

INT201 Decision, Computation and Language

Lecture 9 – Turing Machine and Variants

Dr Yushi Li & Dr Chunchuan Lyu

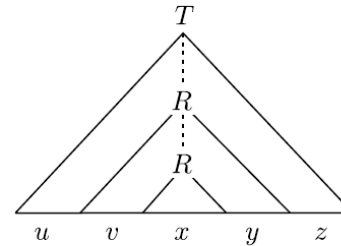


Xi'an Jiaotong-Liverpool University

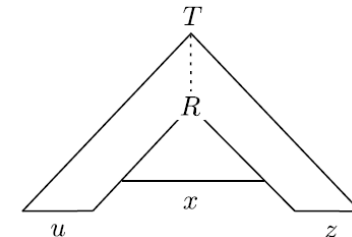
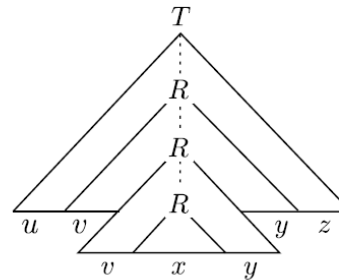
西交利物浦大學

Recap

- Equivalence between PDA and CFL
- Pumping Lemma for CFL



Today



- Turing Machine
- Turing-recognizable and Turing-decidable languages
- Multi-tape TM and Nondeterministic TM



Alan Turing 1912-1954

English mathematician, computer scientist, logician, cryptanalyst, philosopher and theoretical biologist

Run marathon in 2:46:03
while the 1948 Olympic Marathon winner was 2:34:52

- Computability and λ -Definability (1936)
- On computable numbers, with an application to the Entscheidungsproblem (1937)
- Computing Machinery and Intelligence (1950)

What is the limit of computation?

Can machines think?



DFA, NFA and PDA

DFA

- $M = (Q, \Sigma, \delta, q, F)$
- $\delta : Q \times \Sigma \rightarrow Q$

Finite control (δ) based on

- State
- Input symbol

NFA

- $M = (Q, \Sigma, \delta, q, F)$
- $\delta : Q \times \Sigma_{\epsilon} \rightarrow P(Q)$

PDA

- $M = (Q, \Sigma, \Gamma, \delta, q, F)$:
- $\delta : Q \times \Sigma_{\epsilon} \times \Gamma_{\epsilon} \rightarrow Q \times \Gamma_{\epsilon}^*$

Finite control (δ) based on

- State
- Input symbol
- Variable popped from stack



Turing Machine

Finite Automata	Pushdown Automata	Turing Machine
Regular	Context-free	Regular, context-free, context-sensitive, recursively enumerable.

Previous machines can be used to accept or generate regular and context-free languages. However, they are not powerful enough to accept simple language such as

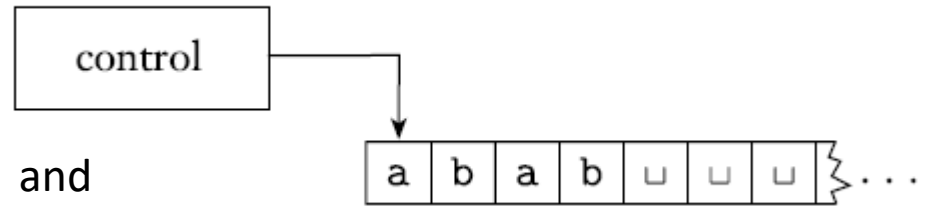
$$A = \{a^m b^n c^{mn} : m \geq 0, n \geq 0\}.$$

Turing machine is a simple model of real computer.



Turing Machine

- infinitely long tape, divided into cells. Each cell stores a symbol belonging to Γ (tape alphabet).



- Tape head (\downarrow) can move both right and left, one cell per move. It read from or write to a tape
- State control can be in any one of a finite number of states Q . It is based on: state and symbol read from tape
- Machine has one start state, and can accept or reject at any time
- Machine can run forever: infinite loop.



Properties of Turing Machine

- Turing machine can both read from tape and write on it.
- Tape head can move both right and left.
- Tape is infinite and can be used for storage.
- Accept and reject states take immediate effect.



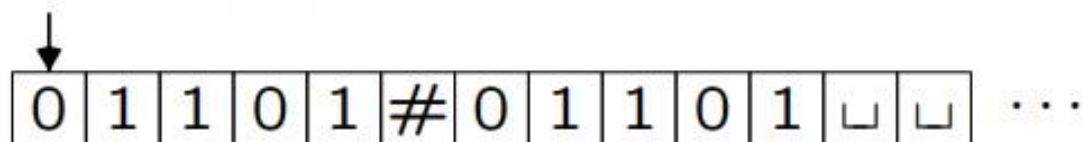
Turing Machine

Example

Machine for language $A = \{ s\#s \mid s \in \{0, 1\}^* \}$, input string is $01101\#01101 \in A$.

Idea: Zig-zag across tape, crossing off matching symbols.

- Consider string $01101\#01101 \in A$.
- Tape head starts over leftmost symbol



- Record symbol in control and overwrite it with X



- Scan right: *reject* if blank "□" encountered before #

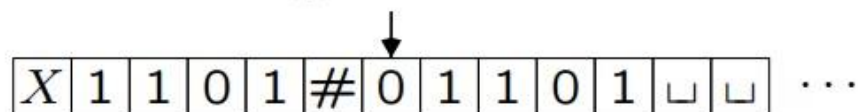


Turing Machine

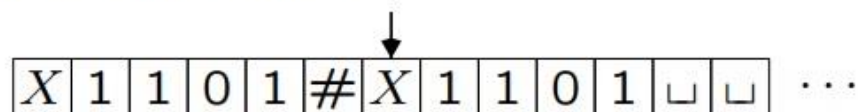
Example

Machine for language $A = \{ s\#s \mid s \in \{0, 1\}^* \}$, input string is $01101\#01101 \in A$.

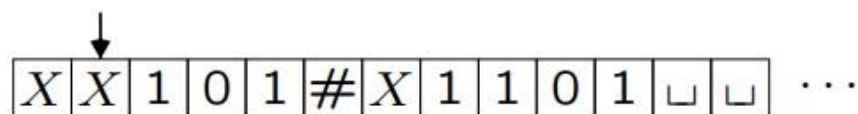
- When $\#$ encountered, move right one cell.



- If current symbol doesn't match previously recorded symbol, *reject*.
- Overwrite current symbol with X



- Scan left, past $\#$ to X
- Move one cell right
- Record symbol and overwrite it with X



- Scan right past $\#$ to (last) X and move one cell to right ...

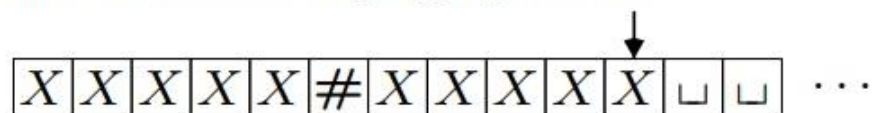


Turing Machine

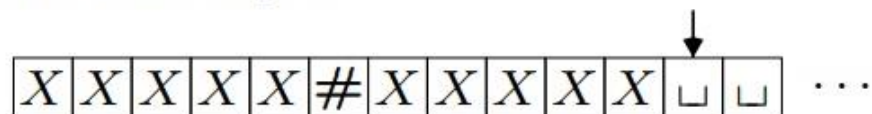
Example

Machine for language $A = \{ s\#s \mid s \in \{0, 1\}^* \}$, input string is $01101\#01101 \in A$.

- After several more iterations of zigzagging, we have



- After all symbols left of $\#$ have been matched to symbols right of $\#$, check for any remaining symbols to the right of $\#$.
 - If blank \square encountered, *accept*.
 - If 0 or 1 encountered, *reject*.



- The string that is accepted or not by our machine is the original input string $01101\#01101$.



Turing Machine

Definition

A Turing machine (TM) is a 7-tuple $M = (\Sigma, \Gamma, Q, \delta, q, q_{\text{accept}}, q_{\text{reject}})$, where

- Σ is a finite set, called the input alphabet; the blank symbol \sqcup is not contained in Σ ,
- Γ is a finite set, called the tape alphabet; this alphabet contains the blank symbol \sqcup , and $\Sigma \subseteq \Gamma$,
- Q is a finite set, whose elements are called states,
- q is an element of Q ; it is called the start state,
- q_{accept} is an element of Q ; it is called the accept state,
- q_{reject} is an element of Q ; it is called the reject state, $q_{\text{reject}} \neq q_{\text{accept}}$
- δ is called the transition function, which is a function $\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R, N\}$.
L: move to left, R: move to right, N: no move.



Turing Machine

Transition function

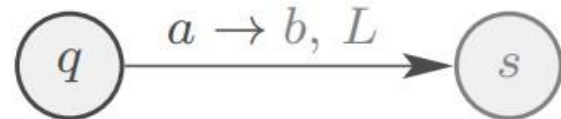
$$\delta(q, a) = (s, b, L)$$

If TM

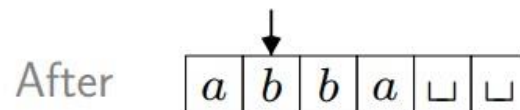
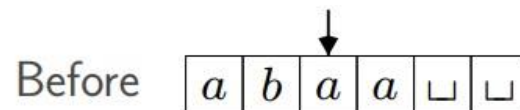
- in state $q \in Q$,
- tape head reads tape symbol $a \in \Gamma$

Then TM

- moves to state $s \in Q$
- overwrites a with $b \in \Gamma$
- moves head left (i.e., $L \in \{L, R, N\}$)



read \rightarrow write, move



Is no move
necessary ?



Turing Machine

Computation steps

- Before the computation step, the Turing machine is in a state $r \in Q$, and the tape head is on a certain cell.
- TM M proceeds according to transition function:

$$\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R, N\}$$

- Depending on r and k symbols read from tape:
 - (a) switches to a state $r' \in Q$;
 - (b) tape head writes a symbol of Γ in the cell it is currently scanning;
 - (c) tape head moves one cell to the left or right or stay at the current cell.
- Computation continues until q_{reject} or q_{accept} is entered. (stopped once entered)
- Otherwise, M will run forever (input string is neither accepted nor rejected)



Turing Machine

Example

TM M for language

$$A = \{ 0^{2^n} \mid n \geq 0 \},$$

which consists of strings of 0s whose length is a power of 2.

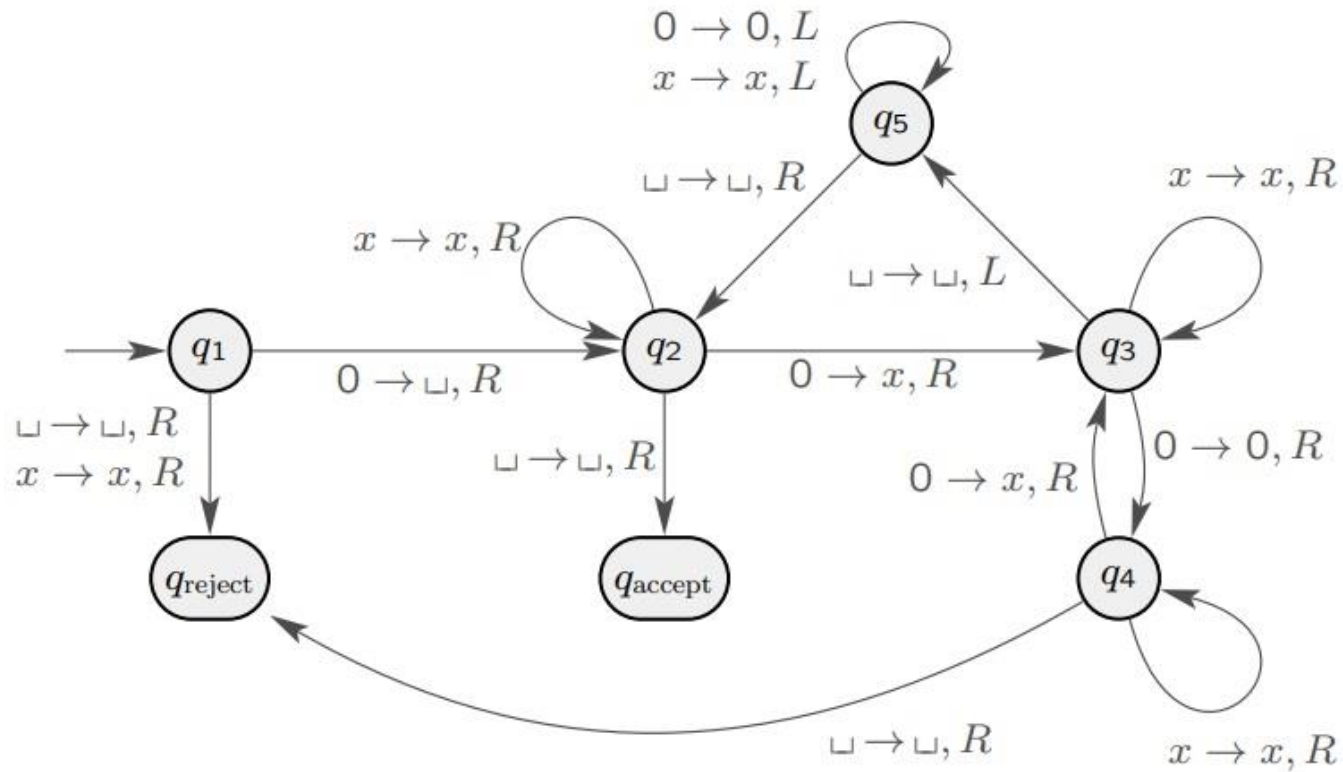
On input string w:

- Sweep left to right across the tape, crossing off every other 0.
- If in stage 1 the tape contained a single 0, accept.
- If in stage 1 the tape contained more than a single 0 and the number of 0s was odd, reject.
- Return the head to the left end of the tape.
- Go to stage 1.



Turing Machine

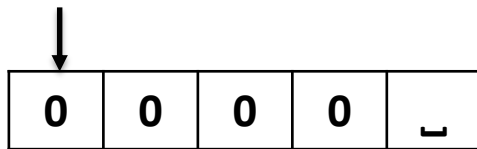
Example



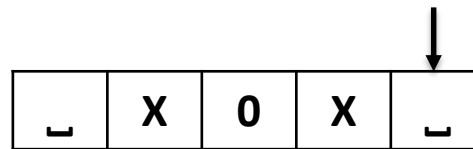
Example

Run M when input $w = 0000$

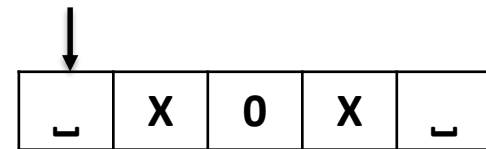
Step 0, state q_1



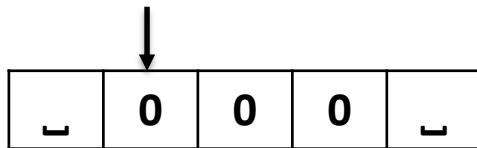
Step 4, state q_3



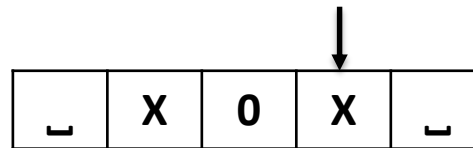
Step 8, state q_5



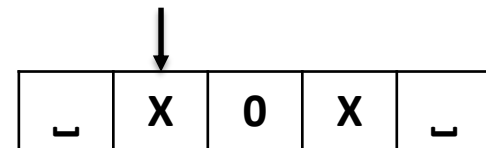
Step 1, state q_2



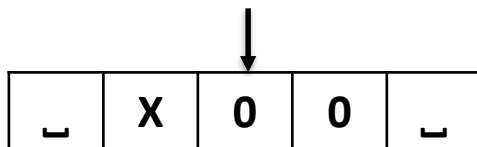
Step 5, state q_5



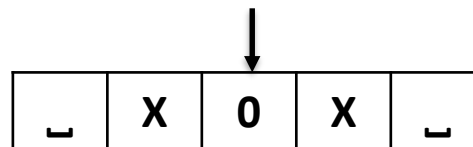
Step 8, state q_2



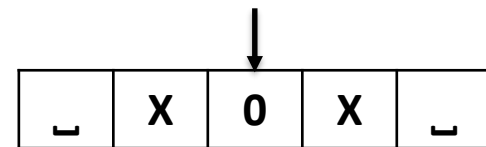
Step 2, state q_3



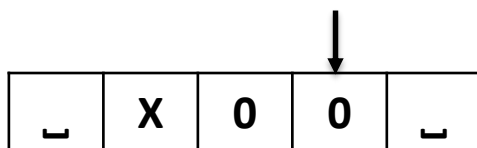
Step 6, state q_5



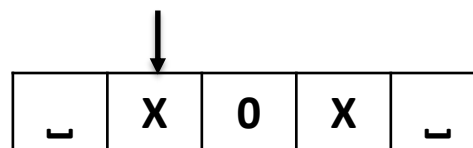
Step 9, state q_2



Step 3, state q_4



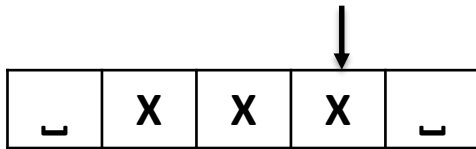
Step 7, state q_5



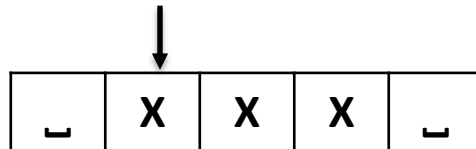
Example

Run M when input $w = 0000$

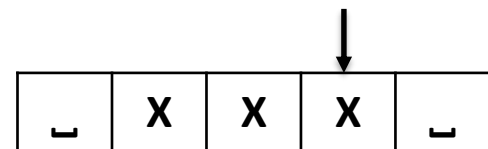
Step 10, state q_3



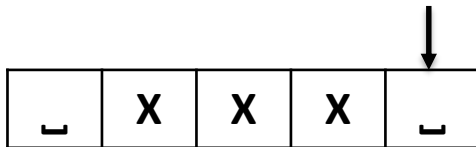
Step 14, state q_5



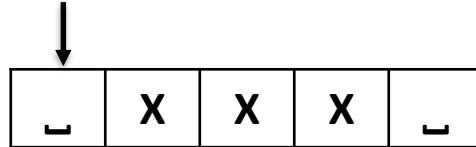
Step 18, state q_2



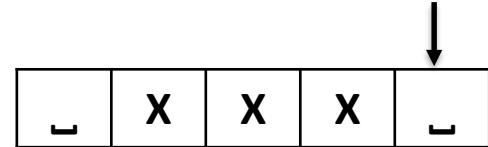
Step 11, state q_3



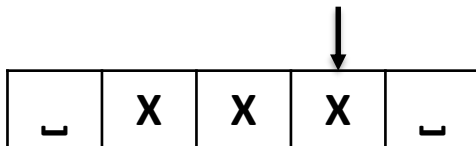
Step 15, state q_5



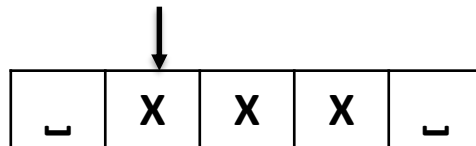
Step 19, state q_2



Step 12, state q_5

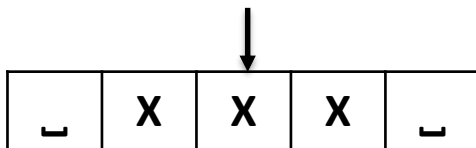


Step 16, state q_2

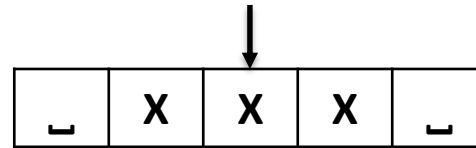


Accept

Step 13, state q_5



Step 17, state q_2



Turing Machine

- **Start configuration.** The input is a string over the input alphabet Σ . Initially, this input string is stored on the first tape, and the head of this tape is on the leftmost symbol of the input string.
- **Computation and termination.** Starting in the start configuration, the Turing machine performs a sequence of computation steps. The computation terminates at the moment when the Turing machine enters the accept state q_{accept} or the reject state q_{reject} . (If the machine never enters q_{accept} and q_{reject} the computation does not terminate.)
- **Acceptance.** The Turing machine M accepts the input string $w \in \Sigma^*$, if the computation on this input terminates in the state q_{accept} .



TM Configuration

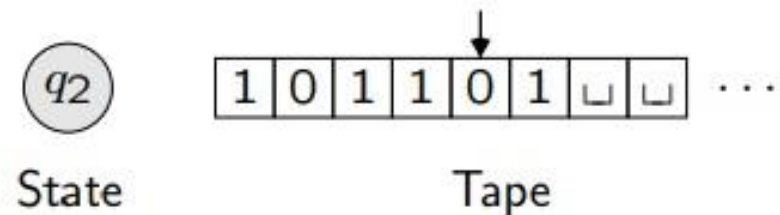
Provides a “snapshot” of TM at any point during computation:

- state
- tape contents
- head location

Example

Configuration 1011q01:

- current state is q
- LHS of tape is 1011
- RHS of tape is 01
- head is on RHS 0



TM Configuration

Definition

Configuration of a TM $M = (Q, \Sigma, \Gamma, \delta, q, q_{\text{accept}}, q_{\text{reject}})$ is a string uqv with $u, v \in \Gamma^*$ and $q \in Q$, and specifies that currently

- M is in state q
- tape contains uv
- tape head is pointing to the cell containing the first symbol in v .



TM Transitions

Definition

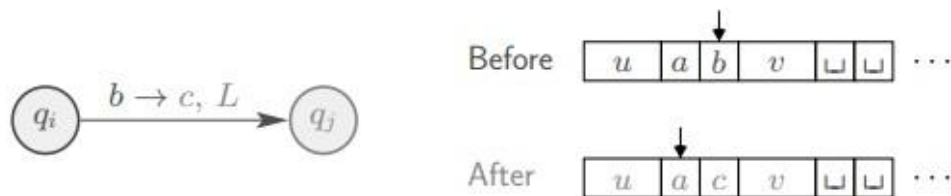
Configuration C1 yields configuration C2 if the Turing machine can legally go from C1 to C2 in a single step. For TM $M = (Q, \Sigma, \Gamma, \delta, q, q_{\text{accept}}, q_{\text{reject}})$, suppose

- $u, v \in \Gamma^*$
- $a, b, c \in \Gamma$
- $q_i, q_j \in Q$
- transition function $\delta : Q \times \Gamma \rightarrow Q \times \Gamma \times \{L, R, N\}$.

Example

configuration $uaqibv$ yields configuration uq_jacv

if $\delta(q_i, b) = (q_j, c, L)$.



TM Computation

Definition

Given a TM $M = (Q, \Sigma, \Gamma, \delta, q, q_{\text{accept}}, q_{\text{reject}})$ and input string $w \in \Sigma^*$. M accepts input w if there is a finite sequence of configurations C_1, C_2, \dots, C_k for some $k \geq 1$ with

- C_1 is the starting configuration $q0w$
- C_i yields C_{i+1} for all $i = 1, \dots, k - 1$ (sequence of configurations obeys transition function δ)
- C_k is an accepting configuration $uq_{\text{accept}}v$ for some $u, v \in \Gamma^*$.



Recognizable Languages

Definition

The language $L(M)$ accepted by the Turing machine M is the set of all strings in Σ^* that are accepted by M .

Language A is **Turing-recognizable** if there is a TM M such that $A = L(M)$

- On an input $w \notin L(M)$, the machine M can either halt in a rejecting state, or it can loop indefinitely.
- On an input $w \in L(M)$, the machine M will halt in a accepting state

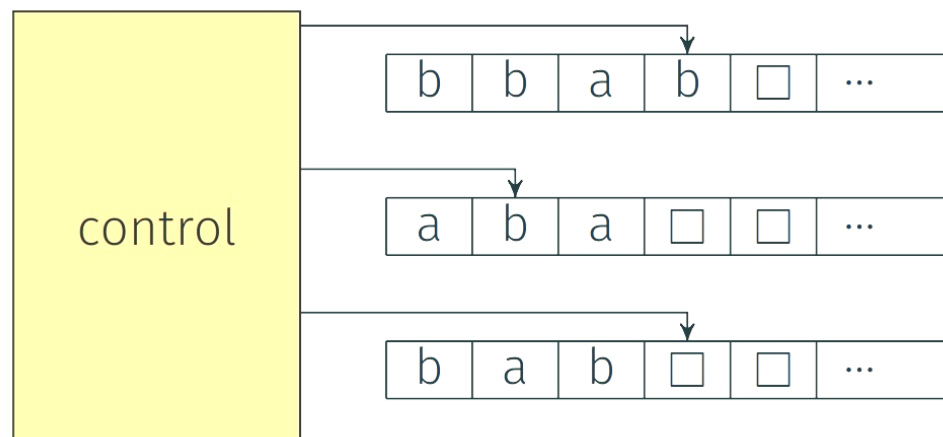
Turing-recognizable not practical because we never know if TM will halt.



Multi-tape TM

Multi-tape TM has multiple tapes

- Each tape has its own head
- Transition determined by
 - (1) state
 - (2) the content read by all heads
- Reading and writing of each head are independent of others



Multi-tape TM

Definition

A k -tape Turing machine (TM) is a 7-tuple $M = (\Sigma, \Gamma, Q, \delta, q, q_{\text{accept}}, q_{\text{reject}})$ has k different tapes and k different read/write heads, where

- Σ is a finite set, called the input alphabet; the blank symbol \sqcup is not contained in Σ ,
- Γ is a finite set, called the tape alphabet; this alphabet contains the blank symbol \sqcup , and $\Sigma \subseteq \Gamma$,
- Q is a finite set, whose elements are called states,
- q is an element of Q ; it is called the start state,
- q_{accept} is an element of Q ; it is called the accept state,
- q_{reject} is an element of Q ; it is called the reject state
- δ is called the transition function, which is a function $\delta : Q \times \Gamma^k \rightarrow Q \times \Gamma^k \times \{L, R, N\}^k$.
 $\Gamma^k = \Gamma \times \Gamma \times \dots \times \Gamma$



Multi-tape TM

Transition

Transition function:

$$\delta : Q \times \Gamma^k \rightarrow Q \times \Gamma^k \times \{L, R, N\}^k$$

Given $\delta(q_i, a_1, a_2, \dots, a_k) = (q_j, b_1, b_2, \dots, b_k, L, R, \dots, L)$

- TM is in state q_i
- heads 1-k read a_1, a_2, \dots, a_k

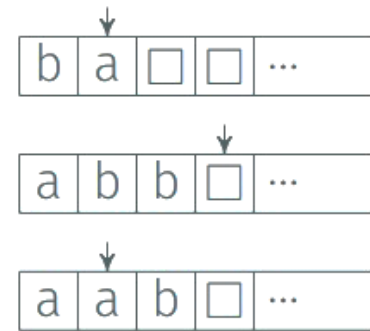
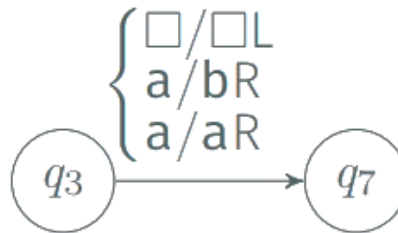
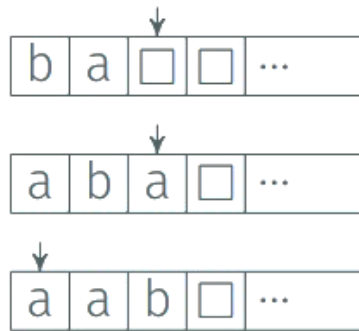
Then

- TM moves to q_j
- heads 1-k write b_1, b_2, \dots, b_k
- Heads move (left or right) or don't move as specified (L, R, N) .



Multi-tape TM

Example



- Multiple tapes are convenient
- Some tapes can serve as temporary storage



Multi-tape TM equivalent to 1-tape TM

Let $k \geq 1$ be an integer. Any k -tape Turing machine can be converted to an equivalent 1-tape Turing machine.

For every multi-tape TM M , there is a single-tape TM M' such that $L(M) = L(M')$.

Proof

Basic idea: simulate k -tape TM using 1-tape TM.



Multi-tape TM equivalent to 1-tape TM

Proof

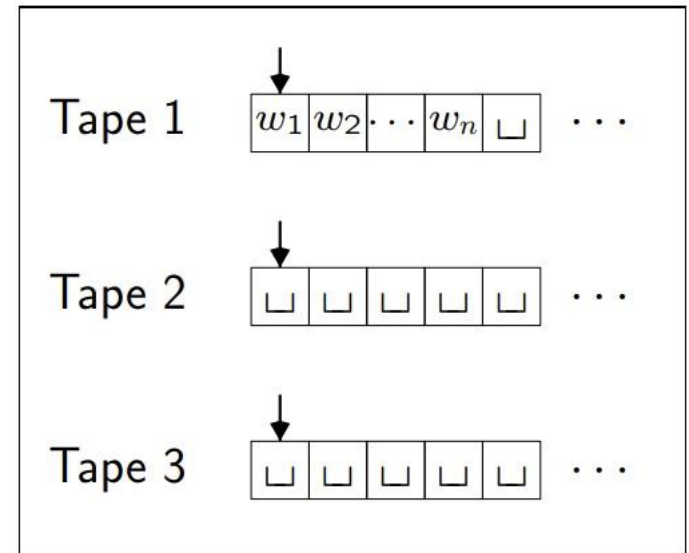
Let TM $M = (\Sigma, \Gamma, Q, \delta, q, q_{\text{accept}}, q_{\text{reject}})$ be a k -tape TM.

M has:

- input $w = w_1, w_2, \dots, w_k$ on tape 1
- other tapes contain only blanks \sqcup
- each head points to first cell.

Construct 1-tape TM M' by extending tape alphabet

$$\Gamma' = \Gamma \cup \dot{\Gamma} \cup \{\#\}$$



Note: head positions of different tapes are marked by dotted symbol



Multi-tape TM equivalent to 1-tape TM

Proof

For each step of k -tape TM M , 1-tape M' operates its tape as:

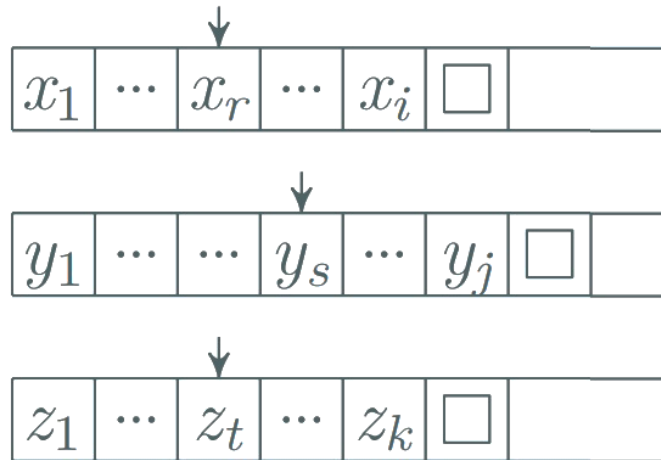
- At the start of the simulation, the tape head of M' is on the leftmost $\#$
- Scans the tape from first $\#$ to $(k+1)$ st $\#$ to read symbols under heads.
- Rescans to write new symbols and move heads.



Multi-tape TM equivalent to 1-tape TM

Example

Simulate a 3-tape TM



Multi-tape TM equivalent to 1-tape TM

Key points of simulation

To simulate a model M by another model N :

- Say how the state and storage of N is used to represent the state and storage of M
- Say what should be initially done to convert the input of N
- Say how each transition of M can be implemented by a sequence of transitions of N

Turing-recognizable \longleftrightarrow Multiple-tape Turing-recognizable

Language L is TM-recognizable if and only if some multi-tape TM recognizes L .



Nondeterministic TM

A **nondeterministic Turing machine** (NTM) M can have several options at every step. It is defined by the 7-tuple $M = (\Sigma, \Gamma, Q, \delta, q, q_{\text{accept}}, q_{\text{reject}})$, where

- Σ is input alphabet (without blank \sqcup)
- Γ is tape alphabet with $\{\sqcup\} \cup \Sigma \subseteq \Gamma$
- Q is a finite set, whose elements are called states δ is transition function $\delta : Q \times \Gamma \rightarrow P(Q \times \Gamma \times \{L, R\})$
- q is start state $\in Q$
- q_{accept} is accept state $\in Q$
- q_{reject} is reject state $\in Q$

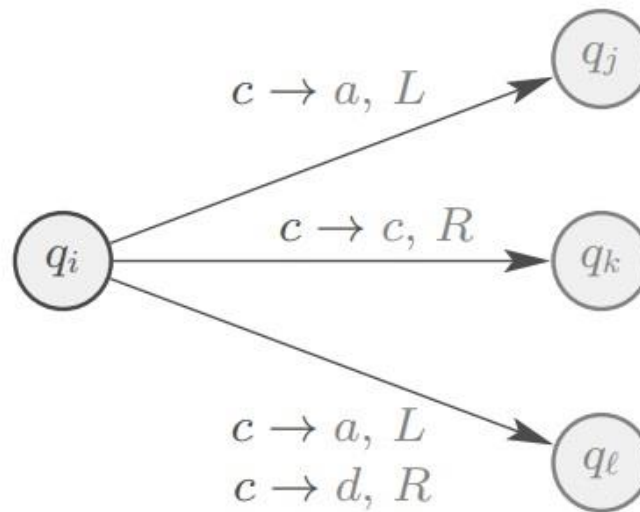


Nondeterministic TM

Transition

Transition function

$$\delta : Q \times \Gamma \rightarrow P(Q \times \Gamma \times \{L, R\})$$



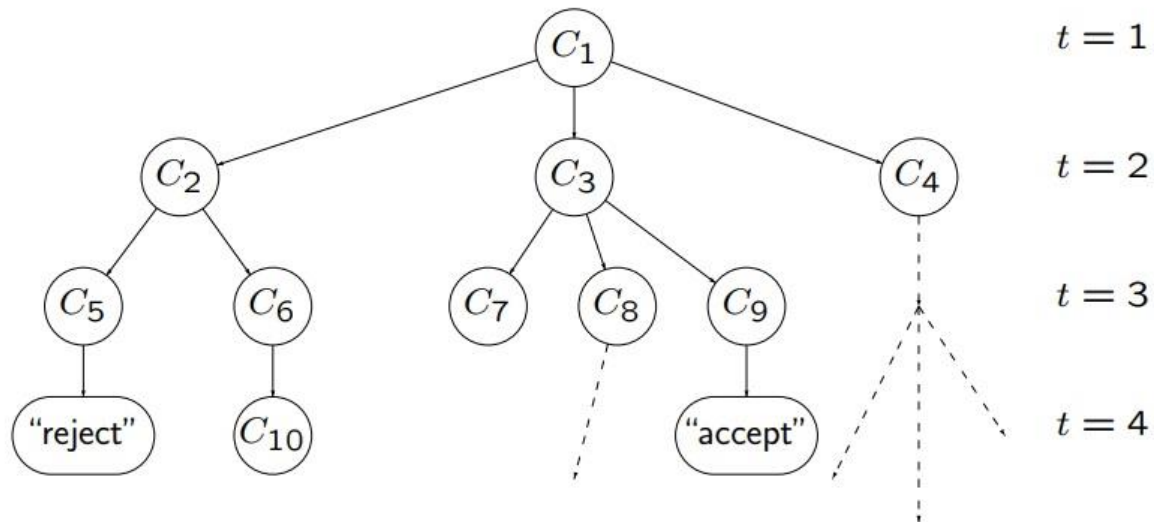
$$\delta(q_i, c) = \{ (q_j, a, L), (q_k, c, R), (q_\ell, a, L), (q_\ell, d, R) \}$$



Nondeterministic TM (NTM)

Computation

With any input w , computation of NTM is represented by a configuration tree.



If \exists (at least) one accepting leaf, then NTM accepts.



NTM equivalent to TM

Every nondeterministic TM has an equivalent deterministic TM.

Proof

- Build TM D to simulate NTM N on each input w . D tries all possible branches of N 's tree of configurations.
- If D finds any accepting configuration, then it accepts input w .
- If all branches reject, then D rejects input w .
- If no branch accepts and at least one loops, then D loops on w .



Address

- Every node in the tree **has at most b children**.
- b is size of largest set of possible choices for N's transition function.
- Every node in tree has an address that is a string over the alphabet $\Gamma_b = \{1, 2, \dots, b\}$

To get to node with address 231:

(1) start at root

(2) take second branch

(3) then take third branch

(4) then take first branch

- Ignore meaningless addresses.
- Visit nodes in breadth-first search order by listing addresses in Γ_b^* in string order:

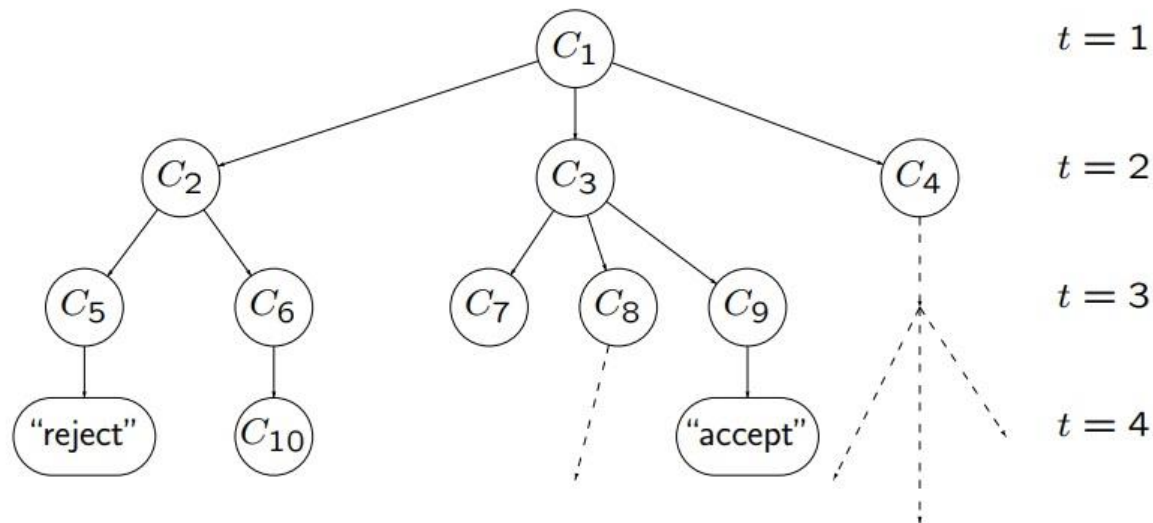
$\epsilon, 1, 2, \dots, b, 11, 12, \dots, 1b, 21, 22, \dots$



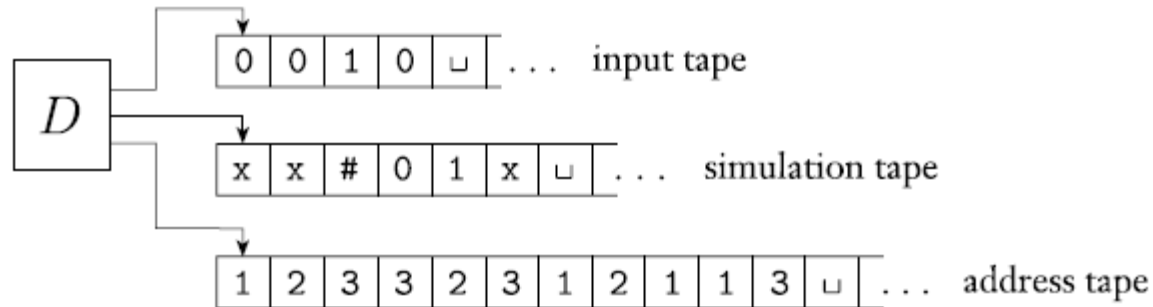
Address

Example

- “accept” configuration has address 231
- Configuration C_6 has address 12.
- Configuration C_1 has address ε .
- Address 132 is meaningless.



Simulating NTM by DTM



1. Initially, input tape contains input string w . Simulation and address tapes are initially empty.
2. Copy input tape to simulation tape.
3. Use simulation tape to simulate NTM N on input w on path in tree from root to the address on address tape.
 - At each node, consult next symbol on address tape to determine which branch to take.
 - Accept if accepting configuration reached.
 - Skip to next step if
 - a. symbols on address tape exhausted
 - b. nondeterministic choice invalid
 - c. rejecting configuration reached
4. Replace string on address tape with next string in Γ_b^* in string order, and go to Stage 2



Turing Machine is Equivalent to Your Laptop

A modern computer is fundamentally a device that performs discrete operations on binary data following a fixed set of instructions. A Turing machine, while simpler, has all the essential capabilities needed to replicate these operations:

- It can read/write discrete symbols (which can represent binary data)
- It has a finite set of states (like a CPU's instruction set)
- It has unlimited memory (tape) to store data
- It follows deterministic rules for state transitions

Since any computer program can be broken down into these basic operations, a Turing machine can simulate it by:

- Using its tape to represent memory and program instructions
- Using its states to implement the instruction set
- Following its transition rules to execute the program



Your laptop might run forever on “bugged” inputs

Just like your laptop, a Turing machine might run forever.

All the NFD/PDA will terminate once it finish its' reading of input string,
but a TM can play with its' memory tape for as long as it wants.

This brings the problem:

When a string s is in a TM recognizable language, the corresponding TM halts/stops on that string s ,

but for a string that is not in the language, it is possible that the TM runs forever. Then, we will never know whether it is in the language or not.



Turing Machine Possible Outcomes

On input w a TM M may halt (enter q_{acc} or q_{rej}) or loop (run forever).

So M has 3 possible outcomes for each input w :

1. Accept w (enter q_{acc})
2. Reject w by halting (enter q_{rej})
3. Reject w by looping (running forever)



Decidable Languages

Definition

A **decider** is TM that halts on all inputs, i.e., never loops.

Language $A = L(M)$ is decided by TM M if on each possible input $w \in \Sigma^*$, the TM finishes in a halting configuration, i.e.,

- M ends in q_{accept} for each $w \in A$
- M ends in q_{reject} for each $w \in A$.

Is decidable language nicer?

A is **Turing-decidable** if \exists TM M that decides A

- Differences to Turing-recognizable language:
 - (a) Turing-decidable language has TM that halts on every string $w \in \Sigma^*$
 - (b) TM for Turing-recognizable language may loop on strings $w \notin$ this language



Decidable Languages v.s. Undecidable Languages

Decidable Languages:

- 1.Regular languages
- 2.Context-free languages
- 3.All finite languages
- 4.Language of palindromes
- 5.Language of composite numbers
- 6.Language of sorted sequences

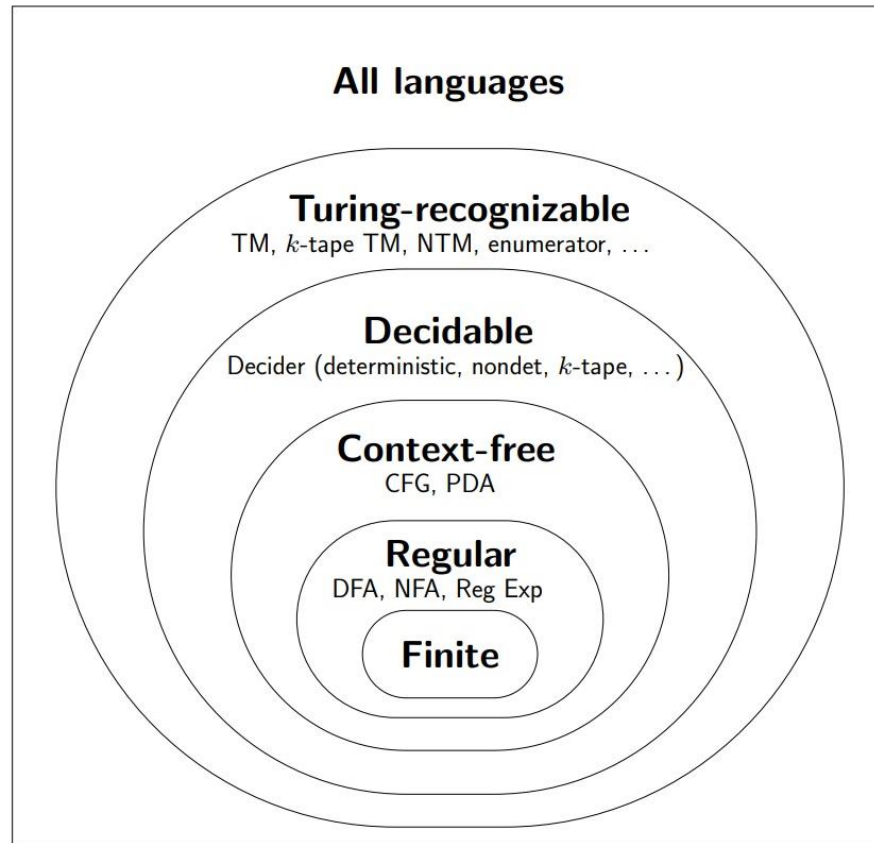
Undecidable Languages:

- 1.The Halting Problem - determining if a program will halt
- 2.The problem of determining if a Turing Machine accepts any string
- 3.The problem of determining if two Turing Machines are equivalent
- 4.The problem of determining if a context-free grammar generates all strings

Notice that strings can include Turing machine description



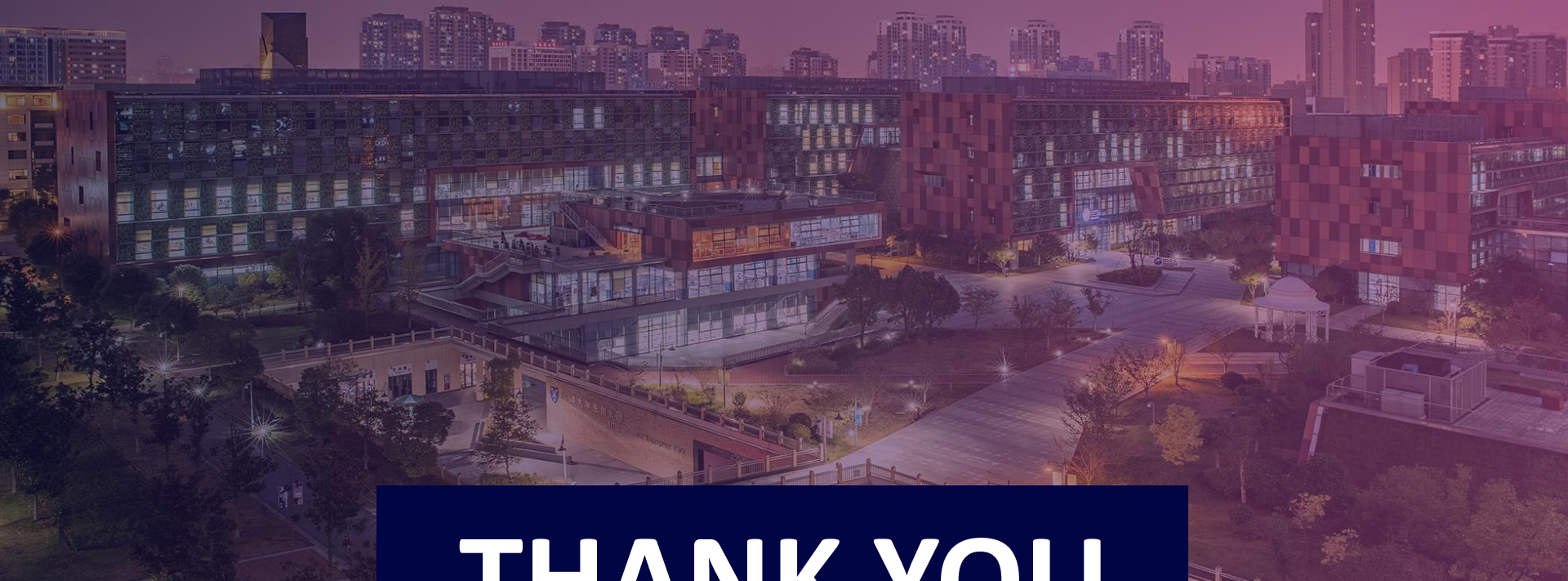
Language Hierarchy



Quick review

- A is Turing-recognizable if $A=L(M)$ for some TM M
- A is Turing-decidable if $A=L(M)$ for some TM M (decider) that halts on all inputs
- Multi-tape TM and Nondeterministic TM are equivalent to TM





THANK YOU



Xi'an Jiaotong-Liverpool University
西交利物浦大學

XJTLU | SCHOOL OF
FILM AND
TV ARTS