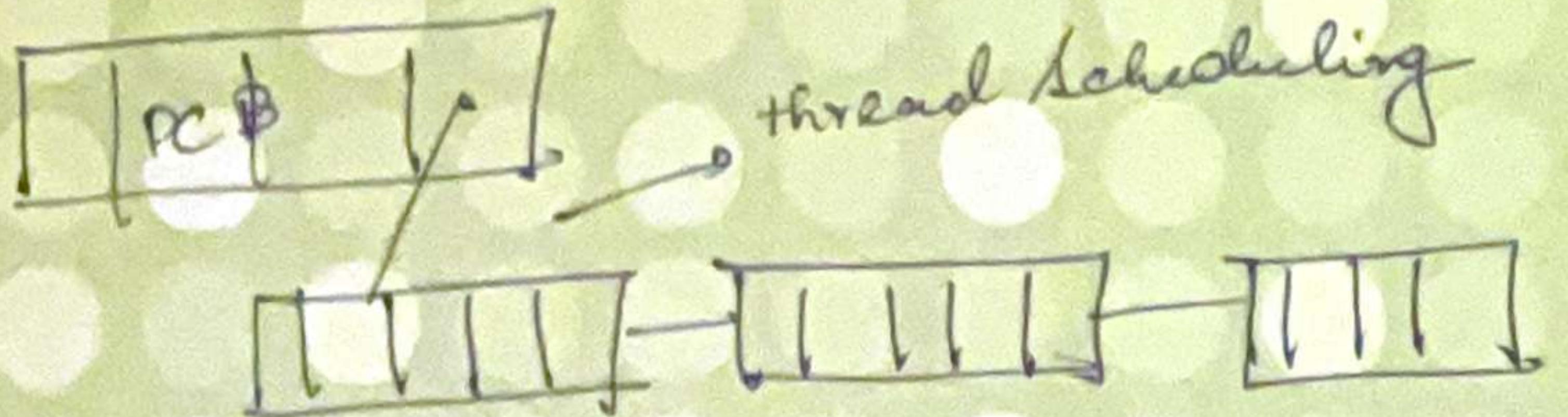


\* DFG & CFG → data flow graph & control flow graph



- \* threads share resource & valuable
- \* no protection in threads.

Caching is often used between storage systems.

Copying information into faster storage system.

Memory layout

[stack] → program stack Automatic var / temp data

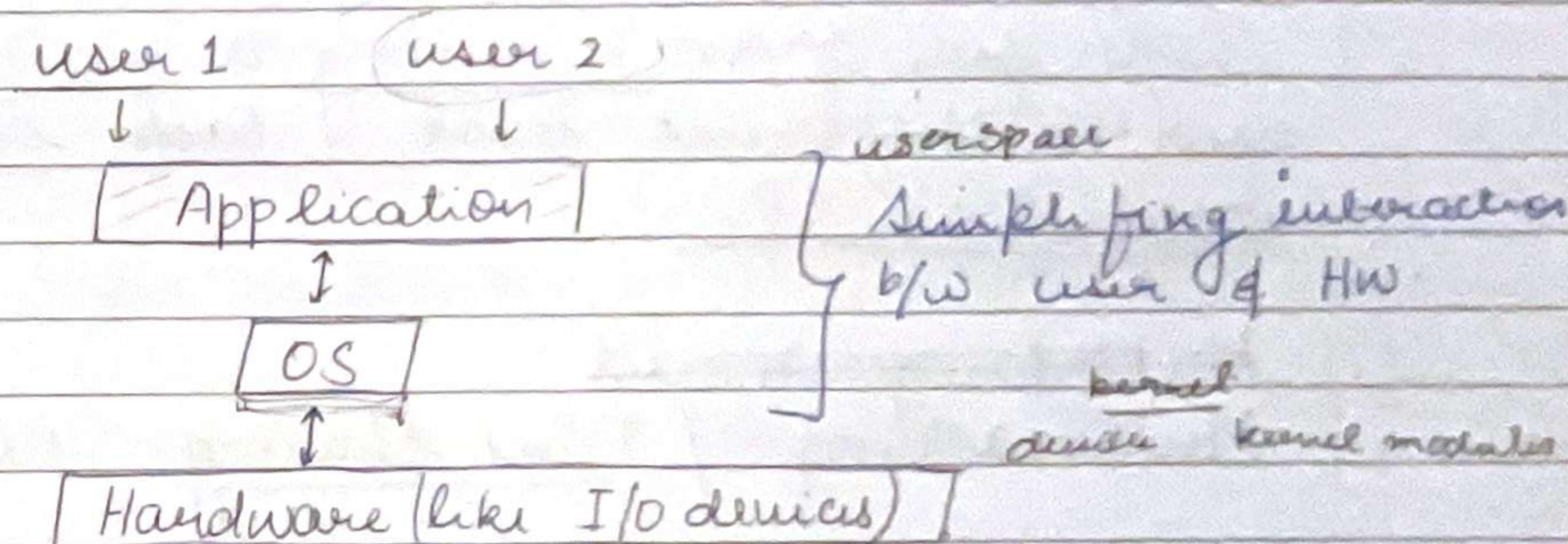


[heap] → memory dynamically allocated during execution  
global var

[data] → code / executable instructions  
shareable & Read only.

## System Software AKA OS:

- It is an interface between users and hardware



- Goal of OS is to bring higher convenience & throughput.

## Functionality

- 1) Resource management ✓
- 2) Process management ✓ → CPU Scheduling
- 3) Storage management ✓
- 4) Memory management ✓
- 5) Security and privacy ✓

## → Types of operating systems

### 1) Batch

- \* tasks are divided based on similarity.
- \* CPU can be idle sometimes.

eg: Fortran or IBS VS 709X of 1960's.

2) Multiprogrammed

\* non-preemptive

Task goes from running to waiting and a different task ~~takes~~ takes over the CPU.

3) Multiprogrammed

Multitasking / Time sharing RR.

\* preemptive.

task is executed based on time.

Certain amount of time is devoted for each task

\* goal is to be more responsive.

4) Real-time OS.

\* response needs to be immediate.

hard: missile systems ✓

soft: local comp ✓

✓ 5) Distributed

The machines are spread over a large geographical area

✓ 6) clustered

separate machines connected together acting as a single machine

eg: Super Computer

✓ 7) Embedded Ubuntu Core

used for a fixed functionality

- OS acts as a
  - Resource allocator
  - control program

First OS

ATLAS → Manchester University evolved from control program

## More detail on types of OS

### \* Multiprogramming.

It increases CPU utilisation by keeping jobs in memory so that CPU always has 1 to execute.

### \* Multitasking

It reduces response time.

### Important definitions

#### Kernel

Part of OS, which interacts directly with hardware to perform most crucial tasks.

#### \* Microkernel

Smaller part meant for 'core' OS functionality.

#### Shell

Command interpreter

receives commands from user & gets them executed.

### System Call mech

mechanism using which user prg can request a service from Kernel for which it does not have access to.

eg: User program

wants access to

I/O devices

\* System call provide interface between program and operating system.

eg: fork, exec, wait, exit

dual-mode operation

- user → 1 mode bit
- kernel → 0 mode bit

### User Programs

user process executing → calls system calls return from

Kernel

trap  
mode bit=1

system  
call  
mode bit=0

execute system calls

~~kernel~~ → device drivers.

## \* Real time OS.

It has well defined & fixed time constraints which have to be met or the system will fail.

- used as a control device in a dedicated application.

## e.g. Embedded systems.

Booting → kernel

Process of starting the computer & loading the kernel.

Computer ON

↓  
Power on self test are performed.

Bootstrap loader resides in the ROM  
↳ is executed.

↓  
loader loads Kernel &  
sophisticated loader

\* Device drivers make up the major part of all operating systems kernels.

They operate in highly privileged environment.

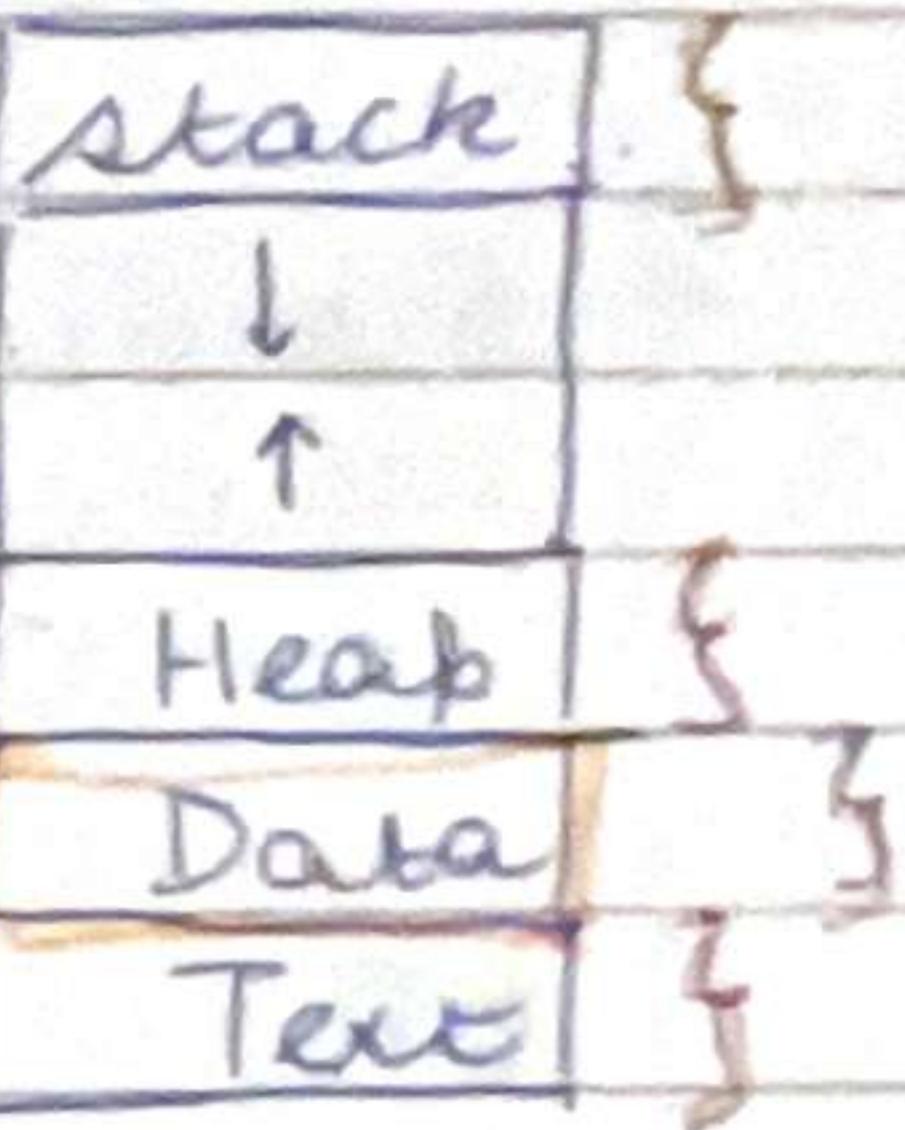
## Process States & Life Cycle

(Passive)

\* Process / A program in execution Job.

Program is a passive entity while process is an active entity.

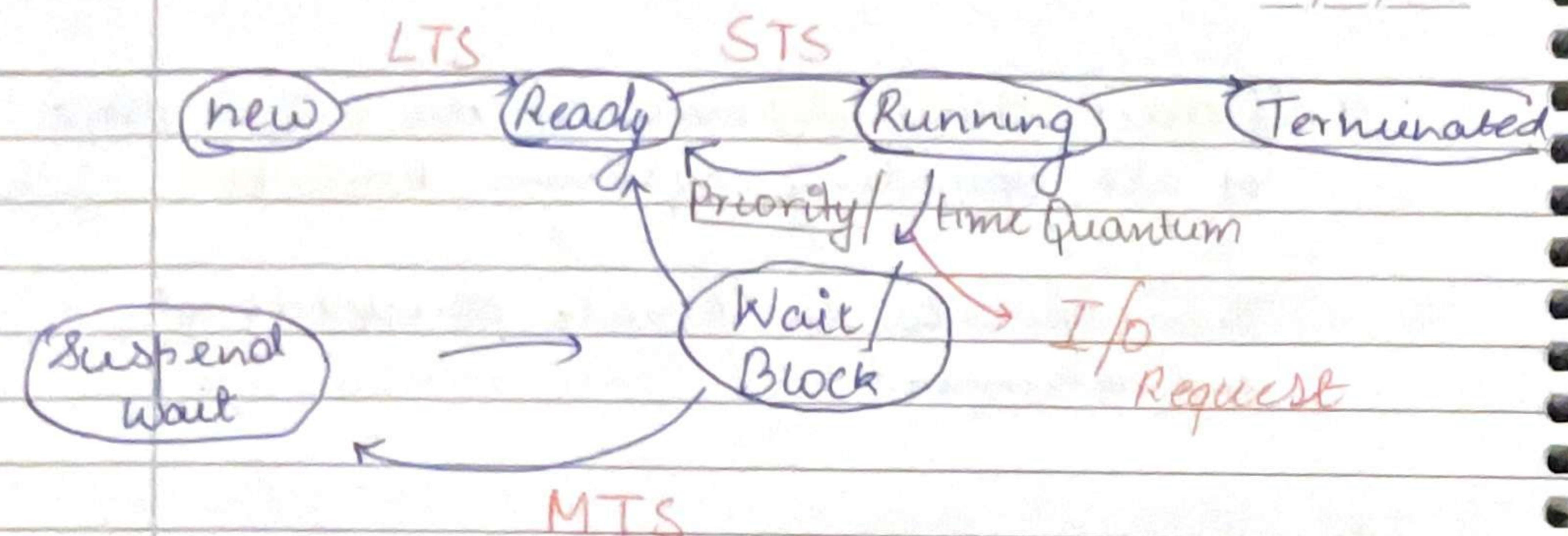
PCB



\* Process states: current activity of the process.

New

Running



- new: Create a program process (Secondary memory)
- Ready: → Ready Queue (RAM)
- long term scheduler (LTS)
  - Multiprogramming - non-preemptive
- Running state (also in RAM)  
Process dispatched from Ready queue to CPU

EP

Number of CPU will determine how many processes are running at the same time.

- Terminate

Deallocate the memory

- Short term scheduler
  - It schedules task b/w Ready & Running state, depending on Priority or time
  - It will also decide if tasks are preemptive or non-preemptive
- ~~doubt~~

Preemptive <ul style="list-style-type: none"> <li>- priority/time tasks keep moving from CPU to Ready Queue &amp; vice versa.</li> </ul>	Non-preemptive <ul style="list-style-type: none"> <li>Single task based on priority</li> </ul>
--	--
- Wait state  
(Also in RAM) (also a Queue)  
for I/O Request, after Request is over process is moved from wait to ready Queue.

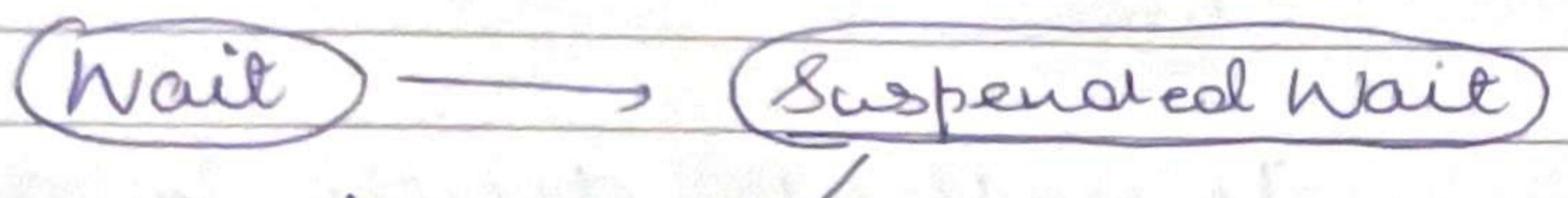
- Suspend Wait (secondary Memory)

Process removed from wait Queue to 'suspended wait state' (in Secondary memory); if wait Queue is full.

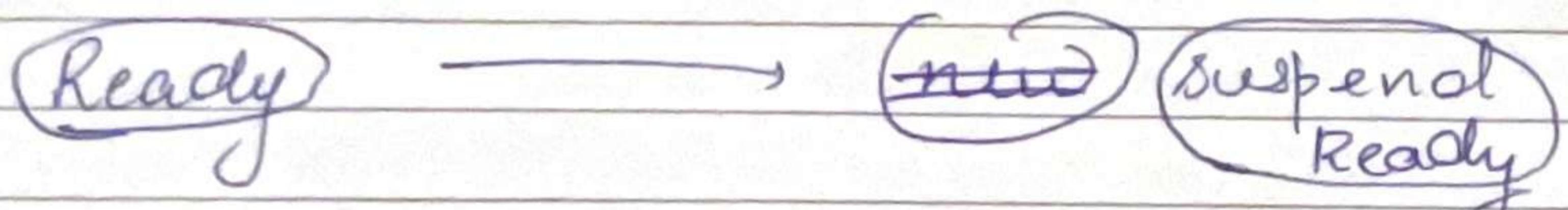
Moved back into wait state later.

## - Medium Term Scheduler.

Move from & back



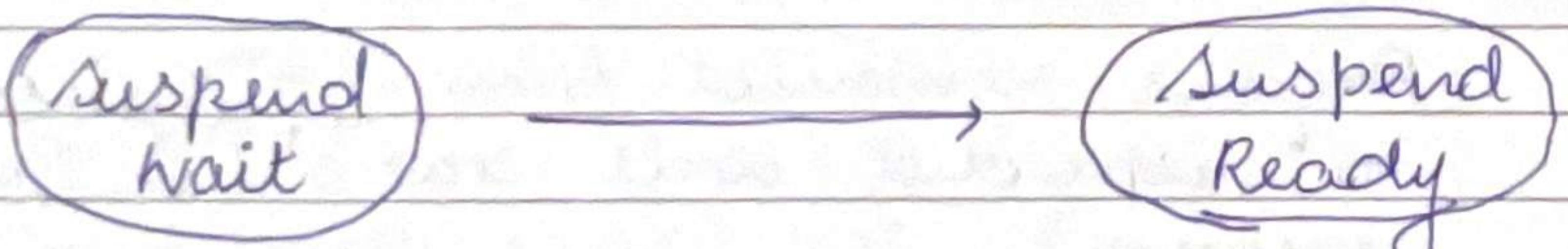
sometimes moves process from



if Ready Queue is full & higher priority task comes in.

## - Backing store (Main to Secondary Memory)

If wait & Ready Queue full  
& want to make process back  
then,



## \* Process Control Block

### Process Representations

#### PCB

- ↳ Process :
- ↳ Value of program registers.
- ↳ CPU sched.
- ↳ I/O status
- ↳ accounting

PCB also has

- CPU scheduling info
- Memory management.
- I/O status info
- Accounting info

## Scheduling

Selecting 1 process for execution out of all the ready processes.

## Scheduling Queues

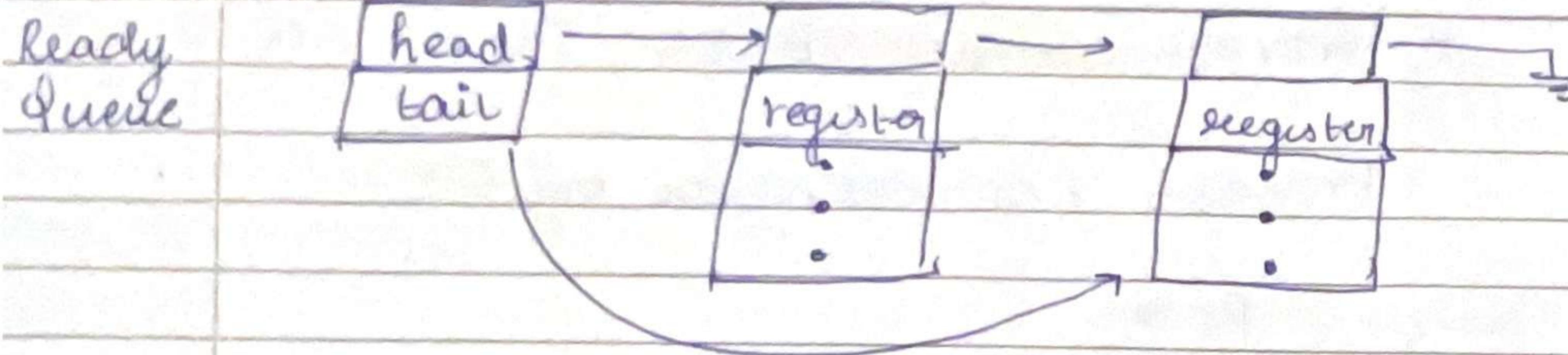
### NEW STATE

Job Queue : All processes stored when created.

Ready Queue : (last page)

implemented as a linked list of PCB

Process state ✓
Process Number ✓
Program Counter ✓
Registers ✓
Memory limit ✓
List of open files ✓



### Long term Scheduler

- Job Queue to ready queue (RAM)
- not used frequently
- should maintain balance of { compute process & I/O process }
- controls degree of multiprogramming

### Short term Scheduler

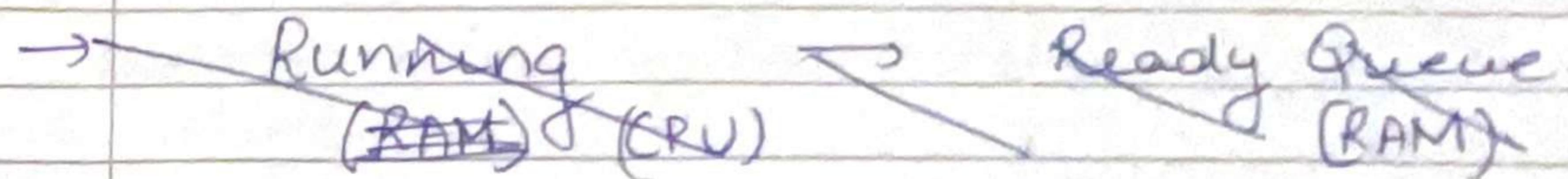
- Ready Queue to Running state (RAM) (CPU)

\* Linux, Windows

They are multitasking OS. They do not have LTS

swapping  
context switching

### Medium term Scheduler



- \* Removes process from memory & from the competition for the CPU, hence reducing the degree of multiprogramming.
- \* This process can be later reintroduced into memory

This is called swapping

SWAP → Process main memory to disk (secondary memory)

### Context Switching

- Switching between processes
- states are saved & relocated into PCB
- time is not well spent during context switching
- Context switching is faster for RISC processor with overlapped register window

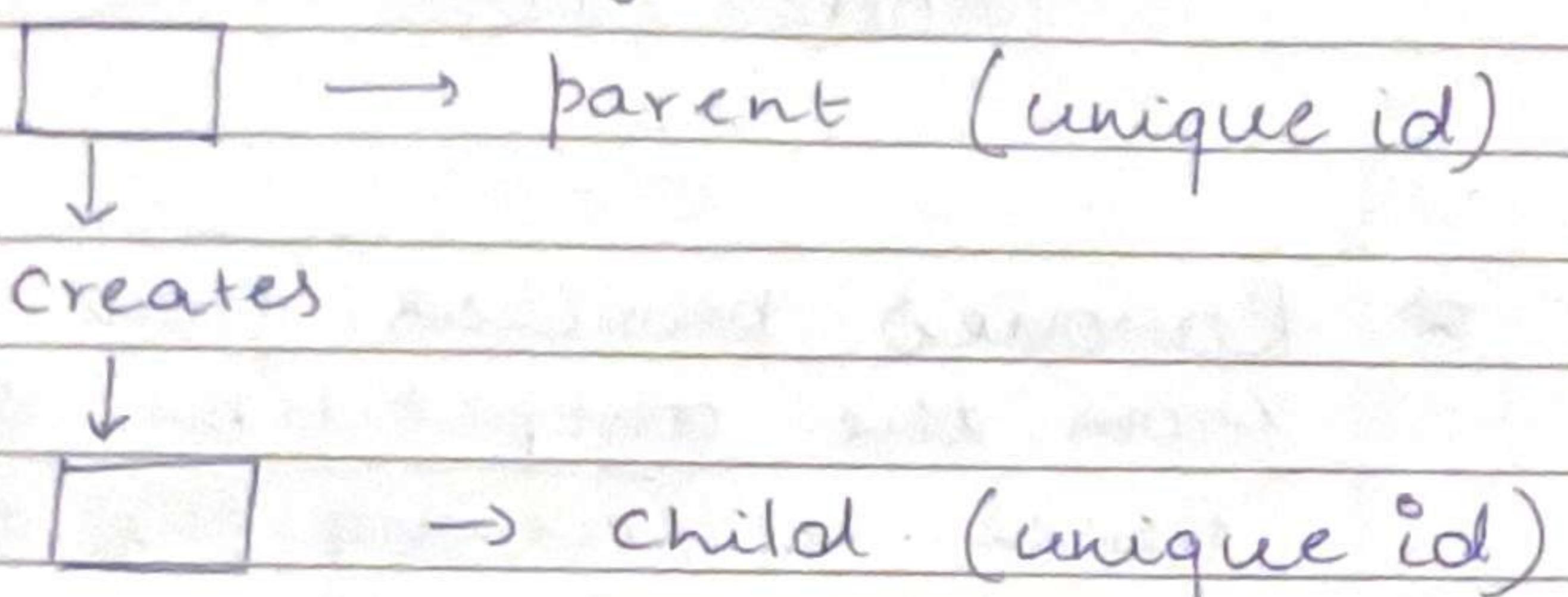
Reduced Instruction Set Computer

`fork()` - system calls creates new process

Sister code → O → for child  
→ I → for parent

## Process Creation

- \* 1 process creating another process.



- ## \* Parent process

1

Can execute simultaneously with child.

Can wait for child

A hand-drawn graph on a grid background. A wavy line starts at a point marked with a purple star and ends at a point labeled 'Ch'. The word 'Question' is written above the curve.

- \* child can be → Duplicate

# Process Termination

- ## \* Deletion of The PCB

- \* if parent terminates before a child, child process is an orphan.

u {sr. 42  
g {w. 2 } → octal rep  
x. 1 } for permission

- \* OS does not allow an orphan process

*Collected by connected to*

- \* Zombie processes.

PCB

Child - Process terminates but its PCB  
still exists because parent has not  
accepted its return value.

# Important linux commands

- \* command used to assign only read permission to all 3 categories for file note

chmod → Change mode

Chinast

user group other

3 because we have 3 types of permission

Ans: chmod ugo + = ri note

Q Octal sum of ugo + raw note is

ug0 6 66 note

$$q=4 \quad j=6 \quad \text{for } u, g, o$$

9. System Calls mech 40

- \* It is a software interrupt used by the user to get kernel's permission to perform certain tasks.

Eule

open(), Read(), write(), close(), Create file

## Deuces

Read, write, reposition, **wctlg**, fcntl.

## Information

get pid, attributes, get system time & data

process

Load, execute, fork, abort, wait, signal, allocate

ium

→ ~~pipe()~~, ~~create/delete connections~~, ~~shmget()~~

# Fork System Call

- \* To create a child process

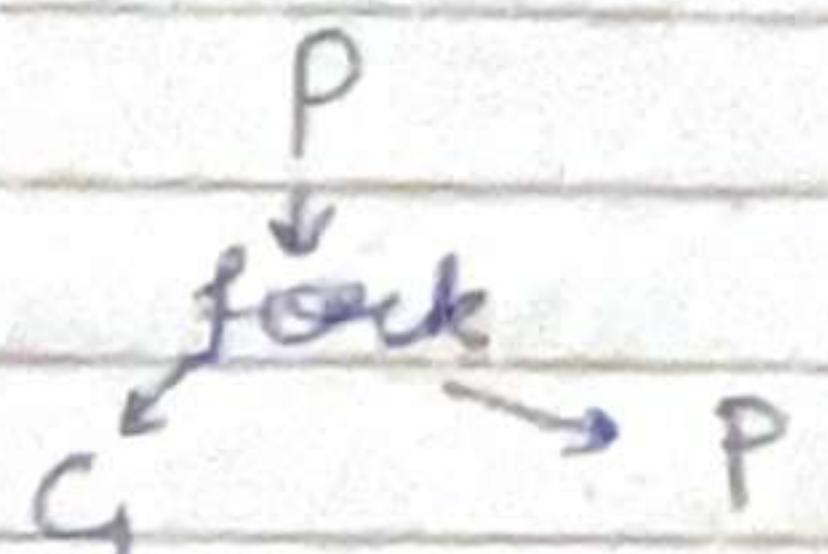
-Clone of parent, but id is new.

Fork → 0 child

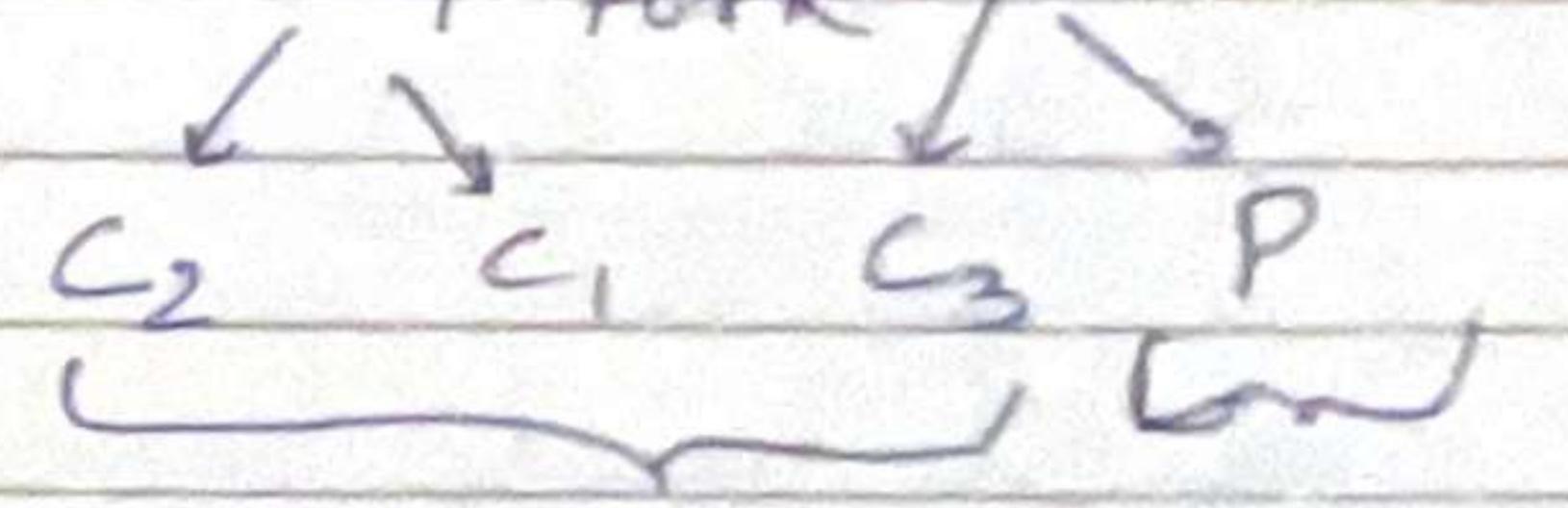
+ 1 +ve parent.

-1 → child was not  
reated

```
main() {  
    fork();  
    printf("hello");  
}
```



```
main() {  
    fork(); fork();  
    perror("Hello");  
}
```



- \* no of child process created

$$2^n - 1$$

n: no of tines fork is called.

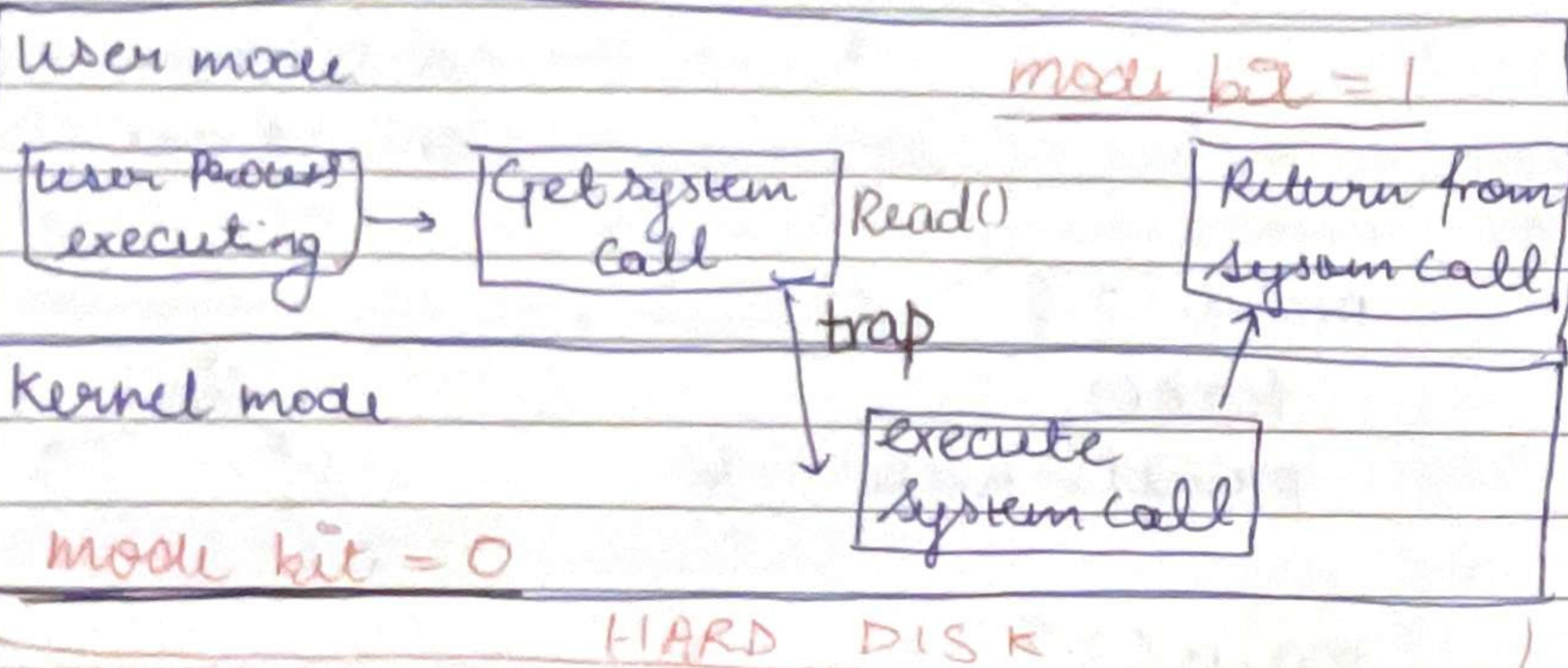
\* total process =  $2^n$  ✓

Q. if (fork() >> fork()) → Names  
fork()  
printf("Hello world");

System call is a software interrupt, but suppose Read() is used, it triggers trap which is an interrupt.

CHECK

## User mode Vs Kernel mode.



Cooperative threading  
models.

## Process vs Threads

System call

- 1) System calls in process      1) NO system call.
- 2) OS treats process differently      2) All user level threads treated as single task for OS.
- 3) Different process ~~topics~~ have different copies of data, files, codes      3) Threads share same copy of code & data & files
- \* 4) Context switching is faster.      4) Context switching is slower.
- \* 5) Blocking process will not block others      5) Blocking a thread will block entire process.
- 6) Independent      6) Interdependent.

User level thread

1) Managed by user level library.

2) faster

3) Context switching is faster.

4) If 1 thread is blocked 4) 1 kernel level thread entire process is blocked has no effect on others

Thread share

Confirm both of these before the exam

Code & data

If kernel is single threaded, system call from any thread can block the entire task

Process does not share resources well  
→ high context switching overhead

## Threads

- \* Smallest sequence of instruction that can be managed independently by a scheduler.

Separate concurrency from protection.

Parallelism: System can perform more than 1 task simultaneously

Concurrency: Supports more than 1 task making progress.

→ single core/processor.

## Type of parallelism

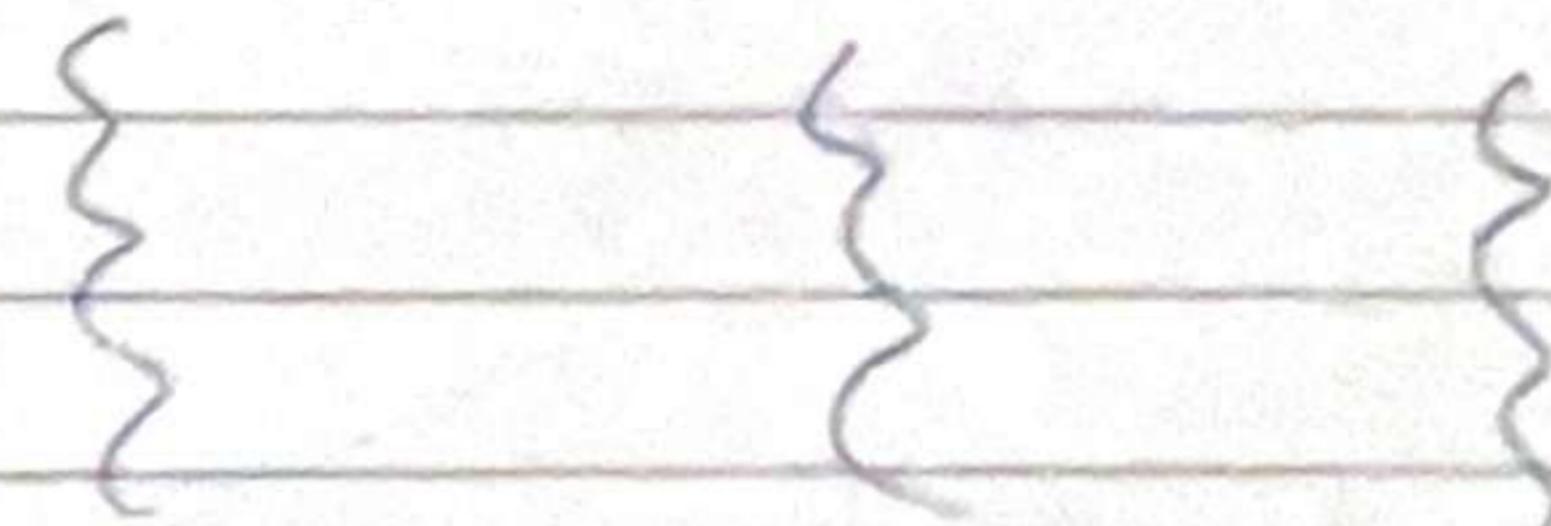
Data: same data distributed on multiple cores  
Same operation on each.

Task para: threads are distributed each doing unique operations.

Multicore can have concurrency & parallelism

Threads share

code	data	files
reg	reg	reg
Stack	Stack	Stack



Imp

→ Multithread task

1 thread blocked & waiting, a second thread can exec.

memory, file system

Thread share → memory, filesystem, network connection

TCB

CPU register, execution stack

Program Counter

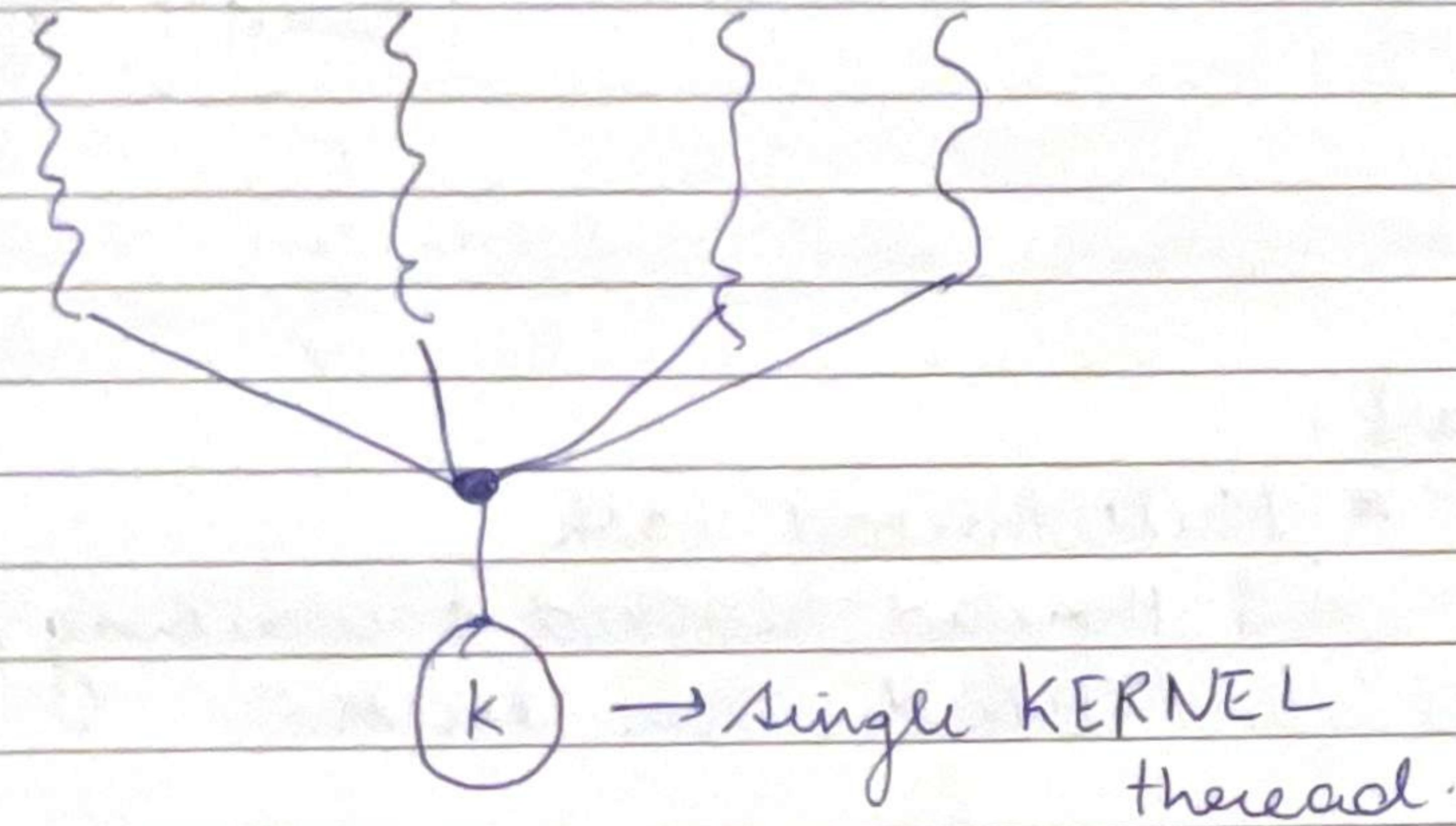
States

Threads share CPU  
like waiting in process

Ready | Blocked | Running | Terminated

## Multithreading

### 1) Many to one.



\* many user level thread

↓ ↗  
single kernel

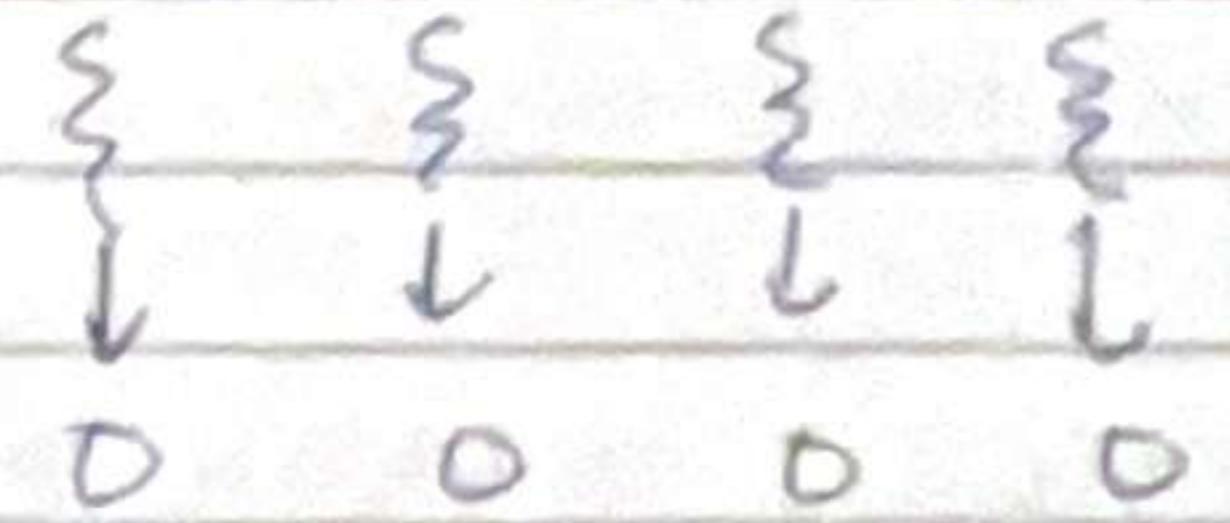
\* 1 thread blocked, entire task blocked

\* Can not be parallel, since only 1 kernel thread.

eg: Solaris

In linux its called task  
fork() - clone

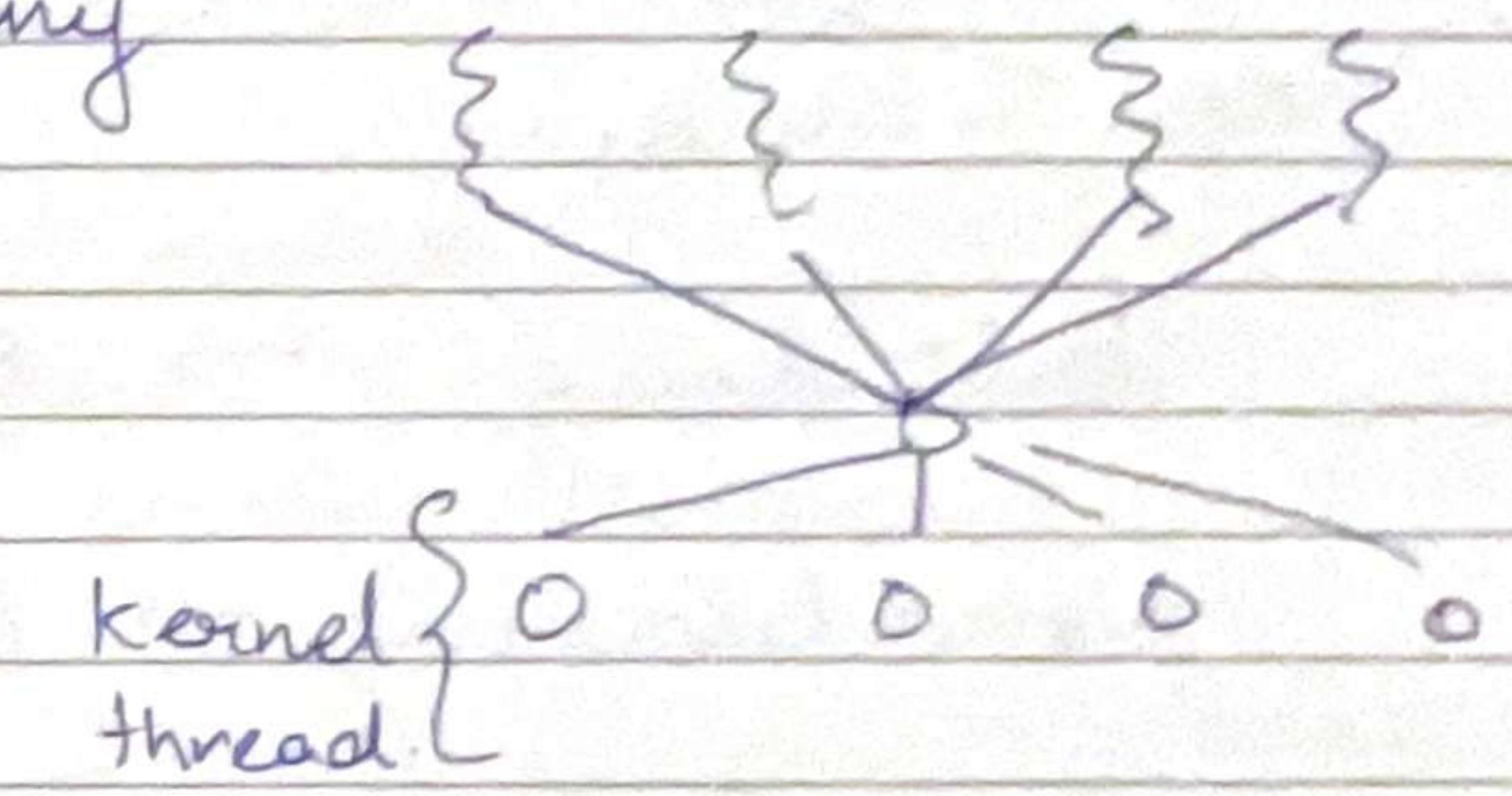
### 2) One to one



\* More concurrency.

\* creating user level create kernel  
eg: Linux

### 3) Many to many



eg:

Thread libraries provide programmer  
with API to implement threads.

POSIX standard (IEEE 1003.1c) API  
for thread creation and synchronisation

## Interprocess communication

### \* 2 - Modes of IPC

IPC

shared memory  
message passing.

Direct & in-direct

mention process

Send to mailbox

## Synchronisation

→ Message passing may be either blocking or non-blocking

Blocking → Blocking  
Non-Blocking

Blocking → Synchronous

Non-Blocking → Asynchronous

## Chapter - 3 Process Synchronisation

Process  
Cooperative process      Independent

\* Share - Variable, memory Resources, code

\* They can effect each other

\* They don't effect each other.

### \* Race Condition

Situation where several processes access and manipulate the same data concurrently and outcome depends on the order of execution.

→ can be solved by synchronisation

### Producer and consumer

#### \* A race condition problem

Produce & consumer are presented while executing their critical section.

Insp C++/C-<sup>atomic</sup> are 3 instructions in assembly

Producer I<sub>1</sub>, I<sub>2</sub>, Consumer I<sub>1</sub>, I<sub>2</sub>, Producer I<sub>3</sub>, Consumer I<sub>3</sub>.

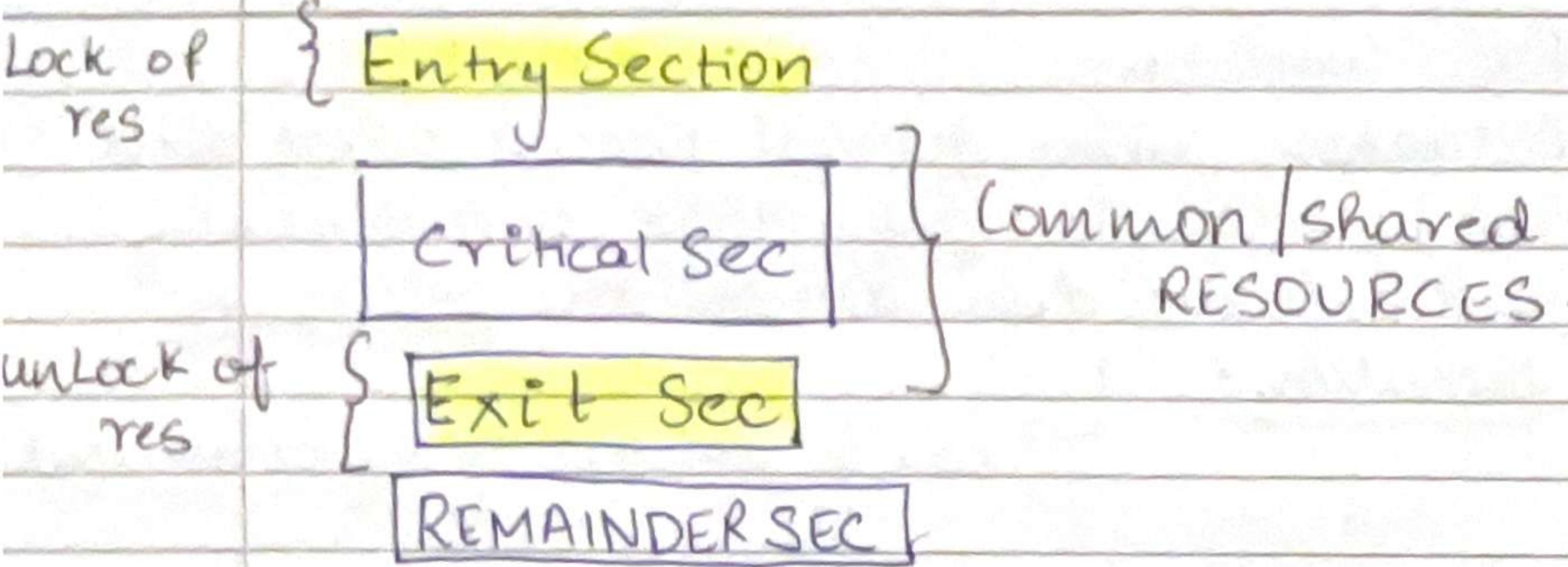
{ Printer-Spooler : Similar to producer consumer

Problem of race condition

→ Leads to loss of data

### Critical Section

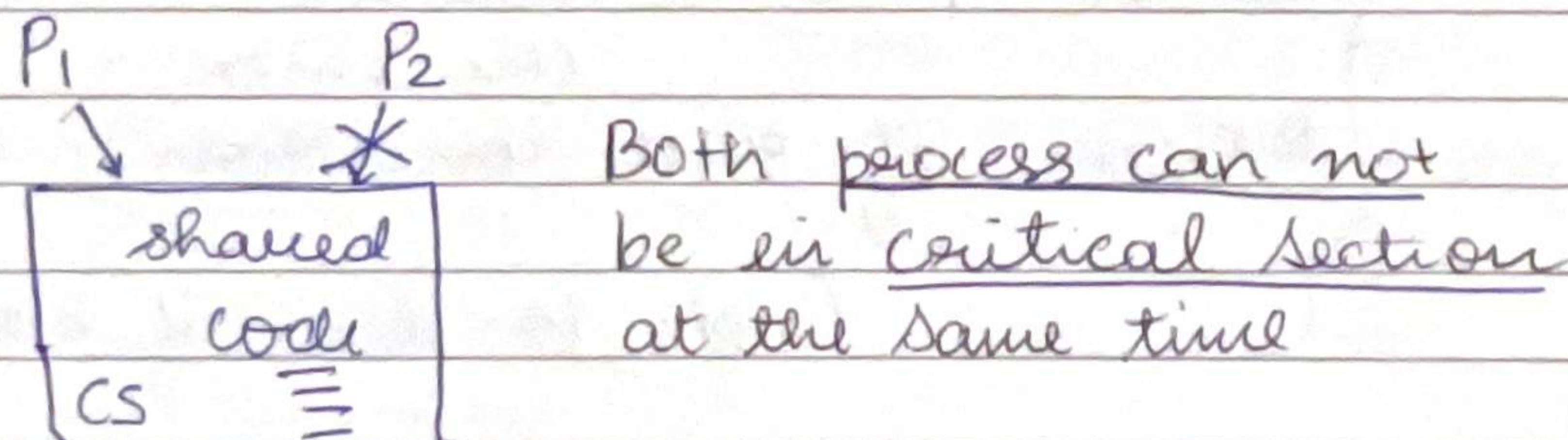
Part of the program where shared resources are accessed by various processes



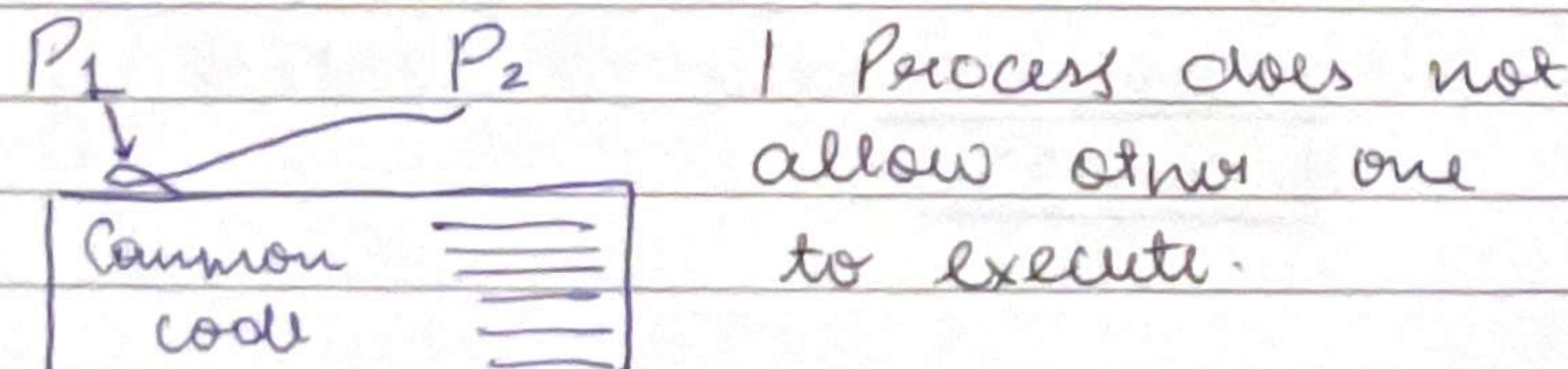
#### 4 RULES FOR SYNCHRONIZATION

- 1) Mutual Exclusion
- 2) Progress
- 3) Bounded Wait
- 4) No assumption about HW or Speed.

#### 1) Mutual Exclusion.

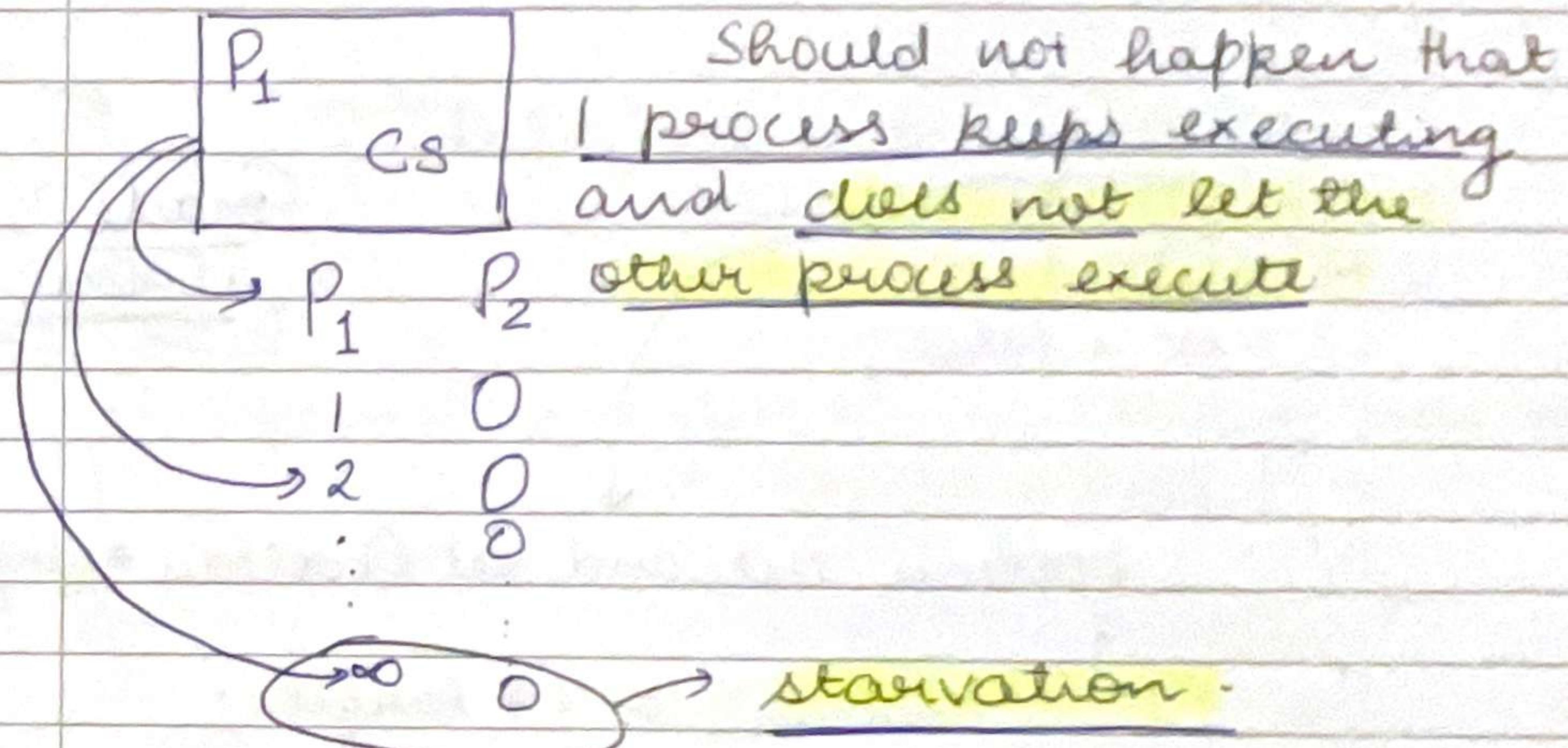


#### 2) Progress



- \* 1 entry code stopping from other process to start the entry code

#### 3) Bounded Wait



- 4) No assumption related to HW or speed.

→ LOCK VARIABLE

In user mode do { acquire lock  
CS  
release lock }

Lock == 0 / False  
Vacant  
Lock == 1 / True  
Full

No guarantee of mutual exclusion.

→ hardware level instruction

### Test and Set Instruction:

Entry Code

1. While (`Lock == 1`); {

2. `Lock = 1`

3. Critical Section

Exit Code

4. `Lock = 0`.

`while(test-and-set($lock));`

CS

`lock = false;`

Made atomic

`boolean test-and-set(boolean *target)`

`boolean a = *target;`

`*target = True;`

`return a;`

g

`lock`

False

`Target`

1000

`a`

False

1000

True

True

When it returns True

it is waiting for previous process to finish

(Strict alteration)

### Turn Variable

int

`turn = 0 / 1`

\* 2 Process sol

\* user mode

P<sub>0</sub>

`while(turn != 0);`

P<sub>1</sub>

`while(turn != 1);`

CS

`turn = 1;`

CS

`turn = 0;`

{ ME ✓  
Progress X → depends on starting value of turn variable.  
BW ✗ ✓

### Semaphore

Binary  
Counting

\* integer variable, used for mutual exclusion

P()

g Down, Wait { for every

V()

g up, Signal } for exit

- section.

Question

How do you S =

set the

Value of

the Semaphore.

3 } no of resources processes  
2 } that can go into CS.

-1 } sleep, block, waiting &  
-2 }

Mutex - Binary semaphore

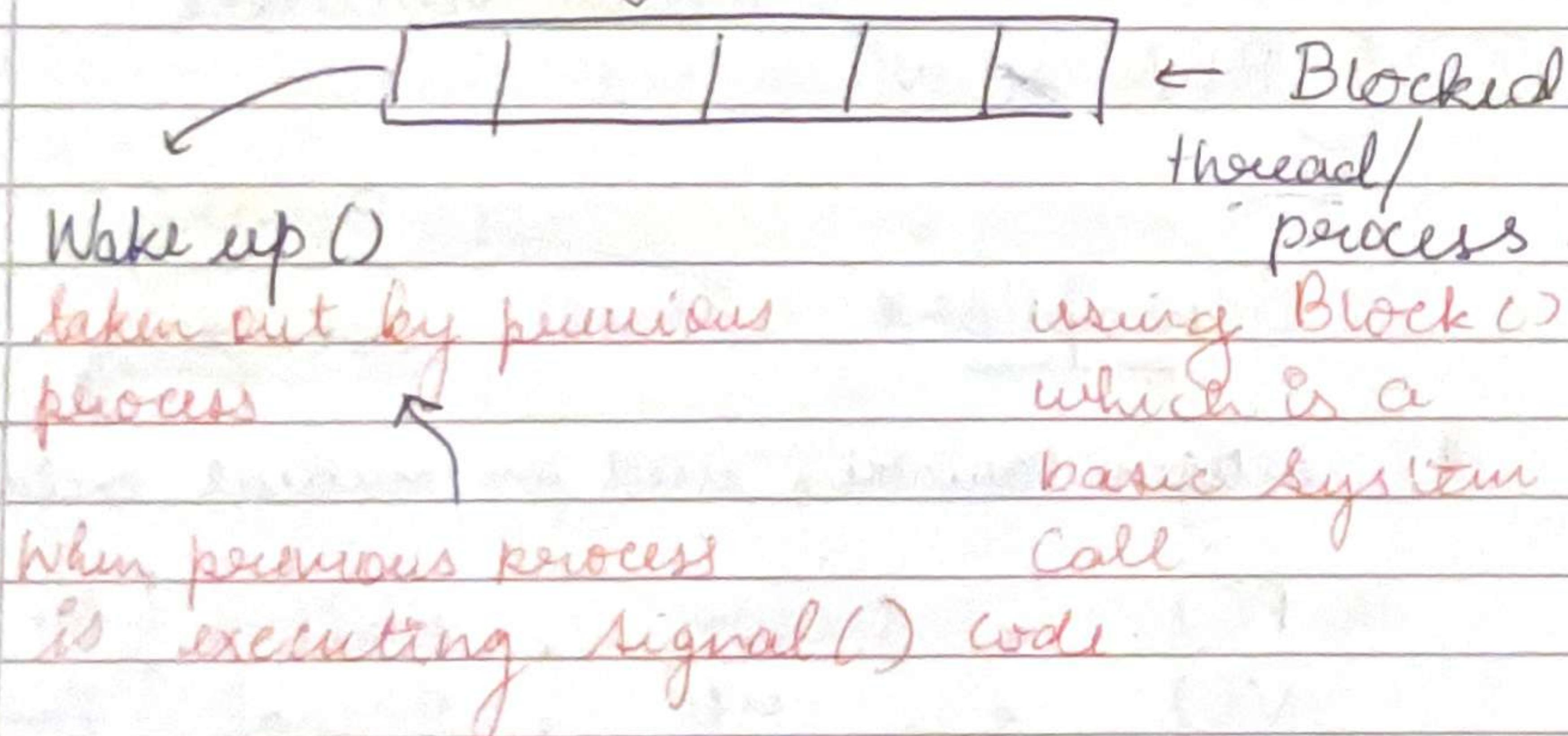
$S = 0 \text{ or } 1$

Producer Consumer

\* Solving by use of semaphore.

Starvation

\* Prevent using FIFO



→ Earliest deadline first

shortest remaining time first

→ Shortest Job First → Priority scheduling  
→ Round Robin → Multilevel queue scheduling  
→ First Come first Served → Rate Monotonic

→ Process Scheduling Algorithm → Real-time

Scheduling → moving process from ready queue to CPU

Pre-emptive

Non-Preemptive

\* full burst time is going to be executed

Reasons

\* Time Quantum RR

\* Priority

Definitions

- 1) Arrival time : Time at which process enters ready queue
- 2) Burst time : Time for process to get Duration : executed on CPU.
- 3) Completion time : When process ends
- 4) Turn around time =  $CT - AT$
- 5) Waiting time  $TAT - BT$
- 6) Response time : (time at which a process gets the CPU first time - Arrival time).

## 1) First Come First Served

\* Criteria : Arrival time

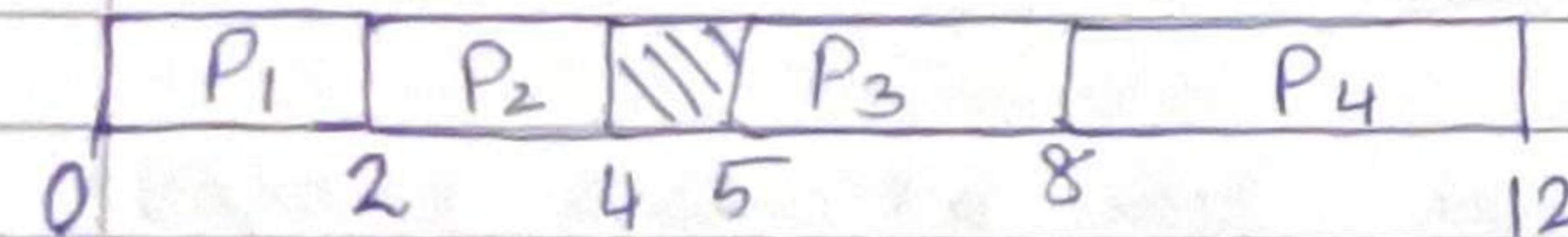
\* Mode : Non-preemptive

CT-AT  
TAT-BT

P.NO	AT	BT	CT	TAT	WT	RT
P <sub>1</sub>	0	2	2	2	0	0
P <sub>2</sub>	1	2	4	3	1	1
P <sub>3</sub>	5	3	8	3	0	0
P <sub>4</sub>	6	4	12	6	2	2

Same and it  
is non-preemptive.

Grantt chart



\* Convoy effect: Short process behind long process.

{ 1 CPU bound task  
Many I/O bound task }

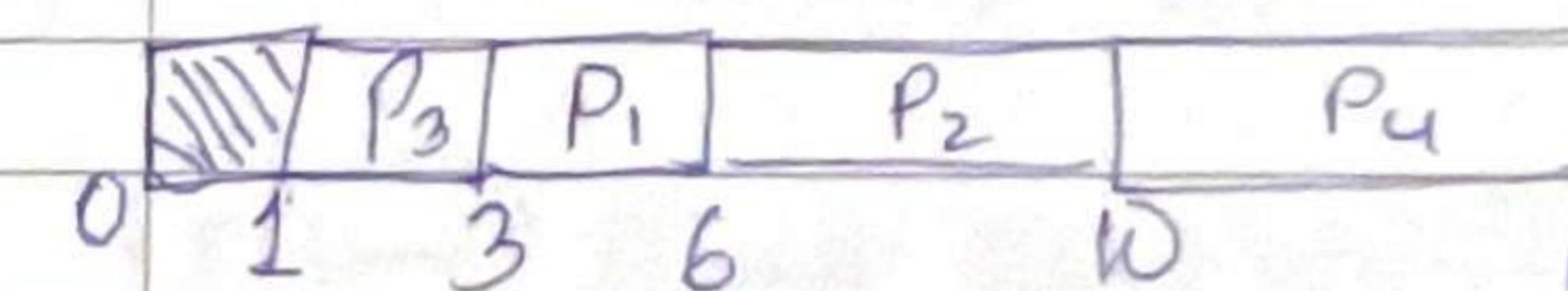
## Shortest Job First Non-pre

CT-AT  
JAT-B

P.NO	AT	BT	CT	TAT	WT
P <sub>1</sub>	1	3	6	5	2
P <sub>2</sub>	2	4	10	8	-2 4
P <sub>3</sub>	1	2	3	2	-1 0
P <sub>4</sub>	4	4	14	10	-4 6

$$\text{Avg} = \frac{12}{4} = 3$$

Grantt chart



here shortest job  
is completely executed  
and the next  
shortest job starts

\* SJF is optimal

→ gives min avg WT

\* difficulty: length of next CPU req

$$I_{n+1} = \alpha t_n (1-\alpha) I_n$$

$$\alpha, 0 \leq \alpha \leq 1$$

usually  $\frac{1}{2}$

$$E_{n+1} = \alpha t_n (1-\alpha) E_n$$

Shortest Remaining Time First

\* Premptive → Burst time.

P.N	AT	BT	CT	TAT	WT	RT
P <sub>1</sub>	0	5 <sub>4</sub>	9	9	4	0
P <sub>2</sub>	1	3 <sub>2</sub> <sub>x</sub>	4	3	0	0
P <sub>3</sub>	2	2 <sub>4</sub>	13	11	7	7
P <sub>4</sub>	4	1	5	1	0	0

A	P <sub>2</sub>	P <sub>2</sub>	P <sub>4</sub>	P <sub>1</sub>	P <sub>3</sub>
0	1	2	3	4	5

{ CPU first time } - AT <sub>3</sub>		
P <sub>1</sub>	0	- 0
P <sub>2</sub>	1	- 1
P <sub>3</sub>	9	- 2
P <sub>4</sub>	4	- 4

Round Robin

\* Criteria : Time Quantum  
Mode preemptive

P NO	AT	BT	CT	TAT	WT	RT
P <sub>1</sub>	0	8 <sub>3</sub> <sub>10</sub>	12	12	7	0
P <sub>2</sub>	1	4 <sub>3</sub> <sub>0</sub>	11	10	6	1
P <sub>3</sub>	2	2 <sub>0</sub>	6	4	2	2
P <sub>4</sub>	4	1 <sub>0</sub>	9	5	4	4

Given QT = 2

Ready Q

P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>4</sub>	P <sub>2</sub>	P <sub>1</sub>
0	1	2	3	4	5

Grant

P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>1</sub>	P <sub>4</sub>	P <sub>2</sub>	P <sub>1</sub>	BASED ON QT
0	2	4	6	8	9	11	12

Running

Context switching.

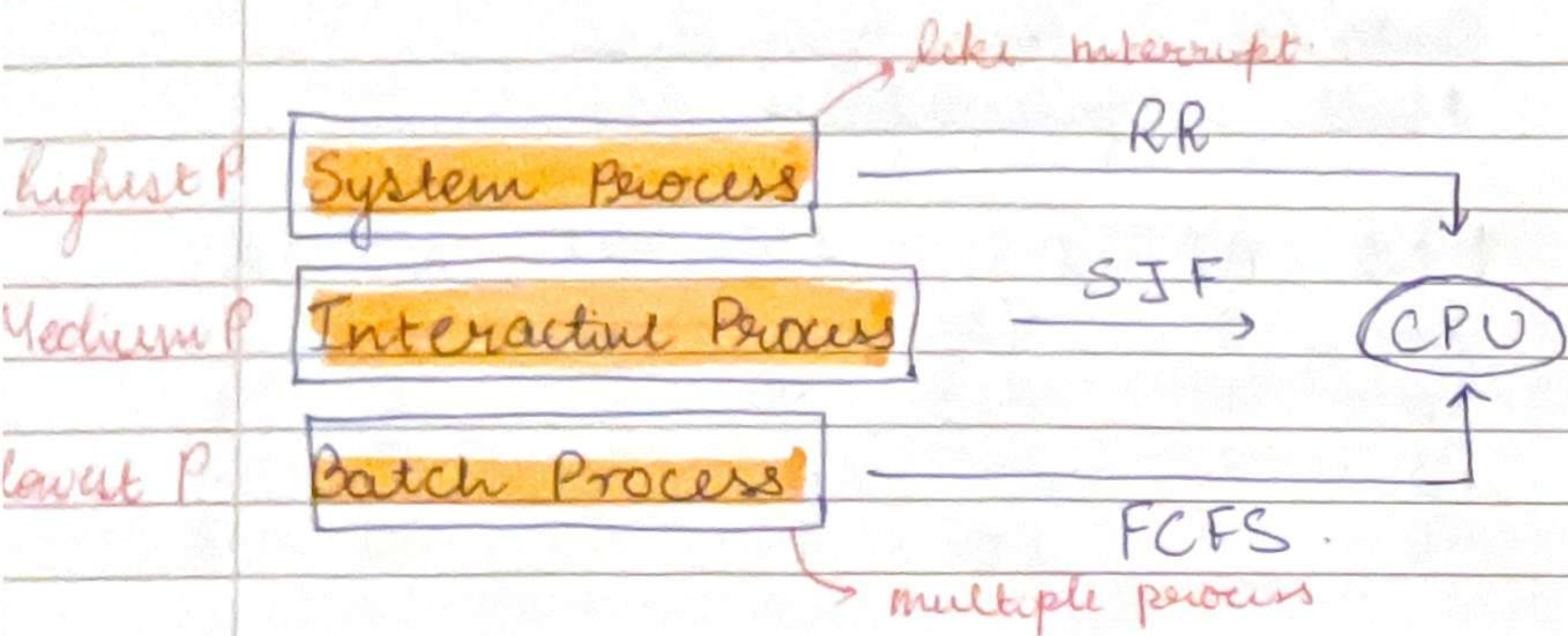
Priority Scheduling Preemptive

Priority	P NO	AT	BT	CT	TAT	WT
low	10	0	5 <sub>4</sub>	12	12	7
	20	1	4 <sub>3</sub> <sub>3</sub>	8	7	3
	30	2	2 <sub>10</sub>	5 <sub>4</sub>	2	20
higher	40	4	1	5	1	0

P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>	P <sub>3</sub>	P <sub>4</sub>	P <sub>2</sub>	P <sub>1</sub>
0	1	2	3	4	5	8

\* Starvation is a possibility.

## Multilevel Queue Scheduling



\* Adv: Categorising process

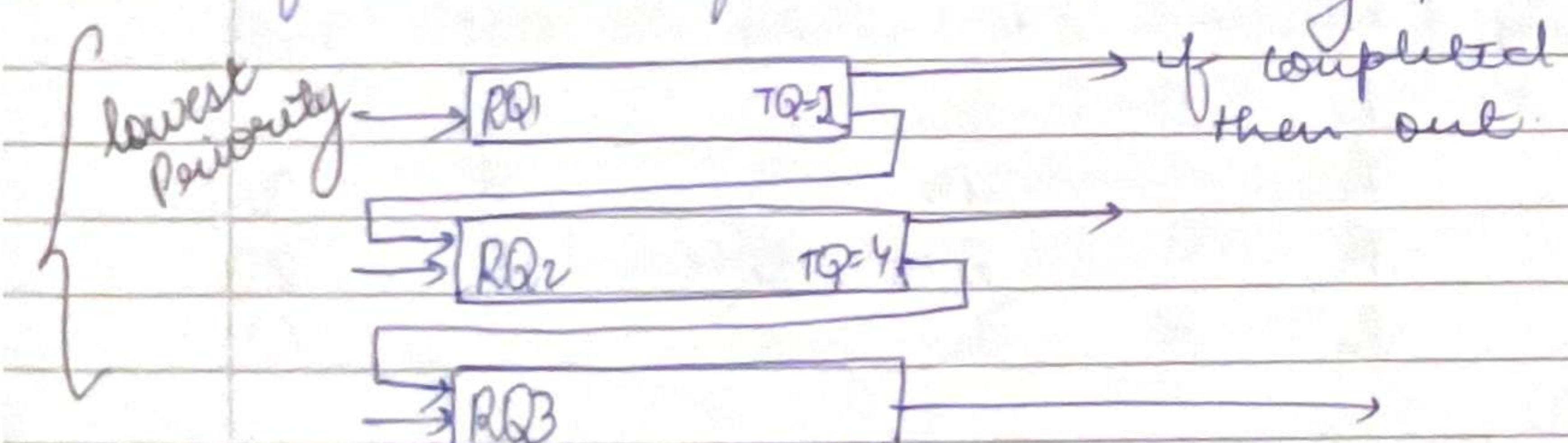
\* disach: Starvation

solved by multilevel feedback

## Multilevel feedback Queue

Ageing implemented

\* feedback is for lower priority queue



highest  
high p.

FCFS manner

What is dispatcher?

\* Ready queue → Partitioned

RR → foreground (interactive)

FCFS → background (Batch)

High Priority → foreground.

After finishing all task move to next queue.

Time slice → Each queue gets certain amount of CPU time

80% → RR → Fore

20% → FCFS → background

## Real-time scheduling

\* Soft real-time → no guarantee when process will be scheduled

\* Hard real-time → tasks must be serviced by deadline.

Toll 2 latencies → effect performance

1. interrupt latency → time from arrival of int to start ISR.

2. Dispatch latency → time to schedule to take current process off CPU and switch to another.

## Conflict phases of dispatch latency

- 1) Preemption of process running in kernel mode
- 2) Resources released by LPP for HPP

## Rate Monotonic

\* A priority based on inverse of the period

short period  $\rightarrow$  High Priority

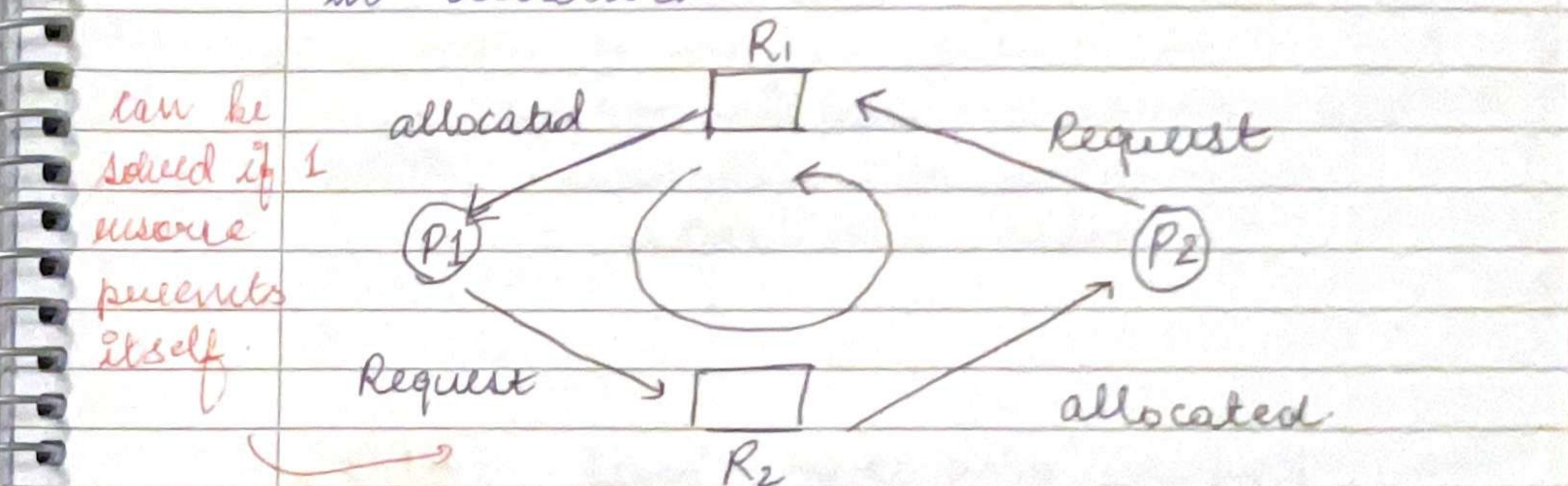
long period  $\rightarrow$  lower Priority

## Earliest deadline first

Simple

## Deadlocks

\* If 2 or more processes are waiting on happening of same event, which never happens, then the process involved are in deadlock.



$P_1$  wants  $R_2$ ,  $R_2$  is occupied by  $P_2$   
 $P_2$  wants  $R_1$ ,  $R_1$  is occupied by  $P_1$

## 4 conditions

- 1) Mutual Exclusion
  - 2) No preemption
  - 3) Hold and Wait
  - 4) Circular wait
- } necessary for deadlock  
 } loop kind of thing

## Resource of several type

### 1) Serially Usable Resource.

eg CPU cycles, memory space, I/O devices  
acquire → use → release.

### 2) Consumable Resource.

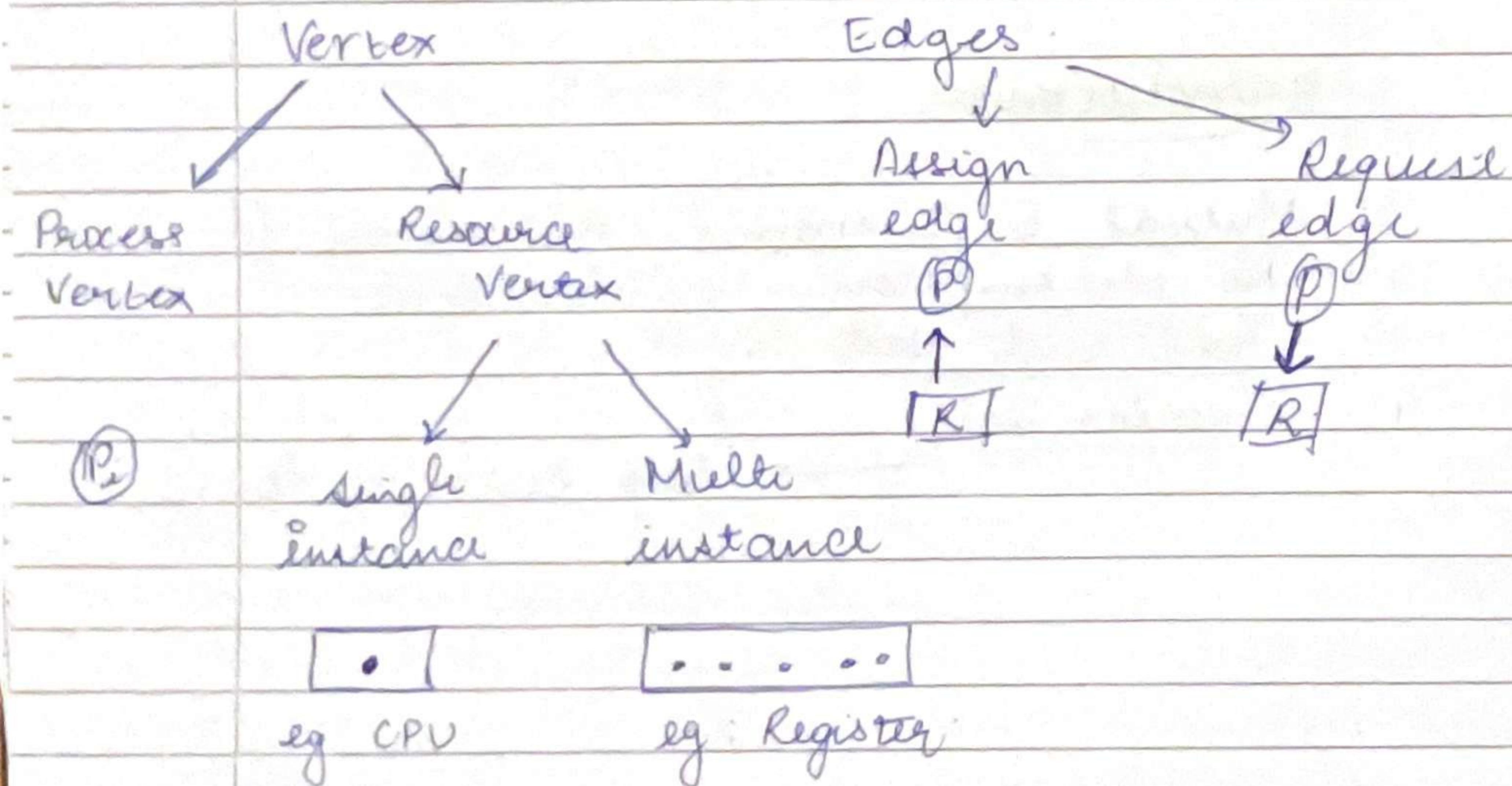
eg. Messages & buffers of information

create → acquire → use →

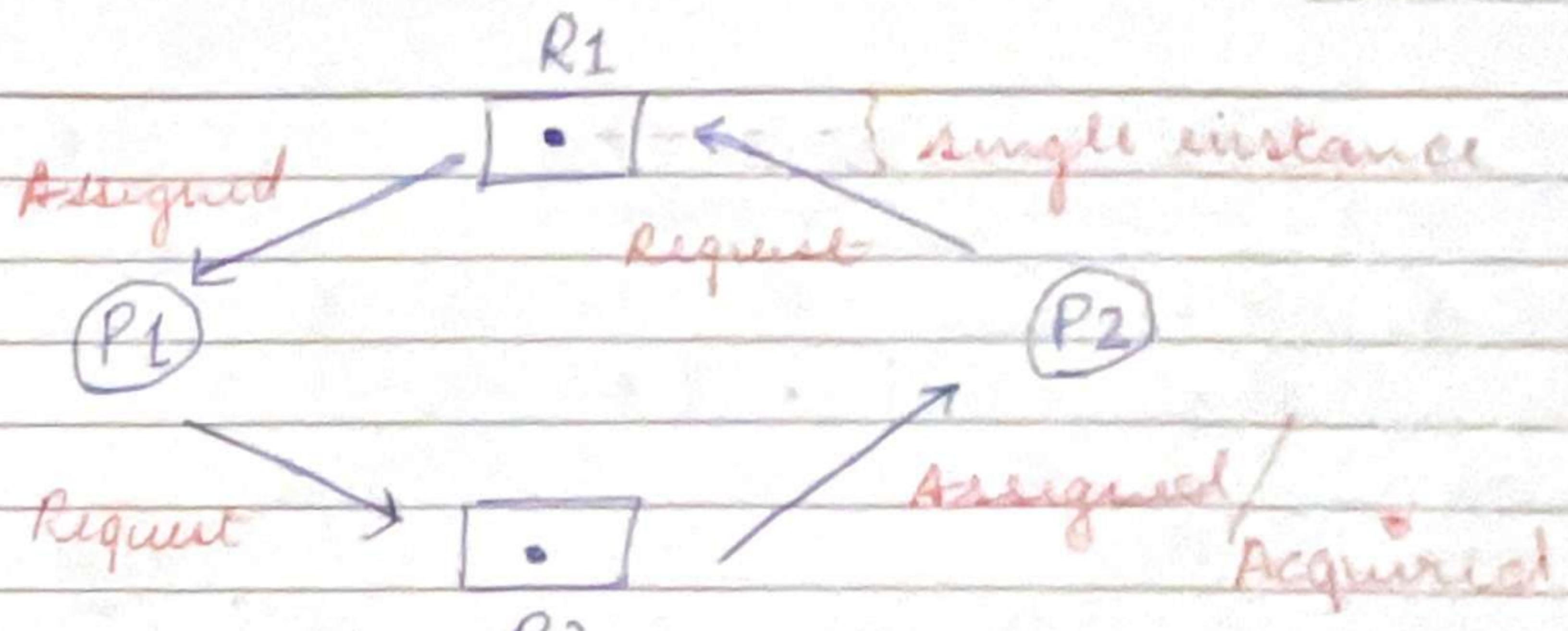
After this resource

does not exist.

## → Resource allocation Graph. (RAG)



eg:

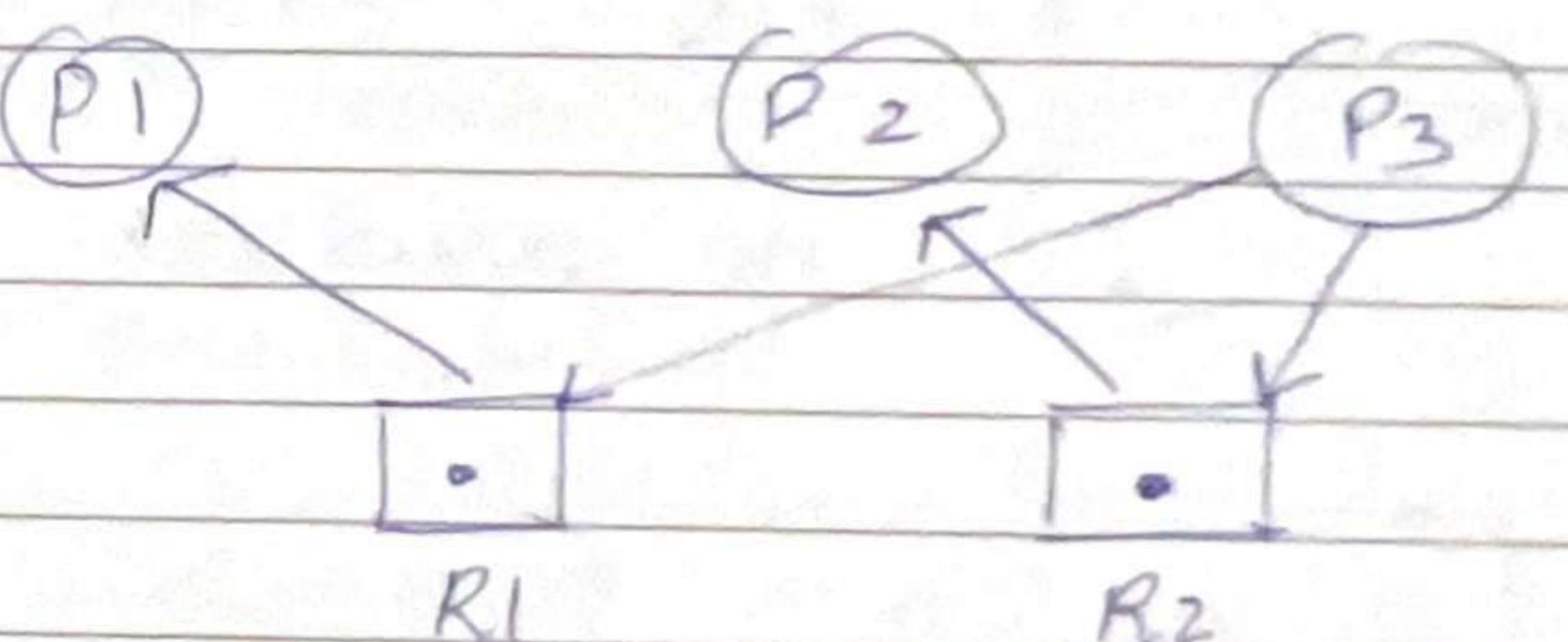


Since circular wait exists, there is a deadlock.  
Which means this RAG has a cycle.

	allocate		Req	
	$R_1$	$R_2$	$R_1$	$R_2$
$P_1$	1	0	0	1
$P_2$	0	1	1	0

Availability  $(0, 0)$

eg:



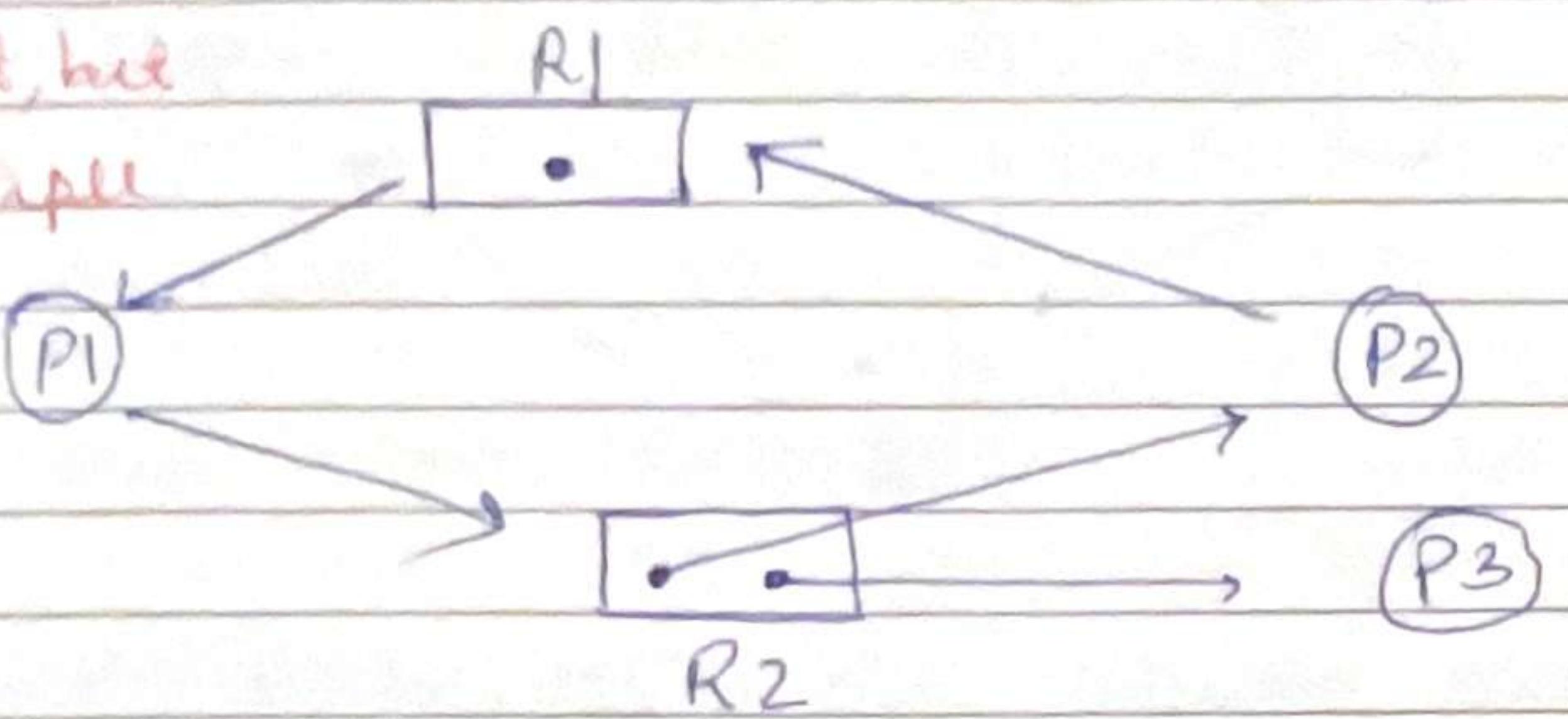
	allocate		Req	
	$R_1$	$R_2$	$R_1$	$R_2$
$P_1$	1	0	0	0
$P_2$	0	1	0	0
$P_3$	0	0	1	1

Availability  $(0, 0)$   
New New

$(1, 0)$  - After  $P_1$   
 $(1, 1)$  - After  $P_2$

## Multimainance RAG

Cycle present here  
This is multiple instances



	allocate		Request	
	R1	R2	R1	R2
P1	1	0	0	1
P2	0	1	1	0
P3	0	1	0	0

Availability (0, 0)

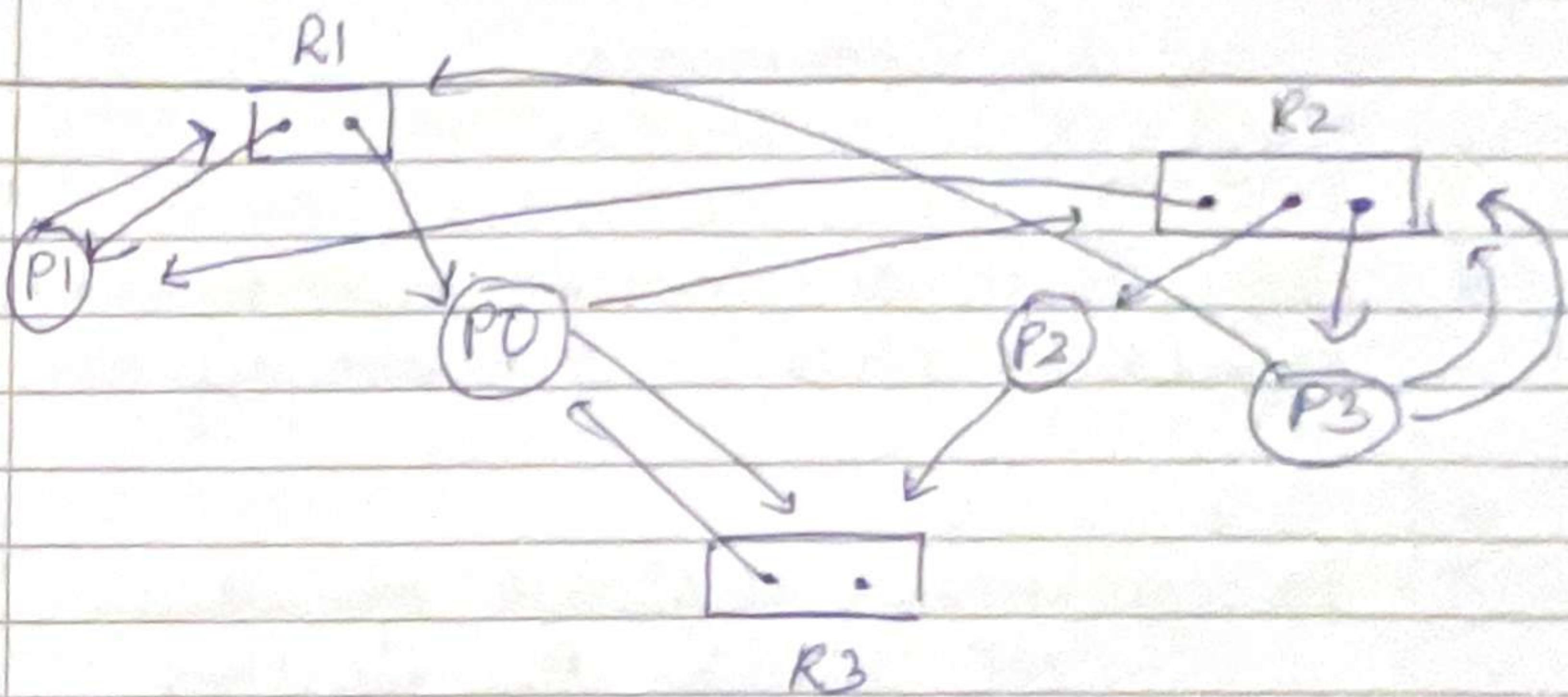
↳ P3 FIN (0, 1)

P1 FIN (1, 1)

∴ no deadlock.

SI & CW = DEADLOCK

MI & CW = POSSIBLE DEADLOCK



	allocate			req		
	R1	R2	R3	R1	R2	R3
P0	1	0	1	0	1	X
P1	1	1	0	1	0	0
P2	0	1	0	0	0	1
P3	0	1	0	1	2	0

availability (0, 0) (0, 0)

Availability (0, 0, 1) ✓

P2 end (0, 1, 1) ✓

P0 end (1, 1, 2) ✓

P3 end (2, 2, 2) ✓

P1 end (2, 3, 2) ✓

P3 end (2, 3, 2) ✓

No deadlock ✓

## Deadlock handling

- 1) Deadlock Ignorance (ostensibly)
- 2) Deadlock Prevention
- 3) Deadlock Avoidance
- 4) Deadlock detection and recovery.

### 1) deadlock ignorance

- ignore as it does not occur frequently
- If tackled, it will reduce speed and performance.

### 2) Deadlock Prevention

#### a) Mutual exclusion

To prevent mutual exclusion use shared variable / resources.

e.g.: Read - Only files

#### b) No preemption

Process holding resources can be preempted

Can use Time Quantum method.

#### c) No Hold & wait

- Take all resources in the beginning
- Release current resource before requesting for a new resource

### d) No Circular wait

- Number the resources
- req in increasing order

If request  $R_i$  then release  $R_j$  for all  $(j \geq i)$

### 3) Deadlock Avoidance

\* Safe state: System can allocate resources to each process up to the maximum in some order to prevent deadlock.

System in safe state if there is a safe sequence.

If for each  $P_i$  the resources that  $P_i$  may still request can be satisfied by current resources.

### → Bankers Algorithm

\* Deadlock avoidance technique  
Deadlock detection can be possible  
 $A = 10, B = 5, C = 7$

Process	Allocation			Max need			Available			Remaining		
	A	B	C	A	B	C	A	B	C	A	B	C
P1	0	1	0	4	5	3	3	3	2	7	4	3
P2	2	0	0	3	2	2	5	3	2	1	2	2
P3	3	0	2	9	0	2	4	4	3	6	0	0
P4	2	1	1	4	2	2	7	4	5	2	1	1
P5	0	0	2	5	3	3	4	5	5	5	3	1
Total	7	2	5				10	5	7			

P2 get executed first  
P4 gets executed  
P5 gets executed  
P1 gets executed last  
P3 get executed.

Safe Sequence  $P_2 \rightarrow P_4 \rightarrow P_5 \rightarrow P_1 \rightarrow P_3$ .

Process	Allocation			Max	Availability			Remaining
	E	F	G		E	F	G	
P0	1	0	1	4	3	1	3	3
P1	1	1	2	2	1	4	5	3
P2	1	0	3	1	3	3	5	4
P3	2	0	0	5	4	1	6	4
							8	4

$P_0 \rightarrow Q \rightarrow P_2 \rightarrow P_3$        $P_0 \rightarrow P_1 \rightarrow P_1 \rightarrow P_3$

Total Resource + Total Process > Total demand

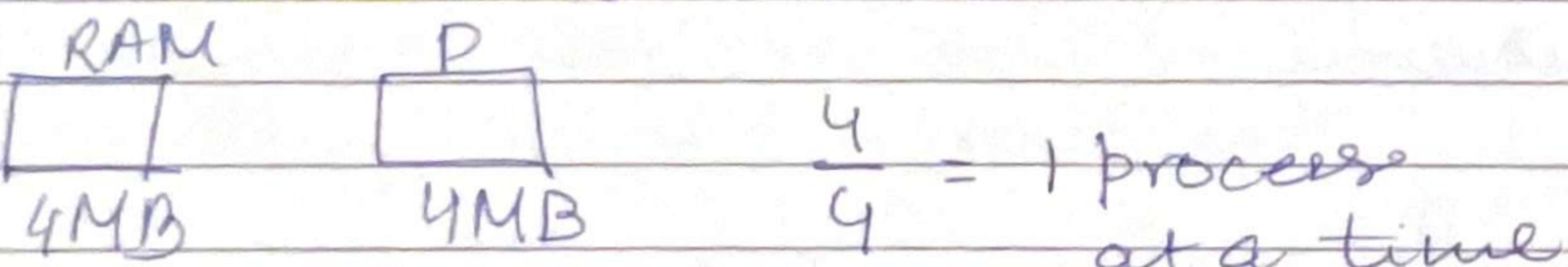
→ Recovery from deadlock

- 1) Terminate the process { policy decision
- 2) Resource preemption

- ↳ Selecting a victim
- ↳ Rollback

## → Memory Management

- \* Main memory needs to be managed RAM!
- \* We need to ↑ degree of multiprogramming to ↑ CPU utilisation.



If 'k' probability of I/O op.

Then CPU utilisation is

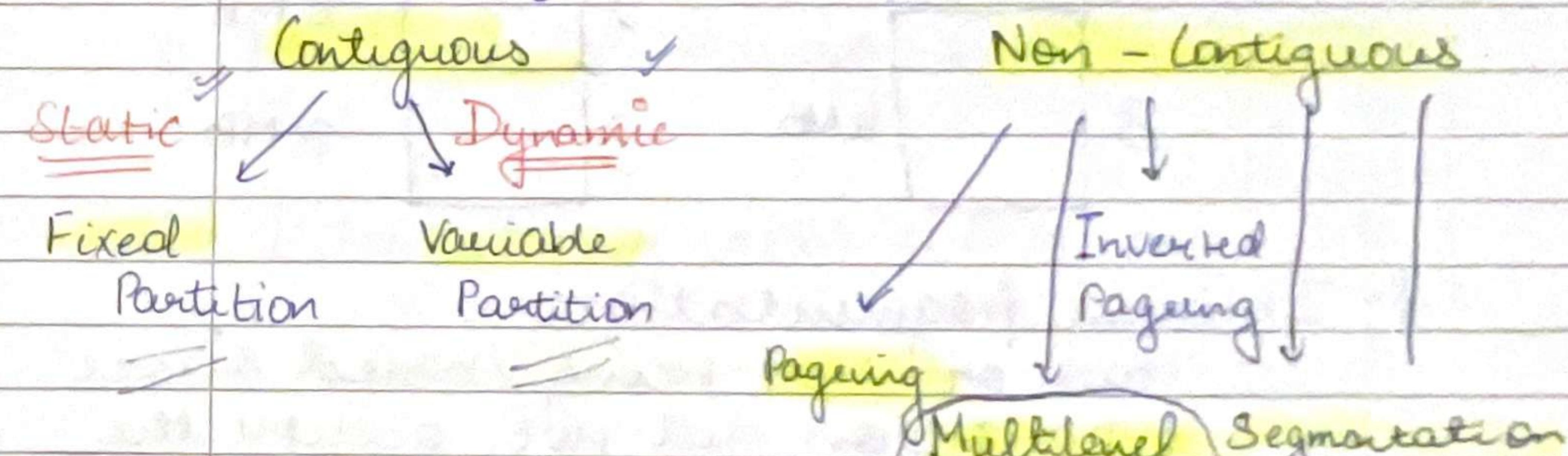
$$(1 - k)$$

eg:  $k = 70\%$

assume

RAM	PROCESS	K	1 - K
4	1 (4MB)	70%	(1-k) = 30%
8	2 (4MB)	$k^2 = (.7)^2$	$(1-k^2) = .76$
16	4 (4MB)	$k^4 = (.7)^4$	$(1-k^4) = .93$

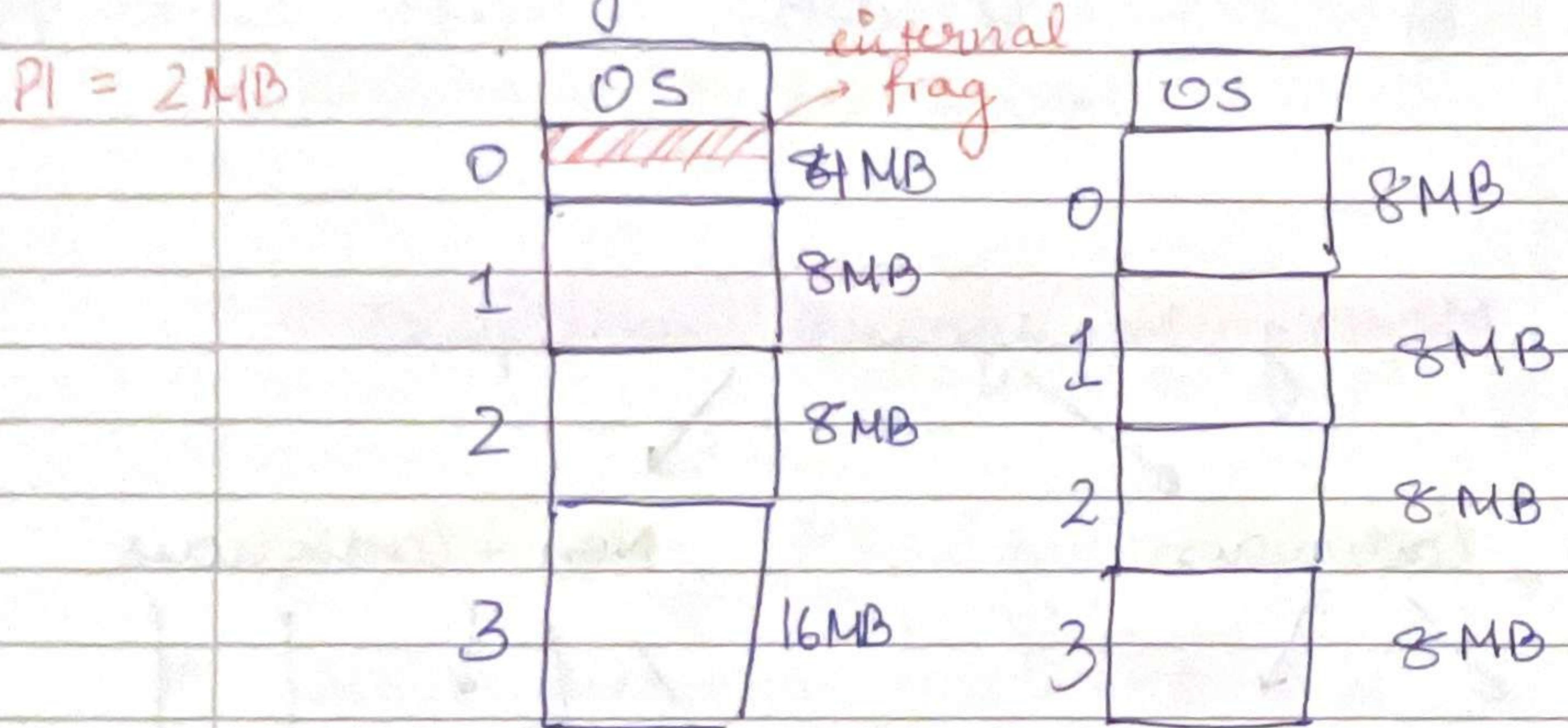
## Memory Management Techniques



Segmented paging

## Fixed Partitioning (static)

- \* No of Partitions are fixed
- \* Size may vary.
- \* Spanning is not allowed.



- \* Internal fragmentation
  - space or size being wasted since 1 partition did not occupy the whole partition

- \* Limit in Process size.
  - eg: 32MB in partition of max size 16MB
- \* Limit to degree of Programming

## \* External frag

→ When there is space in the memory, but it is disjointed due to contiguous memory allocation and internal fragmentation

## Variable Partitioning

$P_1 = 2 \text{ MB}$

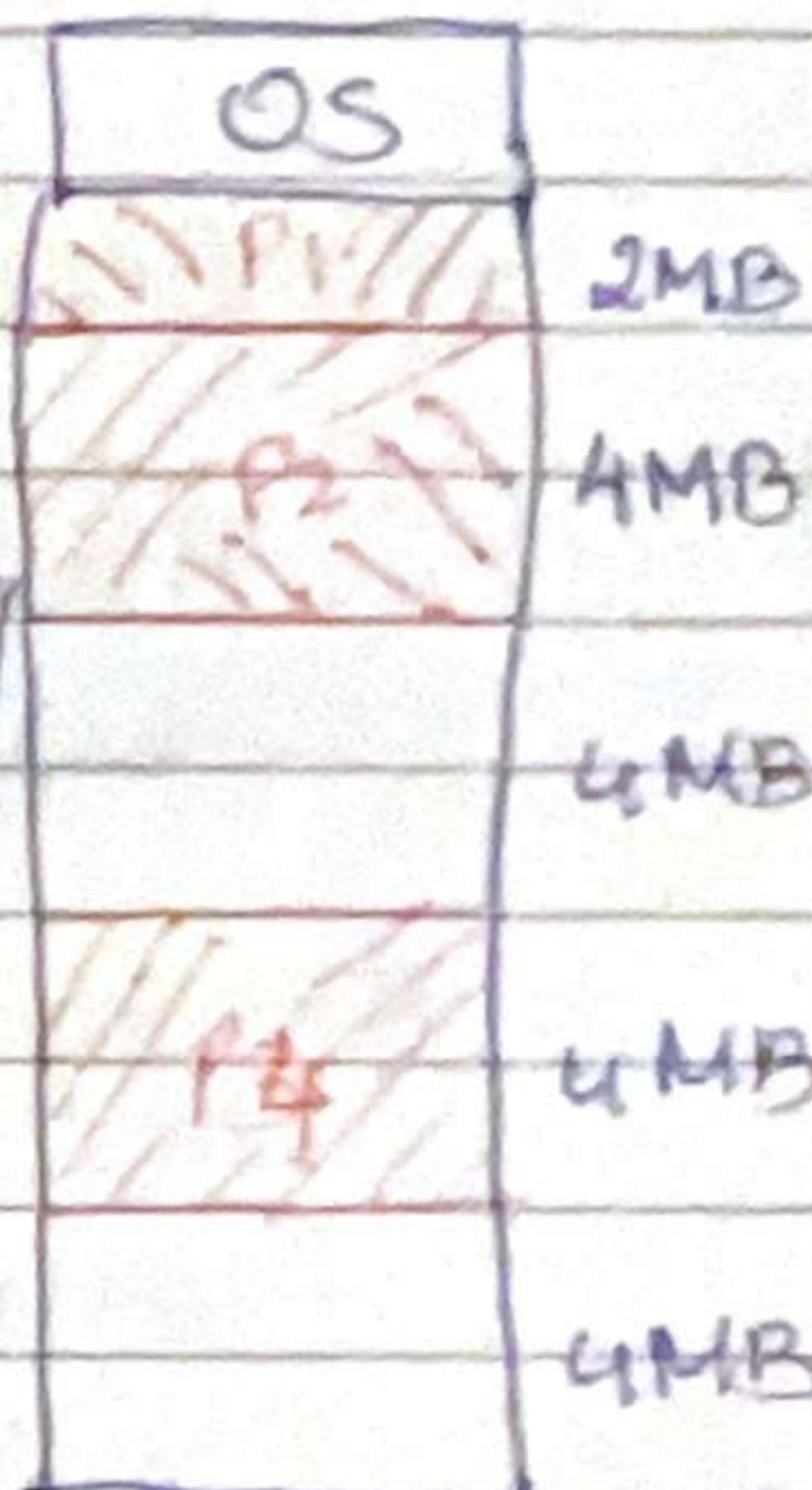
$P_2 = 4 \text{ MB}$

- \* NO internal fragmentation
- \* high degree of multiprogramming
- \* NO limit to Process size.

- \* External frag still exists.

$P_6 = 8 \text{ MB}$

Can not be split



We can use compaction, moving free space together but not suggested.

- \* Allocation & deallocation is complex

Since we can not control no of process getting allocated or deallocated

Algorithm for variable partitioning.

\* **First - Fit**

Allocate the first hole that is big enough  
*Simple / fast*

\* **Next - fit**

Same as first fit but start search from last allocated hole.

\* **Best - fit**

→ slow / small hole left.

Allocate that hole that gives minimal external fragmentation

\* **Worst - fit**

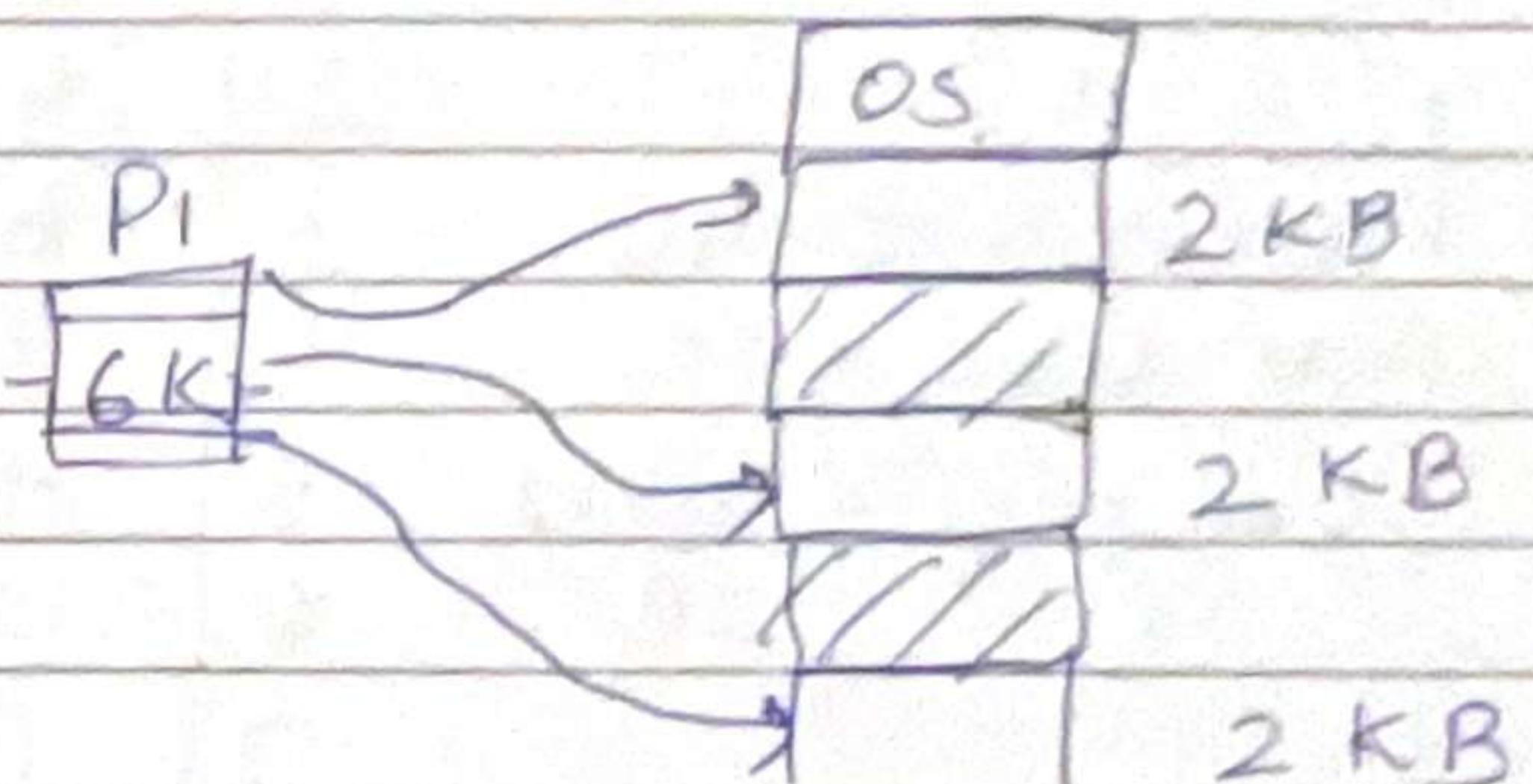
— slow

Allocate to that hole where the external frag is maximum.

→ Non - contiguous

Compaction

\* Process can be divided



\* External memory fragmentation does not occur here.

Paging

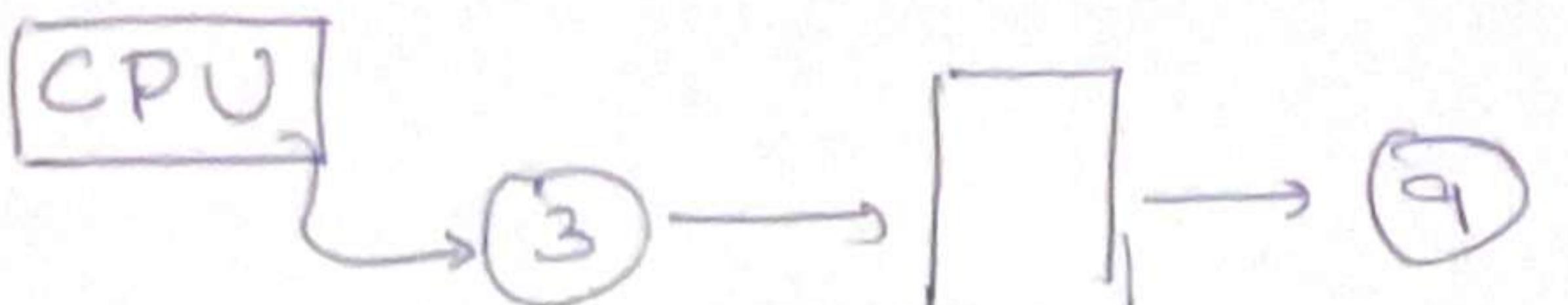
\* The process is divided into pages based on the size, not compared to the frame size.

\* Main memory is divided into frames

Size of Page = Size of frame

\* Mapping done by Memory management unit

MMU



Page table is owned by every page  
frame no →

page no	Process	0	1	RAM
0	0 1	1	2 3	
1	2 3	2	4 5	P <sub>0</sub>
Process size = 4B		3	6 7	
Page size = 2B		4	8 9	P <sub>1</sub>
NO of page = $\frac{4B}{2B} = 2$		5	10 11	
2B		6	12 13	
7		7	14 15	

Main Mem = 16B

Frame size = 2B

NO of frame =  $\frac{16B}{2B} = 8$

Page table of P<sub>1</sub>

= 8 frames.

0	f <sub>2</sub>	→ {frame 2}
1	f <sub>4</sub>	{frame 4}

P<sub>1</sub>

CPU works on Logical address

Logical address consist of 2 parts.

(Pg. No / Pg offset)  
→ size of page

P <sub>1</sub>	0	0
	1	1

for P<sub>1</sub>  
Pg. No &  
offset  
can be  
up by  
byte  
each

physical address → Main memory  
- since MM was 16B  
100 1  
PA  
frame number  
frame offset/  
size

e.g. CPU wants Byte no: 1

0	f <sub>2</sub>
1	f <sub>4</sub>

0 1

LA

← 3 → ← 1 →  
010 1  
PA

To map logical address to physical address we need page table.

Quest:

$2^{10} = 1M$

$2^{20} = 1G$

$2^{30} = 1T$

LAS = 4GB (size of Process), LA =  $2^2 \times 2^{30} = 2^{32}$

PAS = 64MB =  $2^6 \times 2^{20} = 2^{26}$

Pg size = 4KB =  $2^2 \times 2^{10} = 2^{12}$

→ No of pages =  $2^{20}$

→ No of frames =  $2^{14}$

No of entries in pg table =  $2^{20}$

Size of pg table =  $2^{20} \times 14$  bits

20	12
— 32 —	

14	12
— 26 —	

No of pages = No of entries in pg table

Q

$$\begin{aligned} LA &= 7 \text{ bits} \\ PA &= 6 \text{ bits} \\ Pg \text{ size} &= 8 \text{ words/byte.} \end{aligned}$$

4	3	
.3	3	PA
		$= 2^3$

$$\text{No of pages} = 2^4$$

$$\text{No of frames} = 2^3$$

$$\text{No of entries} = \underline{2^4 \times 3}$$

$$2^4 \times 2^3$$

Page table

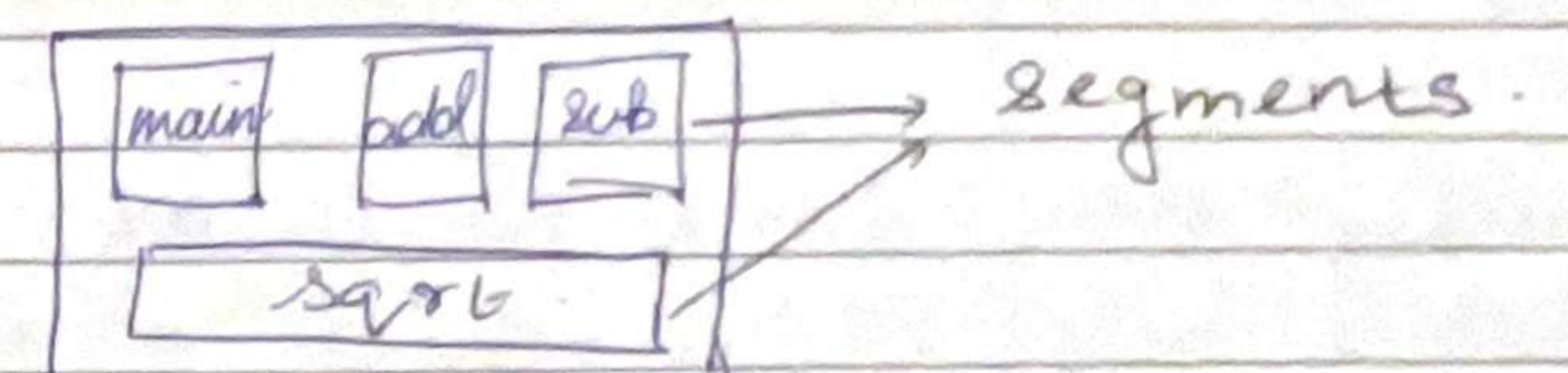
frame number	Present/Absent		Protection	Reference	Caching	Dirty
	Valid(1)	Invalid(0)				
Mandatory field	Page fault		R/WX	Least Recently Used	Least Recently Used	Modify
				Read	Write	Execute

~~Multilevel Paging~~

## Segmentation

- \* Divide process into parts.
- \* Works on user point of view.

It will create segments according to the user

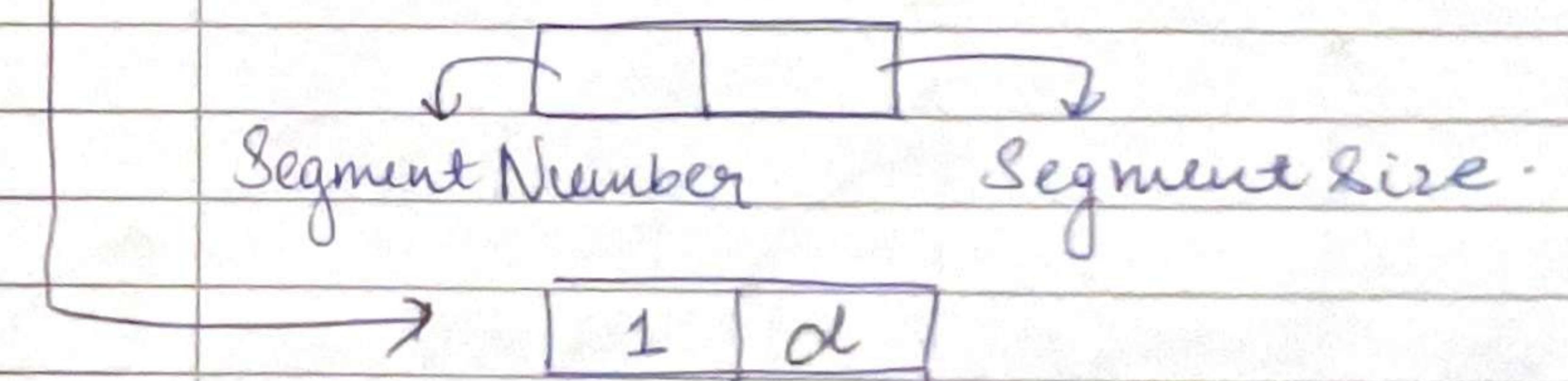


\* Can be of different sizes.

CPU	BA	Size	OS
0	3300	200	1500 S5
1	1800	400	1800 S1
2	2700	600	2200 S4
3	2300	400	2800 S3
4	2200	100	3300 S2
5	1500	300	3500 S0

Trap error.

CPU  $\rightarrow$  LA



frame no valid invalid R/WX protection Reference Caching dirty LRU modify

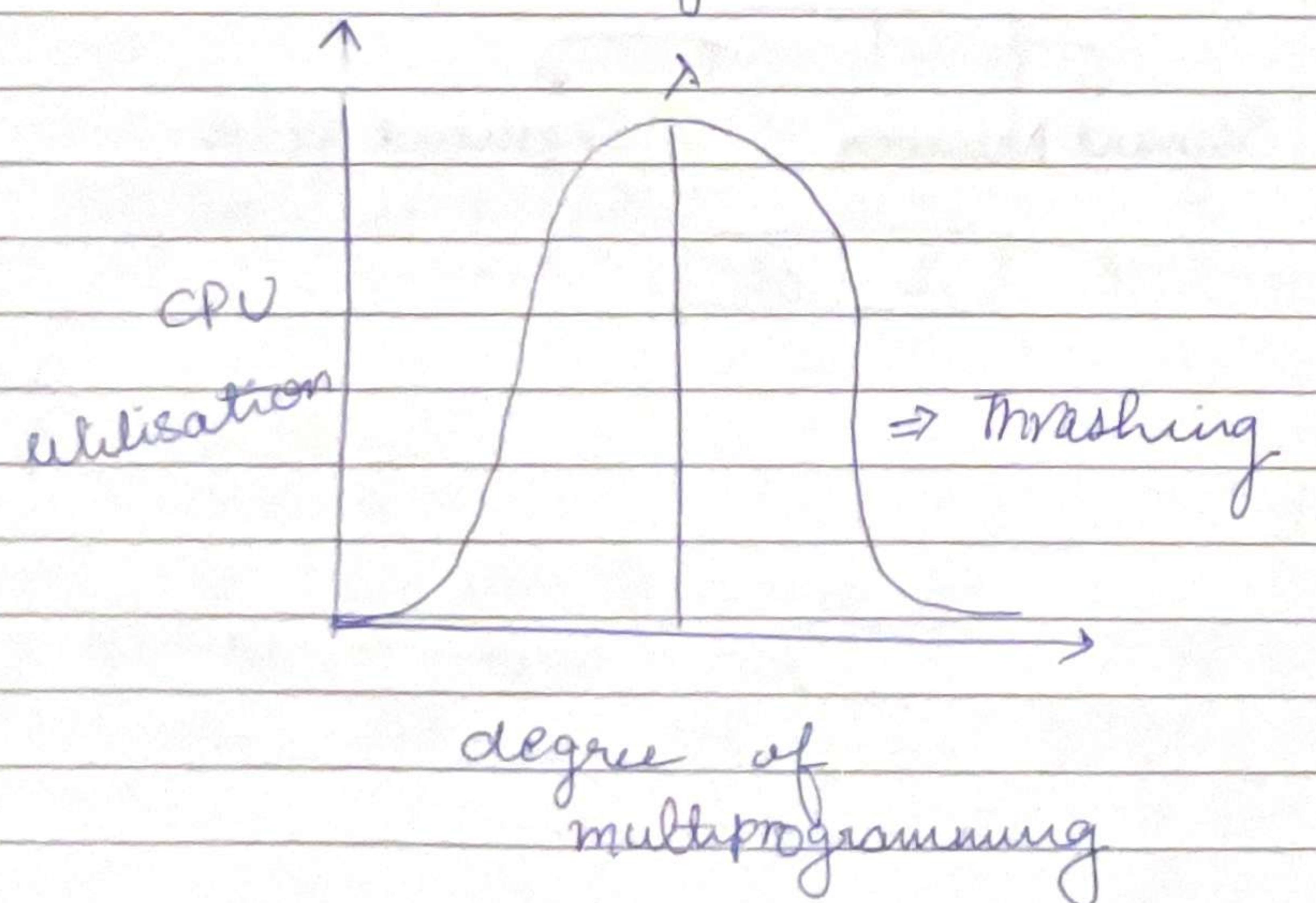
## → Thrashing

- \* linked to degree of multiprogramming

We bring only 1 or 2 pages of a process into RAM, which allows us to bring other pages of process which in turn helps degree of multiprogramming.

But if suppose RAM wants Proc1 pg 3 which is in Disk then it would take more time since it encountered page fault.

- \* Multiple page fault will cause thrashing.



## How to prevent page fault

- 1) Increase size of RAM
- 2) Reduce speed of long term scheduler or limit degree of multiprogramming

## → Overlay - Memory Management

- \* Method by which a large size process can be put in main memory.

Main memory size < Process size

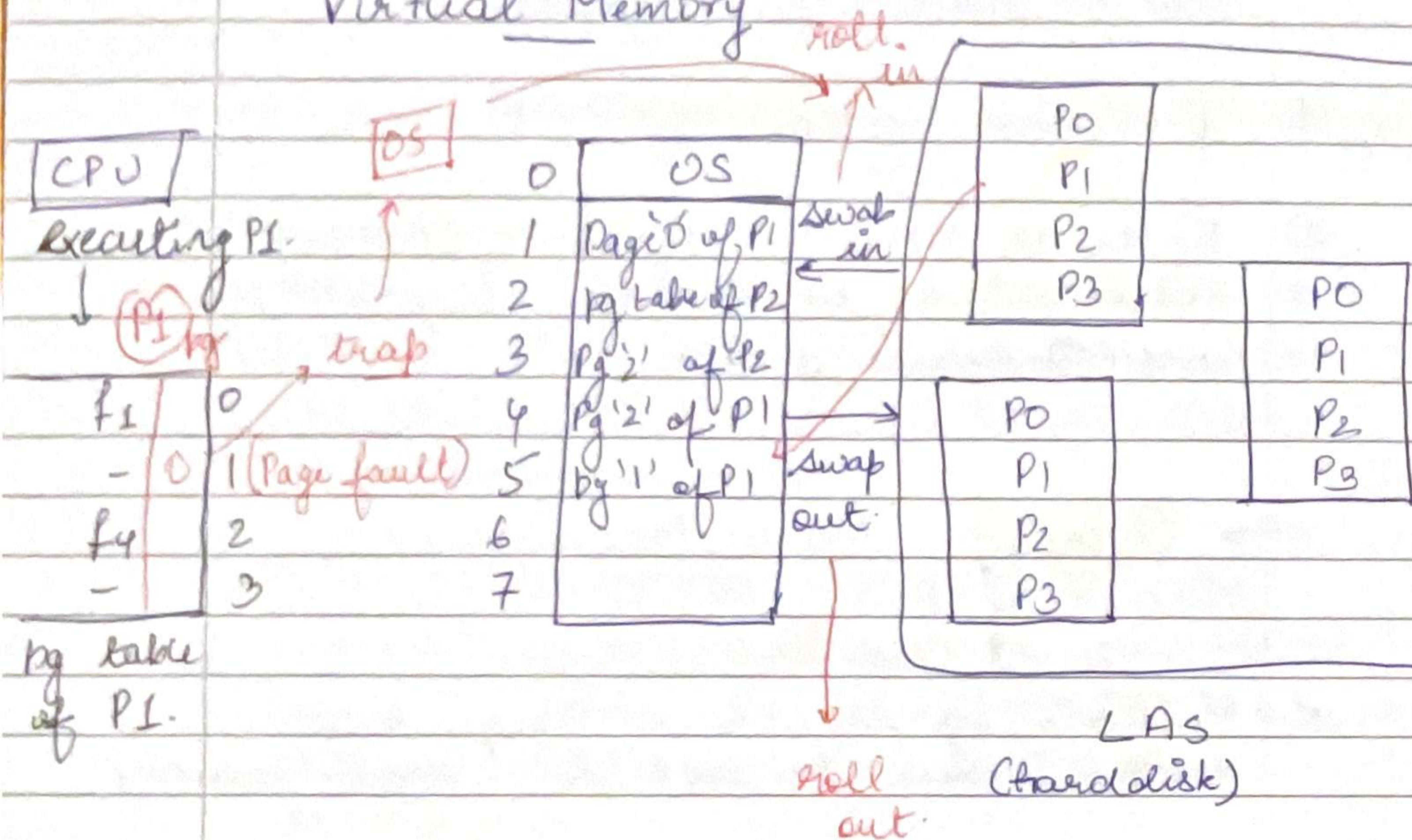
- \* Mostly used in embedded Systems

## Thrashing

In order to ↑ degree of multi-programming, no of pages are increased in main memory, which may cause page faults.

excessive page faults can cause decrease in multiprogramming and CPU utilisation.

## Virtual Memory



\* gives user the illusion that main memory has space.

\* No limit to number of processes and size of the process.

If page fault occurs, control is given from user to OS

1. OS will check authentication.

## Effective memory access time

$$EMAT = P(\text{page fault service time})_{\text{ms}}$$

$$= P + (1-P)(\text{main memory access time})_{\text{ms}}$$

$$\boxed{EAT = P + (1-P)(MAT)}$$

→ Translational lookaside buffer  
 pg num frame mem  
 is in cache

$$\boxed{\begin{matrix} & \text{hit} \\ EAT & = (TLB+x) + \text{Miss}(TLB+2x) \end{matrix}}$$

Main memory access time

Q TLB = 10ns MAT = 50ns  
 hitratio = 90%

$$EMAT = .90 \times (10+50) + .10(10+100\text{ns})$$

$$\begin{aligned} & .9 \times 60 + .1(110\text{ns}) \\ & = 54 + 11 \\ & = \underline{65\text{ns}} \end{aligned}$$

## → Page replacement algorithm.

- 1) FIFO
- 2) optimal pg replacement.
- 3) least recently used.

Reference  
String

7, 0, 1, 2, 0, 3, 0, 4, 2, 3, 0, 3, 1, 2, 0

$f_3$		1	1	1	1	0	0	0	3	3	3	3	2	2	1
$f_2$	0	0	0	0	3	3	2	2	2	2	1	1	1	1	1
$f_1$	7	7	7	2	2	2	4	4	4	0	0	0	0	0	0

$$P_g \text{ fault} = 12$$

## Beladys anomaly in FIFO

Ref 1, 2, 3, 4, 1, 2, 5, 1, 2, 3, 4, 5

$f_1$	1	1	1	4	4	4	5	5	5	5	5	5	5	5	5
$f_2$	2	2	2	2	1	1	1	1	1	1	1	1	1	1	1
$f_3$	3	3	3	3	2	2	2	2	2	2	2	2	2	2	2

Belady's Anomaly

$f_1$	1	1	1	1	1	1	5	5	5	5	1	4			
$f_2$	2	2	2	2	2	2	1	1	1	1	1	1	5		
$f_3$	3	3	3	3	3	3	2	2	2	2	2	2			
$f_4$	4	4	4	4	4	4	3	3	3	3					

## Optimal page replacement (Pg replacement)

Replace page which is not used in longest dimension of time in future

Ref string  
7, 0, 1, 2, 0, 3, 0, 4, 2, 3, 0, 3, 2, 1, 2, 0, 1

$f_1$	7	7	7	4	7	3	3	3	3	3	3	3	3	3	3	7	4
$f_2$	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
$f_3$	1	1	1	1	1	4	4	4	4	4	1	1	1	1	1	1	1
$f_4$	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2

\* \* \* \* H \* H \* H H H H H H H H \* H H H H \* H H H

Least Recently used

LRU

↓	↓	↓	↓	↓
Ref →	7	0	1	2
7	7	7	7	3
0	0	0	0	0
1	1	1	1	4
2	2	2	2	2

\* \* \* \* H \* H \* H H H H H H \* H H H \* H H H

F was used  
the least

{ replace page used longest  
time in past

∴ replace F

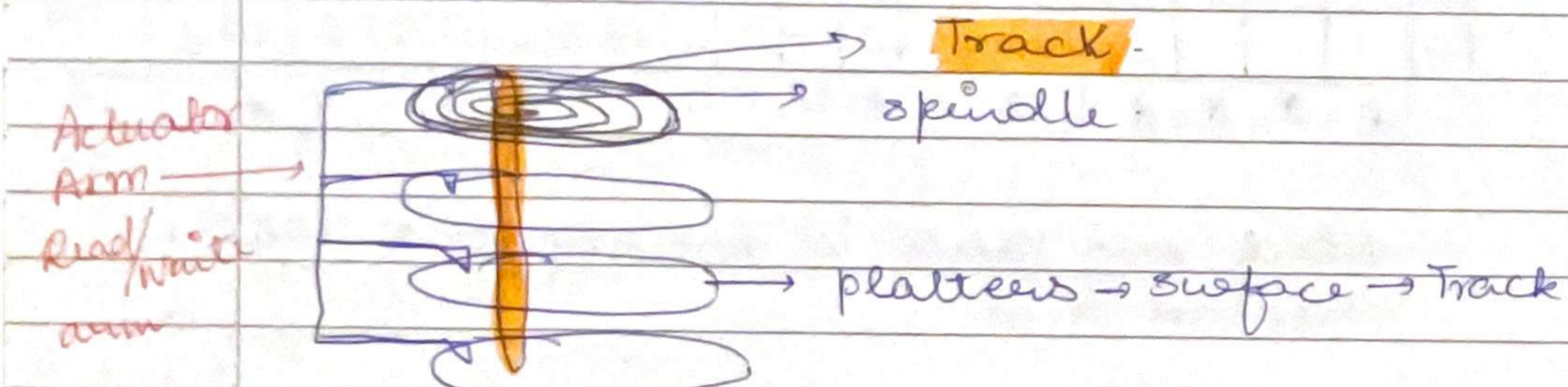
$$P_g \text{ hit} = 12$$

Most recently used

- \* Replace the most recently used page in Pst.

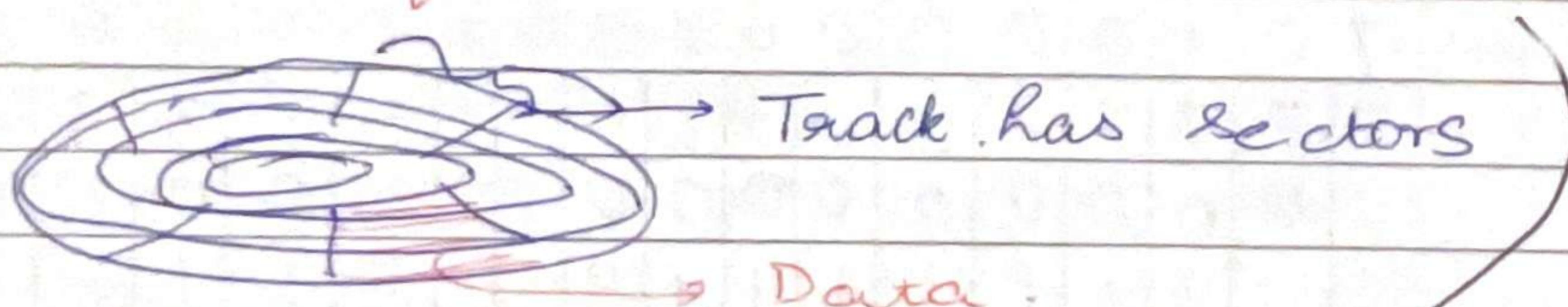
## Hard Disk

→ Disk Architecture.



- \* Moves clockwise or anti, but can not move both

Platters → surface → Track → Sectors → Data



Disk size:  $P \times S \times T \times S \times D$

$$\begin{aligned}
 \text{eg: } & 8 \times 2 \times 256 \times 512 \times 512 \text{ KB} \\
 & = 2^3 \times 2^1 \times 2^8 \times 2^9 \times 2^9 \times 2^{10} \text{ B} \\
 & = 2^{40} \text{ B} \\
 & = \underline{\underline{1TB}}
 \end{aligned}$$

No of bits req to represent disk size: 40

→ Disk Access time

- \* Seek time: Time taken by R/w head to reach desired track
- \* Rotation time: Time taken for 1 full rotation
- \* Rotation latency: Time taken to reach to desired sector  
(Half of rotation time)
- \* Transfer time: Data to be transferred  
Transfer rate

Transfer rate:  $\frac{\text{No of heads} \times \text{Capacity of 1 track} \times \text{No of rotations in 1 sec}}{\text{surface}}$

$$\text{DAT} = \underline{\underline{ST}} + \underline{\underline{RT}} + \underline{\underline{IT}} + \underline{\underline{CT}} \text{ (optional)}$$

→ Disk Scheduling Algorithms

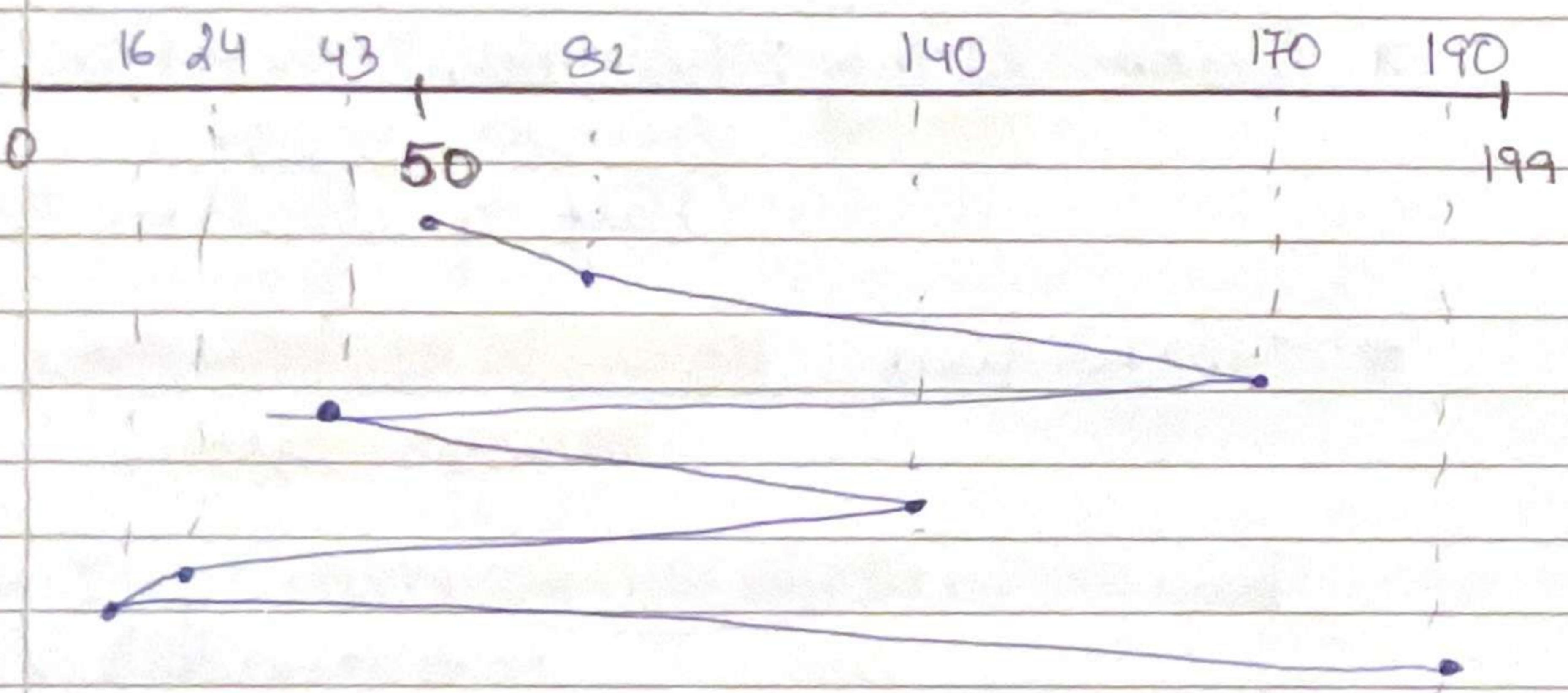
Mimising Seek time

PCFS  
SCAN  
SSTF

FCFS  
SCAN  
SSTF

### 1) FCFS

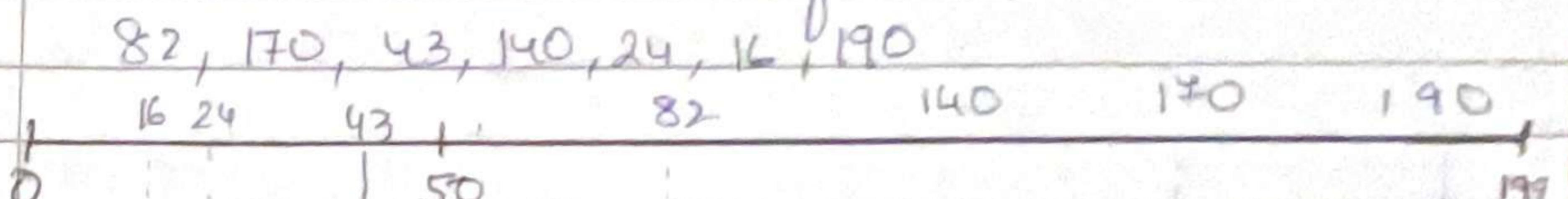
- Req. Que : 82, 170, 43, 140, 24, 16, 190 (200 tracks)
- curr pos : 50
- Cal total no of tracks movement by R/w head



$$\begin{aligned}
 &= (170 - 50) + (170 - 43) + (140 - 43) + (143 - 16) + \\
 &\quad (190 - 16) \\
 &= 642
 \end{aligned}$$

\* No starvation for this algo.

→ Shortest Seek time first (SSTF)



R/w head takes 1ns to move b/w tracks

$$\begin{aligned}
 TT &= (50 - 16) + (190 - 16) \\
 &= 34 + 174 \\
 &= 208 \times 1\text{ns} = 208\text{ ns}
 \end{aligned}$$

\* High chance of starvation

\* Generates overhead (complexity ↑)

→ SCAN Algorithm

direction given (same req que) & dir: move to the last point in that direction longest



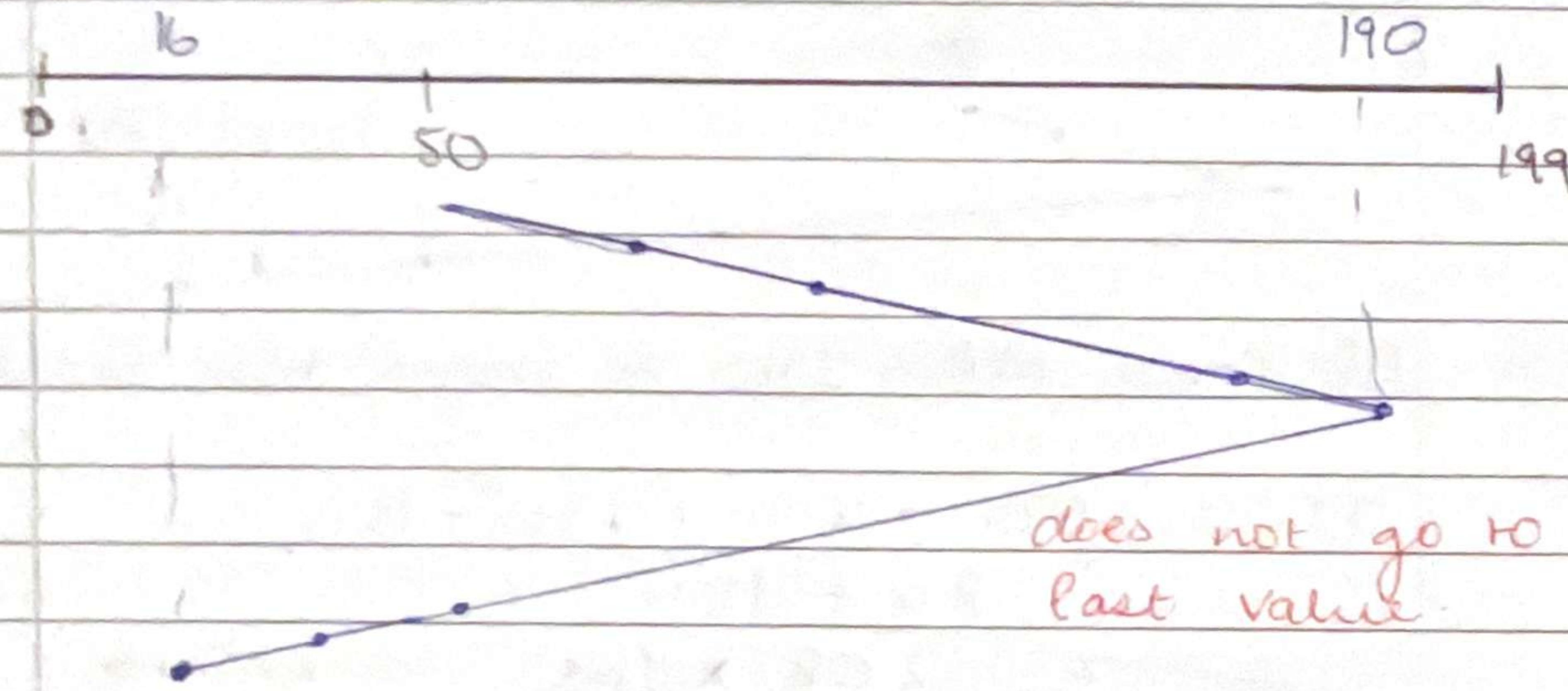
\* may cause starvation

go to 199

Since 16 is last value  
stop here

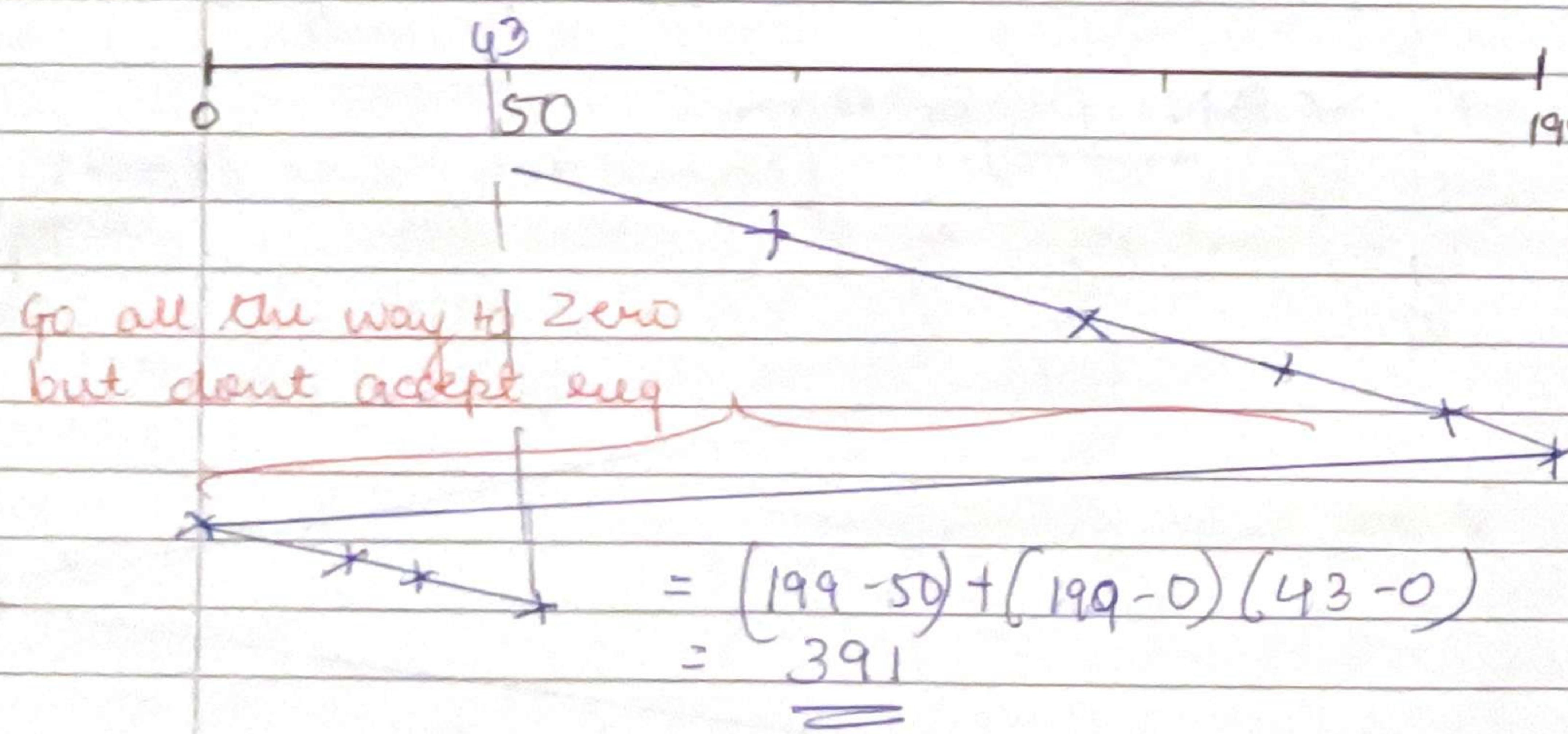
$$\begin{aligned}
 &= (199 - 50) + (199 - 16) \\
 &= 149 + 183 = 332
 \end{aligned}$$

→ Look → Direction large



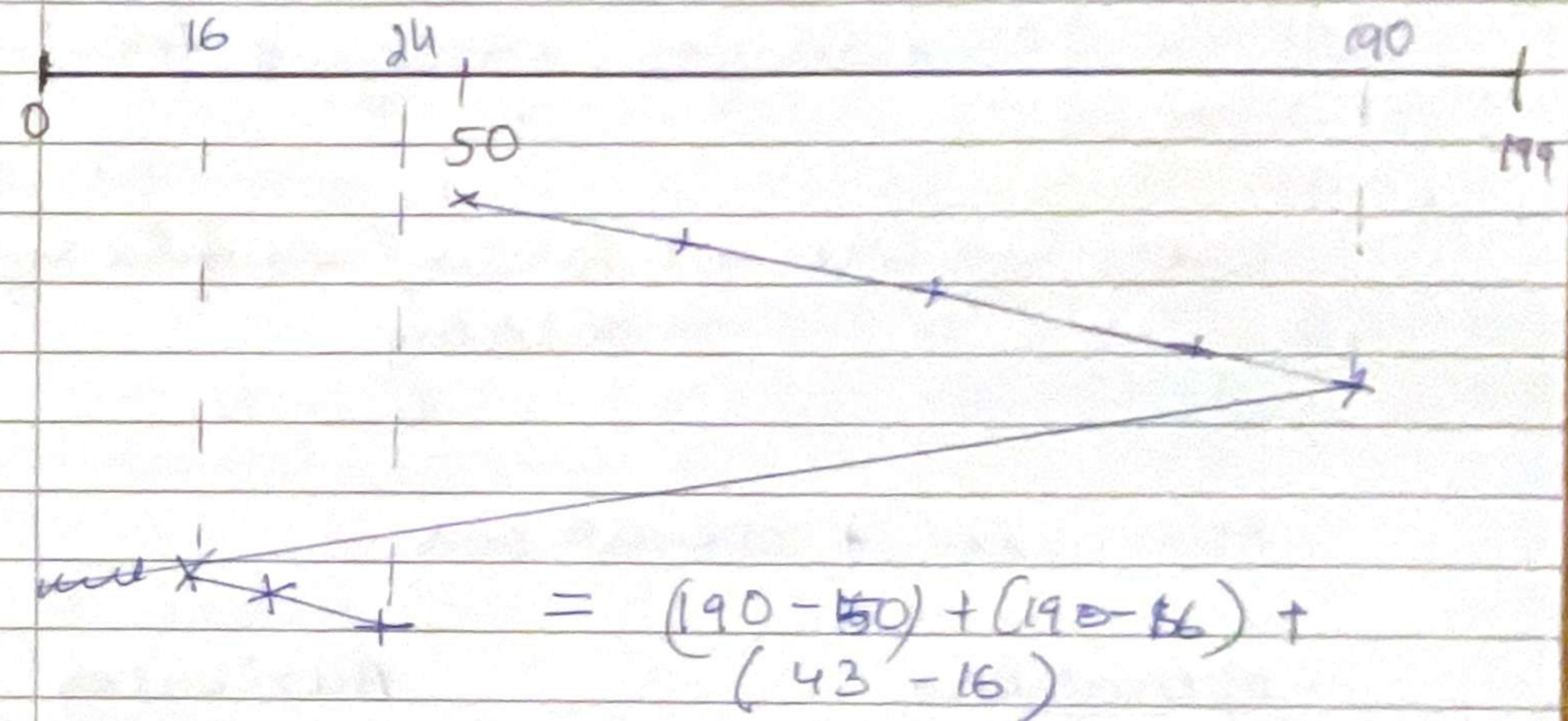
→ C-SCAN

→ Direction large



$$= (190 - 50) + (190 - 0) (43 - 0) \\ = 391$$

→ C-Look  
→ Direction



\* SCAN & C-SCAN are for heavier loads  
default can be SSTF & LOOK

Sector  
Data header  
error-correction code

Virtual memory uses disk space as an extension of main memory.  $\frac{1}{2}$  space swap.

RAID

endodontic array independent disks  
→ reliability via redundancy  
→ ↑ mean time to failure  $\frac{1}{2}$  Mean mean time to repair  $\frac{1}{2}$  time to data loss

## → File System

↓  
software

↳ Manages storage & fetching

User → file → folder/ → file system  
directory

Attributes & operations

### operations

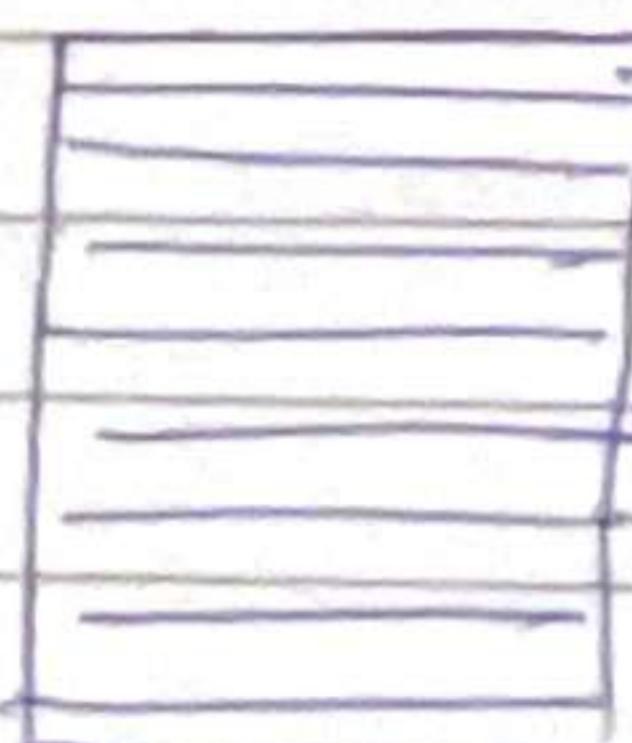
#### 6 Important operations

- 1) Creating
- 2) Reading
- 3) Write
- 4) deleting
- 5) truncate
- 6) Repositioning

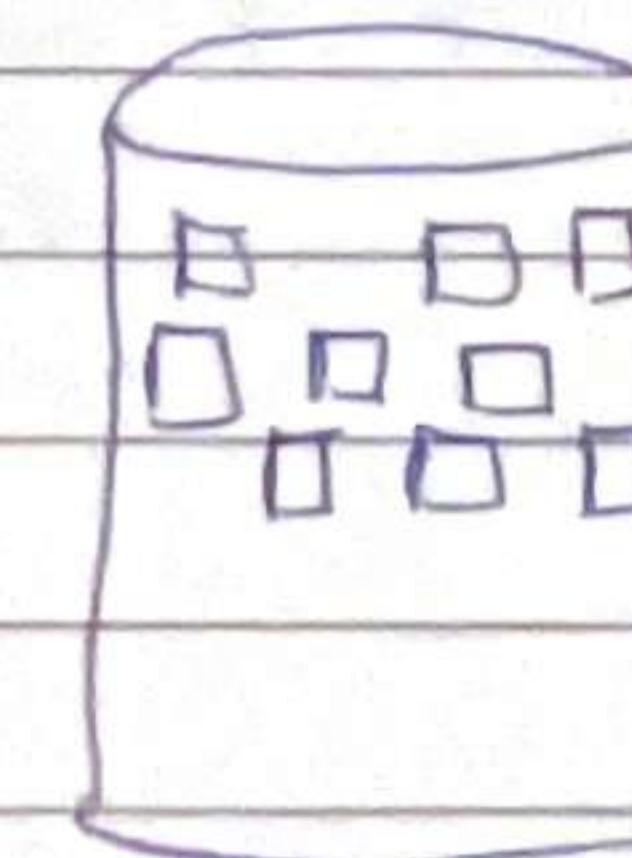
### Attributes

- 1) Name
- 2) Extension
- 3) Identifier
- 4) Location
- 5) Size
- 6) Modified data
- 7) Protection
- 8) Encryption

## Allocation Method



Block



HD

## Allocation

Contiguous

Non Contiguous Alloc

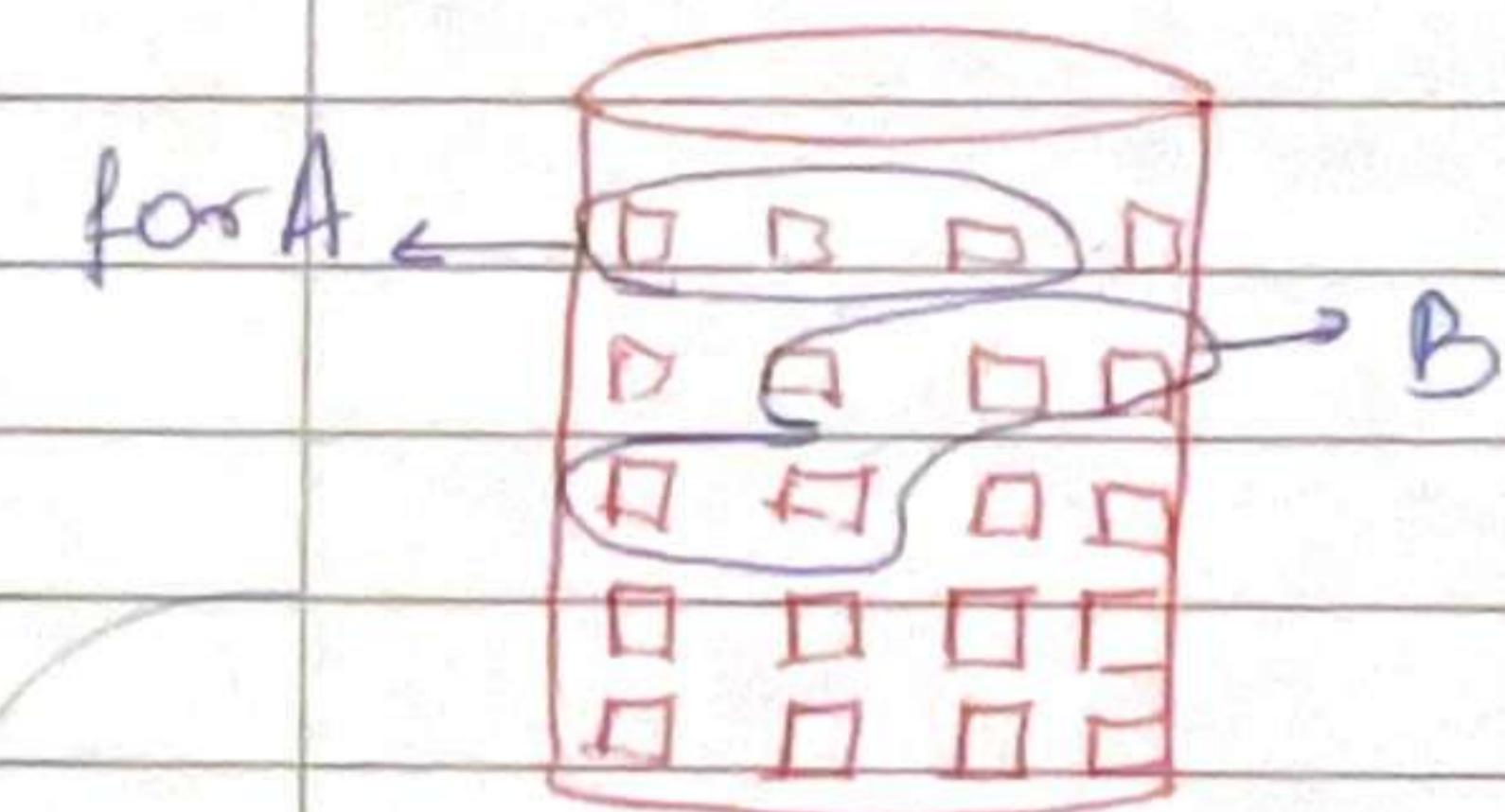
↳ linked list  
↳ Indexed

\* Allocation helps with efficient disk utilisation  
[ Prevent fragmentation ]  
internal or External

\* Access should be faster

## → Contiguous

\* Data stored in a continuous manner



Directory		
file	start	length
A	0	3
B	6	5
C	14	4

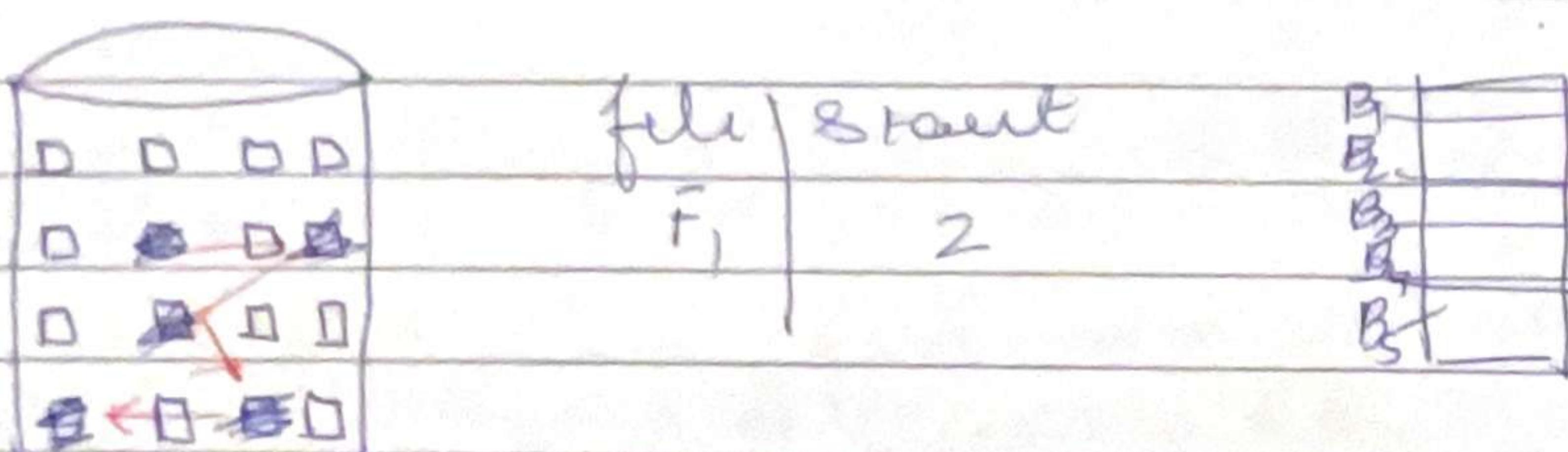
### Advantage

- easy implement
- excellent read performance

### Disadvantage

- Internal frag
- External frag
- difficult to grow file.

→ Linked List allocation.



### Pointer

Data and pointer both are stored

Last block will have pointer value as -1.

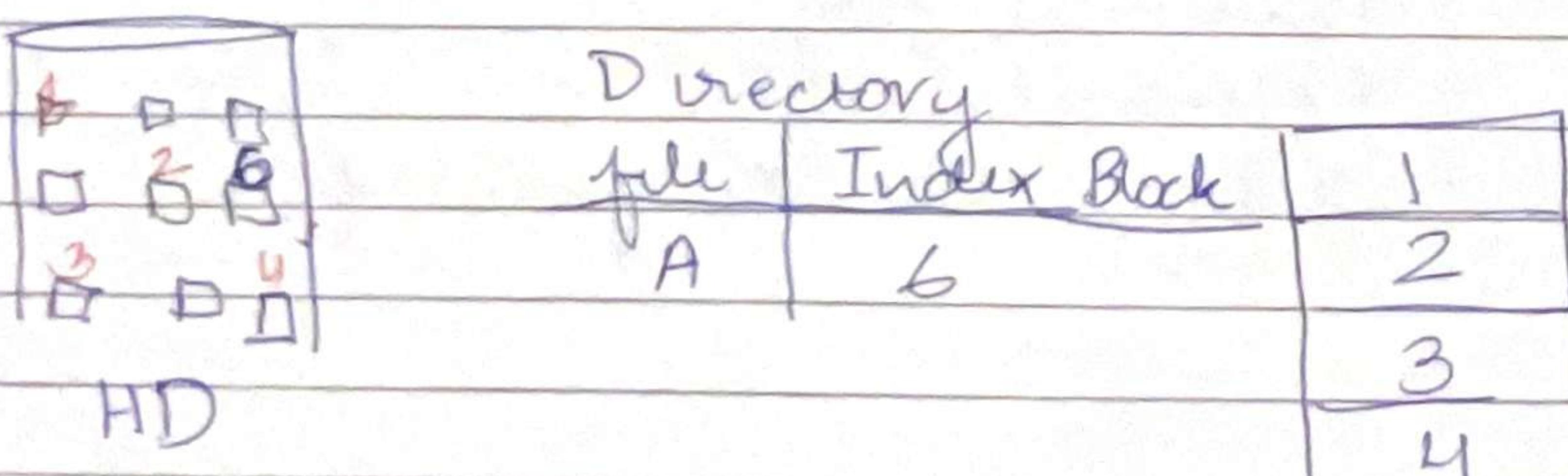
Adv

- no external frag.
- file can grow

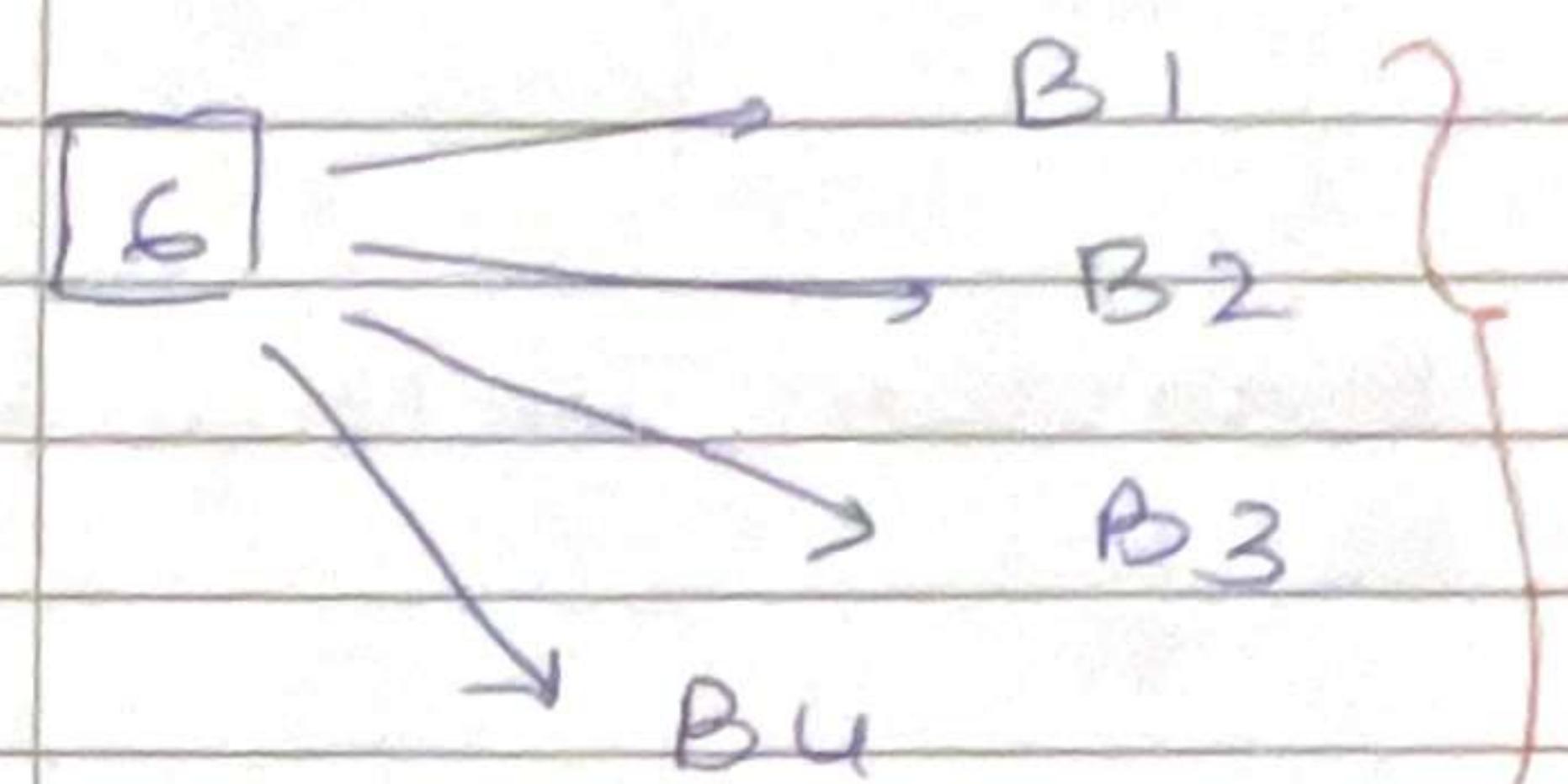
disadv

- large seek time
- Random access
- overhead of pointers.

→ Indexed allocation.



1 block is made as an index such as



Tell me the loc of the blocks

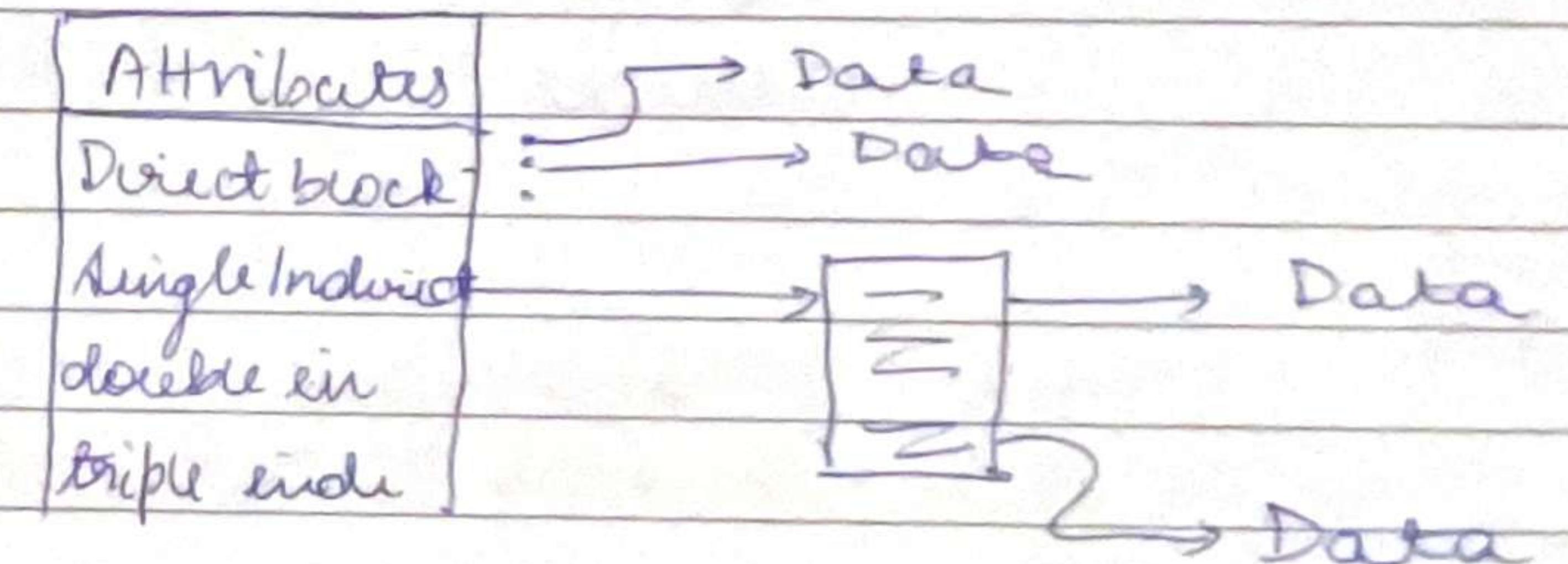
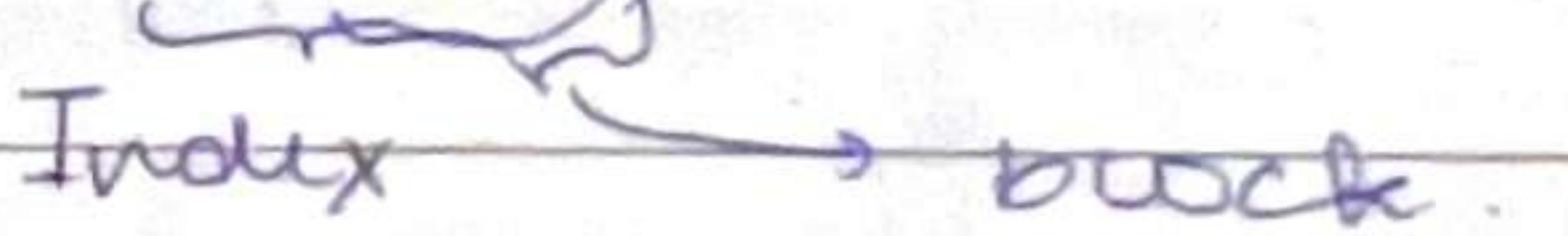
Advantages

- direct access
- no external frag

Disadvantages

- Pointer overhead
- Multilevel index.

→ unix Inode structure.



Q 8 direct block  
1 double  
1 single  
1 triple

Size of each disk block = 128B

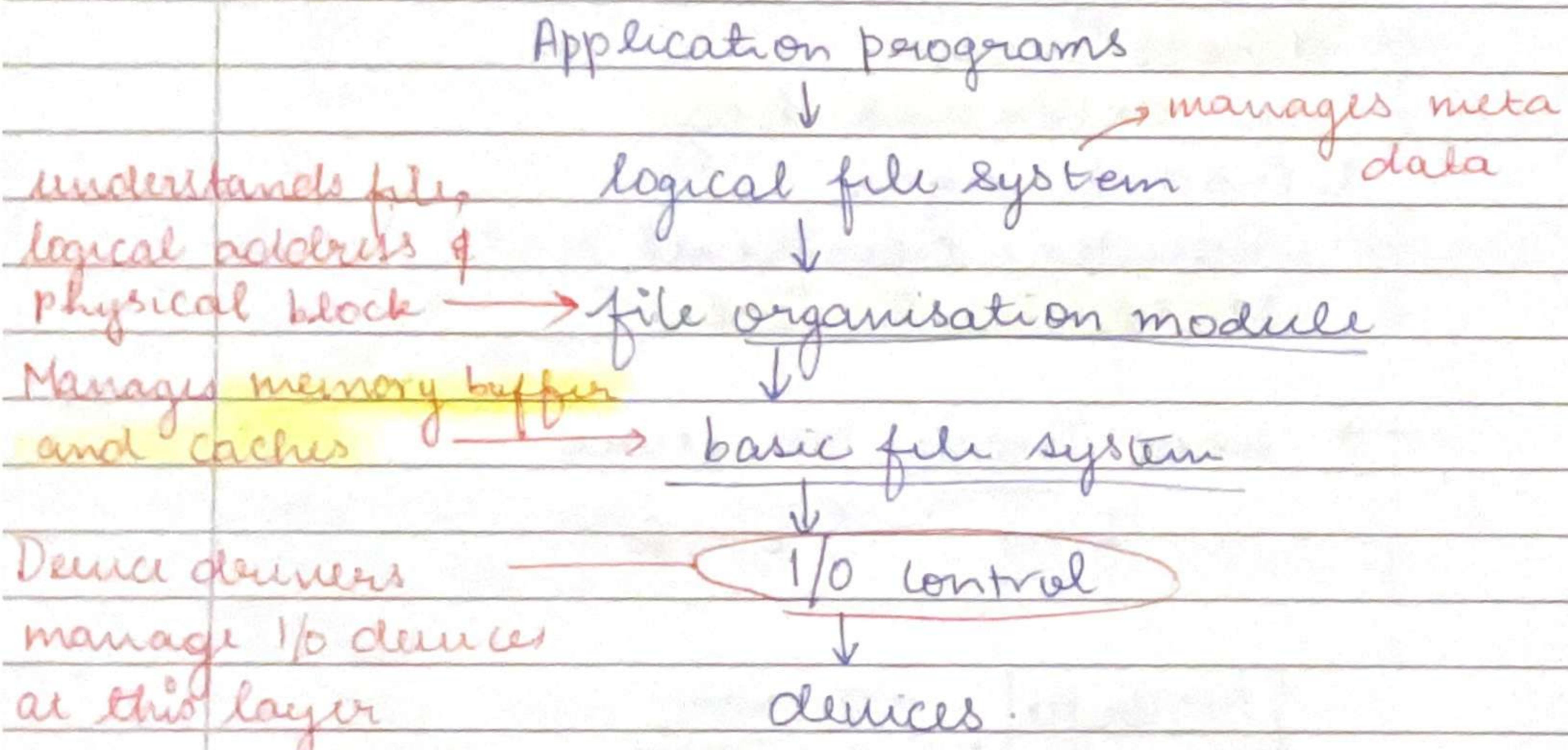
Size of each block address = 8B.

$$\text{Max file size} = (8 + 16 + (16 \times 16) + (16)^3) \times 128$$

Information Associated with the open file  
\* file pointer, file-open count, access rights, disk location of file permission

File control block : structure containing information about the file

## Layered file system



## FCB

file permissions  
file dates (create, access, modify)  
file owner  
file size  
pointer to data blocks

## Questions & doubts

Ready

Q (1)

paging problems

(2)

file system mounting

Gantt