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#### Amazon Aurora: Design Considerations for High Throughput Cloud-Native Relational Databases

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Amazon Web Services

#### ABSTRACT

Amazon Aurora is a relational database service for OLTP workloads offered as part of Amazon Web Services (AWS). In this paper, we describe the architecture of Aurora and the design considerations leading to that architecture. We believe the central constraint in high throughput data processing has moved from compute and storage to the network. Aurora brings a novel architecture to the relational database to address this constraint, most notably by pushing redo processing to a multi-tenant scaleout storage service, purpose-built for Aurora. We describe how doing so not only reduces network traffic, but also allows for fast crash recovery, failovers to replicas without loss of data, and fault-tolerant, self-healing storage. We then describe how Aurora achieves consensus on durable state across numerous storage nodes using an efficient asynchronous scheme, avoiding expensive and chatty recovery protocols. Finally, having operated Aurora as a production service for over 18 months, we share lessons we have learned from our customers on what modern cloud applications expect from their database tier.

#### Keywords

Databases; Distributed Systems; Log Processing; Quorum Models; Replication; Recovery; Performance; OLTP

#### 1. INTRODUCTION

IT workloads are increasingly moving to public cloud providers. Significant reasons for this industry-wide transition include the ability to provision capacity on a flexible on-demand basis and to pay for this capacity using an operational expense as opposed to capital expense model. Many IT workloads require a relational OLTP database; providing equivalent or superior capabilities to on-premise databases is critical to support this secular transition.

In modern distributed cloud services, resilience and scalability are increasingly achieved by decoupling compute from storage

The I/O bottleneck faced by traditional database systems char in this environment. Since I/Os can be spread across many nc and many disks in a multi-tenant fleet, the individual disks nodes are no longer hot. Instead, the bottleneck moves to network between the database tier requesting I/Os and the stot tier that performs these I/Os. Beyond the basic bottlenecks packets per second (PPS) and bandwidth, there is amplificatio traffic since a performant database will issue writes out to storage fleet in parallel. The performance of the outlier storande, disk or network path can dominate response time.

Although most operations in a database can overlap with cother, there are several situations that require synchron operations. These result in stalls and context switches. One situation is a disk read due to a miss in the database buffer can A reading thread cannot continue until its read completes. A can miss may also incur the extra penalty of evicting and flushir dirty cache page to accommodate the new page. Backgro processing such as checkpointing and dirty page writing reduce the occurrence of this penalty, but can also cause strontext switches and resource contention

Transaction commits are another source of interference; a stal committing one transaction can inhibit others from progress Handling commits with multi-phase synchronization proto such as 2-phase commit (2PC) [3][4][5] is challenging in a clc scale distributed system. These protocols are intolerant of fai and high-scale distributed systems have a continual "backgro noise" of hard and soft failures. They are also high latency high scale systems are distributed across multiple data centers.







#### Alex Verbitski

Principal SDE at Amazon

#### Experience



#### Principal SDE

Amazon

Mar 2013 – Present · 8 yrs Greater Seattle Area

http://aws.amazon.com/rds/aurora/

Do you want to start building vNext? We have number of open positions.



#### Microsoft

15 yrs

SDEII->Senior SDE->Principal SDE in the Microsoft SQL Server Engine Team

2002 - Feb 2013 · 11 yrs

Redmond, WA

Have worked on SQL Server releases since SQL 2000 64bit all the way to SQL 2012+

Areas owned/worked on:

...see more

#### SDET -> Lead SDET

1998 – 2002 · 4 yrs Redmond, WA

Worked on Real Time Communication capabilities present in the Windows 2000/XP/WS2003.

For some time I was also responsible for the interoperability aspects of Microsoft real time collaboration software. Have participated in sever...see more

# I am not an expert on this topic I am just a learner

### Agenda

- 1. Why reading Aurora paper?
- 2. Background knowledge
- 3. History of AWS
- 4. Why traditional MySQL replicated storage failed?
- 5. Offloading redo processing
- 6. Quorum
- 7. To be continued next week!

### Why Reading Aurora Paper

#### 1. Because its from Amazon

2.

| Configuration        | Transactions | IOs/Transaction |  |  |  |
|----------------------|--------------|-----------------|--|--|--|
| Mirrored MySQL       | 780,000      | 7.4             |  |  |  |
| Aurora with Replicas | 27,378,000   | 0.95            |  |  |  |

#### 3. Some interesting quotes:

- a. "We believe the central constraint in high throughput data processing has moved from compute and storage to the network"
- b. "as far as the engine is concerned, the log is the database"

### What is Aurora?

A high throughput <u>OLTP</u> (online transactional processing) database that compromises neither availability and durability in a cloud-scale environment (AWS)

### What is OLTP?

Reference: What is OLTP? | IBM

Transaction : a business deal

Transaction processing : electronic fund transfer



#### **Characteristics:**

- 1. Available 24/7/365
- **Small work units (INSERT, UPDATE, QUERY, DELETE)**
- High throughput (#transactions per second TPS)
- **High Concurrency**

### **OLTP Desirable Properties**

#### ACID

- Atomicity: all performed, or none performed
- Consistency: data is in consistent state when a transaction starts and when it ends.
- Isolation: transaction's updates are not visible to other transactions before commit
- Durability: committed changes to DB are permanent.

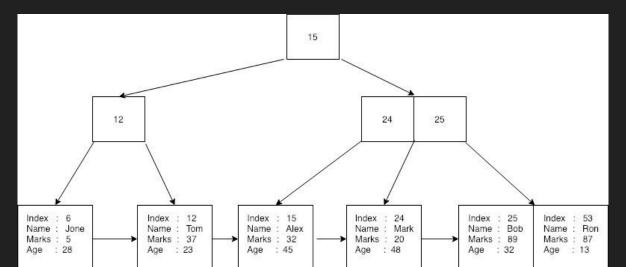
### **Generic Transactional DB**

BEGIN x = x + 10 y = y - 10 END

When a transaction comes in:

The DB locks `x` and `y`, release after commit finishes

DB stores data in database pages using <u>B+tree</u> for indexing. (<u>reference</u>)



### **Generic Transactional DB**

DB stores data in database pages using <u>B+tree</u> for indexing. (<u>reference</u>)

**DB** caches database pages

DB uses Write-Ahead logging (WAL) for providing atomicity and durability. The changes are first recorded in the log, persist to a stable storage, and then commit and write to the database. (reference)

WAL enables crash-recovery

- 1. Replay "new" values for all committed Ts in log (redo)
- 2. Replay "old" values for uncommitted Ts in log (undo)

Once committed, DB release locks, and reply to client

### What is AWS?

| Se                    | ervice Name 🕏                               | Category \$                     | Announced \$ | Released \$ |
|-----------------------|---|---------------------------------|--------------|-------------|
| 5                     | Amazon Simple Storage Service (S3)          | Storage                         | 13-Mar-2006  | 13-Mar-2006 |
| é.                    | Amazon Simple Queue Service (SQS)           | Application Integration         | 03-Nov-2004  | 11-Jul-2006 |
|                       | Amazon SimpleDB                             | Database                        | 13-Dec-2007  |             |
| ي ا                   | ្សិ Amazon <b>Elastic Block Store (EBS)</b> | Storage                         | 20-Aug-2008  | 20-Aug-2008 |
| ď                     | Amazon <b>EC2</b>                           | Compute                         | 24-Aug-2006  | 23-Oct-2008 |
| <del>o</del>          | Amazon <b>EMR</b>                           | Analytics                       | 02-Apr-2009  |             |
|                       | Amazon CloudWatch                           | Management & Governance         | 17-May-2009  |             |
| <u>ry</u><br>rgrove - | Elastic Load Balancing (ELB)                | Networking & Content Delivery   | 18-May-2009  | 18-May-2009 |
| VS History            | Amazon Simple Notification Service (SNS)    | Application Integration         | 07-Apr-2010  |             |
| vsgeek.co             | Mmazon CloudFront                           | Networking & Content Delivery   | 18-Nov-2008  | 09-Nov-2010 |
| <b>E</b>              | Amazon <b>Route 53</b>                      | Networking & Content Delivery   | 06-Dec-2010  | 06-Dec-2010 |
| (E                    | AWS Elastic Beanstalk                       | Compute                         | 19-Jan-2011  |             |
| (E)                   | Amazon Simple Email Service (SES)           | Customer Engagement             | 25-Jan-2011  |             |
|                       | AWS CloudFormation                          | Management & Governance         | 25-Feb-2011  | 25-Feb-2011 |
|                       | AWS Identity & Access Management            | Security, Identity & Compliance | 02-Sep-2010  | 03-May-2011 |
| Control of the second | AWS Direct Connect                          | Networking & Content Delivery   | 03-Aug-2011  | 03-Aug-2011 |
| 6                     | Amazon <b>VPC</b>                           | Networking & Content Delivery   | 26-Aug-2009  | 03-Aug-2011 |
|                       | Amazon <b>ElastiCache</b>                   | Database                        | 22-Aug-2011  |             |
|                       | Amazon <b>DynamoDB</b>                      | Database                        | 18-Jan-2012  | 18-Jan-2012 |

### What is AWS?

Jerry Hargrove - Periodic Table of Amazon Web Services (awsgeek.com)

#### Periodic Table of Amazon Web Services



#### Azure Periodic Table | Data#3

|                        |                       |                                    |                 |  | Identity Security                   | Management and Protect | ction Compute Ad              | Ivanced Compute Data        | Advanced Data       | Development Networki | ing Al and Analytics |                         |             |                                    |                                |                                |
|------------------------|-----------------------|------------------------------------|-----------------|--|-------------------------------------|------------------------|-------------------------------|-----------------------------|---------------------|----------------------|----------------------|-------------------------|-------------|------------------------------------|--------------------------------|--------------------------------|
| Azure Active Directory | Azure Domain Services | Active Directory Connect<br>Health |                 |  |                                     |                        |                               |                             |                     |                      |                      |                         |             |                                    | Cognitive Services<br>Decision | Cognitive Services<br>Language |
| Azure B2B              | Azure B2C             | Multi Factor<br>Authentication     | Azure Stack     | (i) the (i) the (ii) the (ii) the (ii) the (iii) the (iiii) the (iii) the (i | Virtual Machine<br>Availability Set | Management Groups      | Azure Arc                     | Azure Automation            | Event Grid          | Stream Analytics     | Notification Hubs    | {□□ }<br>Logic Apps     | Web Apps    | Application Service<br>Environment | Cognitive Services<br>Search   | Cognitive Services<br>Vision   |
| Work Account           | Microsoft Account     | Role Based Access<br>Control       | Virtual Machine | Virtual Machine Scale<br>Set   | Tags                                | Azure Monitor          | Azure Alert                   | Subscription                | Event Hubs          | Azure IoT Hub        | Service Bus          | Functions               | API Apps    | SendGrid                           | Power BI                       | Cognitive Services<br>Speech   |
| Conditional Access     | Security Center       | Application Insights               | Azure Advisor   | Azure Backup   | Azure Site Recovery                 | Azure Migrate          | Database Migration<br>Service | (3)<br>Cost Management      | SQL<br>SQL Database | SQL Managed Instance | PostgreSQL           | SQL Elastic Pool        | Cosmos DB   | Analysis Services                  | Azure Search                   | Cognitive Services             |
| (Foy Vault             | Resource Group        | Azure Rights<br>Management         | Network Watcher | Azure Traffic Manager  | Application Gateway                 | Load Balancer          | Virtual WAN                   | DNS                         | Data Lake           | Media Services       | Data Factory         | Azure Synapse Analytics | Redis Cache | HD Insight                         | Bot Sevices                    | Machine Learning               |
| Cloud App Security     | Azure Sentinel        | Network Security Group             | Front Door      | ExpressRoute   | VPN Gateway                         | Virtual Network        | Virtual Subnet                | On Premises Data<br>Gateway | Data Box            | Storage Account      | File Sync            | StorSimple              | DataBricks  | Digital Twins                      | Azure IoT Central              |                                |
|                        |                       | DDoS Protection                    | Azure Firewall  | Azure Bastion  | Content Delivery<br>Network         | JSON<br>Azure Resource | Azure BluePrint               | Automation Runbooks         | Dev Test Labs       | Resource Graph       | Azure DevOps         |                         |             |                                    |                                |                                |

### History led to Aurora



**Cloud VMM for renting.** 

**Great for hosting web sites** 

Not ideal for MySQL

- Limited scaling options
- Limited fault-tolerance



Chain replication (pair of 2 servers) (<u>reference</u>)

Used as a block-storage service for EC2 and will be available if an EC2 instance crashes.

Not ideal either: not fault-tolerant enough (what if an entire datacenter went down?), network load is not efficient.

### **AWS Regions and AZ**

AWS Region is a separate geographic area.

AWS Region provides complete isolation

AWS Region has multiple availability zones



#### US East (Ohio) Region

Availability Zones: 3

Launched 2016

#### **US West (Oregon) Region**

Availability Zones: 4

Launched 2011

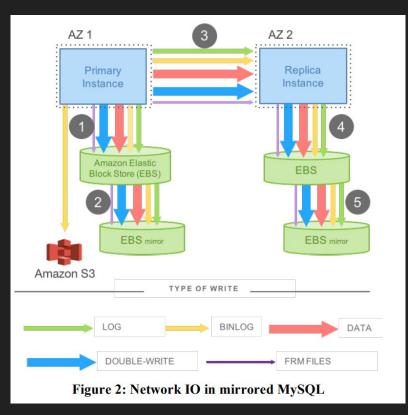
Local Zones: 2

Launched 2019

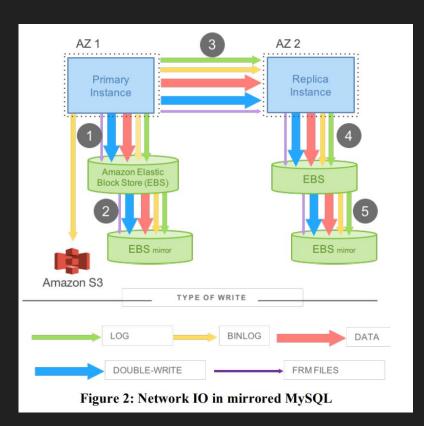
"In modern distributed cloud services, resilience and scalability are increasingly achieved by decoupling compute from storage and by replicating storage across multiple node"

### Replicated Storage for MySQL

(RDS)



#### RDS



The burden of amplified writes

**Problem:** The mirrored MySQL sends many bytes of date over the network. (Each data page is 8KB)

MySQL thinks it is writing to a local disk.

## How does Aurora deal with it

### Aurora's solution

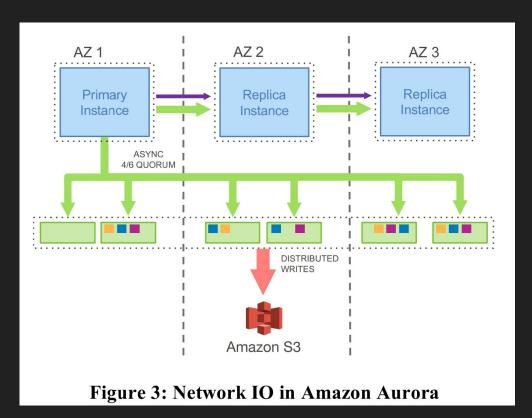
#### Offloading Redo Processing to Storage

The storage servers store the "data pages" in B+tree that make up the tables and indices.

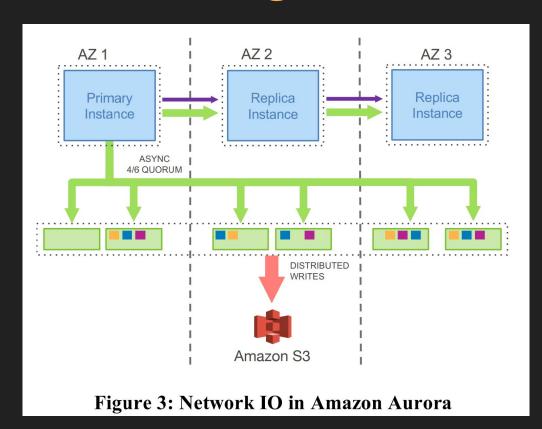
Traditional: reading old data from storage, modifying them, and writing back entire pages.

Aurora's solution: sends just the log records to storage servers. This offloads interpreting the log entries and modifications to background.

### **Aurora's solution**



### Now, storage server needs replication.



Aurora does 6 replicas!!

### Quorum-based voting protocol

Goal: being able to read latest data even if some failures

Suppose we have N replicas, R read replicas and W write replicas.

We want to make sure R + W = N + 1



### **Quorum in Aurora**

N = 6, R = 3, W = 4

This means Aurora can tolerant 1 AZ write failure, 1AZ + 1 read failure

Compare to CRAQ: no need to wait for failures



### Note

Since database server and storage are decoupled, we were only talking about storage fault-tolerance.

What if the database server is down?

Maybe a new EC2 server will automatically start and recovers from log + data on the storage servers.

# We briefly touched Aurora's two BIG ideas

- 1. Quorum writes for better fault-tolerance without too much waiting (Unlike CRAQ)
- 2. Offloading repo processing to Storage Servers Sending to many replicas, but not much data!

| Table 1: Network IOs for Aurora vs MySQL |              |                 |  |  |  |  |
|--|--------------|-----------------|--|--|--|--|
| Configuration                            | Transactions | IOs/Transaction |  |  |  |  |
| Mirrored MySQL                           | 780,000      | 7.4             |  |  |  |  |
| Aurora with Replicas                     | 27,378,000   | 0.95            |  |  |  |  |

## Next week 15

What does Aurora quorum write look like?

What does Aurora quorum red look like?

What does Storage Server look like?

How to do quick crash recovery?