

# CS150A Database

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Today:

- Disk Representations:
  - Files, Pages and Records

Readings:

- Database Management Systems (DBMS), Chapter 8
- Lecture note Disk Files

# **STORING DATA: FILES**

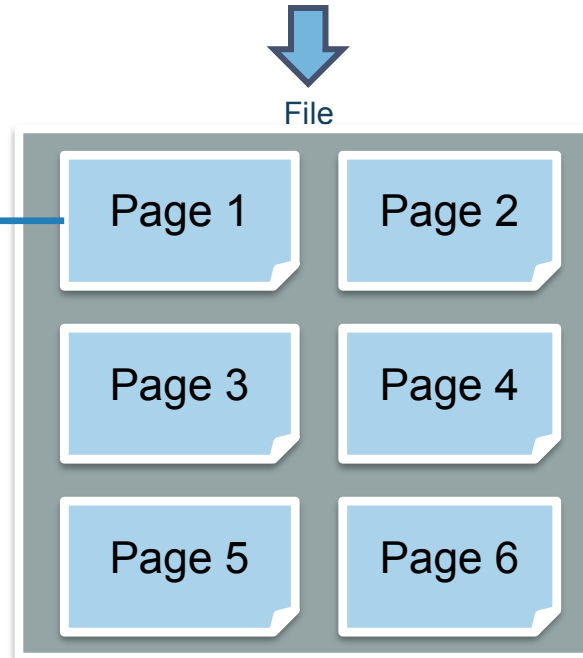
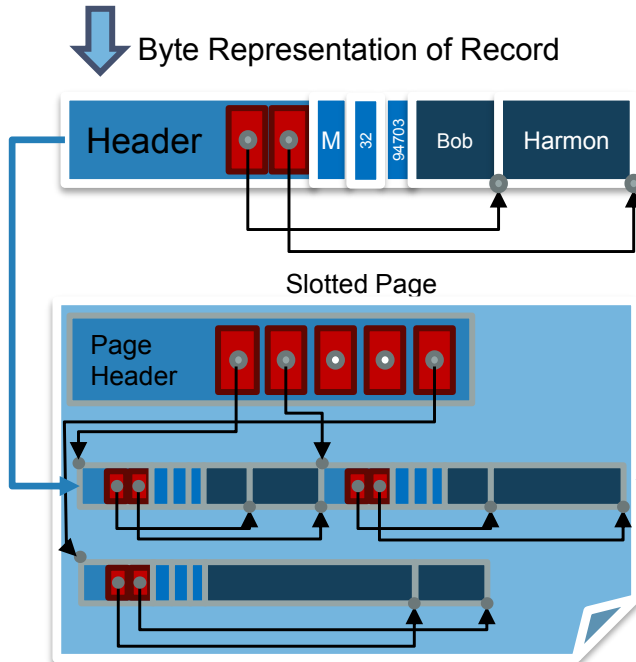
# **FILE REPRESENTATIONS**

# Overview: Representations

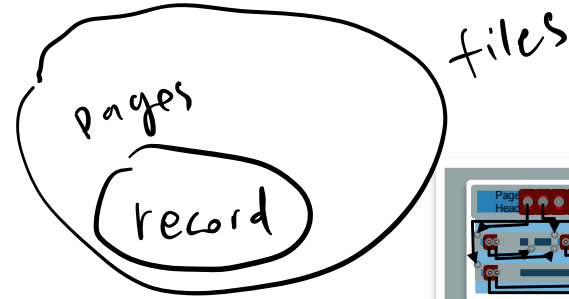
Record

Bob	Harmon	M	32	400
Varchar	Varchar	Char	Int	Int

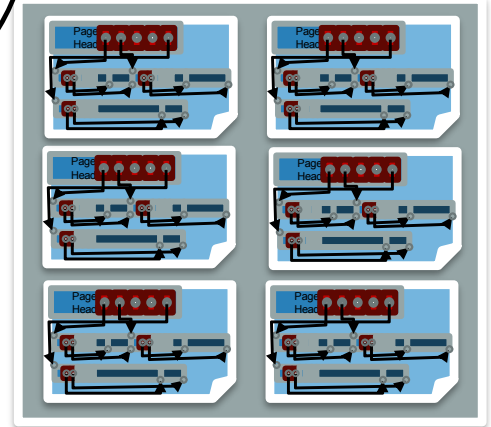
SSN	Last Name	First Name	Age	Salary
123	Adams	Elmo	31	\$400
443	Grouch	Oscar	32	\$300
244	Oz	Bert	55	\$140
134	Sanders	Ernie	55	\$400



# Overview: Files of Pages of Records



- Tables stored as logical files
  - Consist of pages
    - Pages contain a collection of records
- Pages are managed
  - On disk by the disk space manager:  
pages read/written to physical disk/files
  - In memory by the buffer manager:  
higher levels of DBMS only operate in memory



# **DATABASE FILES**

# Files of Pages of Records

- **DB FILE**: A collection of pages, each containing a collection of records.
- API for higher layers of the DBMS:
  - Insert/delete/modify record
  - Fetch a particular record by **record id** ...
    - Record id is a pointer encoding pair of (**pageID**, **location** on page)
  - Scan all records
    - Possibly with some conditions on the records to be retrieved
- Could span multiple OS files and even machines
  - Or “raw” disk devices

# Many DB File Structures

- Unordered Heap Files
  - Records placed arbitrarily across pages
- Clustered Heap Files
  - Records and pages are grouped
- Sorted Files
  - Pages and records are in sorted order
- Index Files
  - B+ Trees, Linear Hashing, ...
  - May contain records or point to records in other files



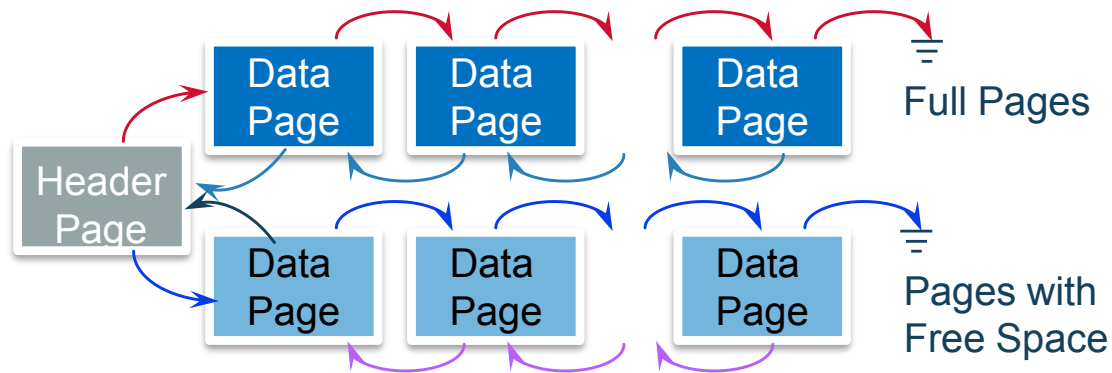
# Unordered Heap Files

- Collection of records in no particular order
  - Not to be confused with “heap” data-structure
- As file shrinks/grows, pages (de)allocated
- To support record level operations, we must
  - Keep track of the pages in a file
  - Keep track of free space on pages
  - Keep track of the records on a page

Not heap

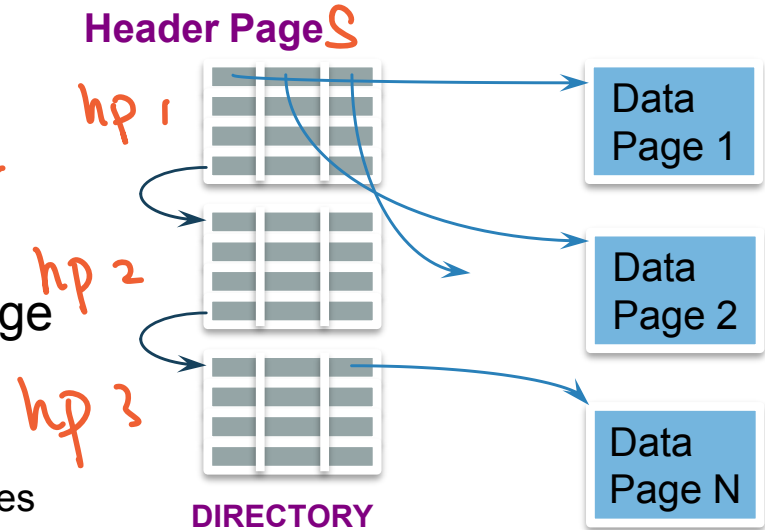
# Heap File Implemented as List

- Header page ID and Heap file name stored elsewhere
  - Database catalog
- Each page contains 2 “pointers” plus **free space** and **data**
- What is wrong with this?
  - How do I find a page with enough space for a 20 byte records



# Better: Use a Page Directory

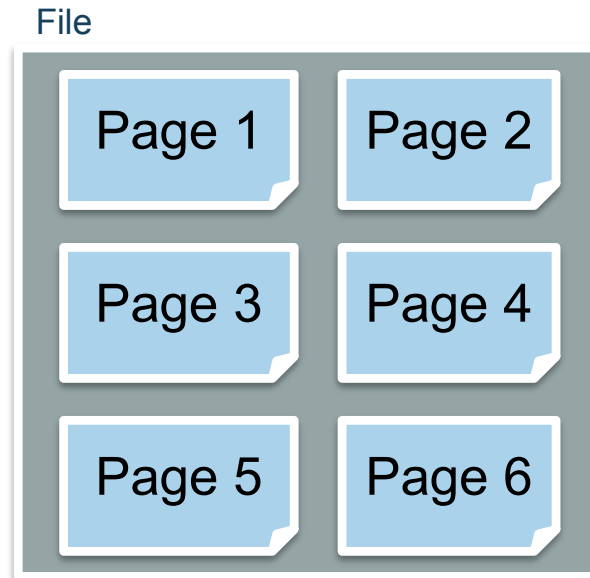
- Directory entries include:
  - #free bytes on the referenced page *and pointer*
- Header pages accessed often → likely in cache
- Finding a page to fit a record required far fewer page loads than linked list
  - Why?
    - One header page load reveals free space of many pages
- You can imagine optimizing the page directory further
  - But diminishing returns?



# Summary

- Table encoded as files which are collections of pages

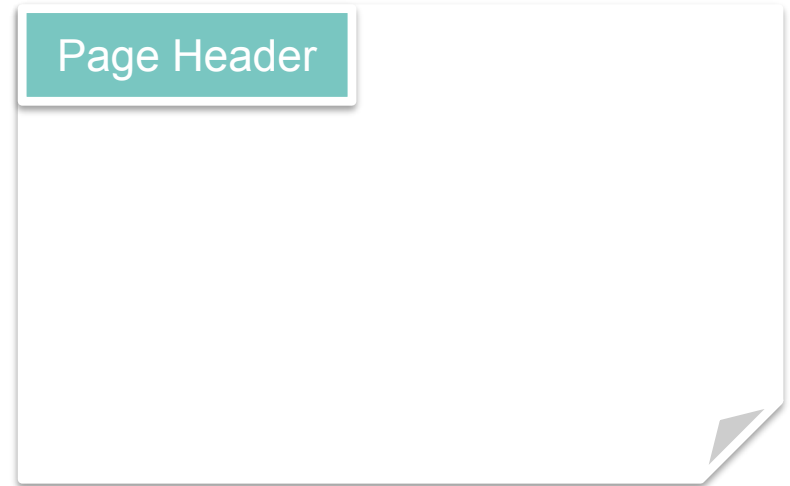
SSNz	Last Name	First Name	Age	Salary
123	Adams	Elmo	31	\$400
443	Grouch	Oscar	32	\$300
244	Oz	Bert	55	\$140
134	Sanders	Ernie	55	\$400



# PAGE LAYOUT

# Page Basics: The Header

- Header may contain:
  - Number of records
  - Free space (how much)
  - Maybe a next/last pointer
  - Bitmaps, Slot Table



# Things to Address

*"fixed length"*

- Record length? Fixed or Variable
- Find records by record id?
  - Record id = (Page id, Location in Page)
- How do we add and delete records?



Page Header

The diagram illustrates a page structure. It consists of a teal-colored rectangular box at the top left labeled "Page Header". To the right of this header is a large, empty white rectangular area representing the body of the page. The white area has a slight drop shadow and a folded corner effect at the bottom right.

# Options for Page Layouts

- Depends on
  - Record length (fixed or variable)
  - Page packing (packed or unpacked)

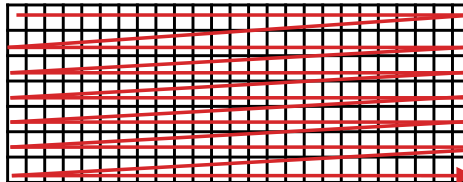


# A Note On Imagery

- Data is stored in linear order
  - 1 byte per position
  - Memory addresses are ordered
  - Disk addresses are ordered

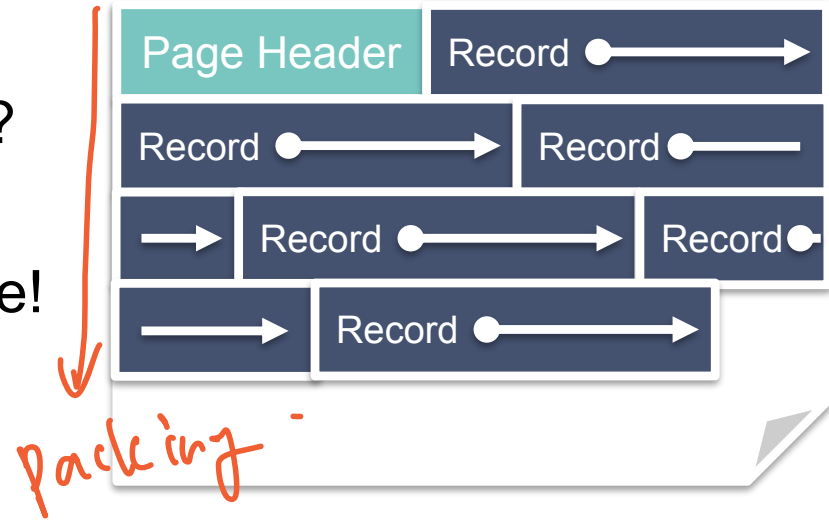


- This doesn't fit nicely on screen
  - So we will “wrap around” the linear order into a rectangle



# Fixed Length Records, Packed

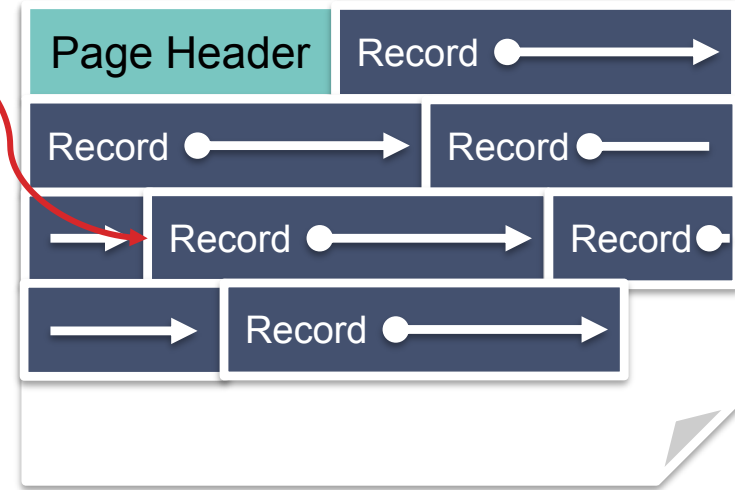
- Pack records densely
- Record id = (pageId, "location in page")?
  - (pageId, record number in page)!
  - We know the offset from start of page!
- Easy to add: just append
- Delete?



# Fixed Length Records, Packed, Pt 2.

- Pack records densely
- Record id = (pageId, "location in page")?
  - (pageId, record number in page)!
  - We know the offset from start of page!
- Easy to add: just append
- Delete?

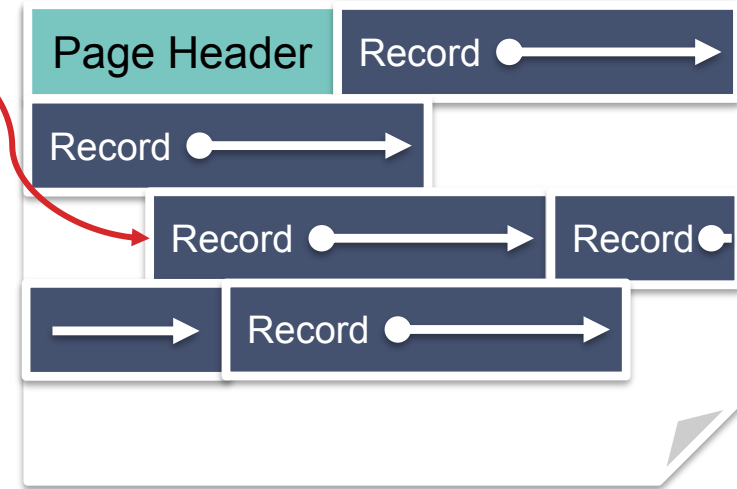
Record id:  
(Page 2, Record 4)



# Fixed Length Records: Packed, Pt 3.

- Pack records densely
- Record id = (pageId, "location in page")?
  - (pageId, record number in page)!
  - We know the offset from start of page!
- Easy to add: just append
- Delete?

Record id:  
(Page 2, Record 4)

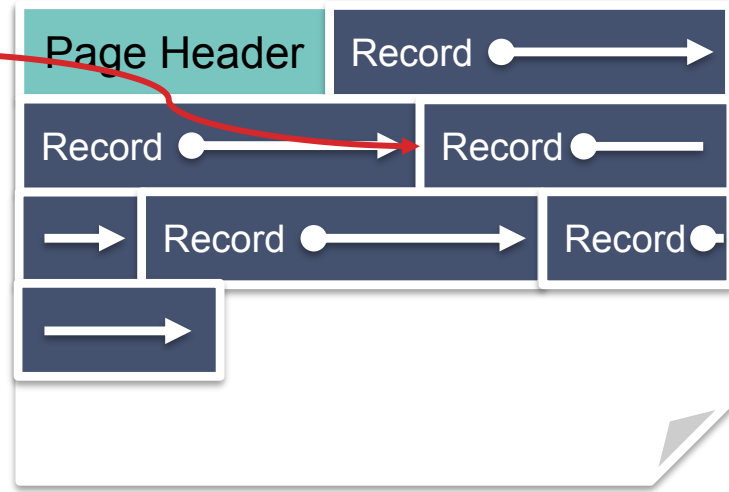


# Fixed Length Records: Packed, Pt. 5

- Pack records densely
- Record id = (pageId, "location in page")?
  - (pageId, record number in page)!
  - We know the offset from start of page!
- Easy to add: just append
- Delete?
  - Packed implies re-arrange!
  - Record Id pointers need to be updated!
    - Could be expensive if they're in other files.

Record id:  
(Page 2, Record 3)

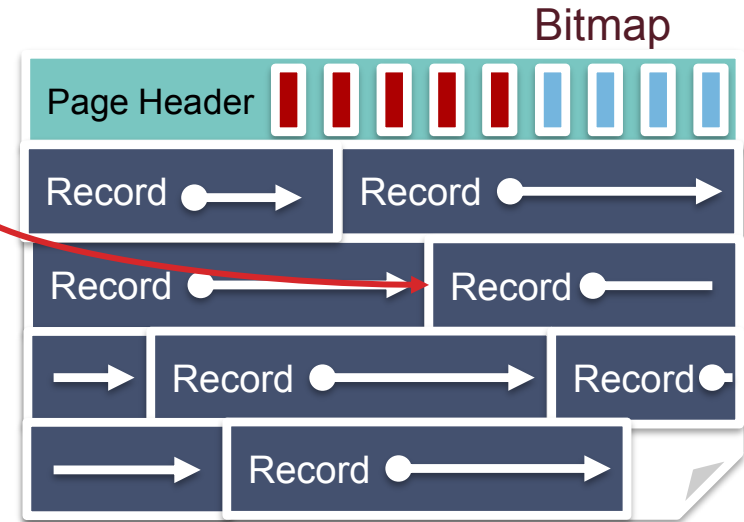
*change*



# Fixed Length Records: Unpacked

- Bitmap denotes “slots” with records
- Record id: record number in page
- **Insert**: find first empty slot
- **Delete**: Clear bit

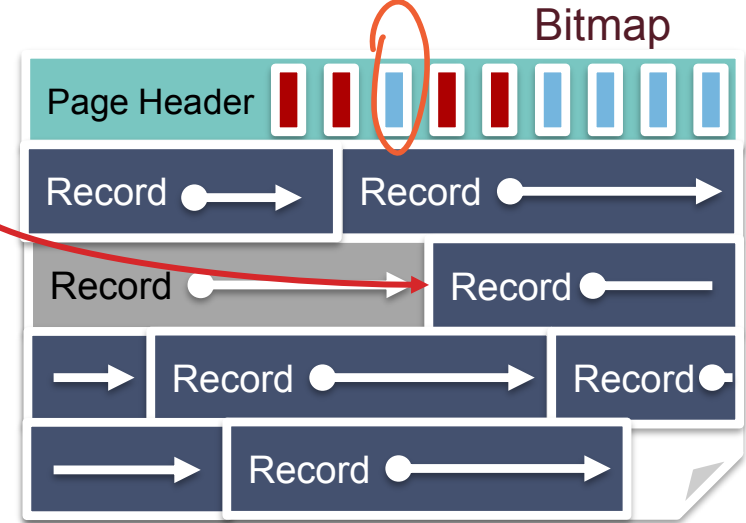
Record id:  
(Page 2, Record 4)



# Fixed Length Records: Unpacked, Pt. 2

- Bitmap denotes “slots” with records
- Record id: record number in page
- **Insert**: find first empty slot
- **Delete**: Clear bit

Record id:  
(Page 2, Record 4)

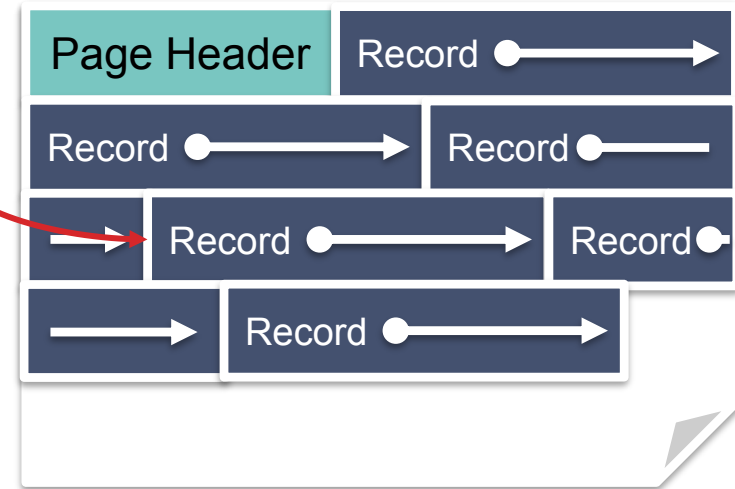


# Variable Length Records

- How do we know where each record begins?
- What happens when we add and delete records?

delete small  
insert big

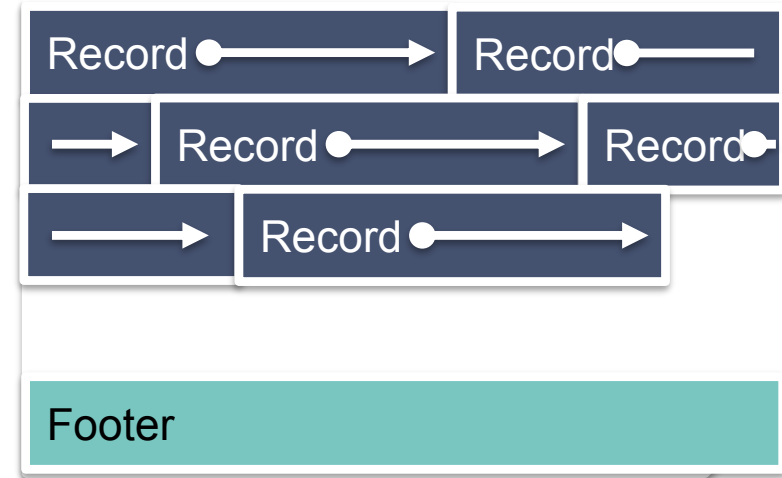
Record id:  
(Page 2, Record 4)





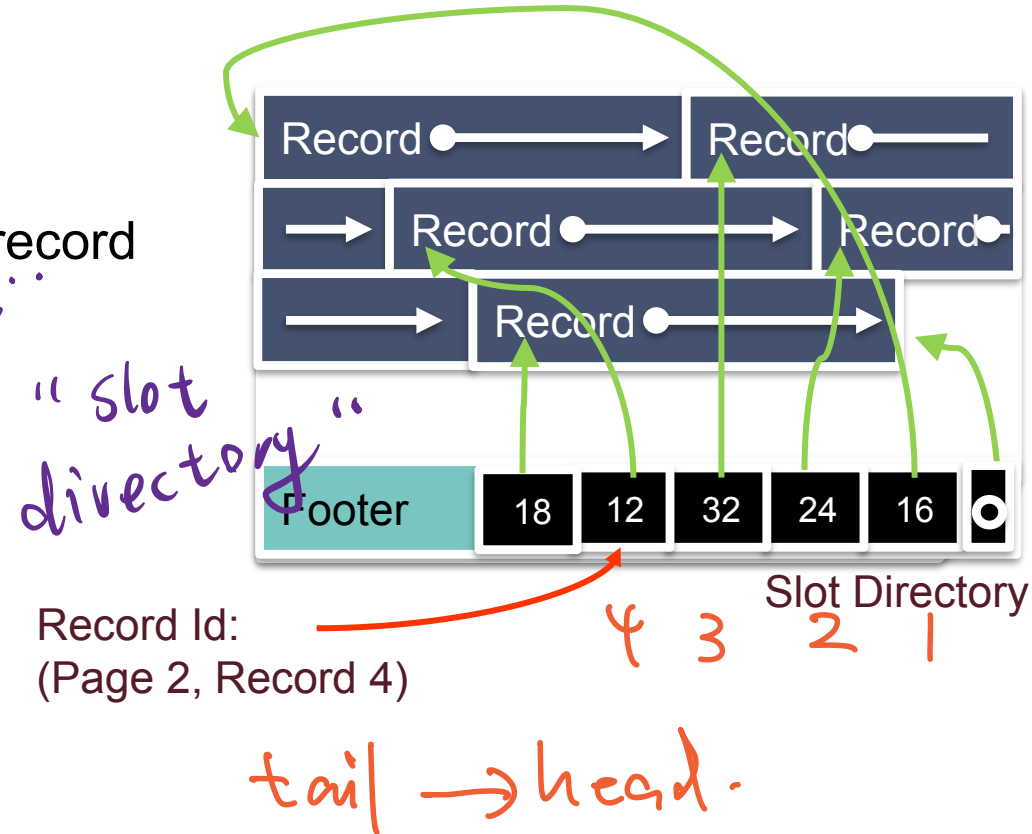
# First: Relocate metadata to footer

- We'll see why this is handy shortly...



# Slotted Page

- Introduce slot directory in footer
  - Pointer to free space
  - Length + Pointer to beginning of record
    - reverse order
- Record ID = location in slot table
  - from right
- Delete?
  - e.g., 4th record on the page



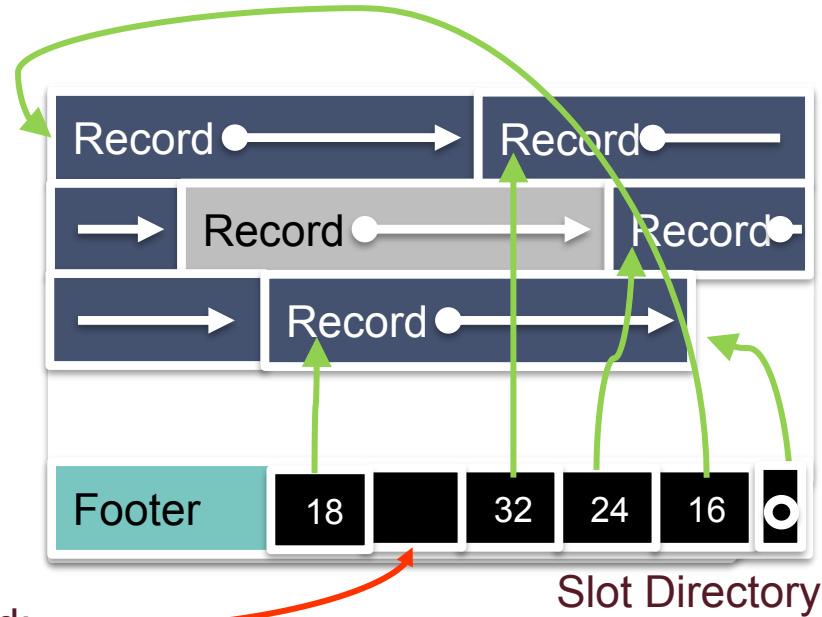
# Slotted Page: Delete Record

- Delete record (Page 2, Record 4):  
Set 4th slot directory pointer to null
  - Doesn't affect pointers to other records

set record entry to NULL

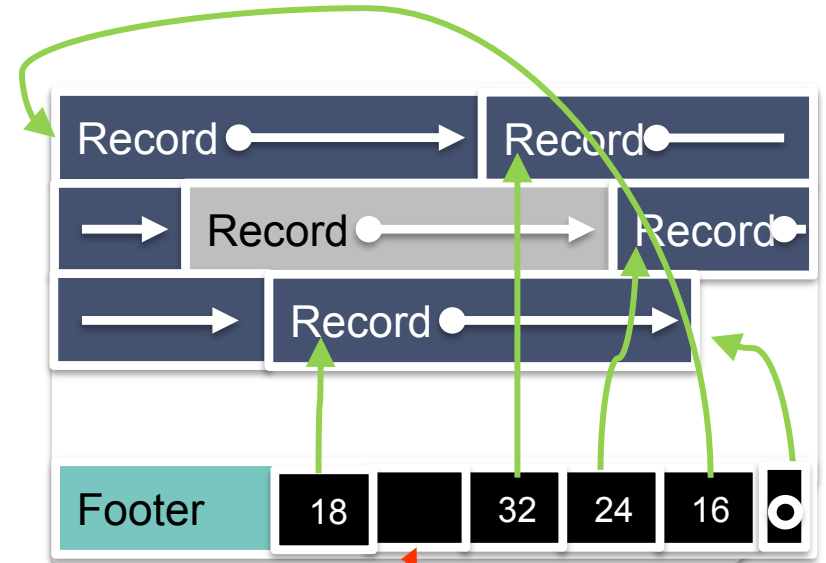
other entry not changed

instead: unused in mid.



# Slotted Page: Insert Record

- Insert:

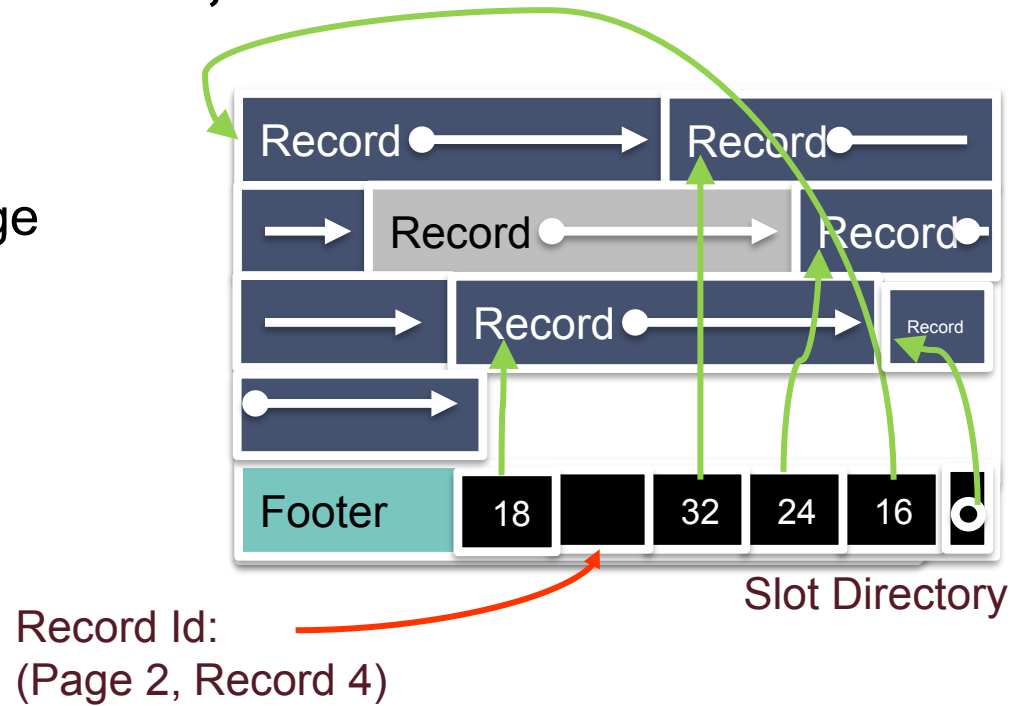


Record Id:  
(Page 2, Record 4)

Slot Directory

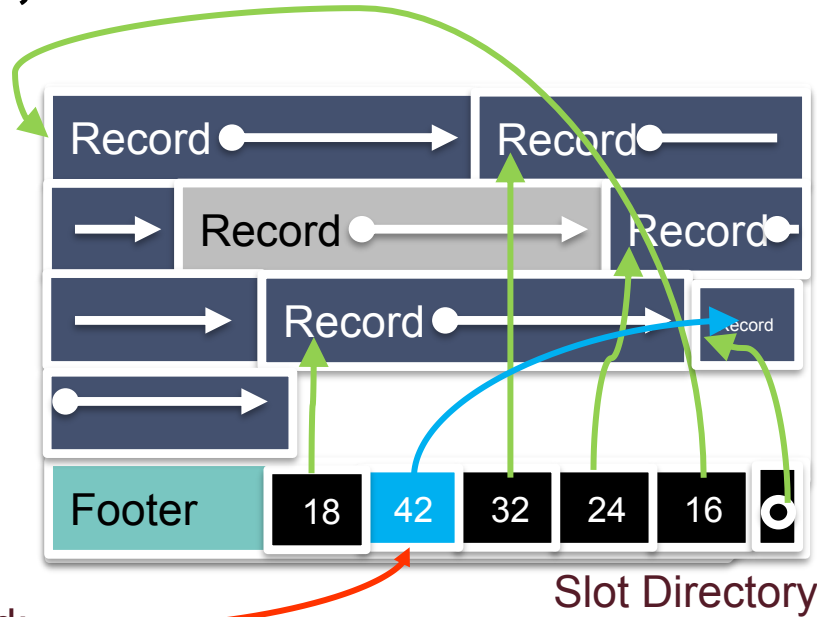
# Slotted Page: Insert Record, Pt 2.

- Insert:
  - Place record in free space on page



# Slotted Page: Insert Record, Pt. 3

- Insert:
  - Place record in free space on page
  - Create pointer/length pair in next open slot in slot directory

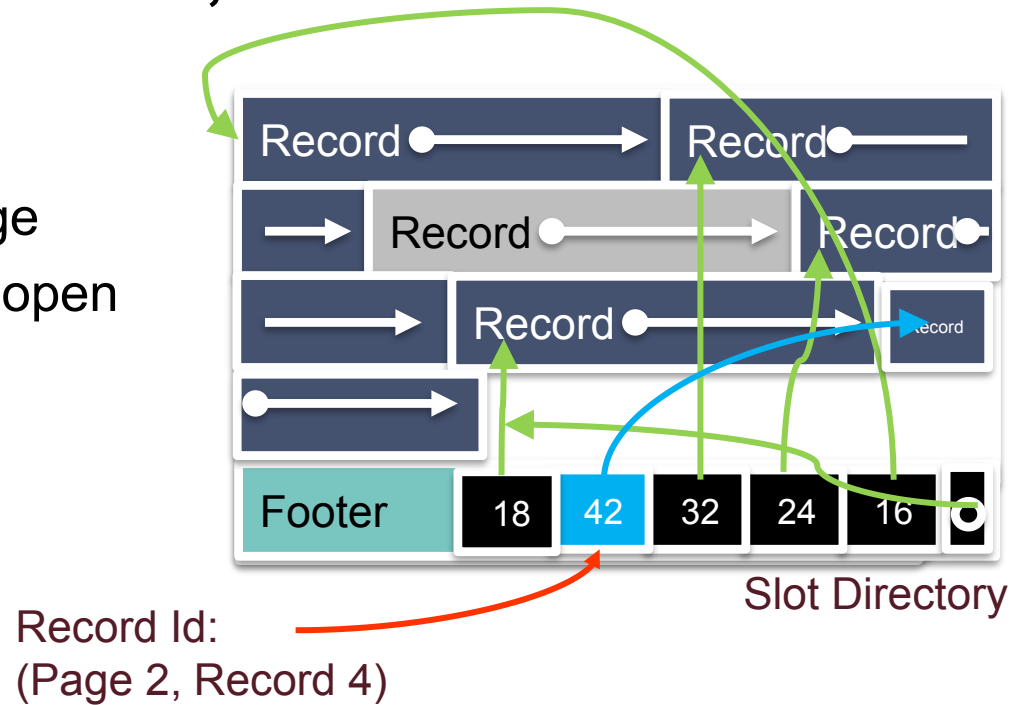


Reuse the slot  
Not Reuse the space

Record Id:  
(Page 2, Record 4)

# Slotted Page: Insert Record, Pt. 4

- Insert:
  - Place record in free space on page
  - Create pointer/length pair in next open slot in slot directory
  - Update the free space pointer

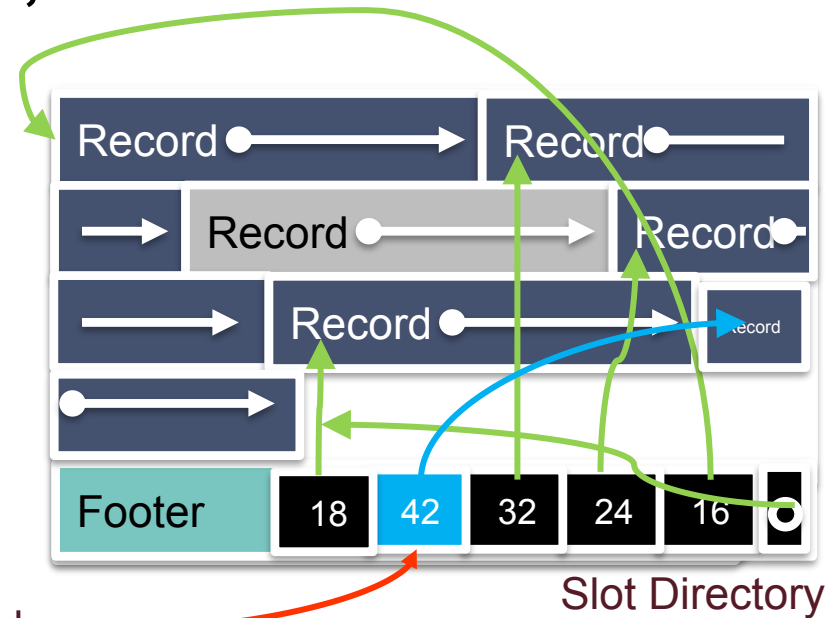


# Slotted Page: Insert Record, Pt. 5

- Insert:
  - Place record in free space on page
  - Create pointer/length pair in next open slot in slot directory
  - Update the free space pointer
  - Fragmentation?

update

Every time!

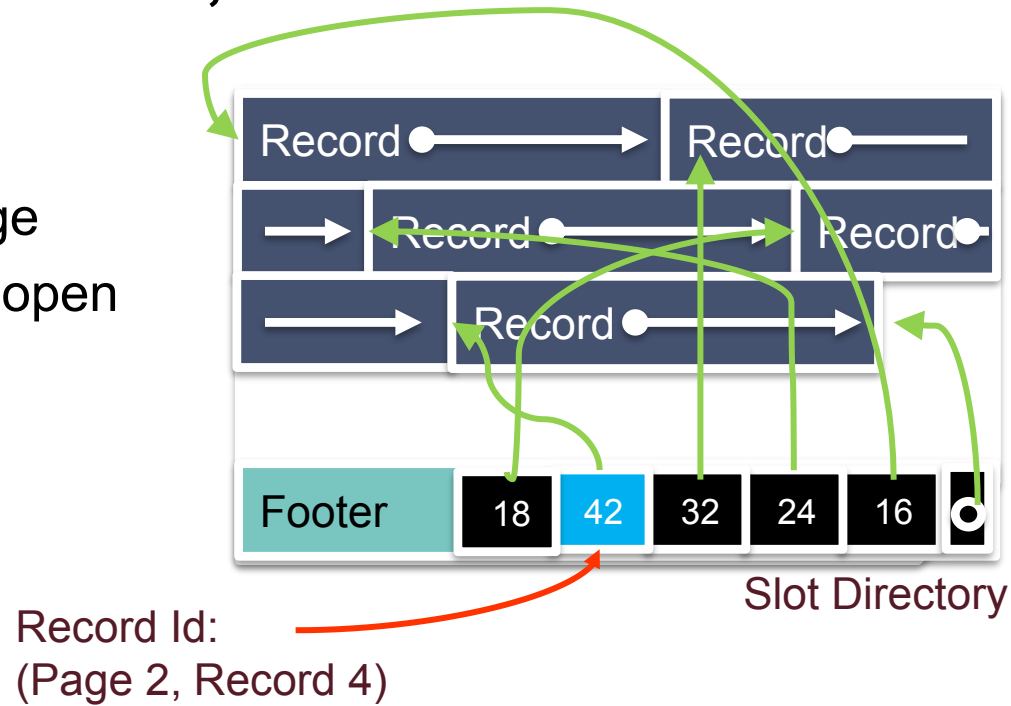


Record Id: \_\_\_\_\_  
(Page 2, Record 4)



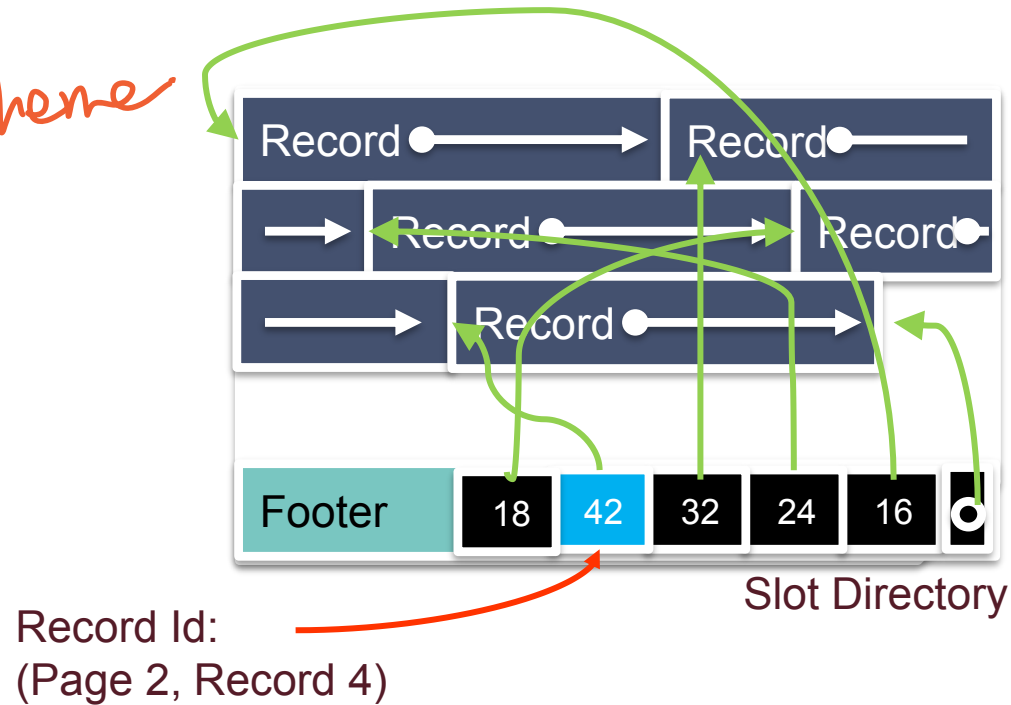
# Slotted Page: Insert Record, Pt. 6

- Insert:
  - Place record in free space on page
  - Create pointer/length pair in next open slot in slot directory
  - Update the free space pointer
  - Fragmentation?
    - Reorganize data on page!



# Slotted Page: Leading Questions

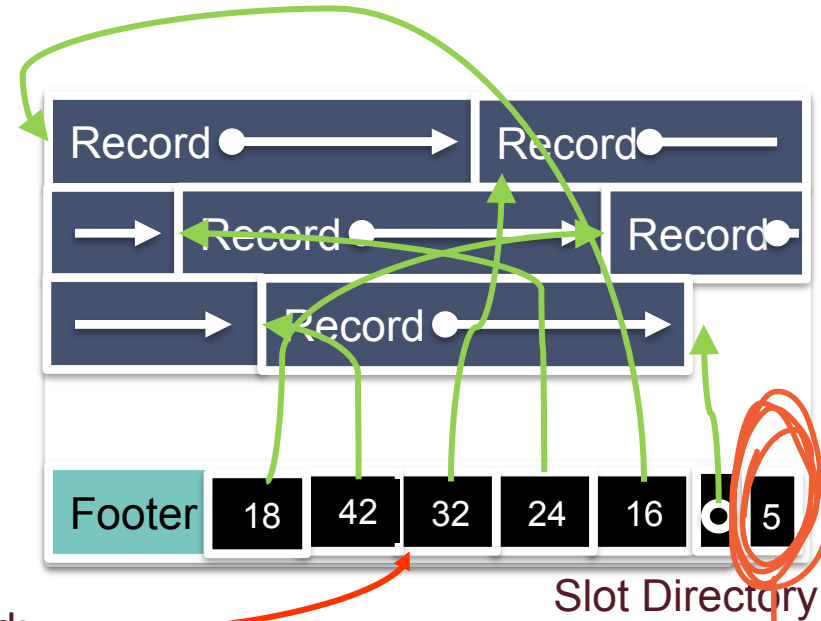
- Reorganize data on page *lazy scheme*
  - Is this safe?
    - Yes this is safe because records ids don't change.
- When should I reorganize?
  - We could re-organize on delete
  - Or wait until fragmentation blocks record addition and then reorganize.
  - Often pays to be a little sloppy if page never gets more records.
- What if we need more slots?
  - Let's see...



# Slotted Page: Growing Slots

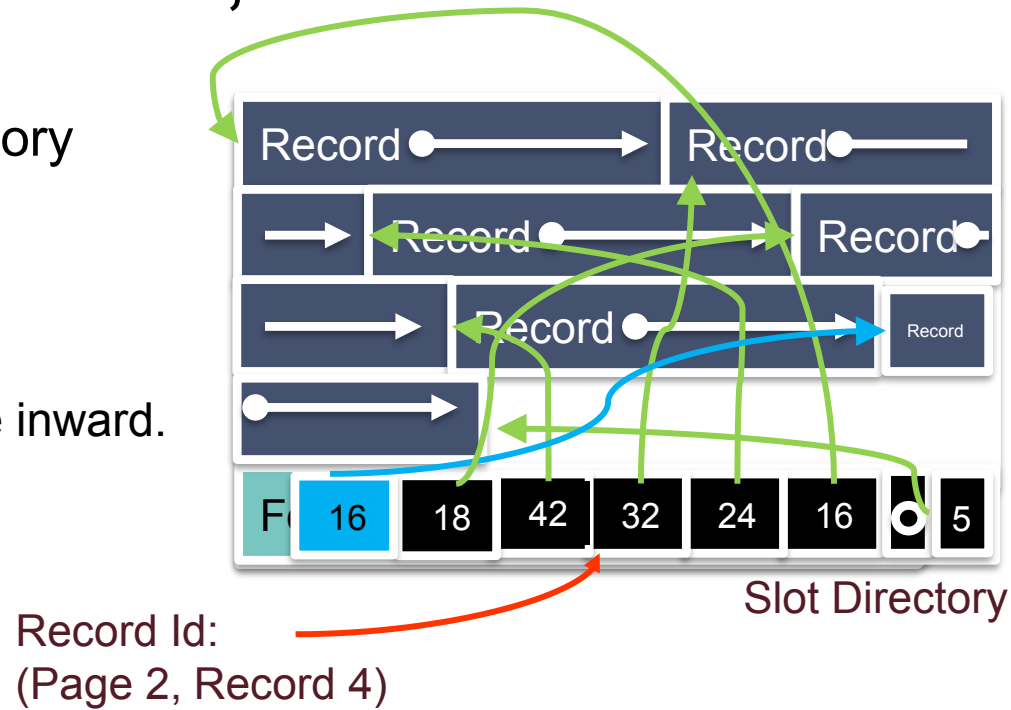
- Tracking number of slots in slot directory
  - Empty or full

have to track # of slot  
in slot dict  
(Footer)



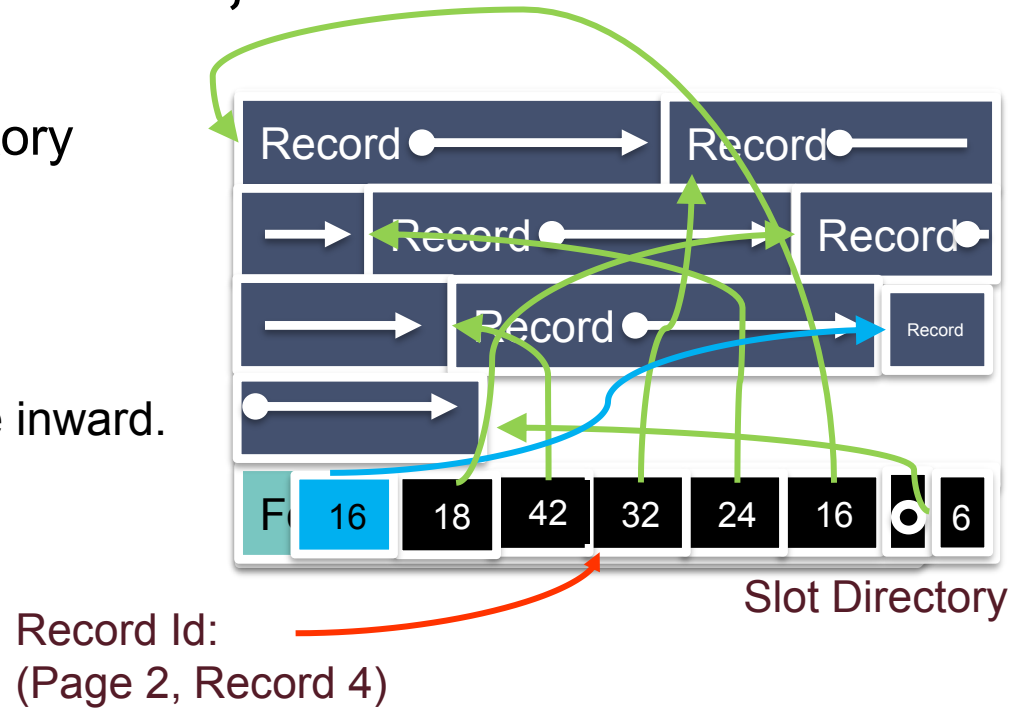
# Slotted Page: Growing Slots, Pt. 2

- Tracking number of slots in slot directory
  - Empty or full
- Extend slot directory
  - Slots grow from end of page inward
  - Records grow from beginning of page inward.
  - Easy!



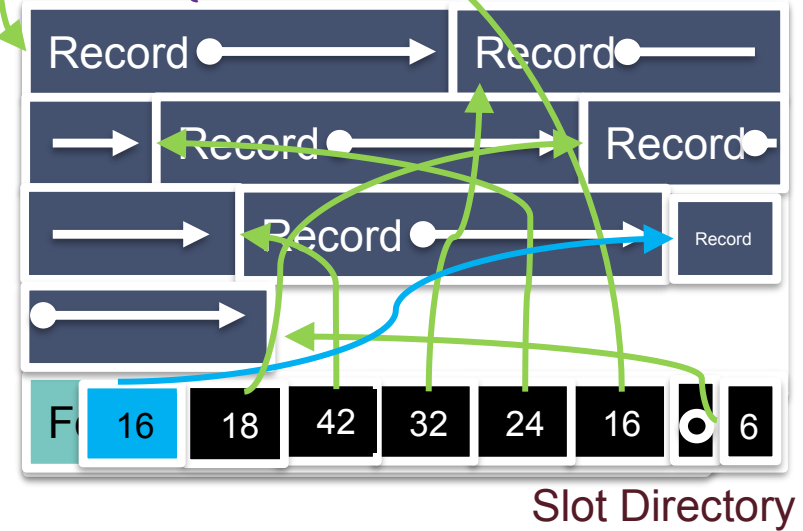
# Slotted Page: Growing Slots, Pt. 3

- Tracking number of slots in slot directory
  - Empty or full
- Extend slot directory
  - Slots grow from end of page inward
  - Records grow from beginning of page inward.
  - Easy!
- And update count



# → works for general Slotted Page: Summary

- Typically use Slotted Page
  - Good for variable and fixed length records
- Not bad for fixed length records too.
  - Why?
  - Re-arrange (e.g., sort) and squash null fields
  - But for a whole table of fixed-length non-null records, can be worth the optimization of fixed-length format



# **RECORD LAYOUT**

# Record Formats

(SQL)

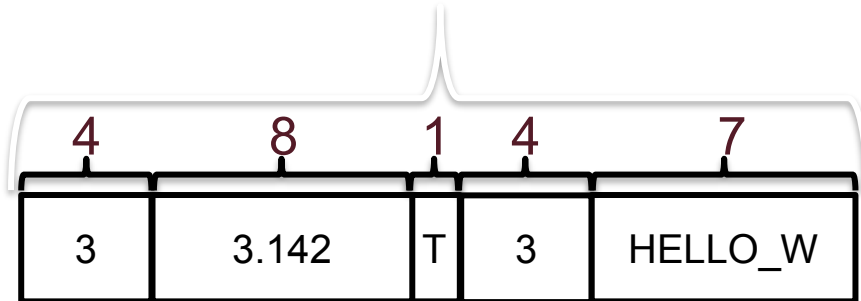
- Relational Model →
  - Each record in table has some fixed type
- Assume System Catalog stores the Schema
  - No need to store ~~type~~ information with records (save space!) *(type)*
  - Catalog is just another table ... (so same representation) *so no need store in record.*
- Goals:
  - Records should be compact in memory & disk format
  - Fast access to fields (why?)
- Easy Case: Fixed Length Fields
- Interesting Case: Variable Length Fields



# Record Formats: Fixed Length

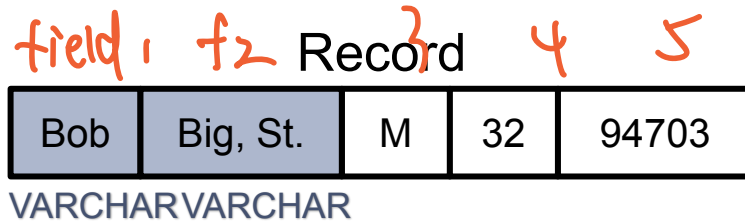
- Field types same for all records in a file.
  - Type info stored separately in system catalog
- On disk byte representation same as in memory
- Finding i'th field?
  - done via arithmetic (fast)
- Compact? (Nulls?)

length of (i-1)  
before  
→ offset



# Record Formats: Variable Length

- What happens if fields are variable length?

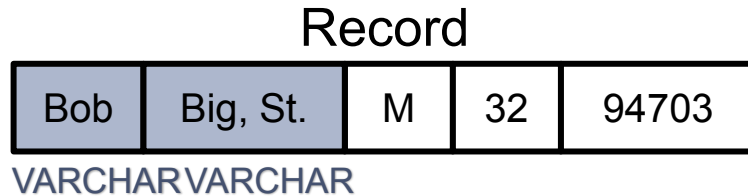


- Could store with padding? (Fixed Length)



# Record Formats: Variable Length, Pt 2.

- What happens if fields are variable length?



- Could use delimiters (i.e., CSV):

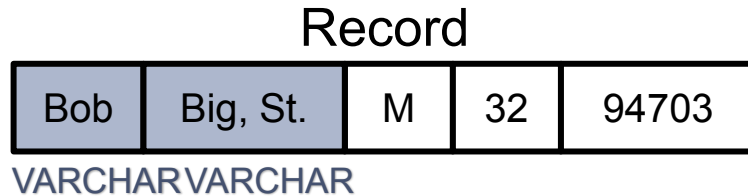


- Issues?



# Record Formats: Variable Length, Pt. 3

- What happens if fields are variable length?



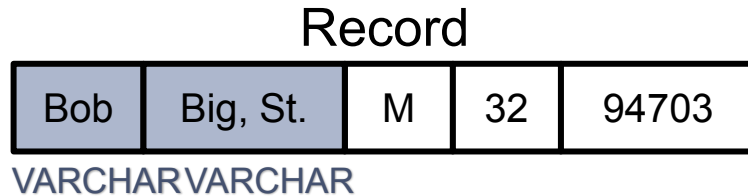
- Could use delimiters (i.e., CSV):



- Requires scan to access field *comma in data.*
- What if text contains commas?

# Record Formats: Variable Length, Pt 4.

- What happens if fields are variable length?



- Store length information before fields:

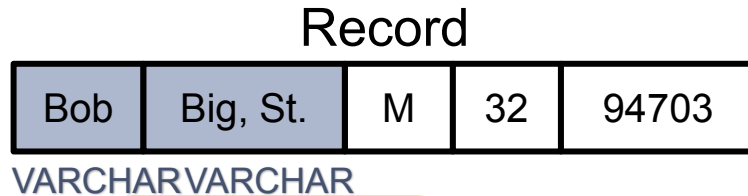


- Requires scan to access field
- Idea: Move all variable length fields to end enable fast access

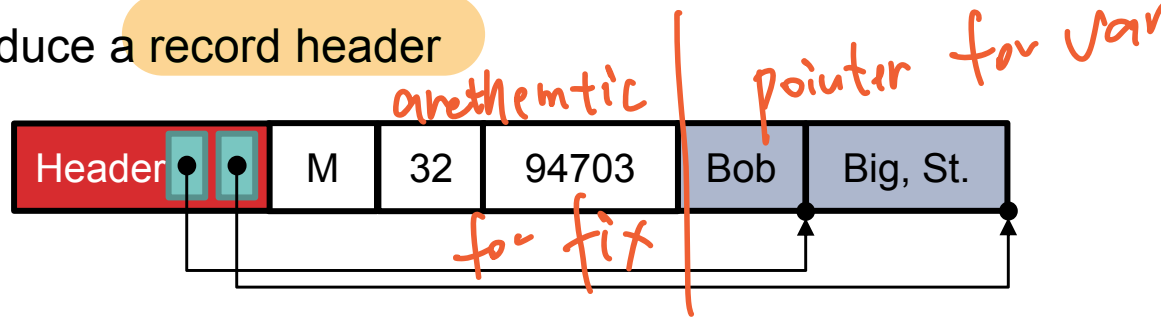
length not fixed

# Record Formats: Variable Length, Pt. 5

- What happens if fields are variable length?



- Introduce a record header



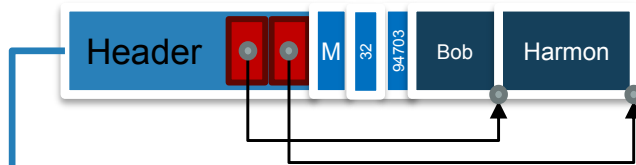
- Direct access & no “escaping”, other advantages?
  - Handle null fields easily → (to next)
  - useful for fixed length records too!

# Summary 2

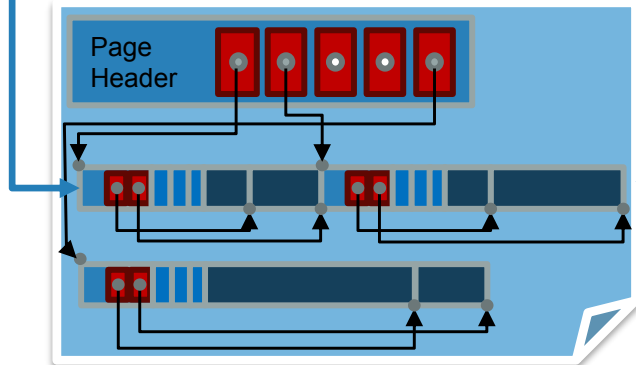
Record

Bob	Harmon	M	32	400
Varchar	Varchar	Char	Int	Int

Byte Representation of Record



Slotted Page



SSN	Last Name	First Name	Age	Salary
123	Adams	Elmo	31	\$400
443	Grouch	Oscar	32	\$300
244	Oz	Bert	55	\$140
134	Sanders	Ernie	55	\$400



File

