# 松坂和夫『集合・位相入門』の Isabelle/HOL による形式化

Cryolite

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HOL- begin	-Eisbach.Eisbach	
	$ \{x. \ P \ x\} = \{(a, \ b). \ P \ (a, \ b)\} $	
	$\{x \in X. \ P \ x\} = \{(a, b) \in X. \ P \ (a, b)\}$	
	$ \begin{array}{l} \textit{cplit-paired-Ball-Times:} \\ (\forall  x \in A \times B.  P  x) \longleftrightarrow (\forall  a \in A.  \forall  b \in B.  P  (a,  b)) \\ \textit{p} \end{array} $	
	$ \begin{array}{l} \textit{cplit-paired-Bex-Times:} \\ (\exists \ x \in A \times B. \ P \ x) \longleftrightarrow (\exists \ a \in A. \ \exists \ b \in B. \ P \ (a, \ b)) \\ p \end{array} $	
method	split-pair = (	

```
(unfold split-paired-All)?;
    (unfold\ split-paired-Ex)?;
    (unfold split-paired-The)?;
    (subst split-paired-Coll)?:
    (subst split-paired-Collect)?;
    (unfold split-paired-Ball-Times)?;
    (unfold split-paired-Bex-Times)?;
    (unfold case-prod-conv)?)
experiment
  fixes A :: ('a \times 'b) set
begin
lemma
  shows A = A
proof (rule set-eqI; split-pair)
  \mathbf{fix} \ a \ b
  show (a, b) \in A \longleftrightarrow (a, b) \in A..
\mathbf{qed}
\mathbf{lemma}\ \mathit{subsetI-atomize}:
  assumes \forall x. \ x \in X \longrightarrow x \in Y
  shows X \subseteq Y
  using assms by auto
lemma
  assumes A = \{\}
   and B = \{\}
  shows A \subseteq B
proof (rule subsetI-atomize; split-pair)
  {
   \mathbf{fix} \ a \ b
   assume (a, b) \in A
   with assms(1) have (a, b) \in B by simp
  thus \forall a \ b. \ (a, \ b) \in A \longrightarrow (a, \ b) \in B \ \text{by } simp
qed
lemma ex-mem-imp-not-empty:
  assumes \exists x. x \in X
  shows X \neq \{\}
  using assms by auto
```

(unfold split-paired-all)?:

```
assumes (a, b) \in A
 shows A \neq \{\}
proof (rule ex-mem-imp-not-empty; split-pair)
 from assms show \exists a \ b. \ (a, \ b) \in A \ by \ auto
qed
lemma Coll-False-imp-empty:
 assumes X = \{x. False\}
 shows X = \{\}
 using assms by simp
lemma
 assumes A = \{(a, b). a \neq a\}
 shows A = \{\}
proof (rule Coll-False-imp-empty; split-pair)
  from assms show A = \{(a, b), False\} by simp
qed
lemma Collect-False-imp-empty:
 assumes X = \{x \in Y. False\}
 shows X = \{\}
 using assms by simp
lemma
 assumes A = \{(a, b) \in Y. \ a \neq a\}
 shows A = \{\}
proof (rule Collect-False-imp-empty; split-pair)
  from assms show A = \{(a, b) \in Y. False\} by simp
qed
\mathbf{lemma}\ \mathit{subsetI-Ball}:
 assumes \forall x \in X. \ x \in Y
 shows X \subseteq Y
 using assms by auto
lemma
 assumes \bigwedge a\ b.\ (a,\ b)\in X\times Y\Longrightarrow (a,\ b)\in Z\times W
 shows (X \times Y) \subseteq (Z \times W)
proof (rule subsetI-Ball; split-pair)
  {
   \mathbf{fix} \ a \ b
   assume a \in X
     and b \in Y
   with assms have (a, b) \in Z \times W by simp
  }
```

```
thus \forall a \in X. \ \forall b \in Y. \ (a, b) \in Z \times W by simp
\mathbf{qed}
lemma Bex-imp-not-empty:
 assumes \exists x \in X. P x
 shows X \neq \{\}
 using assms by auto
lemma
 assumes x \in X \times Y
 shows X \times Y \neq \{\}
proof (rule Bex-imp-not-empty[where P = \lambda x. True]; split-pair)
 from assms have fst x \in X and snd x \in Y by auto
 thus \exists x \in X. \exists y \in Y. True by auto
\mathbf{qed}
end
end
theory Section-1-1
 imports Main
begin
```

### 第1章

## Sets and Maps

```
1.1
        Notion of Sets
1.1.1 A) Sets and Elements
1.1.2 B) Notation of Sets
1.1.3
         C) Equality of Sets
1.1.4
         D) Subsets
proposition prop-1-1-3:
 \mathbf{shows}\ A = B \longleftrightarrow A \subseteq B \land A \supseteq B
 by (fact set-eq-subset)
proposition prop-1-1-4:
 assumes A \subseteq B
   and B \subseteq C
 shows A \subseteq C
 using assms by simp
proposition prop-1-1-5:
 fixes A :: 'a \ set
 shows \{\} \subseteq A
 by simp
proposition prop-1-1-6:
 assumes x \in \{\}
 shows x \in A
```

**using** assms **by** (fact emptyE)

#### 1.1.5 Problems

```
proposition prob-1-1-1:

shows a \in A \longleftrightarrow \{a\} \subseteq A

by simp

proposition prob-1-1-2:

shows \{1, 2, 3\} = \{n :: nat. \ 1 \le n \land n \le 3\}

by auto
```

```
end
theory Section-1-2
imports Main
Section-1-1
begin
```

#### 1.2 Operations among Sets

#### 1.2.1 A) Union

```
proposition prop-1-2-0-a:

defines A \equiv \{1, 2, 3, 4, 5\}
and B \equiv \{3, 5, 7, 9\}
shows A \cup B = \{1, 2, 3, 4, 5, 7, 9\}
unfolding A-def and B-def by auto

proposition prop-1-2-0-b:
defines A \equiv \{n :: nat. \ even \ n\}
and B \equiv \{n :: nat. \ odd \ n\}
shows A \cup B = UNIV
unfolding A-def and B-def by auto
```

```
proposition prop-1-2-1:
 shows A \cup B = \{x. \ x \in A \lor x \in B\}
 by (fact Un-def)
proposition prop-1-2-2-a:
 shows A \subseteq A \cup B
 by (fact Un-upper1)
proposition prop-1-2-2-b:
 shows B \subseteq A \cup B
 by (fact Un-upper2)
proposition prop-1-2-3:
 assumes A \subseteq C
   and B \subseteq C
 shows A \cup B \subseteq C
 using assms by (fact Un-least)
proposition prop-1-2-4:
 shows A \cup A = A
 by (fact Un-absorb)
proposition prop-1-2-5:
 shows A \cup B = B \cup A
 by auto
proposition prop-1-2-6:
 shows (A \cup B) \cup C = A \cup (B \cup C)
 by auto
proposition prop-1-2-6-b:
  shows ((A \cup B) \cup C) \cup D = (A \cup B) \cup (C \cup D)
   and (A \cup B) \cup (C \cup D) = A \cup (B \cup (C \cup D))
   and A \cup (B \cup (C \cup D)) = A \cup ((B \cup C) \cup D)
   and A \cup ((B \cup C) \cup D) = (A \cup (B \cup C)) \cup D
 by auto
proposition prop-1-2-7:
 assumes A \subseteq B
 shows A \cup B = B
  using assms by (fact Un-absorb1)
proposition prop-1-2-8:
 assumes A \subseteq B
```

```
shows A \cup C \subseteq B \cup C
 using assms by auto
proposition prop-1-2-9:
 shows \{\} \cup A = A
 using prop-1-1-5 by (rule prop-1-2-7)
1.2.2
         B) Intersection
proposition prop-1-2-0-c:
 defines A :: nat set \equiv \{1, 2, 3, 4, 5\}
   and B \equiv \{3, 5, 7, 9\}
 shows A \cap B = \{3, 5\}
 unfolding A-def and B-def by simp
proposition prop-1-2-0-d:
 defines A \equiv \{n :: nat. even n\}
   and B \equiv \{n :: nat. odd n\}
 shows A \cap B = \{\}
 unfolding A-def and B-def by auto
proposition prop-1-2-2'-a:
 shows A \cap B \subseteq A
 by (fact Int-lower1)
proposition prop-1-2-2'-b:
 shows A \cap B \subseteq B
 by (fact Int-lower2)
proposition prop-1-2-3 ':
 assumes C \subseteq A
   and C \subseteq B
 shows C \subseteq A \cap B
 using assms by (fact Int-greatest)
proposition prop-1-2-4 ':
 shows A \cap A = A
 by (fact Int-absorb)
proposition prop-1-2-5':
 shows A \cap B = B \cap A
 by auto
proposition prop-1-2-6 ':
 shows (A \cap B) \cap C = A \cap (B \cap C)
```

```
by auto
proposition prop-1-2-7':
 assumes A \subseteq B
 shows A \cap B = A
 using assms by(fact Int-absorb2)
proposition prop-1-2-8':
 assumes A \subseteq B
 shows A \cap C \subseteq B \cap C
 using assms by auto
proposition prop-1-2-9':
 shows \{\} \cap A = \{\}
 using prop-1-1-5 by (rule prop-1-2-7')
proposition prop-1-2-10:
 shows (A \cup B) \cap C = A \cap C \cup B \cap C
 by (fact Int-Un-distrib2)
proposition prop-1-2-10 ':
 shows (A \cap B) \cup C = (A \cup C) \cap (B \cup C)
 by (fact Un-Int-distrib2)
proposition prop-1-2-11:
 shows (A \cup B) \cap A = A
 by auto
proposition prop-1-2-11 ':
 shows (A \cap B) \cup A = A
 by auto
         C) Difference
1.2.3
proposition prop-1-2-0-e:
 defines A :: nat set \equiv \{1, 2, 3, 4, 5\}
   and B \equiv \{3, 5, 7, 9\}
 shows A - B = \{1, 2, 4\}
 unfolding A-def and B-def by auto
        D) Universal Set
1.2.4
proposition prop-1-2-12-a:
 assumes A \subseteq X
 shows A \cup (X - A) = X
```

```
proposition prop-1-2-12-b:
 — The assumption A \subseteq X is not necessary.
 shows A \cap (X - A) = \{\}
 by (fact Diff-disjoint)
proposition prop-1-2-13:
 assumes A \subseteq X
 shows X - (X - A) = A
 using assms by (simp only: double-diff)
proposition prop-1-2-14-a:
 shows X - \{\} = X
 by (fact Diff-empty)
proposition prop-1-2-14-b:
 shows X - X = \{\}
 by (fact Diff-cancel)
proposition prop-1-2-15:
 assumes A \subseteq X
   and B \subseteq X
 shows A \subseteq B \longleftrightarrow X - A \supseteq X - B
 using assms by auto
proposition prop-1-2-16:
 — The assumption A \subseteq X is not necessary.
 — The assumption B \subseteq X is not necessary.
 shows X - (A \cup B) = (X - A) \cap (X - B)
 by (fact Diff-Un)
proposition prop-1-2-16':
 — The assumption A \subseteq X is not necessary.
 — The assumption B \subseteq X is not necessary.
 shows X - (A \cap B) = (X - A) \cup (X - B)
 by (fact Diff-Int)
         E) Family of Sets, Power Set
1.2.5
proposition prop-1-2-0-f:
 fixes a and b and c
 defines X \equiv \{a, b, c\}
 shows Pow X = \{\{\}, \{a\}, \{b\}, \{c\}, \{a, b\}, \{b, c\}, \{c, a\}, \{a, b, c\}\}\}
 unfolding X-def by blast
```

using assms by auto

```
proposition prop-1-2-0-g:
 shows Pow \{\} = \{\{\}\}
 by (fact Pow-empty)
proposition prop-1-2-0-h:
 assumes finite X
   and card X = n
 shows card (Pow X) = 2 \hat{n}
using assms proof (induct n arbitrary: X)
 from \theta and assms(1) have X = \{\} by simp
 thus ?case by simp
next
 case (Suc n')
 from Suc.prems obtain x and X' where card X' = n' and insert x X' = X and x \notin X'
   by (blast dest: card-eq-SucD)
 from Suc.prems(1) and this(2) have finite X' by auto
 with \langle card X' = n' \rangle have card (Pow X') = 2 \hat{n}' by (intro Suc.hyps)
 note \langle finite X' \rangle
 moreover have Pow (insert x X') = Pow X' \cup insert x' Pow X' by (fact Pow-insert)
 moreover from \langle x \notin X' \rangle have Pow X' \cap insert x 'Pow X' = \{\} by auto
 ultimately have card\ (Pow\ (insert\ x\ X')) = card\ (Pow\ X') + card\ (insert\ x\ 'Pow\ X')
   by (simp add: card-Un-disjoint)
 moreover have card (insert x 'Pow X') = card (Pow X')
 proof (rule card-image, rule inj-onI)
   \mathbf{fix} \ A \ B
   assume A \in Pow X'
    and B \in Pow X'
     and insert \ x \ A = insert \ x \ B
   from this(1,2) and \langle x \notin X' \rangle have x \notin A and x \notin B by auto
   with (insert x A = insert x B) show A = B by auto
 qed
 moreover note (insert \ x \ X' = X)
 ultimately have card (Pow X) = card (Pow X') + card (Pow X') by simp
 also from \langle card \ (Pow \ X') = 2 \ \hat{\ } n' \rangle have ... = 2 \ \hat{\ } (Suc \ n') by simp
 finally show ?case.
qed
         F) Union and Intersection of Family of Sets
```

proposition prop-1-2-17: shows  $\forall A \in \mathfrak{A}$ .  $A \subseteq \bigcup \mathfrak{A}$ 

by auto

```
proposition prop-1-2-18:
 assumes \forall A \in \mathfrak{A}. A \subseteq C
 shows \bigcup \mathfrak{A} \subseteq C
 using assms by auto
proposition prop-1-2-17':
 shows \forall A \in \mathfrak{A}. \cap \mathfrak{A} \subseteq A
 by auto
proposition prop-1-2-18':
  assumes \forall A \in \mathfrak{A}. \ C \subseteq A
 shows C \subseteq \bigcap \mathfrak{A}
  using assms by auto
1.2.7
          Problems
proposition prob-1-2-1-a:
 — The assumption A \subseteq X is not necessary.
 — The assumption B \subseteq X is not necessary.
 shows (A \cup B) \cap (A \cup (X - B)) = A
 by auto
proposition prob-1-2-1-b:
 — The assumption A \subseteq X is not necessary.
 — The assumption B \subseteq X is not necessary.
 shows (A \cup B) \cap ((X - A) \cup B) \cap (A \cup (X - B)) = A \cap B
 by auto
proposition prob-1-2-2-a:
 assumes — The assumption A \subseteq X is not necessary.
    B \subseteq X
 shows A \cap B = \{\} \longleftrightarrow B \subseteq X - A
 using assms by auto
proposition prob-1-2-2-b:
 assumes A \subseteq X
   — The assumption B \subseteq X is not necessary.
 shows A \cap B = \{\} \longleftrightarrow A \subseteq X - B
  using assms by auto
proposition prob-1-2-3-a-a:
 shows A - B = (A \cup B) - B
 by auto
```

**proposition** prob-1-2-3-a-b:

shows 
$$A-B=A-(A\cap B)$$
 by  $auto$ 

proposition  $prob-1-2-3-a-c$ :
 assumes  $A\subseteq X$ 
— The assumption  $B\subseteq X$  is not necessary. shows  $A-B=A\cap (X-B)$  using  $assms$  by  $auto$ 

proposition  $prob-1-2-3-b$ :
 shows  $A-B=A\longleftrightarrow A\cap B=\{\}$  by  $auto$ 

proposition  $prob-1-2-3-c$ :
 shows  $A-B=\{\}\longleftrightarrow A\subseteq B$  by  $(fact\ Diff-eq-empty-iff)$ 

proposition  $prob-1-2-4-a$ :
 shows  $A-(B\cup C)=(A-B)\cap (A-C)$  by  $(fact\ prop-1-2-16)$ 

proposition  $prob-1-2-4-b$ :
 shows  $A-(B\cap C)=(A-B)\cup (A-C)$  by  $(fact\ prop-1-2-16')$ 

proposition  $prob-1-2-4-c$ :
 shows  $(A\cap B)-C=(A-C)\cap (B-C)$  by  $(fact\ Un-Diff)$ 

proposition  $prob-1-2-4-c$ :
 shows  $(A\cap B)-C=(A-C)\cap (B-C)$  by  $(fact\ Diff-Int-distrib)$ 

proposition  $(fact\ Diff-Int-distrib)$ 

by auto

```
proposition prob-1-2-6:
 assumes A \subseteq C
 shows A \cup (B \cap C) = (A \cup B) \cap C
 using assms by auto
definition sym-diff :: 'a set \Rightarrow 'a set \Rightarrow 'a set (infixl \triangle 65)
  where sym-diff A B = (A - B) \cup (B - A)
lemmas sym-diff-eq [iff] = sym-diff-def
proposition prob-1-2-7-a:
 shows A \triangle B = B \triangle A
 by auto
proposition prob-1-2-7-b:
 shows A \triangle B = (A \cup B) - (A \cap B)
 by auto
proposition prob-1-2-7-c:
 shows (A \triangle B) \triangle C = A \triangle (B \triangle C)
 by auto
proposition prob-1-2-7-d:
 shows A \cap (B \triangle C) = (A \cap B) \triangle (A \cap C)
 by auto
proposition prob-1-2-8-a [simp]:
 shows A \triangle \{\} = A
 by simp
proposition prob-1-2-8-b:
 assumes A \subseteq X
 shows A \triangle X = X - A
 using assms by auto
proposition prob-1-2-8-c [simp]:
 shows A \triangle A = \{\}
 by simp
proposition prob-1-2-8-d:
 assumes A \subseteq X
 shows A \triangle (X - A) = X
 using assms by auto
```

**proposition** *prob-1-2-9*:

```
assumes A_1 \triangle A_2 = B_1 \triangle B_2

shows A_1 \triangle B_1 = A_2 \triangle B_2

using assms by auto

end

theory Section-1-3

imports Main

Split-Pair

Section-1-2

begin
```

#### 1.3 Correspondences, Functions

#### 1.3.1 A) Direct Product of Two Sets

```
proposition example-1-3-1:

fixes p and q and r

defines A \equiv \{1, 2\}

and B \equiv \{p, q, r\}

shows A \times B = \{(1, p), (1, q), (1, r), (2, p), (2, q), (2, r)\}

and A \times A = \{(1, 1), (1, 2), (2, 1), (2, 2)\}

unfolding A-def and B-def by auto

proposition example-1-3-2:

assumes finite A

and finite B

and card\ A = m

and card\ B = n

shows card\ (A \times B) = m * n

using assms by simp
```

#### 1.3.2 B) Notion of Correspondence

In this section, a correspondence is defined by a function of the type  $a \Rightarrow b$  set. Neither the initial nor target set of a correspondence is not explicitly specified. Instead, for a correspondence  $\Gamma$ , its initial set is implicitly specified by a set A::'a set such that  $\forall a. a \notin A \longrightarrow \Gamma$   $a = \{\}$ , and its target set is implicitly specified by a set A::'a set such that  $A::'a \in A$ .

#### 1.3.3 C) Graph of Correspondence

```
definition corr-graph :: ('a \Rightarrow 'b \ set) \Rightarrow ('a \times 'b) \ set

where corr-graph \Gamma = \{(a, b), b \in \Gamma \ a\}
```

```
lemma corr-graphI [intro]:
 assumes b \in \Gamma a
 shows (a, b) \in corr\operatorname{-graph} \Gamma
 using assms unfolding corr-graph-def by simp
lemma corr-graphD [dest]:
 assumes (a, b) \in corr\operatorname{-}qraph \Gamma
 shows b \in \Gamma a
  using assms unfolding corr-graph-def by simp
proposition prop-1-3-1:
  shows \Gamma a = \{b. (a, b) \in corr\text{-}graph \ \Gamma\}
 by auto
theorem thm-1-1:
  shows \exists ! \Gamma. corr-graph \Gamma = G
proof -
 define \Gamma where \Gamma a \equiv \{b. (a, b) \in G\} for a
 \mathbf{show}~? the sis
 proof (rule ex1I)
    from \Gamma-def show corr-graph \Gamma = G by auto
 next
   fix \Gamma'
   assume corr-graph \Gamma' = G
   with \Gamma-def show \Gamma' = \Gamma by auto
 qed
qed
lemma corr-graph-inject:
  assumes corr-graph \Gamma = corr-graph \Gamma'
 shows \Gamma = \Gamma'
  using assms thm-1-1 by auto
definition corr-dom :: ('a \Rightarrow 'b \ set) \Rightarrow 'a \ set
 where corr-dom \Gamma = \{a. \exists b. (a, b) \in corr-graph \ \Gamma\}
lemma corr-domI [intro]:
 assumes b \in \Gamma a
 shows a \in corr\text{-}dom \ \Gamma
  using assms unfolding corr-dom-def by auto
lemma corr-domE [elim]:
  assumes a \in corr\text{-}dom \ \Gamma
 obtains b where b \in \Gamma a
  using assms unfolding corr-dom-def by auto
```

```
definition corr-range :: ('a \Rightarrow 'b \ set) \Rightarrow 'b \ set
  where corr-range \Gamma = \{b. \exists a. (a, b) \in corr-graph \ \Gamma\}
lemma corr-rangeI [intro]:
 assumes b \in \Gamma a
 shows b \in corr\text{-}range \Gamma
 using assms unfolding corr-range-def by auto
lemma corr-rangeE [elim]:
 assumes b \in corr\text{-}range \Gamma
 obtains a where b \in \Gamma a
  using assms unfolding corr-range-def by auto
1.3.4
          D) Inverse of Correspondence
definition corr-inv :: ('a \Rightarrow 'b \ set) \Rightarrow 'b \Rightarrow 'a \ set
 where corr-inv \Gamma b = \{a. b \in \Gamma a\}
lemma corr-invI [intro]:
 assumes b \in \Gamma a
 shows a \in corr\text{-}inv \Gamma b
  using assms unfolding corr-inv-def by simp
lemma corr-invD [dest]:
 assumes a \in corr\text{-}inv \Gamma b
 shows b \in \Gamma a
  using assms unfolding corr-inv-def by simp
proposition prop-1-3-2:
 shows b \in \Gamma a \longleftrightarrow a \in corr\text{-}inv \Gamma b
 by auto
proposition prop-1-3-a:
  shows corr-graph (corr-inv \Gamma) = {(b, a). (a, b) \in corr-graph \Gamma}
 by auto
proposition prop-1-3-3-a:
 shows corr-dom (corr-inv \Gamma) = corr-range \Gamma
 by auto
proposition prop-1-3-3-b:
 shows corr-range (corr-inv \Gamma) = corr-dom \Gamma
 by auto
```

```
proposition prop-1-3-4:

shows corr-inv (corr-inv \Gamma) = \Gamma

by auto

proposition prop-1-3-b:

shows corr-inv \Gamma b \neq {} \longleftrightarrow b \in corr-range \Gamma

by auto

1.3.5 E) Maps
```

#### **definition** as-corr-on :: $('a \Rightarrow 'b) \Rightarrow 'a \ set \Rightarrow 'a \Rightarrow 'b \ set$ where as-corr-on $f \ A \ a = (if \ a \in A \ then \ \{f \ a\} \ else \ \{\})$

as-corr-on transforms a function into the correspondence. Since a function f in Isabelle/HOL is total, which means that the function is always defined on the universal set UNIV of the type 'a, as-corr-on additionally specifies a set of the type 'a set that should be considered as the domain of the function.

```
lemma as-corr-onI [intro]:
 assumes a \in A
    and f a = b
  shows b \in as\text{-}corr\text{-}on f A a
  using assms unfolding as-corr-on-def by simp
lemma as-corr-onE [elim]:
 assumes b \in as\text{-}corr\text{-}on f A a
 obtains a \in A and f = b
  from assms have *: b \in (if \ a \in A \ then \ \{f \ a\} \ else \ \{\}) by (simp \ only: as-corr-on-def)
  {
    assume a \notin A
    with * have False by simp
 hence a \in A by auto
 moreover from this and * have f = b by simp
  ultimately show thesis by (fact that)
qed
definition corr-functional-on :: ('a \Rightarrow 'b \ set) \Rightarrow 'a \ set \Rightarrow bool
  where corr-functional-on \Gamma A \longleftrightarrow (\forall a. (a \in A \longrightarrow (\exists!b.\ b \in \Gamma\ a)) \land (a \notin A \longrightarrow \Gamma\ a = \{\}))
definition id\text{-}on :: ('a \Rightarrow 'a) \Rightarrow 'a \ set \Rightarrow bool
  where id\text{-}on\ f\ A\longleftrightarrow (\forall\ a\in A.\ f\ a=a)
```

id-on f A states that the function f behaves as an identity function on the set A. This propo-

```
lemmas id-on-iff = id-on-def
lemma id-onI [intro]:
 assumes \bigwedge a. a \in A \Longrightarrow f \ a = a
 shows id\text{-}on\ f\ A
 using assms unfolding id-on-def by simp
lemma id-onD [dest]:
 assumes id-on f A
   and a \in A
 shows f a = a
 using assms unfolding id-on-def by fast
lemma id-on-empty [simp]:
 shows id\text{-}on f \{\}
 by auto
lemma set-eqI2:
 assumes \bigwedge x. x \in A \Longrightarrow x \in B
   and \bigwedge x. \ x \in B \Longrightarrow x \in A
 shows A = B
 using assms by blast
lemma id-on-imp-surj-on:
 assumes id\text{-}on\ f\ A
 shows f \cdot A = A
 using assms by force
lemma id-on-imp-inj-on:
 assumes id\text{-}on\ f\ A
 shows inj-on f A
proof (rule inj-onI)
 fix a and a'
 assume a \in A and a' \in A and f = f a'
 with assms show a = a' using id-onD by fastforce
qed
lemma id-on-imp-bij-betw:
 assumes id\text{-}on\ f\ A
 shows bij-betw f A A
 using assms by (auto intro: bij-betw-imageI dest: id-on-imp-surj-on id-on-imp-inj-on)
lemma thm-1-2-a:
 assumes — The assumption G \subseteq A \times B is not necessary.
```

sition does not specify any other property of f on an element out of A.

```
f'A \subseteq B
   and corr-graph (as-corr-on fA) = G
  shows \forall a \in A. \exists ! b \in B. (a, b) \in G
  using assms by fast
lemma thm-1-2-b:
  assumes G \subseteq A \times B
   and \forall a \in A. \exists! b \in B. (a, b) \in G
 obtains f where
   f'A \subseteq B
   and corr-graph (as-corr-on fA) = G
proof -
 define f where f a \equiv THE b. b \in B \land (a, b) \in G for a
 have f0: f \ a \in B and f1: (a, f \ a) \in G if a \in A for a
 proof -
   from assms(2) and that have \exists !b.\ b \in B \land (a, b) \in G by simp
   hence f \ a \in B \land (a, f \ a) \in G (is ?L \land ?R) unfolding f-def by (fact the I')
   thus ?L and ?R by simp+
 qed
  from f\theta have f ' A \subseteq B by auto
  moreover have corr-graph (as-corr-on f A) = G
  proof (rule set-eqI2, split-pair; split-pair)
   \mathbf{fix} \ a \ b
   assume (a, b) \in corr\text{-}graph \ (as\text{-}corr\text{-}on \ f \ A)
   hence b \in as\text{-}corr\text{-}on \ f \ A \ a \ by \ auto
   hence a \in A and f = b by auto
   thus (a, b) \in G by (auto intro: f1)
  next
   \mathbf{fix} \ a \ b
   assume (a, b) \in G
   with assms(1) have a \in A and b \in B by auto
   from this(1) and assms(2) have \exists !b \in B. (a, b) \in G by simp
   with \langle b \in B \rangle and \langle (a, b) \in G \rangle have f(a) = b unfolding f-def by auto
   with \langle a \in A \rangle have b \in as\text{-}corr\text{-}on \ f \ A \ a \ by \ auto
   thus (a, b) \in corr-graph (as-corr-on f A) by auto
 qed
  ultimately show thesis by (fact that)
qed
theorem thm-1-2:
  assumes G \subseteq A \times B
 shows (\exists f. f 'A \subseteq B \land corr-graph (as-corr-on fA) = G) \longleftrightarrow (\forall a \in A. \exists! b \in B. (a, b) \in G)
   (is ?L \longleftrightarrow ?R)
proof (intro iffI)
  assume ?L
```

```
then obtain f where f 'A \subseteq B and corr-graph (as-corr-on f A) = G by blast
 thus ?R by (elim\ thm-1-2-a)
next
 assume ?R
 with assms obtain f where f 'A \subseteq B and corr-graph (as-corr-on f A) = G by (elim thm-1-2-b)
 thus ?L by auto
qed
1.3.6
          Problems
proposition prob-1-3-3-a:
 assumes — The assumption corr-graph \Gamma \subseteq A \times B is not necessary.
     — Since corr-dom \Gamma = A^* is an assumption, the assumption corr-graph \Gamma \subseteq A \times B can be
replaced by corr-range \Gamma \subseteq B.
    corr-range \Gamma \subseteq B
   and corr-dom \Gamma = A
   and \land b \ b'. \ b \in B \Longrightarrow b' \in B \Longrightarrow b \neq b' \Longrightarrow corr-inv \ \Gamma \ b \cap corr-inv \ \Gamma \ b' = \{\}
  obtains f where f ' A \subseteq B and as-corr-on f A = \Gamma
proof -
  {
   \mathbf{fix} \ a
   assume a \in A
   with assms(2) obtain b where *: b \in \Gamma a by auto
   with assms(1) have b \in B by auto
    moreover from * have (a, b) \in corr\text{-}graph \Gamma by auto
    moreover {
      fix b'
      assume b' \in B and (a, b') \in corr\text{-}graph \Gamma
      {
       assume b' \neq b
       moreover note \langle b \in B \rangle and \langle b' \in B \rangle
       moreover have corr-inv \Gamma b \cap corr-inv \Gamma b' \neq \{\}
       proof -
         from \langle b \in \Gamma \ a \rangle have a \in corr-inv \ \Gamma \ b by auto
         moreover from \langle (a, b') \in corr\text{-}graph \ \Gamma \rangle have a \in corr\text{-}inv \ \Gamma \ b' by auto
         ultimately show ?thesis by auto
       qed
        ultimately have False using assms(3) by simp
     hence b' = b by auto
   ultimately have \exists ! b \in B. (a, b) \in corr\operatorname{-graph} \Gamma by blast
 hence \forall a \in A. \exists ! b \in B. (a, b) \in corr-graph \Gamma ...
```

**moreover from** assms(1,2) **have** corr-graph  $\Gamma \subseteq A \times B$  **by** auto

```
ultimately obtain f
    where f : A \subseteq B
      and corr-graph (as-corr-on f(A) = corr-graph \Gamma by (elim thm-1-2-b)
  hence as-corr-on f A = \Gamma by (elim corr-graph-inject)
  with \langle f : A \subseteq B \rangle show thesis by (rule that)
qed
proposition prob-1-3-3-b:
  assumes — The assumption corr-graph \Gamma \subseteq A \times B is not necessary.
     — Original assumptions would include corr-graph \Gamma \subseteq A \times B but it can be weakened to the
assumption corr-dom \Gamma \subseteq A.
    corr-dom \ \Gamma \subseteq A
    and f ' A \subseteq B
    and as-corr-on f A = \Gamma
  obtains corr\text{-}dom \ \Gamma = A
    and \bigwedge b \ b'. b \in B \Longrightarrow b' \in B \Longrightarrow b \neq b' \Longrightarrow corr-inv \ \Gamma \ b \cap corr-inv \ \Gamma \ b' = \{\}
proof -
  from assms(3) have corr-graph (as-corr-on f(A) = corr-graph \Gamma by simp
  with assms(2) have *: \forall a \in A. \exists!b \in B. (a, b) \in corr-graph \Gamma by (rule\ thm-1-2-a)
  note assms(1)
  moreover have A \subseteq corr\text{-}dom \ \Gamma \text{ using } * \text{ by } blast
  ultimately have corr-dom \Gamma = A ..
  moreover {
    fix b and b'
    assume b \in B and b' \in B and b \neq b'
    {
      \mathbf{fix} \ a
      assume a \in corr\text{-}inv \Gamma b and a \in corr\text{-}inv \Gamma b'
      hence (a, b) \in corr\text{-}graph \ \Gamma \text{ and } (a, b') \in corr\text{-}graph \ \Gamma \text{ by } auto
      moreover from \langle (a, b) \in corr\text{-}graph \ \Gamma \rangle and assms(1) have a \in A by auto
      moreover note \langle b \in B \rangle and \langle b' \in B \rangle
      ultimately have b = b' using * by auto
      with \langle b \neq b' \rangle have False ...
    hence corr-inv \Gamma b \cap corr-inv \Gamma b' = \{\} by auto
  ultimately show thesis by (fact that)
qed
proposition prob-1-3-3:
  assumes corr-dom \ \Gamma \subseteq A
    and corr-range \Gamma \subseteq B
  shows corr\text{-}dom\ \Gamma = A \land (\forall\ b \in B.\ \forall\ b' \in B.\ b \neq b' \longrightarrow corr\text{-}inv\ \Gamma\ b \cap corr\text{-}inv\ \Gamma\ b' = \{\}) \longleftrightarrow
         (\exists f. \ f \ `A \subseteq B \land as\text{-}corr\text{-}on \ f \ A = \Gamma) \ (\mathbf{is} \ ?L \longleftrightarrow ?R)
proof (intro iffI)
```

```
assume ?L
 hence corr-dom \Gamma = A
   and \bigwedge b \ b'. b \in B \Longrightarrow b' \in B \Longrightarrow b \neq b' \Longrightarrow corr-inv \ \Gamma \ b \cap corr-inv \ \Gamma \ b' = \{\} \ by \ simp+
 with assms(2) obtain f where f ' A \subseteq B and as\text{-}corr\text{-}on f A = \Gamma by (rule \ prob\text{-}1\text{-}3\text{-}3\text{-}a)
 thus ?R by auto
next
 assume ?R
 then obtain f where f ' A \subseteq B and as-corr-on f A = \Gamma by auto
 with assms(1) show ?L by blast
qed
proposition prob-1-3-4-a:
 assumes id-on f A
 shows corr-graph (as-corr-on f(A) = \{(a, a) \mid a. a \in A\} (is ?L = ?R)
proof -
 have ?L = \{(a, b). a \in A \land b = f a\} by auto
 also from assms have ... = ?R by auto
 finally show ?thesis.
qed
proposition prob-1-3-4-b:
 assumes \forall a \in A. f a = b_0
 shows corr-graph (as-corr-on f(A) = \{(a, b_0) \mid a. a \in A\} (is ?L = ?R)
proof -
 have ?L = \{(a, b). a \in A \land b = f a\} by auto
 also from assms have ... = ?R by fastforce
 finally show ?thesis.
qed
end
theory Section-1-4
 imports Main
    HOL-Library.Indicator-Function
   Section-1-3
begin
```

#### 1.4 Various Concepts on Functions

In this and the following sections, functions in usual mathematics are formalized in terms of functions in Isabelle/HOL. Because careful handling is required in such formalization, let me explain the detail.

Functions in usual mathematics are associated with its domain and codomain. The careful handling is required in their formalization. A function in Isabelle/HOL (say,  $f::'a \Rightarrow 'b$ ) is

associated with the domain (the universal set of the type 'a) and the codomain (the universal set of the type 'b). However, because (the universal set of) types in Isabelle/HOL are not expressive enough to encode an arbitrary set (which is equivalent to an arbitrary proposition under the axiom schema of comprehension in Isabelle/HOL), a subset of the universal set of the type 'a is needed to be explicitly specified in order to formalize the domain of a function in usual mathematics.

As a result, a function f in Isabelle/HOL that formalizes a function in usual mathematics has "two domains"; one is the original one, i.e., the universal set of the type 'a, and the other is the one that is explicitly specified in order to express the domain of the function in usual mathematics.

Let A be a set that is specified to express the domain of a function in usual mathematics. It should be always noted that the function in Isabelle/HOL is defined on -A. This fact is essentially different from the function in usual mathematics, where it is simply undefined out of A. This difference demands careful handling. The statement of definitions and propositions concerning functions in usual mathematics should be properly rephrased to reflect the difference.

How each concept concerning functions in usual mathematics is rephrased in the following sections is named below;

- The codomain is explicitly specified as a set B such that f '  $A \subseteq B$  only when it is actually required in definitions and propositions.
- The inverse image of a set  $Q \subseteq B$  under f is defined  $f Q \cap A$  since  $f Q \cap A$  could include an element out of A.
- Surjectivity of f is stated as the proposition f' A = B.
- Injectivity of f is stated as the proposition inj-on f A.
- Equality of two function f and g on a set A is defined by the proposition  $\forall a \in A$ . f a g g g, which is shortly stated as the proposition ext-eq-ext-eq-ext-
- (TODO: inverse)

shows ext-eq-on A f f'

Extensional equality of two functions on a set.

using assms unfolding ext-eq-on-def by simp

```
definition ext\text{-}eq\text{-}on :: 'a \ set \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b) \Rightarrow bool

where ext\text{-}eq\text{-}on \ A \ f \ f' \longleftrightarrow (\forall \ a \in A. \ f \ a = f' \ a)

lemma ext\text{-}eq\text{-}onI \ [intro]:

assumes \bigwedge a. \ a \in A \Longrightarrow f \ a = f' \ a
```

```
lemma ext-eq-onD [dest]:
 assumes ext-eq-on A f f'
   and a \in A
 shows f a = f' a
 using assms unfolding ext-eq-on-def by simp
lemma ext-eq-on-reft [simp]:
 shows ext-eq-on A f f
 by auto
lemma ext-eq-on-sym [sym]:
 assumes ext-eq-on A f f'
 shows ext-eq-on A f' f
 using assms by fastforce
lemma ext-eq-on-trans [trans]:
 assumes ext-eq-on A f g
   and ext-eq-on A g h
 shows ext-eq-on A f h
 using assms by fastforce
lemma ext-eq-on-empty [simp]:
 shows ext-eq-on \{\} f g
 by auto
         A) Image and Inverse Image of Map
proposition prop-1-4-1:
 assumes — The assumption f' A \subseteq B is not necessary.
   — The assumption P_1 \subseteq A is not necessary.
   — The assumption P_2 \subseteq A is not necessary.
   P_1 \subseteq P_2
 shows f ' P_1 \subseteq f ' P_2
 using assms by (fact image-mono)
proposition prop-1-4-2:
 — The assumption f ' A \subseteq B is not necessary.
 — The assumption P_1 \subseteq A is not necessary.
 — The assumption P_2 \subseteq A is not necessary.
 shows f ' (P_1 \cup P_2) = f ' P_1 \cup f ' P_2
 by (fact image-Un)
proposition prop-1-4-3:
 — The assumption f' A \subseteq B is not necessary.
```

```
— The assumption P_2 \subseteq A is not necessary.
 shows f ' (P_1 \cap P_2) \subseteq f ' P_1 \cap f ' P_2
 by (fact image-Int-subset)
proposition prop-1-4-4:
 — The assumption f ' A \subseteq B is not necessary.
 — The assumption P \subseteq A is not necessary.
 shows f'(A-P) \supseteq f'A-f'P
 by (fact image-diff-subset)
proposition prop-1-4-1 ':
 assumes — @The assumption prop "f ' A subseteq; B" is not necessary.
   — The assumption Q_1 \subseteq B is not necessary.
   — The assumption Q_2 \subseteq B is not necessary.
   Q_1 \subseteq Q_2
 shows (f - Q_1) \cap A \subseteq (f - Q_2) \cap A
 using assms by auto
proposition prop-1-4-2':
 — The assumption f' A \subseteq B is not necessary.
 — The assumption Q_1 \subseteq B is not necessary.
 — The assumption Q_2 \subseteq B is not necessary.
 shows f - (Q_1 \cup Q_2) \cap A = (f - Q_1 \cap A) \cup (f - Q_2 \cap A)
 by auto
proposition prop-1-4-3 ':
 — The assumption f' A \subseteq B is not necessary.
 — The assumption Q_1 \subseteq B is not necessary.
 — The assumption Q_2 \subseteq B is not necessary.
 shows f - (Q_1 \cap Q_2) \cap A = (f - Q_1 \cap A) \cap (f - Q_2 \cap A)
 by auto
proposition prop-1-4-4':
 assumes f : A \subseteq B
   — The assumption Q \subseteq B is not necessary.
 shows f - (B - Q) \cap A = A - (f - Q \cap A)
 using assms by auto
proposition prop-1-4-5:
 assumes
 — The assumption f ' A \subseteq B is not necessary.
   P \subseteq A
 shows P \subseteq f - (f \cdot P) \cap A
```

using assms by auto

— The assumption  $P_1 \subseteq A$  is not necessary.

```
— The assumption f ' A \subseteq B is not necessary.
 — The assumption Q \subseteq B is not necessary.
 shows f'(f - Q \cap A) \subseteq Q
 by auto
proposition prob-1-4-a:
  assumes P \subseteq A
   and A - P = \{a\}
   and P = \{p\}
   and f(a) = f(p)
 shows f'A - f'P \subset f'(A - P)
  using assms by blast
proposition prob-1-4-b:
  assumes P \subseteq A
   and \{a\} = A - P
   and \{p\} = P
   and f(a) = f(p)
  shows P \subset f - (f'(P))
  using assms by blast
1.4.2
          B) Surjective, Injective, and Bijective Maps
lemma surj-onI:
 assumes \bigwedge a. \ a \in A \Longrightarrow f \ a \in B
    and \bigwedge b.\ b \in B \Longrightarrow b \in f 'A
 shows f \cdot A = B
proof (intro equalityI)
  from assms(1) show f \cdot A \subseteq B by auto
 from assms(2) show B \subseteq f ' A by auto
qed
lemma bij-betw-imageI':
 assumes \bigwedge a \ a'. a \in A \Longrightarrow a' \in A \Longrightarrow f \ a = f \ a' \Longrightarrow a = a'
   and \bigwedge a. \ a \in A \Longrightarrow f \ a \in B
   and \bigwedge b.\ b \in B \Longrightarrow b \in f ' A
 shows bij-betw f A B
proof (rule bij-betw-imageI)
 from assms(1) show inj-on f A by (rule inj-onI)
 from assms(2,3) show f' A = B by auto
qed
```

**proposition** *prop-1-4-5* ':

lemma corr-inv-fun-fun:

```
assumes a \in A
 shows corr-inv (as-corr-on f A) (f a) = {a' \in A. f a' = f a}
  using assms by auto
lemma corr-inv-fun-eq:
 shows corr-inv (as-corr-on f A) b = \{a \in A. f \mid a = b\} (is ?L = ?R)
proof (rule set-eqI)
 \mathbf{fix} \ a
 have a \in ?L \longleftrightarrow b \in as\text{-}corr\text{-}on f A a by auto
 also have ... \longleftrightarrow a \in A \land f a = b by auto
 also have \dots \longleftrightarrow a \in ?R by simp
 finally show a \in ?L \longleftrightarrow a \in ?R.
qed
theorem thm-1-4-a:
 assumes f : A \subseteq B
   and as-corr-on g B = corr-inv (as-corr-on f A)
  shows bij-betw f A B
proof (rule bij-betw-imageI')
  fix a and a'
 assume a \in A
   and a' \in A
   and f a = f a'
  from \langle a \in A \rangle and assms(1) have f \ a \in B by auto
  moreover from assms(2) have corr-inv (as-corr-on f A) (f a) = as-corr-on g B (f a) by simp
 with \langle a \in A \rangle and \langle f | a \in B \rangle have **: \{a'' \in A, f | a'' = f | a\} = \{g | (f | a)\}
   by (auto simp only: corr-inv-fun-fun)
 with \langle a \in A \rangle have a = g \ (f \ a) by blast
  moreover from ** and \langle a' \in A \rangle and \langle f | a = f | a' \rangle have a' = g (f | a) by auto
 ultimately show a = a' by simp
next
 \mathbf{fix} \ a
 assume a \in A
 with assms(1) show f a \in B by auto
next
 \mathbf{fix} \ b
 assume b \in B
 moreover from assms(2) have corr-inv (as-corr-on fA) b = as-corr-on g B b by simp
 ultimately have \{a \in A. \ f \ a = b\} = \{q \ b\} by (force simp only: corr-inv-fun-eq)
 hence g \ b \in A and f \ (g \ b) = b by auto
 thus b \in f ' A by force
qed
lemma bij-betwE2 [elim]:
 assumes bij-betw f A B
```

```
obtains f \cdot A = B
    and inj-on f A
  using assms by (auto dest: bij-betw-imp-surj-on bij-betw-imp-inj-on)
theorem thm-1-4-b:
 assumes f : A \subseteq B
    and bij-betw f A B
 obtains g where as-corr-on g B = corr-inv (as-corr-on f A)
proof -
  {
   \mathbf{fix} \ b
     assume b \in B
     from assms(2) have f'A = B and inj-on fA by auto
     from this(1) and (b \in B) obtain a where a \in A and b = f a by auto
     {
       fix a'
       assume \langle a' \in A \rangle
       with \langle a \in A \rangle and \langle inj-on fA \rangle have a' = a \longleftrightarrow fa' = fa by (simp\ only:\ inj-on-eq-iff)
       with \langle a' \in A \rangle have a' \in \{a', a' = a\} \longleftrightarrow a' \in \{a', fa' = fa\} by simp
     hence \{a' \in A. \ a' = a\} = \{a' \in A. \ f \ a' = f \ a\} by auto
     with \langle a \in A \rangle have \{a', a' = a\} = \{a' \in A, fa' = fa\} by blast
     with \langle inj\text{-}on \ f \ A \rangle and \langle a \in A \rangle
     have \{a'. a' = the\text{-inv-into } A f (f a)\} = \{a' \in A. f a' = f a\}
       by (simp only: the-inv-into-f-f)
     with \langle b = f a \rangle have \{a. \ a = (the\text{-}inv\text{-}into \ A \ f) \ b\} = \{a \in A. \ f \ a = b\} by simp
     with \langle b \in B \rangle have as-corr-on (the-inv-into A f) B b = corr-inv (as-corr-on f A) b
       by (force simp only: corr-inv-fun-eq)
    }
   moreover {
     assume b \notin B
     with assms(1) have \{a \in A. f | a = b\} = \{\} by blast
     with (b \notin B) have as-corr-on (the-inv-into A f) B b = corr-inv (as-corr-on f A) b by blast
    }
    ultimately have as-corr-on (the-inv-into A f) B b = corr-inv (as-corr-on f A) b by auto
  hence as-corr-on (the-inv-into A f) B = corr-inv (as-corr-on f A) by auto
  thus thesis by (fact that)
qed
theorem thm-1-4:
 assumes f \cdot A \subseteq B
 shows (\exists q. as\text{-}corr\text{-}on \ q \ B = corr\text{-}inv \ (as\text{-}corr\text{-}on \ f \ A)) \longleftrightarrow bij\text{-}betw \ f \ A \ B
proof (rule iffI)
```

```
assume \exists q. as\text{-}corr\text{-}on \ q \ B = corr\text{-}inv \ (as\text{-}corr\text{-}on \ f \ A)
 then obtain g where as-corr-on g B = corr-inv (as-corr-on f A) by auto
 with assms show bij-betw f A B by (auto intro: thm-1-4-a)
next
 assume bij-betw f A B
 with assms obtain q where as-corr-on q B = corr-inv (as-corr-on f A) by (elim thm-1-4-b)
 thus \exists q. \ as\text{-}corr\text{-}on \ q \ B = corr\text{-}inv \ (as\text{-}corr\text{-}on \ f \ A) by auto
qed
definition is-inv-into :: 'a set \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('b \Rightarrow 'a) \Rightarrow bool
 where is-inv-into A f g \longleftrightarrow corr-inv (as-corr-on g (f 'A)) = as-corr-on f A
lemma is-inv-intoD1:
 assumes is-inv-into A f g
   and a \in A
 shows g(f a) = a
proof -
 from assms(1) have corr-inv (as-corr-on g (f 'A)) = as-corr-on f A by <math>(unfold is-inv-into-def)
 hence corr-inv (as-corr-on g(f'A)) a = as-corr-on fA a by simp
 with assms(2) have \{b \in f : A. g \mid b = a\} = \{f \mid a\} by (auto simp only: corr-inv-fun-eq)
 thus q(f a) = a by auto
qed
lemma is-inv-intoD2:
 assumes is-inv-into A f q
   and b \in f ' A
 shows f(q b) = b
 using assms by (auto dest: is-inv-intoD1)
lemma is-inv-into-imp-bij-betw1:
 assumes is-inv-into A f q
 shows bij-betw f A (f ' A)
proof -
 from assms have corr-inv (as-corr-on g(f'A)) = as-corr-on fA by (unfold is-inv-into-def)
 hence as-corr-on g(f'A) = corr-inv(as-corr-on fA) using prop-1-3-4 by metis
 moreover have f \cdot A \subseteq f \cdot A by simp
 ultimately show ?thesis by (intro thm-1-4-a)
qed
lemma is-inv-into-imp-bij-betw2:
 assumes is-inv-into A f g
 shows bij-betw g (f 'A) A
proof (rule bij-betw-imageI)
   \mathbf{fix} \ a
```

```
assume a \in A
   with assms have g(f a) = a by (rule is-inv-intoD1)
   with \langle a \in A \rangle have g(f a) \in A by simp
 moreover {
   \mathbf{fix} \ a
   assume a \in A
   with assms have g(f a) = a by (rule is-inv-intoD1)
   with \langle a \in A \rangle have \exists a' \in A. g(f a') = a by auto
 ultimately show g ' f ' A = A by (blast intro: surj-onI)
   fix b and b'
   assume b \in f ' A
     and b' \in f ' A
     and q b = q b'
   then obtain a and a' where a \in A and b = f a and a' \in A and b' = f a' by blast
   from \langle g | b = g | b' \rangle and this(2,4) have g(f | a) = g(f | a') by simp
   with \langle a \in A \rangle and \langle a' \in A \rangle and assms have a = a' using is-inv-intoD1 by fastforce
   with \langle b = f a \rangle and \langle b' = f a' \rangle have b = b' by simp
 thus inj-on g (f 'A) by (blast\ intro:\ inj-onI)
qed
         C) Composition of Maps
1.4.3
theorem thm-1-5-a:
 assumes f \cdot A = B
   and q \cdot B = C
 shows (g \circ f) ' A = C
 using assms by auto
theorem thm-1-5-b:
 assumes f : A \subseteq B
   — The assumption g' B \subseteq C is not necessary.
   and inj-on f A
   and inj-on g B
 shows inj-on (g \circ f) A
proof (rule inj-onI)
 fix a a'
 assume a \in A and a' \in A and (g \circ f) a = (g \circ f) a'
 from this(3) have g(f a) = g(f a') by simp
 moreover from \langle a \in A \rangle and \langle a' \in A \rangle and assms(1) have f \in B and f \in A and f \in B by auto
 moreover note assms(3)
 ultimately have f a = f a' by (elim inj-onD)
```

```
with assms(2) and \langle a \in A \rangle and \langle a' \in A \rangle show a = a'by (elim inj-onD)
qed
theorem thm-1-5-c:
 assumes bij-betw f A B
   and bij-betw q B C
 shows bij-betw (g \circ f) A C
 using assms by (auto intro: bij-betw-trans)
theorem thm-1-6-1:
 shows (h \circ g) \circ f = h \circ (g \circ f)
 by (fact comp-assoc)
theorem thm-1-6-2-a:
 assumes — The assumption f \cdot A \subseteq B is not necessary.
   id-on g A
 shows ext-eq-on A (f \circ q) f
 using assms by fastforce
theorem thm-1-6-2-b:
 assumes f : A \subseteq B
   and id\text{-}on \ g \ B
 shows ext-eq-on A (g \circ f) f
 using assms by fastforce
theorem thm-1-6-3-a:
 assumes bij-betw f A B
   and is-inv-into A f g
 shows id\text{-}on \ (g \circ f) \ A
 using assms by (fastforce dest: is-inv-intoD1)
theorem thm-1-6-3-b:
 assumes bij-betw f A B
   and is-inv-into A f g
 shows id\text{-}on \ (f \circ g) \ B
 using assms by (fastforce dest: is-inv-intoD2)
```

- D) Restriction and Extension of Maps
- 1.4.5 E) Note on Codomain of Map
- 1.4.6 F) Sets of Maps

```
1.4.7
         Problems
proposition prob-1-4-2-b:
 assumes — The assumption f \cdot A \subseteq B is not necessary.
   P \subset A
   and a \in P
   and a' \in A - P
   and f a = f a'
 shows P \subset (f - 'f 'P) \cap A
proof (rule psubsetI)
 from assms(1) show P \subseteq (f - 'f 'P) \cap A by (fact prop-1-4-5)
 from assms(2) have f a \in f 'P by simp
 with assms(4) have f a' \in f' P by simp
 hence a' \in f - f' \cap P by simp
 with \langle a' \in A - P \rangle have a' \in (f - f' \cap P) \cap A by simp
 moreover from assms(3) have a' \notin P by simp
 ultimately show P \neq (f - f' P) \cap A by auto
qed
proposition prob-1-4-3-a:
 assumes — The assumption f \cdot A \subseteq B is not necessary.
   inj-on f A
   and P \subseteq A
 shows (f - (f \cdot P)) \cap A = P
proof (rule set-eqI2)
 \mathbf{fix} p
 assume p \in (f - (f \cdot P)) \cap A
 hence f p \in f 'P and p \in A by simp+
 from this(1) obtain p' where p' \in P and f p = f p' by auto
 from this(1) and assms(2) have p' \in A by auto
 with (p \in A) and (f p = f p') and assms(1) have p = p' by (elim inj-onD)
 with \langle p' \in P \rangle show p \in P by simp
next
 \mathbf{fix} p
 assume p \in P
 hence f p \in f 'P by simp
 hence p \in f - '(f \cdot P) by auto
 moreover from \langle p \in P \rangle and assms(2) have p \in A..
```

```
ultimately show p \in (f - (f \cdot P)) \cap A..
qed
proposition prob-1-4-3-b:
 assumes Q \subseteq B
   and f \cdot A = B
 shows f'((f - Q) \cap A) = Q
 using assms by auto
proposition prob-1-4-4:
 assumes — The assumption f \cdot A \subseteq B is not necessary.
   inj-on f A
   and P_1 \subseteq A
   and P_2 \subseteq A
 shows f'(P_1 \cap P_2) = f'P_1 \cap f'P_2
 using assms by (auto dest: inj-on-image-Int)
proposition prob-1-4-5-b:
 assumes — The assumption f ' A \subseteq B is not necessary.
   — The assumption P \subseteq A is not necessary.
   a \in P
   and a' \in A - P
   and f a = f a'
 shows f'A - f'P \subset f'(A - P)
proof (rule psubsetI)
 show f ' A - f ' P \subseteq f ' (A - P) by (fact prop-1-4-4)
 from assms(2) have a' \in A by simp
 hence f a' \in f ' A by simp
 from assms(1) have f a \in f 'P by simp
 with assms(3) have f a' \in f' P by simp
 with \langle f a' \in f ' A \rangle have f a' \notin f ' A - f ' P by simp
 moreover from assms(2) have f a' \in f' (A - P) by simp
 ultimately show f ' A - f ' P \neq f ' (A - P) by blast
qed
proposition prob-1-4-5-c:
 assumes — The assumption f ' A \subseteq B is not necessary.
   P \subseteq A
   and inj-on f A
 shows f'(A - P) = f'A - f'P
proof (rule set-eqI2)
 \mathbf{fix} \ b
 assume b \in f '(A - P)
 then obtain a where a \in A and a \notin P and b = f a by auto
 {
```

```
assume b \in f'
   then obtain a' where a' \in P and b = f a' by auto
   from this(1) and assms(1) have a' \in A by auto
   with \langle a \in A \rangle and \langle b = f a \rangle and \langle b = f a' \rangle and assms(2) have a = a' by (auto dest: inj-onD)
   with \langle a' \in P \rangle and \langle a \notin P \rangle have False by simp
 }
 hence b \notin f 'P by auto
 with \langle a \in A \rangle and \langle b = f a \rangle show b \in f' A - f' P by simp
next
 \mathbf{fix} \ b
 assume b \in f ' A - f ' P
 thus b \in f '(A - P) by blast
qed
proposition prob-1-4-8:
 assumes — The assumption bij-betw f A B can be weakened to f ' A = B because is-inv-into A f
f' implies bij-betw f A (f' A).
   f'A = B
   — The assumption bij-betw g B C can be weakened to g' B = C because is-inv-into A f f' implies
bij-betw q B (q 'B).
   and q \cdot B = C
   and is-inv-into A f f'
   and is-inv-into B g g'
   and is-inv-into A (g \circ f) h
 shows ext-eq-on C h (f' \circ g')
proof (rule ext-eq-onI)
 \mathbf{fix} \ c
 assume c \in C
 from assms(5) have bij-betw h((g \circ f) \cdot A) A by (intro\ is-inv-into-imp-bij-betw2)
 moreover from assms(1,2) have (g \circ f) ' A = C by auto
 ultimately have bij-betw h C A by simp
 with \langle c \in C \rangle have h \ c \in A by (auto dest: bij-betw-imp-surj-on)
 from \langle c \in C \rangle and \langle (g \circ f) | A = C \rangle have c \in (g \circ f) | A  by simp
 with assms(5) have q(f(h c)) = c by (auto dest: is-inv-intoD2)
 hence q'(q(f(hc))) = q'c by simp
 moreover from \langle h \ c \in A \rangle and assms(1) have f(h \ c) \in B by auto
 moreover note assms(4)
 ultimately have f(h c) = g' c by (auto dest: is-inv-intoD1)
 hence f'(f(h c)) = f'(g'c) by simp
 moreover note \langle h | c \in A \rangle
 moreover note assms(3)
 ultimately have h \ c = f'(g'c) by (auto dest: is-inv-intoD1)
 thus h c = (f' \circ g') c by simp
qed
```

```
proposition prob-1-4-9-a:
 — The assumption f' A \subseteq B is not necessary.
 — The assumption g ' B \subseteq C is not necessary.
 — The assumption P \subseteq A is not necessary.
 shows (g \circ f) ' P = g ' (f \cdot P) (is ?L = ?R)
 by auto
proposition prob-1-4-9-b:
 — The assumption f' A \subseteq B is not necessary.
 — The assumption q ' B \subseteq C is not necessary.
 — The assumption R \subseteq C is not necessary.
 shows ((g \circ f) - R) \cap A = (f - G - R) \cap A \text{ (is } L = R)
 by fastforce
proposition prob-1-4-10-a:
 assumes f : A \subseteq B
   and g' B \subseteq C
   and (g \circ f) ' A = C
 shows g \cdot B = C
 using assms by fastforce
proposition prob-1-4-10-b:
 assumes — The assumption f \cdot A \subseteq B is not necessary.
   — The assumption g' B \subseteq C is not necessary.
   inj-on (g \circ f) A
 shows inj-on f A
 using assms by (fact inj-on-imageI2)
proposition prob-1-4-11:
 assumes f \cdot A = B
   — The assumption g' B \subseteq C is not necessary.
   — The assumption g' ' B \subseteq C is not necessary.
   and ext-eq-on A(g \circ f)(g' \circ f)
 shows ext-eq-on B q q'
 using assms by fastforce
proposition prob-1-4-12:
 assumes f : A \subseteq B
   and f' ' A \subseteq B
   — The assumption g ' B \subseteq C is not necessary.
   and inj-on g B
   and ext-eq-on A(g \circ f)(g \circ f')
 shows ext-eq-on A f f'
proof (rule ext-eq-onI)
 \mathbf{fix} \ a
```

```
assume a \in A
 with assms(4) have g(fa) = g(f'a) by auto
 moreover from \langle a \in A \rangle and assms(1,2) have f \ a \in B and f' \ a \in B by auto
 moreover note assms(3)
 ultimately show f a = f' a by (elim inj-onD)
qed
proposition prob-1-4-13-a:
 assumes f : A \subseteq B
   and q \cdot B \subseteq C
   and (g \circ f) ' A = C
   and inj-on q B
 shows f \cdot A = B
proof (rule surj-onI)
 \mathbf{fix} \ a
 assume a \in A
 with assms(1) show f a \in B by auto
 \mathbf{fix} \ b
 assume b \in B
 with assms(2) have g \ b \in C by auto
 with assms(3) obtain a where a \in A and g(f a) = g b by force
 moreover from this(1) and assms(1) have f a \in B by auto
 moreover note \langle b \in B \rangle and assms(4)
 ultimately have f a = b by (elim inj-onD)
 with \langle a \in A \rangle show b \in f ' A by auto
qed
proposition prob-1-4-13-b:
 assumes — The assumption q \cdot B \subseteq C is not necessary.
   inj-on (q \circ f) A
   and f'A = B
 shows inj-on g B
proof (rule inj-onI)
 fix b b'
 assume b \in B and b' \in B and g b = g b'
 from this(1,2) and assms(2) obtain a and a'
   where a \in A and b = f a and a' \in A and b' = f a' by auto
 from \langle g | b = g | b' \rangle and this(2,4) have g(f | a) = g(f | a') by simp
 with \langle a \in A \rangle and \langle a' \in A \rangle and assms(1) have a = a' by (auto elim: inj-onD)
 with \langle b = f a \rangle and \langle b' = f a' \rangle show b = b' by simp
qed
proposition prob-1-4-14:
 assumes f : A \subseteq B
```

```
and g' B \subseteq A
   and g' ' B \subseteq A
   and id\text{-}on (g \circ f) A
   and id\text{-}on \ (f \circ g') \ B
   and is-inv-into A f f'
 obtains bij-betw f A B
   and ext-eq-on B q q'
   and ext-eq-on B q' f'
proof -
 have bij-betw f A B
 proof (rule bij-betw-imageI)
    fix a and a'
    assume a \in A and a' \in A and f = f a'
    from this(3) have g(f a) = g(f a') by simp
     with \langle a \in A \rangle and \langle a' \in A \rangle and assms(4) have a = a' using id-onD by fastforce
   thus inj-on f A by (intro\ inj-onI)
   {
    \mathbf{fix} \ b
    assume b \in B
    with assms(5) have f(g'b) = b by auto
    moreover from assms(3) and \langle b \in B \rangle have g' \ b \in A by auto
     ultimately have b \in f ' A by force
   }
   hence B \subseteq f'A..
   with assms(1) show f \cdot A = B by simp
 moreover have ext-eq-on B q q'
 proof (rule ext-eq-onI)
   \mathbf{fix} \ b
   assume b \in B
   with assms(5) have f(g'b) = b by auto
   hence g(f(g'b)) = gb by simp
   moreover from \langle b \in B \rangle and assms(3) have g'b \in A by auto
   moreover note assms(4)
   ultimately show g b = g' b by auto
 qed
 moreover have ext-eq-on B g'f'
 proof (rule ext-eq-onI)
   \mathbf{fix} \ b
   assume b \in B
   with assms(5) have f(g'b) = b by auto
   hence f'(f(q'b)) = f'b by simp
   moreover from (b \in B) and assms(3) have g'b \in A by auto
```

```
moreover note assms(6)
   ultimately show g'b = f'b by (auto dest: is-inv-intoD1)
 qed
  ultimately show thesis by (fact that)
qed
abbreviation \chi :: 'a \ set \Rightarrow 'a \Rightarrow int
 where \chi \equiv indicator
lemma indicator-definition:
 obtains x \in X \Longrightarrow \chi X x = 1
 | x \notin X \Longrightarrow \chi X x = 0
 by simp
lemma chi-0-1:
 shows \chi A a \in \{0, 1\}
proof -
  {
   assume a \in A
   hence \chi A a = 1 by simp
 moreover {
   assume a \notin A
   hence \chi A a = \theta by simp
  ultimately show \chi A a \in \{0, 1\} by blast
qed
lemma one-leq-chiD:
 assumes 1 \leq \chi A a
 shows a \in A
proof -
 from assms and chi-0-1 have \chi A a=1 by force
 thus ?thesis by (simp only: indicator-eq-1-iff)
qed
proposition prob-1-4-15-0-a:
  assumes A \subseteq X
   and B \subseteq X
   and \bigwedge x. \ x \in X \Longrightarrow \chi \ A \ x \le \chi \ B \ x
 \mathbf{shows}\ A\subseteq B
proof (rule subsetI)
 \mathbf{fix} \ a
 assume a \in A
 hence 1 = \chi A a by simp
```

```
also have \dots \leq \chi B a
 proof -
   from \langle a \in A \rangle and assms(1) have a \in X by auto
   with assms(3) show ?thesis by simp
 finally have 1 \le \chi B a by simp
 thus a \in B by (auto dest: one-leq-chiD)
qed
proposition prob-1-4-15-0-b:
  assumes A \subseteq X
   and B \subseteq X
   and A \subseteq B
   and x \in X
 shows \chi A x \leq \chi B x
proof -
 from assms consider (A) x \in A \mid (B) \ x \in B - A \mid (X) \ x \in X - B by auto
 thus ?thesis
 proof cases
   case A
   with assms(3) have x \in A and x \in B by auto
   thus ?thesis by simp
  next
   hence x \notin A and x \in B by auto
   thus ?thesis by simp
 next
   case X
   with assms(3) have x \notin A and x \notin B by auto
   thus ?thesis by simp
 qed
qed
proposition prob-1-4-15:
 assumes A \subseteq X
   and B \subseteq X
 shows (\forall x \in X. \chi A x \leq \chi B x) \longleftrightarrow A \subseteq B
proof (rule iffI)
 assume \forall x \in X. \ \chi \ A \ x \leq \chi \ B \ x
 with assms show A \subseteq B using prob-1-4-15-0-a by metis
 assume A \subseteq B
 with assms show \forall x \in X. \chi A x \leq \chi B x using prob-1-4-15-0-b by metis
qed
```

```
proposition prob-1-4-15-a:
 shows \chi (A \cap B) a = \chi A \ a * \chi B \ a
 by (fact indicator-inter-arith)
proposition prob-1-4-15-b:
 shows \chi (A \cup B) a = \chi A a + \chi B a - \chi (A \cap B) a
proof -
 have \chi (A \cup B) a = \chi A a + \chi B a - \chi A a * \chi B a by (fact indicator-union-arith)
 thus ?thesis by (simp only: prob-1-4-15-a)
qed
proposition prob-1-4-15-c:
 assumes — The assumption A \subseteq X is not necessary.
 shows \chi(X - A) x = 1 - \chi A x
proof -
 have \chi(X - A) x = \chi X x * (1 - \chi A x) by (fact indicator-diff)
 also from assms have ... = 1 - \chi A x by simp
 finally show ?thesis.
qed
proposition prob-1-4-15-d:
 shows \chi(A-B) x = \chi A x * (1 - (\chi B x))
 by (fact indicator-diff)
proposition prob-1-4-15-e:
 shows \chi (A \triangle B) x = |\chi A x - \chi B x|
proof -
 consider (a) x \in A and x \in B
   \mid (b) \ x \in A \ \mathbf{and} \ x \notin B
   \mid (c) \ x \notin A \text{ and } x \in B
   \mid (d) \ x \notin A \ \mathbf{and} \ x \notin B
   by auto
 thus ?thesis
 proof cases
   \mathbf{case} \ a
   hence x \notin A \triangle B by simp
   hence \chi (A \triangle B) x = 0 by simp
   moreover from a have |\chi A x - \chi B x| = 0 by simp
   ultimately show ?thesis by simp
 next
   case b
   hence x \in A \triangle B by simp
   hence \chi (A \triangle B) x = 1 by simp
   moreover from b have |\chi A x - \chi B x| = 1 by simp
```

```
ultimately show ?thesis by simp next
case c
hence x \in A \triangle B by simp
hence \chi (A \triangle B) x = 1 by simp
moreover from c have |\chi A x - \chi B x| = 1 by simp
ultimately show ?thesis by simp
next
case d
hence x \notin A \triangle B by simp
hence \chi (A \triangle B) x = 0 by simp
moreover from d have |\chi A x - \chi B x| = 0 by simp
ultimately show ?thesis by simp
qed
```

```
end
theory Section-1-5
imports Main
HOL-Library.Disjoint-Sets
HOL-Library.FuncSet
Section-1-4
begin
```

- 1.5 Indexed Families, General Products
- 1.5.1 A) Infinite and Finite Sequence of Elements
- 1.5.2 B) Family of Elements
- 1.5.3 C) Families of Sets and Their Union and Intersection

```
proposition prop-1-5-1:

shows (\bigcup l \in \Lambda. \ A \ l) \cap B = (\bigcup l \in \Lambda. \ (A \ l \cap B))

by simp

proposition prop-1-5-1':

shows (\bigcap l \in \Lambda. \ A \ l) \cup B = (\bigcap l \in \Lambda. \ A \ l \cup B)

by simp
```

```
proposition prop-1-5-2:
 assumes \Lambda \neq \{\} — This assumption is not specified in the book. However, there exits a counterex-
ample without it.
    — The assumption \bigwedge l. l \in \Lambda \Longrightarrow A l \subseteq X is not necessary.
 shows X - (\bigcup l \in \Lambda. \ A \ l) = (\bigcap l \in \Lambda. \ (X - A \ l))
  using assms by simp
proposition prop-1-5-2':
 — The assumption \bigwedge l. l \in \Lambda \Longrightarrow A l \subseteq X is not necessary.
 shows X - (\bigcap l \in \Lambda. \ A \ l) = (\bigcup l \in \Lambda. \ X - A \ l)
 by simp
proposition prop-1-5-3:
 — The assumption f' A \subseteq B is not necessary.
 — The assumption \Lambda l. \ l \in \Lambda \Longrightarrow P \ l \subseteq A is not necessary.
 shows f'(I \mid I \in \Lambda. P \mid I) = (I \mid I \in \Lambda. f'(P \mid I))
 by (fact\ image-UN)
proposition prop-1-5-4:
 — The assumption f' A \subseteq B is not necessary.
 — The assumption \Lambda l. \ l \in \Lambda \Longrightarrow P \ l \subseteq A is not necessary.
 shows f' (\bigcap l \in \Lambda. P l) \subseteq (\bigcap l \in \Lambda. f' (P l))
 by auto
proposition prop-1-5-3':
 — The assumption f' A \subseteq B is not necessary.
 — The assumption \wedge \mu. \mu \in M \Longrightarrow Q \mu \subseteq B is not necessary.
 shows (f - (\bigcup \mu \in M. Q \mu)) \cap A = (\bigcup \mu \in M. (f - (Q \mu)) \cap A)
  by auto
proposition prop-1-5-4':
  assumes M \neq \{\} — This assumption is not specified in the book. However, there exists a coun-
terexample without it.
    — The assumption f' A \subseteq B is not necessary.
    — The assumption \bigwedge \mu. \mu \in M \Longrightarrow Q \mu \subseteq B is not necessary.
  shows (f - (\bigcap \mu \in M. Q \mu)) \cap A = (\bigcap \mu \in M. (f - (Q \mu)) \cap A)
  using assms by auto
1.5.4
           Generalized Direct Product, Axiom of Choice
definition dprod :: 'a \ set \Rightarrow ('a \Rightarrow 'b \ set) \Rightarrow ('a \Rightarrow 'b) \ set where
```

 $dprod \ \Lambda \ A \equiv \{a \in \Lambda \rightarrow_E \bigcup (A \ `\Lambda). \ \forall \ l \in \Lambda. \ a \ l \in A \ l\}$ 

 $((3 \prod_d - \in -./-) 10)$ 

 $\mathbf{syntax} \cdot dprod :: pttrn \Rightarrow 'a \ set \Rightarrow ('a \Rightarrow 'b \ set) \Rightarrow ('a \Rightarrow 'b) \ set$ 

```
translations \prod_{d} l \in \Lambda. A \Rightarrow CONST \ dprod \ \Lambda \ (\lambda l. \ A)
lemma dprodI [intro]:
  assumes \Lambda l. l \in \Lambda \Longrightarrow a \ l \in A \ l
    and \Lambda l. \ l \notin \Lambda \Longrightarrow a \ l = undefined
  shows a \in (\prod_{l} a \mid l \in \Lambda. A \mid l)
  using assms unfolding dprod-def by auto
lemma dprodD1 [dest]:
  assumes a \in (\prod_{d} l \in \Lambda. A l)
    and l \in \Lambda
  shows a \ l \in A \ l
  using assms unfolding dprod-def by blast
lemma dprodD2 [dest]:
  assumes a \in (\prod_{l} a \mid l \in \Lambda. A \mid l)
    and l \notin \Lambda
  shows a l = undefined
  using assms unfolding dprod-def by blast
lemma dprodE [elim]:
  assumes a \in (\prod_{d} l \in \Lambda. A l)
  obtains \bigwedge l. l \in \Lambda \Longrightarrow a \ l \in A \ l
    and \Lambda l. \ l \notin \Lambda \Longrightarrow a \ l = undefined
  using assms unfolding dprod-def by blast
theorem AC:
  assumes \forall l \in \Lambda. A l \neq \{\}
  shows (\Pi \ l \in \Lambda. \ A \ l) \neq \{\}
proof -
  let ?a = \lambda l. if l \in \Lambda then (SOME al. al \in A l) else undefined
  {
    \mathbf{fix} l
    assume l \in \Lambda
    with assms have A \ l \neq \{\} by auto
    with (l \in \Lambda) have ?a \ l \in A \ l by (auto intro: some-in-eq[THEN iffD2])
  hence ?a \in (\Pi \ l \in \Lambda. \ A \ l) by simp
  thus ?thesis by auto
qed
lemma AC-E:
  assumes \bigwedge l. l \in \Lambda \Longrightarrow A \ l \neq \{\}
  obtains a where a \in (\Pi \ l \in \Lambda. \ A \ l)
```

```
proof -
  from assms have (\Pi \ l \in \Lambda. \ A \ l) \neq \{\} by (simp \ add: AC)
  then obtain a where a \in (\Pi \ l \in \Lambda. \ A \ l) by blast
  thus thesis by (fact that)
qed
lemma AC-E-prop:
  assumes \bigwedge l. l \in \Lambda \Longrightarrow \exists al \in A \ l. P \ l \ al
  obtains a where a \in (\Pi \ l \in \Lambda. \ A \ l)
    and \bigwedge l. l \in \Lambda \Longrightarrow P \ l \ (a \ l)
proof -
  let ?A' = \lambda l'. \{al \in A \ l' . P \ l' \ al\}
  {
    \mathbf{fix} l
    assume l \in \Lambda
    with assms have ?A' l \neq \{\} by blast
  then obtain a where *: a \in (\Pi \ l \in \Lambda. \ ?A' \ l) by (elim \ AC-E)
  {
    \mathbf{fix} l
    assume l \in \Lambda
    with * have a \ l \in ?A' \ l by auto
    hence a \ l \in A \ l by simp
  hence a \in (\Pi \ l \in \Lambda. \ A \ l) by simp
  moreover have \bigwedge l. l \in \Lambda \Longrightarrow P \ l \ (a \ l)
  proof -
    \mathbf{fix} l
    assume l \in \Lambda
    with * have a \ l \in ?A' \ l by auto
    thus P l (a l) by simp
  qed
  ultimately show thesis by (fact that)
qed
lemma AC-E-ex:
  assumes \bigwedge l. l \in \Lambda \Longrightarrow \exists x. P \mid x
  obtains a where a \in (\Pi \ l \in \Lambda. \{x. P \ l \ x\})
proof -
  {
    \mathbf{fix} l
    assume l \in \Lambda
    with assms have \{x. P | l x\} \neq \{\} by simp
  then obtain a where a: a \in (\Pi \ l \in \Lambda. \{x. \ P \ l \ x\}) by (elim \ AC-E)
```

```
thus thesis by (fact that)
qed
lemma Pi-one-point:
  assumes (\Pi \ l \in \Lambda. \ A \ l) \neq \{\}
    and l \in \Lambda
    and al \in A l
  obtains a where a \in (\Pi \ l \in \Lambda. \ A \ l)
    and a l = al
proof -
  from assms obtain a where a \in (\Pi \ l \in \Lambda. \ A \ l) by blast
  let ?a' = \lambda l'. if l' = l then al else a l'
  {
    fix l'
    assume l' \in \Lambda
    {
      assume l' = l
      hence ?a'l' = al by simp
      also from assms(3) have ... \in A \ l by simp
      also from \langle l' = l \rangle have ... = A l' by simp
      finally have ?a' l' \in A l' by simp
    }
    moreover {
      assume l' \neq l
      hence ?a'l' = al' by simp
      also from \langle a \in (\Pi \ l \in \Lambda. \ A \ l) \rangle and \langle l' \in \Lambda \rangle have ... \in A \ l' by auto
      finally have ?a' l' \in A l' by simp
    ultimately have ?a'l' \in A l' by simp
  }
  hence ?a' \in (\Pi \ l \in \Lambda. \ A \ l) by simp
  moreover have ?a' l = al by simp
  ultimately show thesis by (fact that)
qed
definition pie :: 'a \ set \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b
  where pie \Lambda a=(\lambda l. \ if \ l\in \Lambda \ then \ a \ l \ else \ undefined)
syntax
-pie :: pttrn \Rightarrow 'a \ set \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b \ ((1'(-\in -./-')))
translations
(l \in \Lambda. \ a) \rightleftharpoons CONST \ pie \ \Lambda \ (\lambda l. \ a)
lemma pie-eq1 [iff]:
```

```
assumes l \in \Lambda
 shows (l \in \Lambda. \ a \ l) \ l = a \ l
 using assms unfolding pie-def by simp
lemma pie-eq2 [iff]:
 assumes l \notin \Lambda
 shows (l \in \Lambda. \ a \ l) \ l = undefined
  using assms unfolding pie-def by simp
definition proj :: 'a \Rightarrow ('a \Rightarrow 'b) \Rightarrow 'b
 where proj l a = a l
lemmas proj-eq = proj-def
lemma Pi-imp-proj:
 assumes a \in (\Pi \ l \in \Lambda. \ A \ l)
   and l \in \Lambda
 shows proj l \ a \in A \ l
 using assms unfolding proj-def by auto
          E) A Theorem on Map
1.5.5
theorem thm-1-7-a-a:
 assumes f \cdot A = B
 obtains s where s ' B \subseteq A
   and id\text{-}on \ (f \circ s) \ B
proof -
   \mathbf{fix} \ b
   assume b \in B
   with assms(1) obtain a where a \in A and b = f a by auto
   hence a \in f -' \{b\} by auto
   with \langle a \in A \rangle have (f - `\{b\}) \cap A \neq \{\} by auto
  then obtain s where *: s \in (\Pi \ b \in B. \ (f - `\{b\}) \cap A) by (rule \ AC-E)
  have s \, 'B \subseteq A
 proof (rule subsetI)
   \mathbf{fix} \ a
   assume a \in s ' B
   then obtain b where b \in B and a = s \ b by auto
   from this(1) and * have s \ b \in A by auto
   with \langle a = s \ b \rangle show a \in A by simp
 qed
  moreover have id\text{-}on \ (f \circ s) \ B
 proof (rule id-onI)
```

```
assume b \in B
   with * have s \ b \in f -' \{b\} by auto
   thus (f \circ s) b = b by simp
  ged
  ultimately show thesis by (fact that)
qed
theorem thm-1-7-a-b:
 assumes f : A \subseteq B
   and s \cdot B \subseteq A
   and id\text{-}on \ (f \circ s) \ B
 shows f \cdot A = B
proof -
 from assms(3) have (f \circ s) ' B = B by (fact id\text{-}on\text{-}imp\text{-}surj\text{-}on)
  with assms(1,2) show ?thesis by (intro prob-1-4-10-a[where A=B and f=s and g=f])
qed
theorem thm-1-7-a:
  assumes f : A \subseteq B
 shows f \cdot A = B \longleftrightarrow (\exists s. \ s \cdot B \subseteq A \land id\text{-}on \ (f \circ s) \ B)
proof (rule iffI)
 assume f \cdot A = B
  then obtain s where s 'B \subseteq A and id-on (f \circ s) B by (rule thm-1-7-a-a)
  thus \exists s. \ s \ `B \subseteq A \land id\text{-}on \ (f \circ s) \ B \ \mathbf{by} \ auto
next
 assume \exists s. s ' B \subseteq A \land id\text{-}on (f \circ s) B
 then obtain s where s 'B \subseteq A and id-on (f \circ s) B by auto
  with assms show f ' A = B by (rule thm-1-7-a-b)
qed
theorem thm-1-7-b-a:
  assumes A = \{\} \implies B = \{\} — This assumption is not specified in the book. However, there
exists a counterexample without it.
   — The assumption f' A \subseteq B is not necessary.
   and inj-on f A
 obtains r where r ' B \subseteq A
   and id\text{-}on \ (r \circ f) \ A
proof -
  {
   assume A = \{\}
   with assms(1) have B = \{\} by simp
   let ?r = \lambda b. undefined
   from \langle A = \{\} \rangle and \langle B = \{\} \rangle have ?r ' B \subseteq A by simp
   moreover from \langle A = \{\} \rangle have id\text{-}on\ (?r \circ f)\ A by simp
```

 $\mathbf{fix} \ b$ 

```
ultimately have thesis by (fact that)
 }
 moreover {
   assume A \neq \{\}
   then obtain a where a \in A by auto
   let ?r = \lambda b. if b \in f 'A then the-inv-into A f b else a
   have ?r \cdot B \subseteq A
   proof (rule subsetI)
     fix a'
     assume a' \in ?r 'B
     then obtain b where b \in B and a' = ?r b by auto
      assume b \in f ' A
      then obtain a'' where a'' \in A and b = f a'' by auto
      from \langle b \in f 'A \rangle have ?r b = the -inv -into A f b by <math>simp
      with \langle a' = ?r b \rangle have the-inv-into A f b = a' by simp
      with \langle b = f a'' \rangle have the-inv-into A f (f a'') = a' by simp
      moreover from this and assms(2) and (a'' \in A) have the invinto A f (f a'') = a''
        by (intro the-inv-into-f-f)
      ultimately have a' = a'' by simp
       with \langle a^{\prime\prime} \in A \rangle have a^{\prime} \in A by simp
     }
     moreover {
      assume b \notin f ' A
      hence ?r b = a by simp
      with \langle a' = ?r b \rangle have a' = a by simp
      with \langle a \in A \rangle have a' \in A by simp
     ultimately show a' \in A by auto
   qed
   moreover have id\text{-}on\ (?r \circ f)\ A
   proof (rule id-onI)
     fix a'
     assume a' \in A
     hence f a' \in f ' A by simp
     hence ?r(f a') = the -inv -into A f(f a') by simp
     also from assms(2) and \langle a' \in A \rangle have ... = a' by (intro\ the\ inv\ into\ f-f)
     finally have ?r(fa') = a'.
     thus (?r \circ f) a' = a' by simp
   ultimately have thesis by (fact that)
 ultimately show thesis by auto
qed
```

```
assumes — The assumption f \cdot A \subseteq B is not necessary.
   — The assumption r ' B \subseteq A is not necessary.
    id\text{-}on\ (r\circ f)\ A
 shows inj-on f A
proof -
  from assms have inj-on (r \circ f) A by (fact id\text{-}on\text{-}imp\text{-}inj\text{-}on)
  thus ?thesis by (fact prob-1-4-10-b)
qed
theorem thm-1-7-b:
 assumes A = \{\} \Longrightarrow B = \{\}— This assumption is not specified in the book. However, there exists
a counterexample without it.
 shows inj-on f A \longleftrightarrow (\exists r. \ r \ `B \subseteq A \land id\text{-on} \ (r \circ f) \ A)
  using assms thm-1-7-b-a thm-1-7-b-b by metis
definition right-inv-into :: 'a set \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('b \Rightarrow 'a) \Rightarrow bool
  where right-inv-into A f s \longleftrightarrow s '(f 'A) \subseteq A \land id\text{-}on (f \circ s) (f 'A)
lemma right-inv-into:
  obtains s where right-inv-into A f s
proof -
 obtain s where s 'f ' A \subseteq A and id-on (f \circ s) (f 'A) using thm-1-7-a-a by blast
 thus thesis using that unfolding right-inv-into-def by simp
qed
lemma right-inv-intoI:
  assumes \bigwedge b.\ b \in f 'A \Longrightarrow s\ b \in A
   and \bigwedge b.\ b \in f' A \Longrightarrow f(s b) = b
 shows right-inv-into A f s
  using assms unfolding right-inv-into-def by fastforce
lemma right-inv-intoD1:
 assumes right-inv-into A f s
 shows s ' (f \cdot A) \subseteq A
 using assms unfolding right-inv-into-def by simp
lemma right-inv-intoD2-pf:
 assumes right-inv-into A f s
 shows id\text{-}on\ (f\circ s)\ (f\text{ '}A)
  using assms unfolding right-inv-into-def by simp
lemma right-inv-intoD2:
 assumes right-inv-into A f s
```

theorem thm-1-7-b-b:

and  $x \in f$  ' A

```
proof -
 from assms(1) have id\text{-}on\ (f\circ s)\ (f\text{'}A) by (fact\ right\text{-}inv\text{-}intoD2\text{-}pf)
 with assms(2) have (f \circ s) x = x by blast
 thus ?thesis by simp
qed
definition left-inv-into :: 'a set \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('b \Rightarrow 'a) \Rightarrow bool
  where left-inv-into A f r \longleftrightarrow id-on (r \circ f) A
lemma left-inv-into:
 assumes inj-on f A
 obtains r where left-inv-into A f r
proof -
  {
   assume A = \{\}
   then obtain r where id\text{-}on\ (r\circ f)\ A by simp
   hence left-inv-into A f r unfolding left-inv-into-def by simp
   hence thesis by (fact that)
  }
 moreover {
   assume A \neq \{\}
   with assms obtain r where id-on (r \circ f) A by (blast intro: thm-1-7-b-a)
   hence left-inv-into A f r unfolding left-inv-into-def by simp
   hence thesis by (fact that)
  }
 ultimately show thesis by auto
qed
lemma left-inv-intoI:
 assumes \bigwedge a. \ a \in A \Longrightarrow r \ (f \ a) = a
 shows left-inv-into A f r
 using assms unfolding left-inv-into-def by auto
lemma left-inv-intoD1:
 assumes left-inv-into A f r
 shows r ' f ' A \subseteq A
  using assms unfolding left-inv-into-def by auto
lemma left-inv-intoD2-pf:
  assumes left-inv-into A f r
 shows id\text{-}on\ (r\circ f)\ A
  using assms unfolding left-inv-into-def by simp
lemma left-inv-intoD2:
```

shows f(s|x) = x

```
assumes left-inv-into A f r
   and a \in A
 shows r(f a) = a
 using assms unfolding left-inv-into-def by fastforce
corollary cor-inj-on-iff-surj-on-a:
 assumes A = \{\} \Longrightarrow B = \{\}
   and f ' A \subseteq B
   and inj-on f A
 obtains q where q \cdot B = A
proof -
 from assms(1,3) obtain g where g' B \subseteq A and id\text{-}on\ (g \circ f) A by (elim\ thm\text{-}1\text{-}7\text{-}b\text{-}a)
 with \langle f : A \subseteq B \rangle have g : B = A by (intro\ thm-1-7-a-b)
 thus thesis by (fact that)
qed
corollary cor-inj-on-iff-surj-on-b:
 assumes f \cdot A = B
 obtains g where g ' B \subseteq A and inj-on g B
proof -
 from assms obtain g where g 'B \subseteq A and id-on (f \circ g) B by (elim thm-1-7-a-a)
 from this(2) have inj-on g B by (intro\ thm-1-7-b-b)
 with \langle g | B \subseteq A \rangle show thesis by (fact that)
qed
corollary cor-inj-on-iff-surj-on:
  assumes A = \{\} \implies B = \{\} — This assumption is not specified in the book. However, there
exists a counterexample without it.
 shows (\exists f. \ f \ `A \subseteq B \land inj\text{-on} \ f \ A) \longleftrightarrow (\exists \ q. \ q \ `B = A)
proof (rule iffI)
 assume \exists f. f ' A \subseteq B \land inj\text{-}on f A
 then obtain f where f ' A \subseteq B and inj-on f A by auto
 with assms obtain g where g 'B = A by (elim cor-inj-on-iff-surj-on-a)
 thus \exists f. f ' B = A by auto
next
 assume \exists g. g 'B = A
 then obtain g where g ' B = A ..
 then obtain f where f ' A \subseteq B and inj-on f A by (elim cor-inj-on-iff-surj-on-b)
 thus \exists f. f ' A \subseteq B \land inj\text{-}on f A by auto
qed
```

## 1.5.6 F) Multivariate Maps

## 1.5.7 Problems

shows  $\exists ! l \in \Lambda$ .  $a \in A \ l$ 

```
proposition prob-1-5-5-a:
  shows (\bigcup l \in \Lambda. \ A \ l) \cap (\bigcup \mu \in M. \ B \ \mu) = (\bigcup (l, \mu) \in \Lambda \times M. \ A \ l \cap B \ \mu)
  by auto
proposition prob-1-5-5-b:
  shows (\bigcap l \in \Lambda. \ A \ l) \cup (\bigcap \mu \in M. \ B \ \mu) = (\bigcap (l, \mu) \in \Lambda \times M. \ A \ l \cup B \ \mu)
  by blast
proposition prob-1-5-5-c:
  shows (\bigcup l \in \Lambda. \ A \ l) \times (\bigcup \mu \in M. \ B \ \mu) = (\bigcup (l, \mu) \in \Lambda \times M. \ A \ l \times B \ \mu)
  by auto
proposition prob-1-5-5-d:
  assumes \Lambda \neq \{\}
      and M \neq \{\} — These assumptions are not specified in the book. However, there exists a
counterexample without them.
  shows (\bigcap l \in \Lambda. \ A \ l) \times (\bigcap \mu \in M. \ B \ \mu) = (\bigcap (l, \mu) \in \Lambda \times M. \ A \ l \times B \ \mu)
  using assms by blast
lemma disjoint-family-on-imp-eq:
  assumes disjoint-family-on A \Lambda
    and l \in \Lambda
    and l' \in \Lambda
    and a \in A l
    and a \in A l'
  shows l = l'
proof -
  from assms(4,5) have a \in A \ l \cap A \ l' by simp
  {
    assume l \neq l'
    with assms(1-3) have A \ l \cap A \ l' = \{\} by (elim \ disjoint\text{-}family\text{-}onD)
    with \langle a \in A \ l \cap A \ l' \rangle have False by simp
  thus ?thesis by auto
qed
lemma disjoint-family-on-imp-uniq-idx:
  assumes disjoint-family-on A \Lambda
    and a \in (\bigcup l \in \Lambda. \ A \ l)
```

```
proof (rule ex-ex1I)
  from assms(2) obtain l where l \in \Lambda and a \in A l by auto
  thus \exists l. l \in \Lambda \land a \in A \ l \ by \ auto
next
  thm disjoint-family-onD
  fix l and l'
  assume l \in \Lambda \land a \in A \ l \ \text{and} \ l' \in \Lambda \land a \in A \ l'
  with assms(1) show l = l' by (blast dest: disjoint-family-on-imp-eq)
qed
proposition prob-1-5-6-existence:
  assumes disjoint-family-on A \Lambda
    and \Lambda l. \ l \in \Lambda \Longrightarrow (f \ l) \ ` (A \ l) \subseteq B
  obtains F where F ' (\bigcup l \in \Lambda. A l) \subseteq B
    and \Lambda l. \ l \in \Lambda \Longrightarrow ext\text{-}eq\text{-}on \ (A \ l) \ F \ (f \ l)
proof -
  let ?F = \lambda a. f (THE l. l \in \Lambda \land a \in A l) a
  have ?F '([]l \in \Lambda. A \ l) \subseteq B
  proof (rule image-subsetI)
    \mathbf{fix} \ a
    assume a \in (\bigcup l \in \Lambda. A l)
    with assms(1) have \exists !l \in \Lambda. a \in A \ l by (elim \ disjoint - family - on - imp - uniq - idx)
    then obtain l where l \in \Lambda \land a \in A \ l by auto
    hence l \in \Lambda and \langle a \in A | l \rangle by auto
    from (\exists ! l \in \Lambda. \ a \in A \ l) and (l \in \Lambda \land a \in A \ l) have (THE \ l. \ l \in \Lambda \land a \in A \ l) = l by auto
    hence ?F \ a = f \ l \ a \ by \ simp
    from assms(2) and \langle l \in \Lambda \rangle have (f l) ' (A l) \subseteq B by simp
    with \langle a \in A | l \rangle have f | l | a \in B by auto
    with \langle ?F | a = f | l | a \rangle show ?F | a \in B by simp
  moreover have \Lambda l. \ l \in \Lambda \Longrightarrow ext\text{-}eq\text{-}on \ (A \ l) \ ?F \ (f \ l)
  proof -
    \mathbf{fix} l
    assume l \in \Lambda
    {
      \mathbf{fix} \ a
      assume a \in A l
      with \langle l \in \Lambda \rangle have l \in \Lambda \wedge a \in A \ l \ ...
      moreover {
         fix l'
         assume *: l' \in \Lambda \land a \in A l'
         hence l' \in \Lambda and a \in A \ l' by simp+
         with (l \in \Lambda) and (a \in A \mid l) and assms(1) have l' = l by (elim disjoint-family-on-imp-eq)
      ultimately have (THE \ l. \ l \in \Lambda \land a \in A \ l) = l by (intro\ the\text{-}equality)
```

```
hence ?F \ a = f \ l \ a \ by \ simp
    }
    thus ext-eq-on (A l) ?F <math>(f l) by (intro\ ext-eq-onI)
  ultimately show thesis by (fact that)
qed
proposition prob-1-5-6-uniqueness:
  assumes — The assumption disjoint-family-on A \Lambda is not necessary.
   — The assumption F' \setminus A \cap A \subset B is not necessary.
    \Lambda l. \ l \in \Lambda \Longrightarrow ext\text{-}eq\text{-}on \ (A \ l) \ F \ (f \ l)
    — The assumption F' ' [\ ] (A ' \Lambda) \subseteq B is not necessary.
    and \Lambda l. \ l \in \Lambda \Longrightarrow ext\text{-}eq\text{-}on \ (A \ l) \ F' \ (f \ l)
 shows ext-eq-on (\bigcup l \in \Lambda. A l) F F'
proof (rule ext-eq-onI)
 \mathbf{fix} \ a
 assume a \in (\bigcup l \in \Lambda. A l)
 then obtain l where l \in \Lambda and a \in A l by blast
 from this(1) and assms(1) have ext-eq-on (A \ l) \ F \ (f \ l) by simp
 also have ext-eq-on (A \ l) \dots F'
 proof -
    from \langle l \in \Lambda \rangle and assms(2) have ext\text{-eq-on }(A\ l)\ F'\ (f\ l) by simp
   thus ?thesis by (fact ext-eq-on-sym)
 qed
  finally have ext-eq-on (A l) <math>F F'.
  with \langle a \in A | l \rangle show F | a = F' | a by auto
qed
proposition prob-1-5-7:
  assumes \Lambda l. \ l \in \Lambda \Longrightarrow A \ l \neq \{\}
    and l \in \Lambda
 shows (proj \ l) ' (\Pi \ l \in \Lambda. \ A \ l) = A \ l
proof (rule surj-onI)
 \mathbf{fix} \ a
 assume a \in (\Pi \ l \in \Lambda. \ A \ l)
 with assms(2) show proj \ l \ a \in A \ l by (intro \ Pi-imp-proj)
next
 \mathbf{fix} al
 assume al \in A l
 moreover from assms(1) have (\Pi \ l \in \Lambda. \ A \ l) \neq \{\} by (auto intro: AC)
 moreover note assms(2)
 ultimately obtain a where a \in (\Pi \ l \in \Lambda. \ A \ l) and a \ l = al by (elim \ Pi-one-point)
 from this(2) have proj \ l \ a = al \ unfolding \ proj-eq \ by \ simp
 with \langle a \in (\Pi \ l \in \Lambda. \ A \ l) \rangle show al \in proj \ l ' Pi \ \Lambda \ A by auto
qed
```

```
proposition prob-1-5-8-a:
  assumes \Lambda l. \ l \in \Lambda \Longrightarrow A \ l \neq \{\}
    and (\Pi \ l \in \Lambda. \ A \ l) \subseteq (\Pi \ l \in \Lambda. \ B \ l)
    and l \in \Lambda
  shows A \ l \subseteq B \ l
proof -
     assume \exists l \in \Lambda. B \mid l = \{\}
    then obtain l' where l' \in \Lambda and B l' = \{\} by auto
    hence (\Pi \ l \in \Lambda. \ B \ l) = \{\} by auto
    with assms(2) have (\Pi \ l \in \Lambda. \ A \ l) = \{\} by simp
    moreover from assms(1) have (\Pi \ l \in \Lambda. \ A \ l) \neq \{\} by (auto intro: AC)
     ultimately have False by simp
  }
  hence *: \forall l \in \Lambda. \ B \ l \neq \{\} by auto
  from assms(1,3) have A \mid l = (proj \mid l) ' (\Pi \mid l \in \Lambda. \mid A \mid l) by (fact \mid prob-1-5-7 \mid THEN \mid sym \mid)
  also from assms(2) have ... \subseteq (proj \ l) ' (\Pi \ l \in \Lambda. \ B \ l) by auto
  also from * and assms(3) have ... = B \ l by (simp \ add: prob-1-5-7)
  finally show ?thesis.
qed
proposition prob-1-5-8-b:
  assumes — The assumption \Lambda l. l \in \Lambda \Longrightarrow A \ l \neq \{\} is not necessary.
     \Lambda l. \ l \in \Lambda \Longrightarrow A \ l \subseteq B \ l
  shows (\Pi \ l \in \Lambda. \ A \ l) \subseteq (\Pi \ l \in \Lambda. \ B \ l)
  using assms by (fact Pi-mono)
proposition prob-1-5-8:
  assumes \Lambda l. \ l \in \Lambda \Longrightarrow A \ l \neq \{\}
  shows (\Pi \ l \in \Lambda. \ A \ l) \subseteq (\Pi \ l \in \Lambda. \ B \ l) \longleftrightarrow (\forall \ l \in \Lambda. \ A \ l \subseteq B \ l)
proof (rule iffI)
  assume (\Pi \ l \in \Lambda. \ A \ l) \subseteq (\Pi \ l \in \Lambda. \ B \ l)
    \mathbf{fix} \ l
    assume l \in \Lambda
    with assms and \langle (\Pi \ l \in \Lambda. \ A \ l) \subseteq (\Pi \ l \in \Lambda. \ B \ l) \rangle have A \ l \subseteq B \ l by (rule prob-1-5-8-a)
  thus \forall l \in \Lambda. A \ l \subseteq B \ l ..
next
  assume \forall l \in \Lambda. A \ l \subseteq B \ l
  thus (\Pi \ l \in \Lambda. \ A \ l) \subseteq (\Pi \ l \in \Lambda. \ B \ l) by (auto intro: prob-1-5-8-b)
qed
proposition prob-1-5-9:
```

```
shows (\Pi \ l \in \Lambda. \ A \ l) \cap (\Pi \ l \in \Lambda. \ B \ l) = (\Pi \ l \in \Lambda. \ A \ l \cap B \ l)
  by (fact Pi-Int)
proposition prob-1-5-10:
   assumes (\Pi \ l \in \Lambda. \ A \ l) \neq \{\} — This assumption is not specified in the book. However, there
exists a counterexample without it.
     and \Lambda l. \ l \in \Lambda \Longrightarrow (f \ l) \ ` (A \ l) \subseteq B \ l
  defines F: F \ a \equiv (l \in \Lambda. \ f \ l \ (a \ l))
  obtains (\forall b \in (\Pi \ l \in \Lambda. \ B \ l). \ \exists \ a \in (\Pi \ l \in \Lambda. \ A \ l). \ ext\text{-eq-on} \ \Lambda \ (F \ a) \ b)
              \longleftrightarrow (\forall l \in \Lambda. \ f \ l \ `A \ l = B \ l)
     and (\forall a \in (\Pi \ l \in \Lambda. \ A \ l). \ \forall a' \in (\Pi \ l \in \Lambda. \ A \ l). \ F \ a = F \ a' \longrightarrow \textit{ext-eq-on} \ \Lambda \ a \ a')
              \longleftrightarrow (\forall l \in \Lambda. inj\text{-}on (f l) (A l))
proof -
  let \mathfrak{M} = \Pi \ l \in \Lambda. A l
     and \mathcal{PB} = \Pi \ l \in \Lambda. B l
  let ?L0 = \forall b \in ?\mathfrak{B}. \ \exists \ a \in ?\mathfrak{A}. \ ext\text{-eq-on} \ \Lambda \ (F \ a) \ b
     and ?R0 = \forall l \in \Lambda. \ f \ l ' A \ l = B \ l
     and ?L1 = \forall a \in ?\mathfrak{A}. \ \forall a' \in ?\mathfrak{A}. \ F \ a = F \ a' \longrightarrow ext\text{-eq-on } \Lambda \ a \ a'
     and ?R1 = \forall l \in \Lambda. inj-on (f l) (A l)
  {
    \mathbf{fix} \ a
     assume a \in ?\mathfrak{A}
       \mathbf{fix} l
       assume l \in \Lambda
       with \langle a \in \mathfrak{PA} \rangle have a \mid l \in A \mid l by auto
       with (l \in \Lambda) and assms(2) have f(l, a, l) \in B(l, b) auto
       with \langle l \in \Lambda \rangle have F \ a \ l \in B \ l unfolding F by simp
    hence F a \in \mathcal{PB} by simp
  hence F ' \mathfrak{M} \subseteq \mathfrak{PB} by auto
  with assms(1) have \mathfrak{PB} \neq \{\} by blast
  have *: F \ a \ l = f \ l \ (a \ l) if l \in \Lambda for a and l using that unfolding F by simp
  have ?L0 \longleftrightarrow ?R0
  proof (rule iffI)
     assume ?L0
       \mathbf{fix} l
       assume l \in \Lambda
          \mathbf{fix} al
          assume al \in A l
          with \langle l \in \Lambda \rangle have f \mid al \in B \mid by (auto dest: assms(2))
        }
```

```
moreover {
      \mathbf{fix} bl
      assume bl \in B l
      with (?\mathfrak{B} \neq \{\}) and (l \in \Lambda) obtain b where b \in \mathfrak{B} and b \mid l = bl by (elim \ Pi-one-point)
      from this(1) and (?L0) obtain a where a \in ?\mathfrak{A} and ext-eq-on \Lambda (F \ a) \ b by blast
      from this(2) and (l \in \Lambda) have F \ a \ l = b \ l by auto
      with \langle l \in \Lambda \rangle and * have f(l) = b(l) by simp
      also from \langle b | l = bl \rangle have ... = bl by simp
      moreover from \langle a \in \mathcal{P} \mathfrak{A} \rangle and \langle l \in \Lambda \rangle have a \ l \in A \ l by auto
      ultimately have \exists al \in A \ l. \ f \ l \ al = bl \ by \ auto
    ultimately have f l ' A l = B l by blast
  thus ?R0 by simp
next
  assume ?R0
  {
    \mathbf{fix} \ b
    assume b \in ?\mathfrak{B}
      \mathbf{fix} l
      assume l \in \Lambda
      with \langle b \in ?\mathfrak{B} \rangle have b \mid l \in B \mid l by auto
      with (l \in \Lambda) and (R0) obtain all where al \in A \ l and b \ l = f \ l \ al by auto
      hence \exists al \in A \ l. \ b \ l = f \ l \ al \ by \ auto
    }
    then obtain a where a \in \mathcal{P}\mathfrak{A} and **: \Lambda l. l \in \Lambda \Longrightarrow b l = f l (a l)
      using AC-E-prop[where P = \lambda l. \ \lambda al. \ b \ l = f \ l \ al] by blast
    {
      \mathbf{fix} l
      assume l \in \Lambda
      with ** have b \ l = f \ l \ (a \ l) by simp
      also from \langle l \in \Lambda \rangle and * have ... = F \ a \ l by simp
      finally have F \ a \ l = b \ l \ by \ simp
    }
    with \langle a \in \mathcal{P} \mathfrak{A} \rangle have \exists a \in \mathcal{P} \mathfrak{A}. ext-eq-on \Lambda (F \ a) \ b by auto
  thus ?L0 by blast
qed
moreover have ?L1 \leftrightarrow ?R1
proof (rule iffI)
  assume ?L1
  {
    \mathbf{fix} l
    assume l \in \Lambda
```

```
fix al and al'
      assume al \in A \ l and al' \in A \ l and f \ l \ al = f \ l \ al'
      from \langle ?\mathfrak{A} \neq \{\} \rangle and this(1) and \langle l \in \Lambda \rangle obtain a where a \in ?\mathfrak{A} and a \mid l = al
        using Pi-one-point by metis
      let ?a' = \lambda l'. if l' = l then al' else a l'
       {
        fix l'
         assume l' \in \Lambda
           assume l' = l
           with \langle al' \in A \ l \rangle have ?a' \ l' \in A \ l' by simp
         moreover {
           assume l' \neq l
           with \langle a \in \mathcal{P} \mathfrak{A} \rangle and \langle l' \in \Lambda \rangle have \mathcal{P} a' l' \in A l' by auto
         ultimately have ?a' l' \in A l' by blast
      hence ?a' \in ?\mathfrak{A} by simp
        fix l'
           assume l' \in \Lambda
           with \langle a | l = a l \rangle and \langle f | l | a l = f | l | a l' \rangle and * have F | a | l' = F ? a' | l' by simp
         moreover {
           assume l' \notin \Lambda
           hence F \ a \ l' = F \ ?a' \ l' unfolding F by simp
         ultimately have F \ a \ l' = F \ ?a' \ l' by auto
       }
      hence F a = F ?a' by auto
      with \langle a \in \mathcal{P} \mathfrak{A} \rangle and \langle \mathcal{P} a' \in \mathcal{P} \mathfrak{A} \rangle and \langle \mathcal{P} L1 \rangle have ext-eq-on \Lambda a \mathcal{P} a' by blast
      with \langle l \in \Lambda \rangle have a \ l = ?a' \ l by auto
      with \langle a | l = al \rangle have al = al' by simp
    hence inj-on (f l) (A l) by (fact inj-onI)
  thus ?R1 by simp
next
  assume ?R1
  {
    fix a and a'
    assume a \in ?\mathfrak{A} and a' \in ?\mathfrak{A} and F a = F a'
```

{

```
\mathbf{fix} l
       assume l \in \Lambda
       from \langle F | a = F | a' \rangle have F | a | l = F | a' | l by simp
       with \langle l \in \Lambda \rangle have f(l, a, l) = f(l, a', l) by (simp \ only: *)
       moreover from \langle a \in \mathcal{P} \mathfrak{A} \rangle and \langle l \in \Lambda \rangle have a \ l \in A \ l by auto
       moreover from \langle a' \in \mathfrak{M} \rangle and \langle l \in \Lambda \rangle have a' l \in A l by auto
       moreover from (l \in \Lambda) and (?R1) have inj-on (f \ l) (A \ l) by simp
       ultimately have a \ l = a' \ l \ using \langle ?R1 \rangle by (elim \ inj\text{-}onD)
     hence ext-eq-on <math>\Lambda \ a \ a' by auto
   thus ?L1 by blast
 ultimately show thesis by (fact that)
qed
proposition prob-1-5-11-a:
 assumes f' A = B
   and right-inv-into A f s
   and right-inv-into A f s'
   and s 'B \subseteq s' 'B
 shows ext-eq-on B s s'
proof (rule ext-eq-onI)
 \mathbf{fix} \ b
 assume b \in B
 with assms(4) have s \ b \in s' ' B by auto
 then obtain b' where b' \in B and s' b' = s b by auto
 from this(2) have f(s'b') = f(sb) by simp
 moreover from assms(1) and (b \in B) and (b' \in B) have b \in f' A and b' \in f' A by simp+
 moreover note assms(2,3)
 ultimately have b' = b by (fastforce dest: right-inv-intoD2)
 with \langle s' b' = s b \rangle show s b = s' b by simp
qed
proposition prob-1-5-11:
 assumes f \cdot A = B
   and right-inv-into A f s
   and right-inv-into A f s'
   and s ' B\subseteq s' ' B\vee s' ' B\subseteq s ' B
 shows ext-eq-on B s s'
proof -
 {
   assume s 'B \subseteq s' 'B
   with assms(1-3) have ?thesis by (elim prob-1-5-11-a)
```

{

```
}
 moreover {
   assume s' ' B \subseteq s ' B
   with assms(1-3) have ext-eq-on B s' s by (elim prob-1-5-11-a)
   hence ?thesis by (fact ext-eq-on-sym)
 }
 moreover note assms(4)
 ultimately show ?thesis by auto
qed
proposition prob-1-5-12-a:
 assumes f \cdot A = B
   — The assumption f' ' B = C is not necessary.
   and right-inv-into A f s
   and right-inv-into B f ' s'
 shows right-inv-into A(f' \circ f)(s \circ s')
proof (rule right-inv-intoI)
 \mathbf{fix} \ c
 assume c \in (f' \circ f) ' A
 with assms(1) have c \in f' 'B by auto
 moreover from assms(3) have s' ' f' ' B \subseteq B by (elim\ right-inv-intoD1)
 ultimately have s' c \in B by auto
 with assms(1) have s' c \in f ' A by simp
 moreover from assms(2) have s 'f' A \subseteq A by (elim\ right-inv-intoD1)
 ultimately have s(s'c) \in A by auto
 thus (s \circ s') c \in A by simp
 from \langle s' c \in B \rangle and assms(1) have s' c \in f 'A by simp
 with assms(2) have f(s(s'c)) = s'c by (elim\ right-inv-intoD2)
 moreover from (c \in f' \cap B) and assms(3) have f'(s'c) = c by (elim\ right-inv-intoD2)
 ultimately show (f' \circ f) ((s \circ s') c) = c by simp
qed
proposition prob-1-5-12-b:
 assumes f : A \subseteq B
   and f' ' B \subseteq C
   and inj-on f A
   and inj-on f' B
   and left-inv-into A f r
   and left-inv-into B f' r'
 shows left-inv-into A(f' \circ f)(r \circ r')
proof (rule left-inv-intoI)
 \mathbf{fix} \ a
 assume a \in A
 with assms(1) have f a \in B by auto
 with assms(6) have r'(f'(f a)) = f a by (auto dest: left-inv-intoD2)
```

```
hence r(r'(f'(f a))) = r(f a) by simp
 with \langle a \in A \rangle and assms(5) have r(r'(f'(fa))) = a by (auto dest: left-inv-intoD2)
 thus (r \circ r') ((f' \circ f) \ a) = a by simp
qed
proposition prob-1-5-13-a:
 assumes — The assumption g : B \subseteq C is not necessary.
   — The assumption h ' A \subseteq C is not necessary.
   f' A \subseteq B
   and ext-eq-on A h (q \circ f)
 shows h 'A \subseteq g 'B
proof (rule subsetI)
 \mathbf{fix} c
 assume c \in h ' A
 then obtain a where a \in A and c = h a by auto
 from \langle a \in A \rangle and assms(1) have f \in B by auto
 moreover from \langle a \in A \rangle and assms(2) and \langle c = h \ a \rangle have q(f \ a) = c by auto
 ultimately show c \in g ' B by auto
qed
proposition prob-1-5-13-b:
 assumes — The assumption g' B \subseteq C is not necessary.
   — The assumption h 'A \subseteq C is not necessary.
   h'A \subseteq g'B
 obtains f where f ' A \subseteq B
   and ext-eq-on A h (g \circ f)
proof -
 obtain s where *: right-inv-into B g s by (elim right-inv-into)
 hence id\text{-}on\ (g\circ s)\ (g\ 'B) by (elim\ right\text{-}inv\text{-}intoD2\text{-}pf)
 let ?f = \lambda a. \ s \ (h \ a)
 have ?f \cdot A \subseteq B
 proof (rule image-subsetI)
   \mathbf{fix} \ a
   assume a \in A
   with assms have h \ a \in g 'B by auto
   moreover from * have s ' g ' B \subseteq B by (elim\ right-inv-intoD1)
   ultimately show ?f \ a \in B by auto
 qed
 moreover have ext-eq-on\ A\ h\ (q\circ ?f)
 proof (rule ext-eq-onI)
   \mathbf{fix} \ a
   assume a \in A
   with assms have h \ a \in g ' B by auto
   with * have q(s(h a)) = h a by (elim right-inv-intoD2)
   thus h \ a = (g \circ ?f) \ a \ \text{by } simp
```

```
ultimately show thesis by (rule that)
qed
proposition prob-1-5-13:
 — The assumption g' B \subseteq C is not necessary.
 — The assumption h ' A \subseteq C is not necessary.
 shows (\exists f. f ' A \subseteq B \land ext\text{-}eq\text{-}on A h (g \circ f)) \longleftrightarrow h ' A \subseteq g ' B
 using prob-1-5-13-a prob-1-5-13-b by metis
proposition prob-1-5-14-a:
  assumes — The assumption f : A \subseteq B is not necessary.
   — The assumption h ' A \subseteq C is not necessary.
   — The assumption g' B \subseteq C is not necessary.
   ext-eq-on A h <math>(g \circ f)
   and a \in A
   and a' \in A
   and f a = f a'
 shows h \ a = h \ a'
proof -
 from assms(4) have g(f a) = g(f a') by simp
 with assms(1-3) show h \ a = h \ a' using ext-eq-onD by fastforce
qed
lemma the-singleton-equality:
 assumes a \in A
   and \bigwedge x. \ x \in A \Longrightarrow x = a
 shows (THE \ a. \ A = \{a\}) = a
 using assms by blast
proposition prob-1-5-14-b:
 fixes A :: 'a \ set
   and B :: 'b \ set
   and C :: 'c \ set
  assumes A = \{\} \Longrightarrow B = \{\} — This assumption is not specified in the book. However, there
exists a counterexample without it.
   — The assumption f' A \subseteq B is not necessary.
   and h ' A \subseteq C
   and \bigwedge a \ a'. a \in A \Longrightarrow a' \in A \Longrightarrow f \ a = f \ a' \Longrightarrow h \ a = h \ a'
 obtains g where g 'B \subseteq C and ext-eq-on A h (g \circ f)
proof -
 {
   assume A \neq \{\}
   then obtain a where a \in A by auto
   let ?g = \lambda b. if b \in f 'A then (THE c. h' (f - `\{b\} \cap A) = \{c\}) else h a
```

ged

```
have *: ?q(fa) = h \ a \ \text{if} \ a \in A \ \text{for} \ a
proof -
  from that have f a \in f 'A by simp
 hence ?g(fa) = (THE c. h `(f - `\{fa\} \cap A) = \{c\}) by simp
  also have \dots = h \ a
  proof (rule the-singleton-equality)
   have a \in f -' \{f \ a\} by simp
   with that have a \in f -' \{f \ a\} \cap A by simp
   thus h \ a \in h ' (f - `\{f \ a\} \cap A) by simp
   \mathbf{fix} \ x
   assume x \in h '(f - \{f a\} \cap A)
   then obtain a' where a' \in f - \{f \mid a\} \cap A and x = h \mid a' by auto
   from \langle a' \in f - `\{f a\} \cap A \rangle have f a' = f a by simp
   moreover note \langle a \in A \rangle
   moreover from \langle a' \in f - `\{f a\} \cap A \rangle have a' \in A by simp
   moreover note assms(3)
   ultimately have h a' = h a by blast
   with \langle x = h \ a' \rangle show x = h \ a by simp
  qed
  finally show ?thesis.
qed
have ?g 'B \subseteq C
proof (rule image-subsetI)
 \mathbf{fix} \ b
  assume b \in B
  {
   assume b \in f ' A
   then obtain a where a \in A and b = f a by auto
   from \langle a \in A \rangle and * have ?q(fa) = h \ a \ bv \ simp
   with \langle b = f a \rangle have ?g b = h a by blast
   moreover from \langle a \in A \rangle and assms(2) have h \ a \in C by auto
   ultimately have ?g \ b \in C by simp
  }
  moreover {
   assume b \notin f ' A
   hence ?g \ b = h \ a \ \mathbf{by} \ simp
   also from \langle a \in A \rangle and assms(2) have h \ a \in C by auto
   finally have ?q \ b \in C.
  }
  ultimately show ?g \ b \in C by blast
moreover have ext-eq-on A h (?q \circ f)
proof (rule ext-eq-onI)
 fix a'
  assume a' \in A
```

```
thus h a' = (?g \circ f) a' by simp
   qed
   ultimately have thesis using that by blast
 moreover {
   let ?g = \lambda b. undefined :: 'c
   assume A = \{\}
   with assms(1) have B = \{\}.
   hence ?q 'B \subseteq C by simp
   moreover from \langle A = \{\} \rangle have ext-eq-on A h (?q \circ f) by simp
   ultimately have thesis by (fact that)
 }
 ultimately show thesis by auto
qed
proposition prob-1-5-14:
  assumes A = \{\} \implies B = \{\} — This assumption is not specified in the book. However, there
exists a counterexample without it.
   — The assumption f' A \subseteq B is not necessary.
   and h ' A \subseteq C
 shows (\exists g. g ' B \subseteq C \land ext\text{-}eq\text{-}on A h (g \circ f)) \longleftrightarrow (\forall a \in A. \forall a' \in A. f a = f a' \longrightarrow h a = h a')
proof (rule iffI)
 assume \exists g. g `B \subseteq C \land ext\text{-}eq\text{-}on A h (g \circ f)
 then obtain g where g 'B \subseteq C and *: ext-eq-on A h (g \circ f) by auto
 {
   fix a and a'
   assume a \in A and a' \in A and f = f a'
   with * have h \ a = h \ a' by (rule prob-1-5-14-a)
 thus \forall a \in A. \ \forall a' \in A. \ f \ a = f \ a' \longrightarrow h \ a = h \ a' by blast
 assume *: \forall a \in A. \ \forall a' \in A. \ f \ a = f \ a' \longrightarrow h \ a = h \ a'
   fix a and a'
   assume a \in A and a' \in A and f = f a'
   with * have h \ a = h \ a' by blast
 with assms obtain g where g 'B \subseteq C and ext-eq-on A h (g \circ f) by (elim prob-1-5-14-b)
 thus \exists g. g 'B \subseteq C \land ext\text{-}eq\text{-}on \ A \ h \ (g \circ f) by auto
qed
proposition prob-1-5-15:
  assumes A' = \{\} \implies A = \{\} — This assumption is not specified in the book. However, there
exists a counterexample without it.
```

hence ?g(fa') = h a' by (intro \*)

```
and u' A' \subseteq A
    and v ' B \subseteq B'
  defines Phi: \Phi f \equiv v \circ f \circ u
  obtains u' A' = A \longrightarrow inj\text{-}on \ v \ B
               \longrightarrow (\forall f \in A \rightarrow B. \ \forall f' \in A \rightarrow B. \ ext\text{-}eq\text{-}on \ A' \ (\Phi \ f) \ (\Phi \ f') \longrightarrow ext\text{-}eq\text{-}on \ A \ f \ f')
    and injon u A' \longrightarrow v' B = B' \longrightarrow (\forall f' \in A' \rightarrow B', \exists f \in A \rightarrow B. \ ext-eq-on \ A' (\Phi f) f')
proof -
  have u' A' = A \longrightarrow inj-on v B
           \longrightarrow (\forall f \in A \rightarrow B. \ \forall f' \in A \rightarrow B. \ ext\text{-eq-on} \ A' \ (\Phi \ f) \ (\Phi \ f') \longrightarrow ext\text{-eq-on} \ A \ f \ f')
  proof (intro impI)
    assume u ' A' = A and inj-on v B
      fix f and f'
      assume f \in A \to B and f' \in A \to B and ext-eq-on A' (\Phi f) (\Phi f')
      obtain u' where right-inv-into A' u u' by (fact right-inv-into)
      hence id\text{-}on\ (u\circ u')\ (u\ 'A') by (fact\ right\text{-}inv\text{-}intoD2\text{-}pf)
      with \langle u : A' = A \rangle have id-on (u \circ u') A by simp
      from (inj-on v B) obtain v' where left-inv-into B v v' by (elim left-inv-into)
      hence *: id\text{-}on\ (v' \circ v)\ B by (fact\ left\text{-}inv\text{-}intoD2\text{-}pf)
      from \langle id\text{-}on\ (u\circ u')\ A\rangle have ext-eq-on A\ (f\circ (u\circ u'))\ f by (fact\ thm\text{-}1\text{-}6\text{-}2\text{-}a)
      hence ext-eq-on A (f \circ u \circ u') f by (simp only: comp-assoc)
      moreover have (f \circ u \circ u') ' A \subseteq B
      proof -
         from (id\text{-}on\ (u\circ u')\ A) have (u\circ u')\ `A=A by (fact\ id\text{-}on\text{-}imp\text{-}surj\text{-}on)
         with \langle f \in A \rightarrow B \rangle show ?thesis by fastforce
      qed
      moreover note *
       ultimately have ext-eq-on A ((v' \circ v) \circ f \circ u \circ u') f by fastforce
      hence ext-eq-on A (v' \circ (\Phi f) \circ u') f unfolding Phi by (simp\ only:\ comp\text{-}assoc)
       {
         \mathbf{fix} \ a
         assume a \in A
         moreover from \langle u : A' = A \rangle and \langle right\text{-}inv\text{-}into A' u u' \rangle have u' : A \subseteq A'
           by (auto dest: right-inv-intoD1)
         ultimately have u' a \in A' by auto
         with \langle ext\text{-}eq\text{-}on \ A'\ (\Phi\ f)\ (\Phi\ f') \rangle have (\Phi\ f')\ (u'\ a) = (\Phi\ f)\ (u'\ a) by auto
         hence v'((\Phi f')(u'a)) = v'((\Phi f)(u'a)) by simp
         also have ... = v'(v(f(u(u'a)))) by (simp\ add:\ Phi)
         also from \langle u : A' = A \rangle and \langle right\text{-}inv\text{-}into A' u u' \rangle and \langle a \in A \rangle have ... = v' (v (f a))
           by (simp\ only:\ right-inv-intoD2)
         also have \dots = f a
         proof -
           from \langle f \in A \rightarrow B \rangle and \langle a \in A \rangle have f \in B by auto
           with \langle left\text{-}inv\text{-}into\ B\ v\ v' \rangle show ?thesis by (fact left-inv-intoD2)
         qed
```

```
finally have v'((\Phi f')(u'a)) = fa.
    hence ext-eq-on A (v' \circ (\Phi f') \circ u') f by auto
    hence ext-eq-on A((v' \circ v) \circ f' \circ u \circ u') f unfolding Phi by (simp only: comp-assoc)
    moreover have (f' \circ u \circ u') ' A \subseteq B
    proof -
      from (id-on (u \circ u') A) have (u \circ u') 'A = A by (fact id-on-imp-surj-on)
      with \langle f' \in A \rightarrow B \rangle show ?thesis by fastforce
    qed
    moreover note *
    ultimately have ext-eq-on A (f' \circ u \circ u') f by fastforce
    with \langle id\text{-}on \ (u \circ u') \ A \rangle have ext-eq-on A \ f' \ f by fastforce
    hence ext-eq-on A f f' by (fact ext-eq-on-sym)
  thus \forall f \in A \rightarrow B. \ \forall f' \in A \rightarrow B. \ ext\text{-}eq\text{-}on \ A' \ (\Phi \ f) \ (\Phi \ f') \longrightarrow ext\text{-}eq\text{-}on \ A \ ff' by simp
ged
moreover have inj-on u A' \longrightarrow v ' B = B'
                  \longrightarrow (\forall f' \in A' \rightarrow B'. \exists f \in A \rightarrow B. \ ext\text{-eq-on } A' \ (\Phi \ f) \ f')
proof (intro impI)
  assume inj-on u A' and v 'B = B'
    \mathbf{fix} f'
    assume f' \in A' \rightarrow B'
    from \langle inj\text{-}on \ u \ A' \rangle and assms(1) obtain u'
      where u' ' A \subseteq A' and id\text{-}on\ (u' \circ u)\ A' by (elim\ thm\text{-}1\text{-}7\text{-}b\text{-}a)
    obtain v' where right-inv-into B v v' by (fact right-inv-into)
    hence v' ' v ' B \subseteq B and id\text{-}on\ (v \circ v')\ (v ' B)
      by (fact right-inv-intoD1, fact right-inv-intoD2-pf)
    with \langle v | B = B' \rangle have v' | B' \subseteq B and id\text{-}on (v \circ v') B' by simp+
    let ?f = v' \circ f' \circ u'
    from \langle u' : A \subseteq A' \rangle and \langle f' \in A' \rightarrow B' \rangle and \langle v' : B' \subseteq B \rangle have f : A \subseteq B by fastforce
    moreover have ext-eq-on A' (\Phi ?f) f'
    proof -
      have ext-eq-on A'(\Phi ?f)(v \circ v' \circ f' \circ u' \circ u) unfolding Phi by auto
      also have ext-eq-on A' \dots (f' \circ u' \circ u)
      proof -
        from \langle id\text{-}on\ (u'\circ u)\ A'\rangle and \langle f'\in A'\to B'\rangle have (f'\circ u'\circ u)\ `A'\subseteq B' by fastforce
        with \langle id\text{-}on\ (v\circ v')\ B'\rangle show ?thesis by fastforce
      qed
      also from (id\text{-}on\ (u'\circ u)\ A') have ext-eq-on A'\ldots f' by fastforce
      finally show ext-eq-on A'(\Phi ? f) f'.
    qed
    ultimately have \exists f \in A \rightarrow B. ext-eq-on A'(\Phi f) f' by blast
  thus \forall f' \in A' \rightarrow B'. \exists f \in A \rightarrow B. ext-eq-on A' (\Phi f) f'..
```

```
ged
 ultimately show thesis by (fact that)
qed
proposition prob-1-5-15-ext-a:
 assumes u' A' = A
   and inj-on v B
 defines \Phi f \equiv \lambda a'. if a' \in A' then v (f (u a')) else undefined
 shows inj-on \Phi (A \rightarrow_E B)
proof (rule inj-onI)
 \mathbf{fix} f f'
 assume f: f \in A \rightarrow_E B
   and f': f' \in A \rightarrow_E B
   and \Phi f = \Phi f'
 {
   \mathbf{fix} \ a
   consider a \in A \mid a \notin A by auto
   moreover {
     assume a: a \in A
     with assms(1) obtain a' where a' \in A' and u a' = a by auto
     with \langle \Phi f = \Phi f' \rangle and \Phi-def have v(fa) = v(f'a) by metis
     moreover from f and a have f a \in B by auto
     moreover from f' and a have f' a \in B by auto
     moreover note assms(2)
     ultimately have f a = f' a by (simp \ only: inj-onD)
   }
   moreover {
     assume a \notin A
     with f and f' have f = f' = a by fastforce
   ultimately have f a = f' a by auto
 thus f = f' by auto
qed
proposition prob-1-5-15-ext-b:
 fixes A :: 'a \ set
   and B :: 'b \ set
 assumes A' = \{\} \Longrightarrow A = \{\}
   and u ' A' \subseteq A
   and inj-on u A'
   and v ' B = B'
 defines \Phi f \equiv \lambda a'. if a' \in A' then v (f (u a')) else undefined
 shows \Phi ' (A \rightarrow_E B) = (A' \rightarrow_E B')
proof (rule surj-onI)
```

```
\mathbf{fix} f
 assume f: f \in A \rightarrow_E B
 {
   fix a'
   assume a': a' \in A'
   with \Phi-def have \Phi f a' = v (f (u a')) by simp
   also from a' and assms(2) and f and assms(4) have ... \in B' by auto
   finally have \Phi f a' \in B'.
 }
 moreover {
   fix a'
   assume a' \notin A'
   with \Phi-def have \Phi f a' = undefined by simp
 ultimately show \Phi f \in A' \rightarrow_E B' by auto
next
 \mathbf{fix} \ g
 assume g: g \in A' \rightarrow_E B'
 consider A' = \{\} \mid A' \neq \{\} by auto
 moreover {
   assume A' = \{\}
   with assms(1) have A = \{\} by simp
   moreover define f :: 'a \Rightarrow 'b where f a \equiv undefined for a
   ultimately have f \in A \rightarrow_E B by auto
   moreover have \Phi f = g
   proof (rule ext)
     fix a'
     from \Phi-def and \langle A' = \{\}\rangle and g show \Phi f a' = g a' by simp
   qed
   ultimately have \exists f \in A \rightarrow_E B. \ \Phi \ f = g \ \text{by} \ auto
 }
 moreover {
   assume A' \neq \{\}
   with g and assms(4) have B \neq \{\} by auto
   then obtain b where b: b \in B by auto
   from assms(3) obtain u' where left-inv-into A' u u' by (rule left-inv-into)
   hence u'1: u' \cdot u \cdot A' \subseteq A' and u'2: id\text{-}on (u' \circ u) A'
     by (fact left-inv-intoD1, fact left-inv-intoD2-pf)
   obtain v' where right-inv-into B v v' by (fact right-inv-into)
   hence v' ' v ' B \subseteq B and id\text{-}on\ (v \circ v')\ (v ' B)
     by (fact right-inv-intoD1, fact right-inv-intoD2-pf)
   with assms(4) have v'1: v' \cdot B' \subseteq B and v'2: id\text{-}on (v \circ v') B' by simp+
   define f where f a \equiv if a \in u ' A' then v' (g (u' a)) else (if a \in A then b else undefined) for a
     \mathbf{fix} \ a
```

```
assume a \in A
     consider a \in u ' A' \mid a \notin u ' A' by auto
     moreover {
      assume a \in u ' A'
      with f-def have f a = v'(g(u'a)) by simp
      also from \langle a \in u ' A' \rangle and u'1 and g and v'1 have ... \in B by auto
      finally have f a \in B by simp
     }
     moreover {
      assume a \notin u ' A'
      with \langle a \in A \rangle and f-def and b have f \in A by simp
     ultimately have f a \in B by auto
   }
   moreover {
     \mathbf{fix} \ a
     assume a \notin A
     moreover from this and assms(2) have a \notin u ' A' by auto
     moreover note f-def
     ultimately have f a = undefined by simp
   ultimately have f \in A \rightarrow_E B by auto
   moreover have \Phi f = g
   proof (rule ext)
     fix a'
     consider a' \in A' \mid a' \notin A' by auto
     moreover {
      assume a': a' \in A'
      with \Phi-def have \Phi f a' = v (f (u a')) by simp
      also from a' and f-def have ... = (v \circ v') (g ((u' \circ u) a')) by auto
      also from a' and a' and g and g' have ... = g(a') by fastforce
      finally have \Phi f a' = g a'.
     }
     moreover {
      assume a': a' \notin A'
      with \Phi-def and g have \Phi f a' = g a' by auto
     ultimately show \Phi f a' = g a' by auto
   ultimately have \exists f \in A \rightarrow_E B. \ \Phi \ f = g \ \text{by} \ auto
 ultimately show g \in \Phi ' (A \rightarrow_E B) by auto
qed
```

```
theory Section-1-6
 imports Main
   HOL-Library.Disjoint-Sets
   Split	ext{-}Pair
   Section-1-5
begin
        Equivalence Relation
1.6
         A) Notion of Relation
1.6.1
1.6.2
         B) Equivalence Relation
lemma refl-onE [elim]:
 assumes refl-on A r
 obtains a \in A \Longrightarrow (a, a) \in r
   and (x, y) \in r \Longrightarrow x \in A
   and (x, y) \in r \Longrightarrow y \in A
 using assms by (blast dest: refl-onD refl-on-domain)
lemmas [elim] = symE transE equivE
definition equiv-kernel-on :: ('a \Rightarrow 'b) \Rightarrow 'a \ set \Rightarrow ('a \times 'a) \ set
 where equiv-kernel-on f A = \{(a, a') \in A \times A. f a = f a'\}
lemma equiv-kernel-onI [intro]:
 assumes a \in A
   and a' \in A
   and f a = f a'
 shows (a, a') \in equiv\text{-}kernel\text{-}on f A
 using assms unfolding equiv-kernel-on-def by simp
lemma equiv-kernel-onE [elim]:
 assumes (a, a') \in equiv\text{-}kernel\text{-}on f A
 obtains a \in A
   and a' \in A
   and f a = f a'
 using assms unfolding equiv-kernel-on-def by simp
lemma equiv-equiv-kernel-on:
 shows equiv A (equiv-kernel-on f(A))
proof (rule equivI)
 show refl-on A (equiv-kernel-on f A)
 proof (rule refl-onI)
```

**show** equiv-kernel-on  $f A \subseteq A \times A$  by auto

```
assume a \in A
    moreover have f a = f a by simp
    ultimately show (a, a) \in equiv\text{-}kernel\text{-}on f A by auto}
  show sym (equiv-kernel-on f A)
  proof (rule symI)
    fix a and a'
    assume (a, a') \in equiv\text{-}kernel\text{-}on f A
    thus (a', a) \in equiv\text{-}kernel\text{-}on f A by fastforce
  qed
  show trans (equiv-kernel-on f A)
  proof (rule transI)
    fix a and a' and a''
    assume (a, a') \in equiv\text{-}kernel\text{-}on f A \text{ and } (a', a'') \in equiv\text{-}kernel\text{-}on f A
    thus (a, a'') \in equiv-kernel-on f A by fastforce
  qed
\mathbf{qed}
lemma partition-onI2:
  assumes \{\} \notin \mathfrak{M}
    and \bigcup \mathfrak{M} = A
    and \bigwedge M M'. M \in \mathfrak{M} \Longrightarrow M' \in \mathfrak{M} \Longrightarrow M \neq M' \Longrightarrow M \cap M' = \{\}
  shows partition-on A \mathfrak{M}
  using assms by (blast intro: partition-onI disjnt-def[THEN iffD2])
lemma partition-onE [elim]:
  assumes partition-on A \mathfrak{M}
  obtains \{\} \notin \mathfrak{M}
    and \bigcup \mathfrak{M} = A
    and M \in \mathfrak{M} \Longrightarrow M' \in \mathfrak{M} \Longrightarrow M \neq M' \Longrightarrow M \cap M' = \{\}
  using assms by (auto dest: partition-onD1 partition-onD2 partition-onD3 disjointD)
lemma partition-on-definition:
  shows partition-on A M
            \longleftrightarrow (\forall M \in \mathfrak{M}. \ M \neq \{\}) \land []\mathfrak{M} = A \land (\forall M \in \mathfrak{M}. \ \forall M' \in \mathfrak{M}. \ M \neq M' \longrightarrow M \cap M' = \emptyset
{})
    (is ?L \longleftrightarrow ?R0 \land ?R1 \land ?R2)
proof (rule iffI)
  assume ?L
  thus ?R0 \land ?R1 \land ?R2 by fast
next
  assume *: ?R0 \land ?R1 \land ?R2
  from * have ?R1 by simp
  moreover {
```

 $\mathbf{fix} \ a$ 

```
fix M and M'
   assume M \in \mathfrak{M} and M' \in \mathfrak{M} and M \neq M'
   with * have disjnt M M' unfolding disjnt-def by simp
 }
 moreover from * have \{\} \notin \mathfrak{M} \text{ by } auto
 ultimately show partition-on A M by (fact partition-onI)
qed
definition equiv-by-partition :: 'a set set \Rightarrow 'a rel
 where equiv-by-partition \mathfrak{M} = \{(a, a'). \exists C \in \mathfrak{M}. a \in C \land a' \in C\}
lemma equiv-by-partition-iff [iff]:
 shows (a, a') \in equiv-by-partition \mathfrak{M} \longleftrightarrow (\exists C \in \mathfrak{M}. \ a \in C \land a' \in C)
 unfolding equiv-by-partition-def by simp
         C) Equivalence Classes, Quotient Set
1.6.3
proposition prop-1-6-1:
 assumes equiv A R
   and a \in A
 shows a \in R " \{a\}
 using assms by (fact equiv-class-self)
proposition prop-1-6-2-a:
 assumes equiv A R
   and (a, b) \in R
 \mathbf{shows}\ R\ ``\ \{a\} = R\ ``\ \{b\}
 using assms by (fact equiv-class-eq)
proposition prop-1-6-2-b:
 assumes equiv A R
   and a \in A
   and R " \{a\} = R " \{b\}
 shows (a, b) \in R
proof -
 from assms(1,2) have a \in R " \{a\} by (rule\ prop-1-6-1)
 with assms(3) have a \in R " \{b\} by simp
 with assms(1) show (a, b) \in R by auto
qed
proposition prop-1-6-2:
 assumes equiv A R
   and a \in A
 shows (a, b) \in R \longleftrightarrow R \text{ "} \{a\} = R \text{ "} \{b\}
```

using assms prop-1-6-2-a prop-1-6-2-b by metis

```
assumes equiv A R
   and R " \{a\} \neq R " \{b\}
 shows R " \{a\} \cap R " \{b\} = \{\}
proof (rule ccontr)
  assume R " \{a\} \cap R " \{b\} \neq \{\}
  then obtain c where c \in R " \{a\} and c \in R " \{b\} by auto
 with assms(1) have (a, b) \in R by auto
 with assms(1) have R " \{a\} = R " \{b\} by (rule prop-1-6-2-a)
 with assms(2) show False by simp
qed
theorem thm-1-8-a:
  assumes equiv A R
 shows partition-on A (A // R)
  using assms by (fact partition-on-quotient)
theorem thm-1-8-b:
  assumes equiv A R
 shows equiv-by-partition (A // R) = R
proof (rule set-eqI, split-pair)
   fix a and a'
   have (a, a') \in equiv-by-partition (A // R) \longleftrightarrow (\exists C \in A // R. a \in C \land a' \in C) by simp
   also have ... \longleftrightarrow (\exists C \in (\bigcup a \in A. \{R " \{a\}\}). a \in C \land a' \in C)
     unfolding quotient-def by blast
   also have ... \longleftrightarrow (\exists C. \exists a'' \in A. C = R " \{a''\} \land a \in C \land a' \in C) by auto
    also have ... \longleftrightarrow (\exists a'' \in A. \ a \in R \ " \{a''\} \land a' \in R \ " \{a''\}) by blast
    also have ... \longleftrightarrow (\exists a'' \in A. (a'', a) \in R \land (a'', a') \in R) by simp
    also from assms have ... \longleftrightarrow (\exists a'' \in A. (a, a'') \in R \land (a'', a') \in R) by auto
   also from assms have ... \longleftrightarrow (a, a') \in R by auto
   finally show (a, a') \in equiv-by-partition (A // R) \longleftrightarrow (a, a') \in R.
qed
lemmas [intro] = quotientI
lemmas [elim] = quotientE
proposition ex-1-1-a:
 shows equiv A \{(a, a') \in A \times A. a = a'\}
proof (rule equivI)
 let ?R = \{(a, a') \in A \times A. \ a = a'\}
 show refl-on A ?R
 proof (rule refl-onI)
   show ?R \subseteq A \times A by auto
```

**proposition** *prop-1-6-3*:

```
\mathbf{fix} \ a
   assume a \in A
   hence (a, a) \in A \times A by simp
   moreover have a = a by simp
   ultimately show (a, a) \in ?R by simp
 qed
 show sym ?R
 proof (rule symI)
   fix a and a'
   assume (a, a') \in \{(a, a') \in A \times A. \ a = a'\}
   hence (a', a) \in A \times A and a' = a by simp +
   thus (a', a) \in \{(a, a') \in A \times A. \ a = a'\} by simp
 qed
 show trans ?R
 proof (rule transI)
   fix a and a' and a''
   assume (a, a') \in R and (a', a'') \in R
   hence (a, a'') \in A \times A and a = a'' by simp +
   thus (a, a'') \in ?R by simp
 qed
qed
proposition ex-1-1-b:
 shows equiv A \{(a, a') \in A \times A. True\}
proof (rule equivI)
 let ?R = \{(a, a') \in A \times A. True\}
 show refl-on A ?R
 proof (rule refl-onI)
   show ?R \subseteq A \times A by simp
   \mathbf{fix} \ a
   assume a \in A
   thus (a, a) \in ?R by simp
 qed
 show sym ?R
 proof (rule symI)
   fix a and a'
   assume (a, a') \in ?R
   hence (a, a') \in A \times A by simp
   thus (a', a) \in ?R by simp
 qed
 show trans ?R
 proof (rule transI)
   fix a and a' and a''
   assume (a, a') \in R and (a', a'') \in R
   hence (a, a'') \in A \times A by simp
```

```
thus (a, a'') \in ?R by simp qed qed
```

### 1.6.4 D) Decomposition of Map

```
proposition prop-1-6-4:
  assumes f : A \subseteq B
 obtains \varphi and q and j where
   \varphi ' A = A // (equiv-kernel-on f A)
   and bij-betw g (A // (equiv-kernel-on f A)) (f 'A)
   and id\text{-}on \ j \ (f \ `A)
   and ext-eq-on A (i \circ q \circ \varphi) f
proof -
 have *: equiv A (equiv-kernel-on f A) by (fact equiv-equiv-kernel-on)
 let ?\varphi = \lambda a. equiv-kernel-on f A " \{a\}
 let ?g = \lambda C. (THE b. \exists a \in A. ?\varphi a = C \land f a = b)
 let ?j = \lambda b. if b \in f 'A then b else undefined
 have **: ?g (?\varphi a) = f a \text{ if } a \in A \text{ for } a
  proof (rule the-equality)
   from that show \exists a' \in A. ?\varphi a' = equiv-kernel-on f A " {a} <math>\land f a' = f a by blast
   assume \exists a' \in A. ?\varphi a' = equiv\text{-}kernel\text{-}on f A " {a} <math>\land f a' = b
   then obtain a' where a' \in A and ?\varphi a' = equiv\text{-}kernel\text{-}on f A " \{a\} and f a' = b by auto
   from * and this(1,2) have (a', a) \in equiv-kernel-on f A by (rule prop-1-6-2-b)
   hence f a' = f a by auto
   with \langle f a' = b \rangle show b = f a by simp
 have ?\varphi ' A = A // (equiv-kernel-on f A)
 proof (rule surj-onI)
   \mathbf{fix} \ a
   assume a \in A
   thus ?\varphi \ a \in A // (equiv-kernel-on \ f \ A) by auto
 next
   \mathbf{fix} \ C
   assume C \in A // (equiv\text{-}kernel\text{-}on f A)
   then obtain a where a \in A and C = equiv-kernel-on f A " \{a\} by fast
   thus C \in ?\varphi ' A by simp
 qed
  moreover have bij-betw ?g (A // (equiv-kernel-on f A)) <math>(f \cdot A)
  proof (rule bij-betw-imageI)
   show ?g '(A // (equiv-kernel-on f A)) = f 'A
   proof (rule surj-onI)
     \mathbf{fix} \ C
     assume C \in A // (equiv\text{-}kernel\text{-}on f A)
```

```
then obtain a where a \in A and C = ?\varphi a by fast
     from this(1) have ?g(?\varphi a) = f a by (fact **)
     with \langle C = ?\varphi \ a \rangle have ?g \ C = f \ a \ by \ simp
     with \langle a \in A \rangle show ?g \ C \in f ' A by simp
     \mathbf{fix} \ b
     assume b \in f ' A
     then obtain a where a \in A and b = f a by auto
     from this(1) have ?g(?\varphi a) = f a by (fact **)
     with \langle b = f a \rangle have ?q(?\varphi a) = b by simp
     moreover from \langle a \in A \rangle have ?\varphi \ a \in A // equiv-kernel-on f A by auto
     ultimately show b \in ?g '(A // (equiv-kernel-on f A)) by auto
   qed
   show inj-on ?g (A // (equiv-kernel-on f A))
   proof (rule inj-onI)
     fix C and C'
     assume C \in A // (equiv-kernel-on f A)
       and C' \in A // (equiv-kernel-on f A)
       and ?g C = ?g C'
     from this(1,2) obtain a and a' where a \in A and C = ?\varphi \ a \ a' \in A and C' = ?\varphi \ a'
       by fastforce
     from this have ?g (?\varphi a) = f a and ?g (?\varphi a') = f a' by (auto intro: **)
     with \langle ?g | C = ?g | C' \rangle and \langle C = ?\varphi | a \rangle and \langle C' = ?\varphi | a' \rangle have f | a = f | a' by force
     with \langle a \in A \rangle and \langle a' \in A \rangle have ?\varphi \ a = ?\varphi \ a' by fastforce
     with \langle C = ?\varphi \ a \rangle and \langle C' = ?\varphi \ a' \rangle show C = C' by simp
   qed
 moreover have id\text{-}on ?j (f `A)
 proof (rule id-onI)
   \mathbf{fix} \ b
   assume b \in f ' A
   thus ?j b = b by simp
 qed
 moreover have ext-eq-on A (?j \circ ?g \circ ?\varphi) f
 proof (rule ext-eq-onI)
   \mathbf{fix} \ a
   assume a \in A
   hence ?g (?\varphi a) = f a by (fact **)
   with \langle a \in A \rangle have ?g (?\varphi a) \in f \land A by simp
   hence ?j (?g (?\varphi a)) = ?g (?\varphi a) by simp
   with \langle ?g \ (?\varphi \ a) = f \ a \rangle show (?j \circ ?g \circ ?\varphi) \ a = f \ a  by force
 qed
 ultimately show thesis by (fact that)
qed
```

#### 1.6.5 Problems

```
proposition prob-1-6-1-a:
 assumes A - B \neq \{\}
   and B - A \neq \{\}
   and A \cap B \neq \{\}
 defines R: R \equiv A \times A \cup B \times B
 shows refl-on (A \cup B) R
   and sym R
   and \neg trans R
proof -
 have R \subseteq (A \cup B) \times (A \cup B) unfolding R by auto
 moreover {
   \mathbf{fix} \ a
   assume a \in A \cup B
   hence (a, a) \in R unfolding R by simp
 ultimately show refl-on (A \cup B) R by (rule \ refl-on I)
   fix a and a'
   assume (a, a') \in R
   hence (a', a) \in R unfolding R by auto
 thus sym R by (rule sym I)
   assume trans R
   from assms obtain a and b and c where a \in A - B and b \in B - A and c \in A \cap B by auto
   hence (a, c) \in R and (c, b) \in R and (a, b) \notin R unfolding R by auto
   from this(1,2) and \langle trans R \rangle have (a, b) \in R by auto
   with \langle (a, b) \notin R \rangle have False ...
 }
 thus \neg trans R ..
qed
proposition prob-1-6-1-b:
 defines R: R:: nat rel \equiv \{(a, b). a \leq b\}
 shows refl-on\ UNIV\ R
   and \neg sym\ R
   and trans R
 have R \subseteq UNIV \times UNIV unfolding R by simp
 moreover {
   \mathbf{fix} \ a
   have (a, a) \in R unfolding R by simp
```

```
}
 ultimately show refl-on UNIV R by (rule refl-onI)
   assume sym R
   moreover have (0, 1) \in R unfolding R by simp
   ultimately have (1, \theta) \in R by auto
   moreover have (1, 0) \notin R unfolding R by simp
   ultimately have False by simp
 }
 thus \neg sym R by auto
   fix a and a' and a''
   assume (a, a') \in R and (a', a'') \in R
   hence (a, a'') \in R unfolding R by fastforce
 thus trans R by (rule transI)
qed
proposition prob-1-6-2:
 assumes R \subseteq A \times A
   and sym R
   and trans R
   and \forall a \in A. \exists x. (a, x) \in R
 shows equiv A R
proof (rule equivI[OF - assms(2) \ assms(3)])
 {
   \mathbf{fix} \ a
   assume a \in A
   with assms(4) obtain a' where (a, a') \in R by auto
   moreover from this and assms(2) have (a', a) \in R by auto
   moreover note assms(3)
   ultimately have (a, a) \in R by auto
 with assms(1) show refl-on\ A\ R by (rule\ refl-onI)
qed
proposition prob-1-6-3:
 assumes — The assumption R \subseteq A \times A is not necessary since it is implied by refl-on A R.
   refl-on A R
   and \forall a \ b \ c. \ (a, \ b) \in R \land (b, \ c) \in R \longrightarrow (c, \ a) \in R
 shows equiv A R
proof (rule\ equivI[OF\ assms(1)])
 {
   fix a and a'
   assume (a, a') \in R
```

```
moreover from this and assms(1) have a \in A and a' \in A by auto
   moreover from this(2) and assms(1) have (a', a') \in R by auto
   moreover note assms(2)
   ultimately have (a', a) \in R by blast
 thus sym R by (rule sym I)
   fix a and a' and a''
   assume (a, a') \in R and (a', a'') \in R
   with assms(2) have (a'', a) \in R by blast
   with \langle sym R \rangle have (a, a'') \in R by auto
 thus trans R by (rule transI)
qed
proposition prob-1-6-4:
 defines A: A :: int rel \equiv UNIV \times (UNIV - \{0\})
 defines R: R \equiv \{((m, n), (m', n')) \in A \times A. \ m * n' = m' * n\}
 shows equiv A R
proof (rule equivI)
 have R \subseteq A \times A unfolding R by auto
 moreover {
   fix m and n
   assume (m, n) \in A
   hence ((m, n), (m, n)) \in R unfolding R by simp
 ultimately show refl-on A R by (intro refl-onI; split-pair)
   fix m and n and m' and n'
   assume ((m, n), (m', n')) \in R
   hence (m, n) \in A and (m', n') \in A and m * n' = m' * n unfolding R by auto
   from this(3) have m' * n = m * n' by simp
   with \langle (m, n) \in A \rangle and \langle (m', n') \in A \rangle have ((m', n'), (m, n)) \in R unfolding R by fast
 thus sym R by (intro sym I, split-pair)
   fix m and n and m' and n' and m''
   assume ((m, n), (m', n')) \in R and ((m', n'), (m'', n'')) \in R
   hence (m, n) \in A
    and (m', n') \in A
    and (m'', n'') \in A
    and m * n' = m' * n
    and m' * n'' = m'' * n' unfolding R by auto
   from this(4,5) have m * n' * n'' = m' * n * n''
    and m' * n * n'' = m'' * n * n' by simp +
```

```
hence m * n'' * n' = m'' * n * n' by algebra
   moreover from \langle (m', n') \in A \rangle have n' \neq 0 unfolding A by simp
   ultimately have m * n'' = m'' * n by simp
   with \langle (m, n) \in A \rangle and \langle (m'', n'') \in A \rangle have ((m, n), (m'', n'')) \in R unfolding R by simp
  }
 thus trans R by (intro transI, split-pair)
qed
proposition prob-1-6-5:
 shows equiv-kernel-on fst (A \times B) = \{((a, b), (a', b')) \in (A \times B) \times (A \times B). a = a'\}
   (is ?L = ?R)
proof (rule set-eqI; split-pair)
 fix a and b and a' and b'
 have ((a, b), (a', b')) \in ?L
         \longleftrightarrow (a, b) \in A \times B \wedge (a', b') \in A \times B \wedge fst (a, b) = fst (a', b') by blast
 also have ... \longleftrightarrow (a, b) \in A \times B \wedge (a', b') \in A \times B \wedge a = a' by simp
 also have ... \longleftrightarrow ((a, b), (a', b')) \in ?R by simp
 finally show ((a, b), (a', b')) \in ?L \longleftrightarrow ((a, b), (a', b')) \in ?R.
qed
proposition prob-1-6-6-a:
  assumes equiv A R
 defines phi: \varphi \ a \equiv R \text{ "} \{a\}
 assumes — The assumption f \cdot A \subseteq B is not necessary.
   — The assumption g ' A // R \subseteq B is not necessary.
    ext-eq-on A f <math>(q \circ \varphi)
 shows \forall a \in A. \ \forall a' \in A. \ (a, a') \in R \longrightarrow f \ a = f \ a'
proof (intro ballI, rule impI)
 fix a and a'
 assume (a, a') \in R
 with assms(1) have R " \{a\} = R " \{a'\} by (rule prop-1-6-2-a)
 hence \varphi \ a = \varphi \ a' by (unfold phi)
 moreover from assms(1) and \langle (a, a') \in R \rangle have a \in A and a' \in A by auto
 moreover note assms(3)
 ultimately show f a = f a' by (elim \ prob-1-5-14-a)
qed
proposition prob-1-6-6-b:
 assumes equiv A R
 defines phi: \varphi \equiv \lambda a. R " \{a\}
 assumes f : A \subseteq B
   and \forall a \in A. \ \forall a' \in A. \ (a, a') \in R \longrightarrow f \ a = f \ a'
 obtains g where g ' (A // R) \subseteq B and ext-eq-on A f (g \circ \varphi)
proof -
  {
```

```
fix a and a'
   assume a \in A and a' \in A and \varphi \ a = \varphi \ a'
   from this(3) have R " \{a\} = R" \{a'\} unfolding phi by simp
   with assms(1) and \langle a \in A \rangle have (a, a') \in R by (rule\ prop-1-6-2-b)
   with \langle a \in A \rangle and \langle a' \in A \rangle and assms(4) have f(a) = f(a') by simp(a)
  }
 moreover from assms(1) have A = \{\} \Longrightarrow A // R = \{\} by simp
 moreover note assms(3)
 ultimately obtain g where g ' (A // R) \subseteq B and ext-eq-on A f (g \circ \varphi) by (elim \ prob-1-5-14-b)
 thus thesis by (fact that)
qed
proposition prob-1-6-6:
 assumes equiv A R
 defines phi: \varphi \equiv \lambda a. R " {a}
 assumes f : A \subseteq B
 shows (\exists g. g ' (A // R) \subseteq B \land ext\text{-}eq\text{-}on A f (g \circ \varphi))
            \longleftrightarrow (\forall a \in A. \ \forall a' \in A. \ (a, a') \in R \longrightarrow f \ a = f \ a')
proof (rule iffI)
  assume \exists g. g ` (A // R) \subseteq B \land ext\text{-}eq\text{-}on \ A \ f \ (g \circ \varphi)
  then obtain g where ext-eq-on A f (g \circ \varphi) by auto
 with assms(1) and phi show \forall a \in A. \forall a' \in A. (a, a') \in R \longrightarrow f \ a = f \ a'
   by (auto dest: prob-1-6-6-a)
next
  assume \forall a \in A. \ \forall a' \in A. \ (a, a') \in R \longrightarrow f \ a = f \ a'
 with assms(1,3) obtain g where g ' (A // R) \subseteq B and ext-eq-on A f (g \circ \varphi)
    unfolding phi by (elim prob-1-6-6-b)
 thus \exists g. g ' (A // R) \subseteq B \land ext\text{-}eq\text{-}on \ A f (g \circ \varphi) by auto
qed
end
theory Section-2-1
 imports Complex-Main
    HOL-Library.Disjoint-Sets
   HOL-Library. Quadratic-Discriminant
    HOL-Computational-Algebra. Primes
    Split-Pair
    Section-1-6
begin
```

context includes cardinal-syntax begin

# 第2章

# Cardinality of Sets

## 2.1 Equipotence and Cardinality of Sets

### 2.1.1 A) Equipotence of Sets

```
definition equipotent :: 'a set \Rightarrow 'b set \Rightarrow bool
 where equipotent A \ B \longleftrightarrow (\exists f. \ bij-betw \ f \ A \ B)
lemma equipotentI [intro]:
 assumes bij-betw f A B
 shows equipotent A B
 using assms unfolding equipotent-def by auto
lemma equipotentE [elim]:
 assumes equipotent A B
 obtains f where bij-betw f A B
 using assms unfolding equipotent-def by auto
lemma equipotent-empty1 [simp]:
 assumes equipotent {} B
 shows B = \{\}
 using assms by auto
lemma equipotent-empty2 [simp]:
 assumes equipotent A \{\}
 shows A = \{\}
 using assms by auto
proposition prop-2-1-1 [simp]:
 shows equipotent A A
 by auto
proposition prop-2-1-2:
```

```
assumes equipotent A B
 shows equipotent B A
proof -
 from assms obtain f where bij-betw f A B by auto
 hence bij-betw (the-inv-into A f) B A by (fact bij-betw-the-inv-into)
 thus ?thesis by auto
qed
proposition prop-2-1-3 [trans]:
 assumes equipotent A B
   and equipotent B C
 shows equipotent A C
proof -
 from assms obtain f and g where bij-betw f A B and bij-betw g B C by blast
 hence bij-betw (g \circ f) A C by (rule thm-1-5-c)
 thus ?thesis by auto
qed
proposition ex-2-3-factorization-existence:
 assumes 0 < n
 obtains i :: nat and j :: nat where n = 2 \hat{i} * (2 * j + 1)
proof -
 have prime (2 :: nat) by (fact two-is-prime-nat)
 with assms obtain i and m
   where \neg 2 \ dvd \ m
   and n = m * 2^i by (blast dest: prime-power-canonical)
 from this(1) obtain j where m = 2 * j + 1 by (elim \ oddE)
 with \langle n = m * 2 \hat{i} \rangle have n = 2 \hat{i} * (2 * j + 1) by simp
 thus thesis by (fact that)
qed
proposition ex-2-3-factorization-uniqueness:
 fixes i j i' j' :: nat
 assumes 2\hat{i} * (2 * j + 1) = 2\hat{i}' * (2 * j' + 1)
 obtains i = i' and j = j'
proof -
 from assms have (2*j'+1)*2^{i'}=(2*j+1)*2^{i} by (simp only: mult.commute)
 moreover have prime(2 :: nat) by simp
 moreover have \neg 2 \ dvd \ (2 * j' + 1) by simp
 moreover have \neg 2 \ dvd \ (2 * j + 1) by simp
 ultimately have 2 * j' + 1 = 2 * j + 1 and i' = i using prime-power-cancel by blast+
 hence j = j' and i = i' by simp+
 thus thesis by (intro that)
qed
```

```
proposition ex-2-3:
 shows equipotent ((UNIV :: nat set) \times (UNIV :: nat set)) (UNIV :: nat set)
proof -
 let ?f = \lambda(i :: nat, j :: nat). \ 2^i * (2 * j + 1) - 1
 have ?f ' (UNIV \times UNIV) = UNIV
 proof (rule surj-onI; split-pair)
   \mathbf{fix} \ i \ j :: nat
   show 2^{i} * (2 * i + 1) - 1 \in UNIV by simp
 next
   \mathbf{fix} \ n :: nat
   obtain i and j where n + 1 = 2 \hat{i} * (2 * j + 1) using ex-2-3-factorization-existence by auto
   hence 2 \hat{i} * (2 * j + 1) - 1 = n by presburger
   thus n \in ?f ' (UNIV \times UNIV) by auto
 moreover have inj-on ?f (UNIV \times UNIV)
 proof (rule inj-onI, split-pair)
   thm inj-onI
   fix i j i' j' :: nat
   assume 2\hat{i} * (2 * j + 1) - 1 = 2\hat{i}' * (2 * j' + 1) - 1
   moreover have 0 < 2^{\hat{i}} * (2 * j + 1) and 0 < 2^{\hat{i}} ' * (2 * j' + 1) by simp+
   ultimately have 2\hat{i} * (2 * j + 1) = 2\hat{i}' * (2 * j' + 1) by linarith
   hence i = i' and j = j' using ex-2-3-factorization-uniqueness by blast+
   thus (i, j) = (i', j') by simp
 qed
 ultimately have bij-betw ?f(UNIV \times UNIV) UNIV by (intro\ bij-betw-imageI)
 thus equipotent ((UNIV :: nat set) \times (UNIV :: nat set)) (UNIV :: nat set) by auto
qed
proposition ex-2-4:
 assumes (a :: real) < (b :: real)
   and (c :: real) < (d :: real)
 shows equipotent \{a \dots b\} \{c \dots d\}
proof -
 define f where f x \equiv (d - c) * (x - a) / (b - a) + c for x
 have bij-betw f \{a ... b\} \{c ... d\}
 proof (rule bij-betw-imageI')
   fix x and x'
   assume x \in \{a ... b\}
    and x' \in \{a ... b\}
    and f x = f x'
   with f-def have (d-c)*(x-a) / (b-a) = (d-c)*(x'-a) / (b-a) by simp
   with assms(1) have (d - c) * (x - a) = (d - c) * (x' - a) by simp
   with assms(2) show x = x' by simp
 next
   \mathbf{fix} \ x
```

```
assume x: x \in \{a ... b\}
 have c \leq f x
 proof -
  from assms(2) have 0 \le d - c by simp
  moreover from x have 0 \le x - a by simp
  moreover from assms(1) have 0 \le b - a by simp
  ultimately have 0 \le (d-c)*(x-a) / (b-a) by simp
  with f-def show ?thesis by simp
 qed
 moreover have f x < d
 proof -
  from x and assms(1) have (x - a) / (b - a) \le 1 by simp
  moreover from assms(2) have 0 \le d - c by simp
  ultimately have (d-c)*((x-a)/(b-a)) \leq d-c by (fact mult-left-le)
  thus ?thesis unfolding f-def by simp
 qed
 ultimately show f x \in \{c ... d\} by simp
next
 \mathbf{fix} \ y
 assume y: y \in \{c ... d\}
 define x where x \equiv (y - c) * (b - a) / (d - c) + a
 with f-def have f x = y
 proof -
  have f x = (d - c) * (((y - c) * (b - a) / (d - c) + a) - a) / (b - a) + c
    unfolding f-def and x-def ..
  also from assms have ... = y by simp
  finally show ?thesis.
 qed
 moreover have x \in \{a ... b\}
 proof -
  have a \leq x
  proof -
    from y have 0 \le y - c by simp
    moreover from assms(1) have 0 \le b - a by simp
    moreover from assms(2) have 0 < d - c by simp
    ultimately have 0 \le (y - c) * (b - a) / (d - c) by simp
    thus ?thesis unfolding x-def by simp
  qed
  moreover have x \leq b
  proof -
    from y and assms(2) have (y - c) / (d - c) \le 1 by simp
    moreover from assms(1) have 0 \le (b-a) by simp
    ultimately have (b-a)*((y-c)/(d-c)) \leq b-a by (fact mult-left-le)
    hence (y - c) * (b - a) / (d - c) + a \le b by argo
    thus ?thesis unfolding x-def by simp
```

```
qed
    ultimately show ?thesis by simp
   qed
   ultimately show y \in f '\{a ... b\} by auto
 thus ?thesis by (fact equipotentI)
qed
\mathbf{lemma}\ non-negative-quadratic-discriminant-implies-real-root:
 assumes a \neq 0
   and discrim a \ b \ c > 0
 obtains x where a * x^2 + b * x + c = 0
   and x = (-b + sqrt(discrim\ a\ b\ c)) / (2 * a)
 using assms and discriminant-iff by blast
proposition ex-2-5:
 shows equipotent \{-(1 :: real) < .. < (1 :: real)\}\ (UNIV :: real set)
 define f where f x \equiv x / (1 - x^2) for x :: real
 have bij-betw f \{-(1 :: real) < .. < (1 :: real)\}\ (UNIV :: real set)
 proof (rule bij-betw-imageI')
  fix x and x'
   assume x: x \in \{-1 < .. < 1\}
    and x': x' \in \{-1 < .. < 1\}
    and f x = f x'
   from this(3) have x / (1 - x^2) = x' / (1 - x'^2) unfolding f-def.
  hence (1-x^2)*(1-x'^2)*(x/(1-x^2)) = (1-x^2)*(1-x'^2)*(x'/(1-x'^2)) by simp
   moreover have 1 - x^2 \neq 0
   proof (rule notI)
    assume 1 - x^2 = 0
    hence x = 1 \lor x = -1 by algebra
    with x show False by simp
   qed
   moreover have 1 - x'^2 \neq 0
   proof (rule notI)
    assume 1 - x^2 = 0
    hence x' = 1 \lor x' = -1 by algebra
    with x' show False by simp
   ged
   ultimately have (1 - x'^2) * x = (1 - x^2) * x' by auto
   hence x - x * x'^2 - x' + x' * x^2 = 0 by argo
   hence *: x - x' + x * x' * (x - x') = 0 by algebra
   {
    assume x \neq x'
    with * have 1 + x * x' = 0 by algebra
```

```
moreover from x and x' have -1 < x * x'
   proof -
    consider (A) x * x' \ge \theta
       | (B) x > 0
       | (C) x' > 0
      by (metis less-eq-real-def linorder-negE-linordered-idom zero-le-mult-iff)
    thus ?thesis
    proof cases
      case A
      thus ?thesis by simp
    next
      case B
      moreover from x' have -1 < x' by simp
      ultimately have x * (-1) < x * x' by (blast dest: mult-strict-left-mono)
      hence -x < x * x' by simp
      moreover from x have -1 < -x by simp
      ultimately show ?thesis by simp
    next
      case C
      moreover from x have -1 < x by simp
      ultimately have (-1) * x' < x * x' by (blast dest: mult-strict-right-mono)
      hence -x' < x * x' by simp
      moreover from x' have -1 < -x' by simp
      ultimately show ?thesis by simp
    qed
   qed
   ultimately have False by simp
 thus x = x' by (fact ccontr)
next
 \mathbf{fix} \ x :: real
 show f x \in UNIV by simp
next
 \mathbf{fix} \ y :: real
 {
   assume y: y = 0
   with f-def have f \theta = y by simp
   hence y \in f '\{-1 < .. < 1\} by auto
 }
 moreover {
   assume y \neq 0
   have discrim: discrim y 1 (-y) \ge 0 unfolding discrim-def by simp
   from \langle y \neq 0 \rangle and discrim obtain x where x-root: y * x^2 + 1 * x + (-y) = 0
    and x: x = (-1 + sqrt(discrim\ y\ 1\ (-y))) / (2 * y)
    by (elim non-negative-quadratic-discriminant-implies-real-root)
```

```
have f x = y
proof -
 from x-root have (1 - x^2) * y = x by argo
 moreover have 1 - x^2 \neq 0
 proof (rule notI)
   assume 1 - x^2 = 0
   moreover from this and x-root have x = 0 by simp
   ultimately show False by simp
 qed
 ultimately have y = x / (1 - x^2) by (metis nonzero-mult-div-cancel-left)
 thus ?thesis unfolding f-def by simp
qed
moreover have x \in \{-1 < .. < 1\}
proof -
 {
   assume y > 0
   have \theta < x
   proof -
    from \langle y > 0 \rangle have 0 < -1 + sqrt(1 + 4 * y * y) by simp
    moreover from \langle y > \theta \rangle have \theta < 2 * y by simp
    ultimately have 0 < (-1 + sqrt(1 + 4 * y * y)) / (2 * y) by simp
    with x show 0 < x unfolding discrim-def by simp
   qed
   moreover have x < 1
   proof -
    from (y > 0) have 1 + 4 * y * y < (1 + 2 * y) * (1 + 2 * y) by argo
    hence sqrt(1 + 4 * y * y) < sqrt((1 + 2 * y) * (1 + 2 * y))
      by (fact real-sqrt-less-mono)
    moreover from \langle y > \theta \rangle have \theta < 1 + 2 * y by simp
    ultimately have sqrt(1 + 4 * y * y) < 1 + 2 * y by simp
    hence -1 + sqrt(1 + 4 * y * y) < 2 * y by simp
    moreover from \langle y > \theta \rangle have \theta < 2 * y by simp
    ultimately have (-1 + sqrt(1 + 4 * y * y)) / (2 * y) < 1 by simp
    with x show x < 1 unfolding discrim-def by simp
   ultimately have x \in \{-1 < .. < 1\} by simp
 }
 moreover {
   assume y < \theta
   have -1 < x
   proof -
    from \langle y < \theta \rangle have \theta < -4 * y by simp
    hence 1 + 4 * y * y < (1 - 2 * y) * (1 - 2 * y) by argo
    hence sqrt(1 + 4 * y * y) < sqrt((1 - 2 * y) * (1 - 2 * y))
      by (fact real-sqrt-less-mono)
```

```
moreover from \langle y < \theta \rangle have \theta < 1 - 2 * y by simp
          ultimately have sqrt(1 + 4 * y * y) < 1 - 2 * y by simp
          hence 2 * y < 1 - sqrt(1 + 4 * y * y) by simp
          moreover from \langle y < \theta \rangle have 2 * y < \theta by simp
          ultimately have (1 - sqrt(1 + 4 * y * y)) / (2 * y) < 1 by simp
          hence -1 < (-1 + sqrt(1 + 4 * y * y)) / (2 * y) by argo
          with x show ?thesis unfolding discrim-def by simp
        qed
        moreover have x < \theta
        proof -
          from \langle y < \theta \rangle have y \neq \theta by simp
          hence 0 < y * y using not-real-square-gt-zero by blast
          hence 0 < -1 + sqrt(1 + 4 * y * y) by simp
          moreover from \langle y < \theta \rangle have 2 * y < \theta by simp
          ultimately have (-1 + sqrt(1 + 4 * y * y)) / (2 * y) < 0 by (fact divide-pos-neg)
          with x show ?thesis unfolding discrim-def by simp
        qed
        ultimately have x \in \{-1 < .. < 1\} by simp
      }
      moreover note \langle y \neq \theta \rangle
      ultimately show x \in \{-1 < .. < 1\} by argo
     ultimately have y \in f '\{-1 < ... < 1\} by auto
   ultimately show y \in f '\{-1 < ... < 1\} by auto
 qed
 thus ?thesis by auto
qed
```

— Proof for the remaining propositions of example 2.5 is postponed after theorem 2.2

### 2.1.2 B) Bernstein Theorem

```
fun bernstein-seq: 'a set \Rightarrow 'b set \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('b \Rightarrow 'a) \Rightarrow nat \Rightarrow 'a set and bernstein-seq':: 'a set \Rightarrow 'b set \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('b \Rightarrow 'a) \Rightarrow nat \Rightarrow 'b set where bernstein-seq' A B f g 0 = B - f 'A | bernstein-seq A B f g n = g 'bernstein-seq' A B f g n | bernstein-seq' A B f g (Suc n) = f 'bernstein-seq A B f g n lemma bernstein-seq-subset: assumes f 'A \subseteq B and g 'B \subseteq A shows bernstein-seq A B f g n G A proof (induct n) case G
```

```
have bernstein-seq A B f g \theta = g '(B - f 'A) by simp
 also from assms have ... \subseteq A by auto
 finally show ?case.
next
 case (Suc n)
 have bernstein-seq A B f g (Suc n) = g 'f' bernstein-seq A B f g n by simp
 with assms and Suc.hyps show ?case by fastforce
qed
lemma bernstein-seg'-subset:
 assumes f : A \subseteq B
   and g ' B \subseteq A
 shows bernstein-seq' A B f g n \subseteq B
proof (induct \ n)
 case \theta
 have bernstein-seq' A B f g \theta = B - f ' A by simp
 also have ... \subseteq B by auto
 finally show ?case.
next
 case (Suc \ n)
 have bernstein-seq' A B f g (Suc n) = f \cdot g \cdot bernstein-seq' A B f g <math>n by simp
 with assms and Suc.hyps show ?case by fastforce
qed
lemma zero-Un-UNION-Suc-eq:
 shows A \ \theta \cup (\bigcup n. \ A \ (Suc \ n)) = (\bigcup n. \ A \ n)
proof -
 have A \ \theta \cup (\bigcup n. \ A \ (Suc \ n)) = (\bigcup n \in \{\theta\}. \ A \ n) \cup (\bigcup n \in \{Suc \ n \mid n. \ True\}. \ A \ n) by auto
 also have ... = (\bigcup n \in \{0\} \cup \{Suc\ n \mid n.\ True\}.\ A\ n) by simp
 also have \dots = (\lfloor \rfloor n. A n)
 proof -
   {
     \mathbf{fix} \ n
     have n \in \{0\} \cup \{Suc \ n \mid n. \ True\}
     proof (induct n)
       case \theta
       show ?case by simp
     next
       case (Suc \ n)
       show ?case by simp
     qed
   }
   hence \{0\} \cup \{Suc\ n \mid n.\ True\} = UNIV\ by blast
   thus ?thesis by simp
 qed
```

```
finally show ?thesis.
qed
theorem thm-2-2:
 assumes f : A \subseteq B
   and inj-on f A
   and g' B \subseteq A
   and inj-on g B
 shows equipotent A B
proof -
 let ?A = \bigcup n. bernstein-seq A B f g n
 let ?A' = A - ?A
 let ?B = \bigcup n. bernstein-seq' A B f g n
 let ?B' = B - ?B
 {
   fix n
   from assms(1,3) have bernstein\text{-}seq\ A\ B\ f\ g\ n\subseteq A\ by\ (rule\ bernstein\text{-}seq\text{-}subset)
 hence ?A \subseteq A by auto
 hence ?A' \subseteq A by auto
   fix n
   from assms(1,3) have bernstein\text{-}seq' \ A \ B \ f \ g \ n \subseteq B by (rule\ bernstein\text{-}seq'\text{-}subset)
 hence ?B \subseteq B by auto
 hence ?B' \subseteq B by auto
 have f' ?A' = ?B'
 proof -
   from \langle ?A \subseteq A \rangle and assms(2) have f \cdot ?A' = f \cdot A - f \cdot ?A by (intro prob-1-4-5-c)
   also have ... = f'A - (\bigcup n. f' bernstein-seq A B f g n) by blast
   also have ... = f ' A - (\bigcup n. bernstein-seq' A B f g (Suc n)) by simp
   also from assms(1) have ... = B - (B - f \cdot A) - (\bigcup n. bernstein-seq' A B f g (Suc n)) by
auto
   also have ... = B - bernstein-seq' A B f g 0 - (\bigcup n bernstein-seq' A B f g (Suc n)) by simp
   also have ... = B - (bernstein - seq' A B f g 0 \cup (\bigcup n. bernstein - seq' A B f g (Suc n))) by auto
   also have \dots = ?B'
     by (simp only: zero-Un-UNION-Suc-eq[where A = \lambda n. bernstein-seq' A B f g n])
   finally show ?thesis.
 qed
 moreover from assms(2) have inj-on f ?A' by (fact inj-on-diff)
 ultimately have bij-betw f ?A' ?B' by (intro\ bij-betw-imageI)
 have g '?B = ?A
 proof (rule surj-onI)
   \mathbf{fix} \ b
   assume b \in ?B
```

```
then obtain n where b \in bernstein\text{-}seg' A B f g n by fast
 hence g \ b \in bernstein\text{-}seg \ A \ B \ f \ g \ n \ \mathbf{by} \ simp
 thus q b \in ?A by auto
next
 \mathbf{fix} \ a
 assume a \in ?A
 then obtain n where a \in bernstein\text{-}seq\ A\ B\ f\ g\ n by blast
 hence a \in g 'bernstein-seq' A B f g n by simp
 then obtain b where b \in bernstein\text{-}seq' A B f g n and a = g b by auto
 from this(1) have b \in ?B by auto
 with \langle a = g \ b \rangle show a \in g '? B by simp
qed
moreover from (?B \subseteq B) and assms(4) have inj-on g ?B by (elim\ inj-on-subset)
ultimately have bij-betw q ?B ?A by (intro bij-betw-imageI)
then obtain f' where bij-betw f' ?A ?B using bij-betw-inv by blast
hence f' : ?A = ?B by (fact \ bij-betw-imp-surj-on)
let ?F = \lambda a. if a \in ?A' then f a else f' a
have ?F \cdot A = B
proof (rule surj-onI)
 \mathbf{fix} \ a
 assume a \in A
  {
   assume a \in ?A'
   hence ?F \ a = f \ a \ \text{by } simp
   with \langle a \in A \rangle and assms(1) have ?F \ a \in B by fast
  }
  moreover {
   assume a \notin ?A'
   hence ?F \ a = f' \ a \ by \ argo
   also have \dots \in ?B
   proof -
     from \langle a \in A \rangle and \langle a \notin ?A' \rangle have a \in ?A by simp
     moreover note \langle f' : ?A = ?B \rangle
     ultimately show f' a \in ?B by blast
   qed
   also note \langle \dots \subseteq B \rangle
   finally have ?F \ a \in B.
  ultimately show ?F \ a \in B by blast
next
 \mathbf{fix} \ b
 assume b \in B
  {
   assume b \in ?B
   with \langle f' : ?A = ?B \rangle obtain a where a \in ?A and b = f' a by blast
```

```
hence ?F \ a = b \ \mathbf{bv} \ simp
   moreover from \langle a \in ?A \rangle and \langle ?A \subseteq A \rangle have a \in A..
    ultimately have b \in ?F `A by blast
  }
  moreover {
   assume b \notin ?B
    with \langle b \in B \rangle have b \in ?B' by simp
    with \langle f : ?A' = ?B' \rangle have b \in f : ?A' by simp
    then obtain a where a \in ?A' and b = f a by blast
    hence ?F \ a = b \ \mathbf{by} \ argo
   moreover from \langle a \in ?A' \rangle and \langle ?A' \subseteq A \rangle have a \in A..
    ultimately have b \in ?F ' A by blast
  }
  ultimately show b \in ?F ' A by blast
moreover have inj-on ?F A
proof (rule inj-onI)
 fix a and a'
  assume a \in A and
    a' \in A and
    ?F a = ?F a'
  consider (A) a \in ?A' and a' \in ?A'
    (B) a \in ?A' and a' \notin ?A'
    (C) a \notin ?A' and a' \in ?A'
    (D) a \notin ?A' and a' \notin ?A' by blast
  thus a = a'
  proof cases
   case A
    with \langle ?F | a = ?F | a' \rangle have f | a = f | a' | by argo
    with A and \langle inj\text{-}on \ f \ ?A' \rangle show ?thesis by (elim \ inj\text{-}onD)
  next
    case B
    from B(2) and \langle a' \in A \rangle have a' \in A by blast
    from B and \langle ?F | a = ?F | a' \rangle have f | a = f' | a' by argo
    moreover from \langle a \in ?A' \rangle and \langle f : ?A' = ?B' \rangle have f \in ?B' by blast
    moreover from \langle a' \in ?A \rangle and \langle f' : ?A = ?B \rangle have f' a' \in ?B by blast
    ultimately have False by simp
    thus ?thesis ..
  next
    case C
    from C(1) and \langle a \in A \rangle have a \in A by simp
    from C and \langle ?F | a = ?F | a' \rangle have f' | a = f | a' by argo
    moreover from \langle a \in ?A \rangle and \langle f' : ?A = ?B \rangle have f' a \in ?B by blast
    moreover from \langle a' \in ?A' \rangle and \langle f' : ?A' = ?B' \rangle have f : a' \in ?B' by blast
    ultimately have False by simp
```

```
thus ?thesis ..
   next
    case D
    from D and \langle ?F | a = ?F | a' \rangle have f' | a = f' | a' by argo
     moreover from D and \langle a \in A \rangle and \langle a' \in A \rangle have a \in A and a' \in A by simp+
    moreover from \langle bij\text{-}betw\ f'\ ?A\ ?B\rangle have inj\text{-}on\ f'\ ?A\ by\ auto
     ultimately show ?thesis by (elim inj-onD)
   qed
 qed
 ultimately have bij-betw ?F A B by (intro bij-betw-imageI)
 thus ?thesis by auto
qed
lemma ex-2-5 ':
 assumes (a :: real) < (b :: real)
   and (c :: real) < (d :: real)
 obtains f where f ' \{a < ... < b\} \subseteq \{c < ... < d\}
   and inj-on f \{a < .. < b\}
proof -
 define f where f x \equiv (d - c) * (x - a) / (b - a) + c for x
 have f ' \{a < ... < b\} \subseteq \{c < ... < d\}
 proof (rule image-subsetI)
   \mathbf{fix} \ x
   assume x: x \in \{a < ... < b\}
   have c < f x
   proof -
     from assms(1) have 0 < b - a by simp
     moreover from assms(2) have 0 < d - c by simp
     moreover from x have 0 < x - a by simp
     ultimately show ?thesis unfolding f-def by simp
   moreover have f x < d
   proof -
     from assms(1) have 0 < b - a by simp
     moreover from x have 0 < x - a by simp
     moreover from x have x - a < b - a by simp
     ultimately have (x - a) / (b - a) < 1 by simp
     moreover from assms(2) have 0 < d - c by simp
     ultimately have (d-c)*((x-a)/(b-a)) < (d-c)*1 by (fact mult-strict-left-mono)
     thus ?thesis unfolding f-def by simp
   ultimately show f x \in \{c < ... < d\} by simp
 moreover have inj-on f \{a < ... < b\}
 proof (rule inj-onI)
```

```
fix x and x'
   assume f x = f x'
   hence (d - c) * (x - a) / (b - a) = (d - c) * (x' - a) / (b - a) unfolding f-def by simp
   moreover from assms(1) have b - a \neq 0 by simp
   ultimately have (d-c)*(x-a)=(d-c)*(x'-a) by simp
   moreover from assms(2) have d - c \neq 0 by simp
   ultimately show x = x' by simp
 qed
 ultimately show thesis by (fact that)
qed
lemma ex-2-5 ":
 assumes (a :: real) < (b :: real)
   and (c :: real) < (d :: real)
 shows equipotent \{a < ... < b\} \{c < ... < d\}
proof -
 from assms obtain f where f1: f ' \{a < ... < b\} \subseteq \{c < ... < d\}
   and f2: inj-on f {a < ... < b} by (auto elim: ex-2-5')
 from assms obtain g where g1: g ' \{c < ... < d\} \subseteq \{a < ... < b\}
   and g2: inj\text{-}on \ g \ \{c < ... < d\} by (auto elim: ex-2-5')
 from f1 and f2 and g1 and g2 show ?thesis by (fact thm-2-2)
qed
lemma ex-2-5''':
 assumes (a :: real) < (b :: real)
 shows equipotent \{a < ... < b\} (UNIV :: real set)
proof -
 from assms have equipotent \{a < ... < b\} \{-(1 :: real) < ... < (1 :: real)\} by (simp add: ex-2-5'')
 moreover have equipotent \{-(1 :: real) < ... < (1 :: real)\}\ (UNIV :: real set) by (fact ex-2-5)
 ultimately show ?thesis by (fact prop-2-1-3)
qed
theorem thm-2-2':
 assumes f : A \subseteq B
   and inj-on f A
   and f' ' A = B
 shows equipotent A B
proof -
 from assms(3) obtain g where g 'B \subseteq A and inj-on g B by (elim cor-inj-on-iff-surj-on-b)
 moreover from assms(3) have f' \cdot A \subseteq B by simp
 moreover note assms(1,2)
 ultimately show equipotent A B by (intro thm-2-2)
qed
theorem thm-2-2":
```

```
assumes f \cdot A = B
   and g \cdot B = A
 shows equipotent A B
proof -
 from assms(2) obtain f' where f' ' A \subseteq B and inj-on f' A by (elim\ cor-inj-on-iff-surj-on-b)
 with assms(1) show ?thesis by (intro thm-2-2')
qed
lemma surj-on-from-subset-imp-surj-on:
 assumes f' A' = B
   and A' \subseteq A
   and A' = \{\} \Longrightarrow A = \{\}
 obtains f' where f' ' A = B
proof -
 {
   assume A' = \{\}
   with assms(1,3) have A = \{\} and B = \{\} by simp+
   hence \exists f'. f' \ `A = B \ \text{by } simp
 }
 moreover {
   assume A' \neq \{\}
   with assms(1) have B \neq \{\} by auto
   then obtain b where b \in B by auto
   let ?f' = \lambda a. if a \in A' then f a else b
   have ?f' ' A = B
   proof (rule surj-onI)
    \mathbf{fix} \ a
    assume a \in A
     {
      assume a \in A'
      with assms(1) have ?f'a \in B by auto
     }
     moreover {
      assume a \notin A'
      with \langle b \in B \rangle have ?f'a \in B by simp
     ultimately show ?f' a \in B by simp
   next
    fix b'
    assume b' \in B
     with assms(1) obtain a where a \in A' and f = b' by blast
    hence ?f'a = b' by simp
    moreover from \langle a \in A' \rangle and assms(2) have a \in A..
     ultimately show b' \in ?f' ' A by auto
   qed
```

```
}
 ultimately show thesis using that by auto
qed
theorem thm-2-2''':
 assumes B_1 \subseteq B
   and equipotent A B_1
   and A_1 \subseteq A
   and equipotent B A_1
 shows equipotent A B
proof -
 from assms(2) obtain f where bij-betw f A B_1 by auto
 then obtain g where bij-betw g B_1 A by (auto dest: bij-betw-inv)
 hence g ' B_1 = A by auto
 moreover have B_1 = \{\} \Longrightarrow B = \{\}
 proof -
   assume B_1 = \{\}
   with assms(2) have A = \{\} by simp
   with assms(3) have A_1 = \{\} by simp
   with assms(4) show B = \{\} by simp
 qed
 moreover note assms(1)
 ultimately obtain g' where g' ' B = A using surj-on-from-subset-imp-surj-on by blast
 from assms(4) obtain g'' where bij-betw g'' B A_1 by auto
 then obtain f' where bij-betw f' A_1 B by (auto dest: bij-betw-inv)
 hence f' ' A_1 = B by auto
 moreover have A_1 = \{\} \Longrightarrow A = \{\}
 proof -
   assume A_1 = \{\}
   with assms(4) have B = \{\} by simp
   with assms(1) have B_1 = \{\} by simp
   with assms(2) show A = \{\} by simp
 qed
 moreover note assms(3)
 ultimately obtain f'' where f'' ' A = B by (auto intro: surj-on-from-subset-imp-surj-on)
 with \langle g' | B = A \rangle show equipotent A B by (intro thm-2-2'')
qed
        C) Notion of Cardinality
abbreviation aleph-zero :: nat rel (\aleph_0)
 where aleph-zero \equiv |UNIV :: nat set|
abbreviation aleph :: real rel (ℵ)
```

hence  $\exists f'. f' \ `A = B \ \text{by} \ blast$ 

```
where aleph \equiv |UNIV :: real \ set|
lemmas \ card-eqI \ [intro] = card-of-ordIsoI
lemma card-eqI' [intro]:
 assumes equipotent A B
 shows |A| = o |B|
proof -
 from assms obtain f where bij-betw f A B by auto
 thus ?thesis by auto
qed
lemma card-eqE [elim]:
 assumes |A| = o |B|
 obtains f where bij-betw f A B
proof -
 from assms obtain f where bij-betw f A B using card-of-ordIso by blast
 thus thesis by (fact that)
qed
lemma card-eqE' [elim]:
 assumes |A| = o |B|
 obtains f where bij-betw f B A
proof -
 from assms obtain f where bij-betw f A B by auto
 hence bij-betw (inv-into A f) B A by (fact bij-betw-inv-into)
 with that show thesis by simp
qed
proposition card-eq-definition:
 shows |A| = o |B| \longleftrightarrow equipotent A B
 by auto
lemma card-eq-reft [simp]:
 shows |A| = o |A|
proof -
 have equipotent A A by simp
 thus ?thesis by auto
qed
lemma card-eq-sym:
 fixes A :: 'a \ set
   and B :: 'b \ set
 assumes |A| = o |B|
 shows |B| = o |A|
```

```
proof -
 from assms have equipotent A B by auto
 hence equipotent B A by (fact prop-2-1-2)
 thus ?thesis by auto
qed
lemma card-eq-trans [trans]:
 fixes A :: 'a \ set
   and B :: 'b \ set
   and C :: 'c \ set
 assumes |A| = o |B|
   and |B| = o |C|
 shows |A| = o |C|
proof -
 from assms(1) have equipotent A B by auto
 moreover from assms(2) have equipotent B \ C by auto
 ultimately have equipotent A C by (fact prop-2-1-3)
 thus ?thesis by auto
qed
proposition czero-definition:
 shows (czero :: 'a rel) = |\{\} :: 'a set|
 by (fact czero-def)
lemma empty-card-eq-czero:
 shows |\{\} :: 'a set| = o (czero :: 'b rel)
proof -
 define f :: 'a \Rightarrow 'b where f a \equiv undefined for a
 from f-def have inj-on f \{\} and f ' \{\} = \{\} by simp-all
 hence bij-betw f ({} :: 'a set) ({} :: 'b set) by (intro bij-betw-imageI)
 hence |\{\} :: 'a \ set| = o \ |\{\} :: 'b \ set| \ \mathbf{by} \ auto
 thus ?thesis unfolding czero-definition by simp
qed
lemma empty-card-eq-empty:
 shows |\{\} :: 'a \ set| = o \ |\{\} :: 'b \ set|
proof -
 define f :: 'a \Rightarrow 'b where f a \equiv undefined for a
 from f-def have inj-on f \{\} and f '\{\} = \{\} by simp-all
 hence bij-betw f \{\} \{\} by (intro\ bij-betw-imageI)
 thus ?thesis by auto
qed
lemma czero-card-eq-empty:
 shows (czero :: 'a rel) = o |\{\} :: 'b set|
```

```
have (czero :: 'a rel) = |\{\} :: 'a set | unfolding czero-definition ...
 moreover have |\{\} :: 'a \ set | = o \ |\{\} :: 'b \ set | \ \mathbf{by} \ (fact \ empty-card-eq-empty)
 ultimately show ?thesis by simp
qed
lemma czero-refl:
 shows (czero :: 'a rel) = o (czero :: 'b rel)
proof -
 define f :: 'a \Rightarrow 'b where f a \equiv undefined for a
 have bij-betw f(\{\} :: 'a \ set)(\{\} :: 'b \ set) by (auto intro: bij-betwI')
 hence |\{\} :: 'a \ set| = o \ |\{\} :: 'b \ set| \ \mathbf{by} \ auto
 thus ?thesis unfolding czero-definition by simp
qed
lemma eq-empty-imp-card-eq-czero:
 fixes A :: 'a \ set
 assumes A = \{\}
 shows |A| = o (czero :: 'b rel)
proof -
 from assms have |A| = |\{\} :: 'a \ set|  by auto
 also have \dots = o (czero :: 'b rel) by (fact empty-card-eq-czero)
 finally show ?thesis by auto
qed
proposition cone-definition [simp]:
 shows cone = o |\{a\}|
proof -
 have cone = |\{()\}| by (simp \ only: \ card-of-refl \ cone-def)
 also have \dots = o |\{a\}|
 proof -
   let ?f = \lambda x. a
   have ?f ` \{()\} = \{a\}  by simp
   moreover have inj-on ?f \{()\} by simp
   ultimately have bij-betw ?f {()} {a} by (intro bij-betw-imageI)
   thus ?thesis by (fact card-of-ordIsoI)
 qed
 finally show cone = o |\{a\}|.
qed
lemma singleton-card-eq-cone:
 shows |\{a\}| = o \ cone
proof -
 define f where f x \equiv if x = a then () else undefined for x
 from f-def have inj-on f \{a\} by simp
```

proof -

```
moreover from f-def have f ' \{a\} = \{()\} by simp
 ultimately have bij-betw f \{a\} \{()\} by (intro\ bij-betw-imageI)
 hence |\{a\}| = o |\{()\}| by auto
 thus ?thesis unfolding cone-def by simp
qed
lemma ctwo-definition:
 shows ctwo = |UNIV :: bool set|
 unfolding ctwo-def ...
lemma doubleton-card-eq-ctwo:
 assumes a \neq b
 shows |\{a, b\}| = o \ ctwo
 using assms unfolding ctwo-definition by (simp add: card-of-bool ordIso-symmetric)
lemma card-leqI [intro]:
 assumes f ' A \subseteq B
   and inj-on f A
 shows |A| \leq o |B|
proof -
 from assms show |A| \le o |B| by (blast intro: card-of-ordLeq[THEN iffD1])
qed
lemma card-leqE [elim]:
 assumes |A| \le o |B|
 obtains f where f ' A \subseteq B and inj-on f A
proof -
 from assms obtain f where f ' A \subseteq B and inj-on f A
   by (fast dest: card-of-ordLeg[THEN iffD2])
 thus thesis by (fact that)
qed
proposition card-leq-definition:
 shows |A| \le o |B| \longleftrightarrow (\exists f. f 'A \subseteq B \land inj\text{-}on f A)
 by fast
lemma card-lessI [intro]:
 assumes |A| \le o |B|
   and \neg |A| = o |B|
 shows |A| < o |B|
 using assms by (simp add: ordLeq-iff-ordLess-or-ordIso)
lemma card-less-imp-card-leq [dest]:
 assumes |A| < o |B|
 shows |A| \le o |B|
```

```
by (fact ordLess-imp-ordLeg[OF assms])
lemma card-less-imp-not-card-eq [dest]:
 assumes |A| < o |B|
 shows \neg |A| = o |B|
 by (fact not-ordLess-ordIso[OF assms])
lemma card-lessE [elim]:
 assumes |A| < o |B|
 obtains |A| < o |B|
   and \neg |A| = o |B|
 using assms by auto
lemma card-less-definition:
 shows |A| < o |B| \longleftrightarrow |A| \le o |B| \land \neg |A| = o |B|
 by auto
lemma card-eq-imp-card-leq:
 assumes |A| = o |B|
 shows |A| \le o |B|
proof -
 from assms obtain f where bij-betw f A B by auto
 hence f : A \subseteq B and inj-on f A by auto
 thus ?thesis by auto
qed
theorem thm-2-3-1 [simp]:
 shows |A| \leq o |A|
proof -
 have |A| = o |A| by simp
 thus ?thesis by (fact card-eq-imp-card-leq)
qed
theorem thm-2-3-2:
 assumes |A| \leq o |B|
   and |B| \leq o |A|
 shows |A| = o |B|
proof -
 from assms(1) obtain f where f ' A \subseteq B and inj-on f A by auto
 moreover from assms(2) obtain g where g 'B \subseteq A and inj-on g B by auto
 ultimately have equipotent A B by (rule thm-2-2)
 thus ?thesis by (fact card-eqI')
qed
theorem thm-2-3-3 [trans]:
```

```
assumes |A| \leq o |B|
   and |B| \le o |C|
 shows |A| \le o |C|
proof -
 from assms(1) obtain f where f ' A \subseteq B and inj-on f A by auto
 from assms(2) obtain g where g 'B \subseteq C and inj-on g B by auto
 from \langle f ' A \subseteq B \rangle and \langle g ' B \subseteq C \rangle have (g \circ f) ' A \subseteq C by fastforce
 moreover from \langle f : A \subseteq B \rangle and \langle inj\text{-}on \ f \ A \rangle and \langle inj\text{-}on \ g \ B \rangle have inj\text{-}on \ (g \circ f) \ A
   by (fact thm-1-5-b)
 ultimately show ?thesis by blast
qed
lemma card-eq-card-leq-trans [trans]:
 fixes A :: 'a \ set
   and B :: 'b \ set
   and C :: 'c \ set
 assumes |A| = o |B|
   and |B| \leq o |C|
 shows |A| \leq o |C|
proof -
 from assms(1) have |A| \le o |B| by auto
 also note assms(2)
 finally show ?thesis.
qed
lemma card-leg-card-eg-trans [trans]:
 fixes A :: 'a \ set
   and B :: 'b \ set
   and C :: 'c \ set
 assumes |A| \le o |B|
   and |B| = o |C|
 shows |A| \leq o |C|
proof -
 note assms(1)
 also from assms(2) have |B| \le o |C| by (fact \ card-eq-imp-card-leq)
 finally show ?thesis.
qed
2.1.4
          Problems
proposition prob-2-1-2:
 fixes X :: 'a \ set
 assumes X \subseteq Y
   and Y \subseteq Z
   and equipotent X Z
```

```
obtains equipotent X Y and equipotent Y Z
proof -
 have equipotent X Y
 proof -
   from assms(3) have equipotent ZX by (fact prop-2-1-2)
   then obtain h where bij-betw h Z X by auto
   have equipotent X X by simp
   moreover note assms(1)
   moreover have equipotent Y (h 'Y)
   proof (rule equipotentI)
     from \langle bij\text{-}betw\ h\ Z\ X\rangle and assms(2) have bij\text{-}betw\ h\ Y\ (h\ `Y)
      by (auto elim: bij-betw-subset)
     moreover have bij-betw id Y Y by simp
     ultimately show bij-betw (h \circ id) Y (h 'Y) by simp
   moreover from \langle bij\text{-}betw\ h\ Z\ X\rangle and assms(2) have h\ '\ Y\subseteq X by auto
   ultimately show ?thesis by (blast intro: thm-2-2")
 moreover have equipotent YZ
 proof -
   from \langle equipotent \ X \ Y \rangle have equipotent Y \ X by (fact \ prop-2-1-2)
   also note assms(3)
   finally show ?thesis.
 ultimately show thesis by (fact that)
qed
proposition prob-2-1-4:
 fixes A :: 'a \ set
 assumes B \neq \{\}
 shows |A| \leq o |A \times B|
proof -
 from assms obtain b where b \in B by auto
 let ?f = \lambda a :: 'a. (a, b)
 {
   \mathbf{fix} \ a
   assume a \in A
   with \langle b \in B \rangle have ?f \ a \in A \times B by simp
 hence ?f `A \subseteq A \times B by auto
 moreover have inj-on ?f A
 proof (rule inj-onI)
   fix a and a'
```

```
assume ?f a = ?f a'
    thus a = a' by simp
  ged
  ultimately show ?thesis by auto
proposition prob-2-1-5:
  assumes \Lambda l. \ l \in \Lambda \Longrightarrow A \ l \neq \{\}
    and disjoint-family-on A \Lambda
  shows |\Lambda| < o \mid | \exists l \in \Lambda. A | l \mid
proof -
  let ?f = \lambda a. (THE l. l \in \Lambda \land a \in A l)
  have *: ?f \ a = l \ \text{if} \ l \in \Lambda \ \text{and} \ a \in A \ l \ \text{for} \ a \ \text{and} \ l
  proof -
    from that have a \in (\bigcup l \in \Lambda. \ A \ l) by auto
    with assms have \exists ! l \in \Lambda. a \in A \ l by (intro disjoint-family-on-imp-uniq-idx)
    with that show ?thesis by auto
  have ?f '(\bigcup l \in \Lambda. A \ l) = \Lambda
  proof (rule surj-onI)
    \mathbf{fix} \ a
    assume a \in (\bigcup l \in \Lambda. A l)
    then obtain l where l \in \Lambda and a \in A l by auto
    hence ?f a = l by (fact *)
    with \langle l \in \Lambda \rangle show ?f a \in \Lambda by simp
  next
    \mathbf{fix} l
    assume l \in \Lambda
    moreover from this and assms(1) obtain a where a \in A \ l by auto
    ultimately have a \in (\bigcup l \in \Lambda. \ A \ l) and ?f \ a = l by (auto \ dest: *)
    thus l \in ?f ' (\bigcup l \in \Lambda. A \ l) by auto
  qed
  then obtain g where g ' \Lambda \subseteq (\bigcup l \in \Lambda. \ A \ l) and inj-on g \Lambda
    by (elim cor-inj-on-iff-surj-on-b)
  thus ?thesis by auto
qed
lemma AC-E-ext:
  assumes \Lambda l. \ l \in \Lambda \Longrightarrow A \ l \neq \{\}
  obtains a where a \in (\Pi_E \ l \in \Lambda. \ A \ l)
proof -
  from assms obtain a where a: a \in (\Pi \ l \in \Lambda. \ A \ l) by (elim \ AC-E)
  let ?a' = \lambda l. if l \in \Lambda then a l else undefined
    \mathbf{fix} l
```

```
assume l \in \Lambda
    with a have ?a'l \in Alby auto
  \mathbf{moreover}\ \{
    \mathbf{fix} l
    assume l \notin \Lambda
    hence ?a'l = undefined by simp
  ultimately have ?a' \in (\Pi_E \ l \in \Lambda. \ A \ l) by blast
  thus thesis by (fact that)
qed
proposition prob-2-1-6:
  assumes \Lambda l. \ l \in \Lambda \Longrightarrow \exists \ a \in A \ l. \ \exists \ b \in A \ l. \ a \neq b
  shows |\Lambda| \leq o |\Pi_E| l \in \Lambda. A |l|
proof -
  {
    \mathbf{fix} \ l
    assume l \in \Lambda
    with assms have A \ l \neq \{\} by auto
  then obtain a where a \in (\Pi_E \ l \in \Lambda. \ A \ l) by (elim \ AC\text{-}E\text{-}ext)
  {
    \mathbf{fix} l
    assume l \in \Lambda
    with assms have A \ l - \{a \ l\} \neq \{\} by auto
  then obtain b where b \in (\Pi_E \ l \in \Lambda. \ A \ l - \{a \ l\}) by (elim \ AC-E-ext)
  let ?f = \lambda l. \ \lambda l'. \ if \ l' = l \ then \ a \ l' \ else \ b \ l'
  have ?f ' \Lambda \subseteq (\Pi_E \ l \in \Lambda. \ A \ l)
  proof (rule subsetI)
    \mathbf{fix} c
    assume c \in ?f ' \Lambda
    then obtain l where l \in \Lambda and c = ?f l by auto
    {
      fix l'
      assume l' \in \Lambda
      from \langle c = ?f l \rangle have c l' = ?f l l' by simp
      {
        assume l' = l
        hence ?f l l' = a l' by simp
        also from this and \langle a \in (\Pi_E \ l \in \Lambda. \ A \ l) \rangle and \langle l' \in \Lambda \rangle have a \ l' \in A \ l' by auto
        finally have ?f l l' \in A l'.
      moreover {
```

```
hence ?f l l' = b l' by simp
       also from this and \langle b \in (\Pi_E \ l \in \Lambda. \ A \ l - \{a \ l\}) \rangle and \langle l' \in \Lambda \rangle have b \ l' \in A \ l' by auto
       finally have ?f l l' \in A l'.
      ultimately have ?f l l' \in A l' by simp
      with \langle c | l' = ?f | l' \rangle have c | l' \in A | l' by simp
    moreover {
     fix l'
     assume l' \notin \Lambda
      with \langle l \in \Lambda \rangle have l' \neq l by auto
      from \langle c = ?f l \rangle have c l' = ?f l l' by simp
      also from \langle l' \neq l \rangle have ?f \ l \ l' = b \ l' by simp
      also from \langle l' \notin \Lambda \rangle and \langle b \in (\Pi_E \ l \in \Lambda). A l - \{a \ l\} \rangle have b \ l' = undefined by auto
      finally have c l' = undefined.
    ultimately show c \in (\Pi_E \ l \in \Lambda. \ A \ l) by (intro PiE-I)
 qed
 moreover have inj-on ?f \Lambda
 proof (rule inj-onI)
   fix l and l'
   assume l \in \Lambda and
     l' \in \Lambda and
      ?fl = ?fl'
    {
      assume l \neq l'
     hence ?f l' l = b l by simp
      moreover have ?f l l = a l by simp
      moreover note \langle ?f l = ?f l' \rangle
      ultimately have a l = b l by metis
      also from (l \in \Lambda) and (b \in (\Pi_E \ l \in \Lambda) \ A \ l - \{a \ l\}) have b \ l \in A \ l - \{a \ l\} by blast
      finally have a \ l \in A \ l - \{a \ l\}.
      hence False by simp
    }
   thus l = l' by auto
  ultimately show ?thesis by auto
qed
proposition prob-2-1-7:
  assumes f \cdot A = B
 obtains R where equiv A R and equipotent B (A // R)
 from assms have f ' A \subseteq B by simp
```

assume  $l' \neq l$ 

```
then obtain g where bij-betw g (A // (equiv-kernel-on f A)) (f 'A)
   by (fastforce elim: prop-1-6-4)
 with assms have bij-betw g (A // (equiv-kernel-on f A)) B by blast
 hence equipotent (A // equiv-kernel-on f A) B by auto
 hence equipotent B (A // equiv-kernel-on f A) by (fact prop-2-1-2)
 moreover have equiv A (equiv-kernel-on f A) by (fact equiv-equiv-kernel-on)
  ultimately show thesis by (intro that)
qed
end
end
theory Section-2-2
 imports Complex-Main
    Split-Pair
    Section-2-1
begin
context includes cardinal-syntax begin
fun dc\text{-seq} :: 'a \ set \Rightarrow ('a \ set \Rightarrow 'a) \Rightarrow nat \Rightarrow 'a \ set \ \mathbf{where}
dc-seq M a \theta = \{\}
dc\text{-seq }M \text{ }a \text{ }(Suc \text{ }n) = dc\text{-seq }M \text{ }a \text{ }n \cup \{a \text{ }(M - dc\text{-seq }M \text{ }a \text{ }n)\}
lemma finite-dc-seq:
 shows finite (dc\text{-seq }M\ a\ n)
proof (induct n)
 case \theta
 show ?case by simp
next
 case (Suc \ n)
 from Suc.hyps show ?case by simp
qed
lemma strict-dec-induct [consumes 1, case-names base step]:
 assumes i < j
   and P (Suc i)
   and \bigwedge j. i < j \Longrightarrow P j \Longrightarrow P (Suc j)
 shows P j
proof -
 let ?P = \lambda n. \ P \ (n + i + 1)
 have ?P n for n
 proof (induct n)
```

```
case \theta
   from assms(2) show ?P 0 by simp
 next
   case (Suc \ n)
   note \langle ?P n \rangle
   from Suc.hyps have P(n + i + 1) by simp
   moreover have i < n + i + 1 by simp
   ultimately have P(Suc(n+i+1)) by (intro assms(3))
   thus ?P(Suc\ n) by simp
 qed
 from assms(1) obtain k where j = Suc (i + k) by (auto dest: less-imp-Suc-add)
 hence j = k + i + 1 by simp
  with \langle ?P k \rangle show P j by simp
qed
theorem thm-2-4:
 assumes infinite M
 obtains A where A \subseteq M and |A| = o \aleph_0
proof -
 let ?\mathfrak{M} = Pow M - \{\{\}\}\}
   \mathbf{fix} \ A
   assume A \in \mathcal{P}\mathfrak{M}
   hence A \neq \{\} by simp
  then obtain a where a \in (\Pi \ A \in \mathcal{P}M. \ A) by (elim \ AC-E)
 have *: dc-seq M a n \subset M for n
  proof (induct \ n)
   case \theta
   from assms have M \neq \{\} by auto
   thus ?case by auto
 next
   case (Suc \ n)
   from Suc.hyps have M - dc\text{-seq } M \text{ a } n \neq \{\} by auto
   moreover have M - dc-seq M a n \subseteq M by auto
   ultimately have M - dc\text{-seq } M \text{ } a \text{ } n \in ?\mathfrak{M} \text{ by } simp
   with (a \in (\Pi \ A \in \mathcal{P}M. \ A)) have a \ (M - dc\text{-seq} \ M \ a \ n) \in M - dc\text{-seq} \ M \ a \ n by (fact \ Pi\text{-mem})
   also have M - dc-seq M a n \subseteq M by auto
   finally have a (M - dc\text{-seq } M \ a \ n) \in M.
   moreover note Suc.hyps
    ultimately have dc\text{-seq }M a (Suc \ n) \subseteq M by simp
   moreover from assms and finite-dc-seq have dc-seq M a (Suc n) \neq M by metis
   ultimately show ?case by auto
 hence M - dc-seq M a n \in \mathcal{P}M for n by auto
```

```
let ?A = \bigcup n. \ dc\text{-seq} \ M \ a \ n
let ?f = \lambda n. \ a \ (M - dc\text{-seq} \ M \ a \ n)
have **: ?f n \in M - dc\text{-seq } M \ a \ n \ \mathbf{for} \ n
  using \langle a \in (\Pi \ A \in \mathcal{P}\mathfrak{M}. \ A) \rangle and \langle M - dc\text{-seq} \ M \ a \ n \in \mathcal{P}\mathfrak{M} \rangle by (fact Pi-mem)
have ***: n < n' \Longrightarrow ?f n \in dc\text{-seg } M \ a \ n' \text{ for } n \text{ and } n'
proof (induct n' rule: strict-dec-induct)
  case base
  show a (M - dc\text{-}seq M \ a \ n) \in dc\text{-}seq M \ a (Suc \ n) by simp
next
  case (step n')
 from step.hyps(2) show a (M - dc\text{-}seq M \ a \ n) \in dc\text{-}seq M \ a \ (Suc \ n') by simp
have bij-betw ?f (UNIV :: nat set) ?A
proof (rule bij-betw-imageI)
  show inj-on ?f (UNIV :: nat set)
  proof (rule inj-onI)
    fix n and n'
    assume ?f n = ?f n'
    {
      assume n < n'
      with *** have ?f n \in dc\text{-seq } M \ a \ n' by simp
      moreover from ** have ?f n' \notin dc\text{-seq } M \ a \ n' by simp
      moreover note \langle ?f n = ?f n' \rangle
      ultimately have False by simp
    }
    moreover {
      assume n' < n
      with *** have ?f n' \in dc\text{-seq } M \text{ a } n \text{ by } simp
      moreover from ** have ?f n \notin dc\text{-seq } M \text{ } a \text{ } n \text{ by } simp
      moreover note \langle ?f n = ?f n' \rangle
      ultimately have False by simp
    }
    ultimately show n = n' by fastforce
  qed
  show ?f ' UNIV = ?A
  proof (rule surj-onI)
    \mathbf{fix} \ n
    have ?f n \in dc\text{-seq } M \ a \ n \cup \{?f \ n\}  by simp
    hence ?f n \in dc\text{-seq } M \text{ } a \text{ } (Suc \text{ } n) \text{ by } simp
    thus ?f n \in ?A by blast
  next
    \mathbf{fix} \ x
    assume x \in ?A
    then obtain n where x \in dc\text{-seq } M a n by auto
    {
```

```
assume \forall n. ?f n \neq x
       have x \notin dc-seq M \ a \ n' for n'
       proof (induct n')
         case \theta
         show ?case by simp
       next
         case (Suc\ n)
         from Suc.hyps and (\forall n. ?f n \neq x) show ?case by auto
       with \langle x \in dc\text{-seq } M \text{ } a \text{ } n \rangle have False by simp
     thus x \in ?f 'UNIV by auto
   qed
 qed
 hence \aleph_0 = o \mid ?A \mid by auto
 hence |?A| = o \aleph_0 by (fact card-eq-sym)
 moreover from * have ?A \subseteq M by auto
 ultimately show thesis by (intro that)
qed
corollary cor-infinite-imp-card-leq-aleph-zero:
 assumes infinite M
 shows \aleph_0 \leq o |M|
proof -
 from assms obtain A where A \subseteq M and |A| = o \aleph_0 by (elim thm-2-4)
 from this(2) have \aleph_0 = o |A| by (fact \ card-eq-sym)
 moreover from \langle A \subseteq M \rangle have |A| \leq o |M| by auto
 ultimately show \aleph_0 \leq o |M| by (fact card-eq-card-leq-trans)
qed
lemma nat-Times-nat-card-eq-aleph-zero:
 shows |(UNIV :: nat set) \times (UNIV :: nat set)| = o \aleph_0
 using ex-2-3 by auto
theorem thm-2-5-1-a:
 assumes |A| \leq o \aleph_0
   and |B| \leq o \aleph_0
 shows |A \times B| \le o \aleph_0
proof -
 from assms(1) obtain f where f 'A \subseteq (UNIV :: nat set) and inj-on f A by auto
 from assms(2) obtain g where g 'B \subseteq (UNIV :: nat set) and inj-on g B by auto
 from \langle f : A \subseteq UNIV \rangle and \langle g : B \subseteq UNIV \rangle have map-prod f : g : (A \times B) \subseteq UNIV \times UNIV by
simp
 moreover from (inj - on \ f \ A) and (inj - on \ g \ B) have inj - on \ (map - prod \ f \ g) \ (A \times B)
   by (rule map-prod-inj-on)
```

```
ultimately have |A \times B| < o |(UNIV :: nat set) \times (UNIV :: nat set)| by auto
 moreover note nat-Times-nat-card-eq-aleph-zero
  ultimately show ?thesis by (fact card-leq-card-eq-trans)
qed
lemma thm-2-5-1-b-a:
  assumes |A| = o \aleph_0
   and |B| \leq o \aleph_0
   and B \neq \{\}
 shows |A \times B| = o \aleph_0
proof -
  from assms(1) have \aleph_0 = o |A| by (fact \ card-eq-sym)
 then obtain f where bij-betw f (UNIV :: nat\ set) A by auto
 from assms(3) obtain b where b \in B by auto
 let ?g = \lambda n. (f n, b)
 have ?g ' UNIV \subseteq A \times B
 proof (rule image-subsetI)
   \mathbf{fix} \ n
   from \langle bij\text{-}betw\ f\ UNIV\ A\rangle have f\ n\in A by auto
   with \langle b \in B \rangle show ?q \ n \in A \times B by simp
  qed
  moreover have inj-on ?g UNIV
 proof (rule inj-onI)
   \mathbf{fix} \ m \ n
   assume (f m, b) = (f n, b)
   hence f m = f n by simp
   moreover from \langle bij\text{-}betw\ f\ UNIV\ A \rangle have inj-on f UNIV by auto
    ultimately show m = n by (auto dest: injD)
 qed
  ultimately have \aleph_0 \leq o |A \times B| by auto
 from assms(1) have |A| \le o \aleph_0 by blast
 with assms(2) have |A \times B| \le o \aleph_0 by (intro thm-2-5-1-a)
  with \langle \aleph_0 \leq o | A \times B | \rangle show ?thesis by (intro thm-2-3-2)
qed
lemma Times-card-commute:
 fixes A :: 'a \ set
 shows |A \times B| = o |B \times A|
proof -
 have prod.swap \in A \times B \rightarrow B \times A by auto
 moreover have prod.swap \in B \times A \rightarrow A \times B by auto
 moreover {
   \mathbf{fix} \ x
   assume x \in A \times B
   have prod.swap (prod.swap x) = x by simp
```

```
}
 moreover {
   \mathbf{fix} \ x
   assume x \in B \times A
   have prod.swap (prod.swap x) = x by simp
  }
  ultimately have bij-betw prod.swap (A \times B) (B \times A) by (fact \ bij-betwI)
  thus ?thesis by auto
qed
lemma thm-2-5-1-b-b:
 assumes |A| \leq o \aleph_0
   and A \neq \{\}
   and |B| = o \aleph_0
 shows |A \times B| = o \aleph_0
proof -
 have |A \times B| = o |B \times A| by (fact Times-card-commute)
 moreover from assms have |B \times A| = o \aleph_0 by (intro thm-2-5-1-b-a)
 ultimately show ?thesis by (fact card-eq-trans)
qed
theorem thm-2-5-1-b:
 assumes |A| \leq o \aleph_0
   and A \neq \{\}
   and |B| \leq o \aleph_0
   and B \neq \{\}
   and |A| = o \aleph_0 \vee |B| = o \aleph_0
  shows |A \times B| = o \aleph_0
  using assms thm-2-5-1-b-a thm-2-5-1-b-b by metis
lemma aleph-zero-Times-aleph-zero:
 assumes |A| = o \aleph_0
   and |B| = o \aleph_0
 shows |A \times B| = o \aleph_0
proof -
 from assms(2) have |B| \le o \aleph_0 and B \ne \{\} by blast+
 with assms show ?thesis by (blast intro: thm-2-5-1-b-a)
qed
lemma card-leq-imp-surj-on:
 assumes |A| \leq o |B|
   and A = \{\} \Longrightarrow B = \{\}
 obtains g where g ' B = A
proof -
 from assms obtain f where f ' A \subseteq B and inj-on f A by auto
```

```
with assms(2) obtain g where g 'B = A by (elim\ cor-inj-on-iff-surj-on-a)
 thus thesis by (fact that)
qed
lemma surj-on-imp-card-leq:
 assumes f \cdot A = B
 shows |B| \le o |A|
proof -
 from assms obtain g where g ' B \subseteq A and inj-on g B by (elim cor-inj-on-iff-surj-on-b)
 thus ?thesis by auto
qed
theorem thm-2-5-2-a:
  fixes \Lambda :: 'b \ set
    and A :: 'b \Rightarrow 'a \ set
 assumes \Lambda \neq \{\}
   and \Lambda l. \ l \in \Lambda \Longrightarrow |A \ l| \leq o \aleph_0
   and |\Lambda| \leq o \aleph_0
 shows || J l \in \Lambda. A l | \leq o \aleph_0
proof -
 let ?A = \bigcup l \in \Lambda. A \ l
  {
   assume ?A = \{\}
   hence ?thesis by auto
  }
  moreover {
   assume ?A \neq \{\}
   then obtain a_0 where a_0 \in ?A by blast
    {
     \mathbf{fix} l
     assume l \in \Lambda
      {
       let ?g = \lambda n :: nat. a_0
       assume A l = \{\}
       hence A \ l \subseteq ?g 'UNIV by simp
       moreover from \langle a_0 \in ?A \rangle have ?g `UNIV \subseteq ?A by auto
       ultimately have A \ l \subseteq ?g 'UNIV \land ?g 'UNIV \subseteq ?A..
       hence \{g :: nat \Rightarrow 'a : A \mid \subseteq g \text{ '} UNIV \land g \text{ '} UNIV \subseteq ?A\} \neq \{\} by fast
      }
      moreover {
       assume A l \neq \{\}
       with (l \in \Lambda) assms(2) obtain g :: nat \Rightarrow 'a where g \cdot UNIV = A \ l
         by (metis card-leq-imp-surj-on)
       moreover from this and \langle l \in \Lambda \rangle have g 'UNIV \subseteq ?A by auto
        ultimately have A \ l \subseteq g 'UNIV \land g 'UNIV \subseteq ?A by simp
```

```
ultimately have \{g :: nat \Rightarrow 'a. \ A \ l \subseteq g \ `UNIV \land g \ `UNIV \subseteq ?A\} \neq \{\} by blast
    then obtain f :: 'b \Rightarrow nat \Rightarrow 'a
      where f: f \in (\Pi \ l \in \Lambda. \{g. \ A \ l \subseteq g \ `UNIV \land g \ `UNIV \subseteq ?A\}) by (elim \ AC-E)
    let ?\varphi = \lambda(l, n). f l n
    have ?\varphi ' (\Lambda \times UNIV) = ?A
    proof (rule surj-onI; split-pair)
      fix l and n :: nat
      assume (l, n) \in \Lambda \times UNIV
      hence l \in \Lambda by simp
      with f have f l 'UNIV \subseteq ?A by fast
     thus f \mid n \in ?A by auto
    next
      \mathbf{fix} \ a
      assume a \in ?A
      then obtain l where l \in \Lambda and a \in A l by auto
      with f obtain n where f \mid n = a by auto
      with \langle l \in \Lambda \rangle show a \in \mathscr{P} \varphi ' (\Lambda \times UNIV) by auto
    qed
    hence |?A| \le o |\Lambda \times (UNIV :: nat set)| by (fact surj-on-imp-card-leq)
    also from assms(3) have |\Lambda \times (UNIV :: nat set)| \le o \aleph_0 by (auto elim: thm-2-5-1-a)
    finally have ?thesis.
  ultimately show ?thesis by blast
qed
theorem thm-2-5-2-b:
  fixes \Lambda :: 'b \ set
    and A :: 'b \Rightarrow 'a \ set
 assumes \Lambda \neq \{\}
    and \Lambda l. \ l \in \Lambda \Longrightarrow |A \ l| \leq o \ \aleph_0
    and |\Lambda| \leq o \aleph_0
   and l \in \Lambda
    and |A| = 0 \aleph_0
 shows |\bigcup l \in \Lambda. A \ l| = o \ \aleph_0
proof -
 let ?A = \bigcup l \in \Lambda. A l
  from assms(5) have \aleph_0 = o |A| |B|  by (fact \ ord Iso-symmetric)
  then obtain f :: nat \Rightarrow 'a where *: bij-betw f UNIV (A \ l) by blast
  with assms(4) have f' UNIV \subseteq ?A by auto
 moreover from * have inj-on f UNIV by auto
  ultimately have \aleph_0 \leq o | ?A | by auto
 moreover from assms(1-3) have |?A| \le o \aleph_0 by (rule thm-2-5-2-a)
```

**hence**  $\{g :: nat \Rightarrow 'a \text{. } A \ l \subseteq g \text{ '} UNIV \land g \text{ '} UNIV \subseteq ?A\} \neq \{\} \text{ by } auto$ 

```
ultimately show ?thesis by (intro thm-2-3-2)
qed
lemma countable-Un-aleph-zero:
 assumes |A| < o \aleph_0
   and |B| = o \aleph_0
 shows |A \cup B| = o \aleph_0
proof -
 let ?\Lambda = \{0 :: nat, 1\}
 let ?A = (\lambda l :: nat. undefined)(0 := A, 1 := B)
 have ?\Lambda \neq \{\} by simp
  moreover have \Lambda l. l \in ?\Lambda \Longrightarrow |?A \ l| \leq o \aleph_0
 proof -
   \mathbf{fix} l
   assume l \in ?\Lambda
   moreover {
     assume l = 0
     with assms(1) have |?A| \le o \aleph_0 by simp
    }
   \mathbf{moreover}\ \{
     assume l = 1
     from assms(2) have |A 1| \le o \aleph_0 by fastforce
     with \langle l = 1 \rangle have |?A \ l| \leq o \aleph_0 by simp
    ultimately show |?A| \le o \aleph_0 by blast
  qed
  moreover have |?\Lambda| \leq o \aleph_0
 proof -
   have inj-on id ?\Lambda by simp
   thus ?thesis by blast
 moreover have 1 \in ?\Lambda by simp
 moreover from assms(2) have |?A \ 1| = o \aleph_0 by simp
 ultimately have |\bigcup l \in ?\Lambda. |A| = o \aleph_0 by (rule thm-2-5-2-b)
 moreover have (\bigcup l \in ?\Lambda. ?A \ l) = A \cup B  by simp
  ultimately show ?thesis by argo
qed
lemma aleph-zero-Un-aleph-zero:
 assumes |A| = o \aleph_0
    and |B| = o \aleph_0
 shows |A \cup B| = o \aleph_0
proof -
 from assms(1) have |A| \le o \aleph_0 by fast
 with assms(2) show ?thesis by (intro countable-Un-aleph-zero)
```

```
corollary cor-card-int-eq-aleph-zero:
 shows |UNIV :: int set| = o \aleph_0
proof -
 let ?A = \{x :: int. \ 0 \le x\}
 let ?B = \{x :: int. \ x \le 0\}
 have |?A| = o \aleph_0
 proof -
   let ?f = \lambda n :: nat. (of-nat n) :: int
   have ?f ' UNIV = ?A
   proof (rule surj-onI)
    \mathbf{fix} \ n
    show int n \in ?A by simp
   next
    \mathbf{fix} \ n
    assume n \in ?A
     hence 0 \le n by simp
    then obtain m where n = ?f m by (elim nonneg-int-cases)
     thus n \in ?f 'UNIV by simp
   qed
   moreover have inj-on ?f UNIV by (fact inj-of-nat)
   ultimately have bij-betw ?f UNIV ?A by (intro bij-betw-imageI)
   hence \aleph_0 = o \mid ?A \mid by blast
   thus ?thesis by (fact ordIso-symmetric)
 qed
 moreover have |?B| = o \aleph_0
 proof -
   let ?f = \lambda n :: nat. -((of-nat n) :: int)
   have ?f ' UNIV = ?B
   proof (rule surj-onI)
    \mathbf{fix} \ n
     show ?f n \in ?B by simp
   next
    \mathbf{fix} \ n
     assume n \in ?B
     hence 0 \le -n by simp
     then obtain m :: nat where -n = m by (elim nonneg-int-cases)
     hence -int m = n \text{ by } simp
    thus n \in ?f 'UNIV by auto
   moreover have inj-on ?f UNIV by (simp add: inj-on-def)
   ultimately have bij-betw ?f UNIV ?B by (intro bij-betw-imageI)
   hence \aleph_0 = o |?B| by auto
   thus ?thesis by (fact ordIso-symmetric)
```

```
qed
 ultimately have |?A \cup ?B| = o \aleph_0 by (rule aleph-zero-Un-aleph-zero)
 moreover have ?A \cup ?B = UNIV by auto
 ultimately show ?thesis by simp
qed
corollary cor-card-rat-eq-aleph-zero:
 shows |UNIV :: rat set| = o \aleph_0
proof -
 let ?f = \lambda(a, b). Fract a b
 have ?f ' (UNIV \times UNIV) = UNIV
 proof (rule surj-onI; split-pair)
   \mathbf{fix} \ a \ b
   show Fract a \ b \in UNIV by simp
 next
   \mathbf{fix} \ q
   obtain a b where q = Fract \ a b by (auto intro: Rat-cases)
   thus q \in ?f ' (UNIV \times UNIV) by auto
 qed
 hence |UNIV :: rat set| \le o |(UNIV :: int set) \times (UNIV :: int set)|
   by (fact surj-on-imp-card-leq)
 also have |(UNIV :: int set) \times (UNIV :: int set)| = o \aleph_0
 proof -
   have |UNIV :: int set| = o \aleph_0 by (fact cor-card-int-eq-aleph-zero)
   moreover from this have |UNIV :: int set| \le o \aleph_0 by fast
   ultimately show |(UNIV :: int set) \times (UNIV :: int set)| = o \aleph_0 by (blast intro: thm-2-5-1-b)
 qed
 finally have |UNIV :: rat \ set| \le o \ \aleph_0.
 moreover have \aleph_0 \leq o |UNIV| :: rat set
 proof -
   let ?g = \lambda n :: nat. (of-nat n) :: rat
   have inj-on ?g UNIV by (auto intro: inj-of-nat)
   thus ?thesis by blast
 qed
 ultimately show ?thesis by (intro thm-2-3-2)
qed
theorem thm-2-6:
 assumes infinite A
   and B \subseteq A
   and |B| \leq o \aleph_0
   and infinite (A - B)
 shows equipotent (A - B) A
proof -
 let ?A_1 = A - B
```

```
from assms(4) obtain C where C \subseteq A_1 and |C| = o \aleph_0 by (elim thm-2-4)
let ?A_2 = ?A_1 - C
from assms(3) and \langle |C| = o \aleph_0 \rangle have |B \cup C| = o \aleph_0 by (rule countable-Un-aleph-zero)
also from \langle |C| = o \ \aleph_0 \rangle have \aleph_0 = o \ |C| by (fact ordIso-symmetric)
finally have |B \cup C| = o |C|.
then obtain f_1 where bij-betw f_1 (B \cup C) C by auto
let ?f = \lambda a. if a \in ?A_2 then a else f_1 a
have ?f \cdot A = ?A_1
proof (rule surj-onI)
 \mathbf{fix} \ a
  assume a \in A
    assume a \in ?A_2
   hence ?f \ a \in ?A_1 by simp
  moreover {
    assume a \notin ?A_2
    with \langle a \in A \rangle have a \in B \cup C by simp
    from \langle a \notin ?A_2 \rangle have ?f \ a = f_1 \ aby \ argo
    also from \langle a \in B \cup C \rangle and \langle bij\text{-}betw f_1 (B \cup C) C \rangle have ... \in C by auto
    also from \langle C \subseteq ?A_1 \rangle have ... \subseteq ?A_1 by simp
    finally have ?f a \in ?A_1.
  ultimately show ?f \ a \in ?A_1 by blast
next
 \mathbf{fix} \ b
  assume b \in ?A_1
   assume b \in C
   with \langle bij\text{-}betw\ f_1\ (B\cup C)\ C \rangle obtain a where a\in B\cup C and b=f_1\ a by auto
    from this(1) have a \notin ?A_2 by simp
   with \langle b = f_1 \ a \rangle have ?f \ a = b by argo
   moreover from \langle a \in B \cup C \rangle and assms(2) and \langle C \subseteq ?A_1 \rangle have a \in A by auto
    ultimately have \exists a \in A. ?f a = b by blast
  }
  moreover {
    assume b \notin C
    with \langle b \in ?A_1 \rangle have b \in ?A_2 by simp
    hence ?f b = b by simp
   moreover from \langle b \in ?A_1 \rangle have b \in A by simp
    ultimately have \exists a \in A. ?f a = b by blast
  }
  ultimately show b \in ?f ' A by blast
moreover have inj-on ?f A
```

```
assume a \in A and a' \in A and ?f a = ?f a'
   consider (A) a \in ?A_2 and a' \in ?A_2
     | (B) a \in ?A_2 \text{ and } a' \notin ?A_2
     \mid (C) \ a \notin ?A_2 \text{ and } a' \in ?A_2
     \mid (D) \ a \notin ?A_2 \text{ and } a' \notin ?A_2 \text{ by } argo
   thus a = a'
    proof cases
     case A
     with \langle ?f a = ?f a' \rangle show ?thesis by simp
   next
     case B
     from B(2) and \langle a' \in A \rangle have a' \in B \cup C by simp
     with \langle ?f | a = ?f | a' \rangle B have a = f_1 | a' by meson
     with \langle bij\text{-}betw\ f_1\ (B\cup C)\ C\rangle and \langle a'\in B\cup C\rangle and B(1) have False by fast
     thus ?thesis ..
    next
     case C
     from C(1) and (a \in A) have a \in B \cup C by simp
     with \langle ?f \ a = ?f \ a' \rangle \ C have f_1 \ a = a' by metis
     with \langle bij\text{-}betw\ f_1\ (B\cup C)\ C \rangle and \langle a\in B\cup C \rangle and C(2) have False by auto
     thus ?thesis ..
    next
     case D
     with \langle ?f | a = ?f | a' \rangle have f_1 | a = f_1 | a' by argo
     moreover from D and (a \in A) and (a' \in A) have a \in B \cup C and a' \in B \cup C by blast+
     moreover from \langle bij\text{-}betw\ f_1\ (B\cup C)\ C\rangle have inj\text{-}on\ f_1\ (B\cup C) by auto
      ultimately show ?thesis by (elim inj-onD)
   qed
 qed
 ultimately have bij-betw ?f A ?A_1 by (intro\ bij-betw-imageI)
 hence equipotent A ?A_1 by auto
  thus ?thesis by (fact prop-2-1-2)
qed
corollary cor-2-1:
  assumes infinite A
    and |B| \leq o \aleph_0
 shows equipotent (A \cup B) A
proof -
 have A: (A \cup B) - (B - A) = A by auto
 from assms(1) have infinite (A \cup B) by simp
 moreover have B - A \subseteq A \cup B by auto
 moreover have |B - A| \leq o \aleph_0
```

proof (rule inj-onI)

fix a a'

```
from assms(2) obtain f where f 'B \subseteq (UNIV :: nat set) and inj-on f B by auto
   hence f'(B-A) \subseteq UNIV and inj-on f(B-A) by (auto dest: inj-on-diff)
   thus ?thesis by blast
 ged
 moreover from assms(1) and A have infinite ((A \cup B) - (B - A)) by simp
 ultimately have equipotent ((A \cup B) - (B - A)) (A \cup B) by (rule thm-2-6)
 with A have equipotent A (A \cup B) by simp
 thus ?thesis by (fact prop-2-1-2)
qed
corollary cor-dedekind-infinity:
 assumes infinite A
 obtains B where B \subset A and equipotent A B
proof -
 from assms obtain a where a \in A by fastforce
 from assms have infinite (A - \{a\}) by simp
 moreover have |\{a\}| \le o \aleph_0 by fast
 ultimately have equipotent ((A - \{a\}) \cup \{a\}) (A - \{a\}) by (rule cor-2-1)
 moreover from \langle a \in A \rangle have (A - \{a\}) \cup \{a\} = A by blast
 ultimately have equipotent A(A - \{a\}) by simp
 moreover from \langle a \in A \rangle have A - \{a\} \subset A by auto
 ultimately show thesis by (intro that)
qed
theorem thm-2-8:
 shows |M| < o |Pow M|
proof (rule card-lessI)
 show |M| \le o |Pow M|
 proof (rule card-leqI)
   let ?f = \lambda a. \{a\}
   show ?f ' M \subseteq Pow M by auto
   show inj-on ?f M by simp
 qed
 show \neg |M| = o |Pow M|
 proof (rule notI)
   assume |M| = o |Pow M|
   then obtain f where f: bij-betw f M (Pow M) by auto
   let ?X = \{x \in M. \ x \notin f \ x\}
   have ?X \in Pow\ M by auto
   moreover from f have f ' M = Pow M by auto
   ultimately have ?X \in f 'M by simp
   then obtain x where x \in M and fx = ?X by auto
```

proof -

```
{
     assume x \in ?X
     hence x \notin f x by simp
     with \langle f x = ?X \rangle have x \notin ?X by blast
     with \langle x \in ?X \rangle have False by simp
   }
   moreover {
     assume x \notin ?X
     with \langle x \in M \rangle have x \in f x by simp
     with \langle f x = ?X \rangle have x \in ?X by blast
     with \langle x \notin ?X \rangle have False by simp
   }
   ultimately show False by auto
 qed
qed
          Problems
2.1.5
proposition prob-2-2-1:
 assumes |A| = o \aleph_0
   and B \subseteq A
   and infinite B
 shows |B| = o \aleph_0
proof -
 from assms(3) have \aleph_0 \le o |B| by (fact cor-infinite-imp-card-leq-aleph-zero)
 moreover have |B| \leq o \aleph_0
 proof -
   from assms(2) obtain f where f0: f \cdot B \subseteq A and f1: inj\text{-}on f B by fastforce
   from assms(1) obtain g where g0: g 'A \subseteq (UNIV :: nat set) and g1: inj-on g A by fast
   from f0 and g0 have (g \circ f) ' B \subseteq UNIV by simp
   moreover from f0 and f1 and g1 have inj-on (g \circ f) B by (blast dest: thm-1-5-b)
   ultimately show ?thesis by auto
 qed
 ultimately show ?thesis by (intro thm-2-3-2)
qed
lemma disjoint-family-onI:
 assumes \bigwedge i \ j. \ i \in I \Longrightarrow j \in I \Longrightarrow i \neq j \Longrightarrow A \ i \cap A \ j = \{\}
 shows disjoint-family-on A I
 using assms unfolding disjoint-family-on-def by simp
proposition prob-2-2-2:
 fixes A :: 'a \ set
 assumes |A| = o \aleph_0
 obtains A' :: nat \Rightarrow 'a \text{ set where } \bigwedge n. |A' n| = o \aleph_0
```

```
and ( | n. A' n ) = A
   and disjoint-family-on A' UNIV
proof -
 have |(UNIV :: nat set) \times (UNIV :: nat set)| = o \aleph_0 by (fact nat-Times-nat-card-eq-aleph-zero)
 also from assms have \aleph_0 = o |A| by (fact ordIso-symmetric)
 finally have |(UNIV :: nat set) \times (UNIV :: nat set)| = o |A|.
 then obtain f :: nat \times nat \Rightarrow 'a where f : bij-betw f (UNIV \times UNIV) A by auto
 hence inj-f: inj f by auto
 let ?A' = \lambda n :: nat. f'(\{n\} \times UNIV)
 have \bigwedge n. |?A' n| = o \aleph_0
 proof -
   \mathbf{fix} \ m
   let ?g = \lambda a. THE n. f(m, n) = a
   have g: ?g \ a = n \text{ if } f \ (m, n) = a \text{ for } n \text{ and } a
   proof -
    {
      fix n'
      assume f(m, n') = a
      with that have f(m, n') = f(m, n) by simp
      with inj-f have n' = n by (auto dest: injD)
    with that show ?thesis by blast
   qed
   have ?g ' (?A'm) = UNIV
   proof (rule surj-onI)
    \mathbf{fix} \ a
     assume a \in ?A'm
     show ?g \ a \in UNIV \ \mathbf{by} \ simp
   next
    \mathbf{fix} \ n
    have f(m, n) \in ?A'm by simp
    moreover have ?g(f(m, n)) = n
     proof (rule the-equality, rule refl)
      fix n'
      assume f(m, n') = f(m, n)
      with inj-f have (m, n') = (m, n) by (auto dest: injD)
      thus n' = n by simp
     qed
     ultimately show n \in ?q ' ?A' m by fastforce
   moreover have inj-on ?g (?A' m)
   proof (rule inj-onI)
    fix a and a'
     assume a \in ?A' m and a' \in ?A' m and ?g a = ?g a'
     from this(1,2) obtain n and n' where f(m, n) = a and f(m, n') = a' by auto
```

```
moreover from this and \langle ?q \ a = ?q \ a' \rangle have n = n' using q by simp+
     ultimately show a = a' by simp
   qed
   ultimately show |?A'm| = o \aleph_0 by (blast intro: bij-betw-imageI)
 moreover have ([] n. ?A' n) = A
 proof (rule set-eqI2)
   \mathbf{fix} \ a
   assume a \in (\bigcup n. ?A' n)
   then obtain m where a \in ?A' m by auto
   then obtain n where a = f(m, n) by auto
   also from f have f(m, n) \in A by blast
   finally show a \in A.
 next
   \mathbf{fix} \ a
   assume a \in A
   with f obtain m and n where a = f(m, n) by blast
   hence a \in ?A' m by simp
   thus a \in (\bigcup n. ?A' n) by blast
 qed
 moreover have disjoint-family-on ?A' UNIV
 proof (rule disjoint-family-onI)
   fix m m' :: nat
   assume m \neq m'
     \mathbf{fix} \ a
     assume a \in ?A' m and a \in ?A' m'
     then obtain n n' :: nat where a = f(m, n) and a = f(m', n') by blast
     hence f(m, n) = f(m', n') by simp
     with inj-f have (m, n) = (m', n') by (auto dest: injD)
     with \langle m \neq m' \rangle have False by simp
   }
   thus ?A' m \cap ?A' m' = \{\} by fast
 ultimately show thesis by (fact that)
qed
proposition prob-2-2-3:
 defines QQ: \mathfrak{Q} \equiv \{\{a :: rat < .. < b\} \mid a b. a < b\}
 shows |\mathfrak{Q}| = o \aleph_0
proof -
 have |\mathfrak{Q}| \leq o \aleph_0
 proof -
   let ?f = \lambda(a :: rat, b). \{a < .. < b\}
   have ?f '(UNIV \times UNIV) = \mathfrak{Q} \cup \{\{\}\}\}
```

```
proof (rule surj-onI; split-pair)
    \mathbf{fix} \ a \ b :: rat
    {
      assume a < b
      hence \{a < ... < b\} \in \mathfrak{Q} \cup \{\{\}\}\ unfolding QQ by auto
    moreover {
      assume b \leq a
      hence \{a < ... < b\} = \{\} by simp
      hence \{a < ... < b\} \in \mathfrak{Q} \cup \{\{\}\}\} by simp
    ultimately show \{a < ... < b\} \in \mathfrak{Q} \cup \{\{\}\} by linarith
  next
    \mathbf{fix} \ Q
    assume Q \in \mathfrak{Q} \cup \{\{\}\}
   moreover {
      assume Q \in \mathfrak{Q}
      hence \exists a \ b. \ \{a < ... < b\} = Q \text{ unfolding } QQ \text{ by } auto
    }
    moreover {
      assume Q \in \{\{\}\}
      moreover have \{\theta :: rat < ... < \theta\} = \{\} by simp
      ultimately have \exists a \ b. \{a < .. < b\} = Q  by auto
    ultimately show Q \in ?f ' (UNIV \times UNIV) by fast
  qed
 hence |\mathfrak{Q} \cup \{\{\}\}\}| \le o |(UNIV :: rat set) \times (UNIV :: rat set)| by (fact surj-on-imp-card-leq)
  also have |(UNIV :: rat \ set) \times (UNIV :: rat \ set)| = o \ \aleph_0
  proof -
   have |UNIV :: rat \ set| = o \ \aleph_0 \ by (fact \ cor-card-rat-eq-aleph-zero)
   thus ?thesis by (blast intro: aleph-zero-Times-aleph-zero)
 qed
 finally have |\mathfrak{Q} \cup \{\{\}\}\}| \leq o \aleph_0.
 thus |\mathfrak{Q}| \leq o \aleph_0 by fastforce
qed
moreover have \aleph_0 \leq o |\mathfrak{Q}|
proof (rule card-leqI)
 let ?f = \lambda n :: nat. \{(rat-of-nat \ n) - 1 < .. < (of-nat \ n) + 1\}
  {
   \mathbf{fix} \ n
   have \{(rat\text{-}of\text{-}nat\ n) - 1 < .. < (of\text{-}nat\ n) + 1\} \in \mathfrak{Q} unfolding QQ by fastforce
 thus range ?f \subseteq \mathfrak{Q} by auto
   fix n n' :: nat
```

```
assume ?f n = ?f n'
     {
       assume n < n'
       hence of-nat n \in ?f n and of-nat n \notin ?f n' by simp+
       hence ?f n \neq ?f n' by blast
       with \langle ?f | n = ?f | n' \rangle have False by simp
     }
     moreover {
       assume n' < n
       hence of-nat n \in ?f n and of-nat n \notin ?f n' by simp+
       hence ?f n \neq ?f n' by blast
       with \langle ?f | n = ?f | n' \rangle have False by simp
     ultimately have n = n' by fastforce
   thus inj ?f by (fact injI)
  ultimately show ?thesis by (fact thm-2-3-2)
qed
lemmas [dest] = disjointD
proposition prob-2-2-4:
 assumes \bigwedge I. I \in \mathfrak{I} \Longrightarrow \exists a \ b :: real. \ a < b \land I = \{a < .. < b\}
   and disjoint 3
 shows |\mathfrak{I}| \leq o \aleph_0
proof -
 let ?f = \lambda I. \{q :: rat. (of-rat q) \in I\}
  {
   \mathbf{fix} I
   assume I \in \mathfrak{I}
   with assms(1) obtain a and b where a < b and I: I = \{a < ... < b\} by blast
   from this(1) obtain q :: rat where a < of-rat q and of-rat q < b
     by (blast dest: of-rat-dense)
   with I have of-rat q \in I by simp
   hence ?fI \neq \{\} by auto
  moreover have disjoint-family-on ?f 3
 proof (rule disjoint-family-onI)
   fix I and J
   assume I \in \mathfrak{I} and J \in \mathfrak{I} and I \neq J
   with assms(2) have I \cap J = \{\} by blast
   from \langle I \in \mathfrak{I} \rangle and \langle J \in \mathfrak{I} \rangle obtain a \ b \ a' \ b'
     where a < b and I: I = \{a < .. < b\}
       and a' < b' and J: J = \{a' < ... < b'\} by (fast \ dest: \ assms(1))
```

```
assume a' < b \land a < b'
     with \langle a < b \rangle and \langle a' < b' \rangle have max a \ a' < min \ b \ b' by simp
     then obtain c where max a a' < c and c < min \ b \ b' by (blast dest: dense)
     with I and J have c \in I and c \in J by simp+
     with \langle I \cap J = \{\} \rangle have False by auto
   hence b \leq a' \vee b' \leq a by argo
   moreover {
     assume b < a'
     with I and J have ?fI \cap ?fJ = \{\} by fastforce
   moreover {
     assume b' \leq a
     with I and J have ?fI \cap ?fJ = \{\} by fastforce
   }
   ultimately show ?fI \cap ?fJ = \{\} by linarith
 ultimately have |\mathfrak{I}| \leq o |\mathfrak{I}| I \in \mathfrak{I}. \{q :: rat. (of-rat \ q) \in I\} | by (fact \ prob-2-1-5)
 also have |\bigcup I \in \mathfrak{I}. \{q :: rat. (of-rat \ q) \in I\}| \leq o \ |UNIV :: rat \ set|  by auto
 also have |UNIV :: rat \ set| = o \ \aleph_0 \ by \ (fact \ cor-card-rat-eq-aleph-zero)
 finally show ?thesis.
qed
lemma fixed-length-lists-of-aleph-zero:
 fixes A :: 'a \ set
 assumes |A| = o \aleph_0
   and 1 \leq n
 defines XS: XS \ l \equiv \{xs \in lists \ A. \ length \ xs = l\}
 shows |XS \ n| = o \ \aleph_0
using assms(2) proof (induct n rule: dec-induct)
 case base
 let ?f = \lambda a. [a]
 have ?f 'A = XS 1
 proof (rule surj-onI)
   \mathbf{fix} \ a
   assume a \in A
   thus ?f \ a \in XS \ 1 unfolding XS by simp
 next
   \mathbf{fix} \ xs
   assume xs \in XS 1
   hence xs \in lists \ A and length \ xs = 1 unfolding XS by simp+
   from this(2) have length xs = Suc \ 0 by simp
   then obtain y and ys where xs = y \# ys and length ys = 0 by (auto simp: length-Suc-conv)
   from this(2) have ys = [] by simp
```

```
with \langle xs = y \# ys \rangle have xs = [y] by simp
   moreover from \langle xs = y \# ys \rangle and \langle xs \in lists A \rangle have y \in A by simp
   ultimately show xs \in ?f ' A by simp
 qed
 moreover have inj-on ?f A
 proof (rule inj-onI)
   fix a a' :: 'a
   assume ?f a = ?f a'
   thus a = a' by simp
 qed
 ultimately have bij-betw ?f A (XS 1) by (intro bij-betw-imageI)
 hence |A| = o |XS 1| by auto
 hence |XS \ 1| = o \ |A| by (fact ordIso-symmetric)
 also note assms(1)
 finally show ?case.
next
 case (step \ n)
 let ?f = \lambda(xs, x). x \# xs
 have ?f '((XS n) \times A) = XS (Suc n)
 proof (rule surj-onI; split-pair)
   fix xs and a
   assume (xs, a) \in (XS \ n) \times A
   hence xs \in XS n and a \in A by simp+
   from this(1) have xs \in lists A and length xs = n unfolding XS by simp+
   from this(1) and \langle a \in A \rangle have a \# xs \in lists A by simp
   moreover from (length \ xs = n) have length \ (a \# xs) = Suc \ n by simp
   ultimately show a \# xs \in XS \ (Suc \ n) unfolding XS by simp
 next
   \mathbf{fix} \ xs
   assume xs \in XS (Suc n)
   hence xs \in lists \ A and length \ xs = Suc \ n unfolding XS by simp+
   from this(2) obtain a and ys where xs = a \# ys and length ys = n
     by (auto simp: length-Suc-conv)
   from \langle xs \in lists \ A \rangle and this(1) have ys \in lists \ A by simp
   with \langle length \ ys = n \rangle have ys \in XS \ n unfolding XS by simp
   moreover from \langle xs \in lists \ A \rangle and \langle xs = a \# ys \rangle have a \in A by simp
   moreover note \langle xs = a \# ys \rangle
   ultimately show xs \in ?f ' (XS \ n \times A) by auto
 qed
 hence |XS|(Suc|n)| \le o|(XS|n) \times A| by (fact surj-on-imp-card-leq)
 also from assms(1) and step.hyps have |(XS \ n) \times A| = o \ \aleph_0
   by (intro aleph-zero-Times-aleph-zero)
 finally have |XS(Suc n)| \le o \aleph_0.
 moreover have \aleph_0 \leq o |XS(Suc n)|
 proof -
```

```
from step.hyps(3) have \aleph_0 = o |XS| n| by (fact \ ord Iso-symmetric)
   also have |XS \ n| \le o |XS \ (Suc \ n)|
   proof -
     from assms(1) obtain a where a \in A by blast
     let ?f = \lambda xs. a \# xs
     have ?f '(XS \ n) \subseteq XS \ (Suc \ n)
     proof (rule image-subsetI)
      \mathbf{fix} \ xs
      assume xs \in XS n
      hence xs \in lists \ A and length \ xs = n unfolding XS by simp+
      from this(1) and (a \in A) have ?fxs \in lists A by simp
      moreover from \langle length \ xs = n \rangle have length \ (?f \ xs) = Suc \ n by simp
       ultimately show ?f xs \in XS \ (Suc \ n) unfolding XS by simp
     moreover have inj-on ?f(XS n) by simp
     ultimately show ?thesis by blast
   qed
   finally show ?thesis.
 qed
 ultimately show ?case by (fact thm-2-3-2)
qed
lemma lists-aleph-zero-eq-aleph-zero:
 assumes |A| = o \aleph_0
 shows |lists A| = o \aleph_0
proof -
 let ?A' = \lambda n. \{xs \in lists A. length xs = n\}
 let ?B = \bigcup i. ?A'i
 have lists A \subseteq ?B
 proof (rule subsetI)
   \mathbf{fix} \ xs
   assume xs \in lists A
   hence xs \in ?A' (length xs) by simp
   thus xs \in ?B by blast
 qed
 hence |lists A| \le o |?B| by fastforce
 also have |?B| = o \aleph_0
 proof (rule thm-2-5-2-b; simp?)
   \mathbf{fix} \ n :: nat
   {
     assume n = 0
     hence ?A' n = \{[]\} by auto
     hence |?A' n| \le o \aleph_0 by auto
   moreover {
```

```
with assms have |?A'| = o \aleph_0 by (fact fixed-length-lists-of-aleph-zero)
     hence |A'| \leq o \aleph_0 by (fact card-eq-imp-card-leq)
   ultimately show |A'| \le o \aleph_0 by linarith
 next
   from assms show |?A' 1| = o \aleph_0 by (blast intro: fixed-length-lists-of-aleph-zero)
 qed
 finally have |lists A| \le o \aleph_0 by simp
 moreover have \aleph_0 \leq o |lists A|
 proof -
   from assms have |?A'| = o \aleph_0 by (blast intro: fixed-length-lists-of-aleph-zero)
   hence \aleph_0 = o \mid ?A' \mid 1 \mid by (fact \ ord Iso-symmetric)
   also have |?A' 1| \le o |lists A|
   proof -
     have ?A' 1 \subseteq lists A by auto
     thus ?thesis by auto
   finally show ?thesis.
 qed
 ultimately show ?thesis by (fact thm-2-3-2)
qed
proposition prob-2-2-5:
 assumes |A| = o \aleph_0
 defines AA: \mathfrak{A} \equiv \{X. \ X \subseteq A \land finite \ X\}
 shows |\mathfrak{A}| = o \aleph_0
proof -
 let ?A' = \lambda n. \{xs \in lists A. length xs = n\}
 let ?B = \bigcup n. ?A' n
 let ?f = \lambda xs. \ set \ xs
 have ?f \cdot ?B = \mathfrak{A}
 proof (rule surj-onI)
   \mathbf{fix} \ xs
   assume xs \in ?B
   then obtain n where xs \in ?A' n by simp
   hence xs \in lists \ A \ by \ simp
   hence ?f xs \subseteq A by auto
   moreover have finite (?f xs) by simp
   ultimately show ?f xs \in \mathfrak{A} unfolding AA by simp
 next
   \mathbf{fix} \ X
   assume X \in \mathfrak{A}
   hence X \subseteq A and finite X unfolding AA by simp+
   then obtain xs where xs \in lists \ A and set \ xs = X by (fast dest: finite-list)
```

assume  $1 \le n$ 

```
from this(1) have xs \in ?A' (length xs) by simp
   hence xs \in ?B by simp
   with \langle set \ xs = X \rangle show X \in ?f \cdot ?B by auto
  qed
 hence |\mathfrak{A}| \leq o |\mathfrak{P}| by (fact surj-on-imp-card-leq)
 also have |?B| \le o \aleph_0
 proof (rule thm-2-5-2-a; simp)
   fix n
   have ?A' n \subseteq lists A by auto
   hence |?A' n| \le o |lists A| by auto
   also from assms(1) have |lists A| = o \aleph_0 by (fact \ lists-aleph-zero-eq-aleph-zero)
   finally show |?A'n| \le o \aleph_0.
  qed
 finally have |\mathfrak{A}| \leq o \aleph_0.
 moreover have \aleph_0 \leq o |\mathfrak{A}|
  proof -
   from assms(1) have \aleph_0 = o |A| by (fact \ ord Iso-symmetric)
   also have |A| \leq o |\mathfrak{A}|
   proof (rule card-leqI)
     let ?f = \lambda a :: 'a. \{a\}
       \mathbf{fix} \ a
       assume a \in A
       hence ?f \ a \in \mathfrak{A} unfolding AA by simp
     thus ?f ' A \subseteq \mathfrak{A} by auto
     show inj-on ?f A by simp
   qed
   finally show ?thesis.
  ultimately show ?thesis by (fact thm-2-3-2)
qed
```

## end

```
end
theory Section-2-3
imports Main
HOL-Library.Disjoint-Sets
HOL-Library.FuncSet
Section-2-2
```

## 2.2 3. Operations on Cardinalities

## 2.2.1 A) Sum and Product of Cardinalities

```
proposition csum-definition:
 fixes A :: 'a \ set
   and B :: 'b \ set
 shows |A| + c |B| = |A < +> B|
 unfolding csum-def by (simp only: Field-card-of)
proposition csum-welldefinedness:
 assumes |A| = o |A'|
   and |B| = o |B'|
 shows |A| + c |B| = o |A'| + c |B'|
proof -
 from assms(1) obtain f where f: bij-betw f A A' by auto
 hence inj-on f A and f ' A = A' by auto
 from assms(2) obtain g where g: bij-betw g B B' by auto
 hence inj-on q B and q 'B = B' by auto
 define h where h \equiv map\text{-}sum f g
 have bij-betw h (A <+> B) (A' <+> B')
 proof (rule bij-betw-imageI)
   show inj-on h (A <+> B)
   proof (rule inj-onI)
    fix x and x'
    assume x: x \in A <+> B
      and x': x' \in A <+> B
      and h: h x = h x'
    from x and x' consider (A) x \in Inl ' A and x' \in Inl ' A
      | (B) x \in Inl 'A  and x' \in Inr 'B
      \mid (C) \ x \in Inr 'B \text{ and } x' \in Inl 'A
      \mid (D) \ x \in Inr 'B  and x' \in Inr 'B
      by blast
    thus x = x'
    proof cases
      case A
      then obtain a and a' where
        a \in A
        and Inl \ a = x
        and a' \in A
```

```
and Inl \ a' = x' \ by \ auto
     with h-def have h x = Inl (f a) and h x' = Inl (f a') by auto
     with h have f a = f a' by simp
     with \langle a \in A \rangle and \langle a' \in A \rangle and \langle inj\text{-}on \ f \ A \rangle have a = a' by (elim \ inj\text{-}onD)
     with \langle Inl \ a = x \rangle and \langle Inl \ a' = x' \rangle show ?thesis by simp
   next
     case B
     with h-def and h have False by auto
     thus ?thesis ..
   next
     case C
     with h-def and h have False by auto
     thus ?thesis ..
   next
     case D
     then obtain b and b' where
       b \in B
       and Inr b = x
       and b' \in B
       and Inr b' = x' by auto
     with h-def have h x = Inr (g b) and h x' = Inr (g b') by auto
     with h have q b = q b' by simp
     with \langle b \in B \rangle and \langle b' \in B \rangle and \langle inj\text{-}on \ g \ B \rangle have b = b' by (elim \ inj\text{-}onD)
     with \langle Inr \ b = x \rangle and \langle Inr \ b' = x' \rangle show ?thesis by simp
   qed
 qed
next
 show h'(A <+> B) = A' <+> B'
 proof (rule surj-onI)
   \mathbf{fix} \ x
   assume x \in A <+> B
   with h-def and \langle f : A = A' \rangle and \langle g : B = B' \rangle show h : x \in A' < +> B' by auto
  next
   fix x'
   assume x' \in A' <+> B'
   then consider (A) x' \in Inl ' A'
     \mid (B) \ x' \in Inr \ 'B' \ \mathbf{by} \ auto
   thus x' \in h ' (A < +> B)
   proof cases
     case A
     then obtain a' where a' \in A' and Inl \ a' = x' by blast
     from \langle a' \in A' \rangle and \langle f' \mid A = A' \rangle obtain a where a \in A and f \mid a = a' by auto
     with \langle Inl \ a' = x' \rangle and h-def have x' = h \ (Inl \ a) by simp
     with \langle a \in A \rangle show ?thesis by auto
   next
```

```
then obtain b' where b' \in B' and Inr b' = x' by blast
      from \langle b' \in B' \rangle and \langle g ' B = B' \rangle obtain b where b \in B and g b = b' by auto
      with \langle Inr \ b' = x' \rangle and h-def have x' = h \ (Inr \ b) by simp
      with \langle b \in B \rangle show ?thesis by auto
    qed
   qed
 qed
 hence |A < +> B| = o |A' < +> B'| by auto
 thus ?thesis unfolding csum-definition by simp
qed
lemmas csum-cong' = csum-welldefinedness
lemma csum-conq1':
 assumes |A| = o |A'|
 shows |A| + c |B| = o |A'| + c |B|
proof -
 have |B| = o |B| by simp
 with assms show ?thesis by (intro csum-conq')
qed
lemma csum-cong2':
 assumes |B| = o |B'|
 shows |A| + c |B| = o |A| + c |B'|
proof -
 have |A| = o |A| by simp
 with assms show ?thesis by (intro csum-cong')
qed
primrec sum-swap where
 sum-swap (Inl a) = Inr a
| sum\text{-}swap (Inr b) = Inl b
lemma inj-on-sum-swap:
 shows inj-on sum-swap (A <+> B)
 unfolding inj-on-def by auto
lemma sum-swap-surj-on:
 shows sum-swap ' (A < +> B) = B < +> A
 unfolding sum-swap-def by force
lemma bij-betw-sum-swap:
 shows bij-betw sum-swap (A <+> B) (B <+> A)
 using inj-on-sum-swap sum-swap-surj-on by (intro bij-betw-imageI)
```

case B

```
proposition prop-2-3-1:
 shows |A| + c |B| = o |B| + c |A|
proof -
 from bij-betw-sum-swap have |A < +> B| = o |B < +> A| by auto
 thus ?thesis unfolding csum-definition by simp
qed
fun sum-rotate :: ('a + 'b) + 'c \Rightarrow 'a + ('b + 'c) where
 sum\text{-}rotate\ (Inl\ (Inl\ a)) = Inl\ a
| sum\text{-}rotate (Inl (Inr b)) = Inr (Inl b)
| sum\text{-}rotate (Inr c) = Inr (Inr c)
lemma inj-on-sum-rotate:
 shows inj-on sum-rotate ((A <+> B) <+> C)
 unfolding inj-on-def by auto
lemma sum-rotate-surj-on:
 shows sum-rotate ' ((A <+> B) <+> C) = A <+> (B <+> C)
 by force
lemma bij-betw-sum-rotate:
 shows bij-betw sum-rotate ((A <+> B) <+> C) (A <+> (B <+> C))
 using inj-on-sum-rotate and sum-rotate-surj-on by (intro bij-betw-imageI)
proposition prop-2-3-2:
 shows (|A| + c |B|) + c |C| = o |A| + c (|B| + c |C|)
proof -
 from bij-betw-sum-rotate have |(A <+> B) <+> C| = o |A <+> (B <+> C)| by auto
 thus ?thesis unfolding csum-definition by simp
qed
proposition prop-2-3-3:
 fixes A :: 'a \ set
 shows |A| + c \ czero = o \ |A|
proof -
 have |A| + c \ czero = |A| + c |\{\}| unfolding czero-def ...
 moreover have |A| + c |\{\}| = |A| + c |\{\}| unfolding csum-definition ...
 moreover have |A <+> \{\}| = o |A|
 proof (rule card-eqI)
   have inj-on Inl A by simp
   moreover have Inl : A = A <+> \{\} by auto
   ultimately have bij-betw Inl A (A <+> \{\}) by (intro\ bij-betw-imageI)
   thus bij-betw (the-inv-into A Inl) (A <+> \{\}) A by (intro bij-betw-the-inv-into)
 qed
```

```
ultimately show ?thesis by metis
qed
proposition prop-2-3-4:
 assumes |A| < o |A'|
   and |B| \le o |B'|
 shows |A| + c |B| \le o |A'| + c |B'|
proof -
 from assms(1) obtain f where f0: f' A \subseteq A' and f1: inj-on f A ...
 from assms(2) obtain q where q0: q'B \subseteq B' and q1: inj-on qB..
 define h where h \equiv map\text{-}sum f g
 have |A| + c |B| = |A| + B| by (fact csum-definition)
 also have |A < +> B| \le o |A' < +> B'|
 proof (rule card-leqI)
   show h ' (A < +> B) \subseteq A' < +> B'
   proof (rule image-subsetI)
     \mathbf{fix} \ x
     assume x \in A <+> B
     then consider a where a \in A and x = Inl \ a
       | b where b \in B and x = Inr b by auto
     note this [where thesis = h x \in A' <+> B']
     thus h x \in A' < +> B' using f0 and q0 and h-def by auto
   qed
   moreover show inj-on h (A < +> B)
   proof (intro inj-onI)
     \mathbf{fix} \ x \ y
     assume x \in A <+> B
       and y \in A <+> B
       and h x = h y
     from this(1,2) consider
       (A)a and s where a \in A and x = Inl \ a and s \in A and y = Inl \ s
       (B) a and b where a \in A and x = Inl a and b \in B and y = Inr b
       (C) b and a where b \in B and x = Inr b and a \in A and y = Inl a
       | (D) b \text{ and } t \text{ where } b \in B \text{ and } x = Inr b \text{ and } t \in B \text{ and } y = Inr t \text{ by } auto
     thus x = y
     proof cases
       case A
       from \langle x = Inl \ a \rangle and \langle y = Inl \ s \rangle have h \ x = Inl \ (f \ a) and h \ y = Inl \ (f \ s)
         unfolding h-def by simp+
       with \langle h | x = h | y \rangle have f | a = f | s by simp
       with \langle a \in A \rangle and \langle s \in A \rangle and f1 have a = s by (elim \ inj-onD)
       with \langle x = Inl \ a \rangle and \langle y = Inl \ s \rangle show ?thesis by simp
     next
       case B
       from \langle x = Inl \ a \rangle and \langle y = Inr \ b \rangle have h \ x = Inl \ (f \ a) and h \ y = Inr \ (g \ b)
```

```
with \langle h | x = h | y \rangle have False by simp
       thus ?thesis ..
     next
       case C
       from \langle x = Inr \ b \rangle and \langle y = Inl \ a \rangle have h \ x = Inr \ (g \ b) and h \ y = Inl \ (f \ a)
         unfolding h-def by simp+
       with \langle h | x = h | y \rangle have False unfolding h-def by simp
       thus ?thesis ..
     next
       case D
       from \langle x = Inr b \rangle and \langle y = Inr t \rangle have h x = Inr (g b) and h y = Inr (g t)
        unfolding h-def by simp+
       with \langle h | x = h | y \rangle have g | b = g | t by simp
       with \langle b \in B \rangle and \langle t \in B \rangle and g1 have b = t by (elim \ inj-onD)
       with \langle x = Inr \ b \rangle and \langle y = Inr \ t \rangle show ?thesis by simp
     qed
   qed
 qed
 also have |A' < +> B'| = |A'| + c |B'| unfolding csum-definition by simp
 finally show ?thesis.
qed
lemma union-card-leq-csum:
 shows |A \cup B| \le o |A| + c |B|
proof -
 define f where f x \equiv if x \in A then Inl x else Inr x for x
 have f'(A \cup B) \subseteq A <+> B
 proof (rule image-subsetI)
   \mathbf{fix} \ x
   assume x \in A \cup B
   moreover {
     assume x \in A
     hence f x \in A <+> B unfolding f-def by auto
   }
   moreover {
     assume x \in B
     hence f x \in A <+> B unfolding f-def by auto
   }
   ultimately show f x \in A <+> B by auto
 moreover have inj-on f(A \cup B)
 proof (rule inj-onI)
   fix x and y
   assume x \in A \cup B
```

unfolding h-def by simp+

```
and y \in A \cup B
    and f: f x = f y
   from this(1,2) consider
     (A) x \in A \text{ and } y \in A
    \mid (B) \ x \in A \ \text{and} \ y \in B - A
    \mid (C) \ x \in B - A \text{ and } y \in A
     | (D) x \in B - A and y \in B - A
    by auto
   thus x = y
   proof cases
    case A
     with f show ?thesis unfolding f-def by simp
   next
    case B
     with f show ?thesis unfolding f-def by simp
   next
     case C
     with f show ?thesis unfolding f-def by simp
   next
     case D
    with f show ?thesis unfolding f-def by simp
   qed
 qed
 ultimately show ?thesis unfolding csum-definition by auto
qed
fun fun-disjoint-union-card-eq-csum where
 fun-disjoint-union-card-eq-csum (Inl x) = x
| fun-disjoint-union-card-eq-csum (Inr x) = x
lemma disjoint-union-card-eq-csum:
 assumes A \cap B = \{\}
 shows |A \cup B| = o|A| + c|B|
proof -
 have fun-disjoint-union-card-eq-csum ' (A < +> B) \subseteq A \cup B
 proof (rule image-subsetI)
   \mathbf{fix} \ x
   assume x \in A <+> B
   moreover {
    assume x \in Inl ' A
     hence fun-disjoint-union-card-eq-csum x \in A \cup B by auto
   }
   moreover {
    assume x \in Inr ' B
    hence fun-disjoint-union-card-eq-csum x \in A \cup B by auto
```

```
ultimately show fun-disjoint-union-card-eq-csum x \in A \cup B by auto
 qed
 moreover have inj-on fun-disjoint-union-card-eq-csum (A <+> B)
 proof (rule inj-onI)
   fix x and y
   assume x \in A <+> B
     and y \in A <+> B
     and *: fun-disjoint-union-card-eq-csum x = fun-disjoint-union-card-eq-csum y
   from this(1,2) consider
     (A) x \in Inl ' A and y \in Inl ' A
    \mid (B) \ x \in Inl 'A \text{ and } y \in Inr 'B
    \mid (C) \ x \in Inr 'B \ \mathbf{and} \ y \in Inl 'A
    \mid (D) \ x \in Inr 'B  and y \in Inr 'B
    by blast
   thus x = y
   proof cases
    case A
     with * show ?thesis by auto
   next
    case B
     with * and assms show ?thesis by fastforce
   next
     with * and assms show ?thesis by fastforce
   next
     case D
     with * show ?thesis by auto
   qed
 qed
 ultimately have |A| + c |B| \le o |A \cup B| unfolding csum-definition by auto
 moreover have |A \cup B| \le o |A <+> B|
 proof -
   have |A \cup B| \le o |A| + c |B| by (fact union-card-leq-csum)
   thus ?thesis unfolding csum-definition.
 qed
 ultimately show |A \cup B| = o|A| + c|B| unfolding csum-definition by (intro thm-2-3-2)
qed
proposition cprod-definition:
 \mathbf{shows} \ |A| *c |B| = |A \times B|
 unfolding cprod-def by (simp only: Field-card-of)
lemma cprod-welldefinedness:
 assumes |A| = o |A'|
```

}

```
shows |A| *c |B| = o |A'| *c |B'|
proof -
 from assms(1) obtain f where f: bij-betw f A A' by auto
 from f have f-inj: inj-on f A and f-surj: f ' A = A' by auto
 from assms(2) obtain q where q: bij-betw q B B' by auto
 from g have g-inj: inj-on g B and g-surj: g ' B = B' by auto
 define h where h \equiv map\text{-}prod f g
 from f-inj and g-inj and h-def have inj-on h(A \times B) by (auto intro: map-prod-inj-on)
 moreover from f-surj and q-surj and h-def have h ' (A \times B) = A' \times B' by auto
 ultimately have bij-betw h (A \times B) (A' \times B') by (rule\ bij-betw-imageI)
 hence |A \times B| = o |A' \times B'| by auto
 thus ?thesis unfolding cprod-definition by simp
qed
lemmas cprod-cong' = cprod-welldefinedness
lemma cprod-cong1 ':
 assumes |A| = o |A'|
 shows |A| *c |B| = o |A'| *c |B|
proof -
 have |B| = o |B| by simp
 with assms show ?thesis by (intro cprod-cong)
qed
lemma cprod-cong2':
 assumes |B| = o |B'|
 shows |A| *c |B| = o |A| *c |B'|
proof -
 have |A| = o |A| by simp
 with assms show ?thesis by (intro cprod-cong)
qed
proposition prop-2-3-5:
 shows |A| * c |B| = o |B| * c |A|
proof -
 have |A \times B| = o |B \times A| by (fact Times-card-commute)
 thus ?thesis unfolding cprod-definition by simp
qed
fun prod-rotate where
 prod\text{-}rotate\ ((a,\ b),\ c) = (a,\ (b,\ c))
lemma inj-on-prod-rotate:
 shows inj-on prod-rotate ((A \times B) \times C)
```

and |B| = o |B'|

```
lemma prod-rotate-surj-on:
  shows prod-rotate '((A \times B) \times C) = (A \times (B \times C))
 by force
lemma bij-betw-prod-rotate:
 shows bij-betw prod-rotate ((A \times B) \times C) (A \times (B \times C))
  using inj-on-prod-rotate and prod-rotate-surj-on by (intro bij-betw-imageI)
proposition prop-2-3-6:
  shows (|A| *c |B|) *c |C| = o |A| *c (|B| *c |C|)
proof -
 from bij-betw-prod-rotate have |(A \times B) \times C| = o |A \times (B \times C)| by auto
 thus ?thesis unfolding cprod-definition by simp
qed
proposition prop-2-3-7-a:
 fixes A :: 'a \ set
 shows |A| *c (czero :: 'b rel) = o (czero :: 'c rel)
  have czero = |\{\} :: 'b \ set| by (fact \ czero-def)
 hence |A| *c (czero :: 'b rel) = |A| *c |\{\} :: 'b set| by simp
  moreover have |A|*c|\{\}: 'b \ set| = |A \times \{\}| \ unfolding \ cprod-definition ...
  moreover have |A \times (\{\} :: 'b \ set)| = |\{\} :: ('a \times 'b) \ set| by simp
  moreover have |\{\} :: ('a \times 'b) \ set| = (czero :: ('a \times 'b) \ rel)
   unfolding czero-definition by simp
 moreover have (czero :: ('a \times 'b) rel) = o (czero :: 'c rel) by (fact czero-refl)
  ultimately show ?thesis by simp
qed
lemma empty-cprod-card-eq-czero:
 fixes A :: 'a \ set
 shows (czero :: 'b rel) *c |A| = o (czero :: 'c rel)
proof -
 have (czero :: 'b rel) *c |A| = |\{\} :: 'b set| *c |A| unfolding czero-definition ...
 moreover have |\{\} :: 'b \ set | *c \ |A| = |\{\} \times A| \ by \ (fact \ cprod-definition)
  moreover have |\{\} \times A| = |\{\}| by simp
  moreover have |\{\}| = o\ (czero :: 'c\ rel) by (fact\ empty-card-eq-czero)
  ultimately show ?thesis by simp
qed
lemma cprod-empty-card-eq-czero:
 fixes A :: 'a \ set
 shows |A| *c (czero :: 'b rel) = o (czero :: 'c rel)
```

unfolding inj-on-def by force

```
have |A| *c (czero :: 'b rel) = |A| *c |\{\} :: 'b set | unfolding czero-definition ...
 moreover have |A| *c |\{\} :: 'b \ set| = o |\{\} :: 'b \ set| *c |A| by (fact \ prop-2-3-5)
 moreover have |\{\} :: 'b \ set | *c \ |A| = (czero :: 'b \ rel) *c \ |A| unfolding czero-definition ..
 moreover have (czero :: 'b rel) *c |A| = o (czero :: 'c rel) by (fact empty-cprod-card-eq-czero)
 ultimately show ?thesis by (intro prop-2-3-7-a)
qed
lemma empty-imp-cprod-card-eq-czero1:
 fixes A :: 'a \ set
   and B:: 'b set
 assumes A = \{\}
 shows |A| *c |B| = o (czero :: 'c rel)
proof -
 from assms have |A| = o czero by (fact eq-empty-imp-card-eq-czero)
 have |A| *c |B| = |A \times B| unfolding cprod-definition ...
 moreover from assms(1) have |A \times B| = |\{\}| by simp
 moreover have |\{\}: ('a \times 'b) \ set| = o \ (czero :: 'c \ rel) by (fact \ empty-card-eq-czero)
 ultimately show ?thesis by simp
qed
lemma empty-imp-cprod-card-eq-czero2:
 fixes A :: 'a \ set
   and B :: 'b \ set
 assumes B = \{\}
 shows |A| *c |B| = o (czero :: 'c rel)
proof -
 from assms have |A| *c |B| = |A| *c |\{\}| by simp
 moreover have |A| *c |\{\}| = |A| *c czero unfolding czero-definition ...
 moreover have |A| *c czero = o (czero :: 'c rel) by (fact prop-2-3-7-a)
 ultimately show ?thesis by metis
qed
lemma inj-on-fst-Times-unit:
 shows inj-on fst (A \times \{()\})
 unfolding inj-on-def by simp
lemma fst-surj-on-Times-unit:
 shows fst '(A \times \{()\}) = A
 by simp
lemma bij-betw-fst-Times-unit:
 shows bij-betw fst (A \times \{()\}) A
 using inj-on-fst-Times-unit and fst-surj-on-Times-unit by (intro bij-betw-imageI)
```

proof -

```
proposition prop-2-3-7-b:
 shows |A| *c cone = o |A|
proof -
 have |A| *c cone = |A| *c |\{()\}| unfolding cone-def ...
 moreover have |A| *c |\{()\}| = |A \times \{()\}| unfolding cprod-definition ...
 moreover from bij-betw-fst-Times-unit have |A \times \{()\}| = o |A| by auto
 ultimately show ?thesis by simp
qed
proposition prop-2-3-8:
 assumes |A| \le o |B|
   and |A'| \leq o |B'|
 shows |A| *c |A'| \le o |B| *c |B'|
proof -
 from assms(1) obtain f where f ' A \subseteq B and inj-on f A by auto
 from assms(2) obtain g where g ' A' \subseteq B' and inj-on g A' by auto
 define h where h \equiv map\text{-}prod f g
 from \langle inj\text{-}on \ f \ A \rangle and \langle inj\text{-}on \ g \ A' \rangle and h\text{-}def have inj\text{-}on \ h \ (A \times A')
   by (auto intro: map-prod-inj-on)
 moreover from \langle f : A \subseteq B \rangle and \langle g : A' \subseteq B' \rangle and h-def have h : (A \times A') \subseteq B \times B' by auto
 ultimately have |A \times A'| \le o |B \times B'| by auto
 thus ?thesis unfolding cprod-definition by simp
qed
fun prod-sum-distribute where
 prod-sum-distribute(a, Inl b) = Inl(a, b)
| prod\text{-}sum\text{-}distribute (a, Inr c) = Inr (a, c)
lemma inj-on-prod-sum-distribute:
 shows inj-on prod-sum-distribute (A \times (B < +> C))
 unfolding inj-on-def by fastforce
lemma prod-sum-distribute-surj-on:
 shows prod-sum-distribute '(A \times (B < +> C)) = A \times B < +> A \times C
 by force
lemma bij-betw-prod-sum-distribute:
 shows bij-betw prod-sum-distribute (A \times (B < +> C)) (A \times B < +> A \times C)
 using inj-on-prod-sum-distribute and prod-sum-distribute-surj-on by (intro bij-betw-imageI)
proposition prop-2-3-9':
 shows |A| *c (|B| + c |C|) = o |A| *c |B| + c |A| *c |C|
proof -
 from bij-betw-prod-sum-distribute have |A \times (B < +> C)| = o |A \times B < +> A \times C| by auto
 thus ?thesis unfolding csum-definition and cprod-definition by simp
```

```
qed
```

```
proposition prop-2-3-9:
  shows (|A| + c |B|) *c |C| = o |A| *c |C| + c |B| *c |C|
proof -
 have (|A|+c|B|)*c|C| = |A| + |B| *c|C| unfolding csum-definition ...
 also have ... = o |C| *c |A| <+> B| by (fact prop-2-3-5)
 also have |C| *c |A <+> B| = |C| *c (|A| + c |B|) unfolding csum-definition ..
 also have ... = o |C| *c |A| + c |C| *c |B| by (fact prop-2-3-9')
 also have |C|*c|A|+c|C|*c|B|=|C\times A|+c|C\times B| unfolding cprod-definition...
  also have ... = o |A \times C| + c |B \times C|
  proof -
   have |C \times A| = o |A \times C| unfolding cprod-definition by (fact Times-card-commute)
   moreover have |C \times B| = o|B \times C| unfolding cprod-definition by (fact Times-card-commute)
   ultimately show ?thesis by (fact csum-cong')
  qed
 also have |A \times C| + c |B \times C| = |A| *c |C| + c |B| *c |C| unfolding cprod-definition ...
 finally show ?thesis.
qed
theorem thm-2-9:
  fixes A :: 'b \Rightarrow 'a \ set
 assumes |\Lambda| = \mathfrak{n}
   and \Lambda l. l \in \Lambda \Longrightarrow |A| = 0 m
   and \Lambda = \{\} \Longrightarrow \exists X. |X| = \mathfrak{m} — This assumption guarantees that \mathfrak{m} is a cardinal number even
if \Lambda = \{\}.
   and disjoint-family-on A \Lambda
 shows |\bigcup l \in \Lambda. A \ l| = o \ \mathfrak{m} * c \ \mathfrak{n}
proof -
 let ?B = \bigcup l \in \Lambda. A l
   assume \Lambda = \{\}
   have |?B| = o |\{\} :: 'c \ set|
   proof -
     from \langle \Lambda = \{ \} \rangle have ?B = \{ \} by simp
     hence |?B| = |\{\}| by simp
     also have |\{\} :: 'a \ set| = o \ |\{\} :: 'c \ set| \ \mathbf{by} \ (fact \ empty-card-eq-empty)
     finally show ?thesis.
    qed
    also have |\{\} :: 'c \ set| = o \ \mathfrak{m} *c \ \mathfrak{n}
    proof -
     from \langle \Lambda = \{ \} \rangle obtain X where |X| = \mathfrak{m} by (auto dest: assms(3))
     from \langle \Lambda = \{ \} \rangle have |X \times \Lambda| = |\{ \} | by simp
     also have ... = o|\{\} :: 'c set| by (fact empty-card-eq-empty)
     finally have |X \times \Lambda| = o|\{\} :: 'c \ set|.
```

```
hence |\{\} :: 'c \ set| = o \ |X \times \Lambda| \ by \ auto
    also have |X \times \Lambda| = |X| *c |\Lambda| unfolding cprod-definition ...
    also from \langle |X| = \mathfrak{m} \rangle and \langle |\Lambda| = \mathfrak{n} \rangle have ... = \mathfrak{m} * c \mathfrak{n} by simp
    finally show ?thesis.
  finally have |?B| = o \mathfrak{m} * c \mathfrak{n}.
}
moreover {
  assume \Lambda \neq \{\}
  then obtain l_0 where l_0 \in \Lambda by auto
  hence |A| l_0 = 0 m by (auto dest: assms(2))
    \mathbf{fix} l
    assume l \in \Lambda
    hence |A| = 0 m by (auto dest: assms(2))
    also from \langle |A| l_0| = o \mathfrak{m} \rangle have \mathfrak{m} = o |A| l_0| by (fact ordIso-symmetric)
    finally have |A| = o |A| l_0.
    hence \exists f. \ bij\text{-}betw \ f \ (A \ l) \ (A \ l_0) by auto
    hence \exists f. \ bij-betw \ f \ (A \ l_0) \ (A \ l) by (auto dest: bij-betw-inv)
  }
  then obtain f where f: f \in (\Pi \ l \in \Lambda. \ \{f. \ bij-betw \ f \ (A \ l_0) \ (A \ l)\}) by (elim \ AC-E-ex)
  hence fl: bij-betw (f l) (A l<sub>0</sub>) (A l) if l \in \Lambda for l using that by auto
  let ?f' = \lambda(a, l). f l a
  have ?f' ' (A l_0 \times \Lambda) = ?B
  proof (rule surj-onI; split-pair)
    fix a and l
    assume (a, l) \in A l_0 \times \Lambda
    hence a \in A l_0 and l \in \Lambda by simp+
    from this(2) and fl have bij-betw (f l) (A l_0) (A l) by simp
    with \langle a \in A | l_0 \rangle have f | l | a \in A | l by auto
    with \langle l \in \Lambda \rangle show f \mid a \in PB by auto
  next
    \mathbf{fix} \ b
    assume b \in ?B
    then obtain l where l \in \Lambda and b \in A l by auto
    with fl obtain a where a \in A \ l_0 and b = f \ l \ a by blast
    with \langle l \in \Lambda \rangle show b \in ?f' ' (A l_0 \times \Lambda) by auto
  qed
  moreover have inj-on ?f'(A l_0 \times \Lambda)
  proof (rule inj-onI; split-pair)
    fix a l a' l'
    assume (a, l) \in A l_0 \times \Lambda
      and (a', l') \in A l_0 \times \Lambda
      and f l a = f l' a'
    from this(1,2) have a \in A \ l_0 and l \in \Lambda and a' \in A \ l_0 and l' \in \Lambda by auto
```

```
{
       assume l \neq l'
       with (l \in \Lambda) and (l' \in \Lambda) and assms(4) have A \ l \cap A \ l' = \{\}
         by (elim disjoint-family-onD)
       with \langle A \ l \cap A \ l' \neq \{\} \rangle have False ..
      hence l = l' by auto
      with \langle f | l | a = f | l' | a' \rangle have f | l | a = f | l | a' by simp
      moreover from \langle l \in \Lambda \rangle and fl have inj-on (f \ l) (A \ l_0) by auto
      moreover note \langle a \in A | l_0 \rangle and \langle a' \in A | l_0 \rangle
      ultimately have a = a' by (elim inj-onD)
      with \langle l = l' \rangle show (a, l) = (a', l') by simp
    qed
    ultimately have bij-betw ?f' (A l_0 \times \Lambda) ?B by (intro bij-betw-imageI)
   hence |A| l_0 \times \Lambda| = o |?B| by auto
    hence |?B| = o |A| l_0 \times \Lambda | by (fact ordIso-symmetric)
    also have |A|_{0} \times \Lambda| = |A|_{0} *c |\Lambda| unfolding cprod-definition ...
    also have |A| l_0 |*c| |\Lambda| = o \mathfrak{m} *c |\Lambda|
    proof -
     from \langle l_0 \in \Lambda \rangle have |A| l_0 = 0 m by (fact \ assms(2))
     thus ?thesis by (fact cprod-cong1)
   qed
   finally have |?B| = o \mathfrak{m} *c |\Lambda|.
    with assms(1) have |?B| = o \mathfrak{m} *c \mathfrak{n} by simp
  ultimately show ?thesis by blast
qed
2.2.2
          B) Power of Cardinalities
proposition cexp-definition:
 shows |A| \hat{c} |B| = |B \rightarrow_E A|
proof -
 have |A| \hat{c} |B| = |Func\ B\ A| unfolding cexp-def by (simp only: Field-card-of)
 also have ... = |B \rightarrow_E A|
 proof -
   have Func B A = B \rightarrow_E A unfolding Func-def by auto
   thus ?thesis by simp
 finally show ?thesis.
qed
```

**lemma** bij-betw-imp-id-on-comp:

with fl have fl  $a \in A$  l and fl'  $a' \in A$  l' by auto with  $\langle fl$  a = fl'  $a' \rangle$  have A  $l \cap A$   $l' \neq \{\}$  by auto

```
assumes bij-betw f A B
 obtains g where id\text{-}on \ (g \circ f) \ A
   and id\text{-}on \ (f \circ g) \ B
proof -
 have id-on ((the-inv-into A f) \circ f) A
 proof (rule id-onI)
   \mathbf{fix} \ a
   assume a \in A
   moreover from assms have inj-on f A ...
   ultimately have (the-inv-into A f) (f a) = a by (intro the-inv-into-f-f)
   thus ((the-inv-into\ A\ f)\circ f)\ a=a\ by\ simp
 qed
 moreover have id\text{-}on\ (f\circ(the\text{-}inv\text{-}into\ A\ f))\ B
 proof (rule id-onI)
   \mathbf{fix} \ b
   assume b \in B
   with assms have f((the\text{-}inv\text{-}into\ A\ f)\ b) = b\ by\ (intro\ f\text{-}the\text{-}inv\text{-}into\text{-}f\text{-}bij\text{-}betw)
   thus (f \circ (the\text{-}inv\text{-}into \ A \ f)) \ b = b \ by \ simp
 qed
 moreover note that
 ultimately show thesis by simp
qed
lemma cexp-cong':
 assumes |A| = o |A'|
   and |B| = o |B'|
 shows |B| \hat{c} |A| = o |B'| \hat{c} |A'| (is ?LHS = o ?RHS)
proof -
 from assms(1) obtain u where bij-betw u A' A by auto
 hence u1: u' A' = A and u2: inj-on u A' by auto
 from assms(2) obtain v where v: bij-betw v B B' by auto
 hence v1: v 'B = B' and v2: inj-on vB by auto
 define \Phi where \Phi f a' \equiv if a' \in A' then v (f(u a')) else undefined for f a'
 from u1 and v2 have \Phi 1: inj-on \Phi (A \rightarrow_E B) unfolding \Phi-def by (rule prob-1-5-15-ext-a)
 from assms(1) have A' = \{\} \Longrightarrow A = \{\} by auto
 moreover from u1 have u' A' \subseteq A by simp
 moreover note u2 and v1
 ultimately have \Phi '(A \rightarrow_E B) = (A' \rightarrow_E B') unfolding \Phi-def by (rule prob-1-5-15-ext-b)
 with \Phi 1 have \Phi 3: bij-betw \Phi (A \rightarrow_E B) (A' \rightarrow_E B') by (rule bij-betw-imageI)
 have ?LHS = o \mid A \rightarrow_E B \mid unfolding cexp-definition by simp
 also from \Phi 3 have |A \rightarrow_E B| = o |A' \rightarrow_E B'| by auto
 also have |A' \rightarrow_E B'| = o ?RHS unfolding cexp-definition by simp
 finally show ?LHS = o ?RHS.
qed
```

```
lemma cexp-conq1':
  assumes |M| = o |N|
  shows |M| \hat{c} |P| = o |N| \hat{c} |P|
  by (rule cexp-cong', simp, fact assms)
lemma cexp-conq2':
  assumes |P| = o |Q|
  shows |M| \hat{c} |P| = o |M| \hat{c} |Q|
  by (rule cexp-cong', fact assms, simp)
proposition prop-2-3-10-a:
  fixes A :: 'a \ set
    and x :: 'x
  shows |A| \hat{c} |\{x\}| = o |A|
proof -
  define \mathfrak{F}::('x\Rightarrow 'a)\Rightarrow 'a where \mathfrak{F}f\equiv f\,x for f
  have \mathfrak{F} '(\{x\} \rightarrow_E A) = A
  proof (rule surj-onI)
   \mathbf{fix} f
    assume f \in \{x\} \to_E A
    with \mathfrak{F}-def show \mathfrak{F} f \in A by auto
  next
   \mathbf{fix} \ a
   assume a: a \in A
    define f where f t \equiv if t = x then a else undefined for t
    have f \in \{x\} \to_E A
    proof (rule PiE-I)
     \mathbf{fix} t
     assume t \in \{x\}
     with f-def and a show f t \in A by simp
    next
     \mathbf{fix} \ t
     assume t \notin \{x\}
      with f-def show f t = undefined by simp
    moreover from f-def and \mathfrak{F}-def have \mathfrak{F} f = a by simp
    ultimately show a \in \mathfrak{F} '(\{x\} \to_E A) by auto
  qed
  moreover have inj-on \mathfrak{F}(\{x\} \to_E A)
  proof (rule inj-onI)
   \mathbf{fix} f g
    assume f\theta: f \in \{x\} \to_E A
     and g\theta: g \in \{x\} \to_E A
     and \mathfrak{F} f = \mathfrak{F} q
    from this(3) and \mathfrak{F}-def have f x = g x by simp
```

```
proof (rule ext)
     \mathbf{fix} t
     consider t = x \mid t \neq x by auto
     moreover {
       assume t = x
       with \langle f x = q x \rangle have f t = q t by simp
     moreover {
       assume t \neq x
       with f\theta and g\theta have f t = g t by fastforce
     ultimately show f t = g t by auto
   qed
 qed
  ultimately have \mathfrak{F}: bij\text{-}betw \ \mathfrak{F} \ (\{x\} \rightarrow_E A) \ A \ \text{by} \ (intro \ bij\text{-}betw\text{-}imageI)
 have |A| \hat{c} |\{x\}| = |\{x\}| \rightarrow_E A| by (fact cexp-definition)
 also from \mathfrak{F} have ... = o |A| by auto
 finally show ?thesis.
qed
proposition prop-2-3-10-b:
 shows cone \hat{c} |A| = o cone
proof -
  define f where f a \equiv if a \in A then () else undefined for a
 have cone \hat{c} |A| = |\{()\}| \hat{c} |A| unfolding cone-def ..
 also have ... = |A \rightarrow_E \{()\}| by (fact cexp-definition)
 also have |A \to_E \{()\}| = |\{f\}|
 proof -
   have A \rightarrow_E \{()\} = \{f\} by auto
   thus ?thesis by simp
 qed
 also have \dots = o cone by (fact singleton-card-eq-cone)
 finally show ?thesis.
qed
proposition prop-2-3-11:
  assumes |A| \le o |A'|
   and |B| \le o |B'|
   and B = \{\} \longleftrightarrow B' = \{\} — Is this assumption necessary?
 shows |A| \hat{c} |B| \le o |A'| \hat{c} |B'|
proof -
 from assms(2) and assms(3)[THEN iffD1] obtain u where u: u ' B' = B
   by (elim card-leg-imp-surj-on)
 from assms(1) obtain v where v1: v 'A \subseteq A' and v2: inj-on v A by auto
```

show f = g

```
let ?\Phi = \lambda f. \ v \circ f \circ u
let ?\Phi' = \lambda f. \ \lambda b'. \ if \ b' \in B' \ then \ ?\Phi \ f \ b' \ else \ undefined
from assms(3)[THEN iffD2] u v1 v2
have Phi-inj: \forall f \in B \to A. \forall f' \in B \to A. ext-eq-on B' (?\Phi f) (?\Phi f) \longrightarrow ext-eq-on B f f'
  by (auto intro: prob-1-5-15[where A = B and A' = B' and B = A])
have |A| \hat{c} |B| = |B| \rightarrow_E A| by (fact cexp-definition)
also have |B \rightarrow_E A| \leq o |B' \rightarrow_E A'|
proof -
  have ?\Phi' '(B \rightarrow_E A) \subseteq B' \rightarrow_E A'
  proof (rule image-subsetI)
   \mathbf{fix} f
    assume f: f \in B \to_E A
    {
     \mathbf{fix} b'
     assume b' \in B'
     with u and f and v1 have ?\Phi'fb' \in A' by auto
    moreover {
     fix b'
     assume b' \notin B'
     hence ?\Phi' f b' = undefined by simp
    }
    ultimately show ?\Phi' f \in B' \rightarrow_E A' by blast
  moreover have inj-on ?\Phi'(B \rightarrow_E A)
  proof (rule inj-onI)
   fix f and f'
    assume f: f \in B \to_E A and f': f' \in B \to_E A and \mathcal{P}\Phi' f = \mathcal{P}\Phi' f'
    {
     fix b'
     assume b' \in B'
     with \langle ?\Phi'f = ?\Phi'f' \rangle have ?\Phi'fb' = ?\Phi'f'b' by meson
     with \langle b' \in B' \rangle have ?\Phi f b' = ?\Phi f' b' by simp
   hence ext-eq-on B' (?\Phi f) (?\Phi f') by blast
    with f and f' and Phi-inj have ext-eq-on B f f' by blast
    moreover {
     \mathbf{fix} \ b
     assume b \notin B
     with f and f' have f b = f' b by fastforce
    ultimately show f = f' by auto
  ultimately show ?thesis by auto
qed
```

```
also have |B' \rightarrow_E A'| = |A'| \hat{c} |B'| unfolding cexp-definition by simp
 finally show ?thesis.
qed
primrec map-dom-sum :: ('a \Rightarrow 'c) \Rightarrow ('b \Rightarrow 'c) \Rightarrow ('a + 'b) \Rightarrow 'c where
  map-dom-sum f g (Inl a) = f a
\mid map\text{-}dom\text{-}sum \ f \ q \ (Inr \ a) = q \ a
lemma pair-neqE:
 assumes p \neq q
 obtains fst p \neq fst q
 | snd p \neq snd q |
proof -
  {
    assume fst p = fst q
      and snd p = snd q
   hence p = q by (fact \ prod - eqI)
    with assms have False ..
  }
 hence fst \ p \neq fst \ q \lor snd \ p \neq snd \ q by auto
  thus thesis by (auto intro: that)
qed
theorem thm-2-10-a:
  fixes A :: 'a \ set
    and B :: 'b \ set
   and C :: 'c \ set
 shows |C| \hat{c} |A| *c |C| \hat{c} |B| = o |C| \hat{c} (|A| + c |B|)
proof -
  define \Phi :: ('a + 'b \Rightarrow 'c) \Rightarrow ('a \Rightarrow 'c) \times ('b \Rightarrow 'c)
    where \Phi h \equiv (\lambda a. \ h \ (Inl \ a), \ \lambda b. \ h \ (Inr \ b)) for h
 let ?f = \lambda \varphi :: 'a + 'b \Rightarrow 'c. (\lambda a. \varphi (Inl a), \lambda b. \varphi (Inr b))
 have |C| \hat{c} |A| *c |C| \hat{c} |B| = |A \rightarrow_E C| *c |B \rightarrow_E C|
    have |C| \hat{c} |A| = |A \rightarrow_E C| and |C| \hat{c} |B| = |B \rightarrow_E C| by (fact cexp-definition)+
    thus ?thesis by simp
 qed
  also have ... = |(A \rightarrow_E C) \times (B \rightarrow_E C)| by (fact cprod-definition)
  also have ... = o |A < +> B \rightarrow_E C|
  proof -
   let ?S = (A \rightarrow_E C) \times (B \rightarrow_E C)
   let ?T = A < +> B \rightarrow_E C
   let ?f = \lambda x :: ('a \Rightarrow 'c) \times ('b \Rightarrow 'c). map-dom-sum (fst x) (snd x)
   have inj-on ?f ?S
    proof (intro inj-onI)
```

```
fix x x'
 assume x \in ?S
   and x' \in ?S
   and map-dom-sum (fst x) (snd x) = map-dom-sum (fst x') (snd x')
   assume x \neq x'
   moreover {
     assume fst \ x \neq fst \ x'
     then obtain a where fst \ x \ a \neq fst \ x' \ a by auto
     hence map-dom-sum (fst x) (snd x) (Inl a) \neq map-dom-sum (fst x') (snd x') (Inl a)
     with \langle map\text{-}dom\text{-}sum \ (fst \ x) \ (snd \ x) = map\text{-}dom\text{-}sum \ (fst \ x') \ (snd \ x') \rangle have False by simp
   }
   moreover {
     assume snd x \neq snd x'
     then obtain b where snd \ x \ b \neq snd \ x' \ b by auto
     hence map-dom-sum (fst x) (snd x) (Inr b) \neq map-dom-sum (fst x') (snd x') (Inr b)
     with \langle map\text{-}dom\text{-}sum \ (fst \ x) \ (snd \ x) = map\text{-}dom\text{-}sum \ (fst \ x') \ (snd \ x') \rangle have False by simp
   ultimately have False by (fact pair-neqE)
 thus x = x' by auto
moreover have ?f ' ?S = ?T
proof (intro surj-onI)
 \mathbf{fix} \ s
 assume s \in ?S
 {
   \mathbf{fix} \ x
   assume x \in A <+> B
   moreover {
     \mathbf{fix} \ a
     assume a \in A
       and x = Inl \ a
     from this(2) have ?f s x = ?f s (Inl a) by simp
     also have \dots = (fst \ s) \ a \ by \ simp
     also have \ldots \in C
     proof -
       from \langle s \in ?S \rangle have fst \ s \in A \rightarrow_E C by auto
       with \langle a \in A \rangle show ?thesis by auto
     qed
     finally have ?f s x \in C.
   moreover {
```

```
\mathbf{fix} \ b
   assume b \in B
     and x = Inr b
   from this(2) have ?f s x = ?f s (Inr b) by simp
   also have \dots = (snd \ s) \ b \ by \ simp
   also have \dots \in C
   proof -
      from \langle s \in ?S \rangle have snd \ s \in B \rightarrow_E C by auto
     with \langle b \in B \rangle show ?thesis by auto
   qed
   finally have ?f s x \in C.
  ultimately have ?f s x \in C by (fact PlusE)
}
moreover {
 \mathbf{fix} \ x
 assume x \notin A <+> B
   \mathbf{fix} \ a
   assume x = Inl \ a
   with \langle x \notin A < +> B \rangle have a \notin A by auto
   from \langle x = Inl \ a \rangle have ?f \ s \ x = ?f \ s \ (Inl \ a) by simp
   also have \dots = (fst \ s) \ a \ by \ simp
   also have \dots = undefined
   proof -
     from \langle s \in ?S \rangle have fst \ s \in A \rightarrow_E C by auto
     with \langle a \notin A \rangle show ?thesis by auto
   qed
   finally have ?f s x = undefined.
  }
 moreover {
   \mathbf{fix} \ b
   assume x = Inr b
   with \langle x \notin A < +> B \rangle have b \notin B by auto
   from \langle x = Inr b \rangle have ?f s x = ?f s (Inr b) by simp
   also have \dots = (snd \ s) \ b \ by \ simp
   also have \dots = undefined
   proof -
      from \langle s \in ?S \rangle have snd \ s \in B \rightarrow_E C by auto
     with \langle b \notin B \rangle show ?thesis by auto
   finally have ?f s x = undefined.
  ultimately have ?f \ s \ x = undefined by (fact \ sum E)
}
```

```
ultimately show ?f s \in ?T by auto
next
 \mathbf{fix} t
 assume t \in ?T
 let ?g = \lambda a. \ t \ (Inl \ a)
 let ?h = \lambda b. t (Inr b)
   \mathbf{fix} \ x :: \ 'a + \ 'b
   {
     \mathbf{fix} \ a
     assume x = Inl a
     hence ?f(?g,?h) x = t x by simp
   }
   moreover {
     \mathbf{fix} \ b
     assume x = Inr b
     hence ?f(?g,?h) x = t x by simp
   ultimately have ?f(?g,?h) x = t x by (fact sum E)
 hence ?f(?g,?h) = t by auto
 moreover have (?g, ?h) \in ?S
 proof -
   have ?g \in A \rightarrow_E C
   proof (intro PiE-I)
     \mathbf{fix} \ a
     assume a \in A
     hence Inl\ a \in A <+> B by auto
     with \langle t \in ?T \rangle show ?g \ a \in C by auto
   next
     \mathbf{fix} \ a
     assume a \notin A
     hence Inl \ a \notin A <+> B by auto
     with \langle t \in ?T \rangle show ?g \ a = undefined by auto
   qed
   moreover have ?h \in B \rightarrow_E C
   proof (intro PiE-I)
     \mathbf{fix} \ b
     assume b \in B
     hence Inr \ b \in A <+> B by auto
     with \langle t \in ?T \rangle show ?h \ b \in C by auto
   next
     \mathbf{fix} \ b
     assume b \notin B
     hence Inr \ b \notin A <+> B \ by \ auto
```

```
with \langle t \in ?T \rangle show ?h b = undefined by auto
       qed
       ultimately show ?thesis ..
     ultimately show t \in ?f '?S by force
   qed
     ultimately have bij-betw ?f ((A \rightarrow_E C) \times (B \rightarrow_E C)) (A < +> B \rightarrow_E C) by (fact)
bij-betw-imageI)
   thus ?thesis by auto
 qed
 also have |A < +> B \rightarrow_E C| = o |C| \hat{c} |A < +> B| unfolding cexp-definition by simp
 also have |C| \hat{c} |A < +> B| = |C| \hat{c} (|A| + c |B|) unfolding csum-definition ...
 finally show ?thesis by simp
qed
primrec map-prod' where map-prod' (f, g) x = (f x, g x)
lemma map-prod'-eq1:
 assumes map\text{-}prod'(f, g) = map\text{-}prod'(f', g')
 shows f = f'
proof (rule ext)
 \mathbf{fix} \ x
 from assms have map-prod' (f, g) x = map-prod' (f', g') x by simp
 thus f x = f' x by simp
qed
lemma map-prod'-eq2:
 assumes map-prod'(f, g) = map-prod'(f', g')
 shows q = q'
proof (rule ext)
 \mathbf{fix} \ x
 from assms have map-prod' (f, g) x = map-prod' (f', g') x by simp
 thus g x = g' x by simp
qed
theorem thm-2-10-b:
 fixes P :: 'p \ set
   and M :: 'm \ set
   and N :: 'n \ set
 shows (|M| *c |N|) \hat{c} |P| = o |M| \hat{c} |P| *c |N| \hat{c} |P|
proof -
 define \mathfrak{F} where \mathfrak{F} h p \equiv if p \in P then map-prod' h p else undefined
   for h :: ('p \Rightarrow 'm) \times ('p \Rightarrow 'n) and p
 have bij-betw \mathfrak{F}((P \to_E M) \times (P \to_E N)) (P \to_E M \times N)
 proof (rule bij-betw-imageI)
```

```
proof (rule inj-onI, split-pair)
    fix f and g and f' and g'
    assume (f, g) \in (P \rightarrow_E M) \times (P \rightarrow_E N)
      and (f', g') \in (P \to_E M) \times (P \to_E N)
      and \mathfrak{F}: \mathfrak{F}(f, g) = \mathfrak{F}(f', g')
    hence f \in P \to_E M
      and g \in P \rightarrow_E N
      and f' \in P \rightarrow_E M
      and q' \in P \rightarrow_E N by simp-all
    have f = f'
    proof (rule ext)
      \mathbf{fix} p
      {
        assume p \in P
        from \mathfrak{F} have \mathfrak{F}(f, g) p = \mathfrak{F}(f', g') p by simp
        with \mathfrak{F}-def and \langle p \in P \rangle have f p = f' p by simp
      moreover {
        assume p \notin P
        with \langle f \in P \rightarrow_E M \rangle and \langle f' \in P \rightarrow_E M \rangle have f p = f' p by fastforce
      }
      ultimately show f p = f' p by auto
    qed
    moreover have g = g'
    proof (rule ext)
      \mathbf{fix} p
      {
        assume p \in P
        from \mathfrak{F} have \mathfrak{F}(f,g) p=\mathfrak{F}(f',g') p by simp
        with \mathfrak{F}-def and \langle p \in P \rangle have g p = g' p by simp
      }
      moreover {
        assume p \notin P
        with \langle g \in P \rightarrow_E N \rangle and \langle g' \in P \rightarrow_E N \rangle have g p = g' p by fastforce
      }
      ultimately show g p = g' p by auto
    ultimately show (f, g) = (f', g') by simp
  qed
next
  show \mathfrak{F} '((P \rightarrow_E M) \times (P \rightarrow_E N)) = P \rightarrow_E M \times N
  proof (rule surj-onI, split-pair)
    fix f and g
    assume (f, g) \in (P \rightarrow_E M) \times (P \rightarrow_E N)
```

show inj-on  $\mathfrak{F}$   $((P \rightarrow_E M) \times (P \rightarrow_E N))$ 

```
show \mathfrak{F}(f, g) \in P \to_E M \times N
     proof (rule PiE-I)
       \mathbf{fix} p
       assume p \in P
       hence \mathfrak{F}(f, g) p = (f p, g p) unfolding \mathfrak{F}-def by simp
       also from (p \in P) and (f \in P \to_E M) and (g \in P \to_E N) have ... (f \in M \times N) by (g \in P \to_E N)
       finally show \mathfrak{F}(f, g) p \in M \times N.
     next
       \mathbf{fix} p
       assume p \notin P
       with \mathfrak{F}-def show \mathfrak{F}(f, g) p = undefined by simp
     qed
   next
     \mathbf{fix} h
     assume h: h \in P \rightarrow_E M \times N
     define f where f p \equiv if p \in P then fst (h p) else undefined for p
     from f-def and h have f \in P \rightarrow_E M by force
     define g where g p \equiv if p \in P then snd (h p) else undefined for p
     from g-def and h have g \in P \rightarrow_E N by force
     have \mathfrak{F}(f, q) = h
     proof (rule ext)
       \mathbf{fix} p
       {
         assume p \in P
         hence \mathfrak{F}(f, g) p = (f p, g p) unfolding \mathfrak{F}-def and map-prod'-def by simp
         also from \langle p \in P \rangle have ... = h p unfolding f-def and g-def by simp
         finally have \mathfrak{F}(f, g) p = h p.
       }
       moreover {
         assume p \notin P
         hence \mathfrak{F}(f,g) p = undefined unfolding \mathfrak{F}-def by simp
         also from h and \langle p \notin P \rangle have ... = h p by fastforce
         finally have \mathfrak{F}(f, g) p = h p.
       }
       ultimately show \mathfrak{F}(f, g) p = h p by auto
     with (f \in P \to_E M) and (g \in P \to_E N) show h \in \mathfrak{F} '((P \to_E M) \times (P \to_E N)) by auto
   qed
  hence bij-betw (the-inv-into ((P \to_E M) \times (P \to_E N)) \mathfrak{F}) (P \to_E M \times N) ((P \to_E M) \times (P \to_E M))
\rightarrow_E N)
   by (fact bij-betw-the-inv-into)
 hence |P \rightarrow_E M \times N| = o |(P \rightarrow_E M) \times (P \rightarrow_E N)| by auto
 thus ?thesis unfolding cprod-definition and cexp-definition by simp
```

hence  $f \in P \to_E M$  and  $g \in P \to_E N$  by simp-all

```
theorem thm-2-10-c:
 fixes A :: 'a \ set
    and B :: 'b \ set
   and C :: 'c \ set
 shows (|C| \hat{c} |A|) \hat{c} |B| = o |C| \hat{c} (|A| *c |B|)
proof -
 define \mathfrak{F} where \mathfrak{F} f b \equiv if b \in B then \lambda a. f (b, a) else undefined
    for f :: 'b \times 'a \Rightarrow 'c and b
 have bij-betw \mathfrak{F}(B \times A \rightarrow_E C) (B \rightarrow_E (A \rightarrow_E C))
 proof (rule bij-betw-imageI)
   show inj-on \mathfrak{F} (B \times A \rightarrow_E C)
    proof (rule inj-onI)
      fix f and g
      assume f: f \in B \times A \rightarrow_E C
        and g: g \in B \times A \rightarrow_E C
        and \mathfrak{F}: \mathfrak{F} f = \mathfrak{F} g
      \mathbf{show}\ f = g
      proof (rule ext)
        fix x :: 'b \times 'a
        from \mathfrak{F} have *: \mathfrak{F} f (fst x) (snd x) = \mathfrak{F} g (fst x) (snd x) by simp
        {
          assume fst \ x \in B
          with * have f x = g x unfolding \mathfrak{F}-def by simp
        }
        moreover {
          assume fst \ x \notin B
          hence x \notin B \times A by auto
          with f and g have f x = undefined and g x = undefined by auto
          hence f x = q x by simp
        }
        ultimately show f x = g x by auto
      qed
   qed
 next
    show \mathfrak{F} ' (B \times A \rightarrow_E C) = B \rightarrow_E (A \rightarrow_E C)
    proof (rule surj-onI)
      \mathbf{fix} f
      assume f: f \in B \times A \rightarrow_E C
      show \mathfrak{F} f \in B \to_E (A \to_E C)
      proof (rule PiE-I)
        \mathbf{fix} \ b
        assume b \in B
        show \mathfrak{F} f b \in A \to_E C
```

```
proof (rule PiE-I)
      \mathbf{fix} \ a
      assume a \in A
      with \langle b \in B \rangle and f show \mathfrak{F} f b \ a \in C unfolding \mathfrak{F}-def by auto
      \mathbf{fix} \ a
      assume a \notin A
      with (b \in B) and f show \mathfrak{F} f b \ a = undefined unfolding <math>\mathfrak{F}-def by auto
    qed
  next
    \mathbf{fix} \ b
    assume b \notin B
    with \mathfrak{F}-def show \mathfrak{F} f b = undefined by simp
  qed
next
 \mathbf{fix} f
 assume f: f \in B \to_E (A \to_E C)
  define f' where f': f' x \equiv if x \in B \times A then f (fst x) (snd x) else undefined for x
 have f' \in B \times A \rightarrow_E C
 proof (rule PiE-I)
    \mathbf{fix} \ x
    assume x \in B \times A
    with f' and f show f' x \in C by fastforce
  next
    \mathbf{fix} \ x
    assume x \notin B \times A
    with f' show f' x = undefined by simp
  moreover have \mathfrak{F} f' = f
  proof (rule ext)
    \mathbf{fix} \ b
    consider (A) b \in B
      \mid (B) \mid b \notin B \text{ by } auto
    thus \mathfrak{F} f' b = f b
    proof cases
      case A
      show ?thesis
      proof (rule ext)
        \mathbf{fix} \ a
        consider (C) a \in A
          \mid (D) \ a \notin A \ \mathbf{by} \ auto
        thus \mathfrak{F} f' b a = f b a
        proof cases
          case C
          with A and f' show ?thesis unfolding \mathfrak{F}-def by simp
```

```
case D
              with A and f' and f show ?thesis unfolding \mathfrak{F}-def by force
            qed
          ged
        next
          case B
          with f show ?thesis unfolding \mathfrak{F}-def by auto
        qed
      qed
      ultimately show f \in \mathfrak{F} ' (B \times A \rightarrow_E C) by auto
    qed
 qed
 hence |B \times A \rightarrow_E C| = o |B \rightarrow_E (A \rightarrow_E C)| by auto
 moreover have |A \times B \rightarrow_E C| = o |B \times A \rightarrow_E C|
  proof -
   have |A \times B| = o |B \times A| by (fact Times-card-commute)
   hence |C| \hat{c} |A \times B| = o|C| \hat{c} |B \times A| by (fact cexp-cong2')
   thus ?thesis unfolding cexp-definition by simp
 qed
  ultimately have |A \times B \rightarrow_E C| = o |B \rightarrow_E (A \rightarrow_E C)| by (auto intro: card-eq-trans)
  hence |B \rightarrow_E (A \rightarrow_E C)| = o |A \times B \rightarrow_E C| by auto
  moreover have |B \rightarrow_E (A \rightarrow_E C)| = (|C| \hat{c} |A|) \hat{c} |B| unfolding cexp-definition by simp
 moreover have |A \times B \rightarrow_E C| = |C| \hat{c} (|A| *c |B|)
    unfolding cprod-definition and cexp-definition by simp
  ultimately show ?thesis by simp
qed
proposition thm-2-3-b:
 fixes \Lambda :: 'l \ set
    and B :: 'l \Rightarrow 'b \ set
   and B_0 :: 'c \ set
 assumes \bigwedge l. l \in \Lambda \Longrightarrow |B| = o |B_0|
 shows |\prod_{d} l \in \Lambda. B l| = o |B_0| \hat{c} |\Lambda|
proof -
  {
   \mathbf{fix} \ l
   assume l \in \Lambda
    with assms have \exists \sigma. bij-betw (\sigma \ l) (B \ l) B_0 by fast
  then obtain \sigma' where \sigma': \sigma' \in (\Pi \ l \in \Lambda. \{\sigma. \ bij-betw \ (\sigma \ l) \ (B \ l) \ B_0\}) by (elim \ AC-E-ex)
  define \sigma where \sigma l \equiv (\sigma' l) l for l
  {
   \mathbf{fix} l
    assume l \in \Lambda
```

next

```
with \sigma' have bij-betw ((\sigma' l) l) (B l) B_0 by auto
}
hence \sigma: bij-betw (\sigma l) (B l) B_0 if l \in \Lambda for l unfolding \sigma-def by (simp \ add: \ that)
hence \sigma-inj: inj-on (\sigma \ l) (B \ l) if l \in \Lambda for l by (auto intro: that)
from \sigma have \sigma-surj: (\sigma l) ' (B l) = B_0 if l \in \Lambda for l
  by (simp add: bij-betw-imp-surj-on that)
define \mathfrak{F} where \mathfrak{F} \mathfrak{B} l \equiv if \ l \in \Lambda then (\sigma \ l) (\mathfrak{B} \ l) else undefined for \mathfrak{B} :: 'l \Rightarrow 'b and l
have bij-betw \mathfrak{F}(\prod_d l \in \Lambda. B l) (\Lambda \to_E B_0)
proof (rule bij-betw-imageI)
  show inj-on \mathfrak{F} (\prod_d l \in \Lambda. B l)
  proof (rule inj-onI)
     fix \mathfrak{B} and \mathfrak{B}'
     assume \mathfrak{B}: \mathfrak{B} \in (\prod_{l} l \in \Lambda. B l)
       and \mathfrak{B}': \mathfrak{B}' \in (\prod_d l \in \Lambda. B l)
       and *: \mathfrak{F} \mathfrak{B} = \mathfrak{F} \mathfrak{B}'
     show \mathfrak{B} = \mathfrak{B}'
     proof (rule ext)
       \mathbf{fix} l
       {
          assume l: l \in \Lambda
         with \mathfrak{B} and \mathfrak{B}' have \mathfrak{B} l \in B l and \mathfrak{B}' l \in B l by auto
         moreover from * and l have (\sigma l) (\mathfrak{B} l) = (\sigma l) (\mathfrak{B}' l) unfolding \mathfrak{F}-def by meson
         moreover from \sigma-inj and l have inj-on (\sigma l) (B l) by simp
          ultimately have \mathfrak{B} \ l = \mathfrak{B}' \ l by (auto dest: inj-onD)
       }
       moreover {
         assume l: l \notin \Lambda
          with \mathfrak{B} and \mathfrak{B}' have \mathfrak{B} l = \mathfrak{B}' l by fastforce
       }
       ultimately show \mathfrak{B} l = \mathfrak{B}' l by auto
     qed
  qed
  show \mathfrak{F} ' (\prod_d l \in \Lambda. B l) = (\Lambda \to_E B_0)
  proof (rule surj-onI)
    fix 33
    assume \mathfrak{B}: \mathfrak{B} \in (\prod_{d} l \in \Lambda. B l)
     show \mathfrak{F} \mathfrak{B} \in \Lambda \to_E B_0
     proof (rule PiE-I)
       \mathbf{fix} l
       assume l: l \in \Lambda
       hence \mathfrak{F} \mathfrak{B} l = (\sigma l) (\mathfrak{B} l) unfolding \mathfrak{F}-def by simp
       also from \mathfrak{B} and l and \sigma-surj have ... \in B_0 by fast
       finally show \mathfrak{F} \mathfrak{B} l \in B_0.
     next
```

```
\mathbf{fix} l
    assume l \notin \Lambda
    with \mathfrak{F}-def show \mathfrak{F} \mathfrak{B} l = undefined by simp
  qed
next
  \mathbf{fix} f
  assume f: f \in \Lambda \to_E B_0
  define \tau where \tau l \equiv the-inv-into (B\ l)\ (\sigma\ l) for l
  define \mathfrak{B} where \mathfrak{B} l \equiv if \ l \in \Lambda \ then \ (\tau \ l) \ (f \ l) else undefined for l
  have \mathfrak{B} \in (\prod_d l \in \Lambda. B l)
  proof (rule dprodI)
    \mathbf{fix} l
    assume l: l \in \Lambda
    with f have f l \in B_0 by auto
    moreover have (\tau l) ' B_0 = B l
    proof -
      from \sigma and l have bij-betw (\sigma l) (B l) B_0 by simp
      hence bij-betw (\tau \ l) \ B_0 \ (B \ l) unfolding \tau-def by (fact bij-betw-the-inv-into)
      thus ?thesis by auto
    qed
    moreover note l
    ultimately show \mathfrak{B} l \in B l unfolding \mathfrak{B}-def by auto
  next
    \mathbf{fix} l
    assume l \notin \Lambda
    thus \mathfrak{B} l = undefined unfolding \mathfrak{B}-def by simp
  qed
  moreover have \mathfrak{F} \mathfrak{B} = f
  proof (rule ext)
    \mathbf{fix} l
    {
      assume l: l \in \Lambda
      hence (\mathfrak{F} \mathfrak{B}) \ l = (\sigma \ l) \ ((\tau \ l) \ (f \ l)) unfolding \mathfrak{F}-def \mathfrak{B}-def by simp
      moreover have id-on ((\sigma \ l) \circ (\tau \ l)) B_0
      proof (rule id-onI)
        \mathbf{fix} \ b
        assume b: b \in B_0
        have ((\sigma l) \circ (\tau l)) b = (\sigma l) ((\tau l) b) by simp
        also have ... = (\sigma l) ((the-inv-into (B l) (\sigma l)) b) unfolding \tau-def ...
        also have \dots = b
        proof (rule f-the-inv-into-f)
          from \sigma-inj and l show inj-on (\sigma l) (B l).
        next
          from \sigma-surj and l and b show b \in (\sigma l) ' (B l) by simp
        qed
```

```
finally show ((\sigma \ l) \circ (\tau \ l)) \ b = b.
          qed
         moreover from f and l have f l \in B_0 by auto
          ultimately have (\mathfrak{F} \mathfrak{B}) l = f l by auto
       moreover {
         assume l \notin \Lambda
          with f have (\mathfrak{F} \mathfrak{B}) l = f l unfolding \mathfrak{F}-def by fastforce
       ultimately show (\mathfrak{F} \mathfrak{B}) l = f l by auto
      ultimately show f \in \mathfrak{F} ' (\prod_{d} l \in \Lambda. B l) by auto
   qed
 qed
 thus ?thesis unfolding cexp-definition by auto
qed
proposition prop-2-3-15-a:
 fixes M :: 'm \ set
 shows |Pow M| = o \ ctwo \ \hat{c} \ |M|
proof -
 define \mathfrak{F} where \mathfrak{F} X m \equiv if m \in M then m \in X else undefined for X :: 'm set and m
 have bij-betw \mathfrak{F} (Pow M) (M \rightarrow_E (UNIV :: bool set))
 proof (rule bij-betw-imageI')
   fix X and Y
   assume X \in Pow M
     and Y \in Pow M
     and \mathfrak{F}: \mathfrak{F} X = \mathfrak{F} Y
   \mathbf{show}\ X = Y
   proof (rule equalityI)
       \mathbf{fix} \ x
       assume x: x \in X
       with \langle X \in Pow M \rangle have x': x \in M by auto
        {
         assume x \notin Y
          with x and x' and \mathfrak{F} have False unfolding \mathfrak{F}-def by meson
       hence x \in Y by auto
     thus X \subseteq Y ..
   next
      {
       assume y: y \in Y
```

```
with \langle Y \in Pow M \rangle have y': y \in M by auto
       assume y \notin X
       with y and y' and \mathfrak{F} have False unfolding \mathfrak{F}-def by meson
     hence y \in X by auto
    thus Y \subseteq X..
  qed
\mathbf{next}
 \mathbf{fix} X
 assume X \in Pow M
 \mathbf{show}\ \mathfrak{F}\ X\in M\to_E\ UNIV
  proof (rule PiE-I)
   \mathbf{fix} \ x
   show \mathfrak{F} X x \in UNIV ...
  next
   \mathbf{fix} \ x
   assume x \notin M
    thus \mathfrak{F} X x = undefined unfolding \mathfrak{F}-def by simp
  qed
next
 \mathbf{fix}\ f :: \ 'm \Rightarrow bool
 assume f: f \in M \to_E UNIV
  define X where X \equiv \{x \in M. fx\}
  have \mathfrak{F} X = f
 proof (rule ext)
   \mathbf{fix} \ x
    {
     assume x: x \in M
     hence \mathfrak{F} X x = f x unfolding \mathfrak{F}-def and X-def by simp
    }
   moreover {
     assume x \notin M
     with f have \mathfrak{F} X x = f x unfolding \mathfrak{F}-def by auto
    ultimately show \mathfrak{F} X x = f x by auto
  moreover have X \in Pow M
  proof (rule PowI; rule subsetI)
   \mathbf{fix} \ x
   assume x \in X
   hence x \in M and f x unfolding X-def by simp-all
   with f show x \in M by simp
  qed
```

```
qed
 hence |Pow M| = o |M \rightarrow_E (UNIV :: bool set)| by auto
 thus ?thesis unfolding cexp-definition and ctwo-def.
qed
proposition prop-2-3-15-b:
 shows |M| < o \ ctwo \ \hat{\ } c \ |M|
proof -
 have |M| < o |Pow M| by (fact thm-2-8)
 also have |Pow M| = o \ ctwo \ \hat{c} \ |M| by (fact \ prop-2-3-15-a)
 finally show ?thesis.
qed
2.2.3
         C) Operations on Cardinalities \aleph_0 and \aleph
fun fun-2-11-a where
 fun-2-11-a \ f \ (Inl \ x) = 2 * (f \ x)
| fun-2-11-a f (Inr x) = 2 * x + 1
theorem thm-2-11-a:
 fixes M :: 'm \ set
 assumes |M| \le o \aleph_0
 shows |M| + c \aleph_0 = o \aleph_0
proof -
 have |M < +> (UNIV :: nat set)| \le o |UNIV :: nat set|
 proof -
   from assms obtain f where f 'M \subseteq (UNIV :: nat set) and f: inj-on f M by auto
   have inj-on (fun-2-11-a f) (M <+> (UNIV :: nat set))
   proof (rule inj-onI)
     fix x and y
     assume x \in M <+> (UNIV :: nat set)
      and y \in M <+> (UNIV :: nat set)
      and fun-2-11-a: fun-2-11-a f(x) = fun-2-11-a f(y)
     from this(1,2) consider (A) x \in Inl 'M and y \in Inl 'M
      | (B) x \in Inl 'M \text{ and } y \in Inr 'UNIV
      \mid (C) \mid x \in Inr \text{ '} UNIV \text{ and } y \in Inl \text{ '} M
      | (D) x \in Inr 'UNIV  and y \in Inr 'UNIV  by blast
     thus x = y
     proof cases
      case A
      then obtain m and m' where m \in M and Inl \ m = x and m' \in M and Inl \ m' = y by auto
      with fun-2-11-a have 2 * (f m) = 2 * (f m') by auto
      hence f m = f m' by simp
      with f and \langle m \in M \rangle and \langle m' \in M \rangle have m = m' by (elim inj-onD)
```

ultimately show  $f \in \mathfrak{F}$  '  $(Pow\ M)$  by auto

```
next
      case B
      then obtain m and n where Inl\ m = x and Inr\ n = y by auto
      with fun-2-11-a have 2 * (f m) = 2 * n + 1 by auto
      hence False by presburger
      thus ?thesis by simp
    next
      case C
      then obtain n and m where Inr n = x and Inl m = y by auto
      with fun-2-11-a have 2 * n + 1 = 2 * (f m) by auto
      hence False by presburger
      thus ?thesis by simp
    next
      case D
      then obtain n and n' where n: Inr n = x and n': Inr n' = y by auto
      with fun-2-11-a have 2 * n + 1 = 2 * n' + 1 by auto
      hence n = n' by simp
      with n and n' show ?thesis by simp
    qed
   qed
   thus ?thesis by auto
 moreover have |UNIV :: nat set| \le o |M <+> (UNIV :: nat set)|
 proof -
  have inj-on Inr (UNIV :: nat set) by simp
  thus ?thesis by auto
 qed
 ultimately have |M < +> (UNIV :: nat set)| = o |UNIV :: nat set| by (fact thm-2-3-2)
 thus |M| + c \aleph_0 = o \aleph_0 unfolding csum-definition by simp
qed
theorem thm-2-11-a':
 shows \aleph_0 + c \aleph_0 = o \aleph_0
 by (rule thm-2-11-a; simp)
lemma inj-on-map-sum:
 assumes inj-on f A
   and inj-on g B
 shows inj-on (map-sum f g) (A <+> B)
proof (rule inj-onI)
 fix x and x'
 assume x \in A <+> B
  and x' \in A <+> B
   and *: (map\text{-}sum f g) x = (map\text{-}sum f g) x'
```

with  $\langle Inl \ m = x \rangle$  and  $\langle Inl \ m' = y \rangle$  show ?thesis by simp

```
from this(1,2) consider
   (A) x \in Inl 'A and x' \in Inl 'A
   | (B) x \in Inl 'A  and x' \in Inr 'B
   \mid (C) \mid x \in Inr \mid B \text{ and } x' \in Inl \mid A
   | (D) x \in Inr 'B  and x' \in Inr 'B
   by blast
 thus x = x'
 proof cases
   case A
   then obtain a and a' where a \in A and Inl \ a = x and a' \in A and Inl \ a' = x' by auto
   with * have Inl(fa) = Inl(fa') by auto
   hence f a = f a' by simp
   with assms(1) and \langle a \in A \rangle and \langle a' \in A \rangle have a = a' by (elim \ inj-onD)
   with \langle Inl \ a = x \rangle and \langle Inl \ a' = x' \rangle show ?thesis by simp
 next
   case B
   with * have False by auto
   thus ?thesis ..
 next
   case C
   with * have False by auto
   thus ?thesis ..
 next
   then obtain b and b' where b \in B and Inr b = x and b' \in B and Inr b' = x' by auto
   with * have Inr(gb) = Inr(gb') by auto
   hence q b = q b' by simp
   with assms(2) and \langle b \in B \rangle and \langle b' \in B \rangle have b = b' by (elim\ inj\text{-}onD)
   with \langle Inr \ b = x \rangle and \langle Inr \ b' = x' \rangle show ?thesis by simp
 qed
qed
theorem thm-2-11-b:
 assumes |M| < o \aleph
 shows |M| + c \aleph = o \aleph
proof -
 let ?A = \{-(1 :: real) < .. < (0 :: real)\}
 let ?B = \{(0 :: real) < .. < (1 :: real)\}
 have |UNIV :: real \ set| \le o \ |M <+> (UNIV :: real \ set)| by auto
 moreover have |M < +> (UNIV :: real set)| \le o |UNIV :: real set|
 proof -
   have |M < +> (UNIV :: real \ set)| = |M| + c \ |UNIV :: real \ set| \ unfolding \ csum-definition ...
   also have \dots = o |M| + c |P|
   proof -
     have equipotent ?B (UNIV :: real set) by (simp add: ex-2-5")
```

```
hence |UNIV :: real set| = o |?B| by auto
     thus ?thesis by (fact csum-cong2')
   qed
   also have |M| + c |P| = |M| <+> P| unfolding csum-definition ...
   also have \dots \leq o \mid ?A < + > ?B \mid
   proof -
     have equipotent ?A (UNIV :: real set) by (simp add: ex-2-5")
     hence |?A| = o |UNIV :: real set| by auto
     hence |UNIV :: real \ set| = o \ |?A| by auto
     with assms have |M| \le o | ?A| by (fact card-leq-card-eq-trans)
     then obtain f where f: f 'M \subseteq ?A and f': inj-on f M by auto
     have id: id : ?B \subseteq ?B and id': inj\text{-}on id ?B by simp\text{-}all
     from f and id have (map-sum f id) ' (M <+> ?B) \subseteq ?A <+> ?B by fastforce
    moreover from f' and id' have inj-on (map-sum f id) (M <+> ?B) by (fact inj-on-map-sum)
     ultimately show ?thesis by auto
   qed
   also have |?A < +> ?B| \le o |?A \cup ?B|
   proof -
     have ?A \cap ?B = \{\} by simp
    hence |?A \cup ?B| = o |?A| + c |?B| by (fact disjoint-union-card-eq-csum)
     thus ?thesis unfolding csum-definition by fast
   qed
   also have |?A \cup ?B| \le o |UNIV :: real set| by auto
   finally show ?thesis by simp
 qed
 ultimately have |M <+> (UNIV :: real set)| = o |UNIV :: real set| by (elim thm-2-3-2)
 thus ?thesis unfolding csum-definition by simp
qed
theorem thm-2-11-b'':
 shows \aleph + c \aleph = o \aleph
 by (simp add: thm-2-11-b)
theorem thm-2-11-c:
 fixes M :: 'm \ set
 assumes cone \le o |M|
   and |M| \leq o \aleph_0
 shows |M| *c \aleph_0 = o \aleph_0
proof -
 have |M \times (UNIV :: nat set)| \le o |UNIV :: nat set|
   from assms(2) obtain f where f: f 'M \subseteq (UNIV :: nat set) and f': inj-on f M by auto
```

**hence** |?B| = o |UNIV :: real set| **by** auto

```
define \mathfrak{F} :: 'm \times nat \Rightarrow nat \times nat where \mathfrak{F} \equiv map\text{-}prod f id
   have id: id ' (UNIV :: nat set) \subseteq (UNIV :: nat set) by simp
   have id': inj-on id (UNIV :: nat set) by simp
   from f and id have \mathfrak{F} '(M \times (UNIV :: nat set)) \subseteq (UNIV :: nat set) \times (UNIV :: nat set)
     unfolding \( \)3-def by \( simp \)
   moreover from f' and id' have inj-on \mathfrak{F}(M \times (UNIV :: nat set))
     unfolding \mathfrak{F}-def by (fact map-prod-inj-on)
   ultimately have |M \times (UNIV :: nat \ set)| \le o \ |(UNIV :: nat \ set) \times (UNIV :: nat \ set)| by auto
   also have |(UNIV :: nat set) \times (UNIV :: nat set)| = o |UNIV :: nat set|
     by (fact nat-Times-nat-card-eq-aleph-zero)
   finally show ?thesis unfolding cprod-definition .
 qed
 moreover have |UNIV :: nat set| \le o |M \times (UNIV :: nat set)|
 proof -
   from assms(1) have |\{()\}| \le o |M| unfolding cone-def by simp
   then obtain f where f '\{()\} \subseteq M by auto
   hence f() \in M by simp
   define \mathfrak{F} where \mathfrak{F} n \equiv (f(), n) for n :: nat
    from \langle f() \in M \rangle have \mathfrak{F} '(UNIV :: nat set) \subseteq M \times (UNIV :: nat set) unfolding \mathfrak{F}-def by
auto
   moreover have inj-on \mathfrak{F}(UNIV::nat\ set) unfolding \mathfrak{F}-def by (meson injI prod.inject)
   ultimately show ?thesis by auto
 qed
 ultimately show ?thesis unfolding cprod-definition by (fact thm-2-3-2)
qed
lemma surj-Real:
 shows surj Real.Real
proof -
 obtain rr :: (real \Rightarrow bool) \Rightarrow nat \Rightarrow rat where
   \forall p \ r. \ p \ r \lor \neg p \ (Real.Real \ (rr \ p)) \land realrel \ (rr \ p) \ (rr \ p)
   by (metis real.abs-induct)
 hence surj (quot-type.abs realrel Abs-real) using Real-def by auto
 thus ?thesis unfolding Real.Real-def.
qed
lemma aleph-card-leg-rational-sequence:
 shows |UNIV :: real \ set| \le o \ |UNIV :: (nat \Rightarrow rat) \ set|
 using surj-Real by (fact surj-on-imp-card-leq)
2.2.4
          Problems
```

```
proposition prob-2-3-1-a:
 shows |M| + c |N| = o |N| + c |M|
 by (fact prop-2-3-1)
```

```
proposition prob-2-3-1-b:
 \mathbf{shows}\ (\ |M|\ + c\ |N|\ )\ + c\ |P|\ = o\ |M|\ + c\ (\ |N|\ + c\ |P|\ )
 by (fact prop-2-3-2)
proposition prob-2-3-1-c:
 shows |M| + c \ czero = o \ |M|
 by (fact prop-2-3-3)
proposition prob-2-3-1-d:
 assumes |M| \le o |M'|
   and |N| \le o |N'|
 shows |M| + c |N| \le o |M'| + c |N'|
 using assms by (fact prop-2-3-4)
proposition prob-2-3-1-e:
 shows |M| * c |N| = o |N| * c |M|
 by (fact prop-2-3-5)
proposition prob-2-3-1-f:
 shows (|M| *c |N|) *c |P| = o |M| *c (|N| *c |P|)
 by (fact prop-2-3-6)
proposition prob-2-3-1-g-a:
 shows |M| * c czero = o czero
 by (fact prop-2-3-7-a)
proposition prob-2-3-1-g-b:
 shows |M| *c cone = o |M|
 by (fact prop-2-3-7-b)
proposition prob-2-3-1-h:
 assumes |M| \le o |M'|
   and |N| < o |N'|
 shows |M| *c |N| < o |M'| *c |N'|
 using assms by (fact prop-2-3-8)
proposition prob-2-3-1-i:
 shows ( |M|+c |N| ) *c |P|=o |M|*c |P|+c |N|*c |P|
 by (fact prop-2-3-9)
proposition prob-2-3-2:
 assumes equipotent A A'
   and equipotent B B'
 shows equipotent (A \times B) (A' \times B')
```

```
proof -
 from assms have |A| = o |A'| and |B| = o |B'| by auto
 hence |A| *c |B| = o |A'| *c |B'| by (fact cprod-cong')
 thus ?thesis unfolding cprod-definition by auto
ged
proposition prob-2-3-3:
 assumes |N| \le o |N'|
   and |M| \leq o |M'|
   and M = \{\} \longleftrightarrow M' = \{\} — Is this assumption really necessary?
 shows |N| \hat{c} |M| \le o |N'| \hat{c} |M'|
 using assms by (fact prop-2-3-11)
proposition prob-2-3-5:
 fixes M :: 'm \ set
 assumes infinite M
 shows |M| + c \aleph_0 = o |M|
proof -
 define N :: ('m + nat) set where N \equiv Inr 'UNIV
 have infinite (M <+> (UNIV :: nat set)) by simp
 moreover have N \subseteq M <+> UNIV unfolding N-def by auto
 moreover have |N| \le o \aleph_0 unfolding N-def by (fact card-of-image)
 moreover have infinite ((M <+> UNIV) - N)
 proof -
   have (M < +> UNIV) - N = Inl `M unfolding N-def by auto
   moreover have infinite (Inl 'M)
   proof -
    have inj-on Inl M by simp
    with assms show ?thesis by (simp add: finite-image-iff)
   qed
   ultimately show ?thesis by simp
 qed
  ultimately have equipotent ((M < +> UNIV) - N) (M < +> (UNIV :: nat set)) by (fact
thm-2-6)
 moreover have equipotent M ((M <+> UNIV) - N)
 proof -
   have bij-betw Inl M (Inl 'M) by (metis bij-betw-imageI' image-eqI sum.inject(1))
  hence equipotent M (Inl 'M) by auto
   moreover have Inl : M = (M < +> UNIV) - N unfolding N-def by auto
   ultimately show ?thesis by metis
 qed
 ultimately have equipotent M (M <+> (UNIV :: nat set)) by (auto dest: prop-2-1-3)
 hence |M| = o |M| <+> (UNIV :: nat set)| by auto
```

thus ?thesis unfolding csum-definition by auto qed

 $\mathbf{end}$ 

 $\quad \mathbf{end} \quad$