

University of  
**Sheffield**

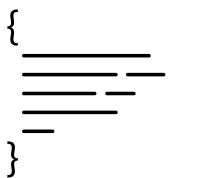
# Legacy Systems

Software Reengineering  
(COM3523 / COM6523)

The University of Sheffield

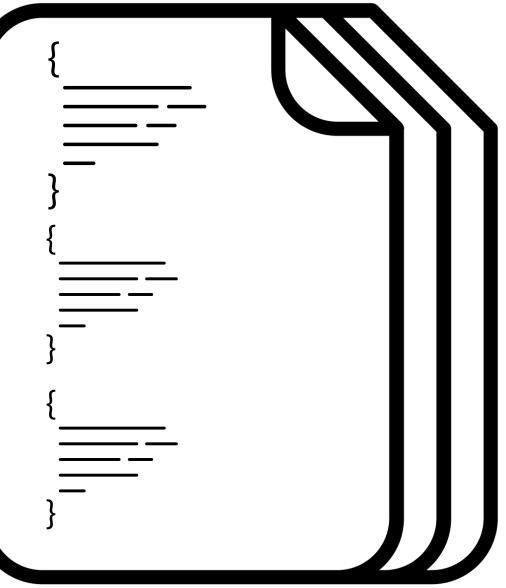
# Software systems are multifaceted and complex.

*Further reading in “Software Systems Architecture (Second Edition)” by Nick Rozanski and Eoin Woods*



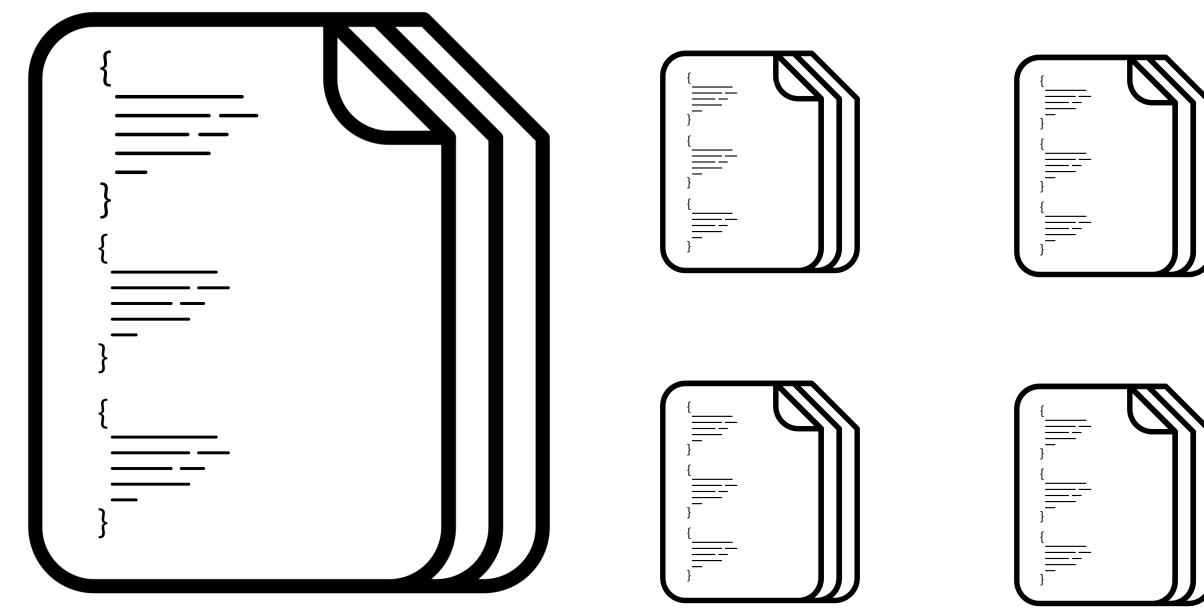
## Methods, functions

## Methods, functions



**Modules, packages**

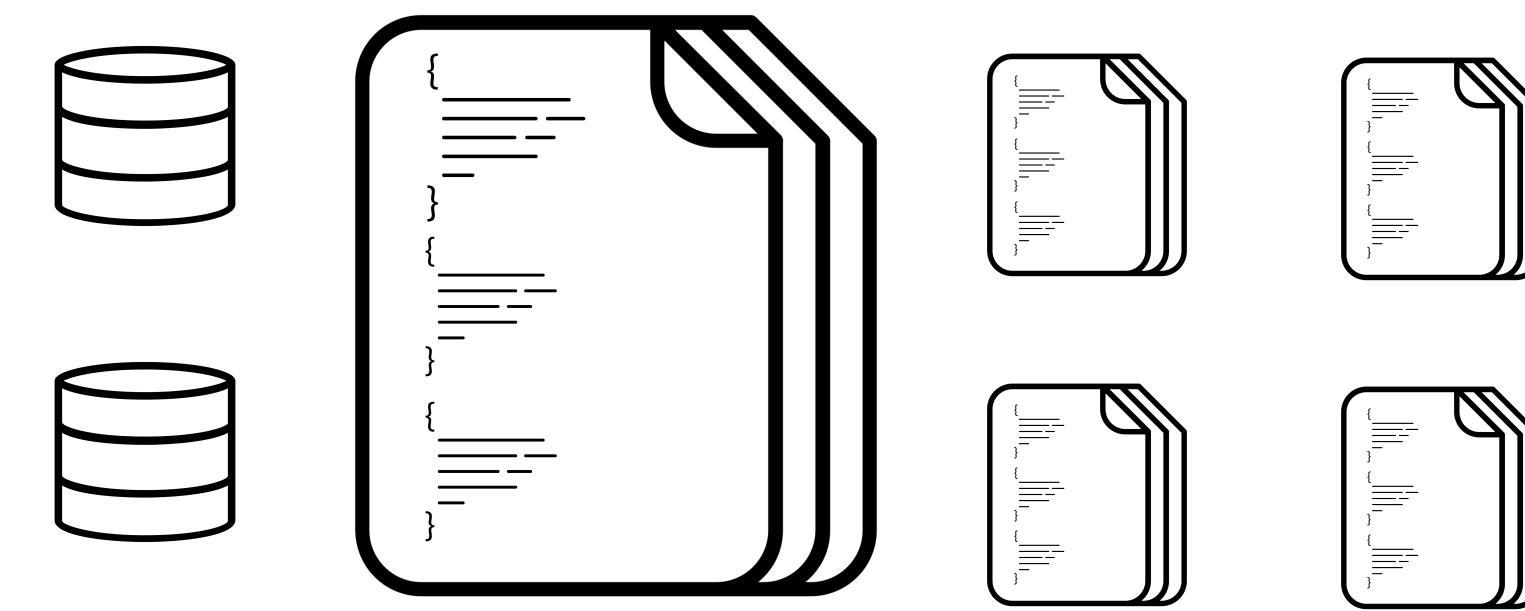
**Methods, functions**



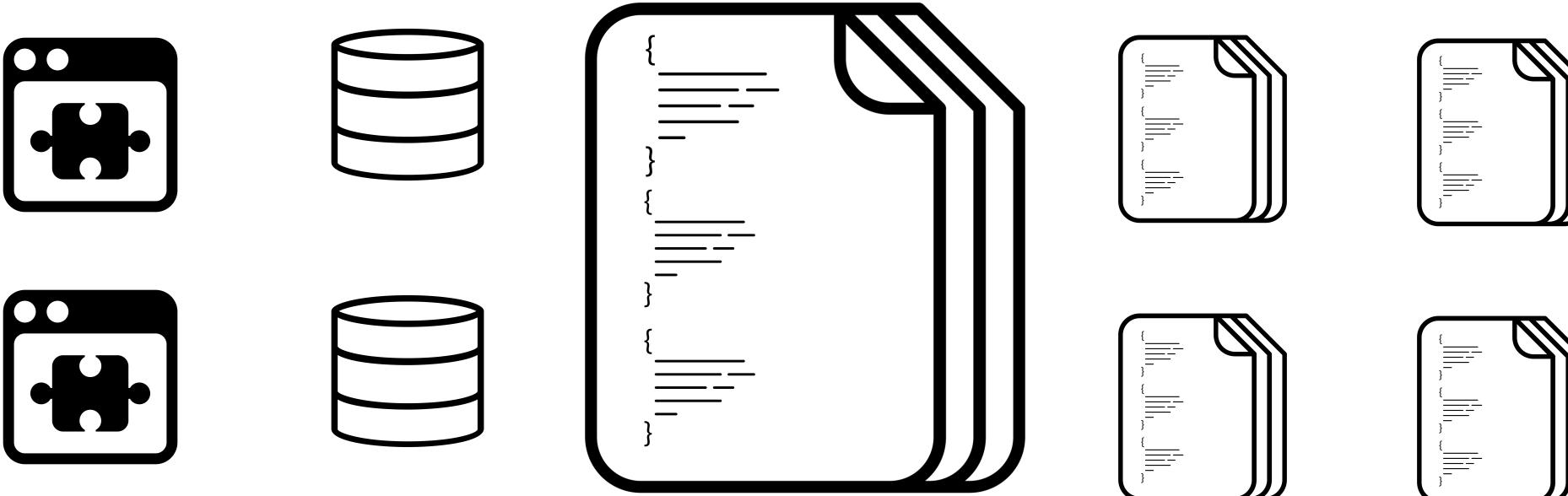
**Modules, packages**

**Data storage**

**Methods, functions**

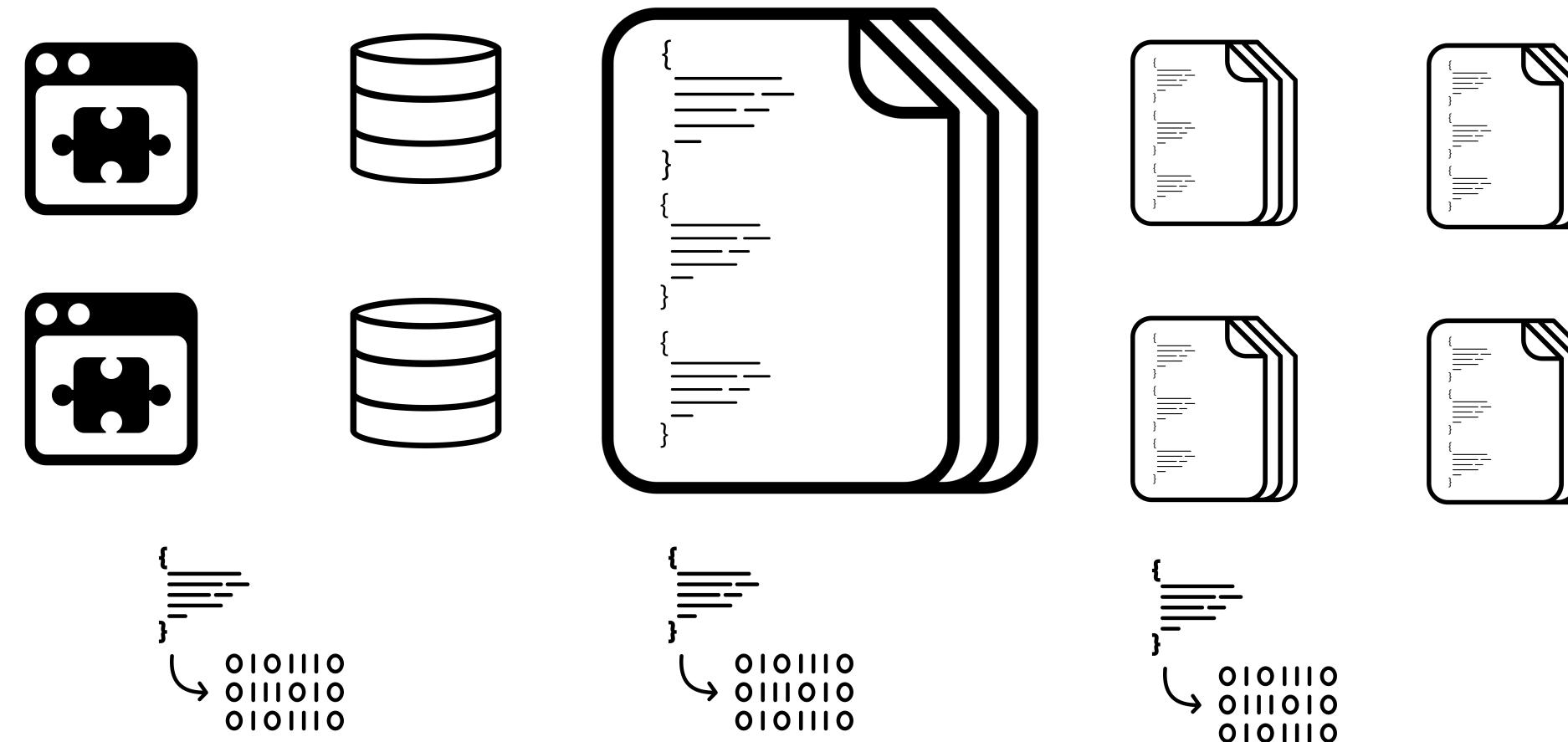


**Modules, packages**  
**Libraries, components**  
**Data storage**  
**Methods, functions**



**Modules, packages**  
**Libraries, components**  
**Data storage**

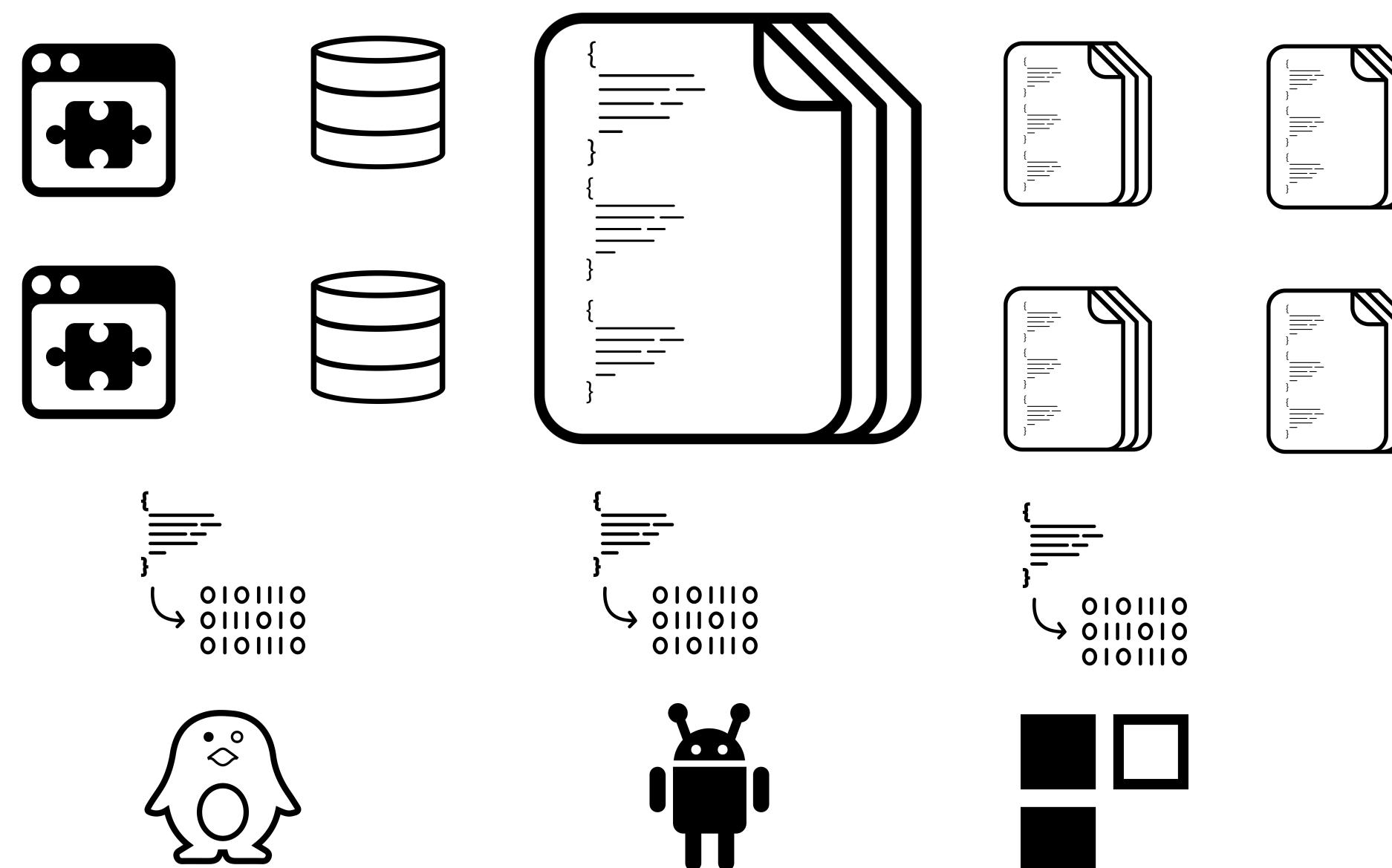
**Methods, functions**  
**Compilers, interpreters**  
**Virtual machines**



**Modules, packages**  
**Libraries, components**  
**Data storage**

**Methods, functions**  
**Compilers, interpreters**  
**Virtual machines**

**Operating Systems**



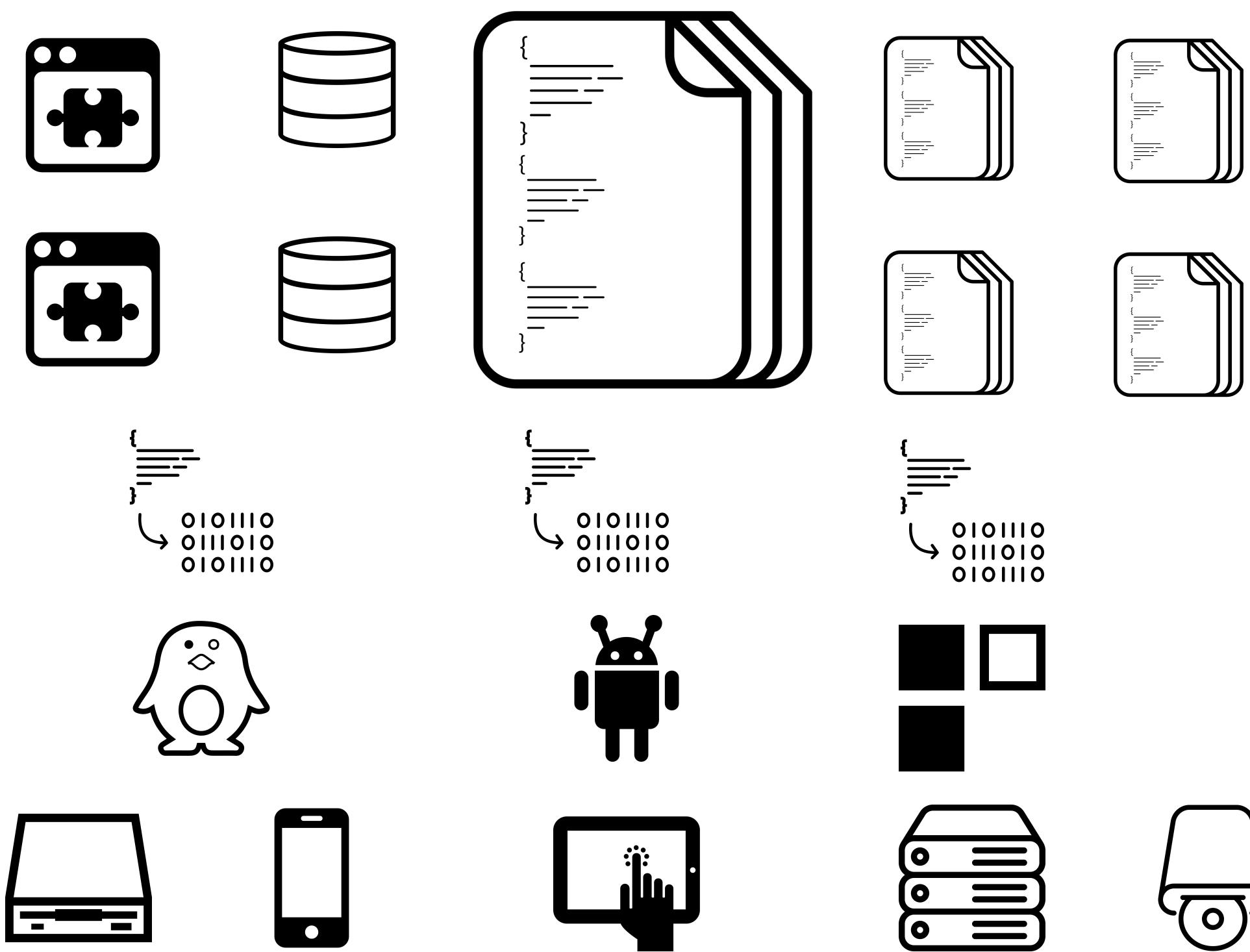
**Modules, packages**  
**Libraries, components**  
**Data storage**

**Methods, functions**

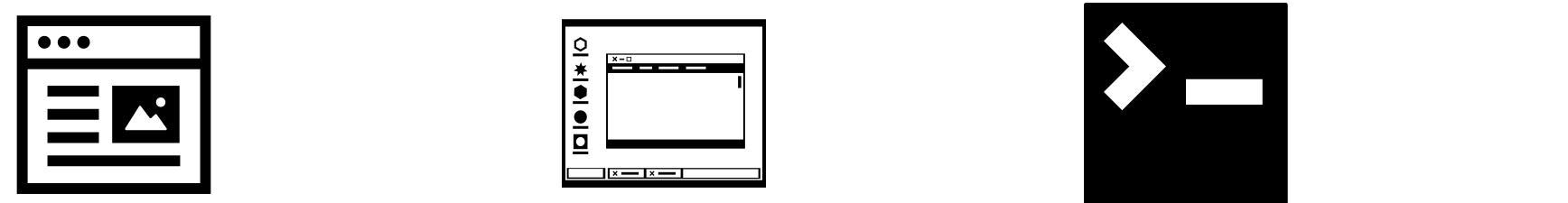
**Compilers, interpreters**  
**Virtual machines**

**Operating Systems**

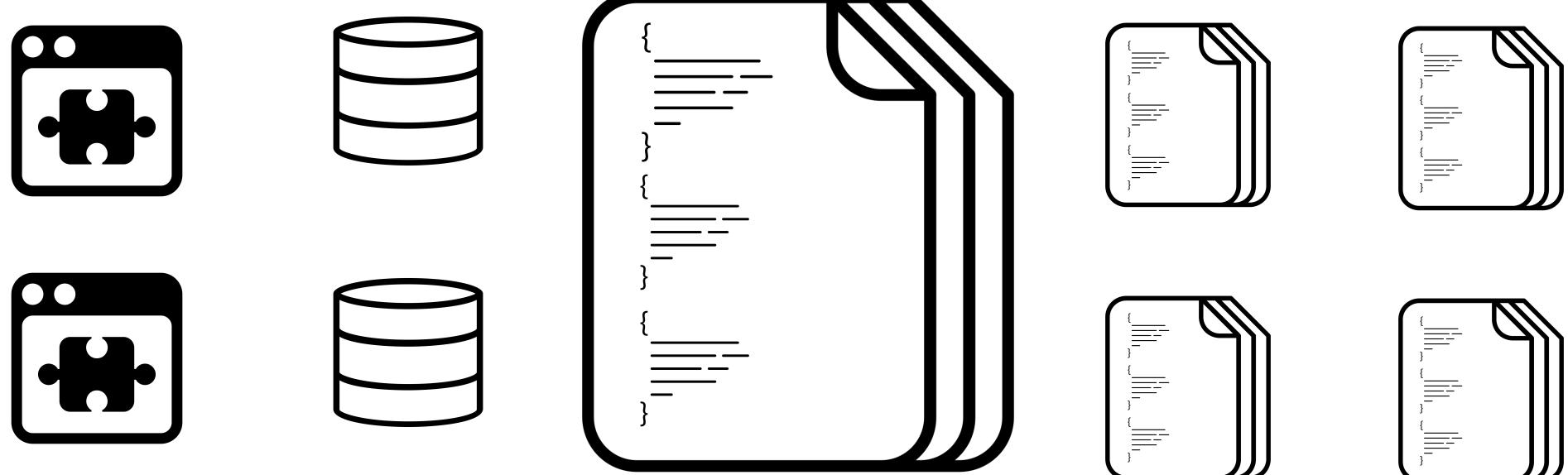
**Hardware platforms & devices**



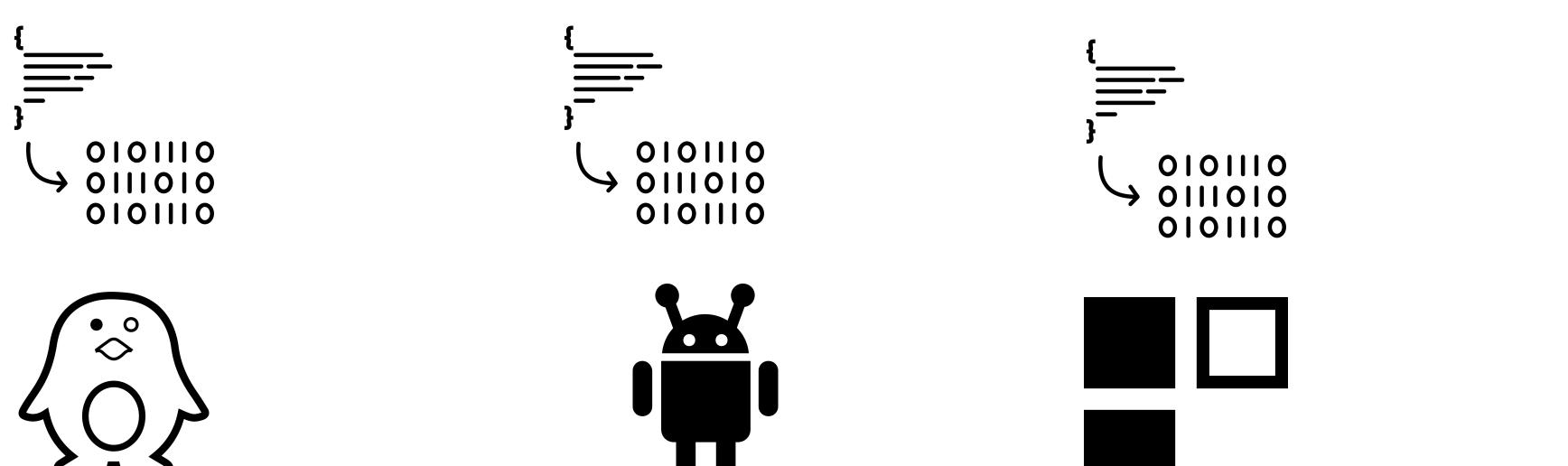
**Frontend / interfaces**  
**Web / Windows / CLI**



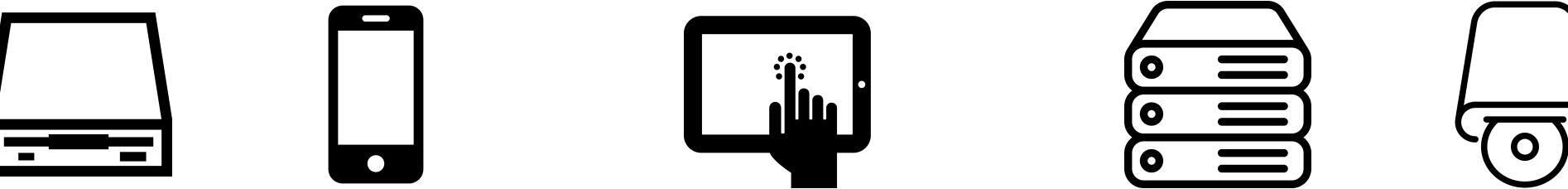
**Modules, packages**  
**Libraries, components**  
**Data storage**



**Methods, functions**  
**Compilers, interpreters**  
**Virtual machines**  
**Operating Systems**



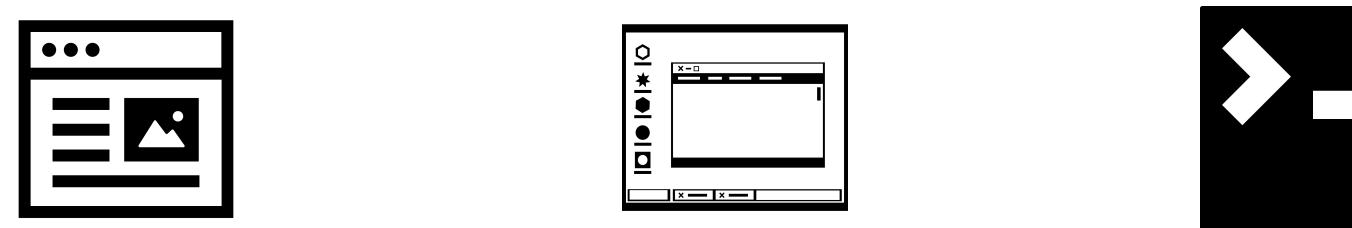
**Hardware platforms & devices**



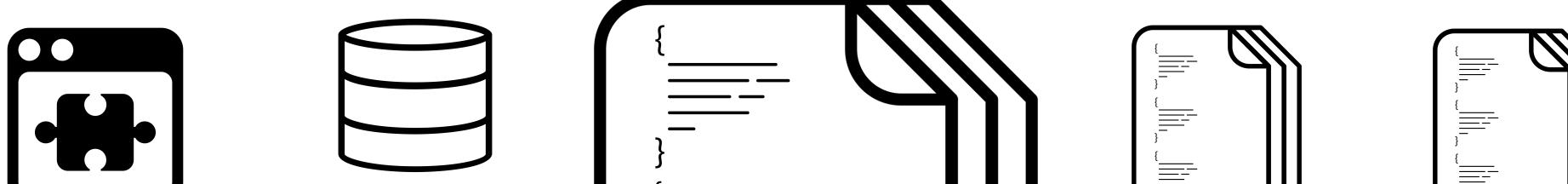
**Users, misusers,  
developers, managers**



**Frontend / interfaces  
Web / Windows / CLI**



**Modules, packages**



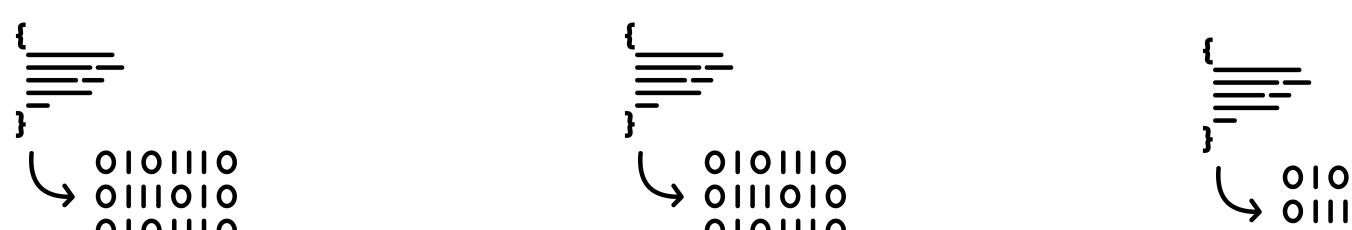
**Libraries, components**



**Data storage**



**Methods, functions**



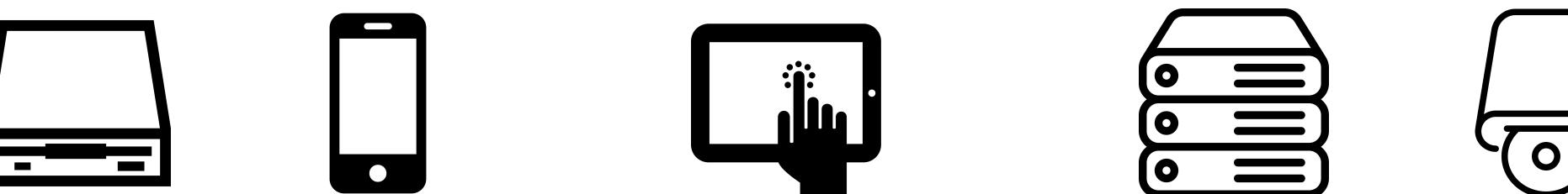
**Compilers, interpreters**



**Virtual machines**

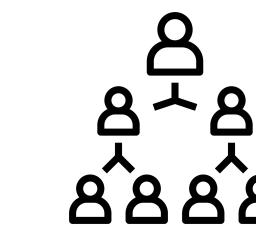
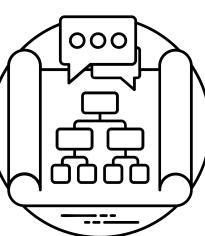


**Operating Systems**



**Hardware platforms  
& devices**

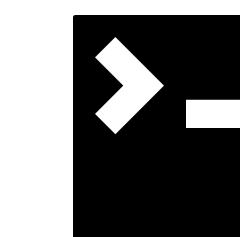
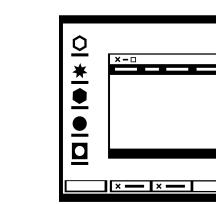
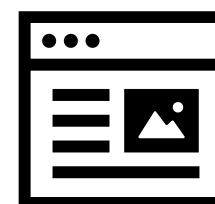
## Processes / workflows, Organisational structures



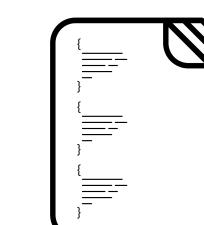
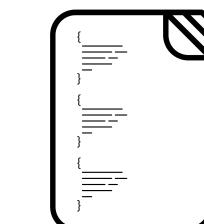
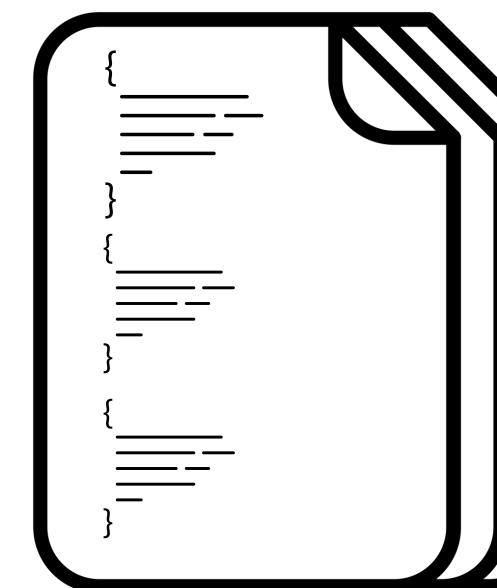
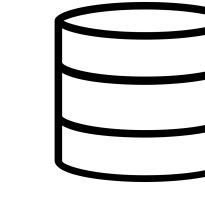
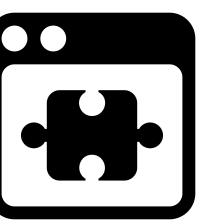
**Users, misusers,  
developers, managers**



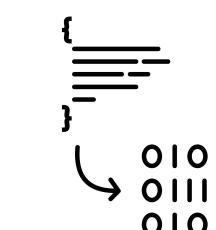
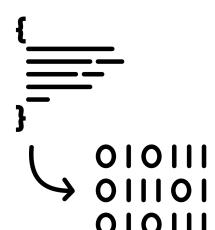
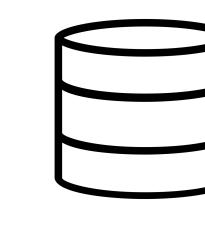
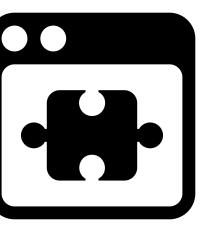
**Frontend / interfaces  
Web / Windows / CLI**



**Modules, packages**

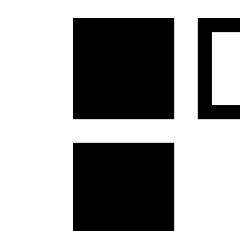
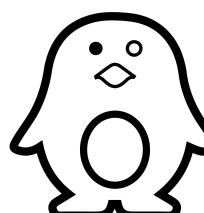


**Methods, functions**

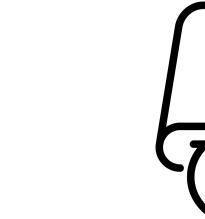
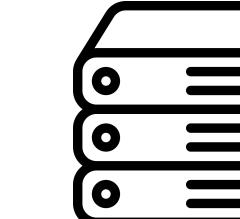
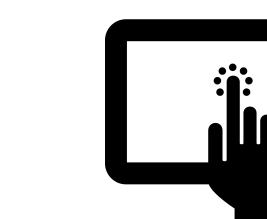
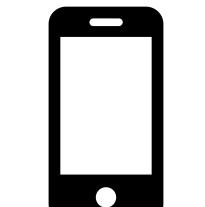


**Compilers, interpreters**

**Virtual machines**

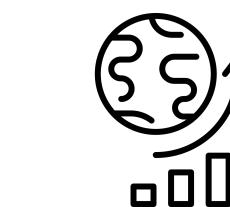


**Operating Systems**

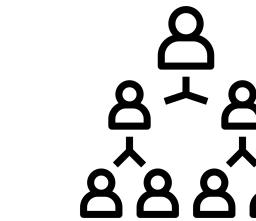
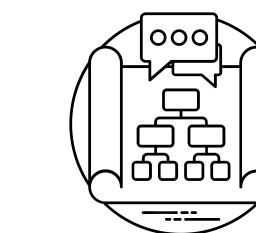


**Hardware platforms & devices**

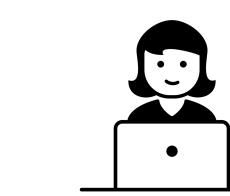
**Legal requirements, Industry standards,  
market forces**



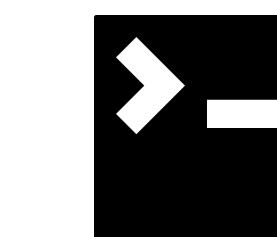
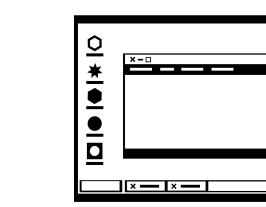
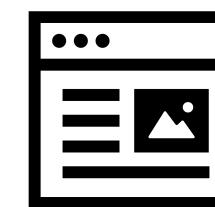
**Processes / workflows, Organisational  
structures**



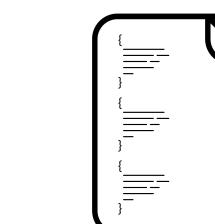
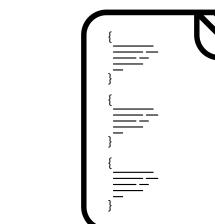
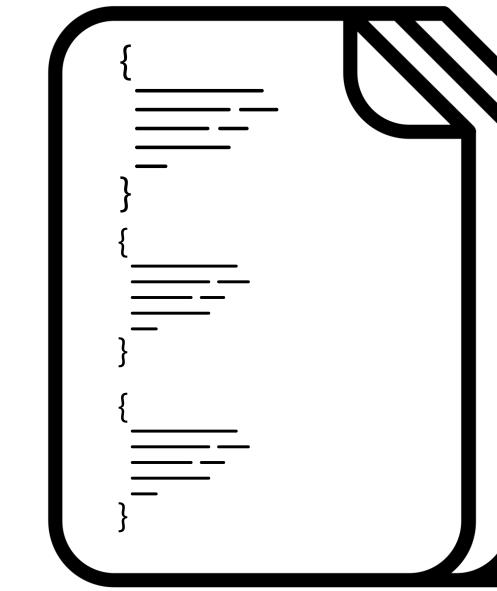
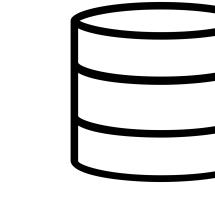
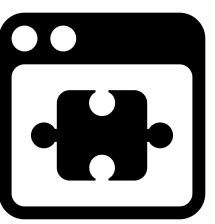
**Users, misusers,  
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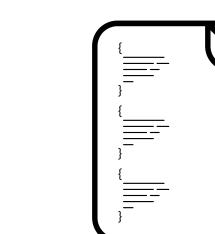
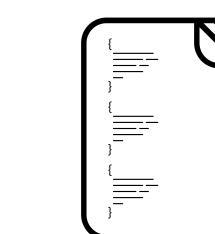
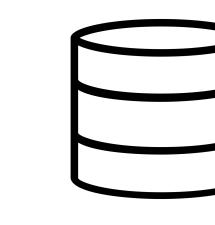
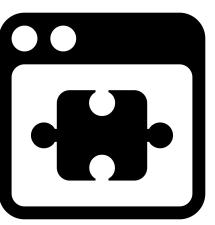
**Frontend / interfaces  
Web / Windows / CLI**



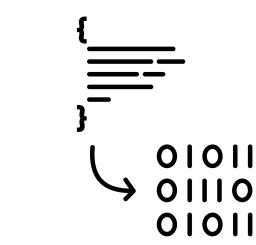
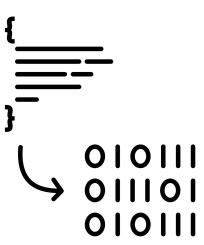
**Modules, packages**



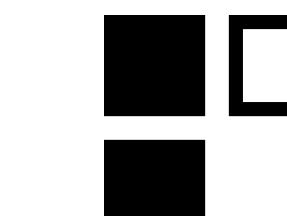
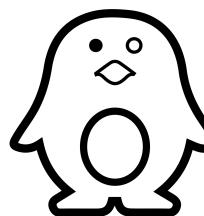
**Libraries, components**



**Data storage**



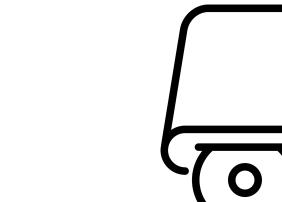
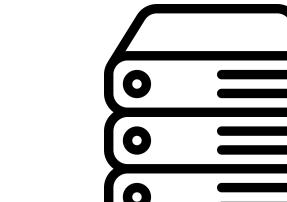
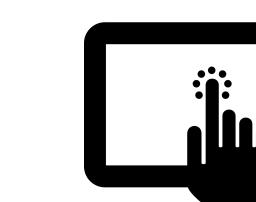
**Methods, functions**



**Compilers, interpreters**

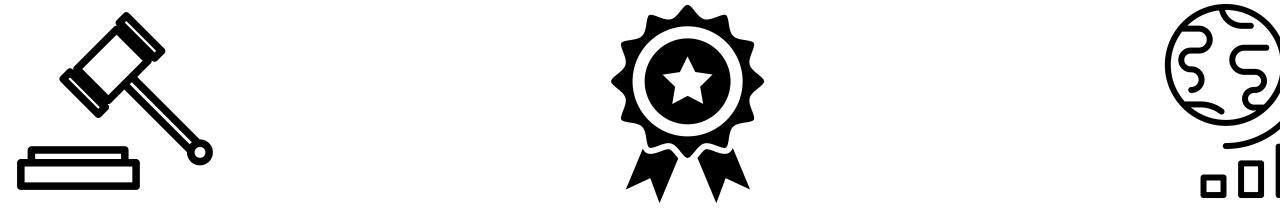
**Virtual machines**

**Operating Systems**

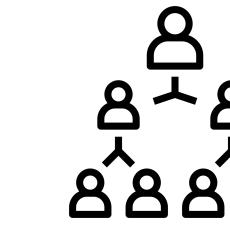
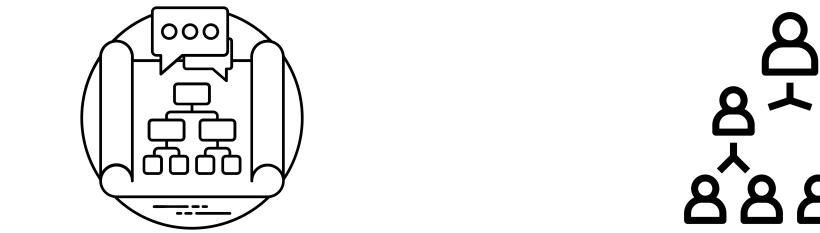


**Hardware platforms  
& devices**

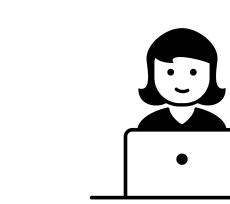
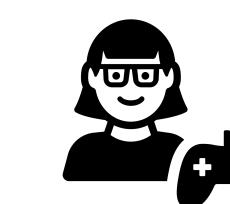
**Legal requirements, Industry standards, market forces**



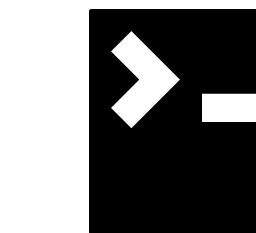
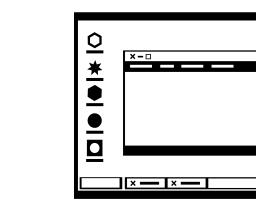
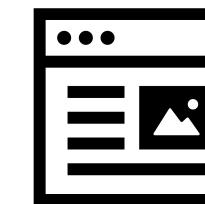
**Processes / workflows, Organisational structures**



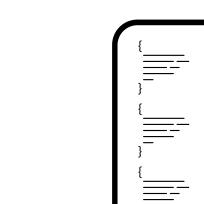
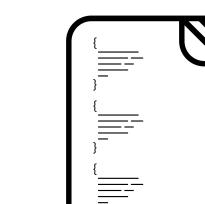
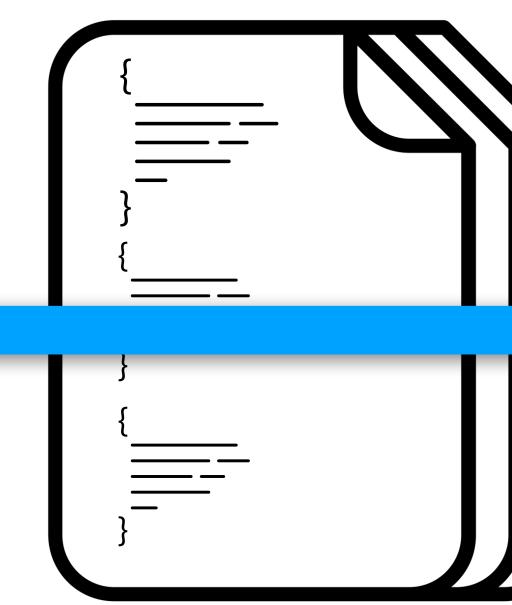
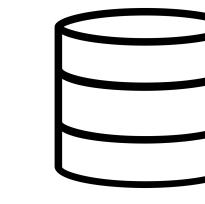
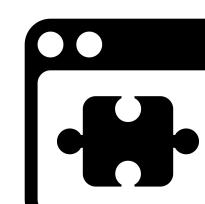
**Users, misusers, developers, managers**



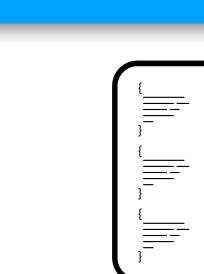
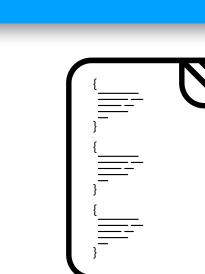
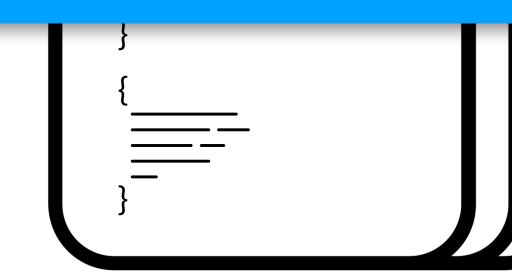
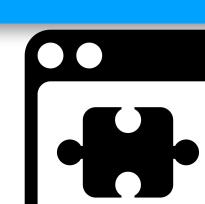
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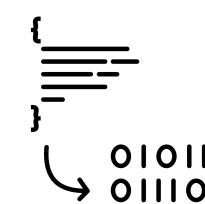
**Modules, packages**



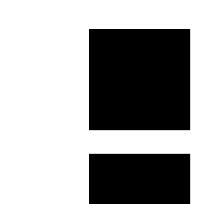
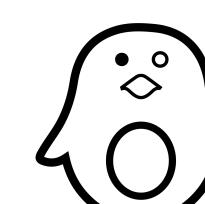
**Libraries, components  
Data storage**



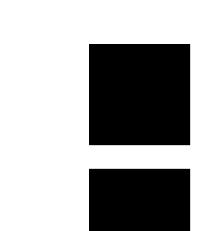
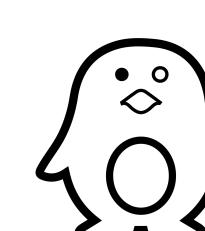
**Methods, functions**



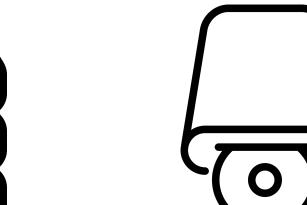
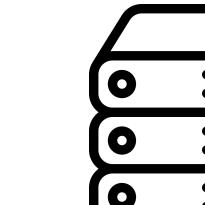
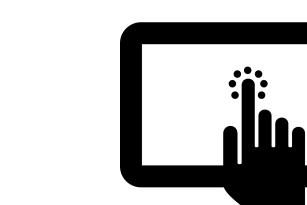
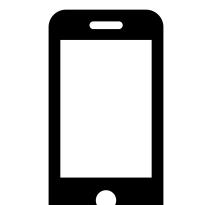
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Virtual machines**



**Operating Systems**



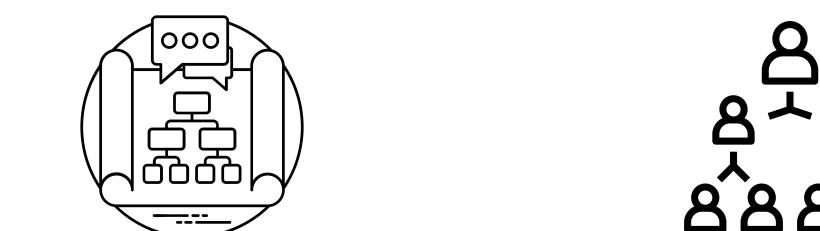
**Hardware platforms & devices**



**Legal requirements, Industry standards, market forces**



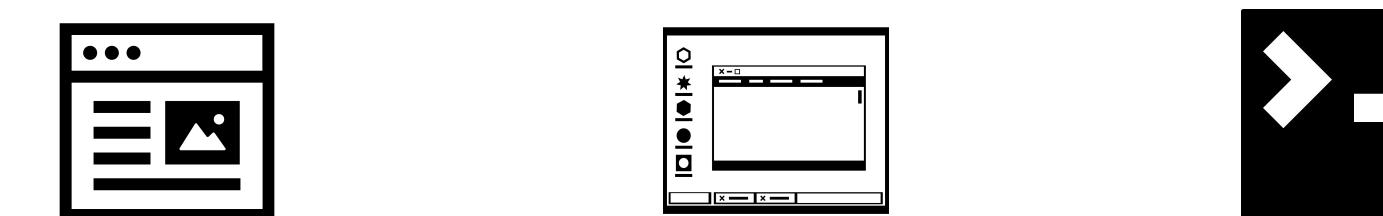
**Processes / workflows, Organisational structures**



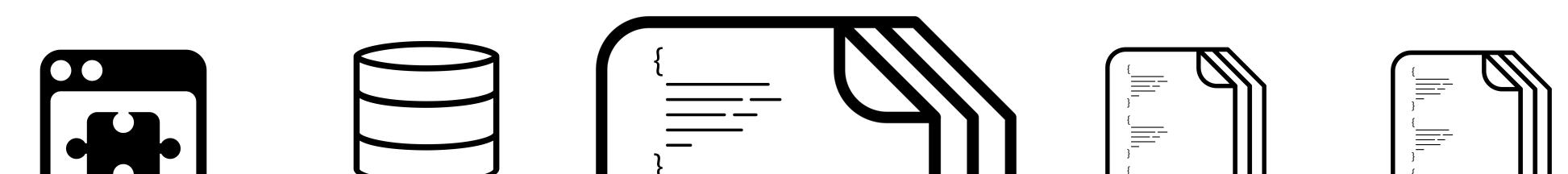
**Users, misusers, developers, managers**



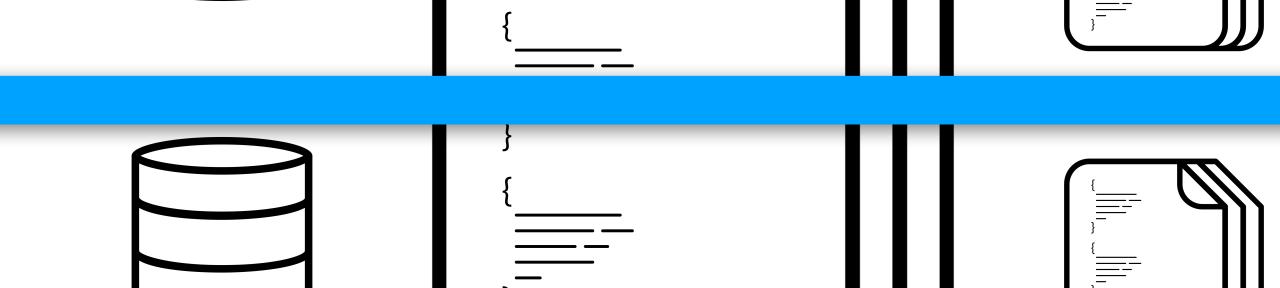
**Frontend / interfaces  
Web / Windows / CLI**



**Modules, packages**



**Libraries, components**



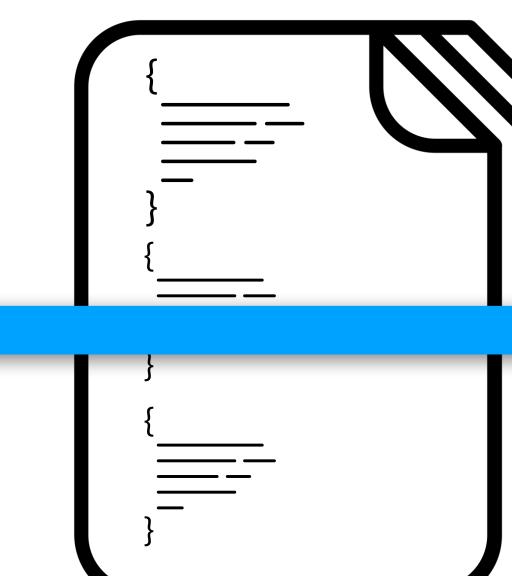
**Data storage**



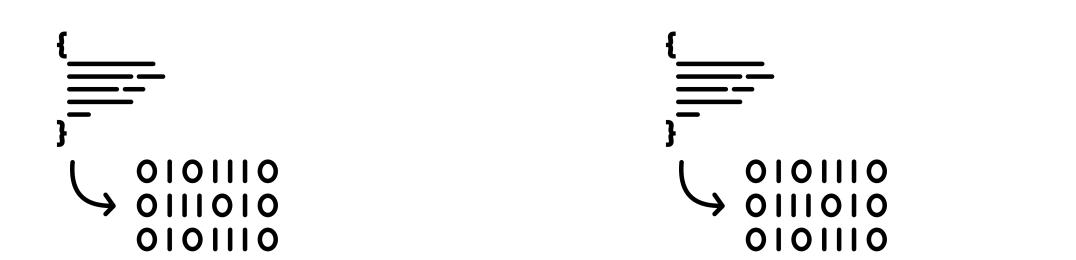
**Classes, files**



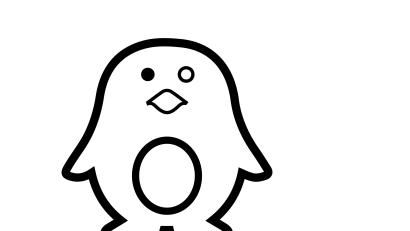
**Methods, functions**



**Compilers, interpreters**



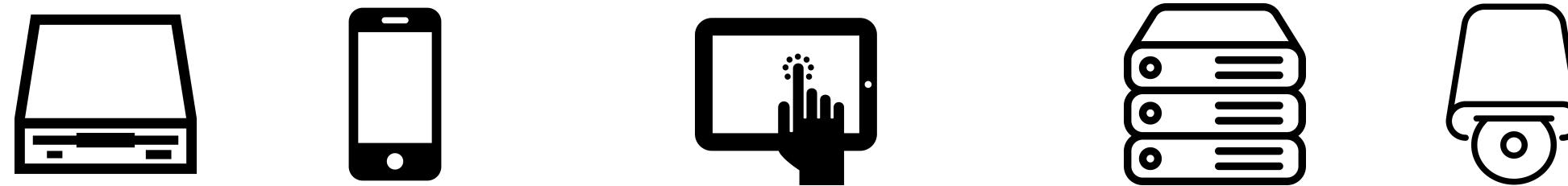
**Virtual machines**



**Operating Systems**



**Hardware platforms & devices**



# Viewpoints

## Viewpoint

## Description

Collection of patterns, templates, and conventions for constructing one type of view.

Defines the relevant stakeholders, and the relevant guidelines, principles and template models for constructing the views.

# Viewpoints

Viewpoint	Description
Context	Describes the relationships, dependencies, and interactions between the system and its environment.

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Functional	Functional elements, their responsibilities and interactions. Traditionally the starting point for an architectural description.

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Operational	How the system will be operated, administered, etc.

# Perspectives

Perspective	Description
<b>Accessibility</b>	The ability of the system to be used by people with disabilities
<b>Availability &amp; Resilience</b>	Ability to be operational as and when required, and to handle failures that could affect availability.
<b>Development Resource</b>	Ability to be designed, build, deployed, and operated within constraints around people, budget, time, materials.
<b>Evolution</b>	Ability to be flexible in the face of inevitable change, balanced against costs of providing this flexibility.
<b>Internationalisation</b>	Ability to be independent from any particular language, country or cultural group.
<b>Location</b>	Ability to overcome problems brought about by the location of its elements and distances between them.
<b>Performance &amp; Scalability</b>	Ability to predictably execute within mandated performance profile, and handle increasing volumes.
<b>Regulation</b>	Ability to conform to local and international laws, quasi-legal regulations, company policies, etc.
<b>Security</b>	Ability to reliably control, monitor, and audit who can perform what mechanisms on what resources.
<b>Usability</b>	Ease with which people can interact with the system and work effectively.

# **Successful systems change. A lot.**

# Hardware

Hardware is constantly improving.

E.g. Rise of GPUs for parallel computing.

Moore's law: ICs double transistors every two years

Difficult to replace.

Can be physically difficult to extract.

E.g. circuitry that is built into aircraft.

Embedded components can include hidden logic.

Difficult to reverse-engineer if not documented.

Expensive!

Once you have purchased it, you have to make it work.

Difficult to test.



The US nuclear arsenal relied on 8" floppy disks until 2019!

A 5MB (>1 ton) hard disk being loaded into a plane in 1956.

<https://www.nytimes.com/2019/10/24/us/nuclear-weapons-floppy-disks.html>

# Operating Systems

Constantly evolving to incorporate changes in hardware.

Vendors eventually remove support for older versions.

Can force the issue when OS support is relied upon.

Can be challenging to adapt legacy code to.

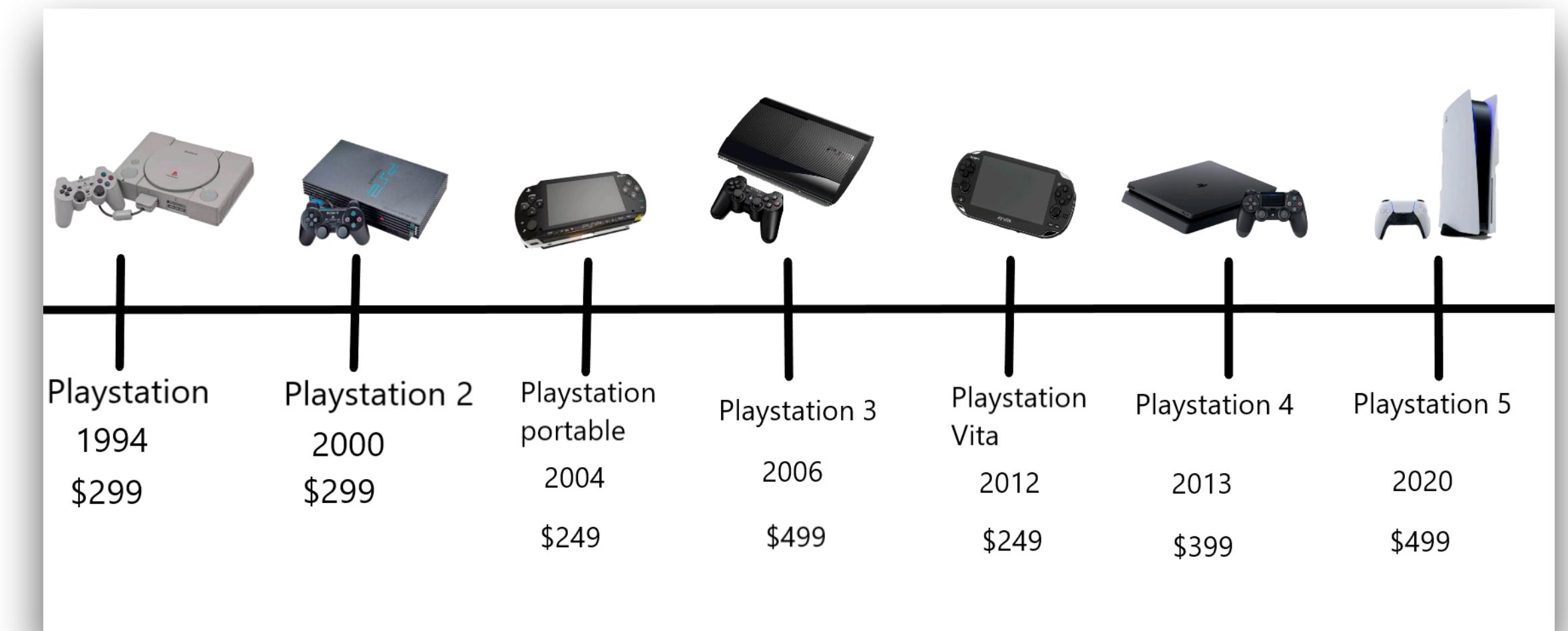
New filesystems

Changes in security mechanisms.

Removal of support for particular hardware.



OS/2 Warp (1995) remains one of the leading ATM operating systems.



The Sony Playstation product line

# Compilers, Virtual Machines, Interpreters

Often tailored to hardware and OS combinations.

Often associated with development kits.

Can require change for many reasons:

Deprecation of language features.

Removal of support.

License changes.

Changes in application needs.

E.g. Older compilers cannot handle Unicode text.

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Further, You may not:

- use the Programs for any data processing or any commercial, production, or internal business purposes other than developing, testing, prototyping, and demonstrating your Application;

**In 2011 Oracle changed the Java compiler license, so that the free version could no longer be used for commercial software.**

# Source code

Lots of reasons for source code to change.

Bug fixes, feature additions, maintenance, refactoring, modularisation,

In many ways the most “malleable” part of a system.

Changes to source code can work-around changes further down the stack.

Successful code can be subject to continuous change.

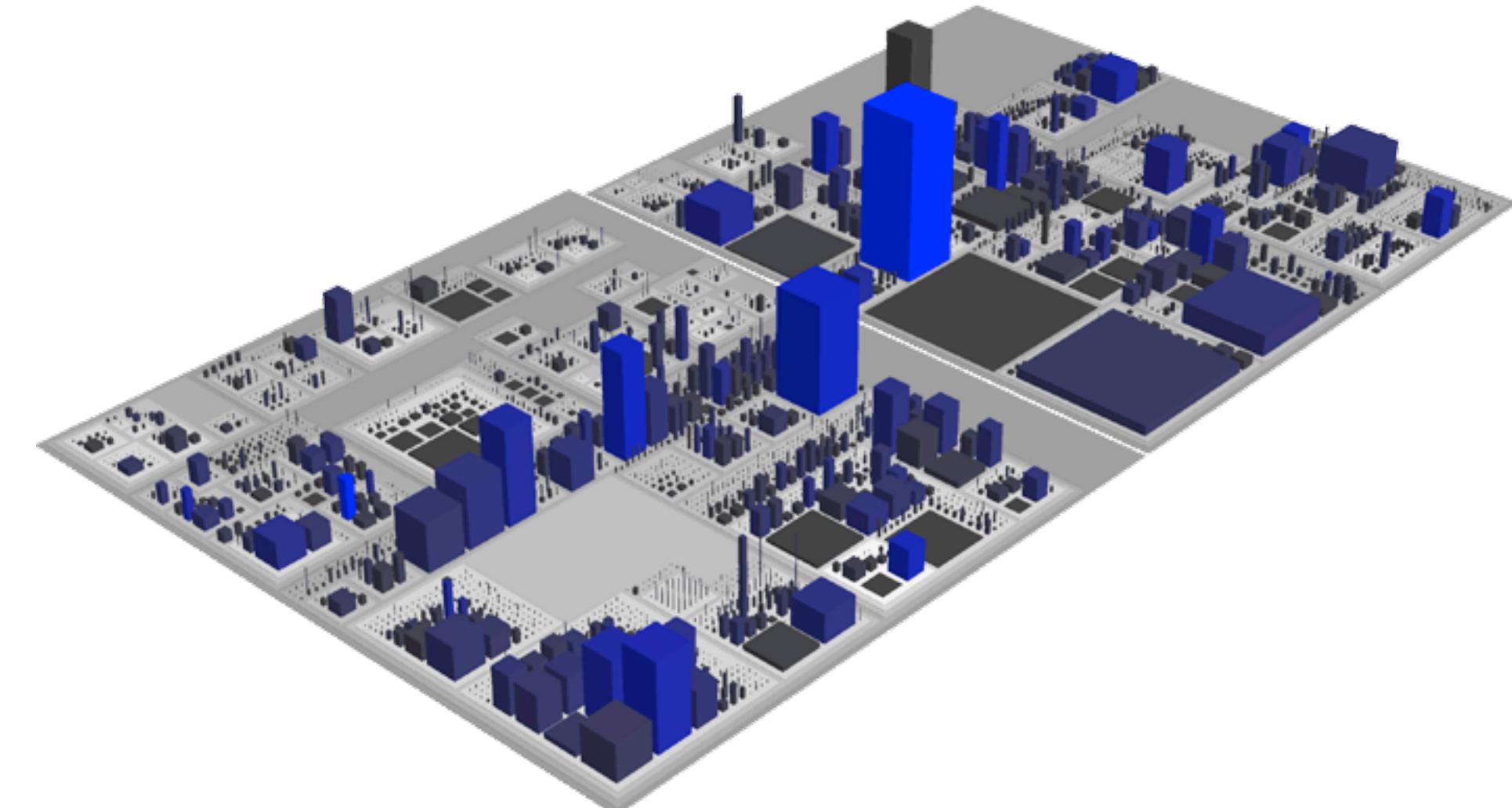
E.g. the Linux kernel.

Invariable increase in scale and complexity.

Some of which is **essential** to implement necessary features.

Some of which is **accidental** - to work around poor design choices.

Fred Brooks, “No Silver Bullet: Essence and Accidents in Software Engineering”, Computer (20),4, 1987



CodeCity visualisation of a software system.  
Each block is a code file. Height depends on LOC.

# Data storage

## Many drivers for change

Change in legislation.

E.g. Change to rules about what types of data can be stored, for how long.

Change in database system.

Differences in reliability, efficiency, ease of use, compatibility, etc.

Change in schemas, storage formats

Migration can be extremely challenging.

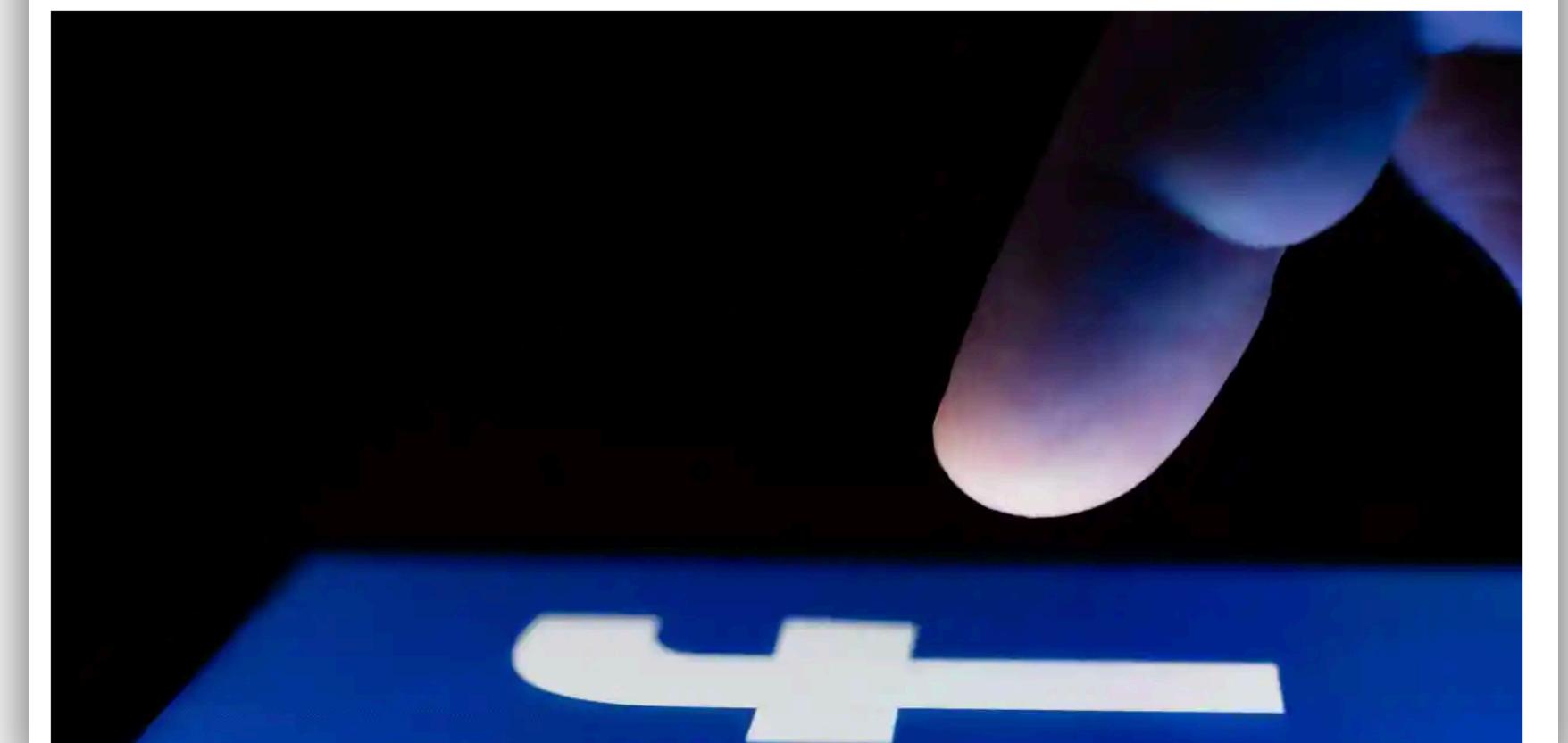
Data often always needs to be available.

So do the systems that access and manipulate it.

The screenshot shows the top navigation bar of The Guardian website with links for Search jobs, Dating, Sign in, Search, UK edition, and a menu. Below the navigation is a main article title "Bye bye Mongo, Hello Postgres". A subtext explains the migration from MongoDB to PostgreSQL on Amazon RDS. To the right is a sidebar with an advertisement for "DEDICATED SERVERS".

Privacy policies of tech giants 'still not GDPR-compliant'

Consumer group says policies of Facebook, Amazon and Google are vague and unclear



# Front end / UI

Several drivers for change

Accessibility improvements

Usability / UX

New modes of interaction

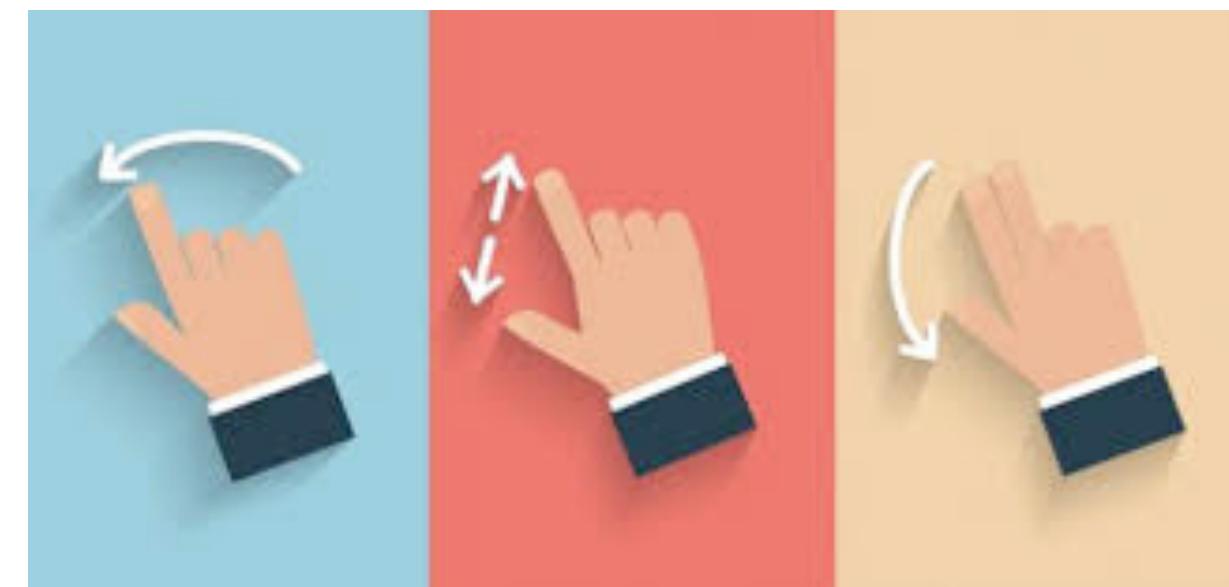
AI-powered chat interfaces

Touch gestures

Motion sensing devices

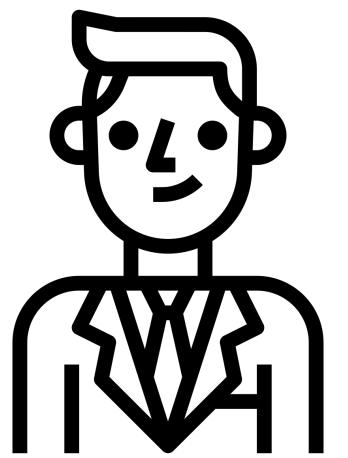
Voice activation

Changes in Browser / GUI technology



# Users

Subject to market forces



Exciting new products come to market with innovative features.

Broader eco-system might change.

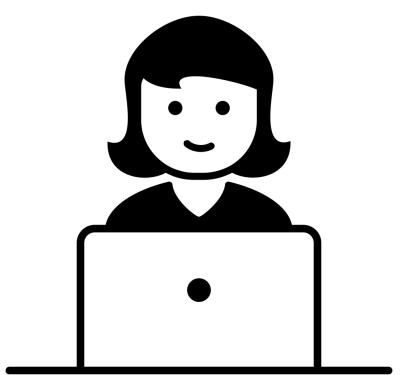


Habits can change (fitness trackers, social media, etc.).



Privacy or security concerns.

Might seek to misuse the system - identify loop-holes, security vulnerabilities.



# Business process

Changes to workflow.

Often brought about by sudden changes in legislation.

E.g. Sudden impact of Brexit or Covid on travel.

Systems need to suddenly adapt to new requirements.

The screenshot shows the official website of the French Customs (Douanes). The header features the French Republic logo, the text "RÉPUBLIQUE FRANÇAISE", and the motto "Liberté Égalité Fraternité". To the right is the Douane logo, which is a blue square with a white flame-like symbol, and the text "DOUANES & DROITS INDIRECTS". The main title "douane.gouv.fr" is prominently displayed in blue, followed by the subtitle "Le portail de la direction générale des douanes et droits indirects". A navigation bar below the header includes links for "Particuliers", "Professionnels", "La douane", "Presse", and "Services". The main content area is titled "Brexit: The smart border" and discusses how French Customs has designed an innovative technological solution to maintain smooth circulation of goods. The URL "Accueil • Brexit: The smart border" is visible at the top of this section.



# Business structure

## Conway's Law:

*“Organizations which design systems ... are constrained to produce designs which are copies of the communication structures of these organizations.”*

Melvin Conway, "How do Committees Invent?", Datamation, 14 (5): 28–31, 1968

Organisational changes tend to lead to changes in enterprise software.

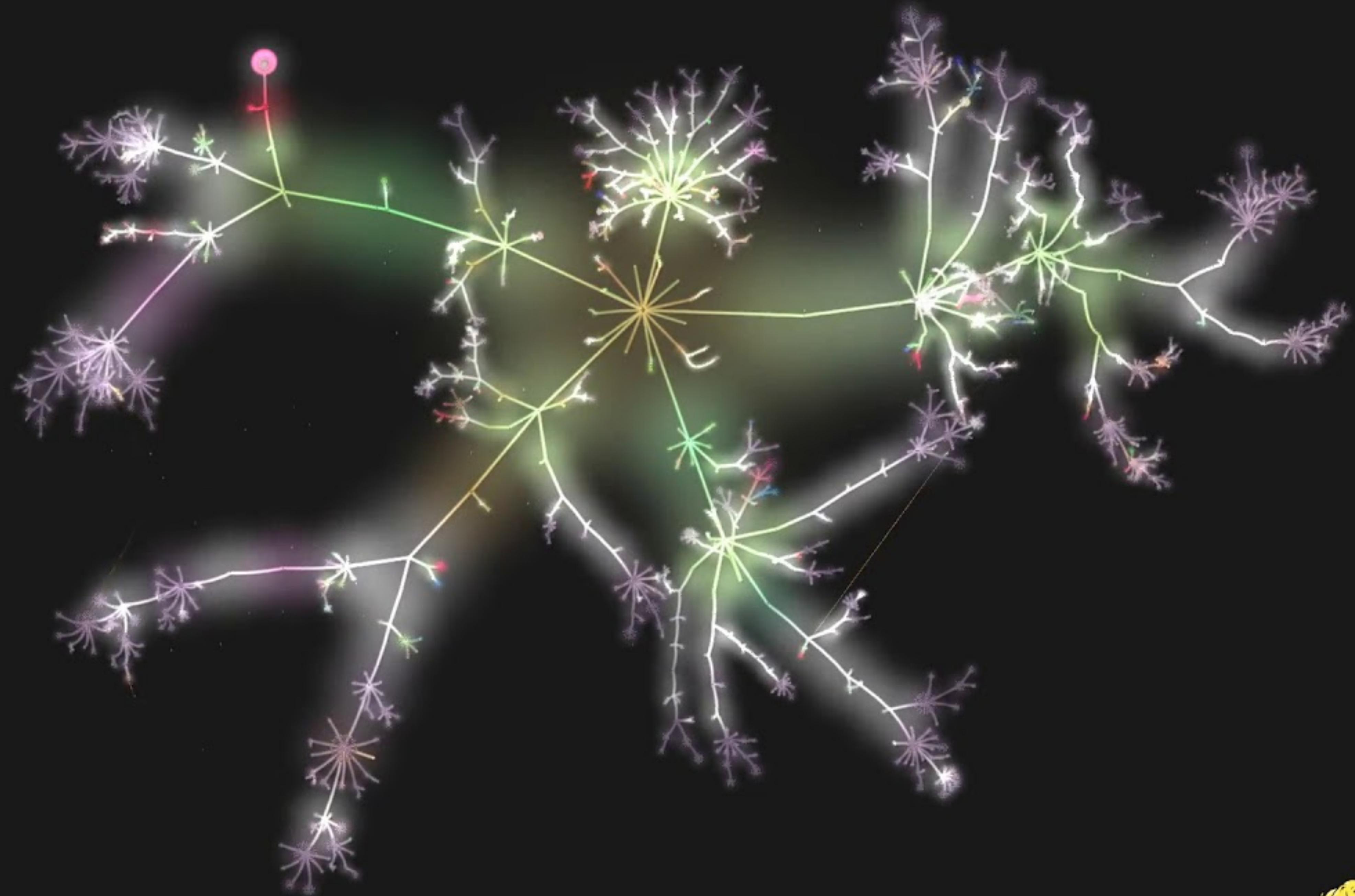
Supported by an empirical study at Microsoft

Demonstrated relationship between organisational and software metrics.

Nagappan, Murphy, Basili. "The influence of organizational structure on software quality.", International Conference on Software Engineering (ICSE), 2008.

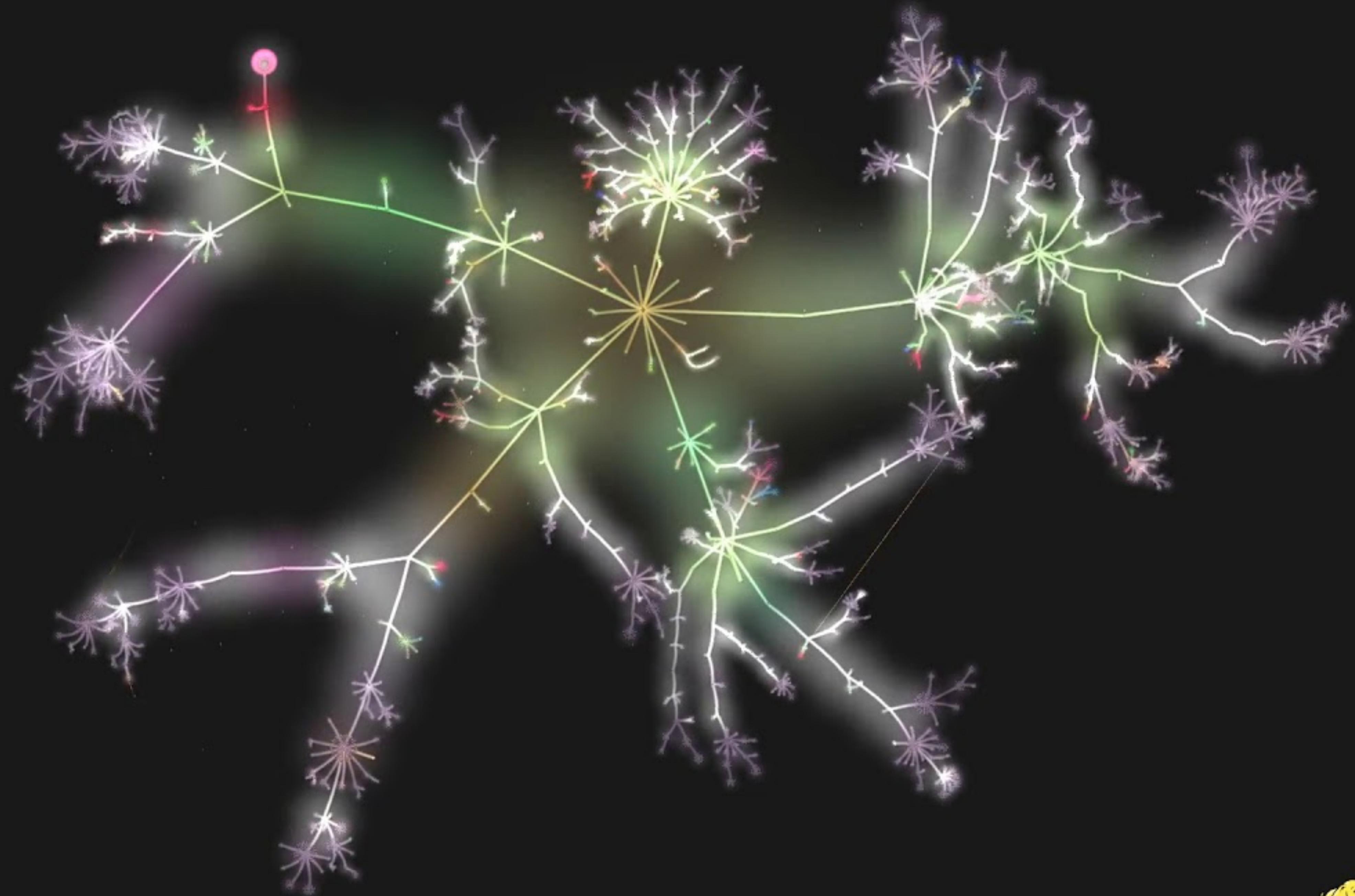
Sunday, 08 January, 2017 09:28:41

java	7846
md	342
js	202
xmli	263
c	113
h	106
cc	72
proto	67
properties	63
sr	59
sr	60
hbs	33
rr	45
html	39
bats	38
css	31
cmd	21
json	13
lgz	14
conf	12
vm	12
jpg	10
keep	10
template	8
Tokenide...	7
TokenRen...	7
gif	7
FileSyste...	6
SecurityInf...	6
cmake	6
gitignore	5
jnist	6
bz2	4
example	4
hh	4
launch	4
KeyProvid...	3
gitattribute...	3
gz	3
vcxproj	3
py	3
psd	3



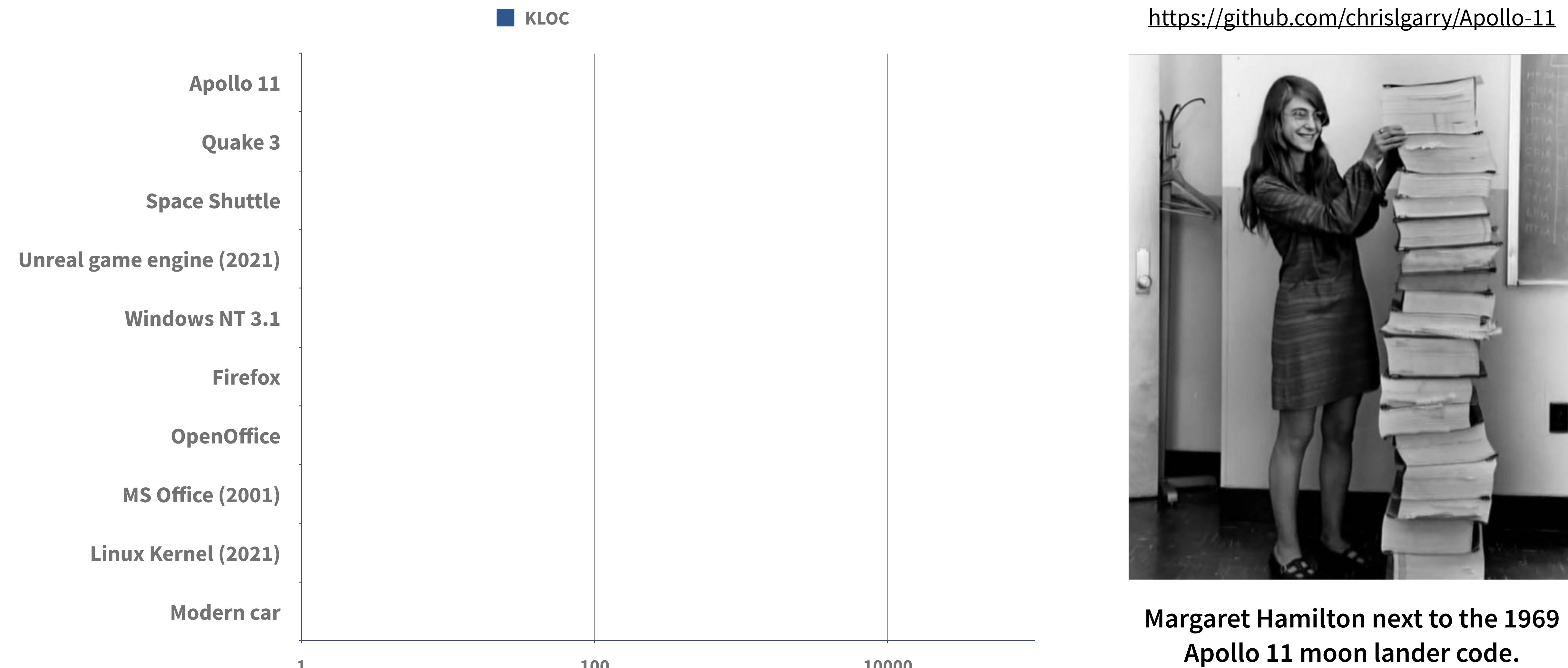
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hbs	33
rr	45
html	39
bats	38
css	31
cmd	21
json	13
lgz	14
conf	12
vm	12
jpg	10
keep	10
template	8
Tokenide...	7
TokenRen...	7
gif	7
FileSyste...	6
SecurityInf...	6
cmake	6
gitignore	5
jnist	6
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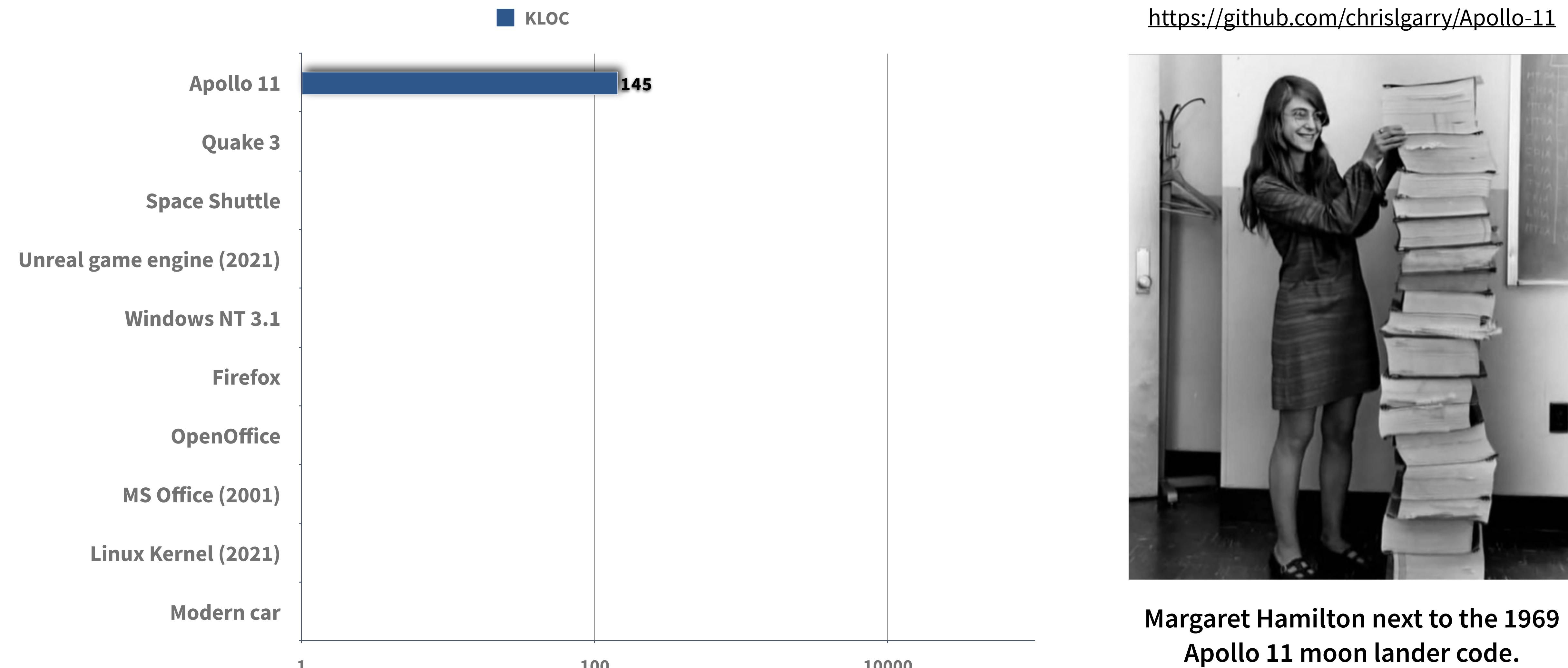


# Why is reengineering hard?

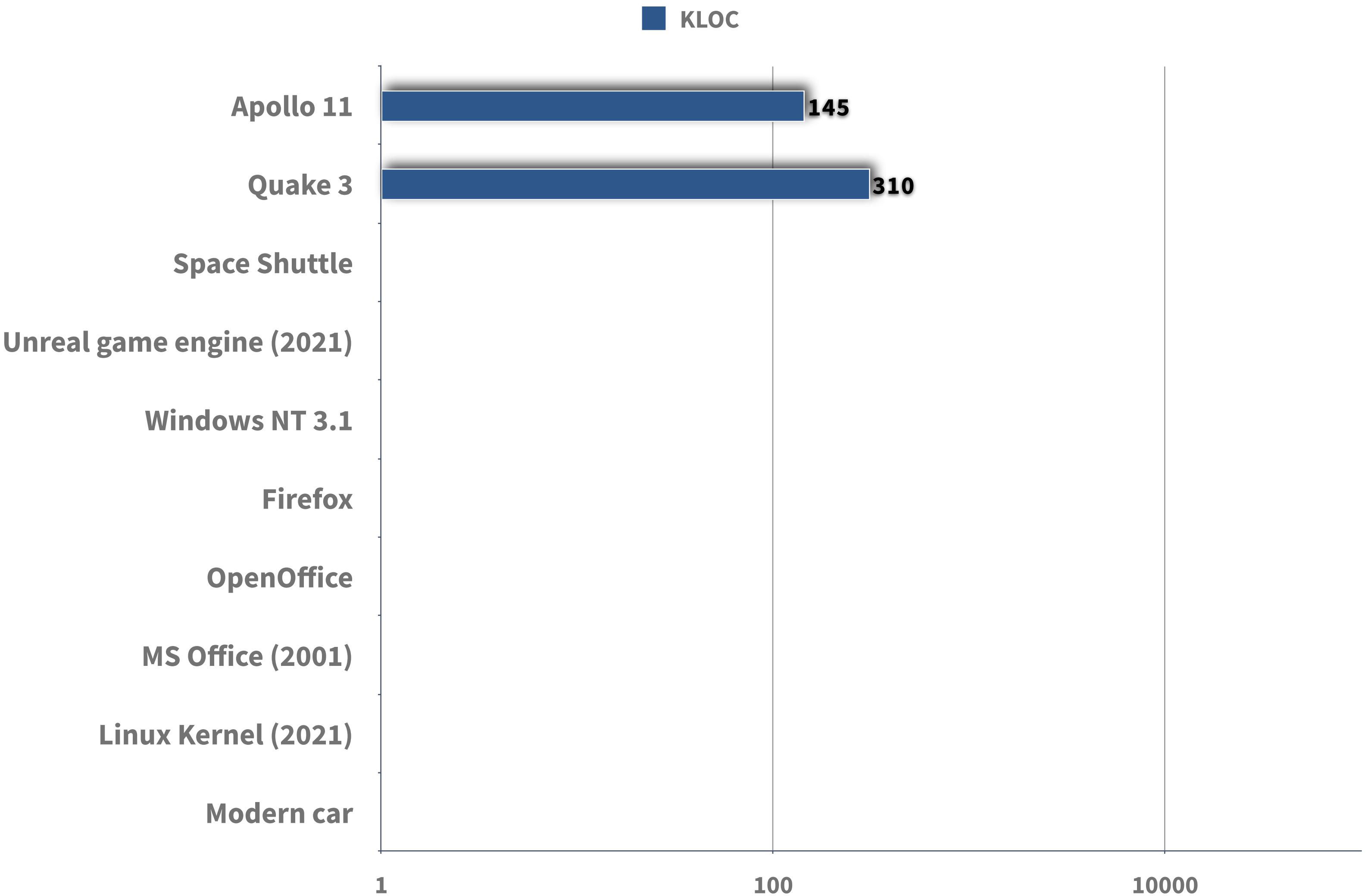
# Scale



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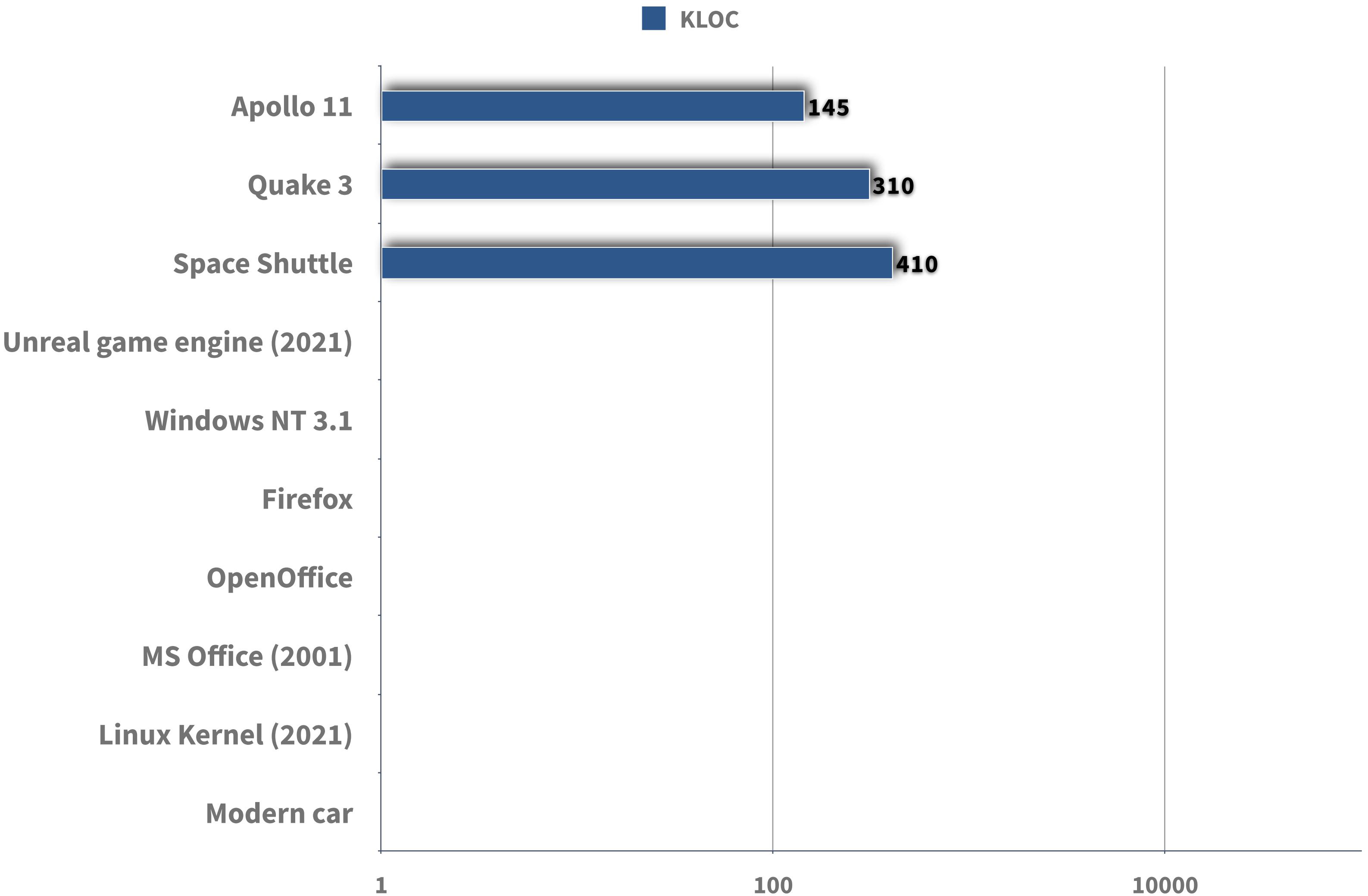


<https://github.com/chrislgarry/Apollo-11>



**Margaret Hamilton next to the 1969  
Apollo 11 moon lander code.**

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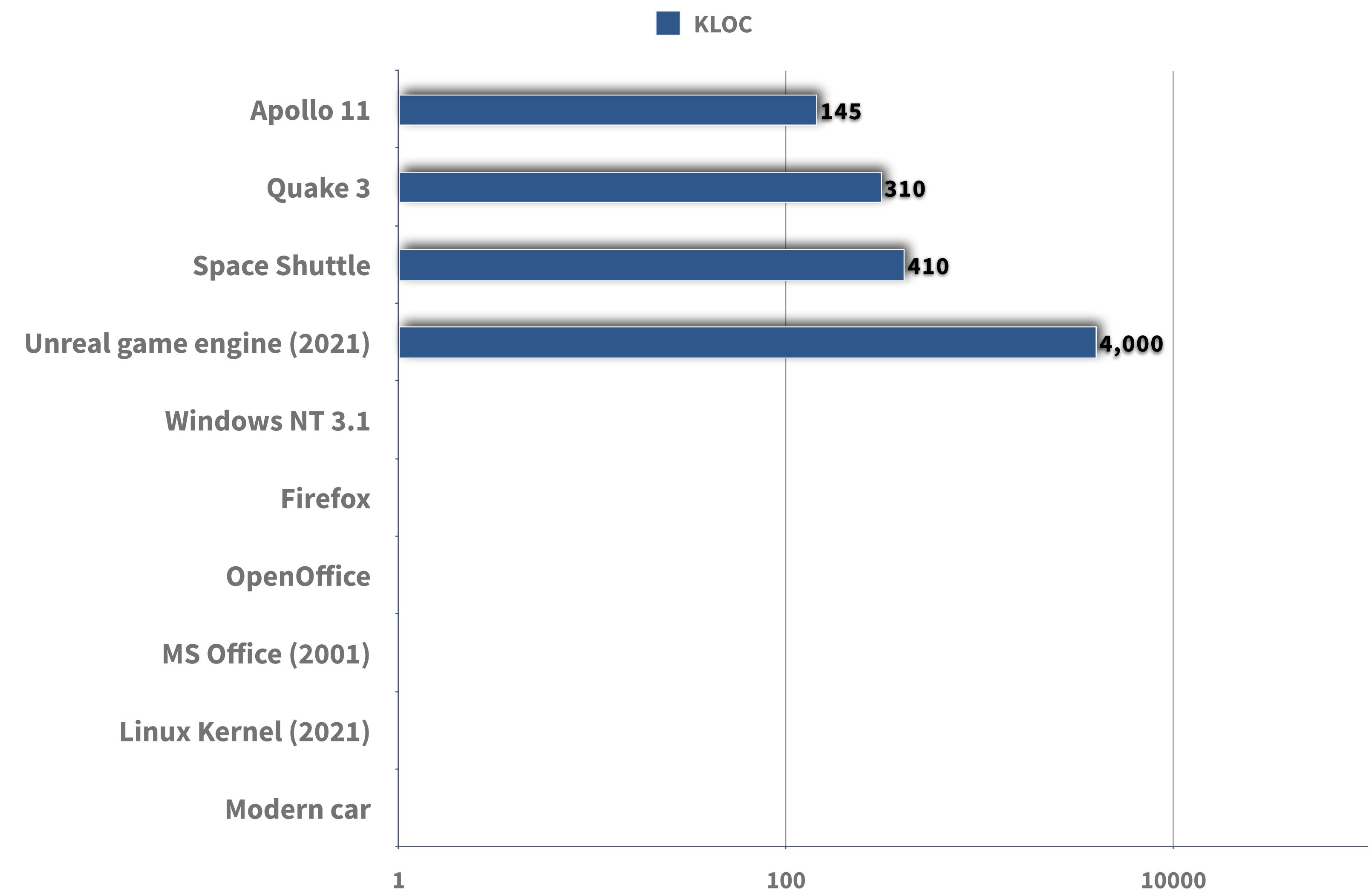


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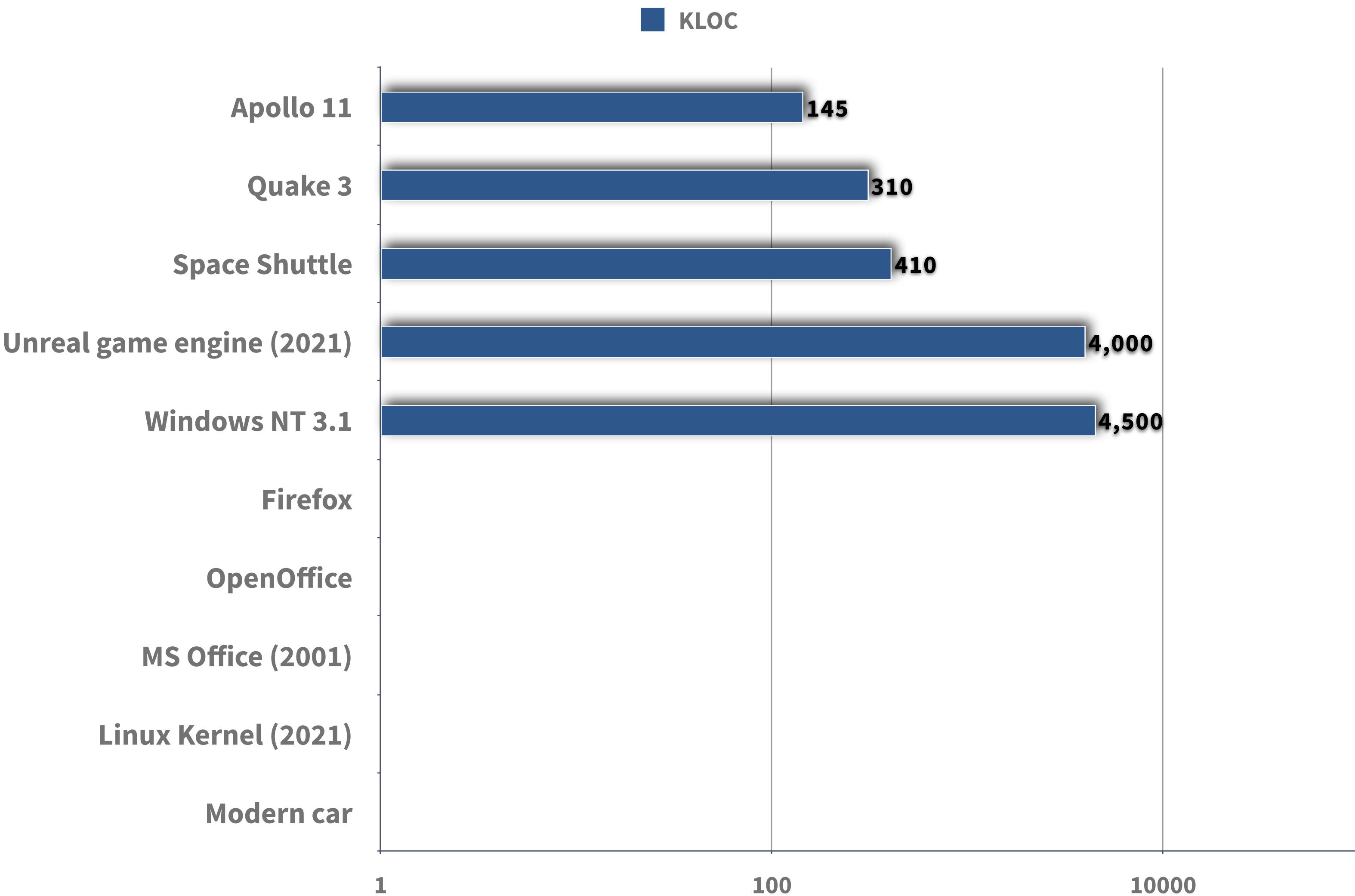


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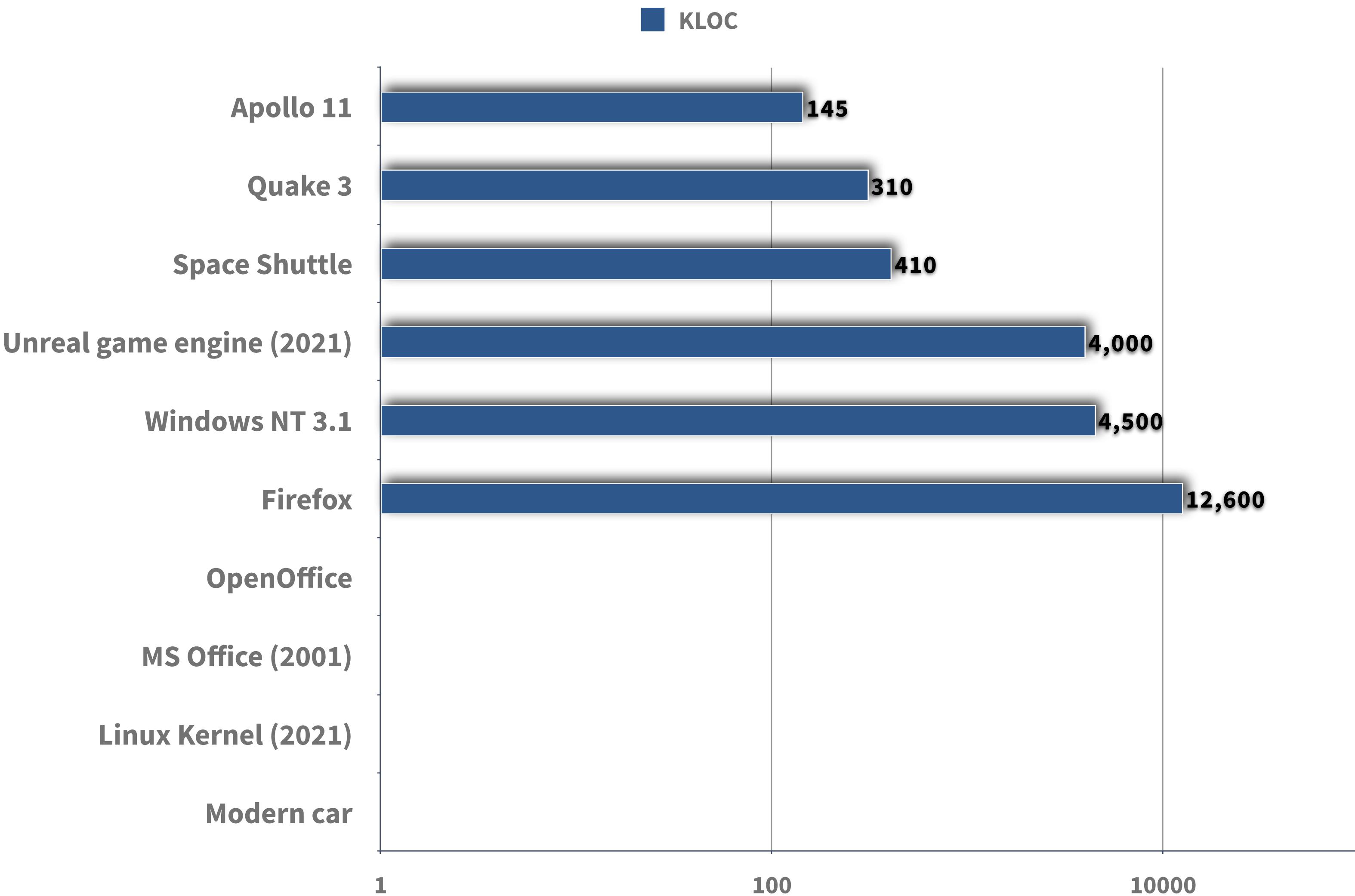


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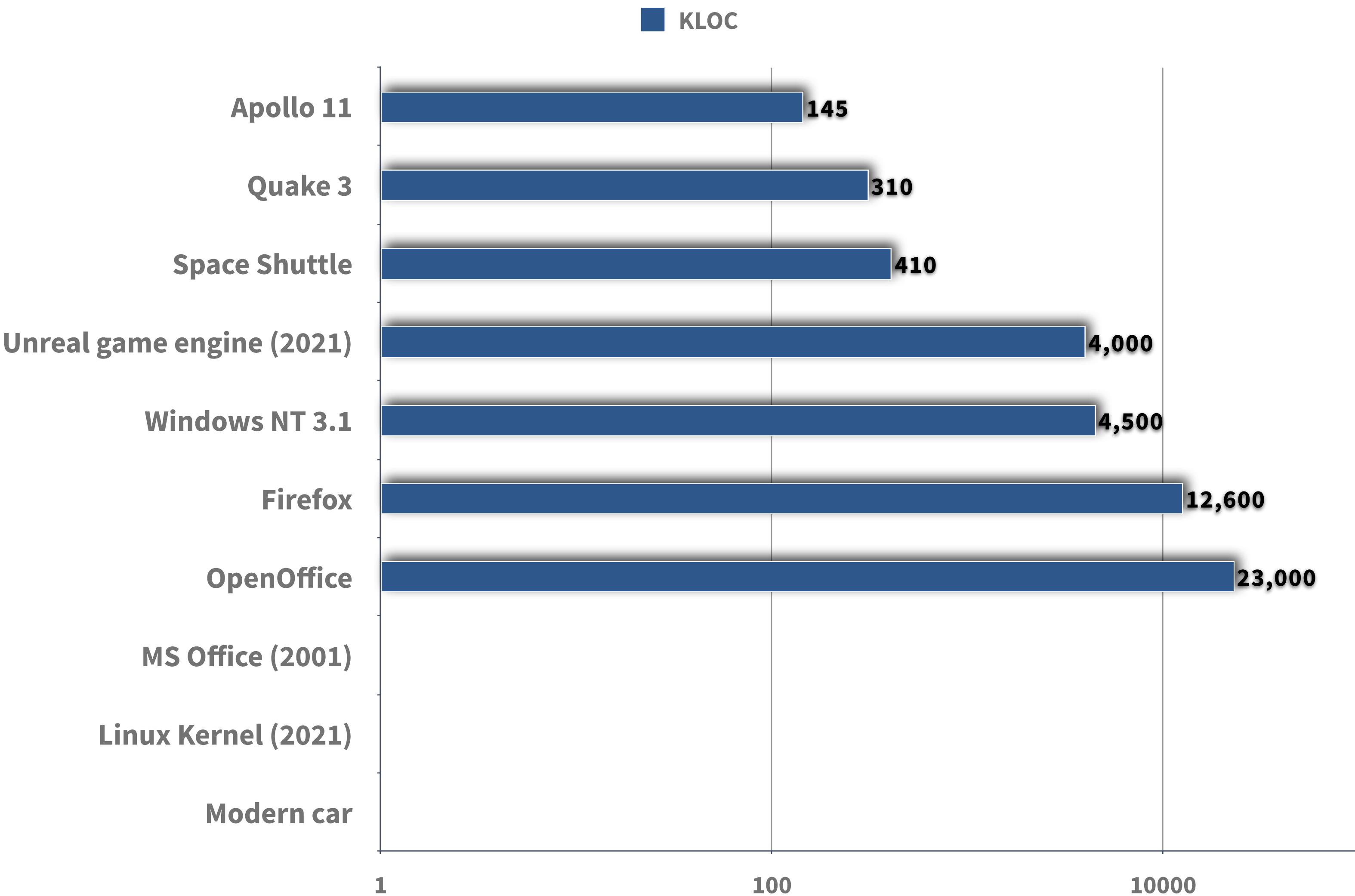


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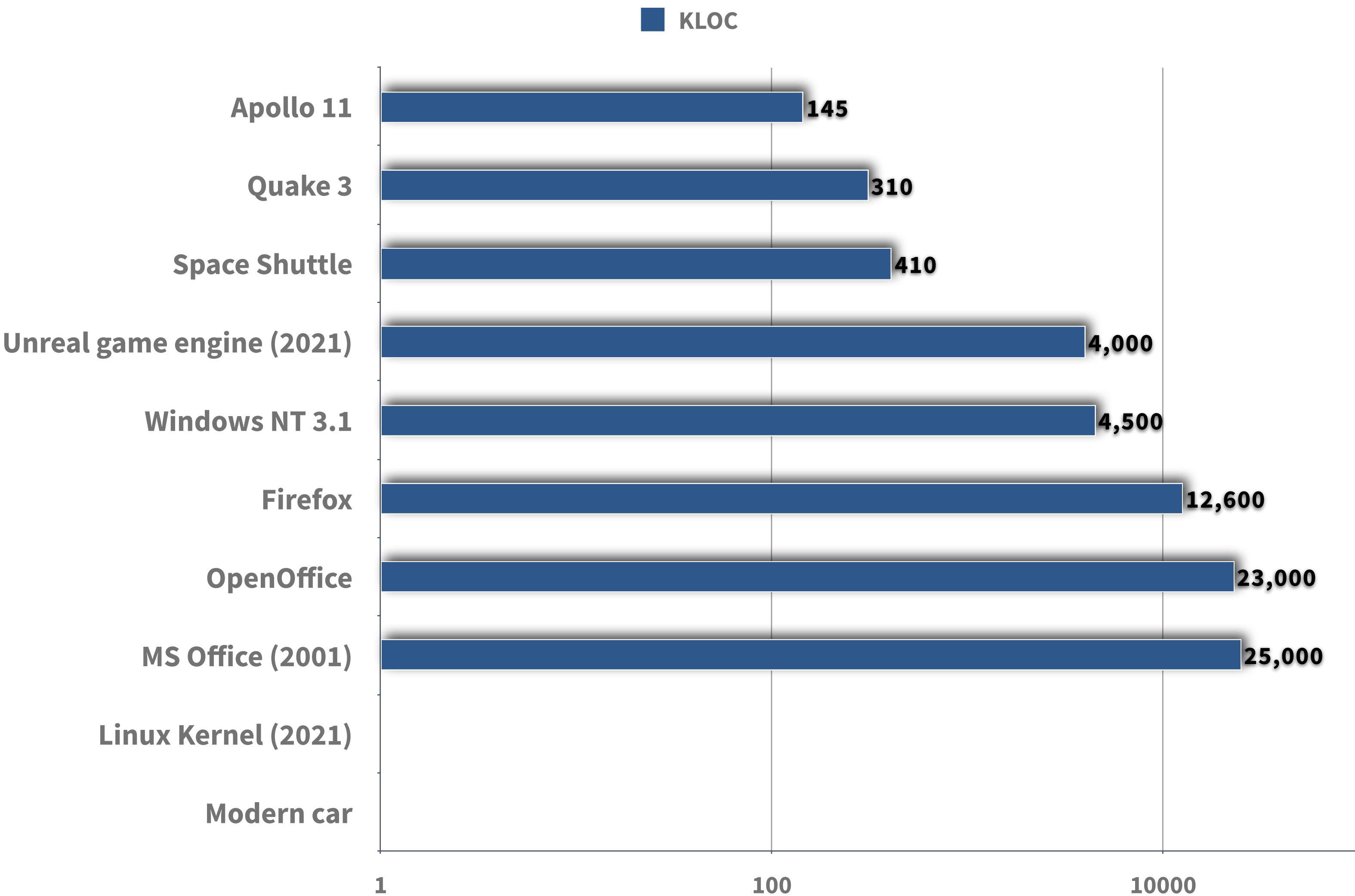


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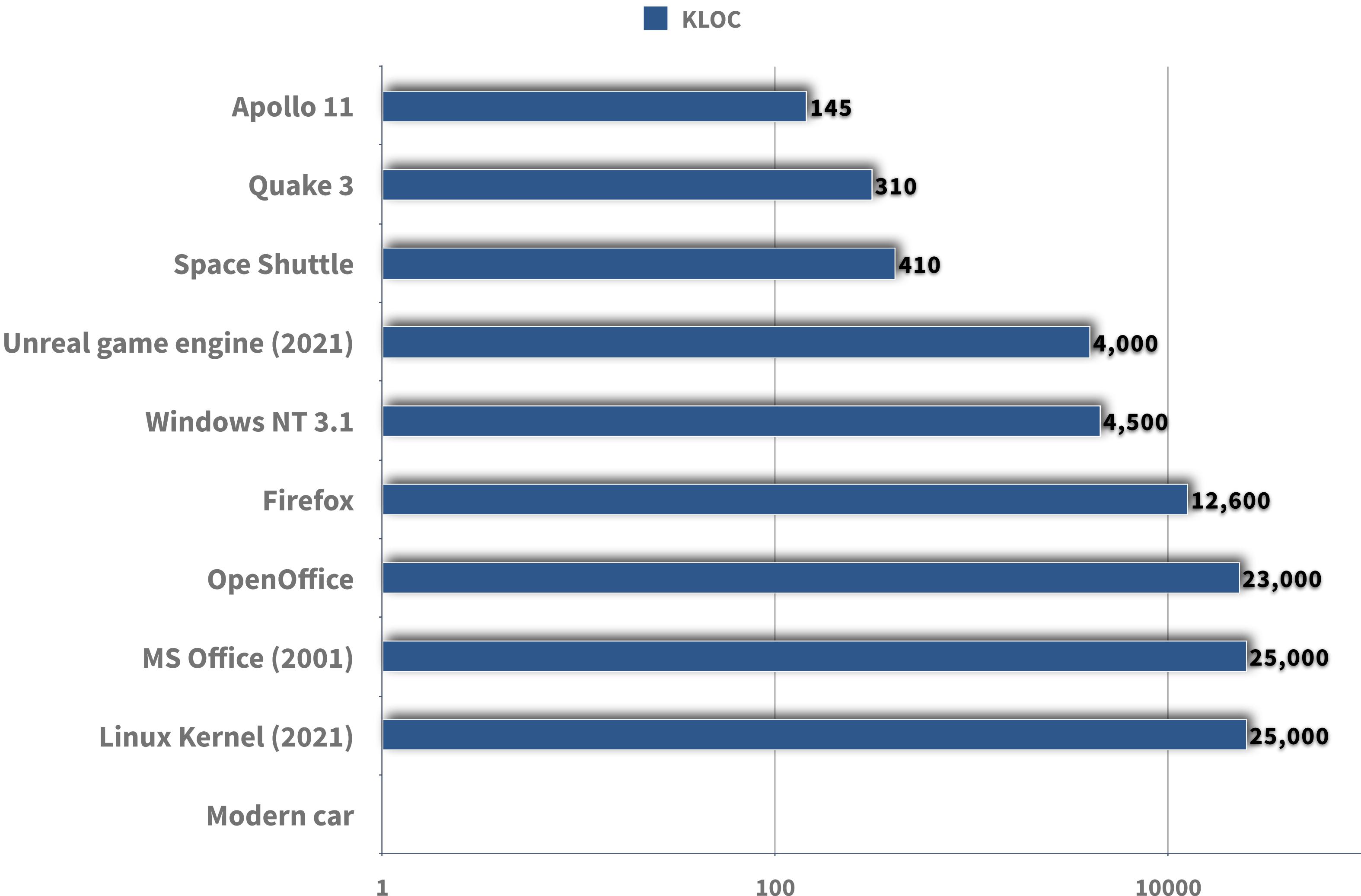


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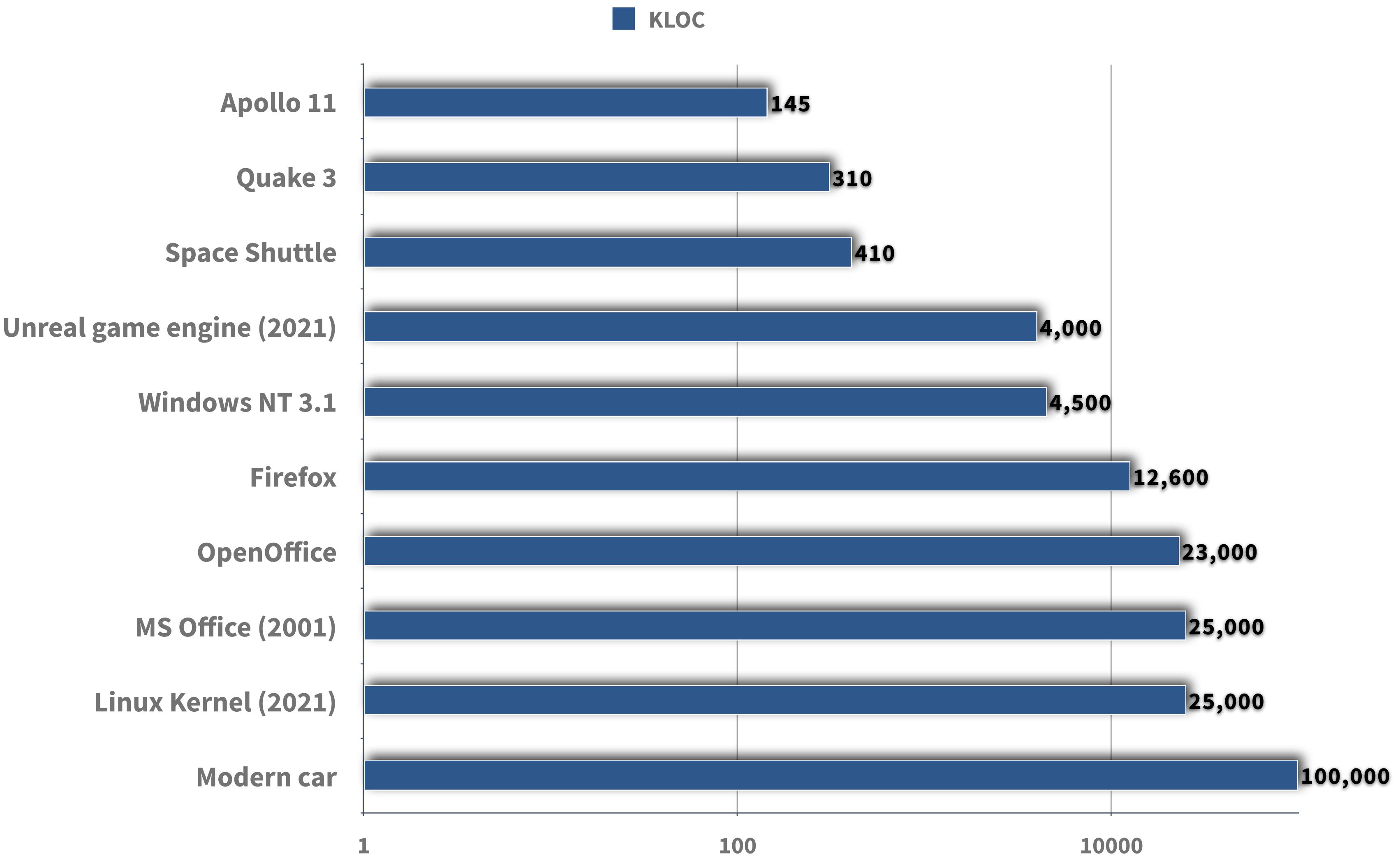


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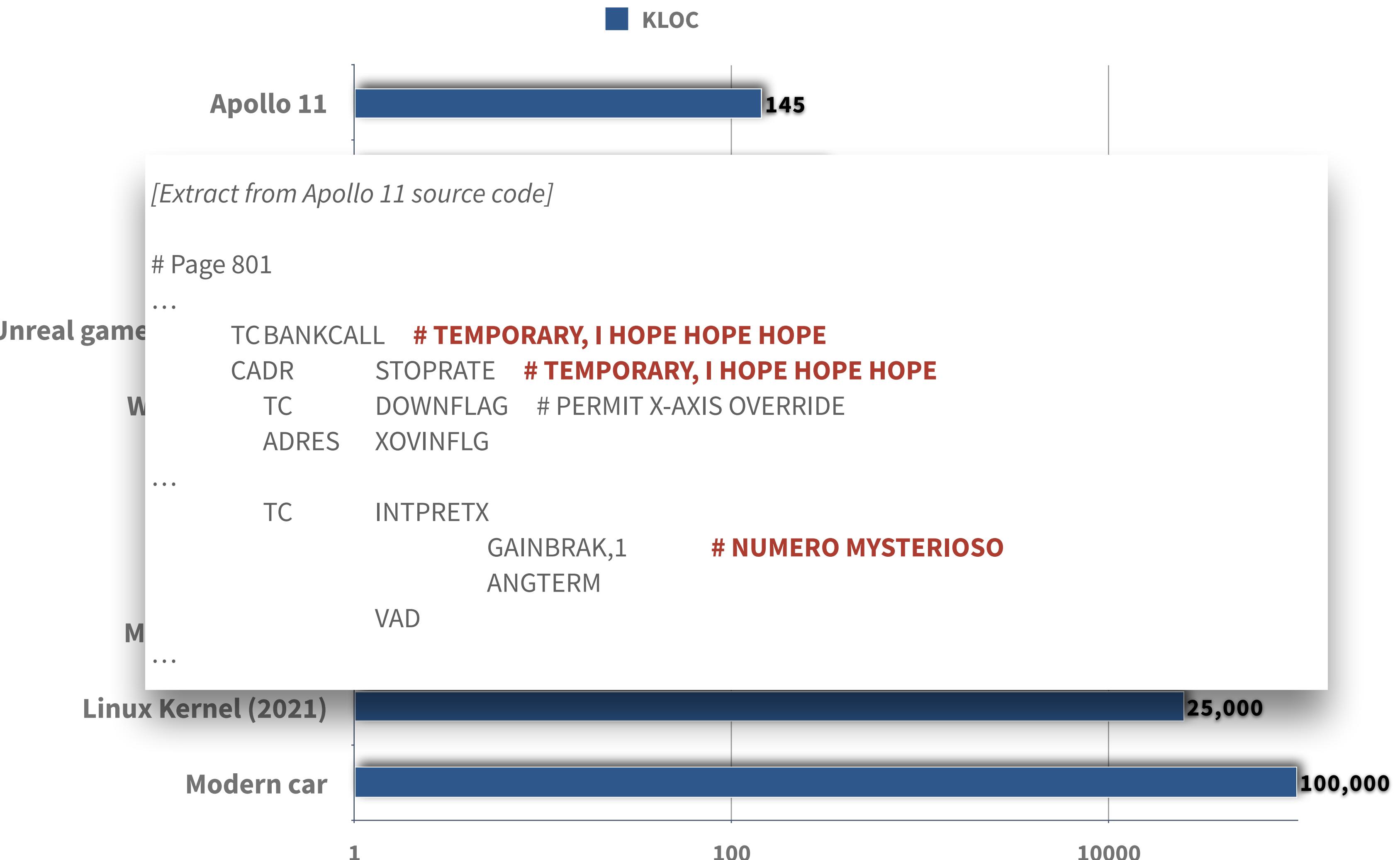


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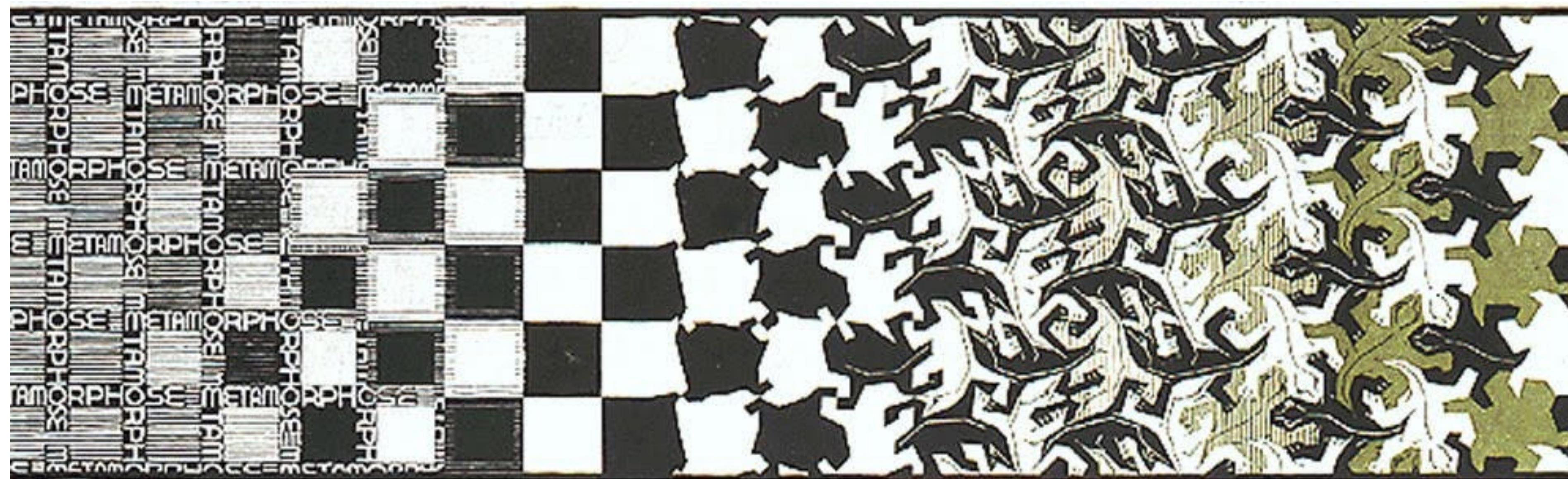
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# Continuous change

Code structure is continuously changing.

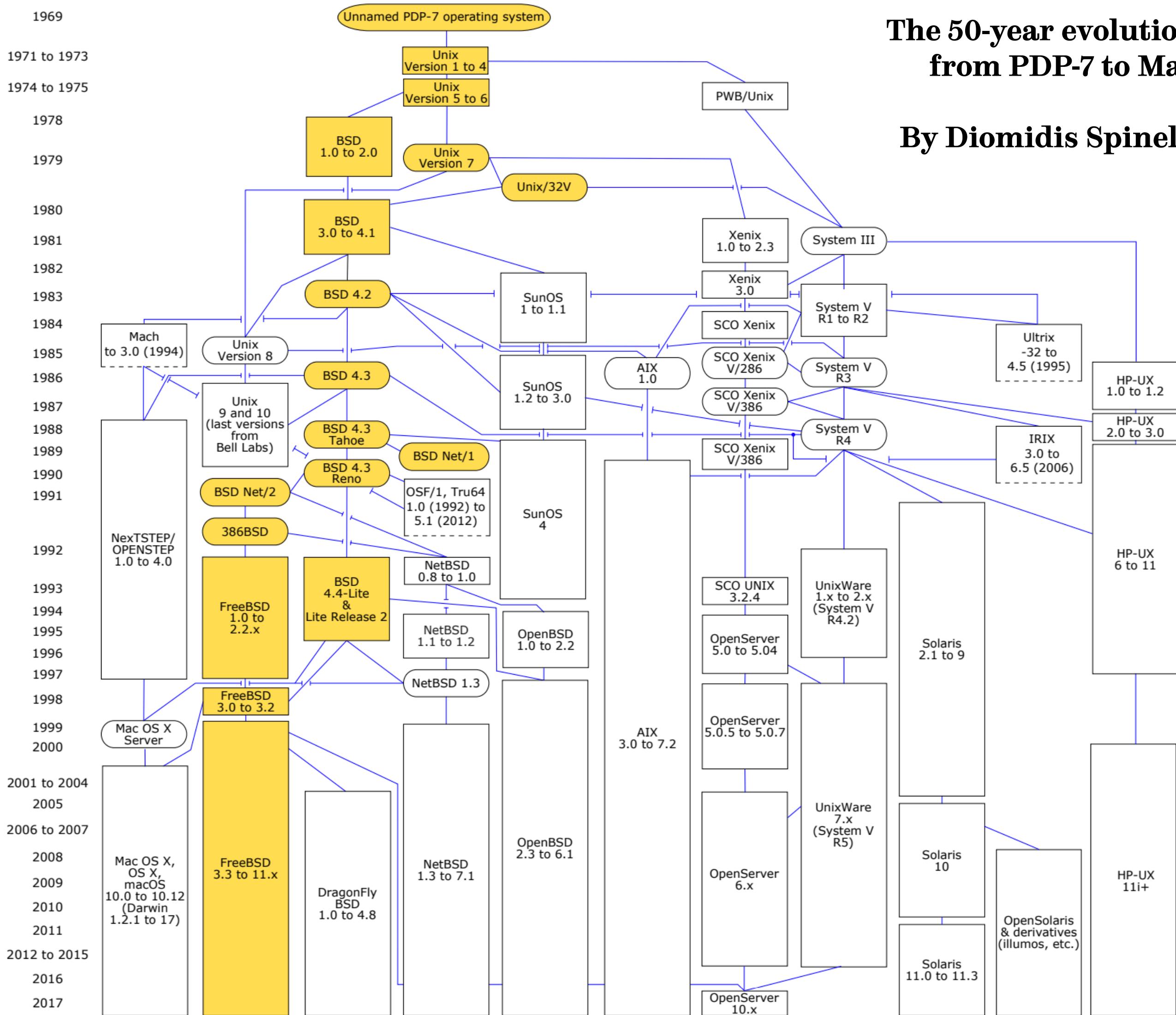
Impossible to maintain a fixed, reliable document of architecture or design.

Developer knowledge of the system rapidly becomes outdated.



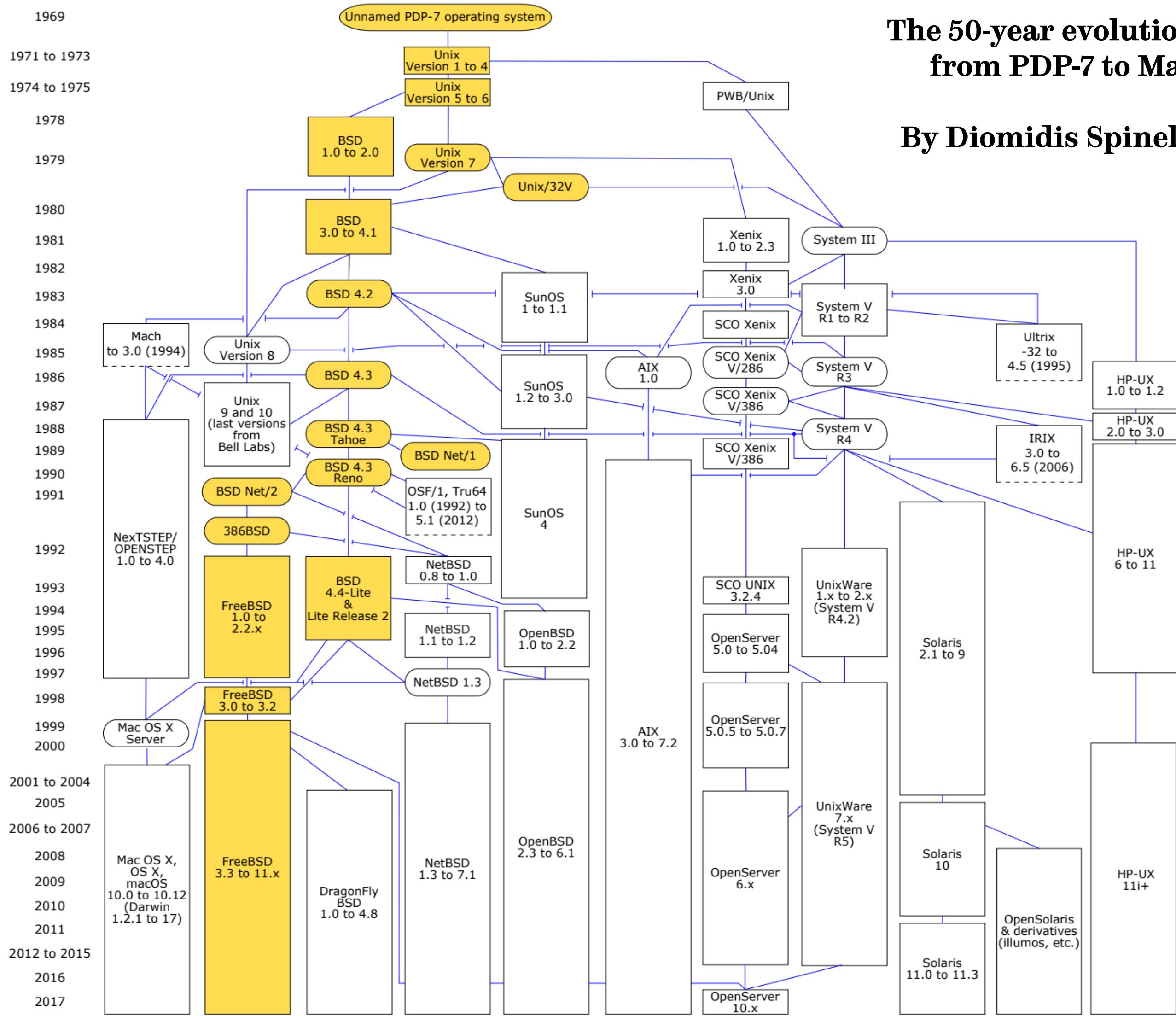
Metamorphosis,  
M.C. Escher

# An example: Unix



The 50-year evolution of Unix,  
from PDP-7 to Mac OS X  
By Diomidis Spinellis (2019)

# An example: Unix

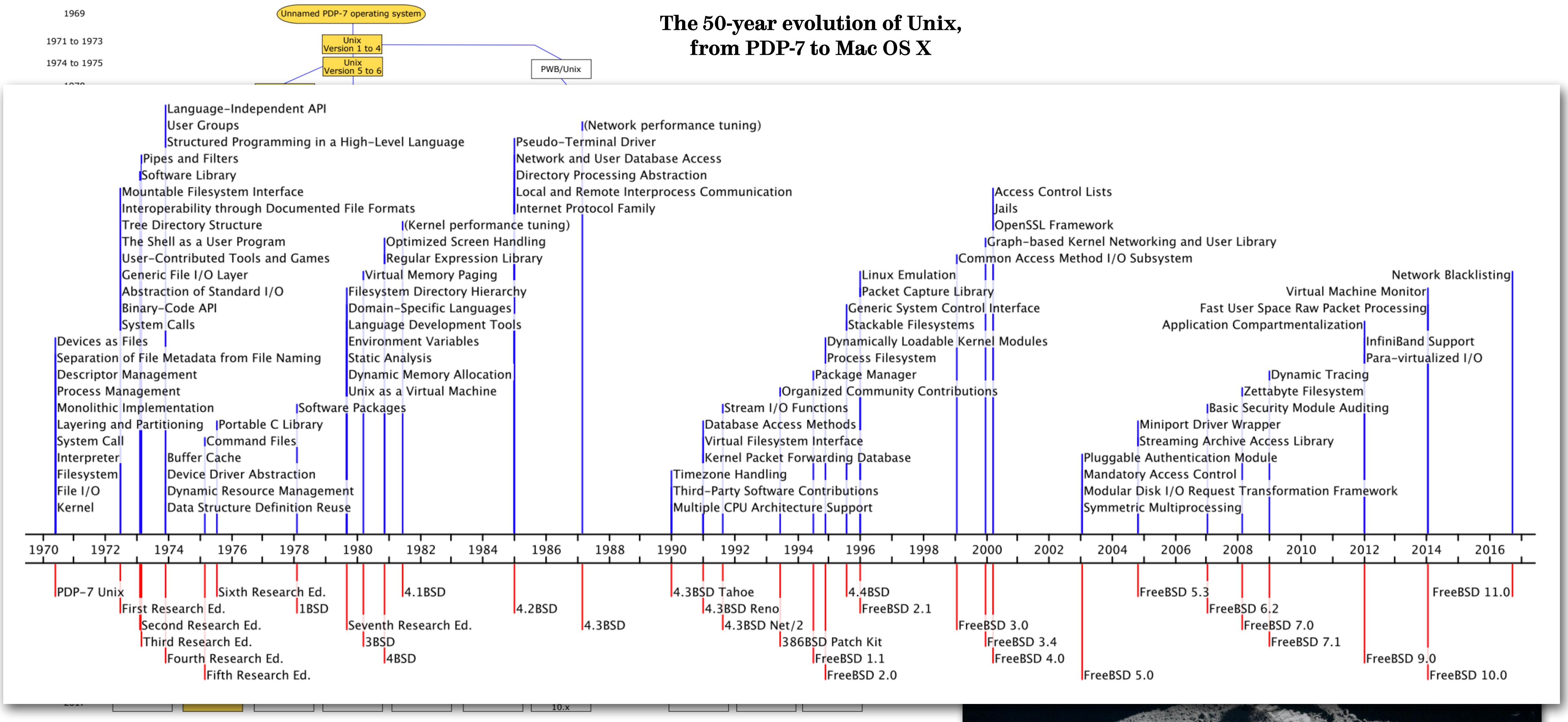


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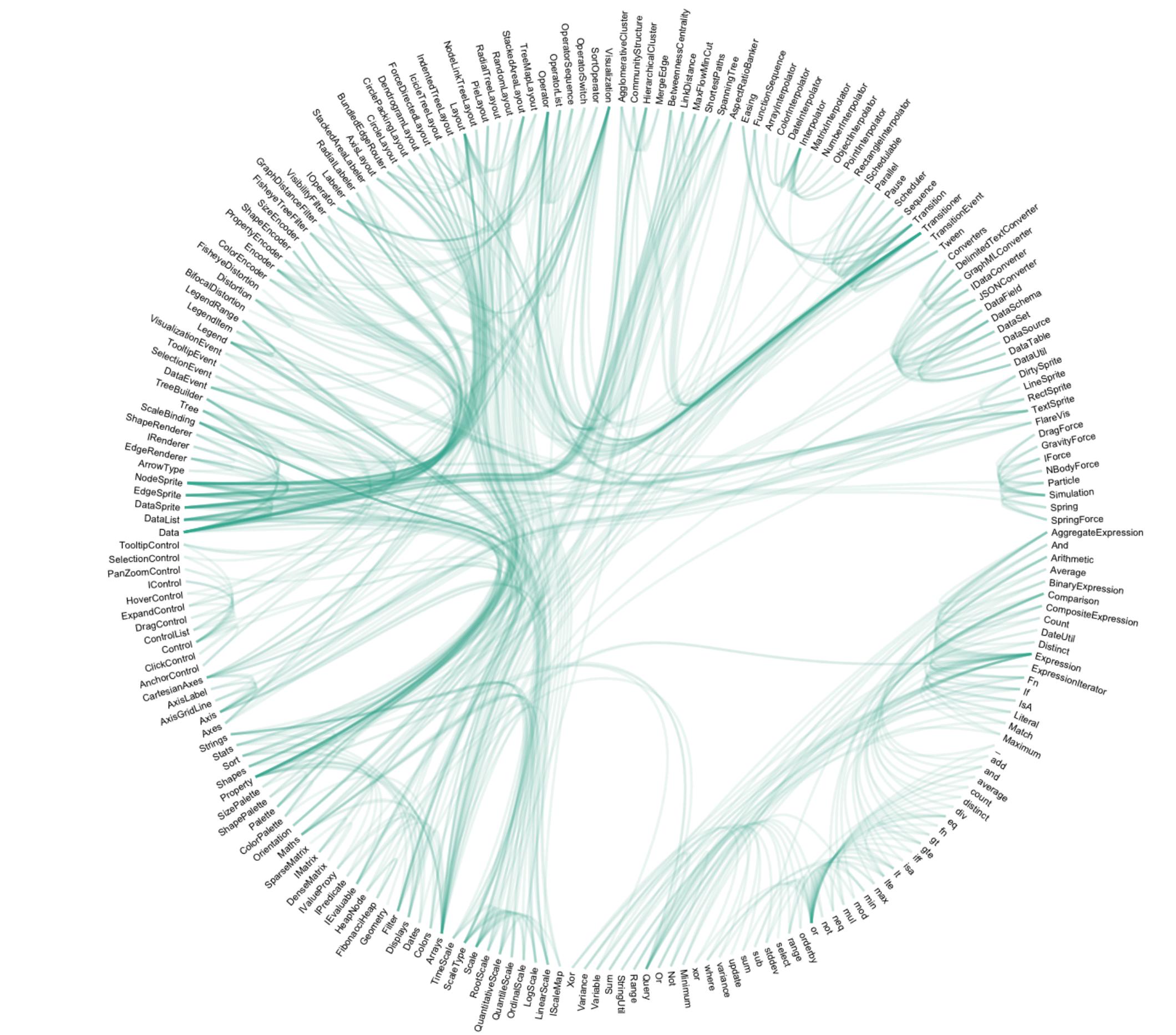
# Complexity

Functionality emerges from interactions.

An intricate network of dependencies and data-flows.

Difficult to anticipate from source code alone.

Behaviour is “invisible” - relies on the ability to execute code mentally.



Calls between files in the Flare Actionscript visualisation library

# Dynamism

Legacy code is:

Dynamic

Built on abstractions that may no longer be appropriate.

Have been gradually re-purposed over time.

Difficult to conceptualise.

Difficult to plan a re-engineering strategy...

... figure out where to start, ...

... what to change,

and how.



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# **Why not start from scratch?**

# It's extremely risky.

A lack of requirements and specification.

They are implicit, “baked in” to the source code of the legacy system.

Extremely difficult to extract.

Highly complex; they have evolved and grown, potentially over decades.

Difficult to anticipate cost and ensure reliability.

Difficult to ensure that the replacement is able to replace the old system.

High stakes for business critical systems.

Failure at “switchover” could lead to calamity.

TSB bank split from Lloyds Bank in 2013.

Had used legacy system to manage bank accounts

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TSB decided in 2018 to migrate accounts from Lloyds system to new platform to facilitate digital banking.

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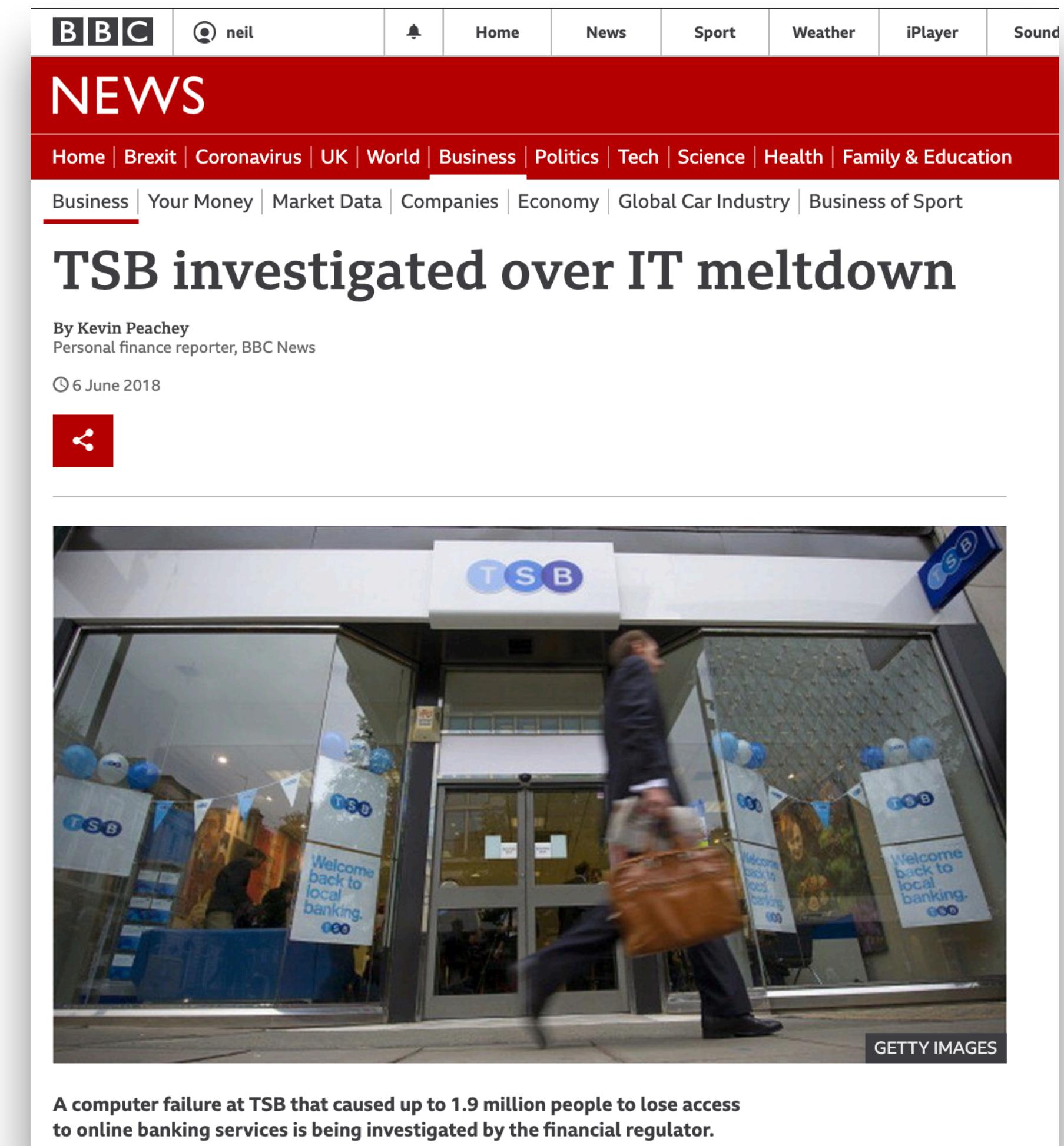
TSB decided in 2018 to migrate accounts from Lloyds system to new platform to facilitate digital banking.

Led to disruption to millions of TSB customers, including:

Loss of internet and banking services for >1 week.

Customers being able to view each others' banking details.

Money disappearing from accounts.



The screenshot shows a BBC News article titled "TSB investigated over IT meltdown". The article is by Kevin Peachey, a personal finance reporter for BBC News, and was published on 6 June 2018. The headline is bold and centered above the author's name. Below the headline, there is a small red square icon with a white arrow pointing left. The main content of the article is visible below the headline, though the text is not fully legible. At the bottom of the article, there is a photograph of a TSB bank branch. A man in a suit and tie is walking past the entrance, which is decorated with blue and white balloons and banners. One banner reads "Welcome back to local banking". The BBC logo is at the top left, and a navigation bar with links like Home, News, Sport, Weather, iPlayer, and Sound is at the very top.

A computer failure at TSB that caused up to 1.9 million people to lose access to online banking services is being investigated by the financial regulator.

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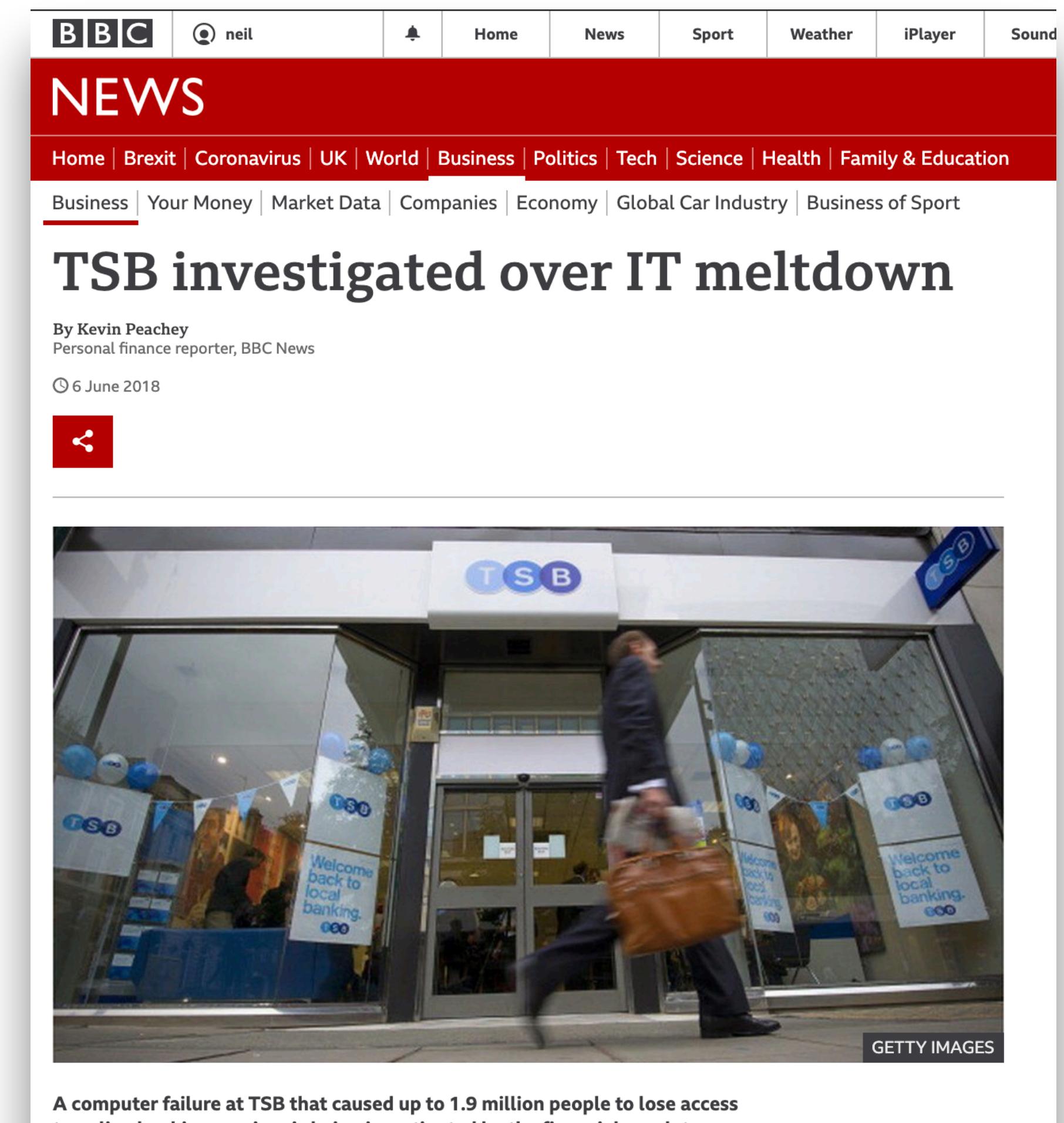
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Led to estimated £366m loss for TSB.



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**TSB investigated over IT meltdown**

By Kevin Peachey  
Personal finance reporter, BBC News

6 June 2018

A photograph showing the exterior of a TSB bank branch. The entrance has large glass doors with the TSB logo. A person in a dark suit and carrying a brown briefcase is walking past the entrance. The area is decorated with blue and white balloons and banners that say "Welcome back to local banking. TSB".

A computer failure at TSB that caused up to 1.9 million people to lose access to online banking services is being investigated by the financial regulator.

# Take-aways

Many external drivers for change.

Often happens by necessity, rapid bug fixes, added features.

Lack of oversight and consideration of all of the various **architectural viewpoints and perspectives**.

Lots of “horizontal” and “vertical” dependencies.

Changing one component leads to knock-on effects and can have unintended consequences.

Lots of intrinsic challenges

Scale, continual change, dynamism, ...

**Reengineering is an important skill-set to have!**