INTERNAL AUDIT – DOCUMENTATION REVIEW NOTES:

Scope: AWS production environment – clauses A.9.2, A.12.1, A.12.4, A.13.1

Reviewer: [Diaa XXX]

Date: [3-8-2025]

Policies & Procedures

Document	Rev.	Review Date	Finding / Observation
Information Security Policy	v3.1	2025-08-02	Current; covers cloud assets.
Privileged Access Policy	v1.4	2025-08-02	Missing explicit **quarterly review** cadence for IAM roles (A.9.2.6).
Network Security Policy	v2.0	2025-08-02	References VPC segmentation (A.13.1.1).
Backup & Logging Policy	v2.2	2025-08-02	Log retention period stated as "minimum 6 months"; ISO requires **≥ 1 year** (A.12.4.1).

Statement of Applicability (SoA):

Version: 2025-08-15

Status: All 114 controls listed; justification text present.

Gap: Control A.12.4.3 (clock sync) marked as "Not Applicable"; recommend re-validate AWS instances rely on NTP.

Risk Register:

Last Update: 2025-07-25

- Observation: Risk #R-17 "Dormant privileged accounts" rated Medium; current mitigation listed as manual quarterly review.
- Gap: No evidence of last review date recorded

Asset Inventory (CMDB):

- Tool used: AWS Systems Manager
- Observation: 98 % of EC2 instances tagged; 2 untagged instances in sandbox VPC (A.8.1.1).

Previous Audit Evidence:

- External Audit Report (Stage 2): 2024-11-20
- Open NCs: 0 major, 2 minor (both closed); closure evidence attached.

Legal & Regulatory References:

- QCB Cloud Guidelines (for Qatar region) – referenced but no mapping table to ISO clauses.
- DORA – not yet scoped for 2025 cycle.

Quick Summary

Area	Status	Action
Policies	2 minor gaps identified	Update log-retention clause & quarterly IAM review
SoA	OK	Revisit A.12.4.3 applicability
Risk Register	Needs evidence timestamp	Insert last-review date & owner
Asset Inventory	98 % complete	Tag 2 missing instances

Reviewer Signature: _____ Date: _____