1:
       #list to store error-values & new-coordinates
       lst = []
       coords = []
       #init
       for k in range(9):
          lst.append(0xFFFFFFFF)
          coords.append([-1, -1])
       #Count of the iteration no. It is used to index into the list
       count = 0
       #Creates [-step, 0, +step]
       ranger = range(-1 * step, step<<1, step)
       #Search the 9 blocks
       for r in ranger:
          for c in ranger:
            #first-element of the block is fnext[idx, jdx]
            fr = ip + r
            fc = jp + c
            #last element is fnext[idx+size-1, jdx+size-1]
            lr = fr + size
            1c = fc + size
            #boundary checks
            if fr < 0 or fc < 0:
               count+=1
               continue
            if lr >= rows or lc >= cols:
               count+=1
```

```
continue
```

#init

```
#calculation
               lst[count] = np.linalg.norm(block - fnext[fr:lr, fc:lc], ord = 2)
               coords[count] = [fr, fc]
               count += 1
          #Search complete, i.e., I am out of the (previous) nested 'for' loops
          #For next search, decrease the window-size
          step = int(step /2)
          #'min_' = index (in list 'lst') of the min. error
          min_ = lst.index( min(lst) )
          #Sets the new position
          ip, jp = coords[min_]
          #clean up
          del 1st, coords, ranger, count, min_
       #Out of the 'while' loop
       #Shifts this block to the suitable position (ip, jp)
       pred[ip:ip+size, jp:jp+size] = fprev[i:i+size, j:j+size]
       del block
       mv.append((ip - i, jp - j))
       #Onto the next block
  #Prediction created, i.e., out of the nested 'for' loops
  del step, i, j, ip, jp, fr, fc, lr, lc
  return pred, mv
#1. Applies the motion-vectors stored in 'mv' onto the (gray-scale) image called 'frame'
#2. Returns the result (prediction)
def displace(frame, mv):
  rows, cols = frame.shape
  pred = np.copy(frame)
  #size of macroblock
  size = 16
  jmp = int(cols/size)
  #For each block
  for i in range(0, rows, size):
     for j in range(0, cols, size):
       dx, dy = mv[int((j + i*jmp)/size)]
       ip = i + dx
```

```
jp = j + dy
       pred[ip:ip + size, jp: jp + size] = frame[i:i + size, j:j + size]
  return pred
#1. Image compression: Performs DCT & iDCT on all frames in the list 'frames'
#2. Returns the compressed frame*s*, as a list
def compress(frames):
  compressed_frames = []
  convert_to_YCrCb(frames, compressed_frames)
  for i in range(len(frames)):
    dct(compressed_frames[i])
    idct(compressed_frames[i])
  return compressed_frames
,,,,,,
1. Performs motion-compensated, predictive encoding on all 'frames'
2. interval = Interval b/w I-frames
3. Returns 2 lists of
  a. the I & P frames
  b. the motion-vectors
def encode(frames, interval):
  #These lists store the final results
  errors = []
  mvs = []
  output = np.zeros((240,320,3))
  frame_1 = []
  frame_2 = []
  frame_3 = []
  runlen1 = []
  runlen2 = []
  runlen3 = []
  VLC_encode1 = []
  VLC_{encode2} = []
  VLC_{encode3} = []
  VLC_decode1 = []
  VLC_decode2 = []
  VLC_decode3 = []
  runlen_inv1 = []
  runlen_inv2 = []
  runlen_inv3 = []
  #This list stores the compressed frames
  compressed_frames = compress(frames)
```

```
#This list stores the YCrCb images
  processed_frames = []
  convert_to_YCrCb(frames, processed_frames)
  for i in range( len(processed_frames) ):
    #If i != a multiple of 'interval', create a prediction
    if i % interval:
       #pred, mv = predict(prev, next)
       pred, mv = predict(compressed_frames[i-1][:, :, 0], compressed_frames[i][:, :, 0])
       #Equation: diff = frame - pred (=> frame = pred + diff)
       dct(processed_frames[i])
       diff = np.copy(processed_frames[i])
       diff[:, :, 0] = compressed_frames[i][:, :, 0] - pred
       errors.append(diff)
       mvs.append(mv)
    #Else, store the (DCT of the) I-frame
    else:
       dct( processed_frames[i] )
       errors.append( np.copy(processed_frames[i]) )
       mvs.append(-1)
  for a in range(0, 240, 8):
    for b in range(0, 320, 8):
       for i in range(0,64):
         frame\_1.append(segregator(processed\_frames[0][:,:,0],a,b)[i])
         frame_2.append(segregator(processed_frames[0][:, :, 1],a,b)[i])
         frame_3.append(segregator(processed_frames[0][:, :, 2],a,b)[i])
  runlen1 = runlen_code(frame_1)
  runlen2 = runlen code(frame 2)
  runlen3 = runlen_code(frame_3)
  VLC_encode1 = VLC(runlen1)
  VLC_{encode2} = VLC(runlen2)
  VLC_encode3 = VLC(runlen3)
  print(" Length of a frame[0]-Y after DCT and Quantisation : ",len(frame_1))
  print(" Length of a frame[0]-Y after Run length Encoding : ",len(runlen1))
  a1 = len(frame_1)/len(runlen1)
  print(" Implies reduction by the factor: ",a1)
print("=
====")
  print(" Length of a frame[0]-Cr after DCT and Quantisation: ",len(frame_2))
  print(" Length of a frame[0]-Cr after Run length Encoding : ",len(runlen2))
  a2 = len(frame_2)/len(runlen2)
  print(" Implies reduction by the factor: ",a2)
```

```
print("=
====")
  print(" Length of a frame[0]-Cb after DCT and Quantisation: ",len(frame_3))
  print(" Length of a frame[0]-Cb after Run length Encoding : ",len(runlen3))
  a3 = len(frame 3)/len(runlen3)
  print(" Implies reduction by the factor: ",a3)
print("==
====")
  print("Average Reduction of frame[0] by factor of: ",(a1+a2+a3)/3)
print("==
====")
  VLC_decode1 = inv_VLC(VLC_encode1)
  VLC_decode2 = inv_VLC(VLC_encode2)
  VLC_decode3 = inv_VLC(VLC_encode3)
  runlen_inv1 = runlen_decode(VLC_decode1)
  runlen_inv2 = runlen_decode(VLC_decode2)
  runlen_inv3 = runlen_decode(VLC_decode3)
  output[:, :, 0] = combiner(runlen_inv1)
  output[:, :, 1] = combiner(runlen_inv2)
  output[:, :, 2] = combiner(runlen_inv3)
  cv2.imwrite("9. RLC_VLC_encoded_decoded.jpeg", np.uint8(output))
  del processed_frames, compressed_frames, interval
  return errors, mvs
1. Reconstructs frames from I, P frames & motion vectors
2. interval = Interval b/w I-frames
3. Returns the reconstructed-frames
def decode(errors, mvs, interval):
  #This list store the final result
  recon = []
  #For pretty-printing
  pp = 1
  for i in range( len(errors) ):
    if i % interval:
       #displace the image
       disp = displace(errors[i-1][:, :,0], mvs[i])
```

```
#add the errors to the displaced image; Here I have reconstructed Y of the frame 'i'
       temp = disp + errors[i][:, :,0]
       #Perform idet on the chroma channels; Here, the first channel is the error in
prediction
       idct(errors[i])
       #Set the first channel = Y
       errors[i][:, :, 0] = temp
       if pp:
         cv2.imwrite("4. Prediction_of_frame_1_from_frame_0.jpeg",\
         cv2.imwrite("5. Reconstructed_frame_1.jpeg",\
                 temp)
         pp = 1
       del disp
     else:
       idct( errors[i] )
     recon.append(errors[i])
  del errors, mvs
  return recon
def psnr(org, recon):
  #1 Peak value
  peak = org.max()
  #2 Frobenius norm
  diff = np.linalg.norm(org - recon)
  #3 MSE
  rows, cols, depth = org.shape
  N = rows * cols * depth
  mse = np.square(diff)/N
  #4 PSNR = 10*log_10 (ratio)
  ratio = np.square(peak)/mse
  return round(10 * np.log10(ratio), 3)
#Displays the PSNR vs Bitrate
def comparizion(frames):
  #init
  global Q_50
  global Q_10
  safe = np.copy(Q_50)
  Q_10 = np.copy(Q_50)
  rows, cols, depth = frames[0].shape
  N = rows * cols * depth
  #selects the 1st frame
  org = frames[0]
```

```
img = rgb2ycrcb(org)
#results will be stored here
psnrs = []
sizes = []
\# [1, 4] \Rightarrow greater compression; [6, 9] \Rightarrow lesser compression
for i in range(1, 10):
  if i < 5:
     Q_50 = (5/i * safe).astype(int)
  elif i > 5:
     Q_{50} = ((10-i)/5 * safe).astype(int)
  elif i == 5:
     Q_50 = np.copy(safe)
  Q_{10} = Q_{50}
  #creates a new copy
  temp = np.copy(img)
  #performs compression
  dct(temp)
  idct(temp)
  #stores the result as RGB
  recon = ycrcb2rgb(temp)
  name = "Comp_Q" + str(i) + "0.jpeg"
  cv2.imwrite( name , cv2.cvtColor(recon, cv2.COLOR_RGB2BGR))
  psnrs.append(psnr(org, recon))
  sizes.append( round(getsize(name) * 8 / N, 3))
  #
  remove(name)
  del recon, temp, name
# plot
fig, ax = plt.subplots()
ax.plot(sizes, psnrs, linewidth=2.0)
plt.xlabel("Bitrate")
plt.ylabel("PSNR")
#ax.set(xlim=(0, 8), xticks=np.arange(1, 8), ylim=(0, 8), yticks=np.arange(1, 8))
plt.savefig("8. PSNR_vs_Bitrate.jpeg")
#print(psnrs, sizes)
Q_50 = safe
del org, img, psnrs, sizes, fig, ax, rows, cols, depth, N
```

```
#Global variables
#The quantization matrices Q50 & Q10
Q_50 = \text{np.array}([[16, 11, 10, 16, 24, 40, 51, 61], \]
    [12, 12, 14, 19, 26, 58, 60, 55],\
    [14, 13, 16, 24, 40, 57, 69, 56],
    [14, 17, 22, 29, 51, 87, 80, 62],
    [18, 22, 37, 56, 68, 109, 103, 77], \
    [24, 35, 55, 64, 81, 104, 113, 92],
    [49, 64, 78, 87, 103, 121, 120, 101],
    [72, 92, 95, 98, 112, 100, 103, 99]
    1)
Q_{10} = 5*np.copy(Q_{50})
T = create_T()
#Main
frames = []
extract_frames("xylophone1.wm", frames)
cv2.imwrite("1. Original_frame_0.jpeg", cv2.cvtColor(frames[0],
cv2.COLOR_RGB2BGR))
#Interval b/w I-frames
interval = 23
#1. errors = I, P frames
#2. \text{ mvs} = \text{a list of motion-vectors}
errors, mvs = encode(frames, interval)
cv2.imwrite("2. After_DCT.jpeg", np.uint8(errors[0]) )
cv2.imwrite("6. Error_in_motion_compensation_between_frames_0_&_1.jpeg",
np.uint8(errors[1][:, :, 0]))
#Frames reconstructed from I, P frames & motion-vectors
recon = decode(errors, mvs, interval)
#Color-conversion from YCrCb to RGB
results = []
convert_to_RGB( recon, results)
cv2.imwrite("3. Compressed_frame_0.jpeg", cv2.cvtColor(results[0],
cv2.COLOR_RGB2BGR))
#Stores the video at 15 FPS
makevideo(results, 17, isColor = True)
#Displays the PSNR
comparizion(frames)
#Clean up
```

del frames, errors, mvs, recon, results, interval del T, Q_50, Q_10 del cv2, exit, np, plt

print("Sucess! Compressed video loaded into current directory")