

# INT201 Decision, Computation and Language

Lecture 7 – Context-Free Languages (2)

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## Assistant Professor-Dr Chunchuan Lyu

- Graduated from The University of Edinburgh and XJTLU  
Studied computational semantics but moved to unsupervised reinforcement learning (what an agent should do if no moral gold standard is given?)
- Office hour: 15:00-17:00 Wednesday at SD543 (or by appointment)
- Contact: [chunchuan.lyu@xjtlu.edu.cn](mailto:chunchuan.lyu@xjtlu.edu.cn)



## Overall Study Tips

- Theory of Computation in 12 Hours by Easy Theory Youtuber  
Really clear explanation
- Theory of Computation 2020 by Michael Sipser MIT OCW  
We are following closely
- The Nature of Computation  
Good complementary book
- ND-CSE-30151 by Professor Chiang, University of Notre Dame  
python notebook that demonstrates automata

Please come to office hour, if you are having difficulty or question about anything.



## Overview for the second half

- We will be climbing the ladder of what is computationally possible. What kind of languages/problems can be recognized by what kind of machine?
- After the end of this module, you will know that there are problems can not be solved by any reasonable computing machine.
- You will be writing proofs.



## Recap

- Regular languages are context-free
- Every context-free grammar has a Chomsky Normal Form

## Today

- Closure property of context-free grammar
- Syntactic parsing (\*optional)
- Pushdown Automata



## Noam Chomsky 1928-now

An American professor, father of modern linguistics

- Transformational Analysis (1955)
- Syntactic Structures (1957)
- Minimalist program (1995)



What is language?

Why does it have the properties it has?

Formal Basis of a Language Universal (2021 Miloš Stanojević, Mark Steedman)



## Noam Chomsky 1928-now

A public intellectual

- Manufacturing Consent (1988 with Edward S. Herman)
- On Palestine (2015 with Ilan Pappé)
- Consequences of Capitalism (2021 with Marv Waterstone)



"one of the most notable contemporary champions of the people"

"pathological hatred of his own country"



# Noam Chomsky 1928-now

A public intellectual

- Manufacturing Consent (1988 with Edward S. Herman)
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- Consequences of Capitalism (2021 with Marv Waterstone)



Israel responsible for four genocidal acts in Gaza, inquiry chair tells General Assembly



© UNRWA | Destruction in northern Gaza

Gaza, a city a **quarter** the size of **SIP**, was subjected to a minimum of **five** times more tons of explosives than fell on **London** throughout the second world war.





## Closure Properties of Context Free Language

Theorem: If  $L_1$  and  $L_2$  are context-free languages, their union  $L_1 \cup L_2$  is also context free.

Example:

$$L_1 = \{a^n b^n c^m \mid m \geq 0, n \geq 0\}$$

$$L_2 = \{a^n b^m c^m \mid m \geq 0, n \geq 0\}$$

$$L_3 = L_1 \cup L_2 = \{a^i b^j c^k \mid i \geq 0, j \geq 0, k \geq 0, i = j \text{ or } j = k\}$$

### Proof idea:

For  $L_1$  and  $L_2$ , there exists corresponding context free grammars  $G_1 = (V_1, \Sigma_1, R_1, S_1)$  and  $G_2 = (V_2, \Sigma_2, R_2, S_2)$ . Let  $G_3 = (S \cup V_1 \cup V_2, \Sigma_1 \cup \Sigma_2, R_1 \cup R_2 \cup \{S \rightarrow S_1 \mid S_2\}, S)$ , clearly  $L(G_3) = L_1 \cup L_2$ .



## Closure Properties of Context Free Language

Theorem: If  $L_1$  and  $L_2$  are context-free languages, their concatenation  $L_1 \circ L_2$  is also context free.

Example:

$$L_1 = \{a^n | n \geq 0\}$$

$$L_2 = \{b^n | n \geq 0\}$$

$$L_3 = L_1 \circ L_2 = \{a^i b^j | i \geq 0, j \geq 0\}$$

### **Proof idea:**

For  $L_1$  and  $L_2$ , there exists corresponding context free grammars  $G_1 = (V_1, \Sigma_1, R_1, S_1)$  and  $G_2 = (V_2, \Sigma_2, R_2, S_2)$ . Let  $G_3 = (S \cup V_1 \cup V_2, \Sigma_1 \cup \Sigma_2, R_1 \cup R_2 \cup \{S \rightarrow S_1 S_2\}, S)$ , clearly  $L(G_3) = L_1 \circ L_2$ .



## Closure Properties of Context Free Language

Theorem: If  $L_1$  is context-free languages, their Kleene closure  $L_1^*$  is also context free.

Example:

$$L_1 = \{a^n b^n | n \geq 0\}$$

$$L_2 = L_1^* = \{(a^{n_k} b^{n_k})^k | n_k \geq 0, k \geq 0\}$$

**Proof idea:**

For  $L_1$ , there exists corresponding context free grammars  $G_1 = (V_1, \Sigma_1, R_1, S_1)$ . Let  $G_2 = (S \cup V_1, \Sigma_1, R_1 \cup \{S \rightarrow S_1 S | \epsilon\}, S)$ , clearly  $L(G_2) = L_1^*$ .



# Parsing Natural Language with Context-Free Grammar

Given CFG  $G = (V, \Sigma, R, S)$

Variables  $V = \{S, NP, VP, Det, Nominal, Noun, PP, Preposition, Verb\}$

Terminals  $\Sigma = \{\text{The, spy, saw, cop, with, a, telescope}\}$

Rules:	Grammar	Lexicon
	$S \rightarrow NP VP$	$Det \rightarrow \text{The a}$
	$NP \rightarrow Det Nominal$	$Noun \rightarrow \text{spy cop telescope}$
	$Nominal \rightarrow Noun \mid Nominal PP$	$Verb \rightarrow \text{saw}$
	$VP \rightarrow VP PP \mid Verb NP$	$Preposition \rightarrow \text{with}$
	$PP \rightarrow Preposition NP$	

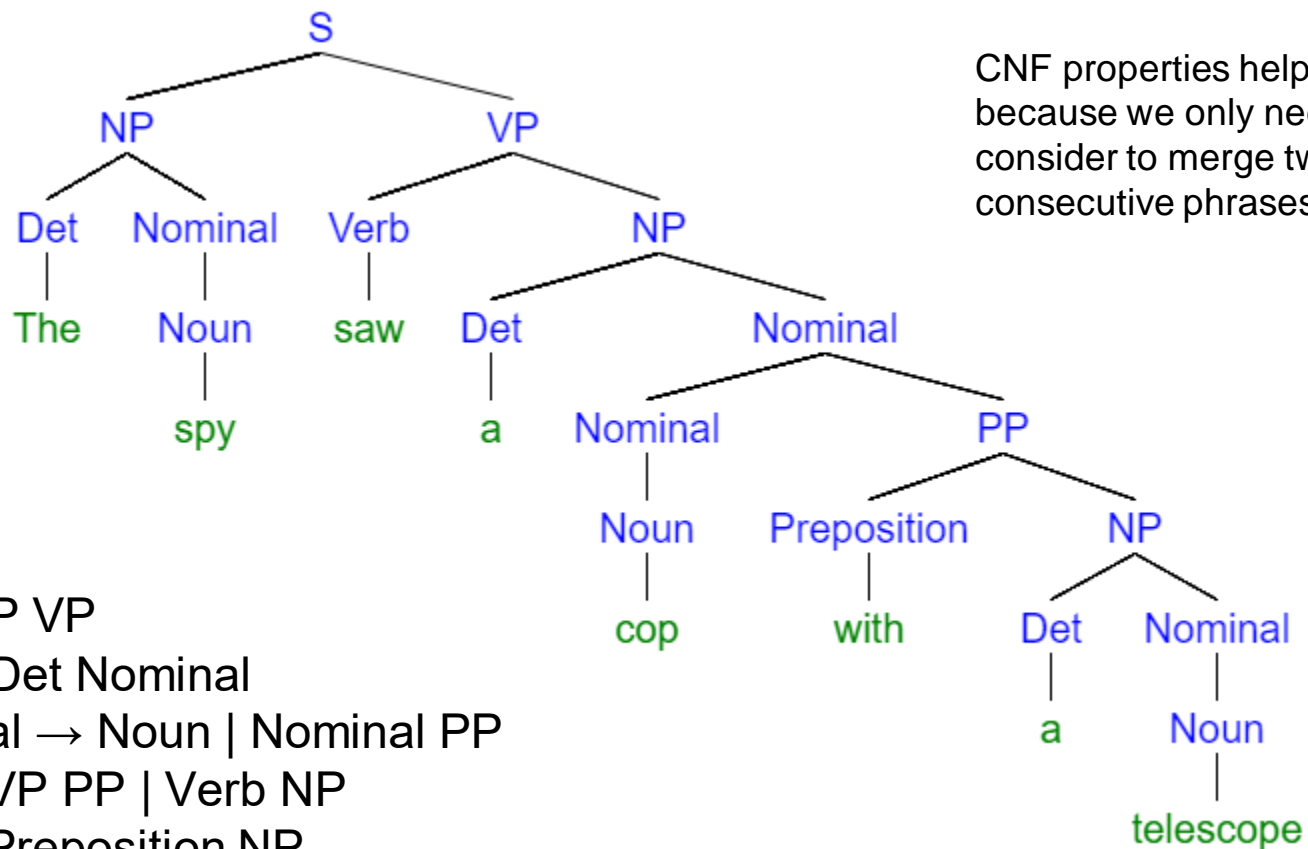
Is this CNF?

How to generate

The spy saw a cop with a telescope



# Parsing Natural Language with Context-Free Grammar



Rules:

$S \rightarrow NP VP$

$NP \rightarrow Det Nominal$

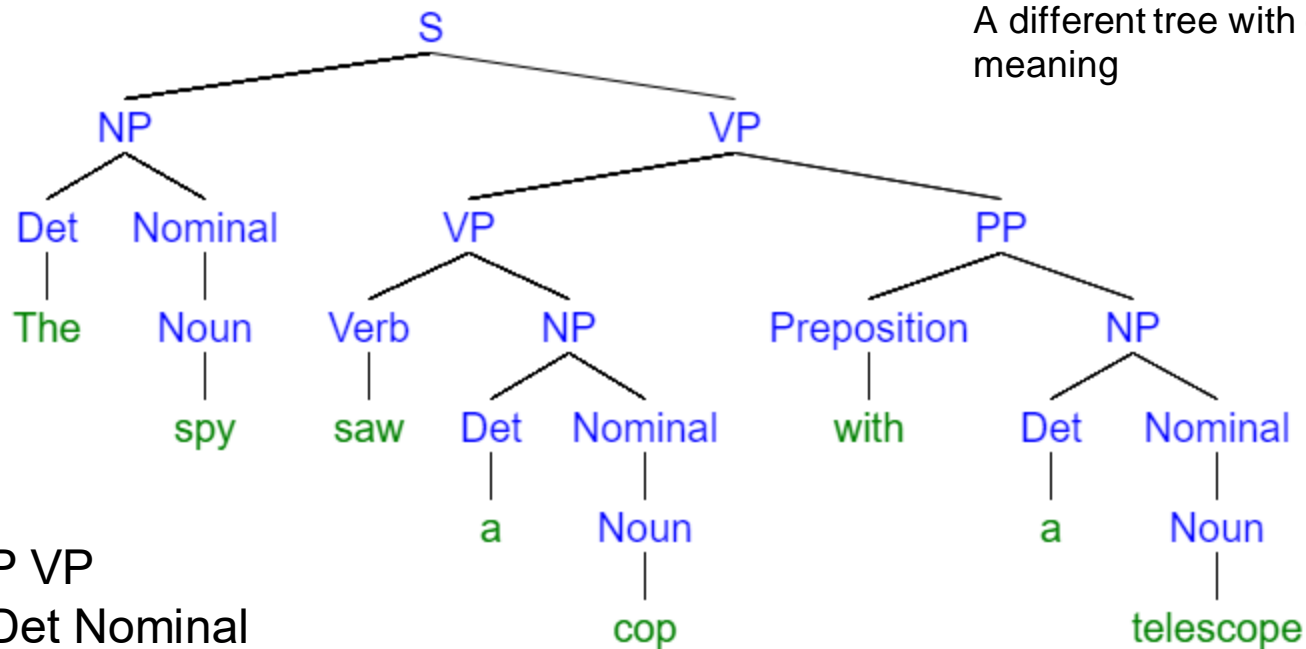
$Nominal \rightarrow Noun \mid Nominal PP$

$VP \rightarrow VP PP \mid Verb NP$

$PP \rightarrow Preposition NP$



# Parsing Natural Language with Context-Free Grammar



Rules:

$S \rightarrow NP VP$

$NP \rightarrow Det Nominal$

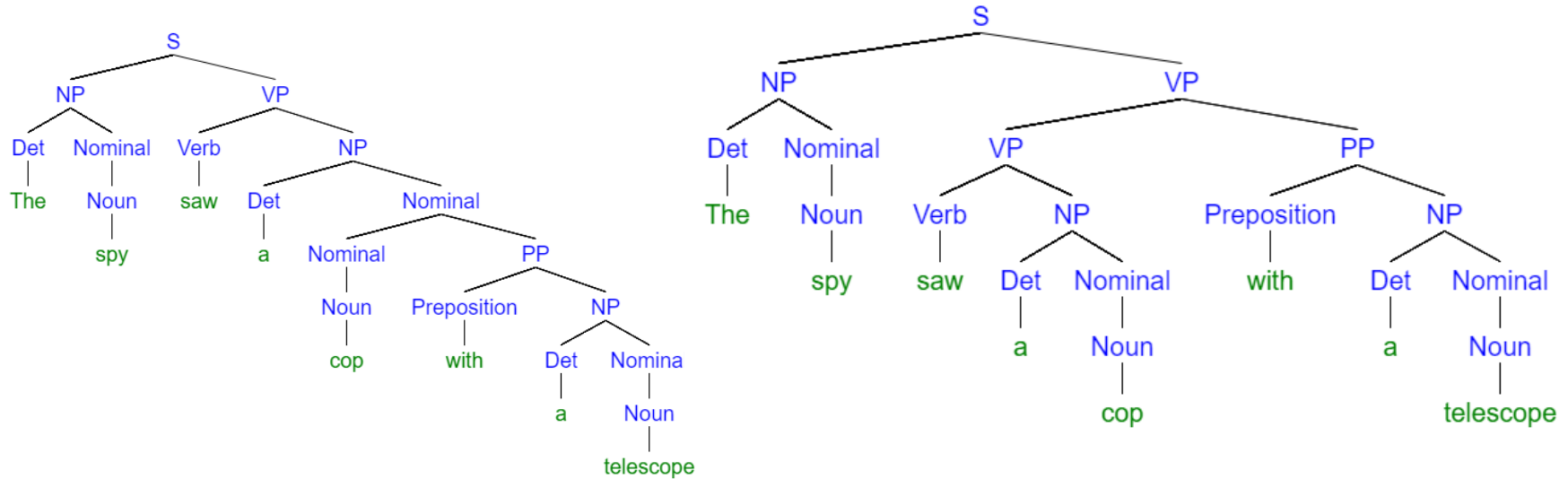
$Nominal \rightarrow Noun \mid Nominal PP$

$VP \rightarrow VP PP \mid Verb NP$

$PP \rightarrow Preposition NP$



# Parsing Natural Language with Context-Free Grammar



Different derivation corresponds to different meaning.

Liu, Alisa et al. "We're Afraid Language Models Aren't Modeling Ambiguity." *ArXiv* abs/2304.14399 (2023): n. pag.



# Pushdown Automata (PDAs)

The class of languages that can be accepted by pushdown automata is exactly the class of context-free languages (finite automata are for regular languages).

- The input for a pushdown automaton is a string  $w$  in  $\Sigma^*$ .
- PDA accepts or doesn't accept  $w$ .
- Different from finite automata, PDAs have a stack.
- Stack have 2 different operations:
  - (1) push – adds item to top of stack
  - (2) pop – removes item from top of stack

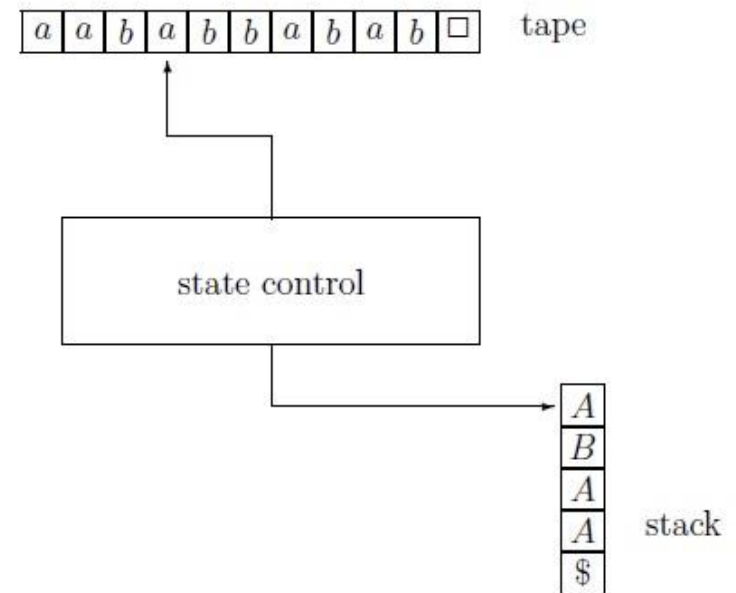




# Pushdown Automata (PDAs)

A PDA consists of: a tape, a stack and a state control

- **Tape:** divided into cells that store symbols belonging to  $\Sigma_\epsilon = \Sigma \cup \{\epsilon\}$ .
- **Tape head:** move along the tape, one cell to the right per move.
- **Stack:** containing symbols from a finite set  $\Gamma$ , called the stack alphabet. This set contains a special symbol  $\$$  (often mark bottom of stack).
- **Stack head:** reads the top symbol of the stack. This head can also pop the top symbol, and it can push symbols of  $\Gamma$  onto the stack.
- **State control:** can be in any one of a finite number of states. The set of states is denoted by  $Q$ . The set  $Q$  contains one special state  $q$ , called the start state.



# PDA Transition

If PDA

- in state  $q_i$
- reads  $a \in \Sigma_\epsilon$
- pops  $b \in \Gamma_\epsilon$  off the stack

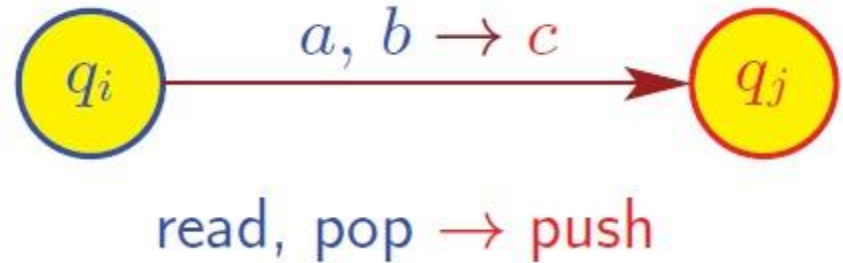
If  $a = \epsilon$ , then no input symbol is read.

If  $b = \epsilon$ , then nothing is popped off stack.

then PDA

- moves to state  $q_j$
- push  $c \in \Gamma_\epsilon$  onto top of stack

If  $c = \epsilon$ , then  $b$  is popped from stack.



# PDA Definition

## Definition

A **pushdown automaton** is a 6-tuple  $M = (Q, \Sigma, \Gamma, \delta, q, F)$ :

- $Q$  is finite set of states
- $\Sigma$  is (finite) input (tape) alphabet
- $\Gamma$  is (finite) stack alphabet
- $\delta$  is the transition function:  $Q \times \Sigma_{\varepsilon} \times \Gamma_{\varepsilon} \rightarrow P(Q \times \Gamma_{\varepsilon})$
- $q$  is start state,  $q \in Q$
- $F$  is set of accept states,  $F \subseteq Q$ , **PDA accepts as long as it is in  $F$  regardless of the stack.**

Let  $r, r' \in Q$ ,  $a \in \Sigma^*$  and  $b, c \in \Gamma^*$

$$\delta(r, a, b) = \{ (r', c) \}$$

In state  $r$ , PDA reads  $a$  on the tape and pop  $b$  from the stack, move to state  $r'$  and push  $c$  to the stack. The tape head moves to the right.



## Example

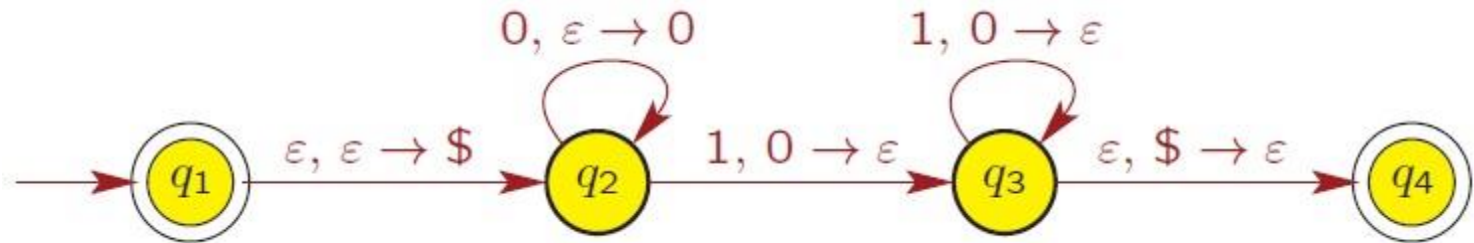
Given a PDA  $M = (Q, \Sigma, \Gamma, \delta, q_1, F)$

- $Q = \{q_1, q_2, q_3, q_4\}$
- $\Sigma = \{0, 1\}$
- $\Gamma = \{0, \$\}$
- $q_1$  is start state
- $F = \{q_1, q_4\}$
- $\delta: Q \times \Sigma_\epsilon \times \Gamma_\epsilon \rightarrow P(Q \times \Gamma_\epsilon)$

Input:	0			1			$\epsilon$		
Stack:	0	\$	$\epsilon$	0	\$	$\epsilon$	0	\$	$\epsilon$
$q_1$									$\{(q_2, \$)\}$
$q_2$			$\{(q_2, 0)\}$	$\{(q_3, \epsilon)\}$					
$q_3$				$\{(q_3, \epsilon)\}$				$\{(q_4, \epsilon)\}$	
$q_4$									

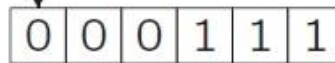


## Example



Process string 000111

Next unread symbol



Input string

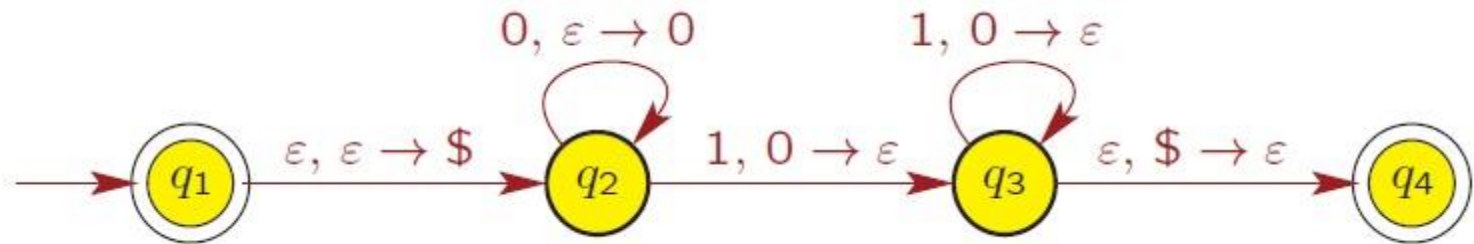


Stack

- Start in start state  $q_1$  with stack empty.
- No input symbols read so far.
- Next go to state  $q_2$ 
  - reading nothing, popping nothing, and pushing \$ on stack.



## Example



Input string

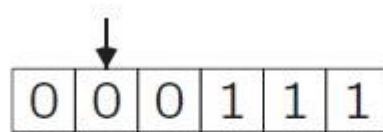
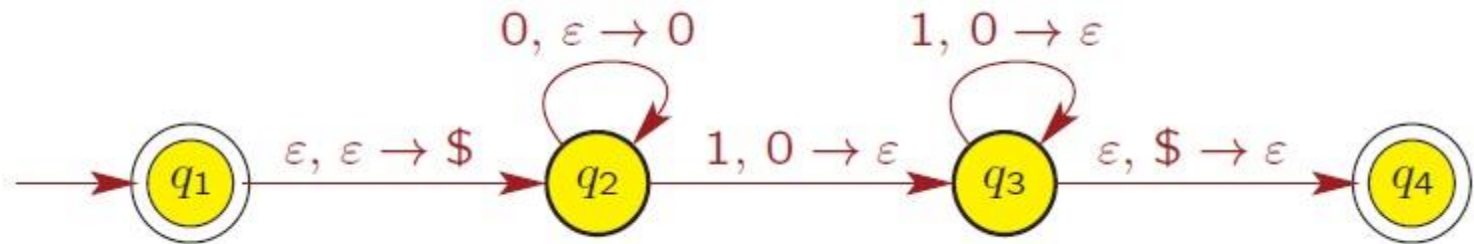


Stack

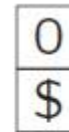
- Next return to state  $q_2$ 
  - reading input symbol 0
  - popping nothing from stack
  - pushing 0 on stack.



## Example



Input string

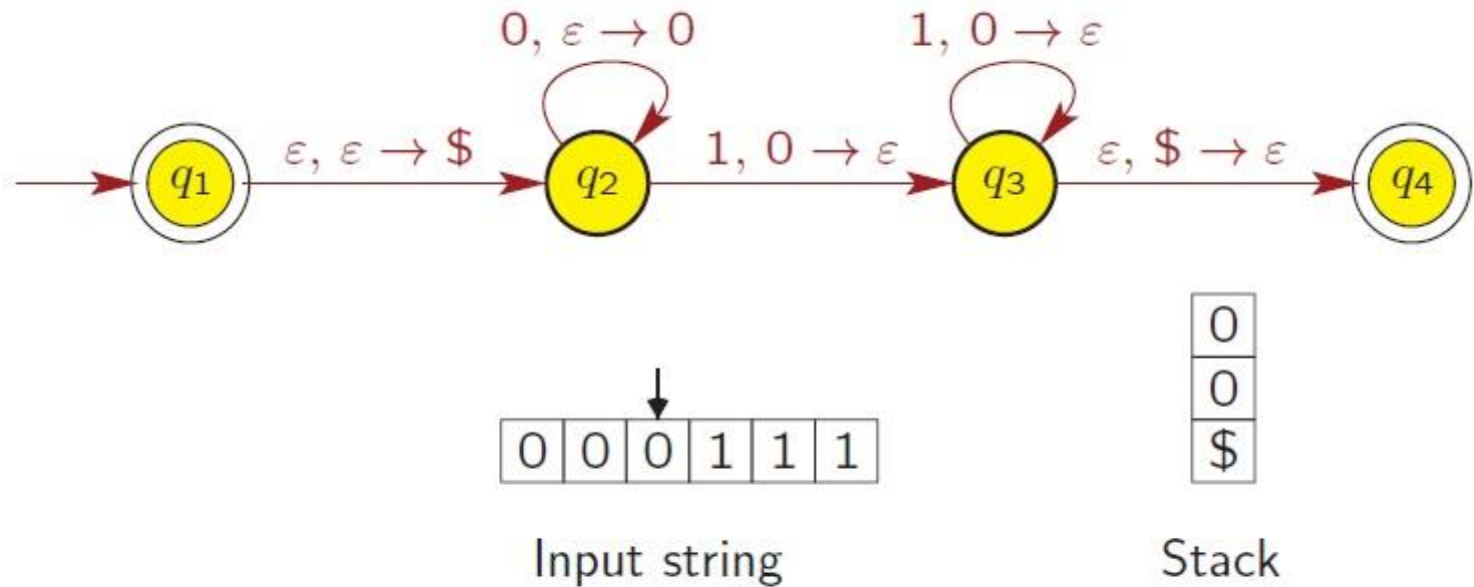


Stack

- Next return to state  $q_2$ 
  - reading input symbol 0
  - popping nothing from stack
  - pushing 0 on stack.



## Example

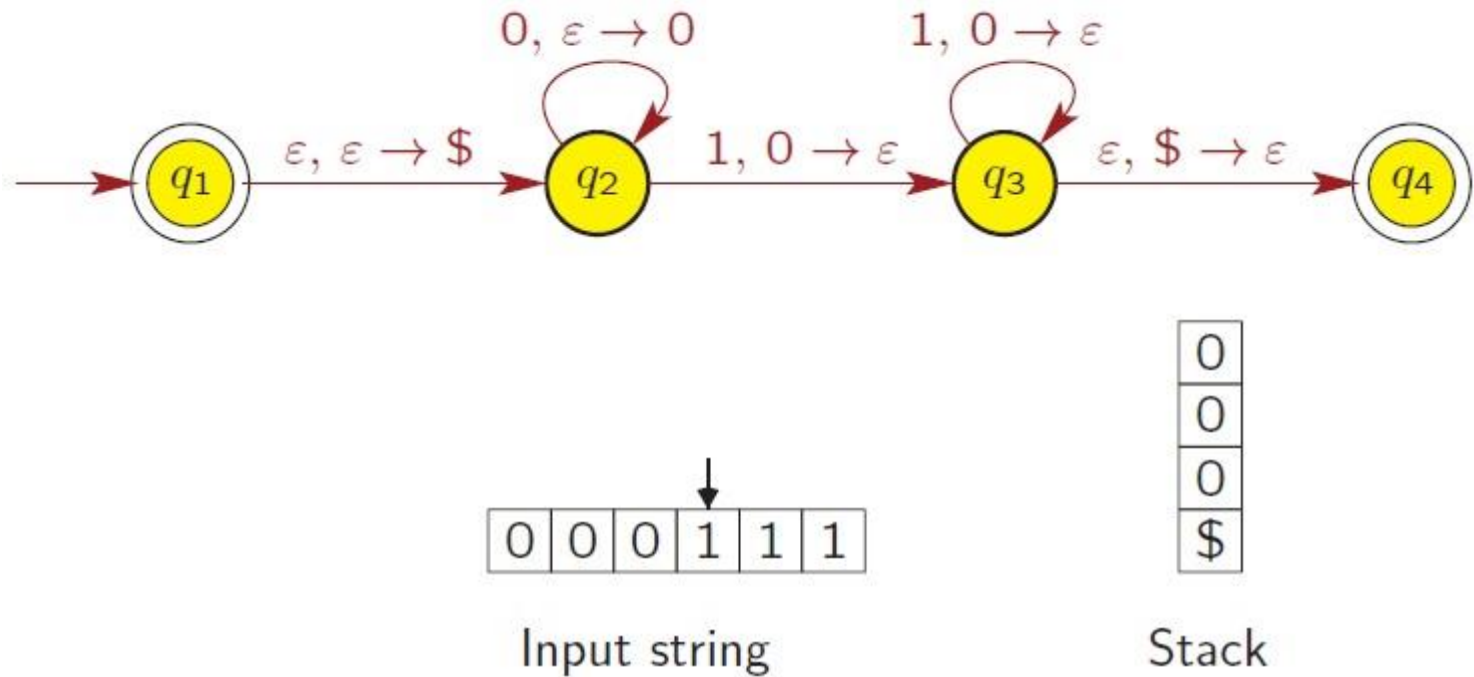


- Next return to state  $q_2$ 
  - reading input symbol 0
  - popping nothing from stack
  - pushing 0 on stack.





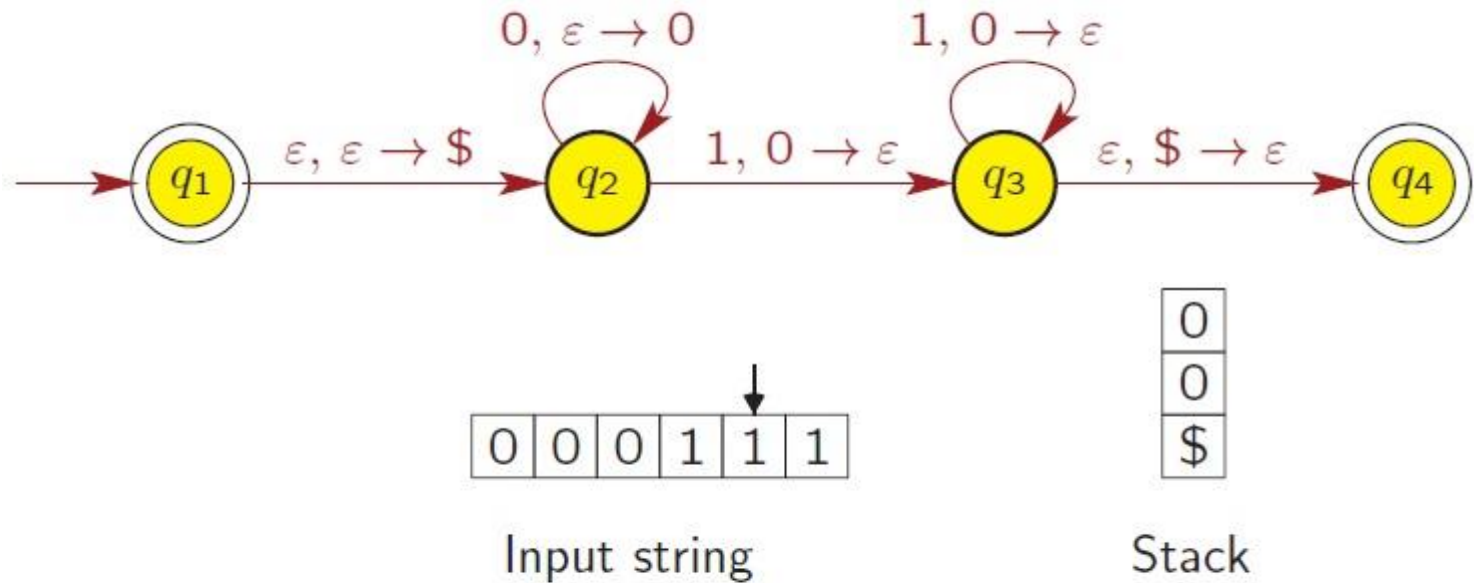
## Example



- Next go to state  $q_3$ 
  - reading input symbol 1
  - popping 0 from stack
  - pushing nothing on stack.



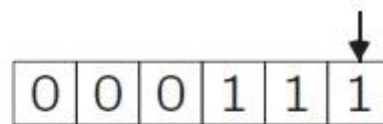
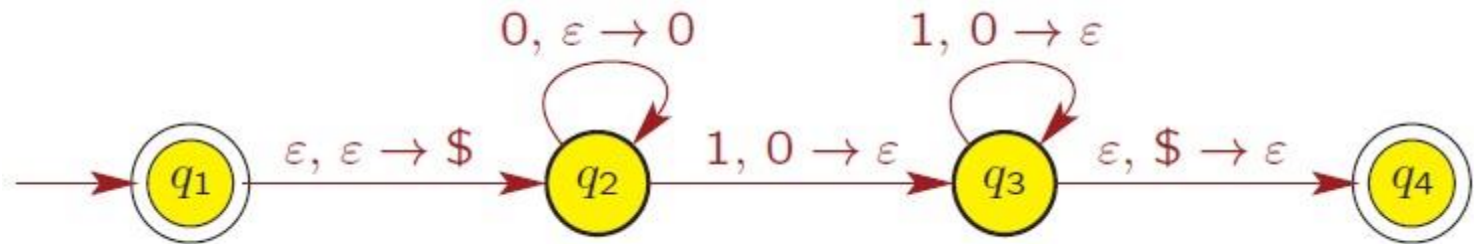
## Example



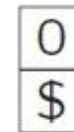
- Next return to state  $q_3$ 
  - reading input symbol 1
  - popping 0 from stack
  - pushing nothing on stack.



## Example



Input string

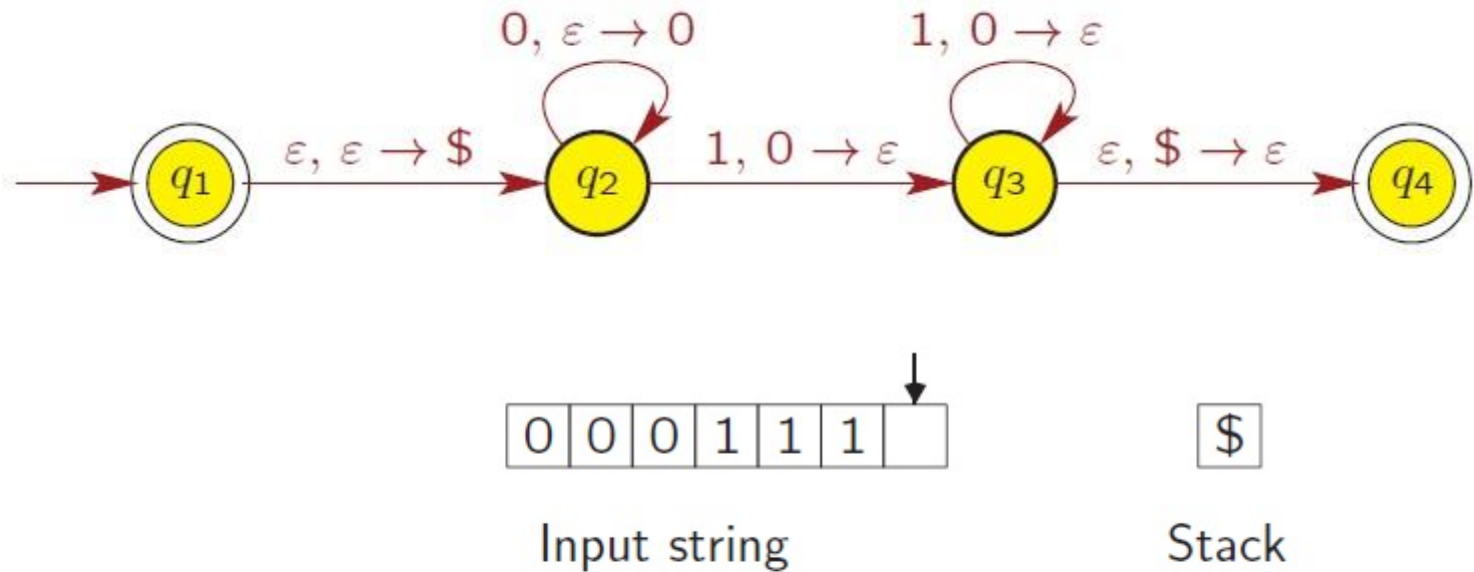


Stack

- Next return to state  $q_3$ 
  - reading input symbol 1
  - popping 0 from stack
  - pushing nothing on stack.



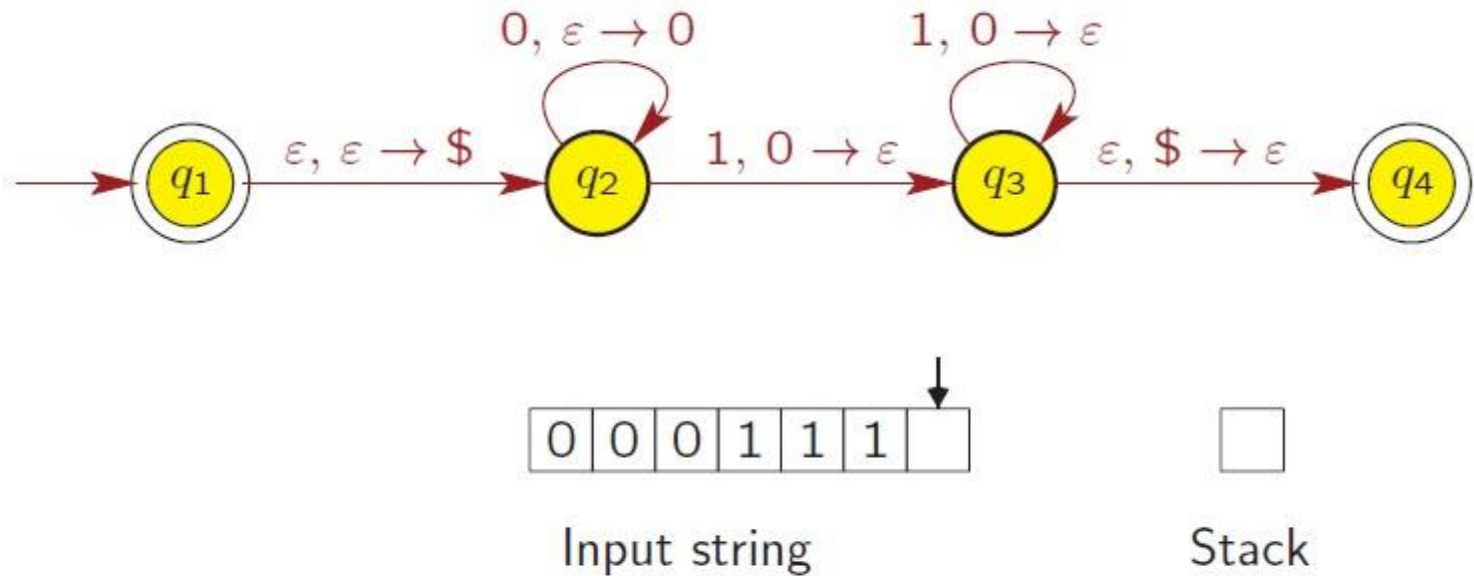
## Example



- Next go to state  $q_4$ 
  - reading nothing
  - popping \$ from stack
  - pushing nothing on stack.



## Example



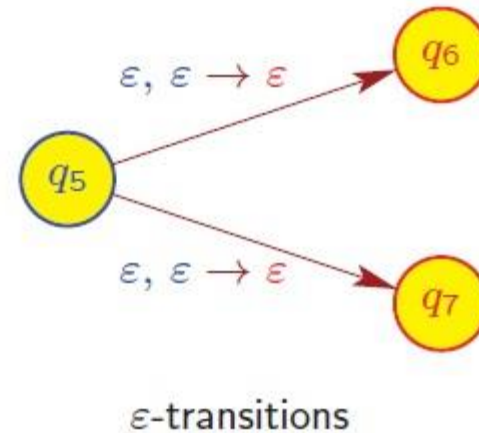
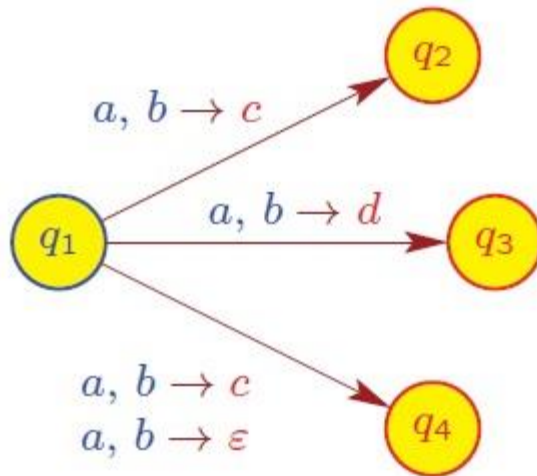
- String 000111 is **accepted** by PDA because
  - $q_4$  is an accept state and
  - PDA read the entire input string without crashing.



# PDA is Nondeterministic

PDA transition function allows for nondeterminism

$$\delta: Q \times \Sigma_{\epsilon} \times \Gamma_{\epsilon} \rightarrow P(Q \times \Gamma_{\epsilon})$$



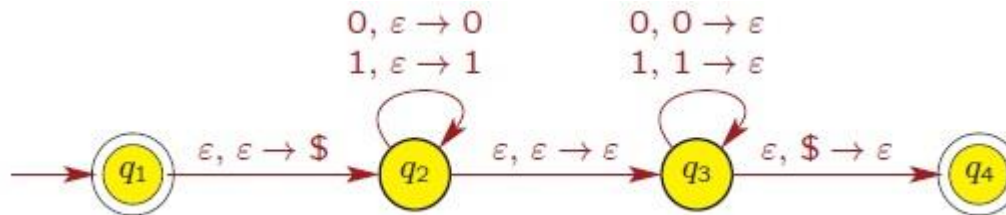
# Language accepted by PDA

## Definition

The set of all input strings that are accepted by PDA  $M$  is the language recognized by  $M$  and is denoted by  $L(M)$ .

## Example

PDA for language  $\{ww^R \mid w \in \{0, 1\}^*\}$



What happens if we replace \$ at the last transition with epsilon ?

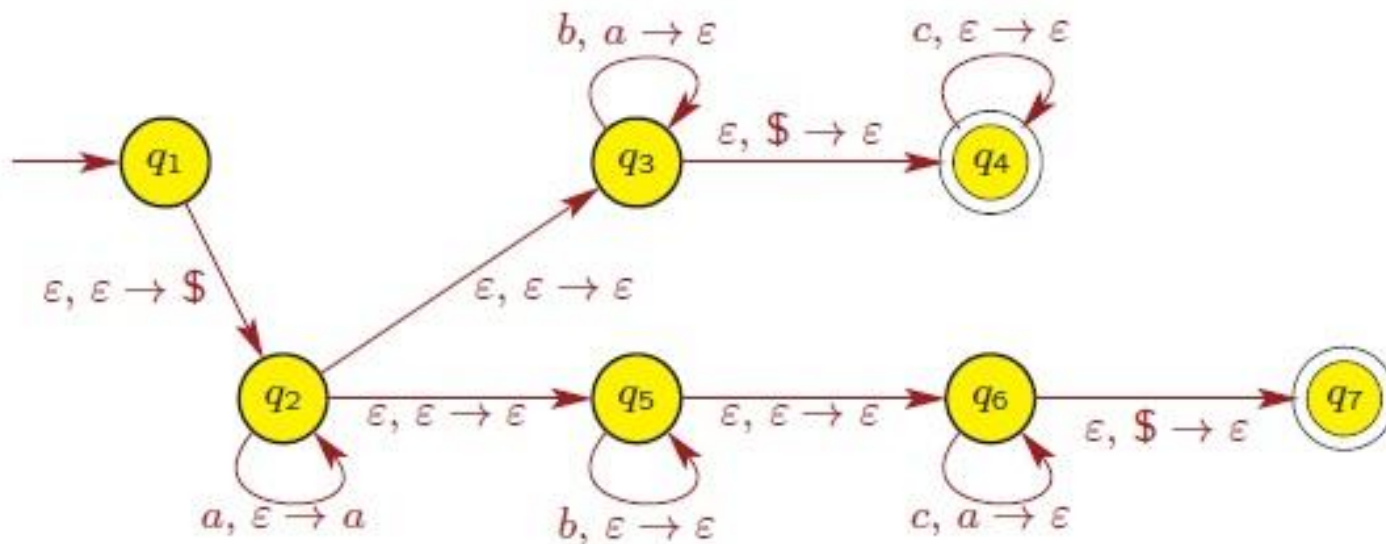
- $q_1 \rightarrow q_2$  : First pushes \$ on stack to mark bottom
- $q_2 \rightarrow q_2$  : Reads in first half  $w$  of string, pushing it onto stack
- $q_2 \rightarrow q_3$  : Guesses that it has reached middle of string
- $q_3 \rightarrow q_3$  : Reads second half  $w^R$  of string, matching symbols from first half in reverse order (recall: stack LIFO)
- $q_3 \rightarrow q_4$  : Makes sure that no more input symbols on stack



# Language accepted by PDA

## Example

PDA for language  $\{ a^i b^j c^k \mid i, j, k \geq 0 \text{ and } i = j \text{ or } i = k \}$





## Quick review

- CFLs are closed under concatenation, union and Kleene closure
- CFLs/Natural language exhibits ambiguities (\* optional)
- Pushdown automata has an additional stack to store information



## Q&A

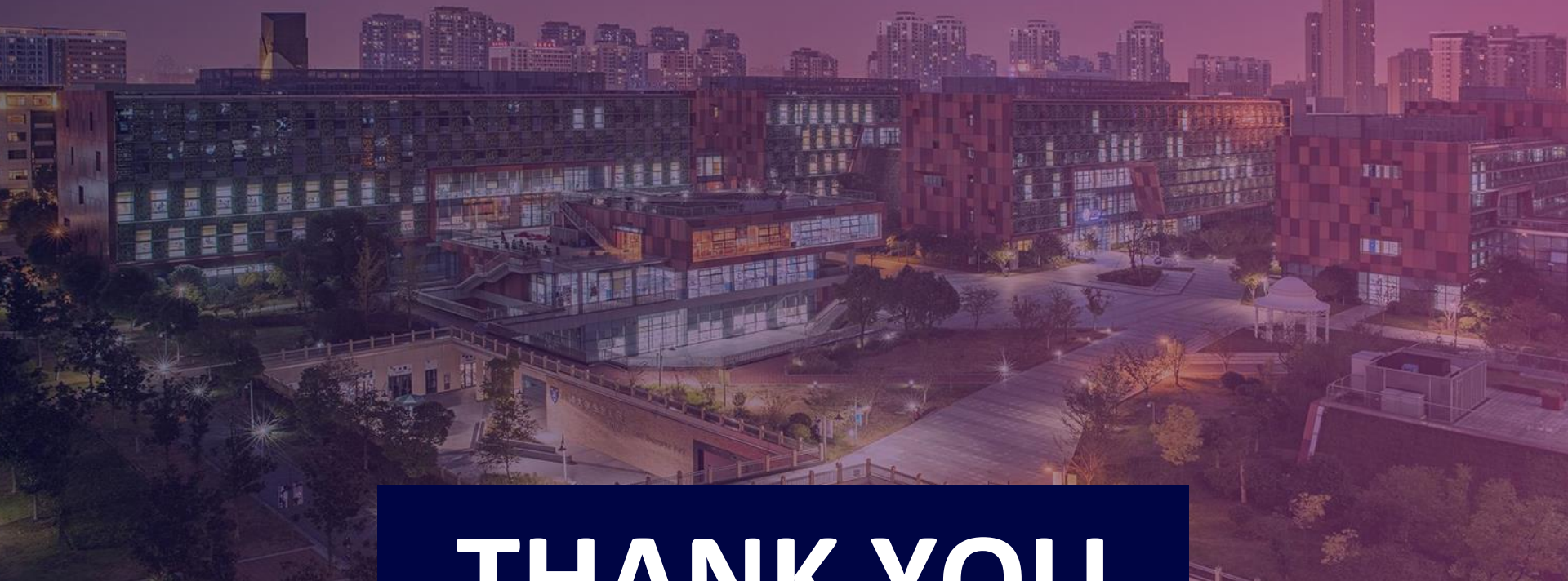
- Does the stack elements have any influence on the accepting condition of PDA?

No, the acceptance is solely decided by the state.

- Why we put the \$ at the beginning for some PDA?

Yes, we do this for some, but it is not necessary. Putting \$ and combining this with popping \$ before accepting, we make sure that all things being added later will be processed.





# THANK YOU



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