



UNIVERSITAT
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Quadern de treball

Clustering: algorisme C-mitjanes

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Objectius formatius

- Aplicar l'algorisme C -mitjanes de Duda i Hart

Algorisme *C*-mitjanes de Duda i Hart [1]

Algorithm *C*-means

Input: $X; C; \Pi = \{X_1, \dots, X_C\};$

Output: $\Pi^* = \{X_1, \dots, X_C\}; \mathbf{m}_1, \dots, \mathbf{m}_C; J$

for $c = 1$ **to** C **do** $\mathbf{m}_c = \frac{1}{n_c} \sum_{x \in X_c} x$ **endfor**

repeat

$transfers = \text{false}$

forall $x \in X$ (let $i : x \in X_i$) **do**

if $n_i > 1$ **then**

$$j^* = \arg \min_{j \neq i} \frac{n_j}{n_j + 1} \|x - \mathbf{m}_j\|^2$$

$$\Delta J = \frac{n_{j^*}}{n_{j^*} + 1} \|x - \mathbf{m}_{j^*}\|^2 - \frac{n_i}{n_i - 1} \|x - \mathbf{m}_i\|^2$$

if $\Delta J < 0$ **then**

$transfers = \text{true}$

$$\mathbf{m}_i = \mathbf{m}_i - \frac{x - \mathbf{m}_i}{n_i - 1} \quad \mathbf{m}_{j^*} = \mathbf{m}_{j^*} + \frac{x - \mathbf{m}_{j^*}}{n_{j^*} + 1}$$

$$X_i = X_i - \{x\} \quad X_{j^*} = X_{j^*} + \{x\}$$

$$J = J + \Delta J$$

endif

endif

endforall

until $\neg transfers$

Algorisme C -mitjanes de Duda i Hart

- **Entrada:** una partició inicial, $\Pi = \{X_1, \dots, X_C\}$
- **Eixida:** una partició optimitzada, $\Pi^* = \{X_1, \dots, X_C\}$

- **Mètode:**

Calcular mitjanes i J

repetir

per a tota dada x

Siga i el clúster en el qual es troba x

Trobar un $j^* \neq i$ que minimitze ΔJ en transferir x d' i a j^*

Si $\Delta J < 0$, transferir x d' i a j^* i actualitzar mitjanes i J

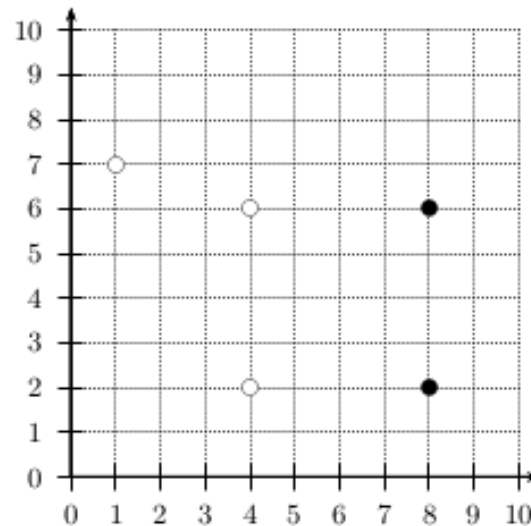
fins a no trobar transferències profitoses

- **Qüestió 1:** Donats els següents 5 vectors bidimensionals:

$$\mathbf{x}_1 = \begin{pmatrix} 1 \\ 7 \end{pmatrix} \quad \mathbf{x}_2 = \begin{pmatrix} 4 \\ 2 \end{pmatrix} \quad \mathbf{x}_3 = \begin{pmatrix} 4 \\ 6 \end{pmatrix} \quad \mathbf{x}_4 = \begin{pmatrix} 8 \\ 2 \end{pmatrix} \quad \mathbf{x}_5 = \begin{pmatrix} 8 \\ 6 \end{pmatrix}$$

i la següent partició inicial en dos clústers:

$$\Pi = \{X_1 = \{\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3\}, X_2 = \{\mathbf{x}_4, \mathbf{x}_5\}\}$$



Quina és la partició Π^* resultant després d'aplicar l'algorisme *C*-mitjanes de Duda i Hart?

Algorithm *C-means*

Input: X ; C ; $\Pi = \{X_1, \dots, X_C\}$;

Output: $\Pi^* = \{X_1, \dots, X_C\}$; m_1, \dots, m_C ; J

for $c = 1$ **to** C **do** $m_c = \frac{1}{n_c} \sum_{x \in X_c} x$ **endfor**

repeat

$transfers = \text{false}$

forall $x \in X$ (let $i : x \in X_i$) **do**

if $n_i > 1$ **then**

$$j^* = \arg \min_{j \neq i} \frac{n_j}{n_j + 1} \|x - m_j\|^2$$

$$\Delta J = \frac{n_{j^*}}{n_{j^*} + 1} \|x - m_{j^*}\|^2 - \frac{n_i}{n_i - 1} \|x - m_i\|^2$$

if $\Delta J < 0$ **then**

$transfers = \text{true}$

$$m_i = m_i - \frac{x - m_i}{n_i - 1} \quad m_{j^*} = m_{j^*} + \frac{x - m_{j^*}}{n_{j^*} + 1}$$

$$X_i = X_i - \{x\} \quad X_{j^*} = X_{j^*} + \{x\}$$

$$J = J + \Delta J$$

endif

endif

endforall

until $\neg transfers$

Π	$\{X_1 = \{x_1, x_2, x_3\}, X_2 = \{x_4, x_5\}\}$
m_1	
m_2	
J_1	
J_2	
J	
¿Transferim $x_1 = (1, 7)^t$ de X_1 a X_2 ?	
j^*	
ΔJ	
¿Transferim $x_2 = (4, 2)^t$ de X_1 a X_2 ?	
j^*	
ΔJ	
m_1	
m_2	
Π	
J	
¿Transferim $x_3 = (4, 6)^t$ de X_1 a X_2 ?	
j^*	
ΔJ	
¿Transferim $x_4 = (8, 2)^t$ de X_2 a X_1 ?	
j^*	
ΔJ	
¿Transferim $x_5 = (8, 6)^t$ de X_2 a X_1 ?	
j^*	
ΔJ	

Algorithm *C-means*

Input: X ; C ; $\Pi = \{X_1, \dots, X_C\}$;

Output: $\Pi^* = \{X_1, \dots, X_C\}$; $\mathbf{m}_1, \dots, \mathbf{m}_C$; J

for $c = 1$ **to** C **do** $\mathbf{m}_c = \frac{1}{n_c} \sum_{\mathbf{x} \in X_c} \mathbf{x}$ **endfor**

repeat

transfers = false

forall $\mathbf{x} \in X$ (let $i : \mathbf{x} \in X_i$) **do**

if $n_i > 1$ **then**

$$j^* = \arg \min_{j \neq i} \frac{n_j}{n_j + 1} \|\mathbf{x} - \mathbf{m}_j\|^2$$

$$\Delta J = \frac{n_{j^*}}{n_{j^*} + 1} \|\mathbf{x} - \mathbf{m}_{j^*}\|^2 - \frac{n_i}{n_i - 1} \|\mathbf{x} - \mathbf{m}_i\|^2$$

if $\Delta J < 0$ **then**

transfers = true

$$\mathbf{m}_i = \mathbf{m}_i - \frac{\mathbf{x} - \mathbf{m}_i}{n_i - 1} \quad \mathbf{m}_{j^*} = \mathbf{m}_{j^*} + \frac{\mathbf{x} - \mathbf{m}_{j^*}}{n_{j^*} + 1}$$

$$X_i = X_i - \{\mathbf{x}\} \quad X_{j^*} = X_{j^*} + \{\mathbf{x}\}$$

$$J = J + \Delta J$$

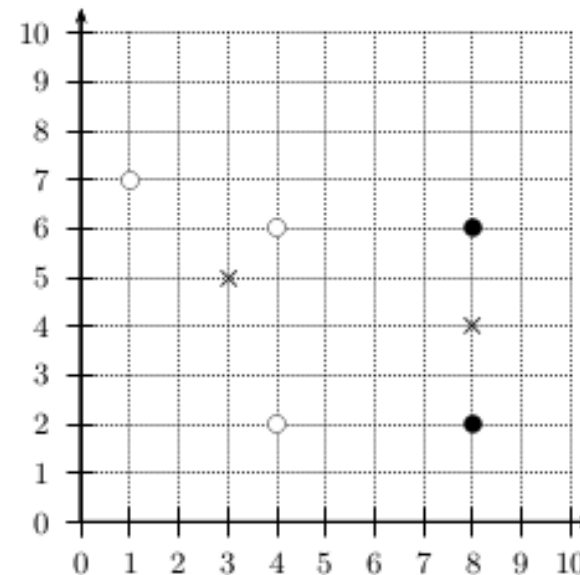
endif

endif

endforall

until $\neg \text{transfers}$

Π	$\{X_1 = \{\mathbf{x}_1, \mathbf{x}_2, \mathbf{x}_3\}, X_2 = \{\mathbf{x}_4, \mathbf{x}_5\}\}$
m_1	$\begin{pmatrix} 3 \\ 5 \end{pmatrix}$
m_2	$\begin{pmatrix} 8 \\ 4 \end{pmatrix}$
J_1	20
J_2	8
J	28
ζ Transferim $\mathbf{x}_1 = (1, 7)^t$ de X_1 a X_2 ?	
j^*	2
ΔJ	80/3



Algorithm C-means

Input: X ; C ; $\Pi = \{X_1, \dots, X_C\}$;

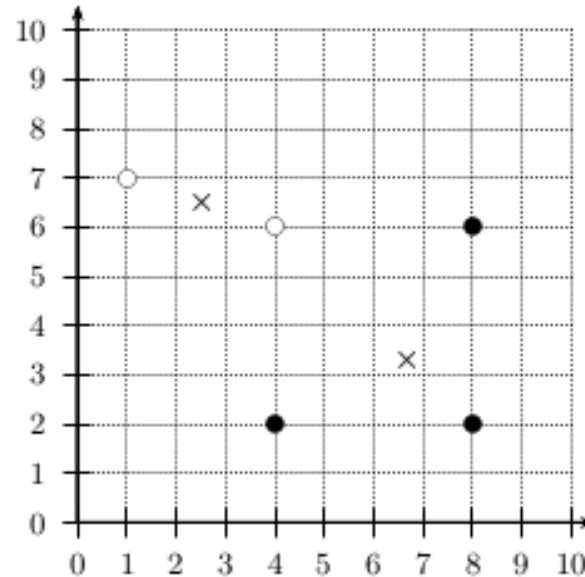
Output: $\Pi^* = \{X_1, \dots, X_C\}$; $\mathbf{m}_1, \dots, \mathbf{m}_C$; J

```

for  $c = 1$  to  $C$  do  $\mathbf{m}_c = \frac{1}{n_c} \sum_{\mathbf{x} \in X_c} \mathbf{x}$  endfor
repeat
   $transfers = \text{false}$ 
  forall  $\mathbf{x} \in X$  (let  $i : \mathbf{x} \in X_i$ ) do
    if  $n_i > 1$  then
       $j^* = \arg \min_{j \neq i} \frac{n_j}{n_j + 1} \|\mathbf{x} - \mathbf{m}_j\|^2$ 
       $\Delta J = \frac{n_{j^*}}{n_{j^*} + 1} \|\mathbf{x} - \mathbf{m}_{j^*}\|^2 - \frac{n_i}{n_i - 1} \|\mathbf{x} - \mathbf{m}_i\|^2$ 
      if  $\Delta J < 0$  then
         $transfers = \text{true}$ 
         $\mathbf{m}_i = \mathbf{m}_i - \frac{\mathbf{x} - \mathbf{m}_i}{n_i - 1}$ 
         $\mathbf{m}_{j^*} = \mathbf{m}_{j^*} + \frac{\mathbf{x} - \mathbf{m}_{j^*}}{n_{j^*} + 1}$ 
         $X_i = X_i - \{\mathbf{x}\}$ 
         $X_{j^*} = X_{j^*} + \{\mathbf{x}\}$ 
         $J = J + \Delta J$ 
      endif
    endif
  endforall
until  $\neg transfers$ 

```

\hookrightarrow Transferim $\mathbf{x}_2 = (4, 2)^t$ de X_1 a X_2 ?	
j^*	2
ΔJ	$-5/3$
\mathbf{m}_1	$(2.5, 6.5)^t$
\mathbf{m}_2	$(6.67, 3.33)^t$
Π	$\{X_1 = \{\mathbf{x}_1, \mathbf{x}_3\}, X_2 = \{\mathbf{x}_2, \mathbf{x}_4, \mathbf{x}_5\}\}$
J	26.33
\hookrightarrow Transferim $\mathbf{x}_3 = (4, 6)^t$ de X_1 a X_2 ?	
j^*	2
ΔJ	5.67
\hookrightarrow Transferim $\mathbf{x}_4 = (8, 2)^t$ de X_2 a X_1 ?	
j^*	1
ΔJ	28.33
\hookrightarrow Transferim $\mathbf{x}_5 = (8, 6)^t$ de X_2 a X_1 ?	
j^*	1
ΔJ	7

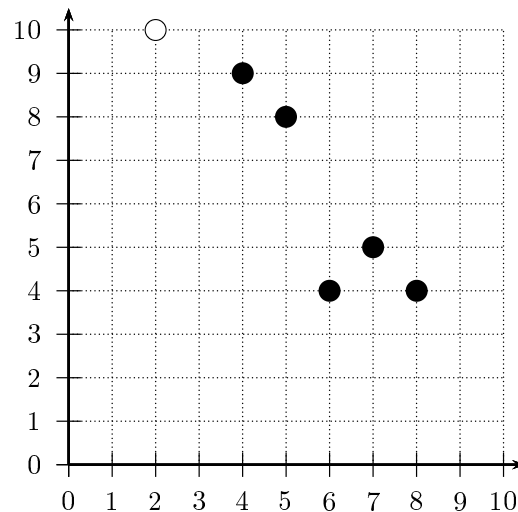


- **Qüestió 2:** Donats els següents 6 vectors bidimensionals:

$$\mathbf{x}_1 = \begin{pmatrix} 2 \\ 10 \end{pmatrix} \mathbf{x}_2 = \begin{pmatrix} 8 \\ 4 \end{pmatrix} \mathbf{x}_3 = \begin{pmatrix} 5 \\ 8 \end{pmatrix} \mathbf{x}_4 = \begin{pmatrix} 7 \\ 5 \end{pmatrix} \mathbf{x}_5 = \begin{pmatrix} 6 \\ 4 \end{pmatrix} \mathbf{x}_6 = \begin{pmatrix} 4 \\ 9 \end{pmatrix}$$

i la següent partició inicial en dos clústers:

$$\Pi = \{X_1 = \{\mathbf{x}_1\}, X_2 = \{\mathbf{x}_2, \mathbf{x}_3, \mathbf{x}_4, \mathbf{x}_5, \mathbf{x}_6\}\}$$



Quina és la partició Π^* resultant després d'aplicar l'algorisme *C*-mitjanes de Duda i Hart?

Algorithm C-means

Input: X ; C ; $\Pi = \{X_1, \dots, X_C\}$;

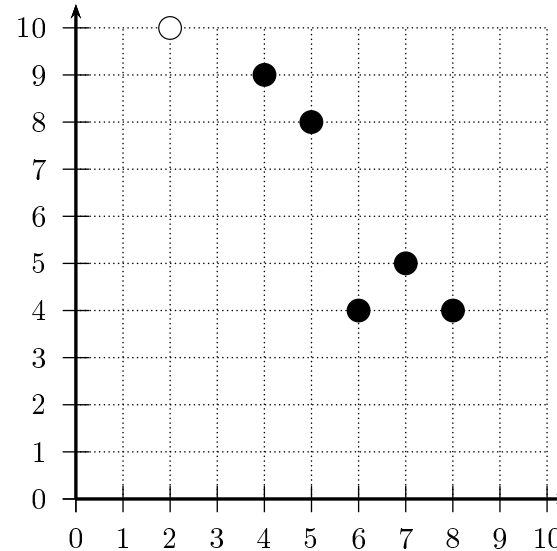
Output: $\Pi^* = \{X_1, \dots, X_C\}$; m_1, \dots, m_C ; J

```

for  $c = 1$  to  $C$  do  $m_c = \frac{1}{n_c} \sum_{x \in X_c} x$  endfor
repeat
   $transfers = \text{false}$ 
  forall  $x \in X$  (let  $i : x \in X_i$ ) do
    if  $n_i > 1$  then
       $j^* = \arg \min_{j \neq i} \frac{n_j}{n_j + 1} \|x - m_j\|^2$ 
       $\Delta J = \frac{n_{j^*}}{n_{j^*} + 1} \|x - m_{j^*}\|^2 - \frac{n_i}{n_i - 1} \|x - m_i\|^2$ 
      if  $\Delta J < 0$  then
         $transfers = \text{true}$ 
         $m_i = m_i - \frac{x - m_i}{n_i - 1}$ 
         $m_{j^*} = m_{j^*} + \frac{x - m_{j^*}}{n_{j^*} + 1}$ 
         $X_i = X_i - \{x\}$ 
         $X_{j^*} = X_{j^*} + \{x\}$ 
         $J = J + \Delta J$ 
      endif
    endif
  endforall
until  $\neg transfers$ 

```

Π	$\{X_1 = \{x_1\}, X_2 = \{x_2, x_3, x_4, x_5, x_6\}\}$
m_1	
m_2	
J_1	
J_2	
J	
¿Transferim $x_2 = (8, 4)^t$ de X_2 a X_1 ?	
ΔJ	
¿Transferim $x_3 = (5, 8)^t$ de X_2 a X_1 ?	
ΔJ	
¿Transferim $x_4 = (7, 5)^t$ de X_2 a X_1 ?	
ΔJ	
¿Transferim $x_5 = (6, 4)^t$ de X_2 a X_1 ?	
ΔJ	
¿Transferim $x_6 = (4, 9)^t$ de X_2 a X_1 ?	
ΔJ	



Algorithm C-means

Input: X ; C ; $\Pi = \{X_1, \dots, X_C\}$;

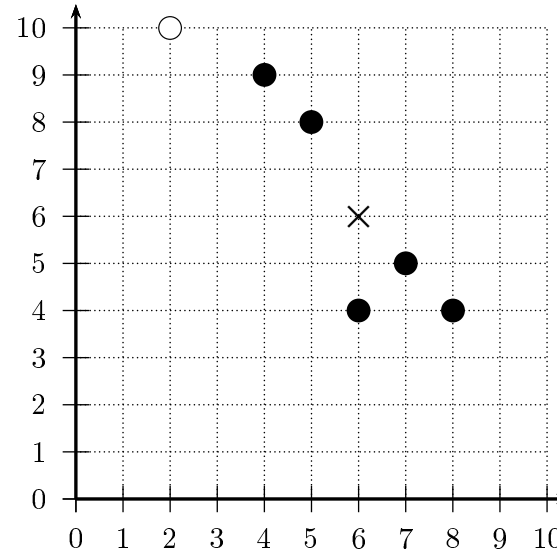
Output: $\Pi^* = \{X_1, \dots, X_C\}$; m_1, \dots, m_C ; J

```

for  $c = 1$  to  $C$  do  $m_c = \frac{1}{n_c} \sum_{x \in X_c} x$  endfor
repeat
   $transfers = \text{false}$ 
  forall  $x \in X$  (let  $i : x \in X_i$ ) do
    if  $n_i > 1$  then
       $j^* = \arg \min_{j \neq i} \frac{n_j}{n_j + 1} \|x - m_j\|^2$ 
       $\Delta J = \frac{n_{j^*}}{n_{j^*} + 1} \|x - m_{j^*}\|^2 - \frac{n_i}{n_i - 1} \|x - m_i\|^2$ 
      if  $\Delta J < 0$  then
         $transfers = \text{true}$ 
         $m_i = m_i - \frac{x - m_i}{n_i - 1}$ 
         $m_{j^*} = m_{j^*} + \frac{x - m_{j^*}}{n_{j^*} + 1}$ 
         $X_i = X_i - \{x\}$ 
         $X_{j^*} = X_{j^*} + \{x\}$ 
         $J = J + \Delta J$ 
      endif
    endif
  endforall
until  $\neg transfers$ 

```

Π	$\{X_1 = \{x_1\}, X_2 = \{x_2, x_3, x_4, x_5, x_6\}\}$
m_1	$(2, 10)^t$
m_2	$(6, 6)^t$
J_1	0
J_2	32
J	32
\hookrightarrow Transferim $x_2 = (8, 4)^t$ de X_2 a X_1 ?	
ΔJ	26
\hookrightarrow Transferim $x_3 = (5, 8)^t$ de X_2 a X_1 ?	
ΔJ	0.25
\hookrightarrow Transferim $x_4 = (7, 5)^t$ de X_2 a X_1 ?	
ΔJ	47.5
\hookrightarrow Transferim $x_5 = (6, 4)^t$ de X_2 a X_1 ?	
ΔJ	21
\hookrightarrow Transferim $x_6 = (4, 9)^t$ de X_2 a X_1 ?	
ΔJ	-13.75



Algorithm *C-means*

Input: X ; C ; $\Pi = \{X_1, \dots, X_C\}$;

Output: $\Pi^* = \{X_1, \dots, X_C\}$; m_1, \dots, m_C ; J

for $c = 1$ **to** C **do** $m_c = \frac{1}{n_c} \sum_{x \in X_c} x$ **endfor**

repeat

$transfers = false$

forall $x \in X$ (let $i : x \in X_i$) **do**

if $n_i > 1$ **then**

$$j^* = \arg \min_{j \neq i} \frac{n_j}{n_j + 1} \|x - m_j\|^2$$

$$\Delta J = \frac{n_{j^*}}{n_{j^*} + 1} \|x - m_{j^*}\|^2 - \frac{n_i}{n_i - 1} \|x - m_i\|^2$$

if $\Delta J < 0$ **then**

$transfers = true$

$$m_i = m_i - \frac{x - m_i}{n_i - 1} \quad m_{j^*} = m_{j^*} + \frac{x - m_{j^*}}{n_{j^*} + 1}$$

$$X_i = X_i - \{x\} \quad X_{j^*} = X_{j^*} + \{x\}$$

$$J = J + \Delta J$$

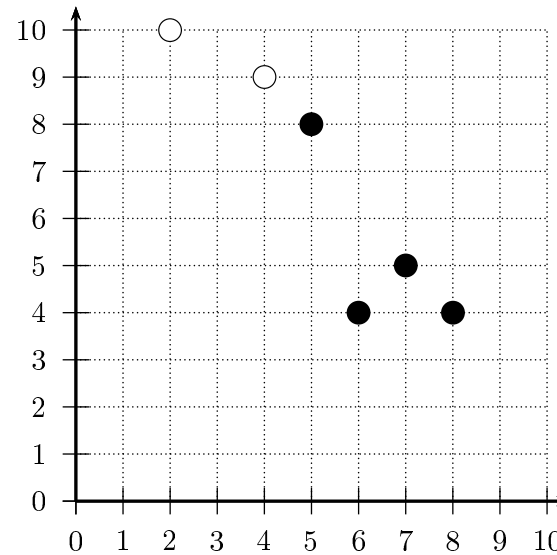
endif

endif

endforall

until $\neg transfers$

Π	$\{X_1 = \{x_1, x_6\}, X_2 = \{x_2, x_3, x_4, x_5\}\}$
m_1	
m_2	
J	
¿Transferim $x_1 = (2, 10)^t$ de X_1 a X_2 ?	
ΔJ	
¿Transferim $x_2 = (8, 4)^t$ de X_2 a X_1 ?	
ΔJ	
¿Transferim $x_3 = (5, 8)^t$ de X_2 a X_1 ?	
ΔJ	



Algorithm *C-means*

Input: X ; C ; $\Pi = \{X_1, \dots, X_C\}$;

Output: $\Pi^* = \{X_1, \dots, X_C\}$; $\mathbf{m}_1, \dots, \mathbf{m}_C$; J

for $c = 1$ **to** C **do** $\mathbf{m}_c = \frac{1}{n_c} \sum_{\mathbf{x} \in X_c} \mathbf{x}$ **endfor**

repeat

$transfers = false$

forall $\mathbf{x} \in X$ (let $i : \mathbf{x} \in X_i$) **do**

if $n_i > 1$ **then**

$$j^* = \arg \min_{j \neq i} \frac{n_j}{n_j + 1} \|\mathbf{x} - \mathbf{m}_j\|^2$$

$$\Delta J = \frac{n_{j^*}}{n_{j^*} + 1} \|\mathbf{x} - \mathbf{m}_{j^*}\|^2 - \frac{n_i}{n_i - 1} \|\mathbf{x} - \mathbf{m}_i\|^2$$

if $\Delta J < 0$ **then**

$transfers = true$

$$\mathbf{m}_i = \mathbf{m}_i - \frac{\mathbf{x} - \mathbf{m}_i}{n_i - 1} \quad \mathbf{m}_{j^*} = \mathbf{m}_{j^*} + \frac{\mathbf{x} - \mathbf{m}_{j^*}}{n_{j^*} + 1}$$

$$X_i = X_i - \{\mathbf{x}\} \quad X_{j^*} = X_{j^*} + \{\mathbf{x}\}$$

$$J = J + \Delta J$$

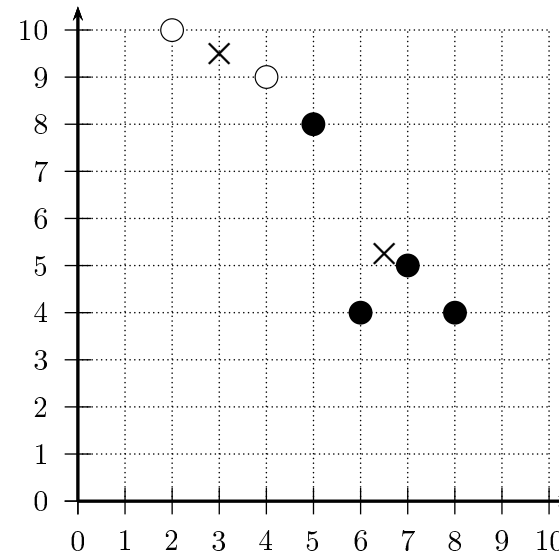
endif

endif

endforall

until $\neg transfers$

Π	$\{X_1 = \{\mathbf{x}_1, \mathbf{x}_6\}, X_2 = \{\mathbf{x}_2, \mathbf{x}_3, \mathbf{x}_4, \mathbf{x}_5\}\}$
\mathbf{m}_1	$(3, 9.5)^t$
\mathbf{m}_2	$(6.5, 5.25)^t$
J	18.25
¿Transferim $\mathbf{x}_1 = (2, 10)^t$ de X_1 a X_2 ?	
ΔJ	31.75
¿Transferim $\mathbf{x}_2 = (8, 4)^t$ de X_2 a X_1 ?	
ΔJ	31.75
¿Transferim $\mathbf{x}_3 = (5, 8)^t$ de X_2 a X_1 ?	
ΔJ	-8.91



Algorithm *C-means*

Input: X ; C ; $\Pi = \{X_1, \dots, X_C\}$;

Output: $\Pi^* = \{X_1, \dots, X_C\}$; m_1, \dots, m_C ; J

for $c = 1$ **to** C **do** $m_c = \frac{1}{n_c} \sum_{x \in X_c} x$ **endfor**

repeat

$transfers = false$

forall $x \in X$ (let $i : x \in X_i$) **do**

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$$j^* = \arg \min_{j \neq i} \frac{n_j}{n_j + 1} \|x - m_j\|^2$$

$$\Delta J = \frac{n_{j^*}}{n_{j^*} + 1} \|x - m_{j^*}\|^2 - \frac{n_i}{n_i - 1} \|x - m_i\|^2$$

if $\Delta J < 0$ **then**

$transfers = true$

$$m_i = m_i - \frac{x - m_i}{n_i - 1} \quad m_{j^*} = m_{j^*} + \frac{x - m_{j^*}}{n_{j^*} + 1}$$

$$X_i = X_i - \{x\} \quad X_{j^*} = X_{j^*} + \{x\}$$

$$J = J + \Delta J$$

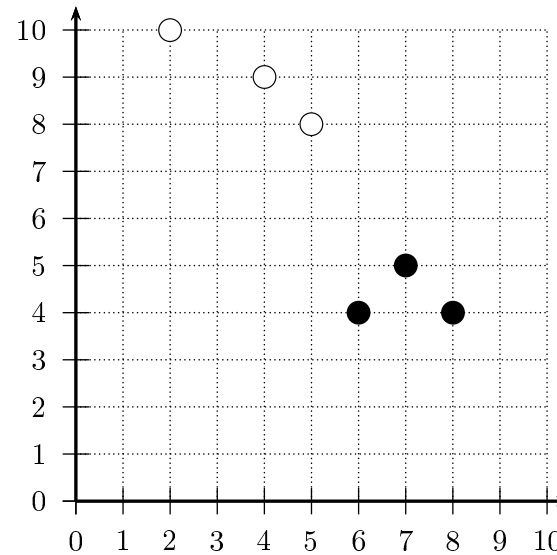
endif

endif

endforall

until $\neg transfers$

Π	$\{X_1 = \{x_1, x_3, x_6\}, X_2 = \{x_2, x_4, x_5\}\}$
m_1	
m_2	
J	
¿Transferim $x_4 = (7, 5)^t$ de X_2 a X_1 ?	
ΔJ	
¿Transferim $x_5 = (6, 4)^t$ de X_2 a X_1 ?	
ΔJ	
¿Transferim $x_6 = (4, 9)^t$ de X_1 a X_2 ?	
ΔJ	



Algorithm *C-means*

Input: X ; C ; $\Pi = \{X_1, \dots, X_C\}$;

Output: $\Pi^* = \{X_1, \dots, X_C\}$; m_1, \dots, m_C ; J

for $c = 1$ **to** C **do** $m_c = \frac{1}{n_c} \sum_{x \in X_c} x$ **endfor**

repeat

$transfers = false$

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if $n_i > 1$ **then**

$$j^* = \arg \min_{j \neq i} \frac{n_j}{n_j + 1} \|x - m_j\|^2$$

$$\Delta J = \frac{n_{j^*}}{n_{j^*} + 1} \|x - m_{j^*}\|^2 - \frac{n_i}{n_i - 1} \|x - m_i\|^2$$

if $\Delta J < 0$ **then**

$transfers = true$

$$m_i = m_i - \frac{x - m_i}{n_i - 1} \quad m_{j^*} = m_{j^*} + \frac{x - m_{j^*}}{n_{j^*} + 1}$$

$$X_i = X_i - \{x\} \quad X_{j^*} = X_{j^*} + \{x\}$$

$$J = J + \Delta J$$

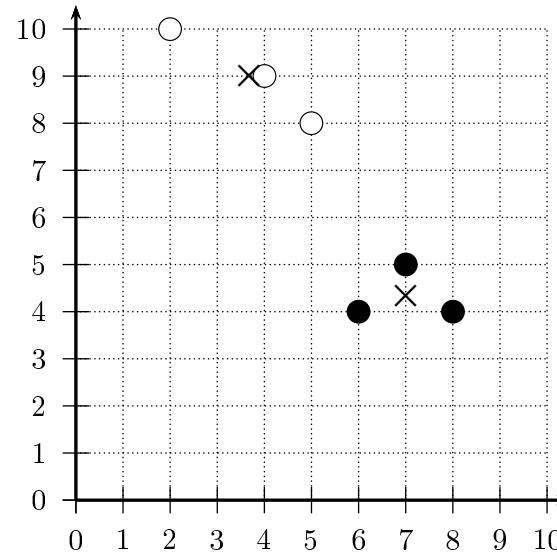
endif

endif

endforall

until $\neg transfers$

Π	$\{X_1 = \{x_1, x_3, x_6\}, X_2 = \{x_2, x_4, x_5\}\}$
m_1	$(3.67, 9)^t$
m_2	$(7, 4.33)^t$
J	8.67
¿Transferim $x_4 = (7, 5)^t$ de X_2 a X_1 ?	
ΔJ	20.32
¿Transferim $x_5 = (6, 4)^t$ de X_2 a X_1 ?	
ΔJ	21.16
¿Transferim $x_6 = (4, 9)^t$ de X_1 a X_2 ?	
ΔJ	22.94



Referències

- [1] R. O. Duda and P. E. Hart. *Pattern Classification and Scene Analysis*. Wiley, 1973.