

# Discrete Mathematics: Lecture 29

Tree, Tree Traversals, Spanning Trees, DFS, BFS

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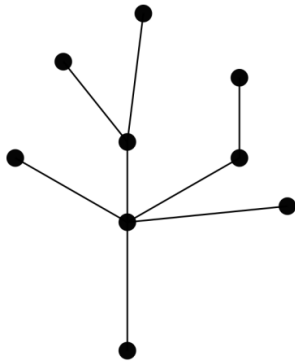
Spring Semester, 2022

Notes by Prof. Liangfeng Zhang

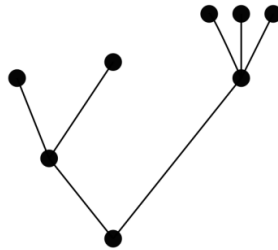
# Tree

## Definition

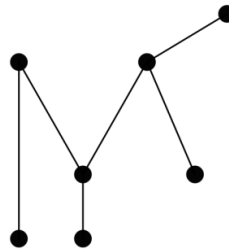
- A **tree** is a connected undirected graph with no simple circuits.
- A **forest** is a graph such that each of its connected components is a tree.



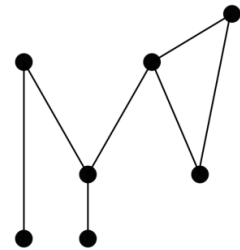
G



H



I



K

$G$ ,  $H$ ,  $I$  are trees, but  $K$  is not a tree.

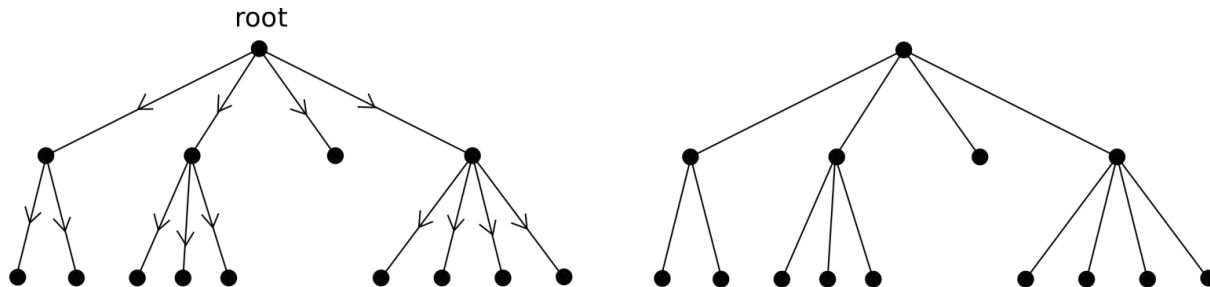
# Rooted Tree

## Definition

A **rooted tree** is a tree in which one vertex has been designated as the root and every edge is directed away from the root.

**Remarks:** • A rooted tree is a directed graph.

- We usually draw a rooted tree with its root at the top of the graph.
- We usually omit the arrows on the edges to indicate the direction because it is uniquely determined by the choice of the root.
- Any non rooted tree can be changed to a rooted tree by choosing a vertex for the root.



# Properties of Tree

Tree = connected with no simple circuit (definition)

- (1) connected
- (2) no simple circuit
- (3)  $(n - 1)$  edges ( $n$ =nb of vertices)

Previous theorem:  $(1) + (2) \Rightarrow (3)$

We also have:  $(1) + (3) \Rightarrow (2)$   
 $(2) + (3) \Rightarrow (1)$

**Example:** For what value of  $m, n$  the complete bipartite graph  $K_{m,n}$  is a tree?

$K_{m,n}$  is connected, has  $m + n$  vertices and  $m \times n$  edges.

It is a tree if:

$$m \times n = m + n - 1 \iff (n - 1)m = n - 1$$

If  $n \neq 1$ :  $m = 1$

If  $n = 1$ :  $m \in \mathbb{N}^*$

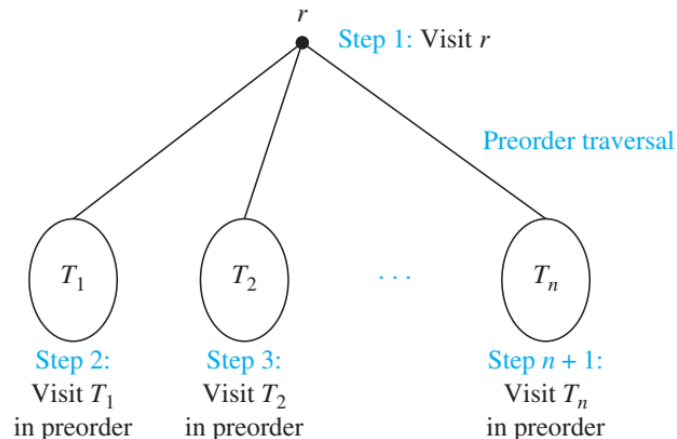
# Tree Traversals

## Preorder traversal algorithm

**Recursive definition:** Let  $T$  be a rooted tree with root  $r$

- if  $T$  consists only on  $r$ :  $r$  is the preorder traversal of  $T$ .
- otherwise, denote by  $T_1, \dots, T_n$  the subtrees rooted at the children of  $r$ , from left to right.

The preorder traversal of  $T$  begins by visiting  $r$ , then traverses  $T_1$  in preorder, then  $T_2$  in preorder, ..., and finally  $T_n$  in preorder.



# Tree Traversals

## Recursive algorithm:

**preorder**( $T$ : ordered rooted tree)

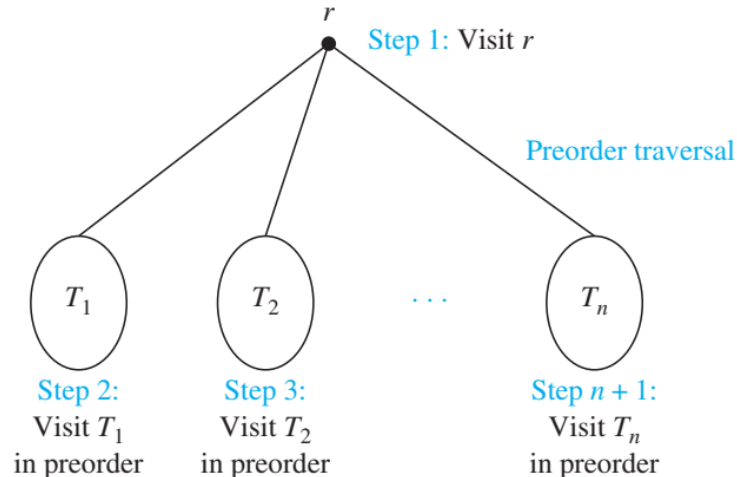
$r := \text{root of } T$

list  $r$  (add  $r$  in the preorder list of the vertices of  $T$ )

**for** each child  $c$  of  $r$  from left to right

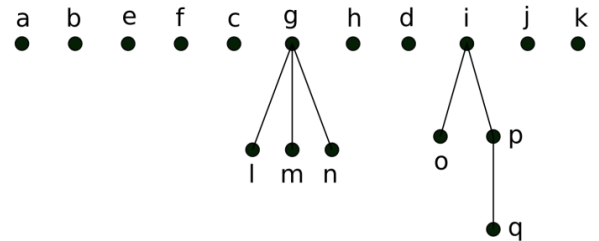
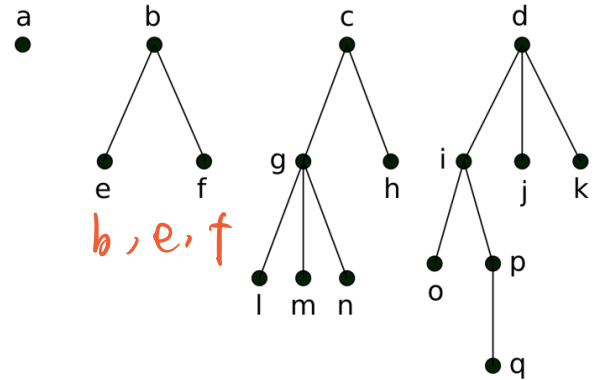
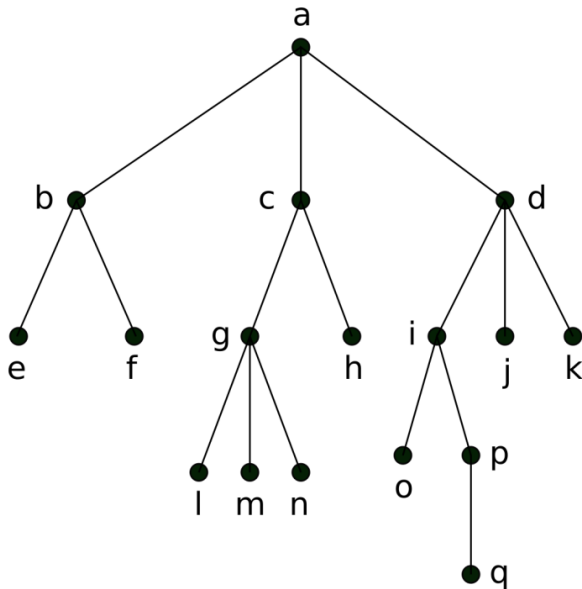
$T(c) := \text{subtree of } T \text{ with } c \text{ as its root}$

**preorder**( $T(c)$ )



# Tree Traversals

## Preorder traversal algorithm



# In order

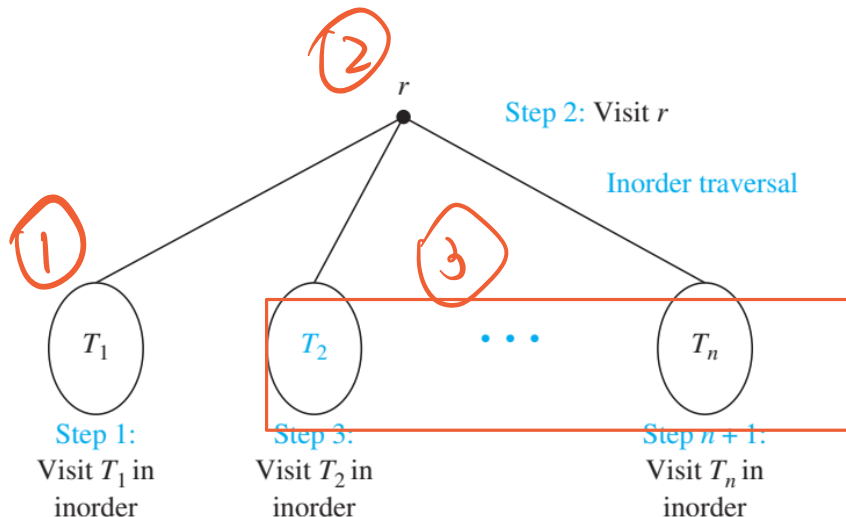
# Tree Traversals

## Inorder traversal algorithm

**Recursive definition:** Let  $T$  be a rooted tree with root  $r$

- if  $T$  consists only on  $r$ :  $r$  is the inorder traversal of  $T$ .
- otherwise, denote by  $T_1, \dots, T_n$  the subtrees rooted at the children of  $r$ , from left to right.

The inorder traversal of  $T$  begins by traversing  $T_1$  in inorder, then visiting  $r$ , then traversing  $T_2$  in inorder, then  $T_3$  in inorder,..., and finally  $T_n$  in inorder.





# Tree Traversals

**Recursive algorithm:**

**inorder**( $T$ : ordered rooted tree)

$r := \text{root of } T$

**if**  $r$  is a leaf **then** list  $r$

**else**  $l := \text{first child of } r \text{ from left to right}$

$T(l) := \text{subtree of } T \text{ with } l \text{ as its root}$

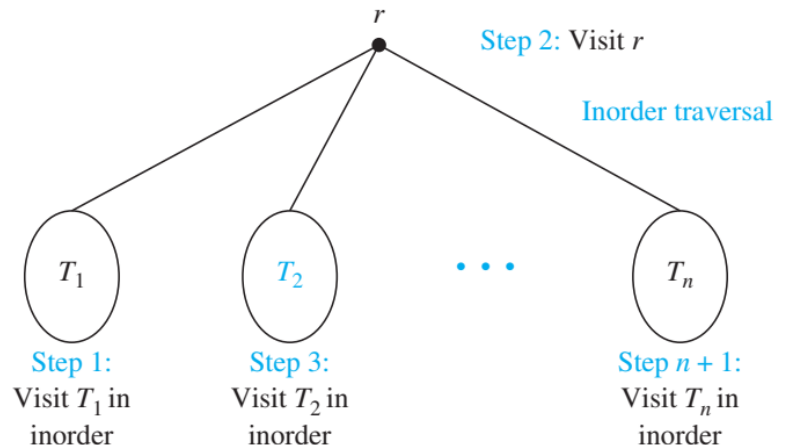
**inorder**( $T(l)$ )

list  $r$

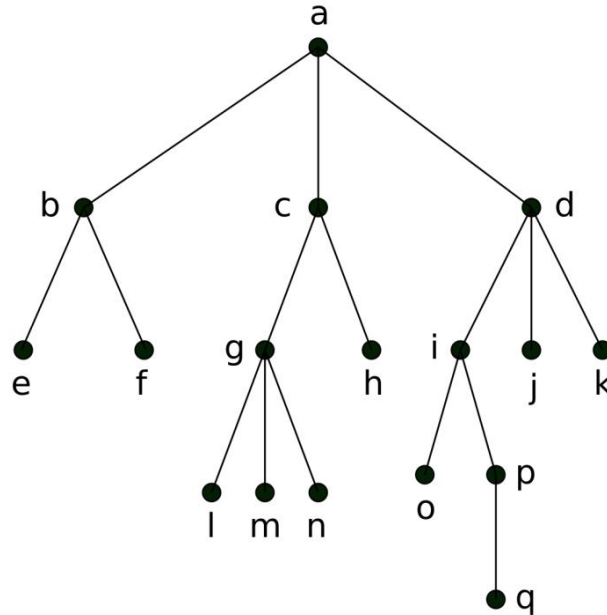
**for** each child  $c$  of  $r$  from left to right except  $l$

$T(c) := \text{subtree of } T \text{ with } c \text{ as its root}$

**inorder**( $T(c)$ )



# Tree Traversals



Inorder traversal: e, b, f, a, l, g, m, n, c, h, o, i, q, p, d, j, k

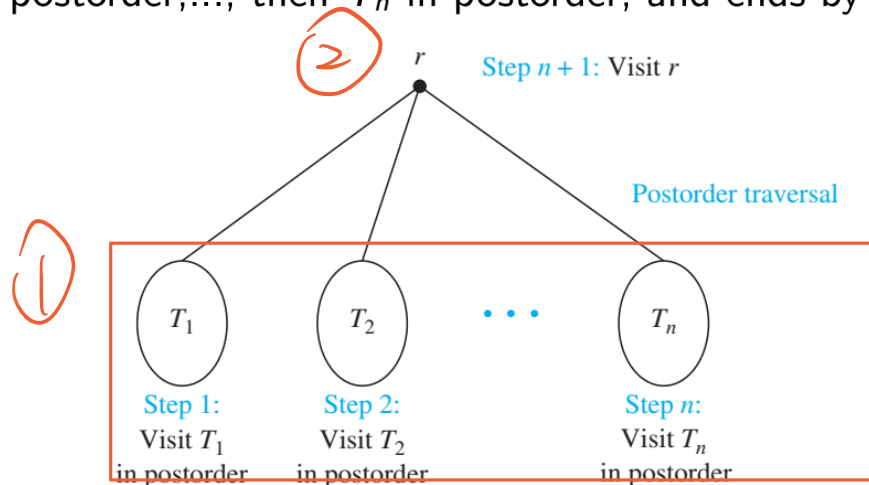
# Tree Traversals

## Postorder traversal algorithm

**Recursive definition:** Let  $T$  be a rooted tree with root  $r$

- if  $T$  consists only on  $r$ :  $r$  is the postorder traversal of  $T$ .
- otherwise, denote by  $T_1, \dots, T_n$  the subtrees rooted at the children of  $r$ , from left to right.

The postorder traversal of  $T$  begins by traversing  $T_1$  in postorder, then  $T_2$  in postorder, ..., then  $T_n$  in postorder, and ends by visiting the root  $r$ .



# Tree Traversals

**Recursive algorithm:**

**postorder**( $T$ : ordered rooted tree)

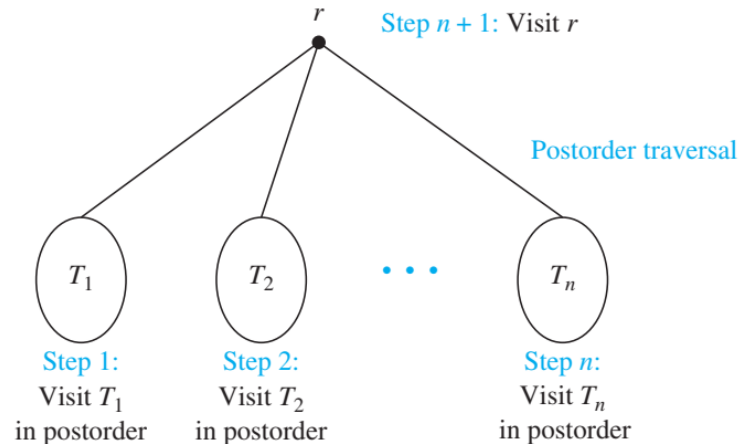
$r := \text{root of } T$

**for** each child  $c$  of  $r$  from left to right

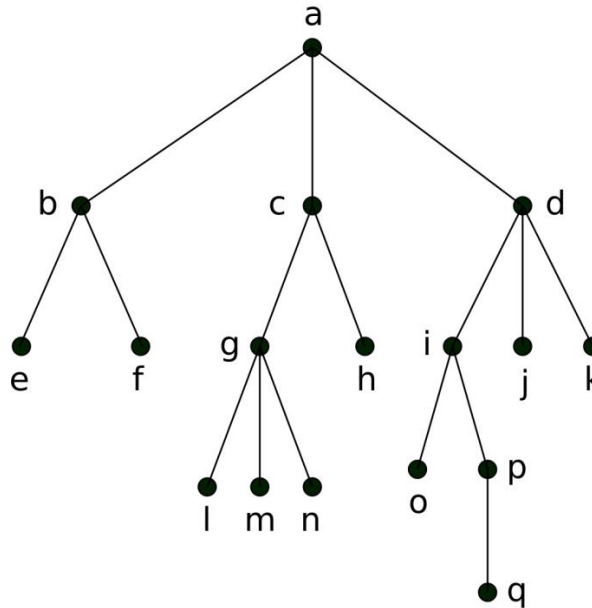
$T(c) := \text{subtree of } T \text{ with } c \text{ as its root}$

**postorder**( $T(c)$ )

list  $r$



# Tree Traversals



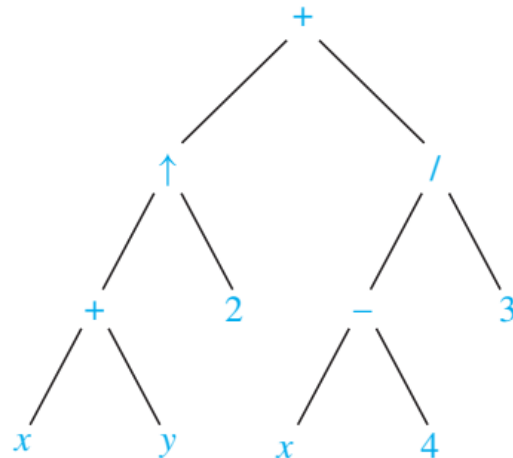
Postorder traversal: e, f, b, l, m, n, g, h, c, o, q, p, i, j, k, d, a

# Infix, Prefix, Postfix Notation

**Goal:** Using ordered rooted trees to represent arithmetic expressions or compound propositions.

- leaves: numbers or variables,
- internal vertices: operations, where each operation operates on its left and right subtrees in that order (or its only subtree if it is a unary operation).

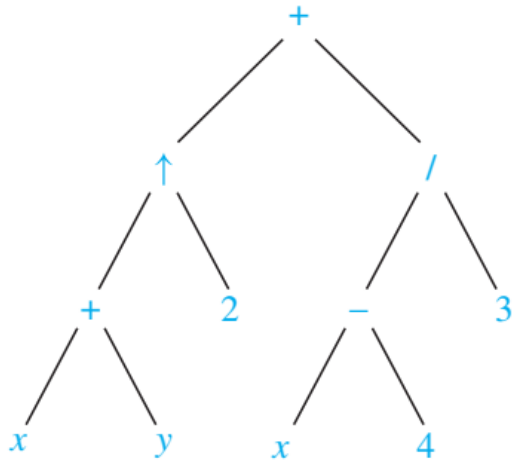
$((x + y) \uparrow 2) + ((x - 4)/3)$



# Infix, Prefix, Postfix Notation

⇒ An inorder traversal of a binary tree representing an expression produces the original expression with the elements and operations in the same order as they originally appear, except for unary operation.

**But:** inorder traversals give ambiguous expressions ⇒ need to include parentheses ⇒ **infix form** (fully parenthesized)

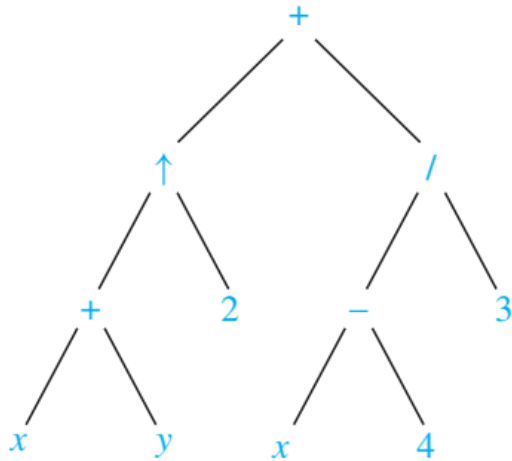


$$((x + y) \uparrow 2) + ((x - 4)/3)$$

# Infix, Prefix, Postfix Notation

The **prefix form (Polish notation)** of an expression is obtained by traversing its corresponding rooted tree in preorder.

An expression in prefix form (where each operation has a specified number of operands) is unambiguous.



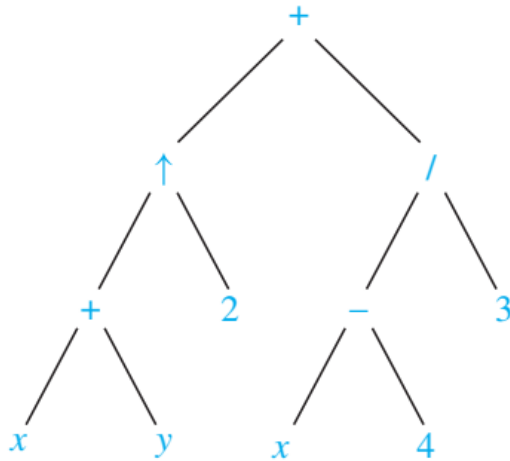
$+ \uparrow + x y 2 / - x 4 3$

- Evaluate an expression in prefix form by working from right to left.
- When we encounter an operator, we perform the corresponding operation with the two operands immediately to the right of this operand.



# Infix, Prefix, Postfix Notation

The **postfix form (reverse Polish notation)** of an expression is obtained by traversing its corresponding rooted tree in postorder. An expression in postfix form (where each operation has a specified number of operands) is unambiguous.



$x \ y \ + \ 2 \ \uparrow \ x \ 4 \ - \ 3 \ / \ +$

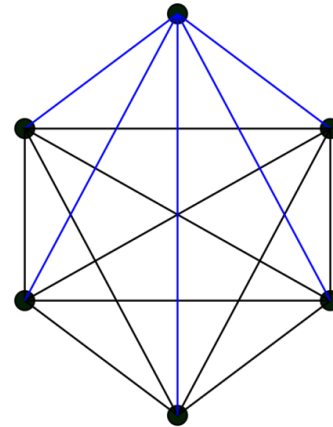
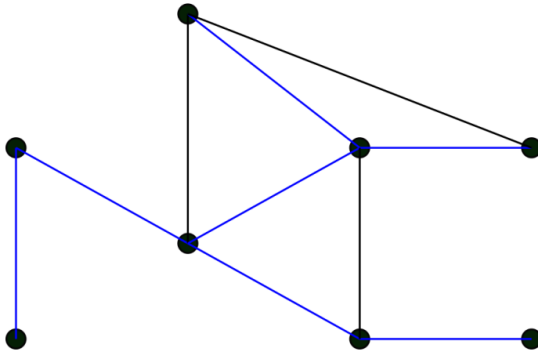
- Work from left to right, carrying out operations whenever an operator follows two operands.
- After an operation is carried out, the result of this operation becomes a new operand.

# Spanning Trees

## Definition

Let  $G$  be a simple graph. A **spanning tree** of  $G$  is a subgraph of  $G$  that is a tree containing **every vertex** of  $G$ .

**Example:**

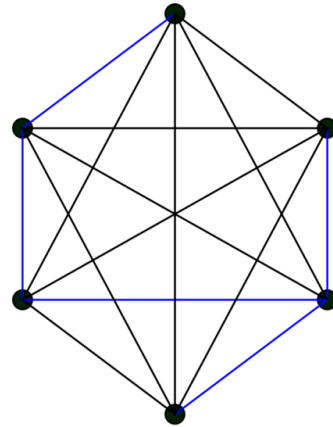
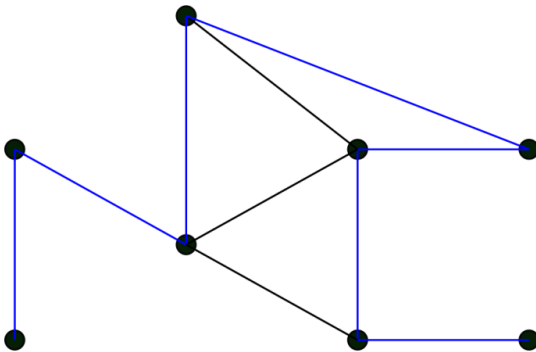


# Spanning Trees

## Definition

Let  $G$  be a simple graph. A **spanning tree** of  $G$  is a subgraph of  $G$  that is a tree containing every vertex of  $G$ .

**Example:**



# Spanning Trees

## Theorem

*A simple graph is connected if and only if it has a spanning tree.*

### Proof:

" $\Leftarrow$ " Assume  $G$  is a simple graph admitting a spanning tree  $T$ :

- $T$  subgraph of  $G$  containing all vertices of  $G$ ,
- by definition of tree, there is a path between any two vertices of  $T$

So there is a path between any two vertices of  $G$ .

" $\Rightarrow$ " Assume  $G$  is a simple connected graph.

If it is not a tree, it contains a circuit. Denote  $G'$  the subgraph of  $G$  obtained by removing one edge of the circuit with endpoints  $u$  and  $v$ .

There is still a path from  $u$  to  $v \Rightarrow G'$  is connected.

If  $G'$  is not a tree, it contains a circuit, and again take a subgraph removing one edge of the circuit.

Repeat this process until there is no more circuit.

The graph obtained is connected and has no circuit, it is a spanning tree.

# Depth-first Search

## Recursive algorithm

**DFS**( $G$ : connected graph with vertices  $v_1, v_2, \dots, v_n$ )

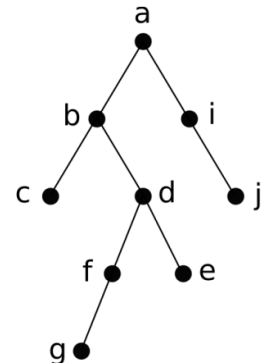
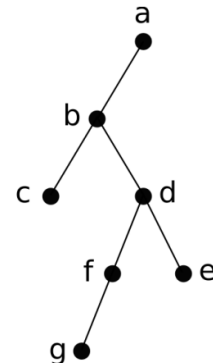
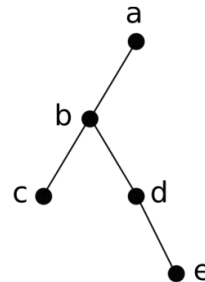
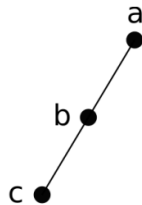
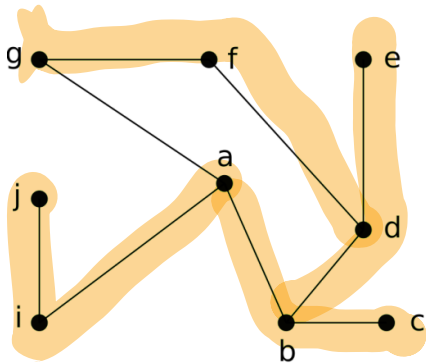
$T :=$  tree consisting only of the vertex  $v_1$

**visit**( $v_1$ )

**visit**( $v$ : vertex of  $G$ )

**for** each vertex  $w$  adjacent to  $v$  and not yet in  $T$   
add vertex  $w$  and edge  $(v, w)$  to  $T$

**visit**( $w$ )



# Breadth-first Search

## Algorithm

**BFS**( $G$ : connected graph with vertices  $v_1, v_2, \dots, v_n$ )

$T :=$  tree consisting only of vertex  $v_1$

$L :=$  empty list

put  $v_1$  in the list  $L$  of unprocessed vertices

**while**  $L$  is not empty

    remove the first vertex  $v$  from  $L$

**for** each neighbour  $w$  of  $v$

**if**  $w$  is not in  $L$  and not in  $T$  **then**

            add  $w$  to the end of the list  $L$

            add  $w$  and the edge  $(v, w)$  to  $T$

先访问所有  
Neighbour

