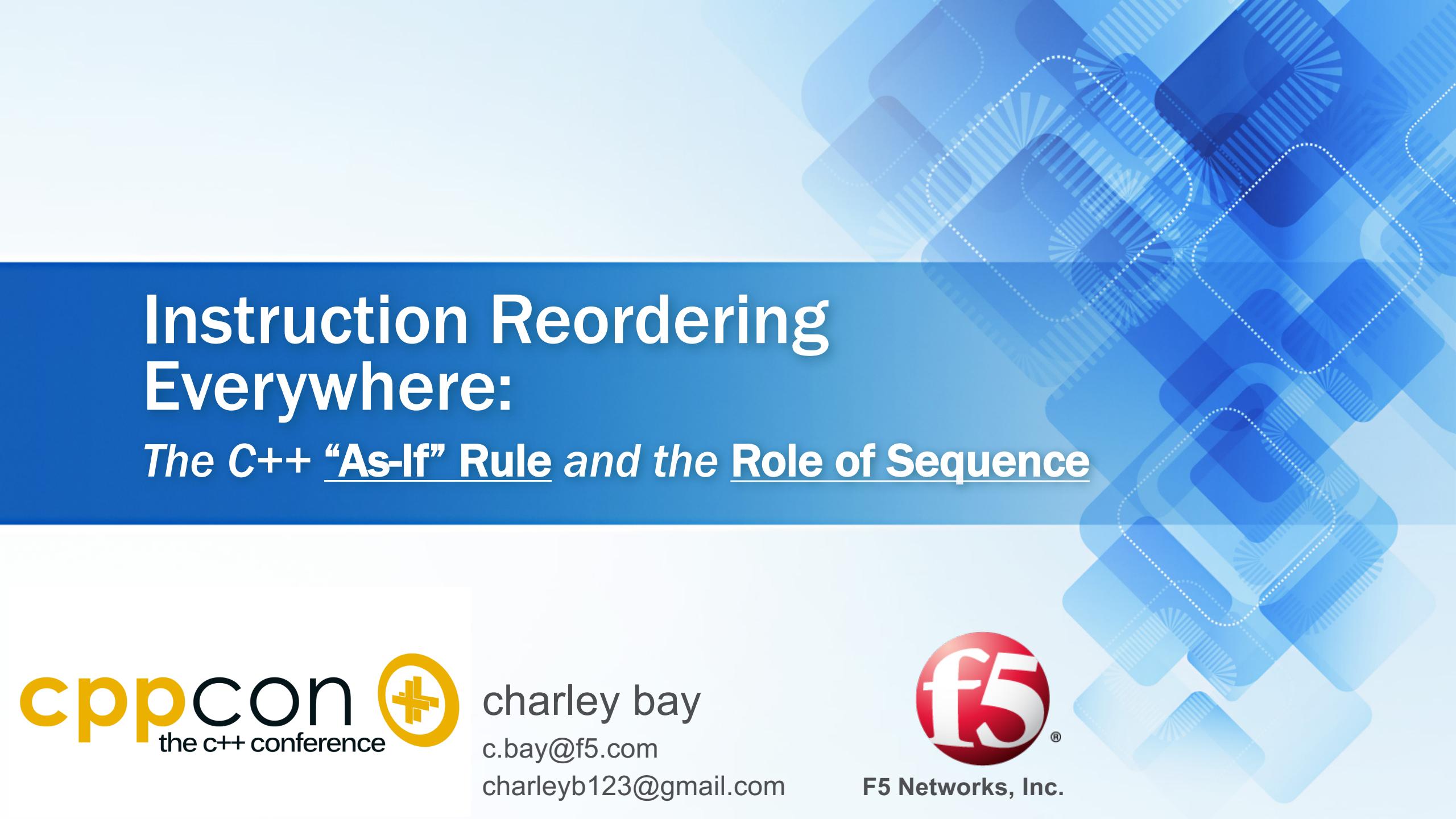


# Instruction Reordering Everywhere: *The C++ “As-If” Rule and the Role of Sequence*



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F5 Networks, Inc.

# Two Talks

Today!

NOT Today!

## Physical vs. Logical Sequence

1

*(undefined vs. defined behavior)*

2

## How Out-Of-Order Execution Occurs

*(due to conspiring by compiler and CPU)*

- How to think about dependencies
- How to design algorithms

- Understanding Phenomenon
- Reasoning about errors in our systems

# Not Today

An introductory (i.e., “First Principles”) dive into instruction re-ordering (at compile-time, and at run-time) due to conspiring by the compiler and CPU to make most efficient use of execution units and resources within the CPU processor core.



*Focus on:*

- Compiler Internals
- CPU Internals
- Runtime Execution

## The CPU Cache: Instruction Re-Ordering Made Obvious

<<https://www.youtube.com/watch?v=tNkVUIv2gEE>>

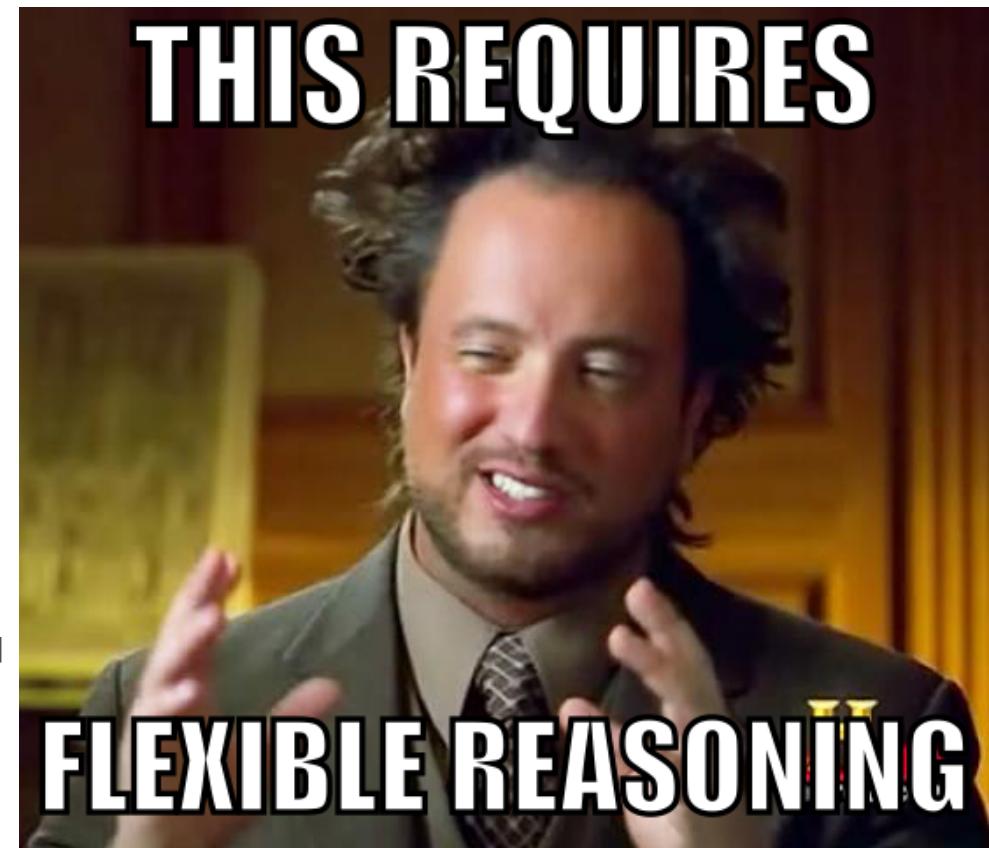
# Goals

1. Explain the importance of the C++ “As-If” rule
2. Trace data-flows and control-flows in algorithms
3. Discuss Imperative vs. Sequential Devices
4. Why Instruction Re-Ordering is A “Good Thing”™



*Discussion:*

Software Engineers can be more “flexible” in reliance on imperative versus sequential execution, and physical versus logical sequences.



# The Question:

What is “Sequence”,  
and what is its role?



# Today's Agenda

1. Why Care About Sequence? (Why *not*?)
2. (Order) Assumptions In Design
3. Imperative vs. Sequential Devices
4. Physical vs. Logical Sequences
5. The C++ “As If” Rule
6. Summary



*Not time for today:*

- How Out-of-order execution occurs  
*(answered through discussion of compiler and CPU internals)*

# Take-Aways For Today

- We cannot control instruction order
  - (*is not well-defined!*)
- We can control dependencies
  - (*are well-defined!*)

Focus On What's Important:  
Dependencies!

Take-Away:

- Dependencies ... Worry!
- Instruction Order ... Don't Worry! (*Be Happy!*)



With unbelievably high penalties, we can control some things; *but*

- we should not control order unless absolutely necessary; *and*
- we should prefer designs (algorithms) where we don't control order; *and*
- it's better in every way when we don't control order.

- We don't care about instruction order.
- We might think we do, but we don't, and shouldn't.



# AFTER TODAY



# After Today...

- After Today, **You Will Know:**
  - My statements executed in the CORRECT order
  - My statements executed in the EFFICIENT order
  - My statements probably did NOT execute in the order I specified
- After Today, **You Will Be:**
  - CONFIDENT in your compiler
  - TRUSTING of your CPU
  - GRATEFUL you don't need to worry about instruction order
- **Moral Of The Story:**
  - It is YOUR JOB to Design and Implement with concern for dependencies
    - *We are Engineers! This is what we do! Single-threaded or Multi-threaded!*
  - It is a Fool's Errand to be concerned with Instruction Order
    - *Pointless! Distraction! Red-Herring!*

# The Good News Take-Away:

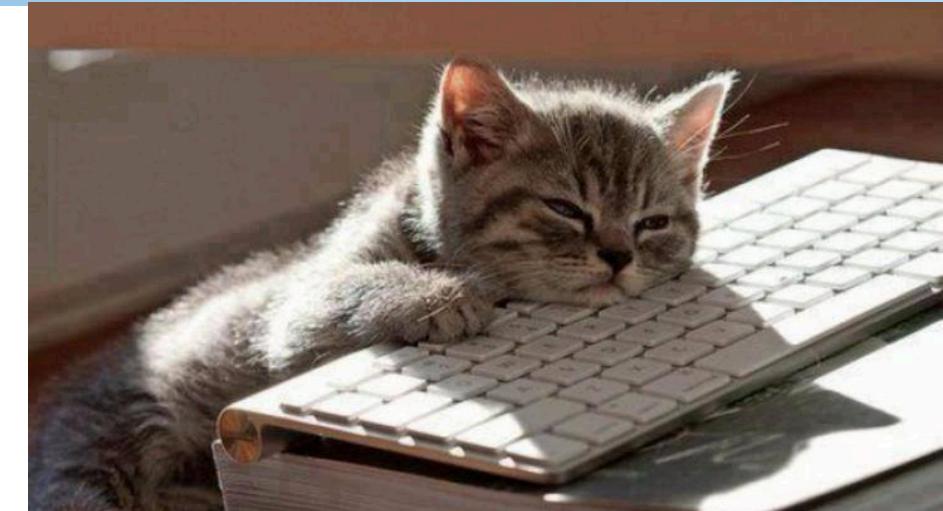
*“Be More Lazy And Ignorant!”*

- You should NOT need to know any of this!
- You should WORK to be MORE LAZY regarding what you care about!
- You can TRUST the CPU is always correct!
  - And usually the Compiler too!

*The More You “Know”, the more likely you are hurting yourself and your algorithm*

- ...through misplaced and incorrect assumptions

*(definition) **Assumption**: Any perceived truth that is uncontrolled in the design or implementation*



You CANNOT possibly know the architectural and runtime-specific details! (THEY CHANGE!)

ANY assumption is EVENTUALLY violated!





There is no guarantee that statements will execute in the order that the C++ programmer specified.

- This is called, “Instruction Re-ordering”
- *Why?*
  - Compiler Optimizations
  - CPU pipelining



# Instruction Re-Ordering

A scene from Toy Story showing Woody and Buzz Lightyear. Woody is on the left, looking concerned. Buzz is on the right, pointing his arm upwards with a determined expression. There are small yellow stars floating around Buzz's hand.

Everywhere!

- All is not just Chaos!



## THE RULES

They may be stupid, arbitrary and irritating, but god help you if you break them.



There ARE  
Rules!

*(What Are The Rules?)*

**KNOW THE  
RULES!**

# Rules: That Upon Which We Can Rely

- We Trust To Be True, Or All Is Lost:

1 C++ “Well Defined” Behavior

C++ “Well-Defined”  
Behavior  
is very clear, and  
“Real-World” Practical!

2 The Compiler Works

It Does!  
(Usually!)

3 The CPU functioned properly

It Does!  
(Always!)

4 The Laws Of Physics

Latencies exist!  
(Always!)

- No Other Rules Exist
  - If designing “ecosystems”, final rule is “stuff breaks”



## System Failures:

The Software  
Engineer  
ASSUMED something  
other than  
(1), (2), (3), (4)



# Why Care About Sequence?

*Because we reason about procedural algorithms*

# We DO Care About Sequence: Why?

(1 of 6)

- A. We leverage sequence as a “logic tool”
  - (algorithms)
- B. It is how we were trained
  - (especially for “higher-level” languages)
- C. Can be easier to understand
- D. We think it “adds value”



# We DO Care About Sequence: Why?

(2 of 6)

## A. We leverage sequence as a “logic tool”

- (algorithms)

- Sequence is how we know anything at all!
- What state exists
- How that state changes/mutates over time

## B. It is how we were trained

- (especially for “higher-level” languages)

## C. Can be easier to understand

## D. We think it “adds value”

**A** Some guarantees must exist regarding sequence, or we cannot reason at all.

# We DO Care About Sequence: Why?

(3 of 6)

## A. We leverage sequence as a “logic tool”

- (algorithms)

## B. It is how we were trained

- (especially for “higher-level” languages)

## C. Can be easier to understand

## D. We think it “adds value”

- **Imperative Programming**
  - Sequence of, “Do This!”
- Anatomy and behavior of **algorithmic constructs**
  - Sequence (*order*)
  - Selection (*if/else, switch*)
  - Iteration (*do, while, for, foreach*)

B

“Sequence” is a  
“building-block”  
(stepping-stone) in logic training!

# We DO Care About Sequence: Why?

(4 of 6)

## A. We leverage sequence as a “logic tool”

- (algorithms)

## B. It is how we were trained

- (especially for “higher-level” languages)

## C. Can be easier to understand

## D. We think it “adds value”

- **“Stepwise-reasoning”** introduces students to programming
- **Is simplest (most atomic) level of reasoning**
- **Is easier** to do “ordered-walking” of **myopic cases**, rather than understand the processing “big-picture”

C

**Assumptions**  
related to “sequential reasoning”  
**become seductive**

# We DO Care About Sequence: Why?

(5 of 6)

## A. We leverage sequence as a “logic tool”

- (algorithms)

## B. It is how we were trained

- (especially for “higher-level” languages)

## C. Can be easier to understand

## D. We think it “adds value”

- Especially in large systems (where we do not know where to get started)
- Especially in complex systems (where it is hard to reason about “big-picture” behavior)

D

Sequence is our  
“Go-To” tool when  
we are “lost” or “stuck”

# We DO Care About Sequence: Why?

(6 of 6)

A. We leverage sequence as a “logic tool”

- (algorithms)

B. It is how we were trained

- (especially for “higher-level” languages)

C. Can be easier to understand

D. We think it “adds value”

There are other ways to think!

Programming paradigms like “*Declarative*” do not rely upon sequence at all!

**BUT:**

- Many of these expectations (assumptions) “do-not-hold” for how things actually work in the compiler/interpreter, and within the CPU
- Alternative programming paradigms, and the C++ Language (explicitly!) do not grant “sequence” this level of reverence

# A “Definition-Of-Terms” Problem!

- How does “Sequence” relate to “Algorithm”?

An algorithm  
is NOT defined  
by the sequence of statements  
the programmer types.

An algorithm  
is NOT defined  
by the sequence of statements  
the programmer types.

An algorithm  
IS defined  
by the sequence of dependencies  
the programmer types.

*Corollary:* Sequence is a tool to manage dependencies  
across instructions.

# What Defines An “Algorithm”?

Algorithm

is defined by

logical dependencies

(not physical sequence)

- Example Physical Sequences:

- The compiled program (instruction order is “fixed” on-disk)
  - Is “same-program” if `inline` functions or not
  - Is “same-program” after re-compile with different layout
  - Is “same-program” compiled with different optimization levels
- The loaded program (instruction order is “fixed” in memory)
  - Is “same-program” after address-load randomization
  - Is “same-program” after dynamic linking/loading
- Self-mutating virus
  - Is “same-program” after (randomizing, mutating) physical instruction sequences

Can change  
physical sequence  
(logical sequence  
remains intact!)

Is “Same Program” because algorithm  
exhibits the same logical dependencies!

# Job: Software Engineer

- *Software Engineer:* Our job is **NOT** to manage lines-of-code!
  - *Might be your full-time job if you live “Configuration Management” (CM)*
- These are **MECHANICAL operations** to “keep our workshop clean”:

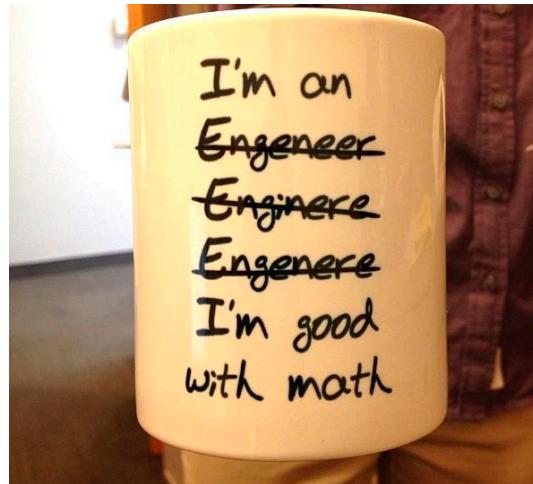
- Add lines-of-code
- Remove lines-of-code
- Move around lines-of-code (e.g., “refactor”)
- Reformat lines-of-code (e.g., “beautify”)
- Manage lines-of-code (e.g., “version control”)
- Build/ship lines-of-code (e.g., “installer”, “CM”)

Is NOT  
software engineering!  
Is just  
“part-of-the-job”!

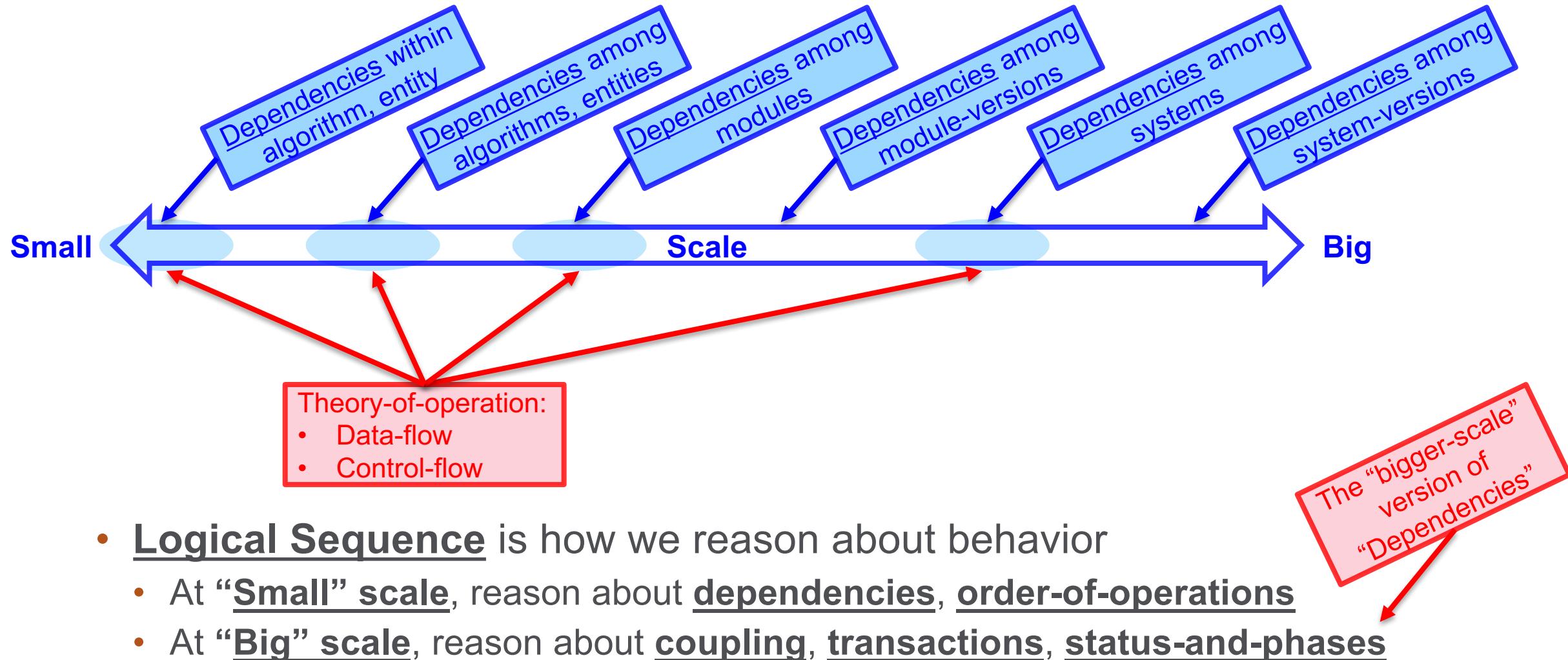
Are based on  
INTERACTIONS  
among  
DEPENDENCIES!

*Software Engineer:* Our job **IS** to manage logical dependencies!

- Analyze and understand: Behavior, Capabilities, Alternatives
- Design and implement: Algorithms, Features, Functional Changes



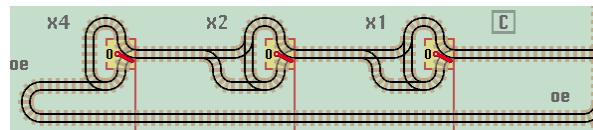
# Logical Dependencies



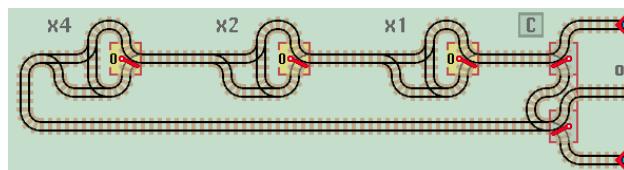
# Turing Trains

computational train track layouts

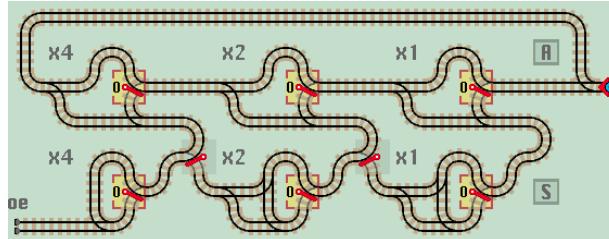
Clear and Error Line



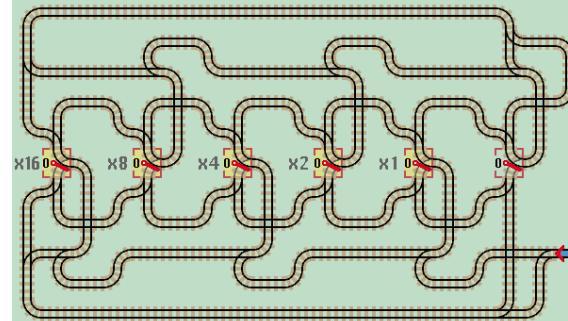
Count and Clear Function



Accumulator Function

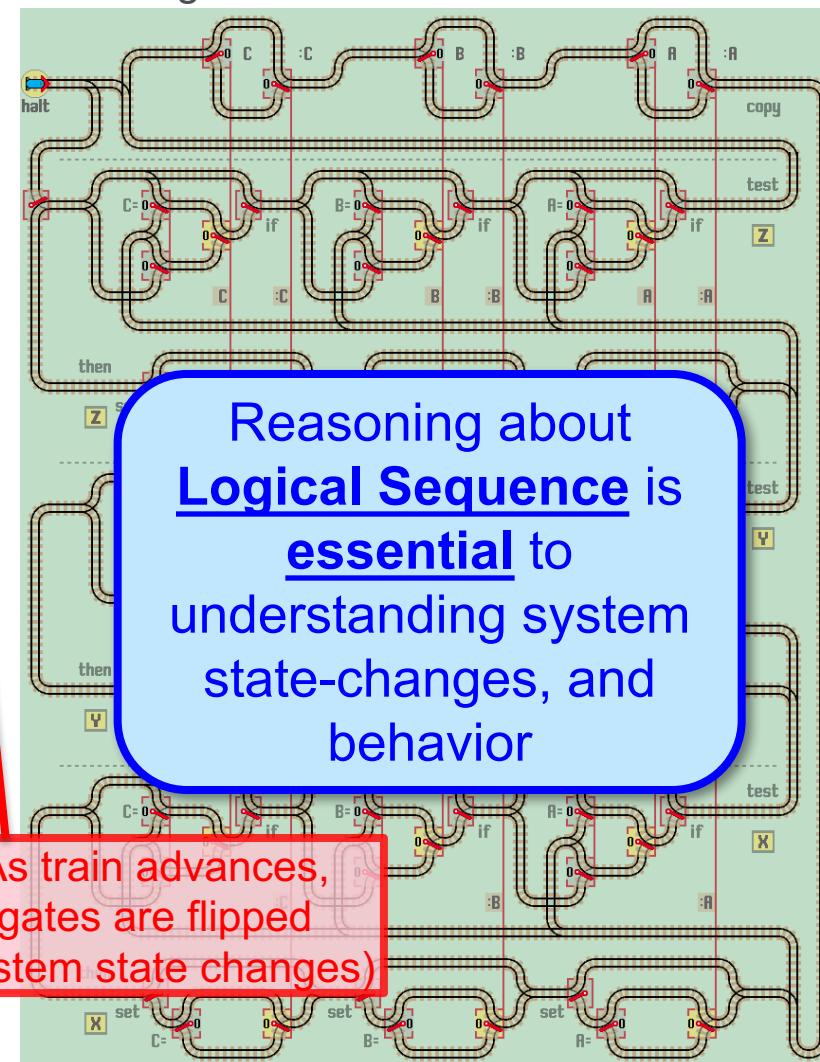


Rotate-Right Function



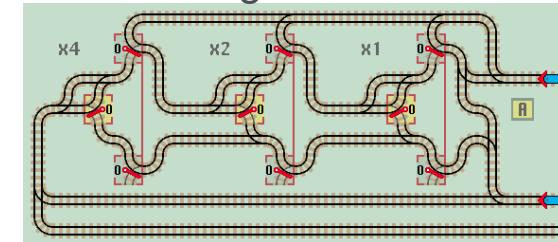
## Reasoning About Sequence

Triangular Number Series Calculator

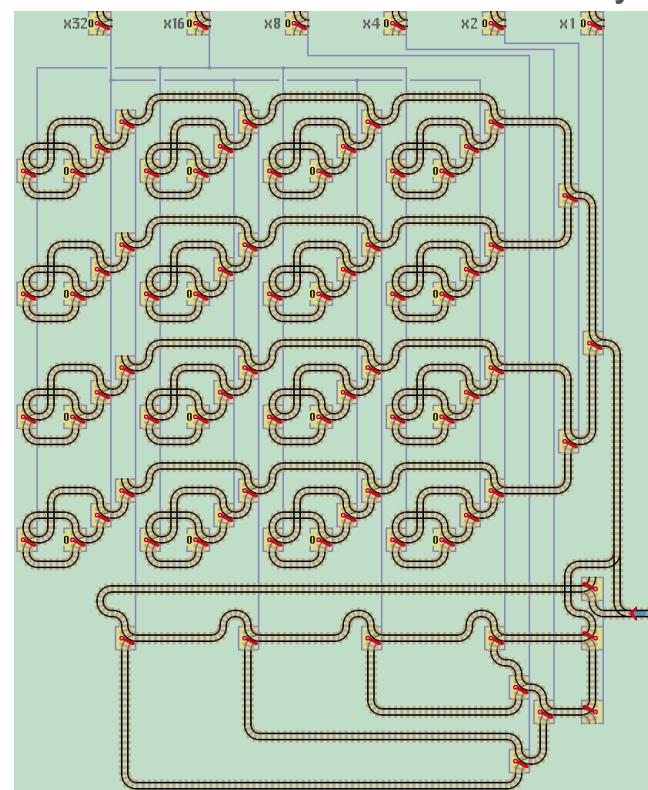


As train advances,  
gates are flipped  
(system state changes)

Leading Zero Latch



16-Bit Random Access Memory



# Recurrent Neural Network: Reasoning About Sequence

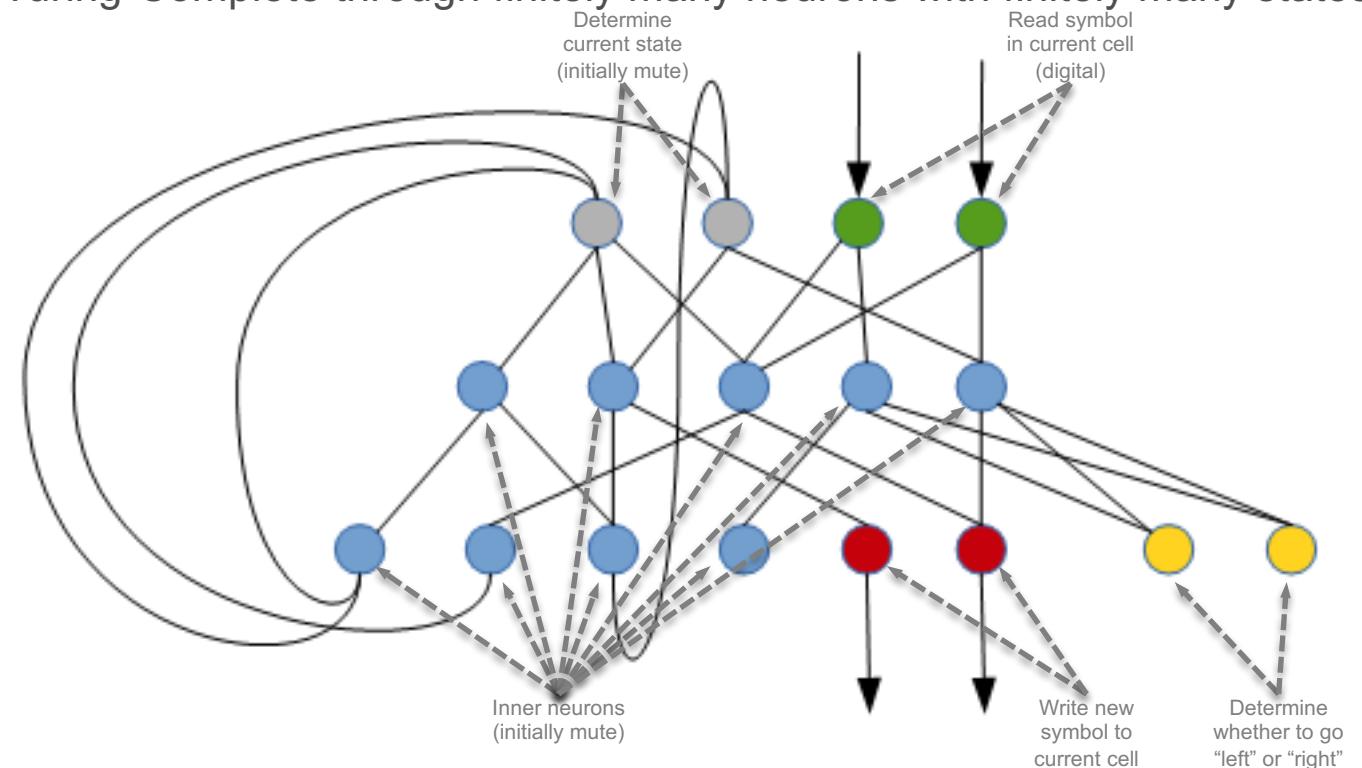
- **State-machine transitions** imply pre/post values based on change-in-state

Reasoning about  
logical dependencies,  
mutated through  
sequential execution,

is required to understand the system

## Recurrent Neural Network

Turing-Complete through finitely many neurons with finitely many states



Adapted from Hans Stricker

<http://stackoverflow.com/questions/2990277/how-useful-is-turing-completeness-are-neural-nets-turing-complete>

# Why NOT Care About Sequence?

So unnecessary constraints do not creep into our systems

# Controls Are Constraints

- **Constraints:** We need them! They define our system!
  - **Necessary Constraints:** Our system behaves as expected
  - **Unnecessary Constraints:** Restrictions that provide negative-value
  - **Missing Constraints:** Our system fails in some scenarios
- **Controlling** (constraining) for **Sequence:**
  - Want to constrain for “necessary” sequence
  - Want to NOT constrain for “unnecessary” sequence



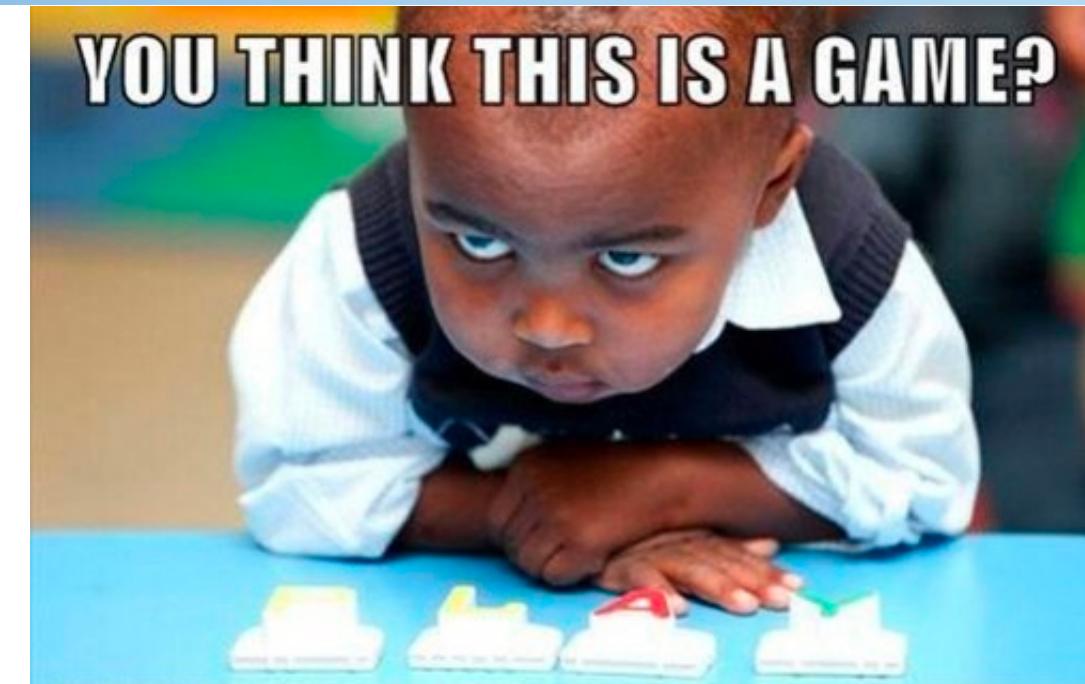
How do we know if controlling a given sequence is “necessary” or “unnecessary”?  
*Hint: Dependencies!*



There is no such thing as a “neutral constraint”  
By definition:  
**Constraints restrict behavior** for  
desirable (positive) or undesirable (negative) result

# Exerting Necessary Control

- Want to exert NECESSARY control
  - Specifying necessary detail is how we design/implement!
    - ...should not be violated!
    - ...becomes our design/implementation ASSUMPTIONS!
- Want to Be SILENT on unnecessary detail
  - Is not “requirement” – we do not care
  - Do not want to restrict OPTIONS
  - Do not want to restrict THINKING
  - Do not want to add unnecessary ASSUMPTIONS



**Exerting necessary control is how we Design and Implement!**  
**It establishes behavior, expectations, and allows us the safety to make valid assumptions when reasoning about the system!**

# Avoiding Unnecessary Control

- Want to avoid UNNECESSARY control
  - Unnecessary controls create unnecessary assumptions
    - ...which are eventually violated
    - ...which restrict your options
    - ...which limit your thinking
  - Unnecessary controls create unnecessary constraints (controls are constraints!)
    - ...which disallow efficiencies and technologies within the compiler and CPU

You're not leveraging the compiler and CPU!  
*(You disallow them from fully doing their jobs!)*



The More Control You Exert, the more likely you hurt yourself and your algorithm

- ...through misplaced and incorrect assumptions
  - ...that eventually get violated
  - ...and which disallow efficiencies

Penalties from inflexibility!  
*(Benefits from flexibility!)*



# Why Managing Instruction Order Is Bad

- **Efficiency** Penalties
  - We refuse to leverage the technologies in the CPU
  - We disallow optimizations the compiler provides
  - Future system performance/maintenance scales worse, not better
- **Correctness** Penalties
  - The algorithm is more likely to be wrong (more corner cases)
  - We blind ourselves to alternatives that scale increasingly better with more resources, larger data sets, and new technologies
- **Effort** Penalties
  - (*usually*), it is more work to encode more assumptions in the algorithm
  - (*usually*), it is more work to hold more assumptions in our brains



Penalties All Around!

# Assumptions: Avoid When Possible

- Most programmers have too many (assumptions)
  - We must ALWAYS manage “some” assumptions
- You SHOULD NOT CARE!
  - about many things, including instruction order
- Should: Make NO ASSUMPTIONS regarding “order”!
  - Should accept that “Instruction Order” is highly fickle, erratic, and seemingly capricious.
    - We should not want to control it
    - We should not want to care about it
      - *Can design/implement to where we do not care about order!*



If no dependencies exist,  
“order” is irrelevant!

We DO NOT design and implement based on “instruction order”. (*We don’t care!*)  
We design and implement based on dependencies.

# Example Scenario: (Order) Assumptions In Design

*Assumptions creeping into our design, into our implementation*

# “You Fly, I Buy”

...A scenario (with assumptions)

- Example Problem Statement:

*“I will pay for the pizza,  
you go get it.”*

- How many assumptions will we make?

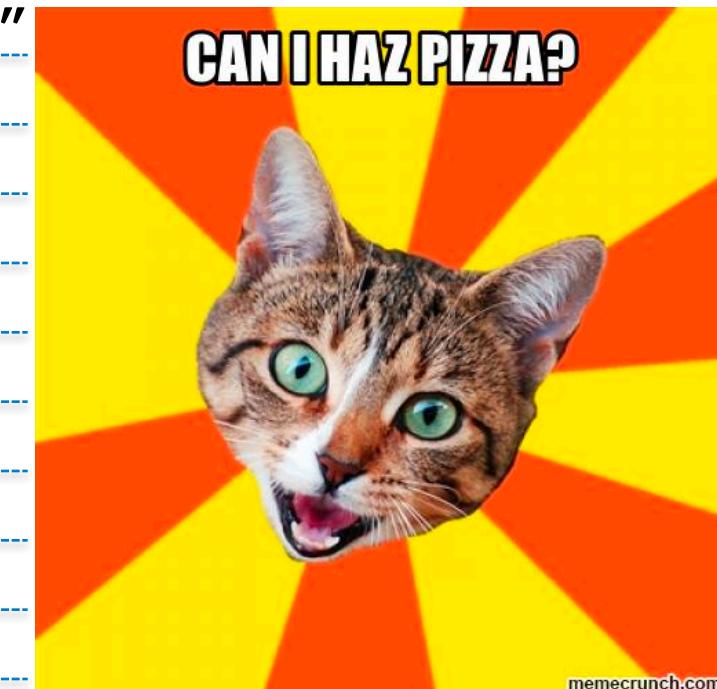
**“UHHHHHH YOU FLY...**



# Algorithm

...or “Design”, or “Control-Flow”, or “User-Story”, or  
“Implementation”, or ...

1. @Me specify, “Supreme w/extra olives”
2. @Me give you money
3. @You drive to pizzeria
4. @You order pizza
5. @You pay for pizza
6. @Pizzeria makes pizza
7. @Pizzeria gives you pizza
8. @You bring pizza to me
9. @Me has pizza
10. @Me eats pizza



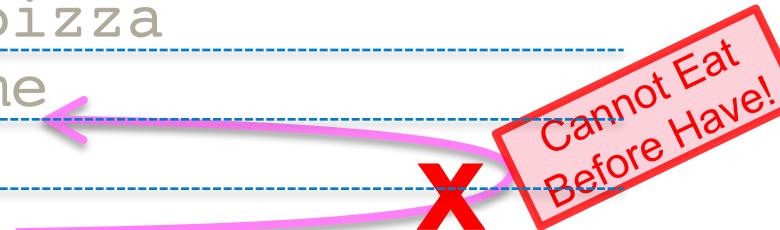
**What** is the  
necessary order?

**For which** should we  
**NOT CARE** regarding order?

# Order That Matters

*...Necessary constraints (warranted coupling establishing order)...*

1. @Me specify, "Supreme w/extra olives"
2. @Me give you money
3. @You drive to pizzeria
4. @You order pizza
5. @You pay for pizza
6. @Pizzeria makes pizza
7. @Pizzeria gives you pizza
8. @You bring pizza to me
9. @Me has pizza
10. @Me eats pizza



**What is the  
necessary order?**

**For which should we  
NOT CARE regarding order?**



# On “1. @Me Specify...”

*...Necessary constraint, or Assumed constraint?*

1. @Me specify, “Supreme w/extra olives”
2. @Me give you money
3. @You drive to pizzeria
4. @You order pizza
5. @You pay for pizza
6. @Pizzeria makes pizza
7. @Pizzeria gives you pizza
8. @You bring pizza to me
9. @Me has pizza
10. @Me eats pizza

**Why** care about sequence?

- ONLY if you **get the wrong pizza**



# On “2. @Me Give You Money”

*...Necessary constraint, or Assumed constraint?*

1. @Me specify, “Supreme w/extra olives”
2. @Me give you money
3. @You drive to pizzeria
4. @You order pizza
5. @You pay for pizza
6. @Pizzeria makes pizza
7. @Pizzeria gives you pizza
8. @You bring pizza to me
9. @Me has pizza
10. @Me eats pizza

The diagram illustrates a sequence of 10 steps, each with a pink arrow pointing to a corresponding assumption listed in red boxes:

- Step 1: Can have pre-paid account or card
- Step 2: Can give you money first!
- Step 3: Can phone you with credit card #
- Step 4: Can phone pizzeria with credit card #
- Step 5: Can have account at pizzeria
- Step 6: Can be billed later by pizzeria
- Step 7: Can reimburse you when you get back

**Why** care about sequence?

- ONLY if **transaction success is jeopardized**

# On “3. @You Drive To Pizzeria”

*...Necessary constraint, or Assumed constraint?*

1. @Me specify, “Supreme w/extra olives”
2. @Me give you money
3. @You drive to pizzeria
4. @You order pizza
5. @You pay for pizza
6. @Pizzeria makes pizza
7. @Pizzeria gives you pizza
8. @You bring pizza to me
9. @Me has pizza
10. @Me eats pizza



**Why** care about sequence?

- ONLY if transaction success is jeopardized

# On “4. @You Order Pizza”

*...Necessary constraint, or Assumed constraint?*

1. @Me specify, “Supreme w/extra olives”
2. @Me give you money
3. @You drive to pizzeria
4. @You order pizza
5. @You pay for pizza
6. @Pizzeria makes pizza
7. @Pizzeria gives you pizza
8. @You bring pizza to me
9. @Me has pizza
10. @Me eats pizza



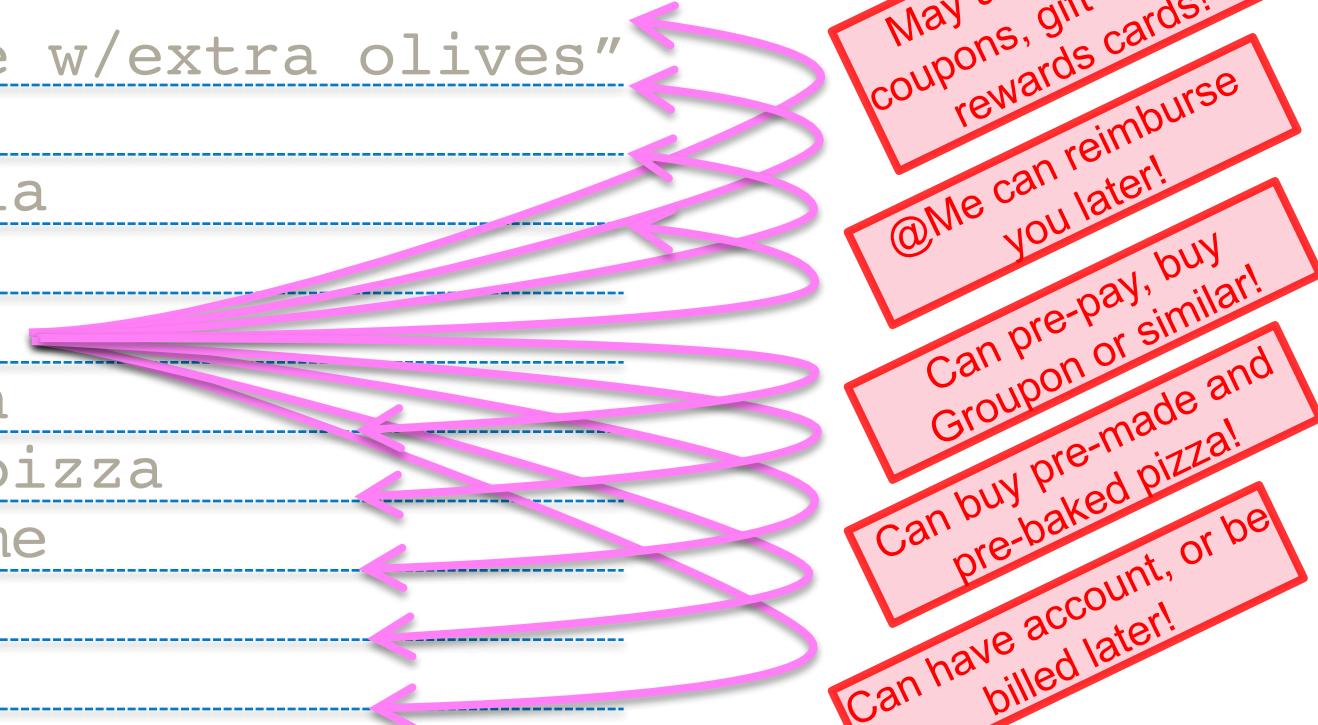
**Why** care about sequence?

- ONLY if you **get the wrong pizza**

# On “5. @You Pay For Pizza”

*...Necessary constraint, or Assumed constraint?*

1. @Me specify, “Supreme w/extra olives”
2. @Me give you money
3. @You drive to pizzeria
4. @You order pizza
5. @You pay for pizza
6. @Pizzeria makes pizza
7. @Pizzeria gives you pizza
8. @You bring pizza to me
9. @Me has pizza
10. @Me eats pizza



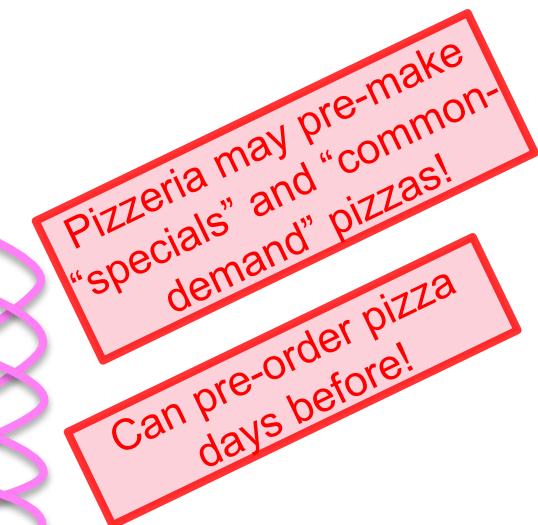
**Why** care about sequence?

- ONLY if **transaction success is jeopardized**

# On “6. @Pizzeria Makes Pizza”

*...Necessary constraint, or Assumed constraint?*

1. @Me specify, “Supreme w/extra olives”
2. @Me give you money
3. @You drive to pizzeria
4. @You order pizza
5. @You pay for pizza
6. @Pizzeria makes pizza
7. @Pizzeria gives you pizza
8. @You bring pizza to me
9. @Me has pizza
10. @Me eats pizza



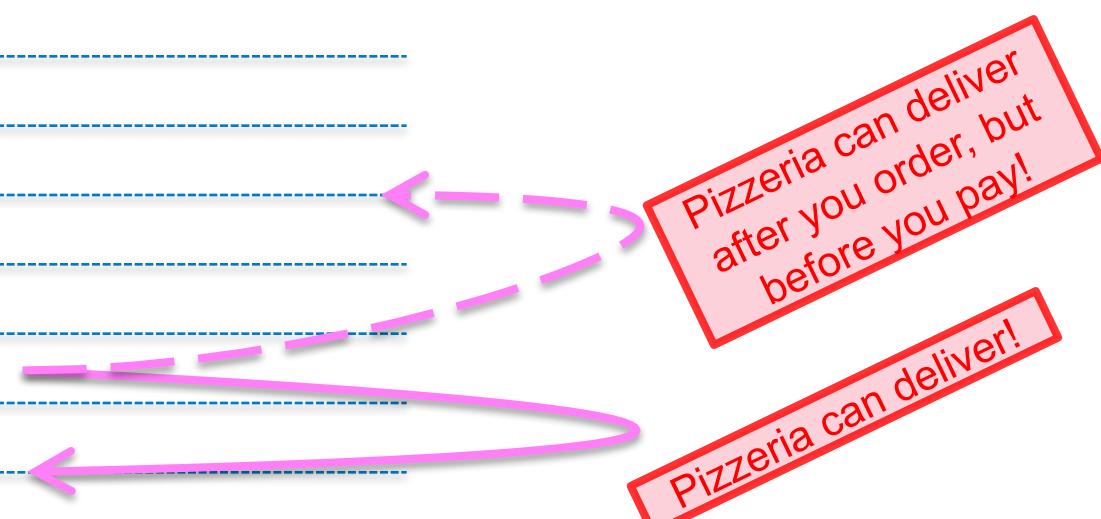
**Why** care about sequence?

- ONLY if you **get the wrong pizza**

# On “7. @Pizzeria Gives You Pizza”

*...Necessary constraint, or Assumed constraint?*

1. @Me specify, “Supreme w/extra olives”
2. @Me give you money
3. @You drive to pizzeria
4. @You order pizza
5. @You pay for pizza
6. @Pizzeria makes pizza
7. @Pizzeria gives you pizza
8. @You bring pizza to me
9. @Me has pizza
10. @Me eats pizza



**Why** care about sequence?

- ONLY if **transaction success is jeopardized**

# On “8. @You Bring Pizza To Me”

*...Necessary constraint, or Assumed constraint?*

1. @Me specify, “Supreme w/extra olives”
2. @Me give you money
3. @You drive to pizzeria
4. @You order pizza
5. @You pay for pizza
6. @Pizzeria makes pizza
7. @Pizzeria gives you pizza
8. @You bring pizza to me
9. @Me has pizza
10. @Me eats pizza

Pizzeria can deliver!  
(Remove instruction?)

Pizzeria can deliver!  
(Remove instruction?)

**Why** care about sequence?

- ONLY if **transaction success is jeopardized**

# On “9. @Me Has Pizza”

*...Necessary constraint, or Assumed constraint?*

1. @Me specify, “Supreme w/extra olives”
2. @Me give you money
3. @You drive to pizzeria
4. @You order pizza
5. @You pay for pizza
6. @Pizzeria makes pizza
7. @Pizzeria gives you pizza
8. @You bring pizza to me
9. @Me has pizza
10. @Me eats pizza

**Why care about sequence?**

- ONLY if **transaction success is jeopardized**



# On “10. @Me Eats Pizza”

*...Necessary constraint, or Assumed constraint?*

1. @Me specify, “Supreme w/extra olives”
2. @Me give you money
3. @You drive to pizzeria
4. @You order pizza
5. @You pay for pizza
6. @Pizzeria makes pizza
7. @Pizzeria gives you pizza
8. @You bring pizza to me
9. @Me has pizza
10. @Me eats pizza



Cannot Eat  
Before Have!

## Why care about sequence?

- ONLY if you get the wrong pizza
- ONLY if transaction success is jeopardized

# Scenario Review: We DID Consider...

- We considered the algorithm 
  - The “Goal”
  - Sequence-of-steps for how the “Goal” is achieved
  - Dependencies among instructions within algorithm
    - Including flexibility for “Instruction Re-Ordering”
- In Considering “Dependencies” among instructions:
  - Identified corner-cases forced us to define-terms regarding:
    - The meaning of specific “state”
    - The meaning of specific “operations” (or “activities”)
  - “What-if” logic and scenarios forced us to identify “required” instruction-order

**Algorithm requires:**

- What “state”?
- What “operations” upon state?
- What “dependencies” among state-changes?

We focused on ONE algorithm!  
There are an infinite number  
of alternative approaches  
(designs) to this problem!

# Scenario Review: We DID NOT (YET) Consider...

*What About...*

System “Tuning” might be factory-configured or user-configured

## 1. Preferences (*Optimization Bias*)

- How much are we willing to relax some things to get more of another thing about which we care more?

(example), User-Latency requirements might be sacrificed in some “special circumstances”; Compatibility requirements might be sacrificed for some versions, or configurations

## 2. Constraints

- What “limits” are we willing to compromise at some level?
  - (i.e., exploration of “soft-limits” and “hard-limits”)

## 3. Over-Specifications

System is validated and controlled for characteristics that the user does not care about

- What “controls/limits” are unnecessary? (We mistakenly ASSUMED?)
  - What ASSUMPTIONS restrict our system from achieving more optimal/desired levels-of-performance?

# What About “Preferences”?

For what do we optimize?



**Optimizations**  
can influence  
“selected-instruction-order”!

Can “Rank Order”  
to perform  
“Optimization Bias”!

- Prefer “Hot”
  - Select pizzerias closer-to-home
  - Order after you get there (not ahead-of-time)
  - Select “Pick-Up”, not Delivery
- Prefer “Good”
  - Select pizzeria across town with better pizza
- Prefer “Cheap”
  - Select low-cost pizzeria
  - Select pizzeria with “specials”
  - Select pizzeria for which we have coupons
  - Select pizzeria for which we have “rewards-card”
  - Select “Pick-Up”, not “Delivery” (so no \$ for tip!)
- Prefer “Fast”
  - Select pizzeria closer-to-home
  - Select pizzeria that “pre-makes” pizza
  - Select pizzeria with faster production pipeline
- Prefer “support-specific-business”
  - Select pizzeria recently started by your cousin Vinni
  - Select pizzeria employing the person with whom you are trying to get a date
- Prefer ...?



# What About “Constraints”?

*How are our choices limited?*



*The Big Bang Theory (2007 - )*

**Constraints**  
can influence  
“possible-instruction-order”!

- **Vehicle** available
  - Limits pizzeria selection based on distance, motivates Delivery not Pick-Up
- **Money** available
  - Limits pizzeria selection based on price-range, current-deals, on-hand coupons, frequent-buyer punch-card, Pick-Up not Delivery
- **When:** Day-of-week, Time-of-day, “The Big Game Day”
  - Limits pizzeria based on who is open, customer-load (e.g., long wait), etc.
- **Dietary restrictions**, preferences
  - Limits pizzerias to those with vegetarian/vegan, gluten-free, etc., or those offering the pizza type desired

# Biggest Assumption: Over-Specification (1 of 2)

## “Unwarranted Constraints”



**Over-specifications**  
are identified by (continually)  
re-visiting “First Principles”  
(i.e., the system’s purpose)

- Scenario Purpose:
  - @Me pay, but @Me not leave the house
- Over-specification:
  - @You will go get it
- Actual Specification:
  - @Me not leaving the house
- Impact:
  - Should “Delivery” be considered (in addition to “Pick-Up”)?
  - Should “Take-and-Bake” be considered?
  - Should we “Pre-Order” before The Big Day?
  - Should we have frozen-pizza “on-hand” for The Big Day?
  - Should we make pizza at home (from box, from scratch?)
  - Should friend just bring pizza when coming over?
  - Should you take a job at a pizzeria for free pizza?
  - Should you make friends with “Delivery Guy” who can get you all the free pizza you want?
- Other Over-specifications In This Scenario
  - @Me specify vs. @You specify pizza-type
  - @Me order vs. @You order
  - @Me pay, vs. @Me reimburse @You later (or @Me billed later by @Pizzeria)
  - Take&Bake pizza (provides flexibility regarding constraints “time”, “hot”)
  - Plan Ahead (have frozen pizza “on-hand”)
  - ...etc.

Any assumption unrelated  
to purpose is not a “real”  
specification/constraint!

Usually “YES”,  
something else is  
worth considering!

# Biggest Assumption: Over-Specification (2 of 2)

## “Unwarranted Constraints”



(often),  
**ENORMOUS Benefits**  
from relaxing constraints!

### Over-Specifications

- **Limit options**
  - For no benefit
  - For negative benefit
- **Confuse/Hide** the actual constraints!
  - Harder to discover elegant patterns
  - Harder to reason about (understand) behavior
- **Slow** our systems
  - by adding unnecessary constraints
- **Break** our systems
  - when unnecessary constraints are (eventually) violated

Over-Specification:  
Erroneous constraints  
should always be  
identified, and removed!

- **Real constraints** – may be relaxed in some scenarios (*maybe*)
- **Erroneous constraints** – always provide negative value

# Summary: Influences On Instruction Order (1 of 2)

- Instruction order influenced by:

1 **Requirements**: Sequence demanded through correct algorithmic execution

The same “System Requirements” can be expressed through different algorithms (each with “algorithm requirements”)

2 **Preferences**: Optimization biases expressing preferred tradeoffs

May be compile-time, or runtime!

3 **Constraints**: Limitations based on what is possible

Watch for “over-specifications” (“Phantom Constraints”)

**Translation**, and opportunities for misunderstandings **ALWAYS EXIST!**

4 **Translation**: Communication friction where concepts are lost or changed during conversion

- **REALITY** Hits!
- Must translate to execute!

OHZ NOZ! We still need to go through the compiler!

**(Hidden) System Failure Scenarios:**  
Assuming “universal concept and execution agreement” among all parties.

# Summary: Influences On Instruction Order (2 of 2)

- Instruction order influenced by:

1 **Requirements**: Sequence demanded through correct algorithmic execution

2 **Preferences**: Optimization biases expressing preferred tradeoffs

3 **Constraints**: Limitations based on what is possible

4 **Translation**: Communication friction where concepts are lost or changed during conversion

- REALITY** Hits!

- Must translate to execute!

Software Developer designs!

Compiler optimizations (speed/size/cross-platform, instruction set compatibility), sometimes by programmer design/algorithm, by system configuration

Mandated CPU cores, threads, execution units, or by system configuration

Many-stage Compiler translation to object code, CPU executes (pipelined) instructions through the CPU cache

# Imperative vs. Sequential Devices

“Do This” vs. Control Structures (Necessary Order)

# Programming Paradigms

- Adapted from: [https://en.wikipedia.org/wiki/Comparison\\_of\\_programming\\_paradigms](https://en.wikipedia.org/wiki/Comparison_of_programming_paradigms)

C++ is Multi-Paradigm Language!  
Can do all of them!

- **Imperative**: Statements directly change program state (e.g., “*Do This, then Do That*”)
- **Structured**: Style of Imperative with more logical structure
- **Procedural**: Modular programming (procedure call), derived from Structured
- **Functional**: Computation is evaluation of functions, avoiding state and mutable data
- **Event-Driven, Time-Driven**: Program flow determined by events
- **Object-Oriented**: Datafields treated as objects manipulated through methods
- **Declarative**: Defines computation logic without defining control flow
- **Automata-Based**: Programs are a model of a finite-state-machine or formal automata

Derived  
from

**Imperative Devices** are controlled through **Imperative** programming

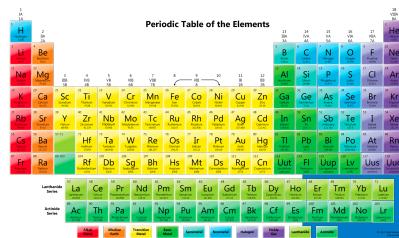
Instruction Order Is Crucial!

**Sequential Devices** are controlled through **Structured** or **Procedural** programming

Instruction Dependencies Is Crucial!



# Contrast Imperative vs. Procedural



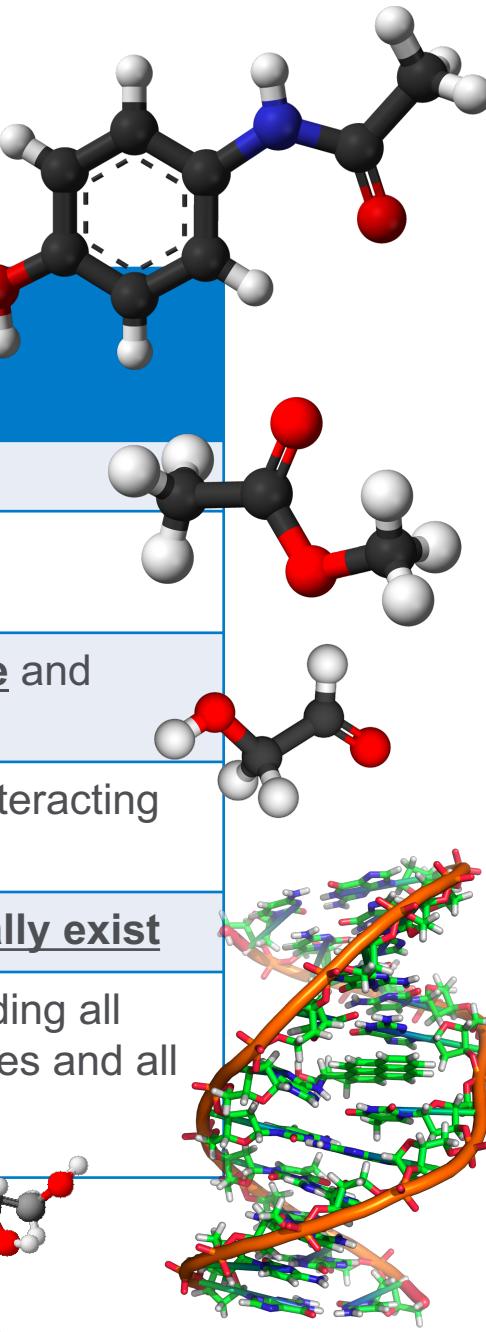
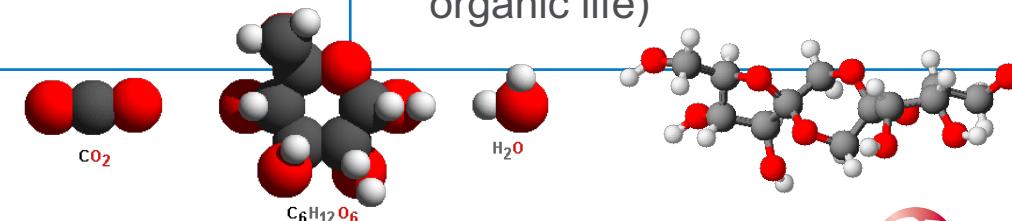
6  
**C**  
Carbon  
12.011

## Imperative: “Do This”

Building Block	<ul style="list-style-type: none"><li><u>Instruction</u></li></ul>
Sequence Is Established By	<ul style="list-style-type: none"><li>(Instruction) <u>Order</u></li></ul>
Practical Application	<ul style="list-style-type: none"><li>Interesting to explain <u>atomic-level reasoning</u></li></ul>
Metaphor	<ul style="list-style-type: none"><li><u>Atom</u> (association of indivisible smallest parts)</li></ul>
Explains	<ul style="list-style-type: none"><li><u>Table Of Elements</u></li></ul>
Basis For	<ul style="list-style-type: none"><li><u>Nuclear Chemistry</u> (including the most expensive way to “boil water” ever invented)</li></ul>

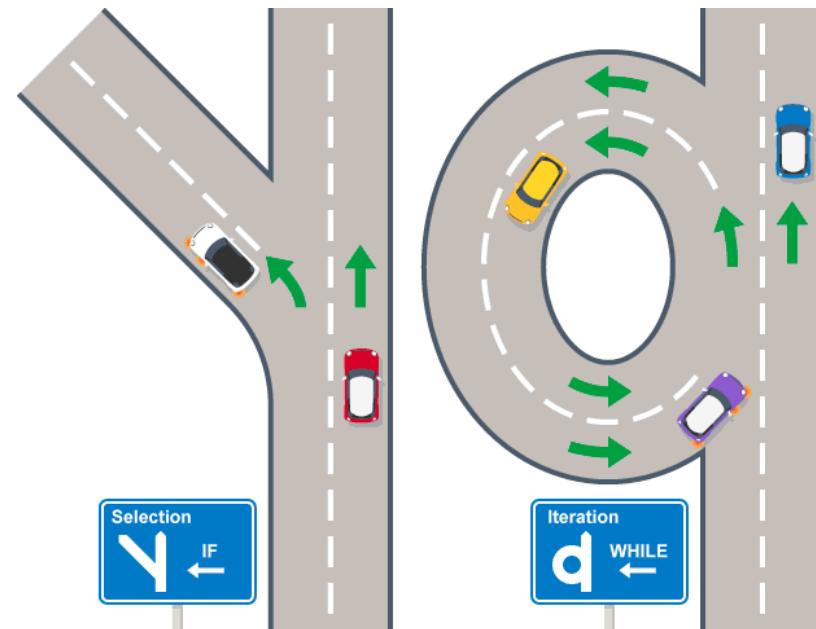
## Procedural: Control Structures

<ul style="list-style-type: none"><li><u>Control Structure</u></li></ul>
<ul style="list-style-type: none"><li><u>Logical Dependencies</u></li></ul>
<ul style="list-style-type: none"><li>Interesting to explain <u>all life</u> and <u>processes we observe</u></li></ul>
<ul style="list-style-type: none"><li><u>Molecule</u> (association of interacting compounds)</li></ul>
<ul style="list-style-type: none"><li><u>All compounds that actually exist</u></li></ul>
<ul style="list-style-type: none"><li><u>Electron Chemistry</u> (including all human-observable processes and all organic life)</li></ul>



# Sequence Of What?

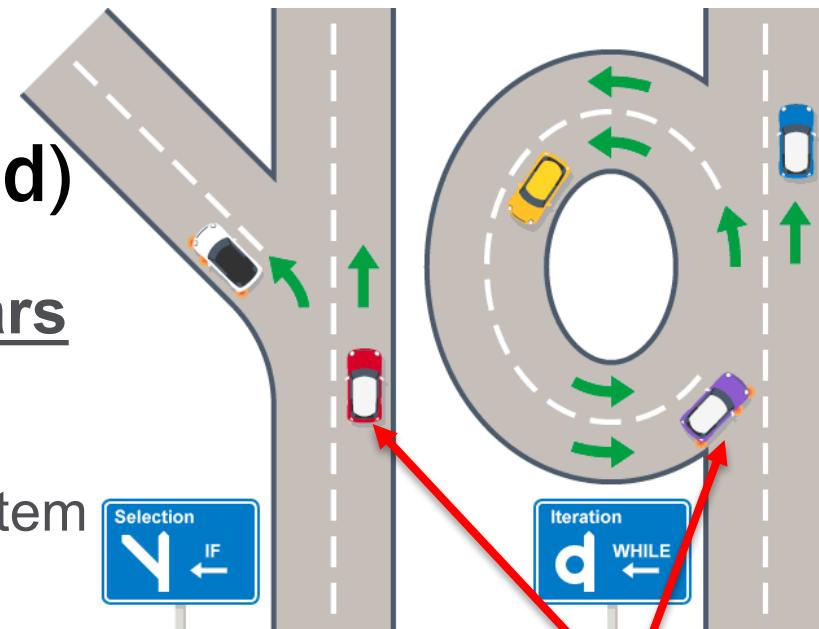
- Sequence of what?
  - Sequence of instructions
  - Sequence of data
  - Sequence of **dependencies**
- When we speak of, “Sequence”, are we talking about the “road” or the “cars-on-the-road”?
  - Are we discussing the structure of our lines-of-code?
  - Are we discussing the **data-flow and logic-flow defined by the dependencies established** through our lines of code?
- Is “Sequence” the **road** (our lines-of-code), or the **cars** (that actually move/flow through our system)?



We **design and implement** our systems by defining and building the **roads** on which data and instructions will flow!

# Sequence Is The Cars (Not The Road)

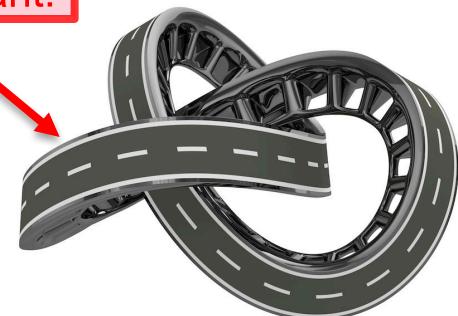
- We Design the Road, to (indirectly) Influence the Cars
- The Road: Program Structure, Control Flow
  - We write lines-of-code (we “build-the-road”) to craft our system
  - Lines-of-code is NOT the “sequence”!
- The Cars: Data-Flow, Instruction Sequence
  - Data-and-Instructions “move/flow” to run our system (the cars)
  - Lines-of-code (the “road”) is an INDIRECT INFLUENCE on “sequence”!



**Sequence** is the “Cars”, which we (indirectly) control by defining the “Road”!

Can define any road you want!

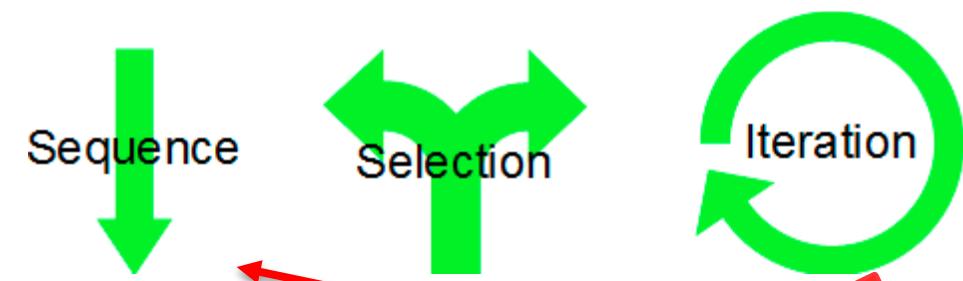
**Software Engineering establishes the structure (the roads) to INDIRECTLY control data flow, logic flow (the cars) that represent the processing we REALLY care about.**



# Algorithms Are Formed

- **Algorithms** are formed entirely from building blocks:

- Sequence (ordered series of steps)
- Selection (branched path, some not taken)
- Iteration (repeat some instructions)

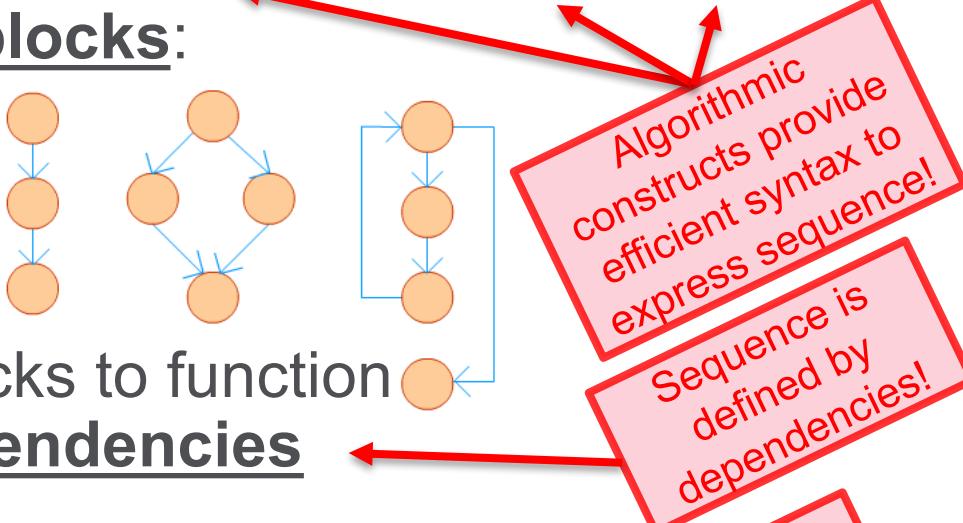


- The “mechanisms” that enable the building blocks to function (conditional values, counters) establish the dependencies

- We **ONLY care** about “Sequence”!

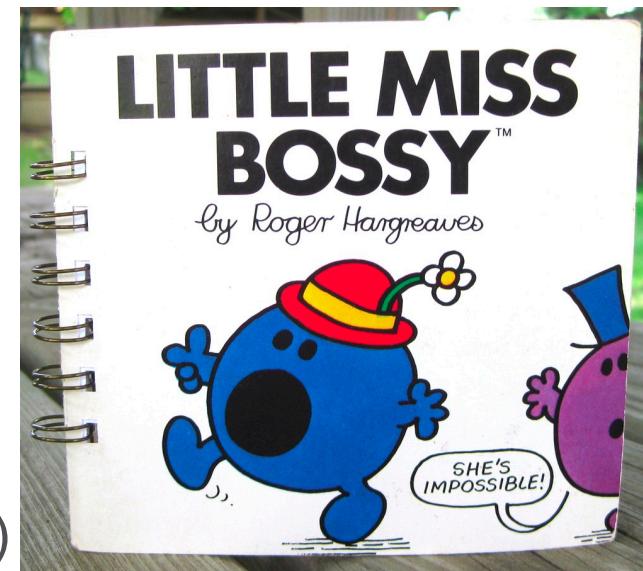
- Selection and Iteration are merely convenient (logical utility) constructs to assist us in defining sequence (Turing-Complete algorithms)
- Hard to otherwise define an “infinite-loop” sequence, or “select-among-option-set” sequence

**Sequence** is otherwise termed, “**Sequence of Dependencies**”  
(NOT “sequence-of-data” nor “sequence-of-instructions”)



# Review: Imperative

- “Imperative” means we specify “Do This!”, and it is done
  - Minimal (or no) latitude
  - High control (restriction) regarding behavior
  - Very repeatable (assuming no error, and no external state-changes)
  - (Usually), Highly Inefficient
    - You must “wait” until instruction is “done” before issuing next instruction
- Example:
  - Your well-trained dog is an imperative device
- Interesting Applications:
  - Real-Time Operating Systems (RTOS) demand exact control of each instruction cycle, and each resource allocation



Use "Bossy" Verbs	Add
Chop	Weigh
Push	Dry
Run	Brush
Cut	Flip
Turn	Measure
Pull	Peel
Measure	Knead
Blend	Stand
Heat	Wait
Mix	Pour
Cool	Sprinkle
Take	Rest
Cook	Bake
Clean	Lift
Stir	Open
Slice	Close
Spread	Melt
Grease	Divide
Place	Place
Eat	Eat
Toast	Grill

**Little Miss Bossy**

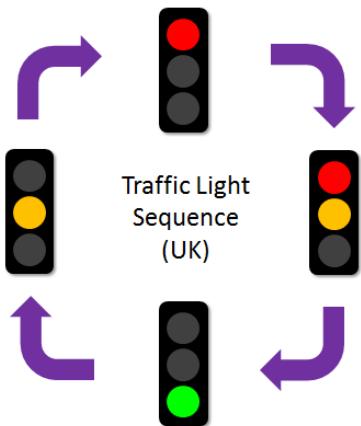
Today's CPUs don't work like this! Superscalar CPUs use “pipelines”!

# Review: Sequential

- “Sequential” assembles control-structures based on dependencies
  - Can reason about behavior (order is “defined” by logical dependencies)
  - Can reason about mutations (e.g., “state-machine”)
  - Can be Turing-complete (e.g., “Turing Trains” is Turing Complete)
    - Turing Complete: System is able to perform any calculation, given enough resources. (*Non-Turing-Complete systems are unable to handle a specific set of calculations, even with enough resources.*)
    - Turing Complete means algorithm can be expressed (whether-or-not it is performed)
- Example:
  - Airplane “Auto-Pilot” is a sequential device (*tends toward stable state by managing internal and external dependencies through well-defined algorithm(s)*)
- Interesting Applications:
  - Most real-world Software Engineering systems, system-integrations



We rely upon logical control structures!



# Imperative vs. Sequential Device



Imperative  
Device



Sequential  
Device

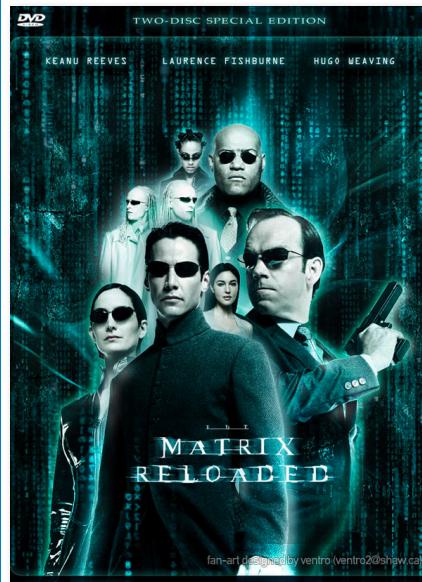
**Note:** Today's Distributed Web architectures scale through "Agents", not "Minions"

## Imperative: "Do This"

- Is "Task-based"
- Establishes "next-step" (only)
- Oblivious to logical dependencies
- Is Limited: Requires constant (frequent) supervision and control
- Hard to work with: Must specify many seemingly irrelevant details to address unexpected/surprising behavior
- CANNOT scale

## Sequential: Logical Steps

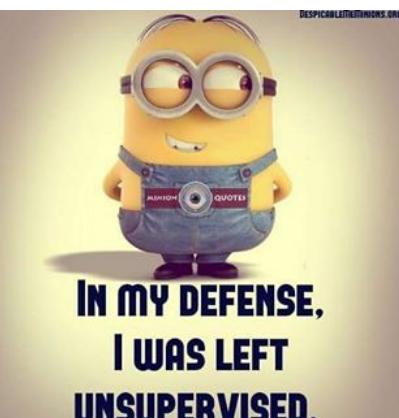
- Can be "Goal-based"
- Defines logical procedure (algorithm)
- Relies upon logical dependencies
- Can be used as organizational structure (to build/scale systems)
- Easy to work with: Operates with latitude within established constraints
- CAN scale



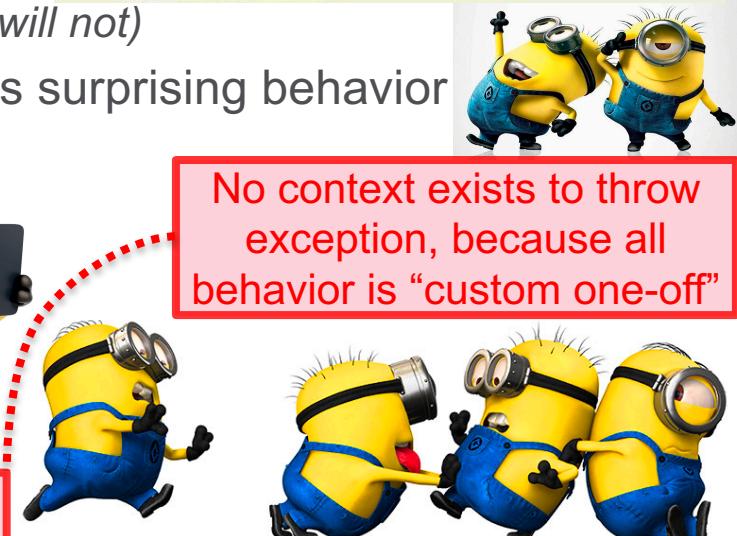
# What It's Like To Work With Imperative Devices

- You must:
  - Queue all future tasks (*the device will not*)
  - Perform all scheduling (*the device will not*)
  - Identify all dependencies (*the device will not*)
  - Manage all dependencies (*the device will not*)
  - Identify opportunities for efficiencies (*the device will not*)
  - Respond to unexpected external or system events (*the device will not*)
  - Concurrently interface with other components/systems (*the device will not*)
  - Continually establish further constraints when the device exhibits surprising behavior

I am unsupervised...  
For those that know  
me well, you  
would know that  
is could lead to  
all kinds of trouble!



No context exists to throw exception, because all behavior is “custom one-off”



# What It's Like To Work With Sequential Devices

- You must:
  - Establish algorithm based on logical dependencies
  - Establish objectives/priorities to guide device execution
  - Establish constraints for device behavior
  - Establish rules for concurrent interfacing with external components/systems
  - *That's it!*

Stable monitoring,  
idle mode



Self-organizing

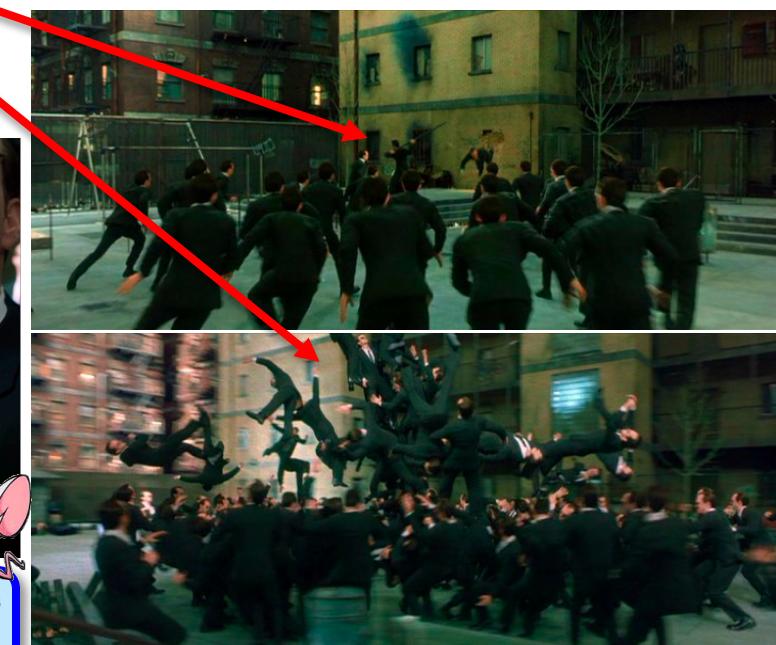


Variable load-response  
based on internal metrics



Goal-oriented

Exception is thrown



Taking over the world is far easier.

Pinky & the Brain  
(1993-1999)

# Contrasting Imperative vs. Sequential Devices

- **Imperative** devices:
  - Are “Simple”: Easy to create, and to understand
  - At Scale: Approximate Chaos
    - Logical dependencies not respected
    - Must tightly supervise interactions among components that do not respect (*logical*) dependencies
  - **Failure to Supervise: Chaos** (*possibly death – yours or theirs*)
- **Sequential** devices:
  - Are “Higher-Order” devices bounded by logical dependencies (*algorithm*)
  - May be “simple”, or “rich” in behavior
  - **Scale well**
  - **Failure to Supervise: Predictable Results**, can tend towards “order”

**Goal:** Is easier to scale systems with Agents that follow (established) procedure, versus Minions that must be constantly supervised.  
*(Chaos vs. Predictable Results)*



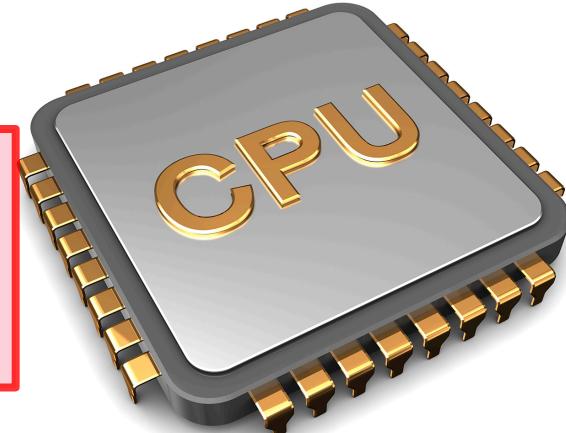
Minions (*imperative*) might be fun for entertainment value, and because they are unlikely to threaten you with collective bargaining; but if you want to get work done (efficiently and correctly), and at scale, then use Agents (*sequential*).



# The CPU Is A Sequential Device

- Very rich internal technologies, self-managed
  - Multi-level CPU cache (loading, eviction, coherency)
  - Superscalar CPU Instruction Pipeline (many instructions started/retired per cycle)
  - Speculative Execution (instructions “eagerly” executed, might be aborted, re-tried, or results disregarded)
  - Rich Microcode (firmware updates, other optimizations)
  - ...and *much more!*

The Modern  
Super-Scalar  
Multi-Core  
CPU Is  
Awesome.



CPU is very rich  
Sequential Device!  
(Not Imperative!)



Today's CPU will trace internal and external dependencies to

- parallelize execution, pre-fetch data, and speculatively execute instructions,
- while managing cache coherency (dependencies!) across threads, processes, and cores,
- while managing internal metrics for data access patterns and cache hits,
- to constantly optimize (at runtime!) based on a given execution sequence, data set, or runtime-observed system load characteristics.

# Physical vs. Logical Sequences

What actually happened, vs. what you assumed happened

# Physical vs. Logical Sequence

- Exactly TWO kinds of sequence exist:

## Physical Sequence

- 1 defined by order in which instructions “occur”

Physical Sequence  
is ALWAYS  
ambiguous!

- “Occur”
  - Are specified in source file?
  - Are serialized in object code?
  - Are presented through the Program Counter?
  - Are “started” (in the CPU)?
  - Are “completed” (in the CPU)?
  - Results are propagated/written?

## Logical Sequence

- 2 defined by logical dependencies in an algorithm

Logical Sequence  
is ALWAYS  
Well-Defined!

- “Logical dependencies”
  - Are EXPLICIT based on:
    - “Well-Defined Behavior”
    - ...those logic (control) structures for which the programming language EXERTS CONTROL

# Thinking About Sequence

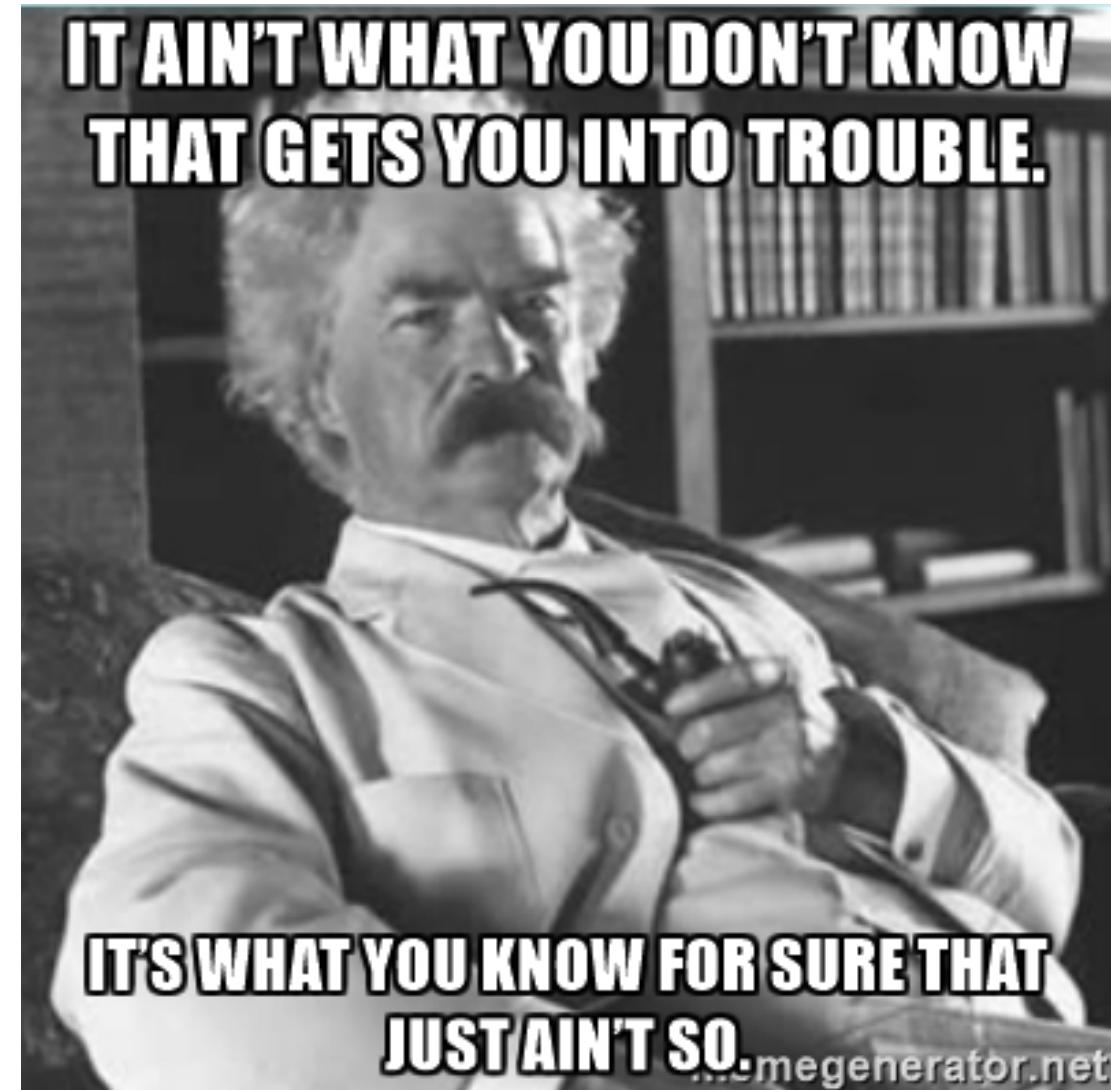
- What can we ASSUME about these statements in relation to each other?

```
int a, b;  
...  
a = 1;←  
b = 2;←
```

NO logical dependencies, so can assume NOTHING about statements in relation to each other!

- ABSOLUTELY NOTHING

- *Cannot make any assumptions, because no logical dependencies exist between the two assignment statements*



Mark Twain (1835 – 1910)

**NO assumptions can be made**  
between two statements that have no logical dependencies

# Our “Best” Algorithmic Tools

- What Do We KNOW to be True?
- Algorithmic Composition Tools:
  1. Logical Dependencies (across statements, expressions)
  2. Operator Precedence (within expression)
  3. Operator Associativity (within expression)

SAFEST!  
Most Elegant!  
**FASTESt!**

What is our  
“Sledge-Hammer”  
when we get angry?  
• *Mutexes, memory fences,  
critical sections, and similar*

## (forced) Synchronization

- Is expensive
- Is inelegant
- Introduces surprising corner-cases
- Introduces surprising constraints
- May be necessary to constrain your chosen algorithm to enable correctness

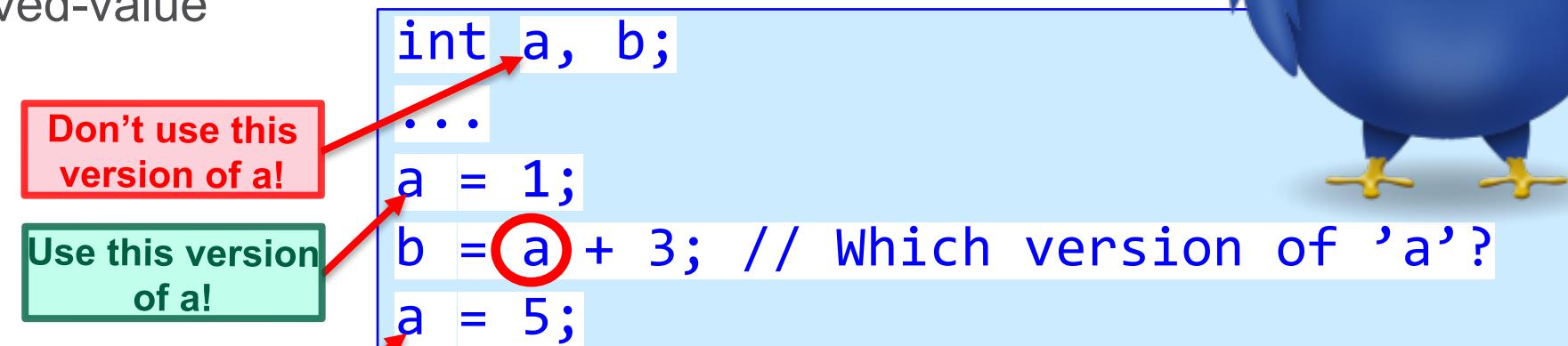


# Review: Logical Dependencies



- Logical Dependencies across statements-and-expressions use the “resolved-version” of a data object

1. Identify the “version” of the data object
2. Resolve value for that “version”
3. Use “resolved-value”
4. Profit!



Learn More!

HINT: Static Single Assignment (“SSA-form”) internal to compiler resolves each assignment to a new data object “version”

# Review: Operator Associativity

- **Operator Associativity:** How operators of the same precedence are grouped (the order in which an operator's operands are evaluated)
  - Examples:
    - **right-to-left:** operator= ()
    - **left-to-right:** +, -, \*, /

```
int a, b=1;
int *p = &a;


*p = ++b;


// 'a' MUST be 2! (always!)
...
```

**FIRST resolve this operand!**

**SECOND resolve this operand!**

Operators	Read from
Unary operators: ! ~ ++ -- + - * & (typecast) sizeof	Left to right
*	Right to left
/	Right to left
%	Right to left
+	Left to right
-	Left to right
<<	Left to right
>	Left to right
<	Left to right
<=	Left to right
>	Left to right
>=	Left to right
==	Left to right
!=	Left to right
&	Left to right
^	Left to right
	Left to right
&&	Left to right
	Left to right
:	Right to left
=	Right to left
+=	Right to left
-=	Right to left
*=	Right to left
/=	Right to left
%=	Right to left
,	Left to right



# Review: Operator Precedence

- **Operator Precedence:** The order in which operators are evaluated relative to each other
  - Example Precedence:
    - **highest:** (parenthesis)
    - **higher:** \*, /
    - **lower:** +, -

```
int a, b;  
...  
a = 1;  
b = 2 + a * 3;  
// 'b' MUST be 5! (always!)  
...
```

MUST happen FIRST!

MUST happen SECOND!

Operators	Read from
Unary operators: ! ~ ++ -- + - * & (typecast) sizeof	Left to right
*	Right to left
/	
%	
+	Left to right
-	
<<	Left to right
>	
<	Left to right
<=	
>	Left to right
>=	
==	Left to right
!=	
&	Left to right
^	
	Left to right
&&	Left to right
	Left to right
:	Right to left
=	Right to left
+=	
-=	
*=	
/=	
%=	
&=	Right to left
^=	
=	
<<=	
>=	
,	Left to right



# Example 1: Physical Sequence

- **Source Code:** A serialized form of physical sequences

```
void MyTable::highlightRowCol(int mouse_x, int mouse_y)
{
    int row_index = computeRowIndex(mouse_y);
    int col_index = computeColIndex(mouse_x);

    bool is_row_locked = isRowLocked(row_index);
    bool is_col_locked = isColLocked(col_index);

    Color row_color = (is_row_locked) ? color_locked_ : color_unlocked_;
    Color col_color = (is_col_locked) ? color_locked_ : color_unlocked_;

    highlightRow_(row_index, row_color);
    highlightCol_(col_index, col_color);
}
```

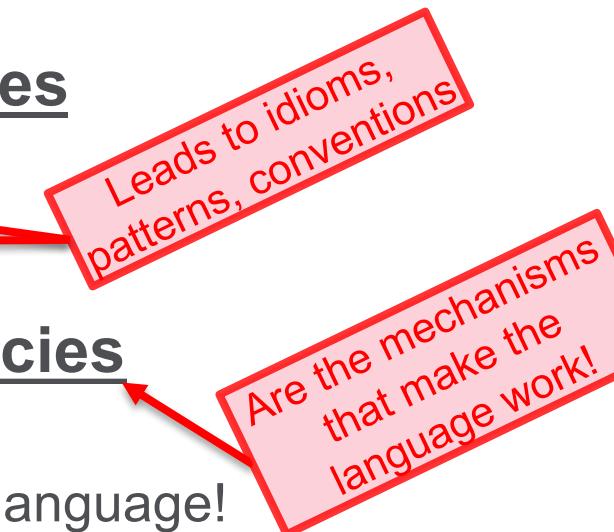
- (*Erroneous*) **ASSUMPTION:** This is execution order.  
  - Order appears to be explicit
  - Order is tool we use to reason (so some guarantees *must* be present)
  - Assumption is reinforced by **how programming is taught**, and (*misunderstanding*) **programming language rules**.

NOT true!

BOLD assertion  
(defended on  
next slide)

# Misunderstanding Programming Language Rules

- ALL programming languages have rules
  - Syntax and semantics
  - Well-defined behavior
- ALL rules establish logical dependencies
  - Are how we reason in that language!
  - Is basis for Well-Defined Behavior in that language!
- ALL programming languages are SILENT on physical sequence
  - Is “Undefined Behavior” (UB) or “Unspecified Behavior”
  - Is that which the language CANNOT control (e.g., CPU, memory access latencies)



## C++ Terms

- Undefined Behavior:  
Lack of Constraints  
(order of globals initialization)
- Unspecified Behavior:  
Constraint Violation  
(dereferencing NULL pointer)

- NO programming language mandates behavior for physical sequence, because that is NOT POSSIBLE (is not how compilers and CPUs work).
- If a programming language wants to EXERT CONTROL, it establishes Logical Dependencies (through which Logical Sequences can be expressed.)

ALL programming languages are designed to ACTUALLY execute on a CPU!

# Revisit Example 1: Is Two Logical Sequences

(Previous)

In this case,  
logical sequences  
are totally unrelated!

```
void MyTable::highlightRowCol(int mouse_x, int mouse_y)
{
    int row_index = computeRowIndex(mouse_y);
    bool is_row_locked = isRowLocked(row_index);
    Color row_color = (is_row_locked) ? color_locked_ : color_unlocked_;
    highlightRow_(row_index, row_color);

    int col_index = computeColIndex(mouse_x);
    bool is_col_locked = isColLocked(col_index);
    Color col_color = (is_col_locked) ? color_locked_ : color_unlocked_;
    highlightCol_(col_index, col_color);
}
```

```
void MyTable::highlightRowCol(int mouse_x, int mouse_y)
{
    int row_index = computeRowIndex(mouse_y);
    int col_index = computeColIndex(mouse_x);

    bool is_row_locked = isRowLocked(row_index);
    bool is_col_locked = isColLocked(col_index);

    Color row_color = (is_row_locked) ? color_locked_ : color_unlocked_;
    Color col_color = (is_col_locked) ? color_locked_ : color_unlocked_;

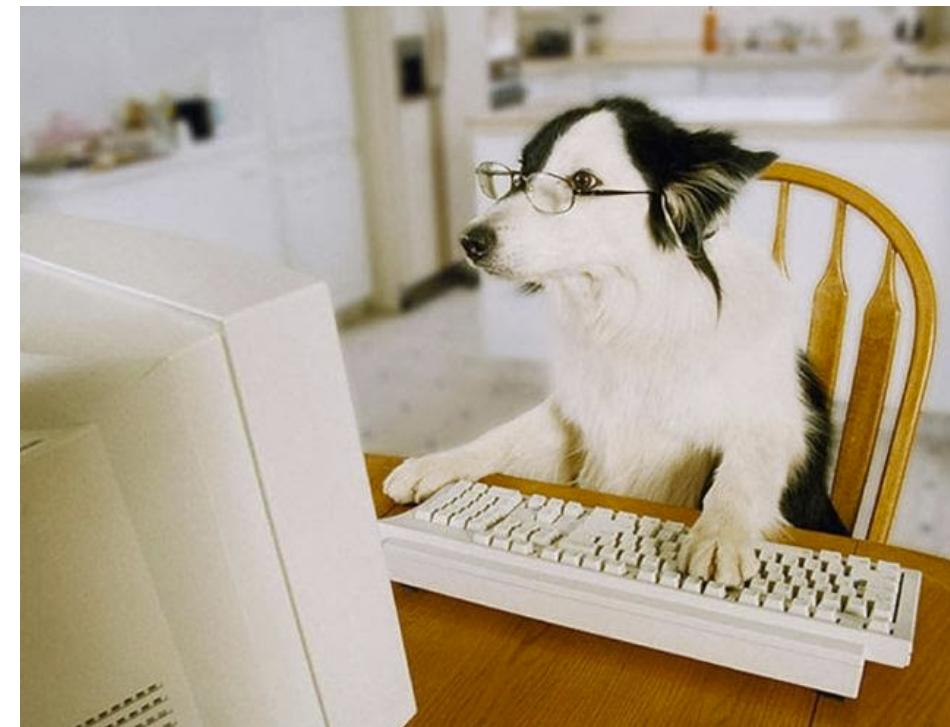
    highlightRow_(row_index, row_color);
    highlightCol_(col_index, col_color);
}
```

- By tracing Logical Dependencies (by tracing C++ Well-Defined Behavior)
  - We Discover (in this case): Two logical sequences are present!
  - These separate logical sequences have NOTHING to do with each other!
    - In this case, could even be separate functions!

# Example 2: Physical Sequence

- How to identify logical sequence(s)?

```
{  
    int a, b;           // Instantiate a, b  
    a = 1;             // Assign to a (from 1)  
    int c;             // Instantiate c  
    b = 2;             // Assign to b (from 2)  
    c = a + b;         // Assign to c (from a + b)  
    int d;             // Instantiate d  
    a = b;             // Assign to a (from b)  
    d = a + c;         // Assign to d (from a + c)  
    ...  
}
```



- A more abstract (perhaps “real-world”) example
  - Code seems ...*indirect*
  - Variable names are ...*vague*
  - Comments are ...*unhelpful*

# Example 2: Logical Sequence (inferring)

- Logical Sequence can be inferred from physical sequence (using programming language “Well-Defined” behavior)

```
{  
    int a, b;          // Instantiate a, b  
    a=1;              // Assign to a (from 1)  
    int c;            // Instantiate c  
    b=2;              // Assign to b (from 2)  
    c=a + b;          // Assign to c (from a + b)  
    int d;            // Instantiate d  
    a=b;              // Assign to a (from b)  
    d=a + c;          // Assign to d (from a + c)  
    ...  
}
```

Resolve a, b  
before here

Resolve b  
before here

Resolve a, c  
before here

Immutable constant!  
Is always resolved!

Is why functional  
languages avoid  
assignment operator!

Is the main mechanism  
used by functional  
languages to establish  
sequence!

- Hints to find Logical Sequence:

- Every time “state is used, dependency!”
- Be Wary: overwriting, mutation (watch the assignment operator ‘=’)
- Dependency is implied through “nested-expansion” (inputs to expressions, function-calls)

# State: Hidden Dependencies!

- “State” is “tricky”
  - WHEN did you use it? Was it CORRECT?

- Was it “stale”? (too “old”) ←
  - Was it “corrupted”? (update was *in-progress*) ←
  - Was it “too-new”? (race condition) ←

const (read-only)  
makes this simple!  
(Value is always correct!)

- Two issues exist:

- ① Compute State (e.g., expressions with operands) ←

Not too hard

- Were operand values “correct”?

- ② Change State (e.g., overwrite/mutate previous state) ←

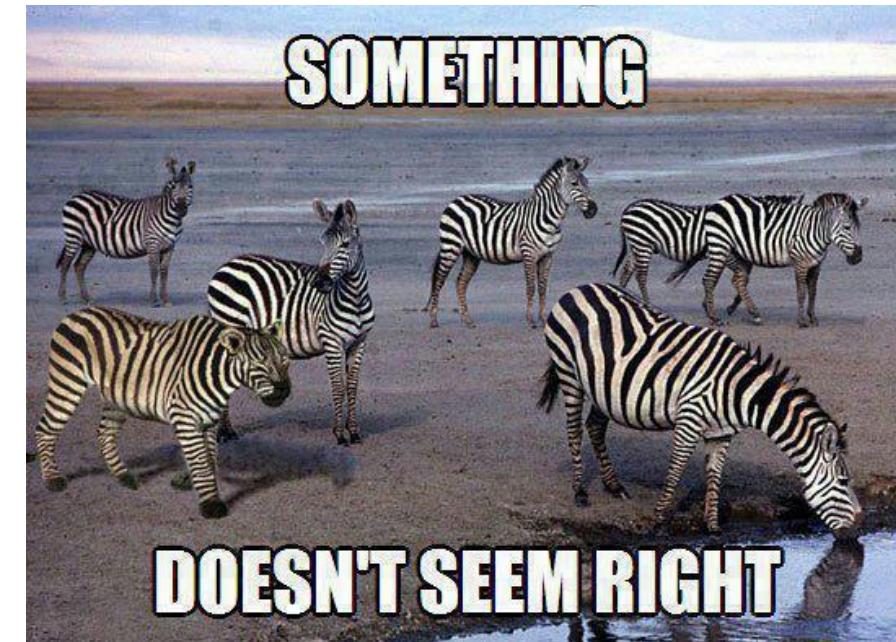
Much Trickier!

- WHEN did that occur? (stale, corrupted, too-new?)

Anytime you use state, there might be hidden dependencies! So,  
**“Use state with care!”**

# Assignment '=' Is A Change In State

- Assignment operator= () : Tricky!
  - ① Dependencies to compute RHS
  - ② Overwrite LHS (Dependencies for WHEN did that occur relative to other reads/writes?)
- Functional programming avoids operator= ()
  - Avoids (2), but still has (1)
  - Addresses (1) by functionally computing all values from “First Principles”  
(i.e., “*State Is Evil*”)



**Functional Programming Mantra:**  
**“State Is Evil,**  
**all values are computed from First Principles”**

# “Resolving” Dependencies

- State (values)

1. Constant: `42`

- Easy! Done at compile!

2. Computed: `a * b`

- ① Must complete evaluation before is used (i.e., “RHS”)

3. Overwritten: `c = a * b`

- ① Must complete evaluation of RHS
- ② Must overwrite LHS

4. Mutated: `c += a * b`

- ① Must resolve LHS
- ② Must resolve “delta” (e.g., “RHS”)
- ③ Must overwrite LHS

- Side-Effects (*tricky!*)

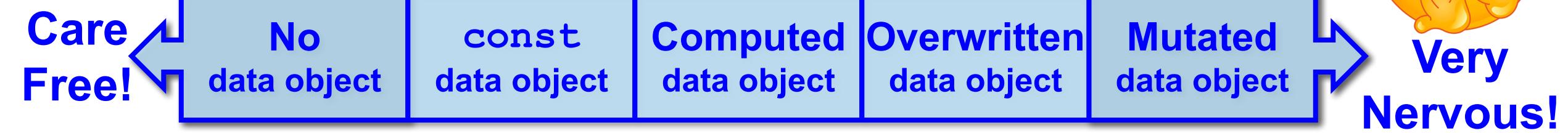
1. Resolve “before” side-effect “starts”
2. Resolve “before” side-effect “completes”
3. Resolve “after” side-effect “completes”

Functional Programming  
(tries to) avoid these!

**Reasoning**  
about  
dependencies  
is actually  
**pretty simple**

In practice,  
**Complexity increases (non-linearly)** with a greater number of dependencies

# State: “Nervous Meter”

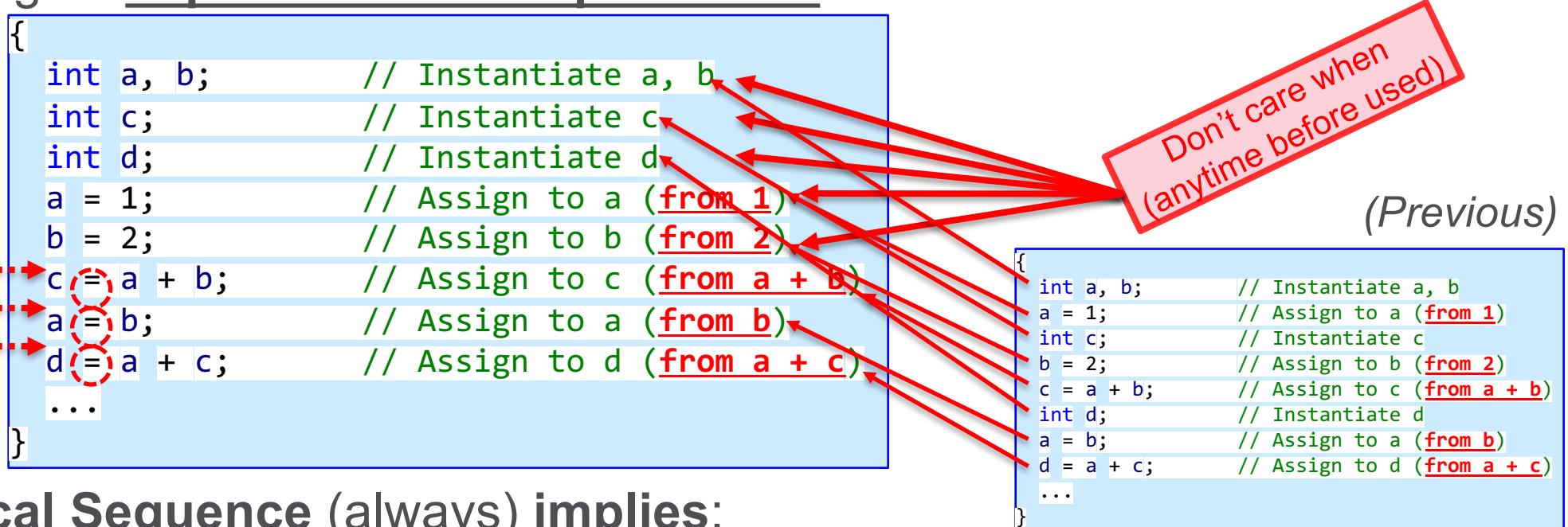


Functional Programming

All Programming

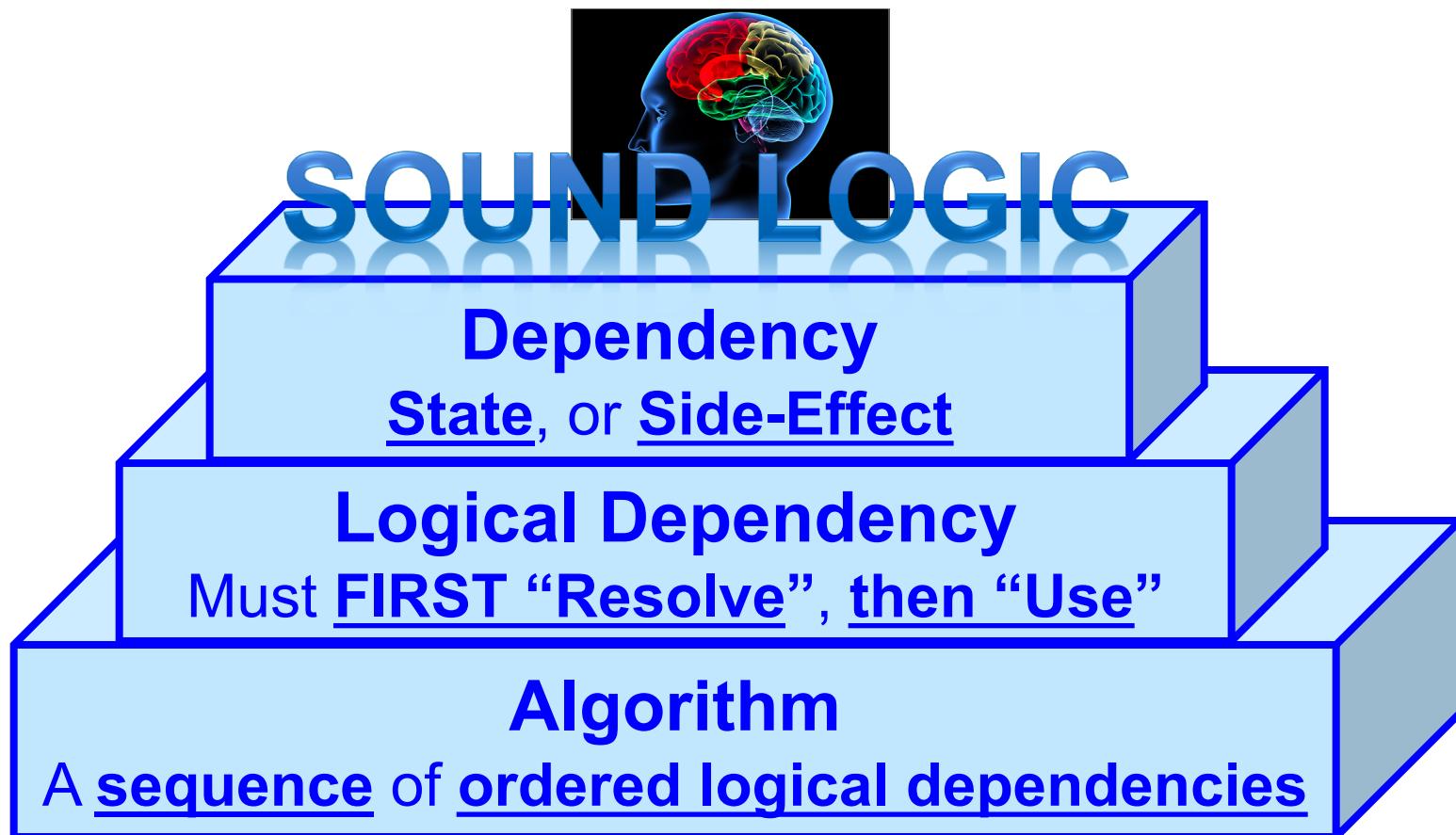
# Example 2: Logical Sequence (preserving)

- Logical sequence survives (arbitrary!) physical sequence “shuffling”, as long as dependencies are preserved.



- Logical Sequence (always) implies:
  - State must be “resolved” before is used
  - “Zones” of “Don’t Care!” exist – we do not care about physical sequence order

# Concept Review: Logical Sequence



**Rule:** Any order that respects logical dependencies is logically equivalent

# Concept Review: Physical Sequence

- Physical Sequence: Don't care.
  - Is arbitrary and weird
  - Moves around for seemingly no reason whatsoever
    - At compile-time, at runtime
- When you hear the word “Sequence”, IMMEDIATELY ask, “Logical Sequence”?
  - Because if it's not a logical sequence, we don't care.



Parks And Recreation (2009-2015)

**Physical Sequence:  
No dependencies exist, so  
order CANNOT be enforced.**



# The C++ “As If” Rule

Conspiring to change your code (*unbeknownst to you*)

# The C++ “As If” Rule (paraphrased)

- “The Chillin’ Rule”:

**“It’s All Good.”**



# The C++ “As If” Rule (paraphrased)

- “The Cool Rule”:

“Relax. I got this.”



# The C++ “As If” Rule (paraphrased)

- “The Casual Rule”:

**“Dependencies respected.  
Everything else is casual.”**



# The C++ “As If” Rule (quoted)

C++11 Standard, §1.9/1

The semantic descriptions in this International Standard define a parameterized nondeterministic abstract machine. This International Standard places no requirement on the structure of conforming implementations.  
<snip>, (emphasis added)

- The C++ Standard is TWO things:

1

Explanation of  
(observable) behavior  
(which *IS* the standard!)

Must be respected!  
Is Well-Defined Behavior!

2

Definition of an abstract machine  
to explain that behavior  
(including sufficient structure  
to demonstrate the machine  
can be constructed)

Implementation is free to  
disregard! Is Artifact!



# The C++ “As-If” Rule (In Practice)

- The C++ compiler and CPU are permitted to perform any and all code transformations that do not change the program's observable behavior.

*Translation:*

The **Compiler** and **CPU** can do whatever they want,  
as long as logical dependencies are respected.

*Corollary:*

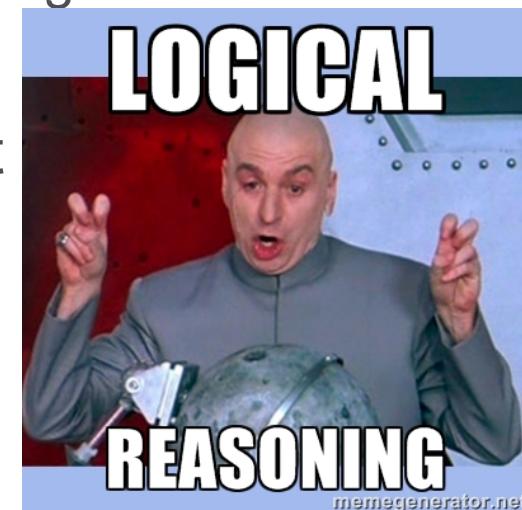
We should expect the compiler and CPU to reorder  
the execution of our C++ statements at some level



# Compare “C++ As-If” With Other Languages

- C Language has the equivalence of the “As-If” rule
  - Lacks some subtle rules to gain intent (e.g., “copy elision”)
- Many other languages (“simpler”): Language specification merely documents the intended behavior of the implementations (including that which is explicitly unspecified) in the target intermediate representation specification
  - Might have a “reference implementation” that is “correct”, where differing behavior of all other implementations are “wrong”
- Some languages strictly specify formal language rules without mentioning intent
  - Is similar to “As-If” rule when behavior is not explicitly specified

Intent != Behavior



# C++ Expresses “Intent”

- [std-discussion@isocpp.org](mailto:std-discussion@isocpp.org)

- Richard Hodges, “Re: [std-discussion] throw std::exception with stack trace (portable)”
- Sat-16-Apr-2016, <https://groups.google.com/a/isocpp.org/forum/#topic/std-discussion/A17G1ram9ns>

<snip>,

“C++ is not like other languages. It expresses intent.  
The compiler transforms that intent into ‘as if’ code.

It is wiser to focus efforts on guaranteeing  
that the correct intent is specified.”

<snip>



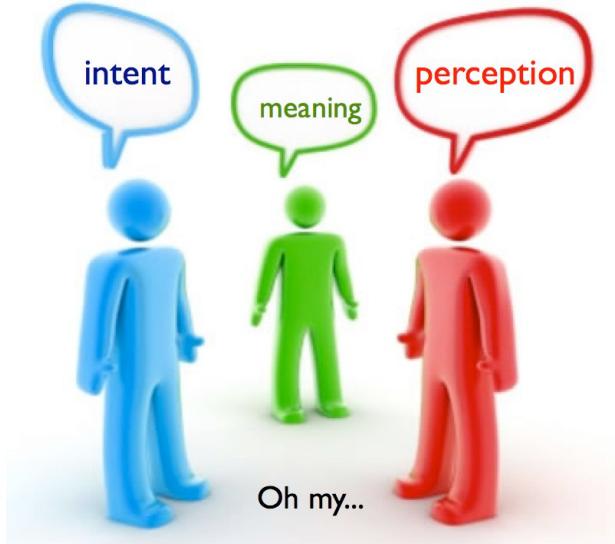
C++ is designed to run (*efficiently!*)  
on an actual CPU!

Any “extra-sequence-guarantees”  
offered by other languages impose  
(*significant!*) efficiency penalties on  
the compiler, and CPU!

“Intent” is expressed through C++ Well-Defined behavior to  
establish dependencies within the algorithm.

# Expressing “Intent”

- All languages express “intent” (somehow)
  - Language rules for “Well-Defined” Behavior
- Intent allows “Optimizations”
  - Respect “intent”; Allow ANY AND ALL changes that do not undermine “intent”
    - Copy Elision
    - Return-value optimization (RVO)
    - Function Inlining
- All languages run on an actual CPU
  - Possibly with many levels of “indirection”, “translation”, “interpretation”
  - Dependencies (“intent”) are respected



Controlling for “intent” permits  
MAXIMUM FREEDOM  
to perform optimizations!  
*(Does not control for “artifacts”)*

**Algorithmic “Intent”** is expressed by  
establishing (logical) dependencies

# Summary

## The “take-away for today”

Stop Thinking Imperatively (don't assume order)

# Instruction Order Summary

- There is “a chance” that your statements execute in the order you specify in your C++ source code file.

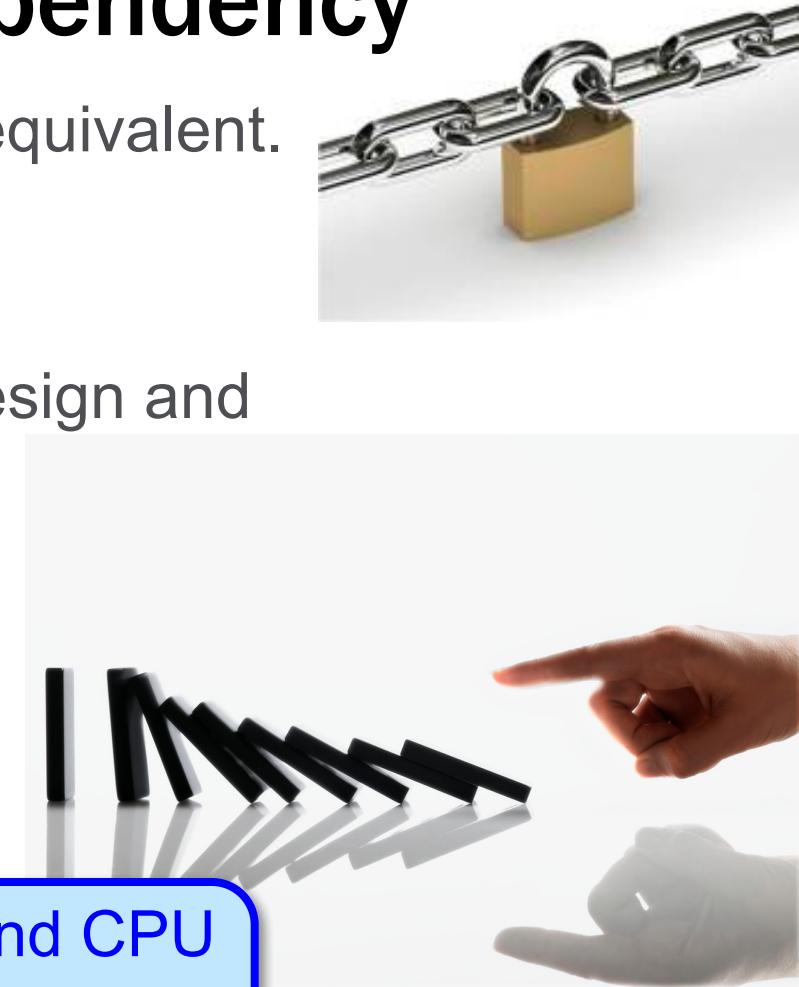


Dumb and Dumber (1994)

- ...But not a very good one.

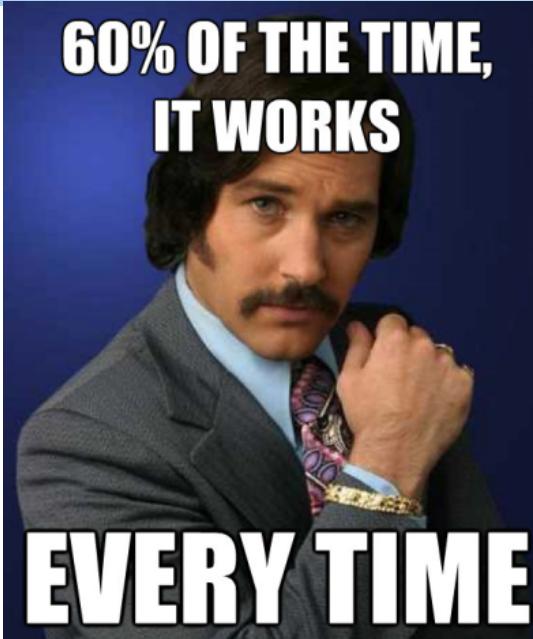
# Logical Sequence: Defined by dependency

- Any order that respects dependency order is logically equivalent.
  - Logical Sequence is defined by dependencies (we care!)
  - We care not at all about any other order
- With explicit focus on dependency management in design and implementation:
  - Our single-threaded implementations are faster
  - Our multi-threaded designs are easier
  - Many irrelevant details disappear from discussion
  - We best exploit technologies within compiler and CPU



Granting maximum latitude to the compiler and CPU regarding order leverages the underlying technology to get the fastest and most efficient programs

# We Control For Dependencies



- **Dependencies are the basis** for how we reason
  - **Dependencies are respected** by the compiler and CPU (or all is lost)
  - If **no dependencies** present, **order is uncontrolled**
- The **C++ Language**, the **compiler**, and the **CPU** are based on well-defined behavior to **manage dependencies** (*not “instructions”, not “statements”*)
  - They all **AGREE** on what are “**dependencies**”
  - They all **DISAGREE** on what is an “**instruction**” or “**statement**” (*no commonality*)
- Defining (or relying upon) “**physical order**” is **problematic** – don’t try

Why would ANYONE think “instructions” (or statements”) would execute “in-order”? It is **HIGHLY DESIRABLE** that the order be **Uncontrolled!**

# Dependencies: How We Design, Implement

1

Make No Assumptions regarding “order”

(dependencies are respected, “order” is not)

2

Over-Specifications restrict efficiencies

(specify only those *minimal dependencies required*)

3

C++ As-If Rule:

The Compiler and CPU can do whatever they want,  
as long as logical dependencies are respected



A Bonus: By focusing on dependencies, our design often changes

- More scalable, flexible, efficient, simpler (*fewer assumptions*)

# Essence Of Parallel, Concurrent



*Best Practice:*  
**Go Parallel when you can,  
Concurrent when you must**



1

*Essence of Parallel:*  
**No Dependencies**

(is about leveraging the hardware)

2

*Essence of Concurrent:*  
**Interacting Dependencies**

(is about managing complexity)

Design to be simple  
and obvious!

Design to algorithm  
desired!

# Rodney Dangerfield

## Well-Defined Behavior

### Well-Defined Behavior

- Comes from logical sequence
  - Defined by logical dependencies

Respected!  
Enforced!

Undefined Behavior  
is always repaired  
by establishing  
logical dependencies!



November 22, 1921 – October 5, 2004

*Patron Saint of  
Physical Sequence*

### Undefined Behavior

- Comes from physical sequence
  - No dependencies exist, so order cannot be enforced



Questions?